

Flavor Models with Sterile Neutrinos

NuFact'11 Geneva, Aug, 2011

Contents:

- Sterile neutrinos in ν -osc. and $0\nu\beta\beta$ decays
- Mechanisms for light sterile neutrino masses
- Flavor symmetry with sterile neutrinos
- Realization in seesaw models

He Zhang

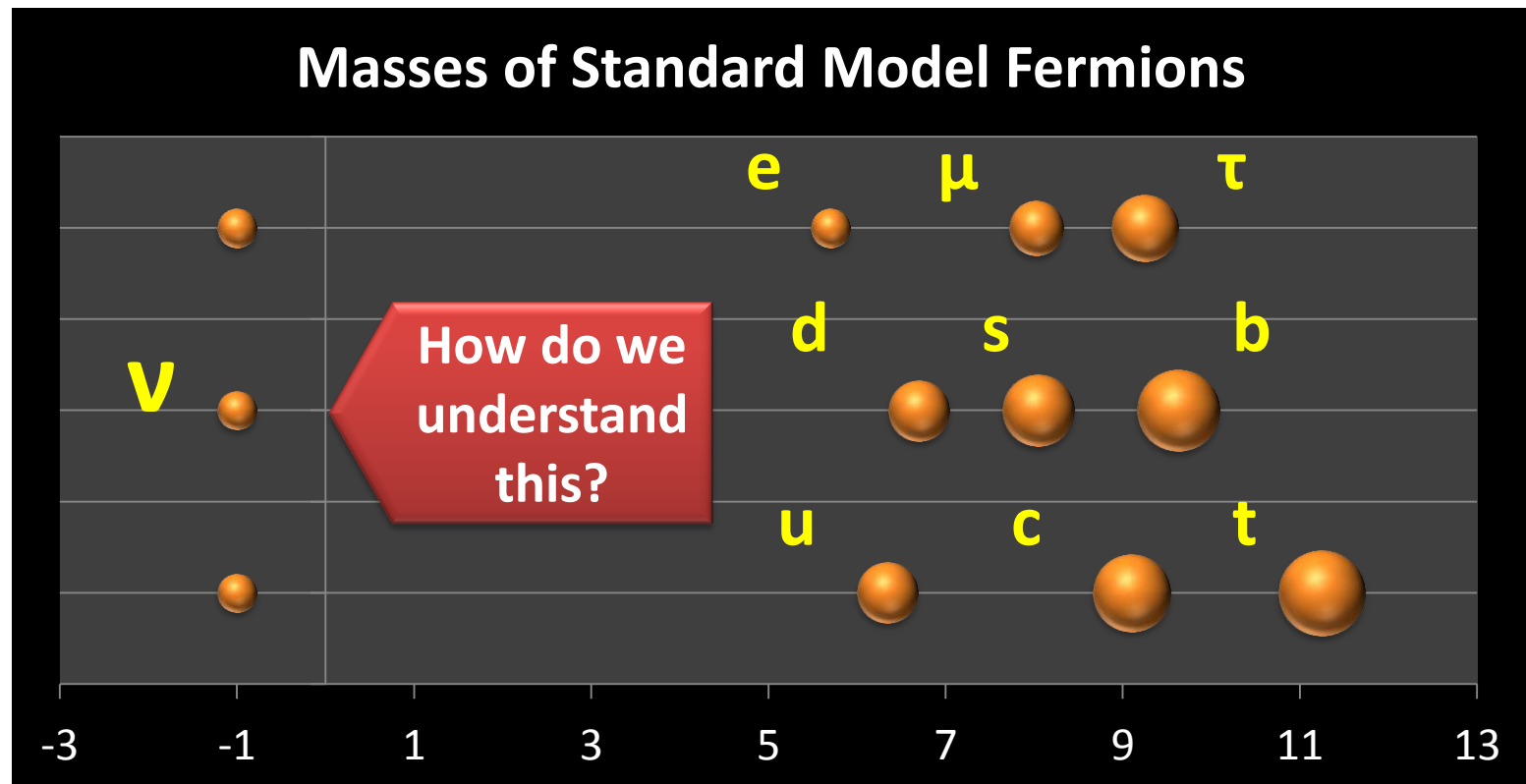
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In collaboration with **J. Barry** and **W. Rodejohann**

Based on **JHEP07 (2011) 091**

Neutrinos are **massless** in the SM as a result of the model's simple structure:

- $SU(2)_L \times U(1)_Y$ **gauge symmetry** and **Lorentz invariance**;
Fundamentals of the model, mandatory for its consistency as a QFT.
- Economical **particle content**:
No right-handed neutrinos --- a **Dirac** mass term is not allowed.
Only one Higgs doublet --- a **Majorana** mass term is not allowed.
- **Renormalizability**:
No dimension ≥ 5 operators --- a **Majorana** mass term is forbidden.



Neutrino masses: Seesaw

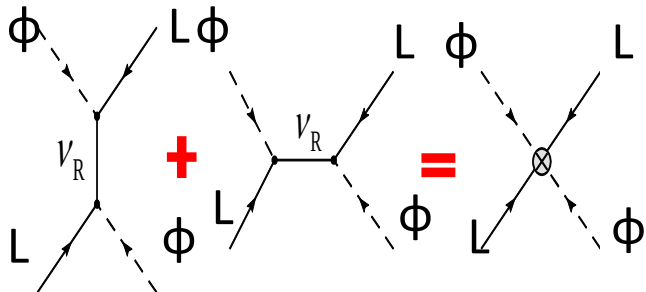
Neutrinos are Majorana particles

ν_R + Majorana & Dirac masses + seesaw

Natural description of the smallness of ν -masses

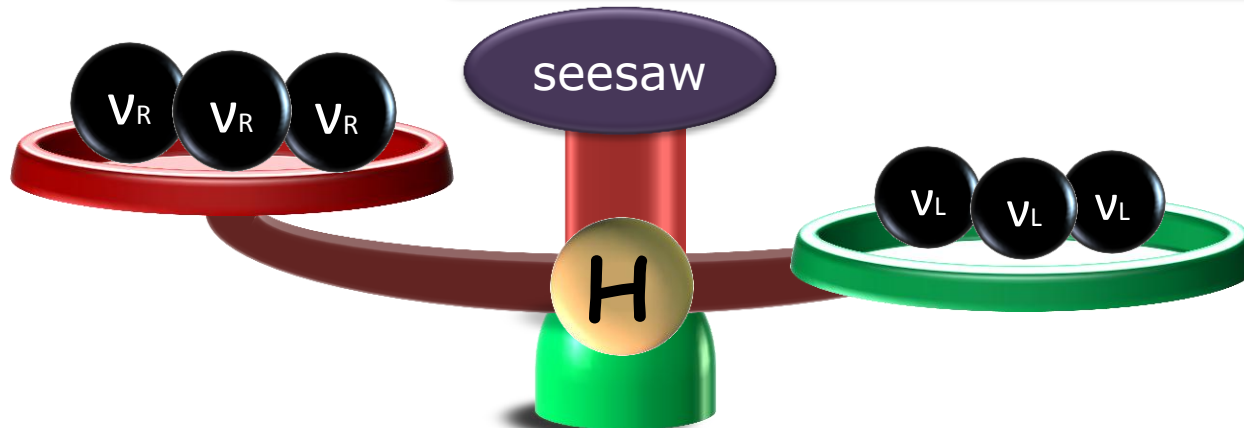
Integrate out right-handed neutrinos

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \left\{ Y \bar{L}_L \nu_R \tilde{\phi} + \left[\frac{1}{2} M_R \bar{\nu}_R \nu_R^c \right] + \text{h.c.} \right\}$$



$$-iY^T \frac{\not{p} + M_R}{p^2 - M_R^2} Y (\varepsilon_{cd} \varepsilon_{ba} + \varepsilon_{ca} \varepsilon_{bd}) P_L = iK (\varepsilon_{cd} \varepsilon_{ba} + \varepsilon_{ca} \varepsilon_{bd}) P_L$$

