HIGH ENERGY ASTROPHYSICS - Lecture 7



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(Inverse) Compton Scattering

1 Overview

- Compton Scattering, polarised and unpolarised light
- \bullet Differential cross-section $d\sigma/d\Omega$ and total cross-section σ
- Compton kinematics $\epsilon_f(\epsilon_i, \theta)$
- Thomson $(\epsilon_f \simeq \epsilon_i)$ and Klein-Nishina regime
- Inverse Compton scattering
- Energy change in Inverse Compton scattering

2 Thomson Scattering of Polarized Radiation by an electron

Consider radiation from a free electron in response to *incident linearly polarised electromagnetic wave*.

Force on charge (neglecting magnetic force for $v \ll c$):

$$\vec{F} = m_e \ddot{\vec{r}} = e \ E_0 \vec{\epsilon} \ \sin \omega_0 t$$

with $\vec{\epsilon}$ denoting E-field direction.

With dipole moment $\vec{d} := e\vec{r}$:



Power of radiating dipole (cf. Larmor's formula, lecture 4):

$$\frac{dP}{d\Omega} = \frac{q^2}{4\pi c^3} |\dot{\vec{v}}|^2 \sin^2 \Theta = \frac{\ddot{\vec{d}}^2}{4\pi c^3} \sin^2 \Theta$$

and by intergration over solid angle (Larmor's formula):

$$P = \frac{2q^2}{3c^3} |\dot{\vec{v}}|^2 = \frac{2\vec{d}^2}{3c^3}$$

Time-averaged power: Averaging $\vec{d}^2 = \frac{e^4 E_0^2}{m_e^2} \sin^2 \omega_0 t$ over time t, with $\langle \sin^2 \omega_0 t \rangle = \frac{1}{T} \int_0^{T = \frac{2\pi}{\omega_0}} \sin^2 \omega_0 t \, dt = 1/2$ gives:

$$\frac{dP}{d\Omega} = \frac{e^4 E_0^2}{8\pi m_e^2 c^3} \sin^2 \Theta \text{ and } P = \frac{e^4 E_0^2}{3m_e^2 c^3}$$

(Note: Θ := angle between \vec{d} and \vec{n} !)

Incident (time-averaged) radiation flux on electron (with $|\vec{S}| = \frac{c}{4\pi} E_0^2 \sin^2 \omega_0 t$):

$$<|\vec{S}|>=\frac{c}{8\pi}E_0^2$$

Differential cross-section $d\sigma$ for scattering into $d\Omega$, is defined as

$$\frac{dP}{d\Omega} := <|\vec{S}| > \frac{d\sigma}{d\Omega} = \frac{cE_0^2}{8\pi} \frac{d\sigma}{d\Omega}$$

With $\frac{dP}{d\Omega} = \frac{e^4 E_0^2 \sin^2 \Theta}{8\pi m_e^2 c^3}$:

$$\left. \frac{d\sigma}{d\Omega} \right|_{polarized} = \frac{e^4}{m_e^2 c^4} \sin^2 \Theta =: r_0^2 \sin^2 \Theta$$

with classical electron radius

$$r_0 := \frac{e^2}{m_e c^2} = 2.82 \times 10^{-13} \text{ cm}$$

Visualization: $d\sigma$ gives area presented by electron to a photon that is going to be scattered in direction $d\Omega$.

Total cross-section σ by integrating over solid angle, or immediately from

$$P = < |\vec{S}| > \sigma \quad \Rightarrow \quad \sigma = P / < |\vec{S}| >$$

where $P = (e^4 E_0^2)/(3m_e^2 c^3) = E_0^2 r_0^2 c/3$ and $\langle |\vec{S}| \rangle = c E_0^2/(8\pi)$, giving:

$$\sigma = \frac{8\pi}{3}r_0^2 =: \sigma_T$$

with Thomson cross-section σ_T :

$$\sigma_T = 6.652 \times 10^{-25} \text{ cm}^2$$

Note:

- 1. σ_T applies only to non-relativistic regime; for higher energies, Klein-Nishina cross-section σ_{KN} must be used (see later)
- 2. Scattered radiation is *linearly polarised* in direction of incident polarisation vector, $\vec{\epsilon}$, and direction of scattering, \vec{n} .
- 3. Single particle (time-averaged) Thomson power $P = \sigma_T < |\vec{S}| >= \sigma_T c (E_0^2/8\pi) = \sigma_T c U_{rad}$ with radiation energy density $U_{rad} = E_0^2/8\pi$ =time-averaged energy density in incident wave.

3 Thomson Scattering of Unpolarized Radiation

Unpolarised radiation: can have E-field in any direction = independent superposition of two linearly polarized beams with perpendicular axes.

To scatter non-polarised radiation propagating in direction \mathbf{k} into direction \mathbf{n} , need to average two scatterings through angle Θ and $\pi/2$:

$$\frac{d\sigma}{d\Omega}\Big|_{unpol} = \frac{1}{2} \left(\frac{d\sigma(\Theta)}{d\Omega} \Big|_{pol} + \frac{d\sigma(\pi/2)}{d\Omega} \Big|_{pol} \right)$$
$$= \frac{r_0^2}{2} (\sin^2 \Theta + 1) = \frac{r_0^2}{2} (\cos^2 \theta + 1) = \frac{3\sigma_T}{16\pi} (1 + \cos^2 \theta)$$

where $\theta = \angle(\mathbf{k}, \mathbf{n})$. Forward-backward symmetry $(\theta \rightarrow \pi + \theta)$! Total cross-section, integrated over Ω , is again $\sigma = \sigma_T$.



4 Thomson Optical Depth

=Probability for a photon to experience Thomson scattering while traversing region containing free electrons with density n_e

$$\tau_T := \int n_e \sigma_T \ ds$$

For $\tau_T \ll 1$ source optically-thin to Thomson scattering, for $\tau_T \gg 1$ optically-thick.

Example - Thomson scattering in black hole accretion flows: Ion density = electron density, in terms of dimensionless accretion rate $\dot{m} := \dot{M}/\dot{M}_{Edd}$, where $\dot{M}_{Edd} \simeq 2.2 \ (M_{BH}/10^8 M_{\odot}) \ M_{\odot}/\text{yr} \simeq 10^{26} M_{BH,8} \text{ g/sec}$

$$n(r) = \frac{\dot{M}}{4\pi r^2 m_p v_r} = 2.5 \times 10^{11} \alpha^{-1} \dot{m} \left(\frac{10^8 M_{\odot}}{M_{BH}}\right) \left(\frac{r_s}{r}\right)^{3/2} \quad \text{cm}^{-3}$$

assuming radial inflow velocity $v_r = \alpha \ (GM/r)^{1/2} = \alpha \ c \ (r_s/r)^{1/2}/\sqrt{2}$ (fraction $\alpha < 1$ of free infall); Schwarzschild radius $r_s := 2GM/c^2 = 3 \times 10^{13} M_{BH,8}$ cm.

 $\Rightarrow \text{Thomson optical depth: } \tau_T \simeq n_e(r)\sigma_T r \simeq 5 \ \alpha^{-1} \dot{m} \ \left(\frac{r_s}{r}\right)^{1/2}$ $\Rightarrow \text{ optically-thin for low accretion rates.}$

5 Kinematics of Compton Scattering

Scattering of photon off an *electron at rest*; with momentum 4-vector $(E/c, \vec{p})$ notation:

- Initial and final four momentum of photon: $\tilde{P}_i = \frac{h\nu_i}{c}(1, \vec{n}_i), \tilde{P}_f = \frac{h\nu_f}{c}(1, \vec{n}_f)$
- Initial and final four momenta of electron: $\tilde{Q}_i = m_e(c,0), \tilde{Q}_f = \gamma_f m_e(c,\vec{v}_f)$