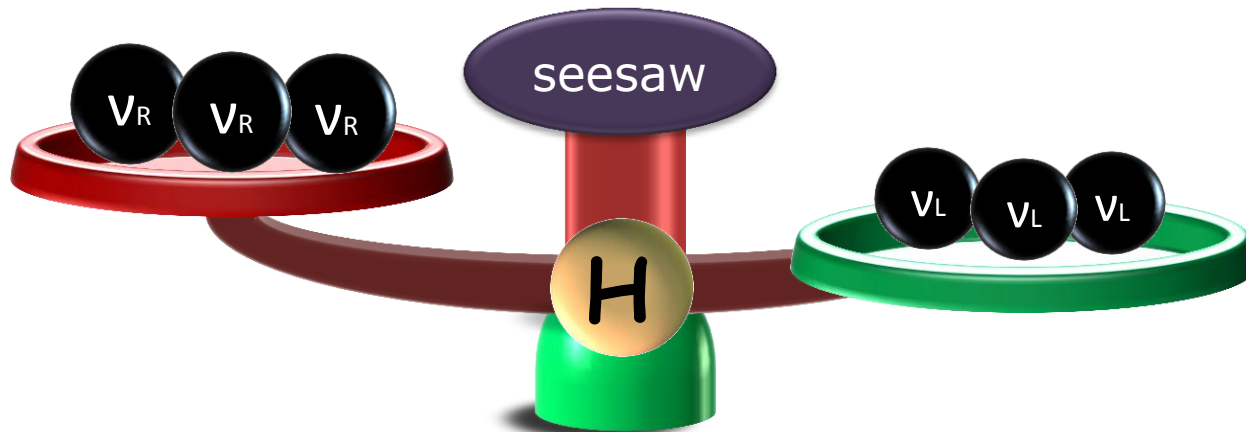
$$p^2 \ll M_R^2 \Rightarrow Y^T M_R^{-1} Y = K \Rightarrow m_\nu = -m_D^T M_R^{-1} m_D$$



Neutrino masses: Seesaw

Typical choice of the seesaw scale:

$$M_R \sim \Lambda_{\text{GUT}} \gg \Lambda_{\text{EW}} \quad \& \quad M_D \sim \Lambda_{\text{EW}}$$

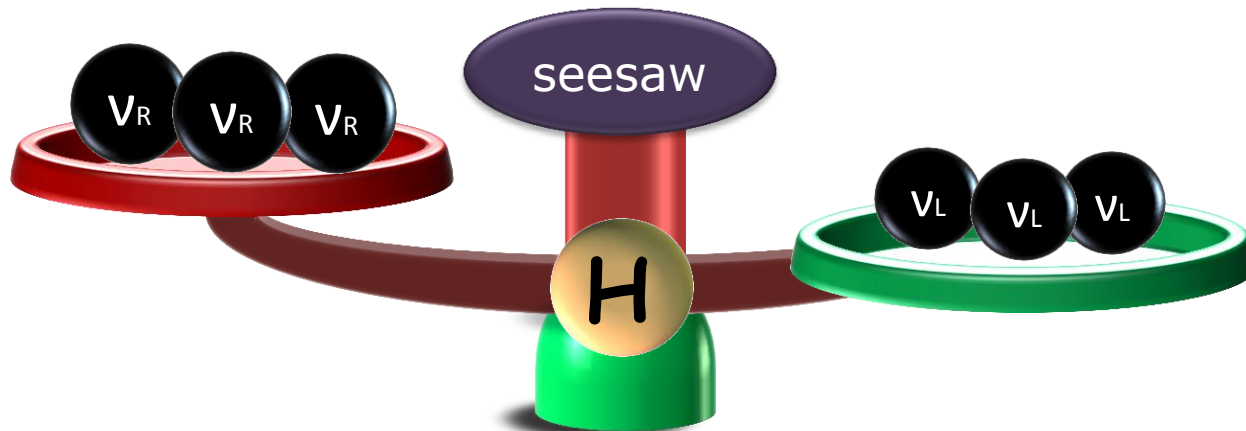


Neutrino masses: Seesaw

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Alternatively, electroweak ($M_R \sim \Lambda_{\text{EW}}$) scale or eV scale seesaw ($M_R \sim \text{eV}$) could also be nature



Neutrino masses: Seesaw

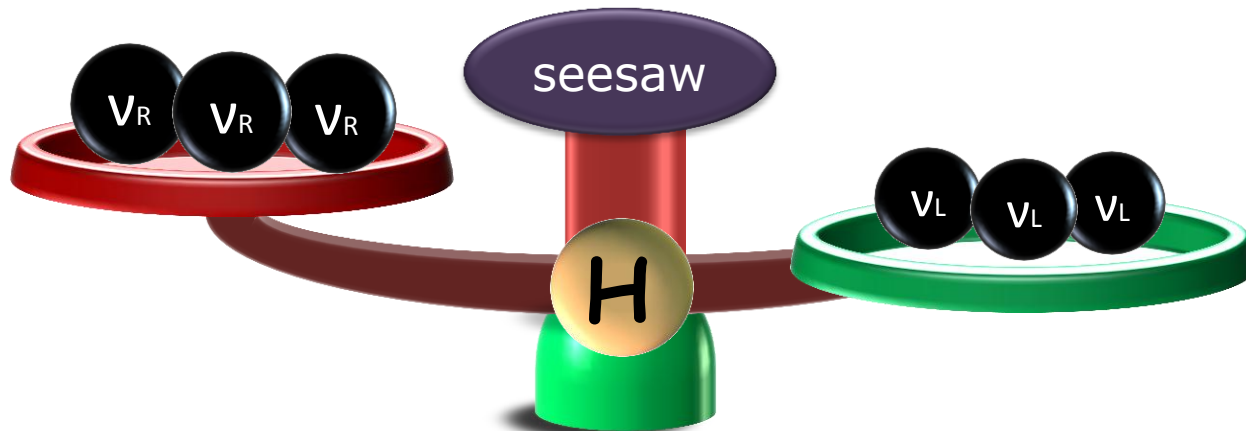
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sterile neutrinos: ν_s



Neutrino mixing matrix:

$$4 \times 4 \text{ case: } U = R_{34} \tilde{R}_{24} \tilde{R}_{14} R_{23} \tilde{R}_{13} R_{12} P$$

$$R_{34} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & c_{34} & s_{34} \\ 0 & 0 & -s_{34} & c_{34} \end{pmatrix} \quad \tilde{R}_{14} = \begin{pmatrix} c_{14} & 0 & 0 & s_{14} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -s_{14} & 0 & 0 & c_{14} \end{pmatrix}$$

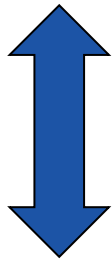
$$P = \text{diag}\left(1, e^{i\alpha/2}, e^{i(\beta/2+\delta_{13})}, e^{i(\gamma/2+\delta_{14})}\right)$$

six mixing angles + 3 Dirac phases + 3 Majorana phases

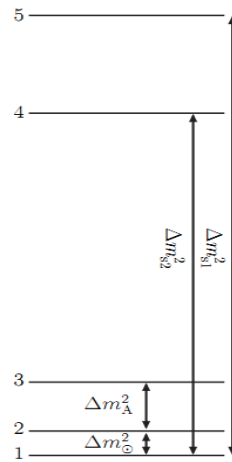
$$5 \times 5 \text{ case: } U = \tilde{R}_{25} R_{34} R_{25} \tilde{R}_{24} R_{23} \tilde{R}_{15} \tilde{R}_{14} \tilde{R}_{13} R_{12} P$$

Mass spectrum of five neutrinos

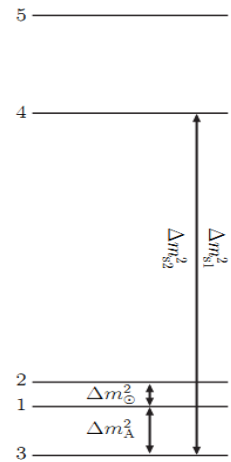
3+2 / 2+3



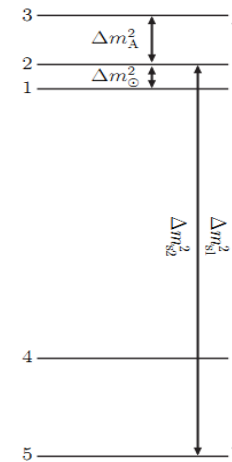
1+3+1



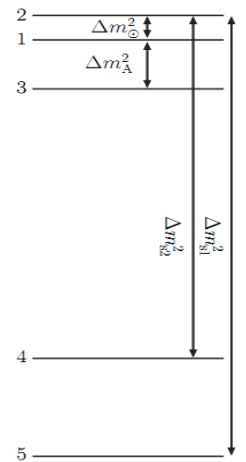
SSN



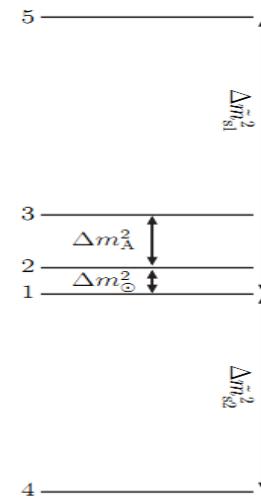
SSI



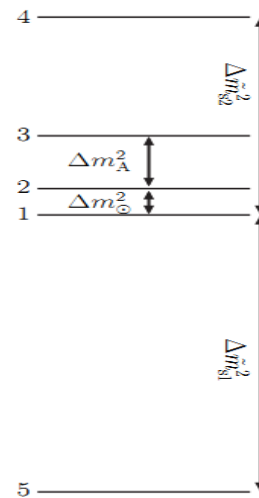
NSS



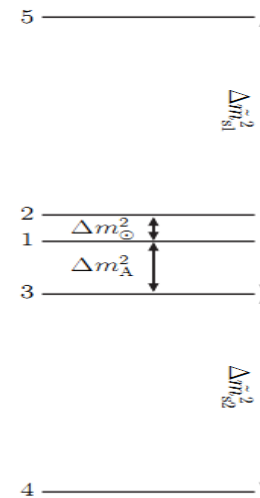
ISS



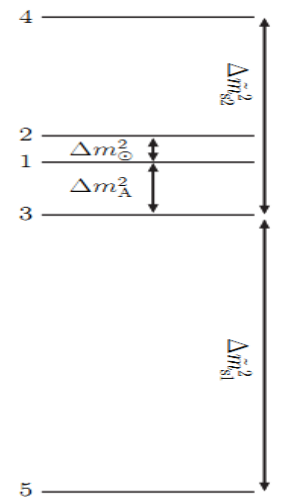
SNSa



SNSb



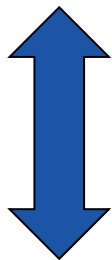
SISa



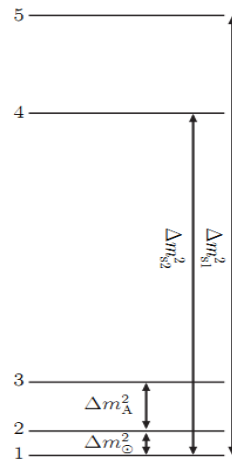
SISb

Mass spectrum of five neutrinos

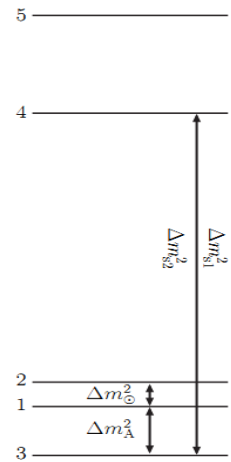
3+2 / 2+3



1+3+1



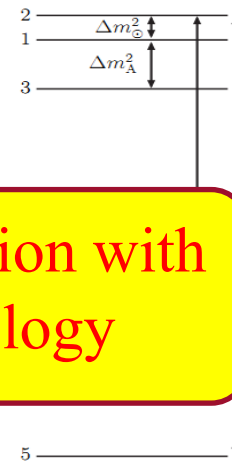
SSN



SSI

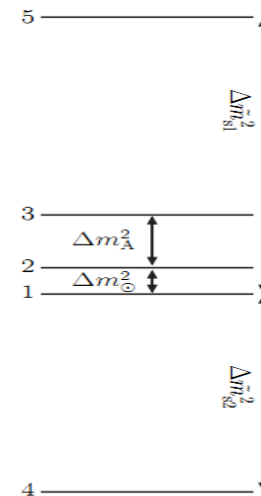


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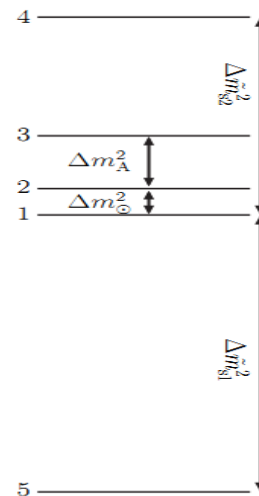