• Energy and momentum conservation: $\tilde{P}_i + \tilde{Q}_i = \tilde{P}_f + \tilde{Q}_f$ $\Rightarrow \tilde{Q}_f^2 = (\tilde{P}_i + \tilde{Q}_i - \tilde{P}_f)^2 = \tilde{P}_i^2 + \tilde{Q}_i^2 + \tilde{P}_f^2 + 2\tilde{P}_i\tilde{Q}_i - 2\tilde{P}_i\tilde{P}_f - 2\tilde{Q}_i\tilde{P}_f$

With
$$\tilde{Q}^2 = \tilde{Q}^{\nu}\tilde{Q}_{\nu} = \gamma^2 m_e c^2 - \gamma^2 m_e v^2 = \gamma^2 m_e c^2 (1 - \frac{v^2}{c^2}) = m_e c^2$$
, and
 $\tilde{P}^2 = 0$:
 $\Rightarrow \tilde{P}_i \cdot \tilde{P}_f = \tilde{Q}_i (\tilde{P}_i - \tilde{P}_f)$

or:

$$\frac{h\nu_i h\nu_f}{c^2} (1 - \vec{n}_i \vec{n}_f) = m_e (h\nu_i - h\nu_f)$$

With $\vec{n}_i \vec{n}_f = \cos \theta$:

$$h\nu_i\nu_f(1-\cos\theta) = m_e c^2(\nu_i - \nu_f)$$

So that

$$\nu_f = \frac{\nu_i}{1 + \frac{h\nu_i}{m_e c^2} (1 - \cos \theta)} \quad \text{or} \quad \epsilon_f = \frac{\epsilon_i}{1 + \frac{\epsilon_i}{m_e c^2} (1 - \cos \theta)}$$

or in terms of wavelength $\lambda = c/\nu$:

$$\lambda_f - \lambda_i = \frac{h}{m_e c} (1 - \cos \theta) =: \lambda_c (1 - \cos \theta) \ge 0$$

with Compton wavelength $\lambda_c := h/m_e c = 2.426 \times 10^{-10}$ cm.

Note: $\lambda_f > \lambda_i$ for all angles θ ($\theta \neq 0$), photons always loses energy.

Fractional energy change: averaging over θ , with Taylor expansion for $h\nu_i \ll m_e c^2$ (=Thomson regime):

$$\frac{\Delta\epsilon}{\epsilon} := \frac{h\Delta\nu}{h\nu_i} = \frac{\nu_f - \nu_i}{\nu_i} \simeq \frac{1}{\nu_i} \left[\nu_i \left(1 - \frac{h\nu_i}{m_e c^2} [1 - \cos\theta] \right) - \nu_i \right] = -\frac{h\nu_i}{m_e c^2} [1 - \cos\theta] = -\frac{h\nu_i}{m_e c^2} \left[1 - \cos\theta \right]$$
$$= -\frac{h\nu_i}{m_e c^2} \ll 1$$

Compton scattering: Scattering of photon off an electron accompanied by energy transfer (decrease in photon energy).

- Thomson scattering: in regime $h\nu_i \ll m_e c^2$, transfer small, scattering almost **elastic** ($\epsilon_i = h\nu_i = h\nu_f = \epsilon_f$), Thomson cross-section applies (initial and final wavelength quasi identical).
- Klein-Nishina scattering: in regime $h\nu_i > m_e c^2$ transfer large, scattering deeply **inelastic**, need to use cross-section derived from QED.