ISS

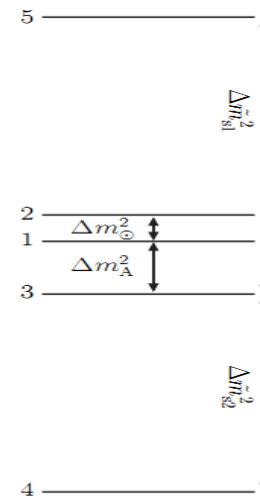
more tension with cosmology



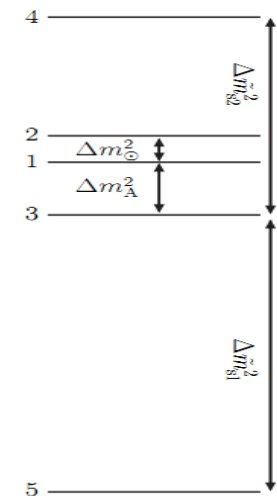
SNSa



SNSb



SISa



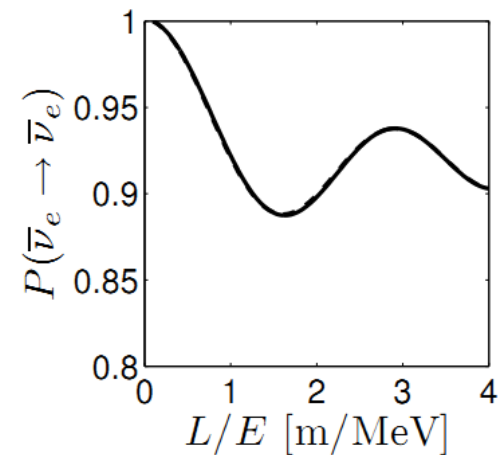
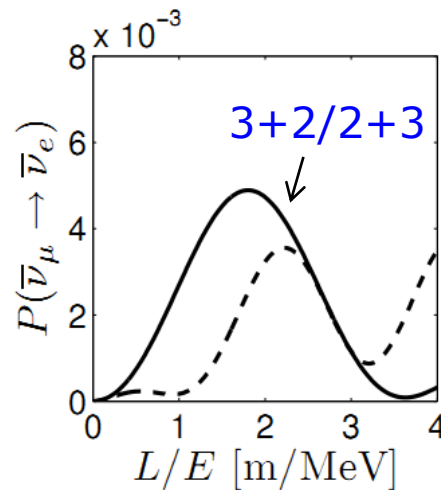
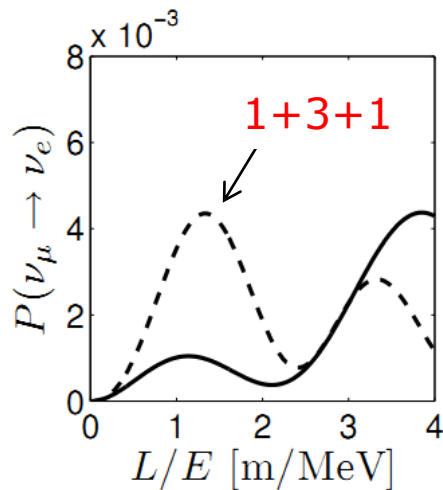
SISb

Best-fit and estimated 2σ values of the sterile neutrino parameters.

Kopp, Maltoni, Schwetz, **1103.4570**

	parameter	Δm_{41}^2 [eV]	$ U_{e4} ^2$	Δm_{51}^2 [eV]	$ U_{e5} ^2$
3+1/1+3	best-fit	1.78	0.023		
	2σ	1.61–2.01	0.006–0.040		
3+2/2+3	best-fit	0.47	0.016	0.87	0.019
	2σ	0.42–0.52	0.004–0.029	0.77–0.97	0.005–0.033
1+3+1	best-fit	0.47	0.017	0.87	0.020
	2σ	0.42–0.52	0.004–0.029	0.77–0.97	0.005–0.035

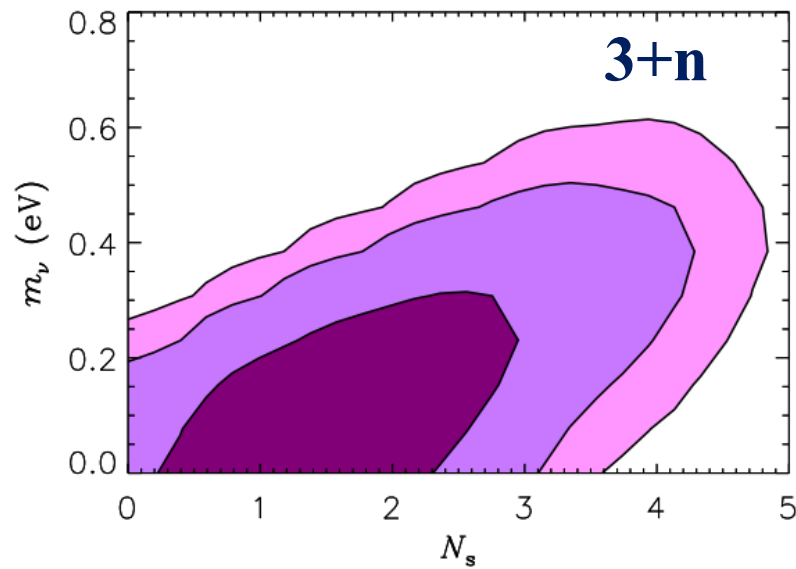
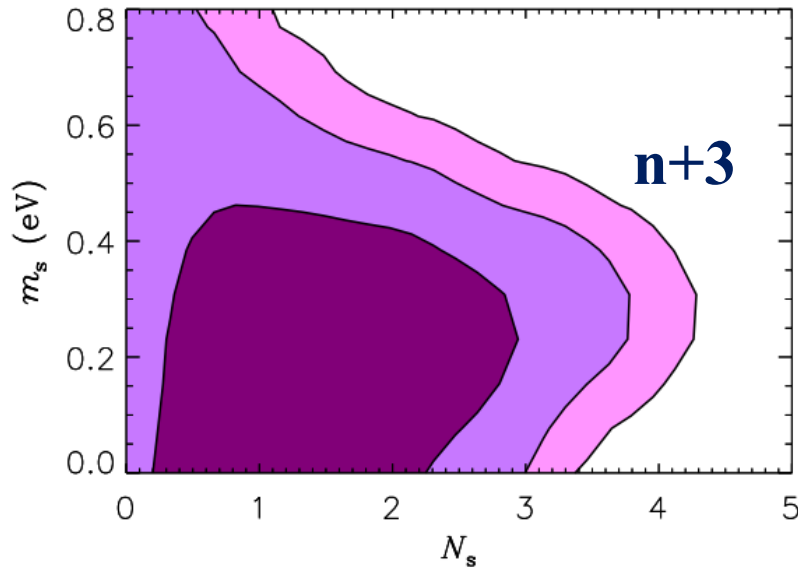
Short-baseline neutrino oscillations: 3+2/2+3 vs. 1+3+1



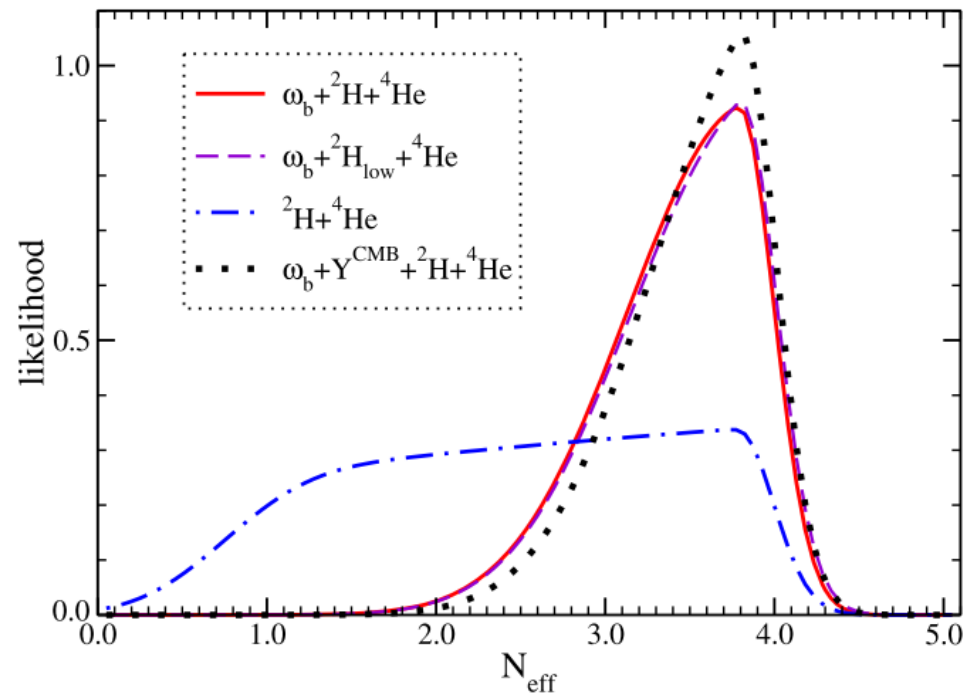
Constraints from cosmology

CMB

J. Hamann et al, arXiv:1006.5276



BBN

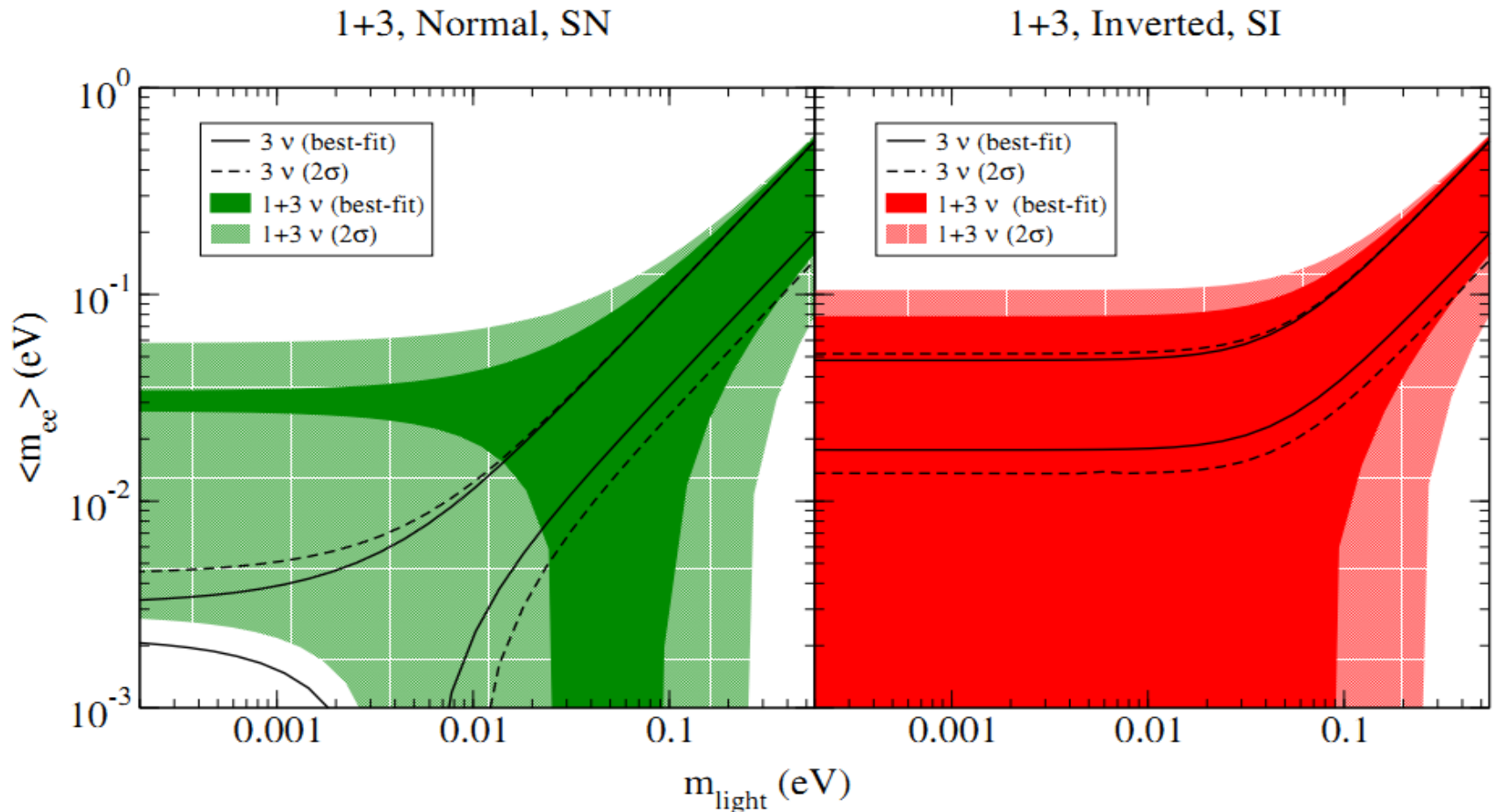


G. Mangano, P. Serpico,

arXiv:1103.1261

Neutrino-less double beta decay

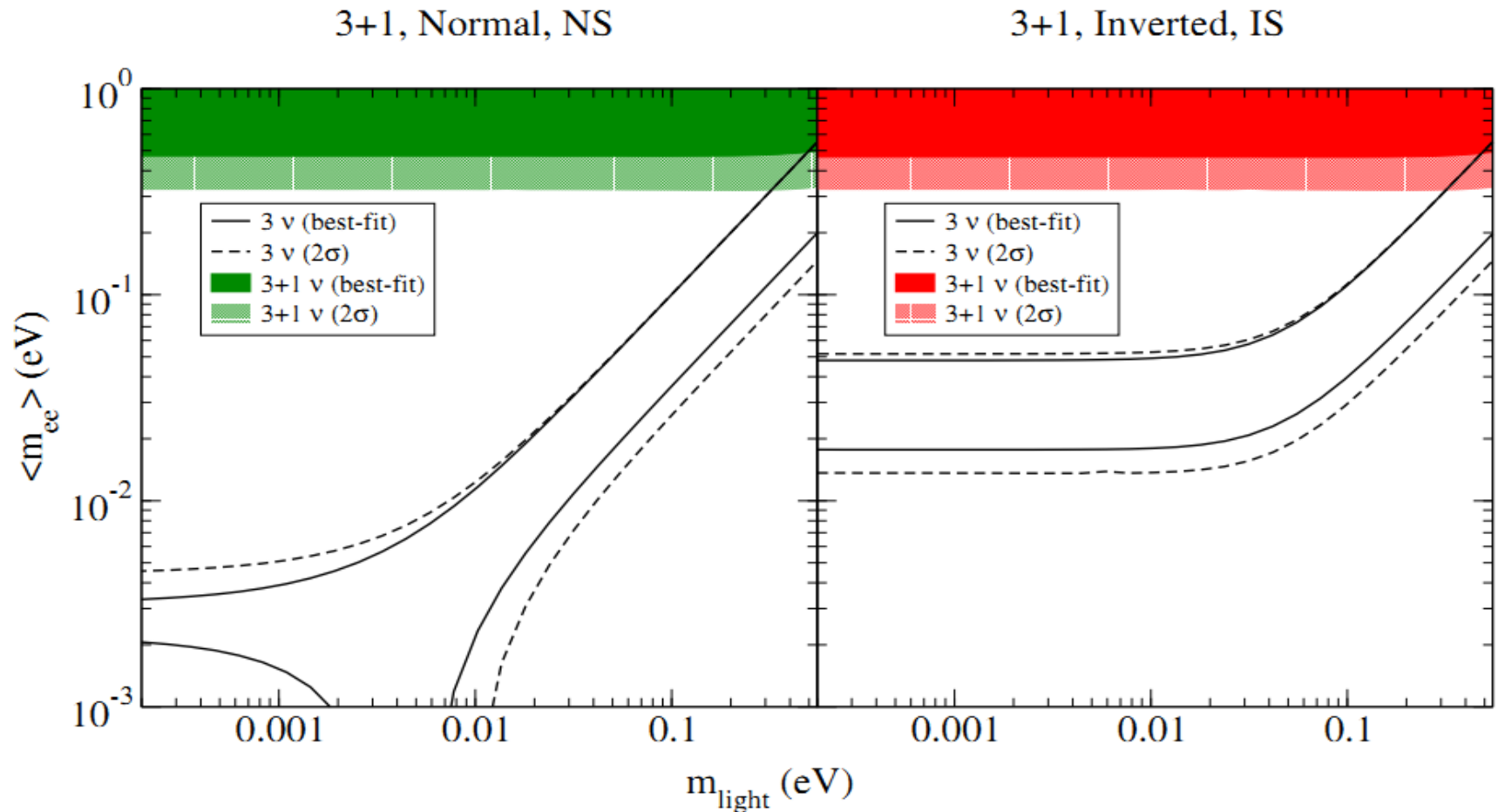
$$\langle m_{ee} \rangle_{(1+3)\nu} \simeq \left| c_{14}^2 \langle m_{ee} \rangle_{3\nu} + s_{14}^2 \sqrt{\Delta m_{41}^2} e^{i\gamma} \right|$$



The allowed ranges in the $\langle m_{ee} \rangle - m_{\text{light}}$ parameter space

Neutrino-less double beta decay

$$\langle m_{ee} \rangle_{(3+1)\nu} \simeq \sqrt{\Delta m_{41}^2} \sqrt{1 - \sin^2 2\theta_{12} \sin^2 \alpha/2}$$



The allowed ranges in the $\langle m_{ee} \rangle - m_{\text{light}}$ parameter space

How to realize **eV-scale** ν_R

talk by Mavromatos

Extra dimension theories (Kusenko, Takahashi, Yanagida, **10**)

- Splitting between the SM brane and a **hidden brane**
- Effects of right-handed neutrinos are **exponentially** suppressed since they are located on the hidden brane

$$S = \int d^4x dy M (i\bar{\Psi}\Gamma^A\partial_A\Psi + m\bar{\Psi}\Psi)$$

zero mode with an exponential profile in the bulk

$$\underline{\Psi_R^{(0)}(y, x) = \sqrt{\frac{2m}{e^{2m\ell} - 1}} \frac{1}{\sqrt{M}} e^{my} \psi_R^{(4D)}(x)}$$

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$$S = \int d^4x dy \left\{ M \left(i\bar{\Psi}_{iR}^{(0)} \Gamma^A \partial_A \Psi_{iR}^{(0)} + m_i \bar{\Psi}_{iR}^{(0)} \Psi_{iR}^{(0)} \right) + \delta(y) \left(\frac{\kappa_i}{2} v_{B-L} \bar{\Psi}_{iR}^{(0)c} \Psi_{iR}^{(0)} + \tilde{\lambda}_{i\alpha} \bar{\Psi}_{iR}^{(0)} L_\alpha \phi + \text{h.c.} \right) \right\}$$