6 Klein-Nishina Formula

General formula for differential cross-section derived by Klein & Nishina 1929 based on QED:

$$\frac{d\sigma}{d\Omega} = \frac{3}{16\pi} \sigma_T \left(\frac{\epsilon_f}{\epsilon_i}\right)^2 \left(\frac{\epsilon_i}{\epsilon_f} + \frac{\epsilon_f}{\epsilon_i} - \sin^2\theta\right)$$

with $\epsilon = h\nu$ and $\epsilon_f = \epsilon_i/(1 + \frac{\epsilon_i}{mc^2}[1 - \cos\theta])$ (kinematics). $d\sigma/d\Omega$ measures probability that photon gets scattered into angle θ . If $\epsilon_i \simeq \epsilon_f$ (for $\epsilon_i \ll m_e c^2$) Thomson regime:

$$\frac{d\sigma}{d\Omega} \to \frac{3}{16\pi} \sigma_T \left(1 + [1 - \sin^2 \theta] \right) = \frac{3}{16\pi} \sigma_T \left(1 + \cos^2 \theta \right) = \frac{d\sigma}{d\Omega} \bigg|_{Thomson, unpolarized}$$

Note:

- Principal effects is to reduce cross-section from classical value σ_T as energy increases.
- Increased forward-scattering with increasing energy. At small energies, cross-section is forward-backward $(\theta, \pi + \theta)$ symmetric.



 $\mathbf{d}\sigma/\mathbf{d}\Omega$ [m²/sr]

7 Total Compton Cross-section

Integration over solid angle gives total cross-section:

$$\sigma = 2\pi \int_0^\pi \frac{d\sigma}{d\Omega} \sin\theta d\theta$$

=
= $\frac{3}{4} \sigma_T \left[\frac{1+x}{x^3} \left(\frac{2x(1+x)}{1+2x} - \ln(1+2x) \right) + \frac{1}{2x} \ln(1+2x) - \frac{1+3x}{(1+2x)^2} \right]$

where

$$x \equiv \frac{h\nu_i}{m_e c^2}$$

Limits:

$$\sigma(x) \simeq \sigma_T (1 - 2x + ...) \quad \text{for} \quad x \ll 1 \quad \text{(Thomson)}$$

$$\sigma(x) \simeq \frac{3}{8} \sigma_T \frac{1}{x} \left(\ln 2x + \frac{1}{2} \right) \quad \text{for} \quad x \gg 1 \quad \text{(extreme KN)}$$



Figure 1: Total Compton cross-section as a function of normalized photon energy $x = h\nu_i/m_ec^2$ along with asymptotics to high energies.

8 Inverse Compton Scattering

If electron moves with velocity v (lab. frame K), energy can be transferred from electron to photon = Inverse Compton Scattering (ICS).

In electron rest frame K', previous result holds (written in terms of rest-frame variables = primed), e.g.:

$$\epsilon'_f = \frac{\epsilon'_i}{1 + \frac{\epsilon'_i}{m_e c^2} (1 - \cos \alpha')} \simeq \epsilon'_i \left[1 - \frac{\epsilon'_i}{m_e c^2} (1 - \cos \alpha') \right]$$

with α' scattering angle in K', θ'_i , θ'_f polar angles between electron and photon propagation directions:

$$\cos \alpha' = \cos \theta'_i \cos \theta'_f + \sin \theta'_i \sin \theta'_f \cos(\phi'_i - \phi'_f)$$

where ϕ'_i, ϕ'_f azimuthal angles of incident and scattered photon in electron rest frame [ERF], noting that $\cos \alpha' = \vec{n}'_f \cdot \vec{n}'_i$ and in spherical coordinates $\vec{n}'_i = (\cos \theta'_i, \sin \theta'_i \cos \phi'_i, \sin \theta'_i \sin \phi'_i)$ with $\cos(a-b) = \cos a \cos b + \sin a \sin b$ etc. Last relation on rhs in energy eq. valid in Thomson regime. Photon energies ϵ 's in K' and K are related by Doppler formula

$$\epsilon_i = D\epsilon'_i \quad \leftrightarrow \quad \epsilon'_i = \epsilon_i \gamma (1 - \beta \cos \theta_i)$$
 (1)

$$\epsilon_f = \frac{\epsilon'_f}{\gamma(1 - \beta \cos \theta_f)} = \epsilon'_f \gamma(1 + \beta \cos \theta'_f)$$
(2)

where $D = 1/(\gamma [1 - \beta \cos \theta]), \ \beta = v/c, \ \gamma = 1/\sqrt{1 - v^2/c^2}.$