$$M_{Ri} = \kappa_i v_{B-L} \frac{2m_i}{M(e^{2m_i \ell} - 1)} \quad \lambda_{i\alpha} = \frac{\tilde{\lambda}_{i\alpha}}{\sqrt{M}} \sqrt{\frac{2m_i}{e^{2m_i \ell} - 1}} = \tilde{\lambda}_{i\alpha} \sqrt{\frac{M_{Ri}}{\kappa_i v_{B-L}}}$$

$$(m_\nu)_{\alpha\beta} = \left(\sum_i \frac{1}{\kappa_i} \tilde{\lambda}_{i\alpha} \tilde{\lambda}_{i\beta} \right) \frac{\langle \phi^0 \rangle^2}{v_{B-L}}$$

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Flavor symmetries (Lindner, Merle, Niro, **10**)

$L_e - L_\mu - L_\tau$ symmetry:

two heavy + one massless
right-handed neutrinos

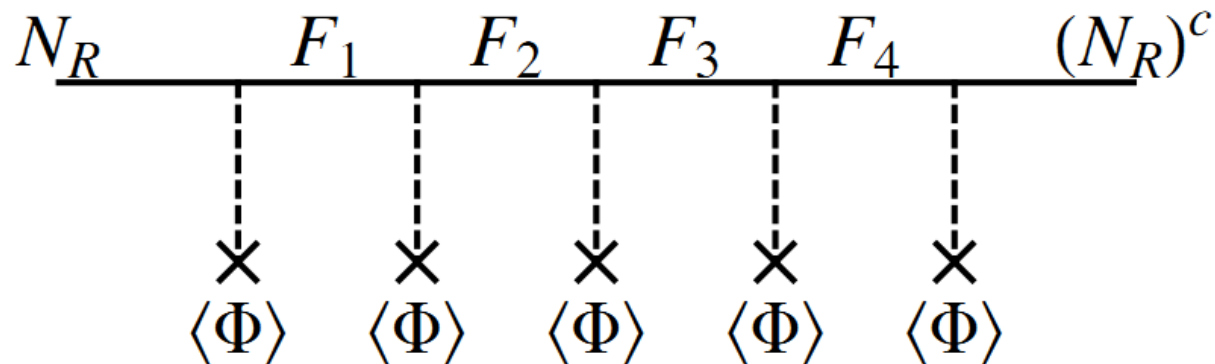
$$\mathcal{M}_\nu = \left(\begin{array}{ccc|ccc} 0 & m_L^{e\mu} & m_L^{e\tau} & m_D^{e1} & 0 & 0 \\ m_L^{e\mu} & 0 & 0 & 0 & m_D^{\mu2} & m_D^{\mu3} \\ m_L^{e\tau} & 0 & 0 & 0 & m_D^{\tau2} & m_D^{\tau3} \\ \hline m_D^{e1} & 0 & 0 & 0 & M_R^{12} & M_R^{13} \\ 0 & m_D^{\mu2} & m_D^{\tau2} & M_R^{12} & 0 & 0 \\ 0 & m_D^{\mu3} & m_D^{\tau3} & M_R^{13} & 0 & 0 \end{array} \right) \xrightarrow{\text{blue arrow}} \left(\begin{array}{cccccc} \lambda_+ & 0 & 0 & 0 & 0 & 0 \\ 0 & \lambda_- & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \Lambda_+ & 0 & 0 \\ 0 & 0 & 0 & 0 & \Lambda_- & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right)$$