Thomson regime for $\epsilon'_i \ll m_e c^2$, i.e.,

$$\epsilon_i \ll m_e c^2 / \gamma$$

Limits:

- (1) for $\theta_i = 0$ (photon approaches from behind): $\epsilon'_i = \epsilon_i \gamma (1 \beta) \rightarrow \epsilon_i / [2\gamma]$.
- (2) for $\theta_i = \pi$ (head-on collision): $\epsilon'_i = \epsilon_i \gamma (1 + \beta) \rightarrow 2\gamma \epsilon_i$

 $\Rightarrow \text{Maximum energy in Thomson regime (using equation (2) above):} \\ \epsilon_{f,max} = 2\epsilon'_f \gamma = 2\epsilon'_i \gamma = 4\gamma^2 \epsilon_i.$

 \Rightarrow in Klein-Nishina regime: $\epsilon_{f,max} < \gamma m_e c^2 + \epsilon_i \sim \gamma m_e c^2$ (energy conservation).

Alternatively, using *aberration formula* (lecture 4):

$$\cos \theta_{i,f}' = \frac{\cos \theta_{i,f} - \beta}{1 - \beta \cos \theta_{i,f}}$$

Isotropic distribution in lab. frame K: half the photons have θ_i between π (head-on) and $\pi/2$.

- \Rightarrow in electron rest frame K', $\cos \theta'_i = -\beta$ for $\theta_i = \pi/2$.
- \Rightarrow For relativistic electrons ($\beta \simeq 1$), most photons are close to head-on in ERF.

In **Thomson regime:** for $\epsilon'_i \ll m_e c^2$, $\epsilon'_i = \epsilon'_f$ with eqs. (1),(2) before:

$$\epsilon_f = \gamma^2 \epsilon_i (1 - \beta \cos \theta_i) (1 + \beta \cos \theta'_f) = \gamma^2 \epsilon_i (1 - \beta \cos \theta_i) \left(1 + \beta \frac{\cos \theta_f - \beta}{1 - \beta \cos \theta_f} \right)$$
$$= \gamma^2 \epsilon_i \frac{(1 - \beta \cos \theta_i)}{(1 - \beta \cos \theta_f)} (1 - \beta^2) = \epsilon_i \frac{(1 - \beta \cos \theta_i)}{(1 - \beta \cos \theta_f)}$$

For head-on scattering $\theta_i = \pi$ and $\theta_f = 0$ (photons turns around after scattering),

$$\frac{\epsilon_{f,max}}{\epsilon_i} = \frac{(1+\beta)}{(1-\beta)} = \gamma^2 (1+\beta)^2 \simeq 4\gamma^2 \,.$$

Summary: Scattered photon energy in lab frame:

$$\epsilon_f \simeq \begin{cases} \gamma^2 \epsilon_i & , \epsilon_i \ll m_e c^2 / \gamma & \text{Thomson regime} \\ \gamma m_e c^2 & , \epsilon_i \gg m_e c^2 / \gamma & \text{Klein} - \text{Nishina limit} \end{cases}$$



Figure 2: γ^2 -energy boost in Thomson regime as a result of relativistic beaming. Top left: Electron moving with velocity v in lab frame, incoming photons are isotropically distributed. Top right: Incoming photons as seen in the rest frame of the electron. They are now highly anisotropic, electron sees them as nearly head-on, their typical energies are boosted by a factor ~ γ . Bottom left: Photons after scattering in electron rest frame. They are approximately isotropic and have roughly the same energy (Thomson regime) they had before being scattered. Bottom right: Scattered photons as seen in the lab-frame. They are now again highly collimated, with their typical energies boosted by a factor ~ γ , so that in lab-frame the overall energy is boosted by a factor γ^2 [Credits: N. Kaiser].