light sterile
neutrino from
symmetry
breaking

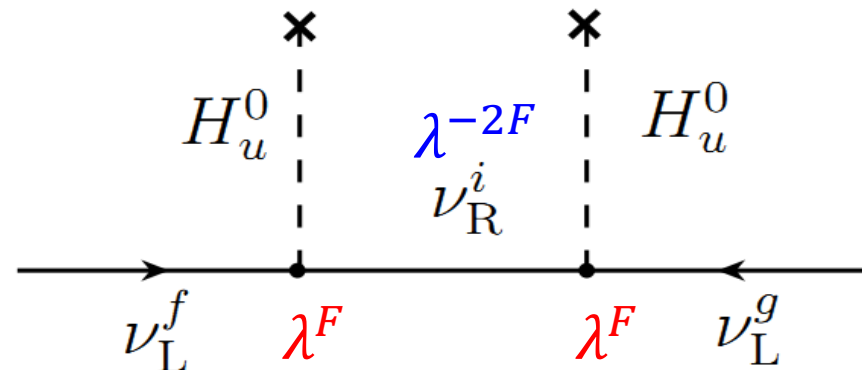
How to realize **eV-scale** ν_R

Froggatt-Nielsen mechanism

- Fermion flavors are differently charged under a $U(1)_{\text{FN}}$ symmetry
- Their masses receive a suppression factor $M \rightarrow M\lambda^F$ ($\lambda = \frac{\langle\phi\rangle}{\Lambda} < 1$)



- Neutrino masses are not affected by the FN charges



ν_s in flavor symmetry models: A_4 + FN mechanism

Field	L	e^c	μ^c	τ^c	$h_{u,d}$	φ	φ'	ξ	ν_s
$SU(2)_L$	2	1	1	1	2	1	1	1	1
A_4	$\underline{3}$	$\underline{1}$	$\underline{1}''$	$\underline{1}'$	$\underline{1}$	$\underline{3}$	$\underline{3}$	$\underline{1}$	$\underline{1}$
Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1
$U(1)_{FN}$	—	3	1	0	—	—	—	—	6

Barry,
Rodejohann, **HZ**,
JHEP07(2011)091

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Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1
$U(1)_{FN}$	—	3	1	0	—	—	—	—	6

Barry,
Rodejohann, **HZ**,
JHEP07(2011)091

$$\begin{aligned}
 \mathcal{L}_Y &= \frac{y_e}{\Lambda} e^c (\varphi L) h_d + \frac{y_\mu}{\Lambda} \mu^c (\varphi L)' h_d + \frac{y_\tau}{\Lambda} \tau^c (\varphi L)'' h_d \\
 &\quad + \frac{x_a}{\Lambda^2} \xi (L h_u L h_u) + \frac{x_d}{\Lambda^2} (\varphi' L h_u L h_u) + \text{h.c.} + \dots \\
 \mathcal{L}_{Y_s} &= \frac{x_e}{\Lambda^2} \xi (\varphi' L h_u) \nu_s + \frac{x_f}{\Lambda^2} (\varphi' \varphi' L h_u) \nu_s + m_s \nu_s^c \nu_s^c + \text{h.c.}
 \end{aligned}$$

ν_s in flavor symmetry models: A_4 + FN mechanism

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Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1
$U(1)_{FN}$	—	3	1	0	—	—	—	—	6

Barry,
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$$\begin{aligned}\mathcal{L}_Y &= \frac{y_e}{\Lambda} e^c (\varphi L) h_d + \frac{y_\mu}{\Lambda} \mu^c (\varphi L)' h_d + \frac{y_\tau}{\Lambda} \tau^c (\varphi L)'' h_d \\ &\quad + \frac{x_a}{\Lambda^2} \xi (L h_u L h_u) + \frac{x_d}{\Lambda^2} (\varphi' L h_u L h_u) + \text{h.c.} + \dots \\ \mathcal{L}_{Y_s} &= \frac{x_e}{\Lambda^2} \xi (\varphi' L h_u) \nu_s + \frac{x_f}{\Lambda^2} (\varphi' \varphi' L h_u) \nu_s + m_s \nu_s^c \nu_s^c + \text{h.c.}\end{aligned}$$

Assuming the flavon
VEV alignments

$$\begin{aligned}\langle \xi \rangle &= u \\ \langle \varphi \rangle &= (v, 0, 0) \\ \langle \varphi' \rangle &= (v', v', v')\end{aligned}$$



$$M_\nu^{4 \times 4} = \begin{pmatrix} a + \frac{2d}{3} & -\frac{d}{3} & -\frac{d}{3} & e \\ \cdot & \frac{2d}{3} & a - \frac{d}{3} & e \\ \cdot & \cdot & \frac{2d}{3} & e \\ \cdot & \cdot & \cdot & m_s \end{pmatrix} \quad \left| \begin{aligned} a &= 2x_a \frac{uv_u^2}{\Lambda^2} \\ d &= 2x_d \frac{v'v_u^2}{\Lambda^2} \\ e &= \sqrt{2}x_e \frac{uv'v_u}{\Lambda^2} \end{aligned} \right.$$

active and sterile neutrino masses

$$m_1 = a + d, \quad m_2 = a - \frac{3e^2}{m_s}, \quad m_3 = -a + d, \quad m_4 = m_s + \frac{3e^2}{m_s}$$

Numerical example: assuming Yukawa couplings are of order 1 and $\lambda = 10^{-1.5} \cong 0.03$

$$m_s \simeq 10^{0.5} \left(\frac{\lambda}{10^{-1.5}} \right)^{12} \left(\frac{v}{10^{11} \text{ GeV}} \right)^2 \left(\frac{10^{12.5} \text{ GeV}}{\Lambda} \right) \text{ eV}$$

$$a \sim d \simeq 0.1 \left(\frac{u}{10^{11} \text{ GeV}} \right) \left(\frac{v_u}{10^2 \text{ GeV}} \right)^2 \left(\frac{10^{12.5} \text{ GeV}}{\Lambda} \right)^2 \text{ eV},$$

$$e \simeq 0.1 \left(\frac{\lambda}{10^{-1.5}} \right)^6 \left(\frac{u}{10^{11} \text{ GeV}} \right) \left(\frac{v'}{10^{11} \text{ GeV}} \right) \left(\frac{v_u}{10^2 \text{ GeV}} \right) \left(\frac{10^{12.5} \text{ GeV}}{\Lambda} \right)^2 \text{ eV}$$

active and sterile neutrino masses



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- Charged-lepton mass hierarchy: different FN changes of e_R, μ_R, τ_R
- Extension to the **3+2** case: simply add more singlet neutrinos

Neutrino mixing matrix

$$U \simeq \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 & \frac{e}{m_s} \\ 0 & 0 & 0 & \frac{e}{m_s} \\ 0 & 0 & 0 & \frac{e}{m_s} \\ 0 & -\frac{\sqrt{3}e}{m_s} & 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & -\frac{\sqrt{3}e^2}{2m_s^2} & 0 & 0 \\ 0 & -\frac{\sqrt{3}e^2}{2m_s^2} & 0 & 0 \\ 0 & -\frac{\sqrt{3}e^2}{2m_s^2} & 0 & 0 \\ 0 & 0 & 0 & -\frac{3e^2}{2m_s^2} \end{pmatrix}$$

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Exact **tri-bimaximal** mixing pattern

Neutrino mixing matrix

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Exact **tri-bimaximal** mixing pattern + sterile neutrino corrections

Neutrino mixing matrix

$$U \simeq \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 & \frac{e}{m_s} \\ 0 & 0 & 0 & \frac{e}{m_s} \\ 0 & 0 & 0 & \frac{e}{m_s} \\ 0 & -\frac{\sqrt{3}e}{m_s} & 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & -\frac{\sqrt{3}e^2}{2m_s^2} & 0 & 0 \\ 0 & -\frac{\sqrt{3}e^2}{2m_s^2} & 0 & 0 \\ 0 & -\frac{\sqrt{3}e^2}{2m_s^2} & 0 & 0 \\ 0 & 0 & 0 & -\frac{3e^2}{2m_s^2} \end{pmatrix}$$


Exact **tri-bimaximal** mixing pattern + sterile neutrino corrections

$$\sin^2 \theta_{12} = \frac{|U_{e2}|^2}{1 - |U_{e4}|^2} \simeq \frac{1}{3} \left[1 - 2 \left(\frac{e}{m_s} \right)^2 \right],$$

$$\sin^2 \theta_{23} = \frac{|U_{\mu 3}|^2 (1 - |U_{e4}|^2)}{1 - |U_{e4}|^2 - |U_{\mu 4}|^2} \simeq \frac{1}{2} \left[1 + \left(\frac{e}{m_s} \right)^2 \right]$$

$$\sin^2 \theta_{14} \simeq \sin^2 \theta_{24} \simeq \sin^2 \theta_{34} \simeq \left(\frac{e}{m_s} \right)^2 \simeq \frac{1}{2} (1 - 3 \sin^2 \theta_{12}) \simeq 2 \sin^2 \theta_{23} - 1$$

Neutrino mixing matrix

$$U \simeq \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 & \frac{e}{m_s} \\ 0 & 0 & 0 & \frac{e}{m_s} \\ 0 & 0 & 0 & \frac{e}{m_s} \\ 0 & -\frac{\sqrt{3}e}{m_s} & 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & -\frac{\sqrt{3}e^2}{2m_s^2} & 0 & 0 \\ 0 & -\frac{\sqrt{3}e^2}{2m_s^2} & 0 & 0 \\ 0 & -\frac{\sqrt{3}e^2}{2m_s^2} & 0 & 0 \\ 0 & 0 & 0 & -\frac{3e^2}{2m_s^2} \end{pmatrix}$$

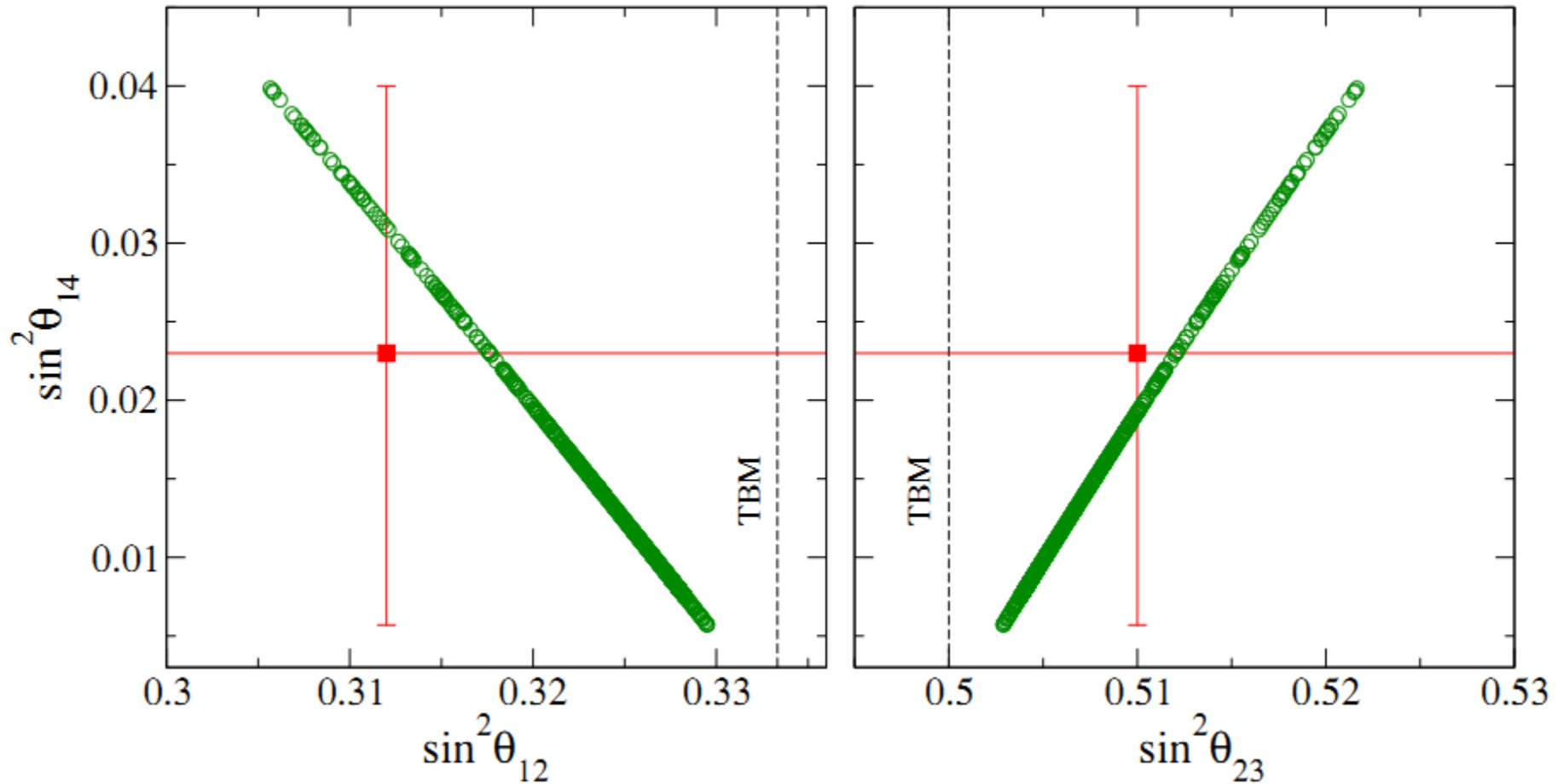

Exact **tri-bimaximal** mixing pattern + sterile neutrino corrections

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Connections between mixing angles



Light sterile neutrinos in seesaw models

sterile neutrinos from **type-I seesaw**

Model A: three eV-scale sterile neutrinos.

No neutrinoless double beta decay

More tension with cosmology

Model B: 1eV + 1keV + 1heavy sterile neutrinos

Neutrinoless double beta decay

Need to understand the mass splitting

*Candidate for keV **WDM***

Model C: 1eV + 2heavy (>GeV) sterile neutrinos

Neutrinoless double beta decay

Successful leptogenesis

Model D: 1keV + 2heavy (>GeV) sterile neutrinos (**ν MSM**)

*Both baryon asymmetry and Warm Dark Matter puzzles
can be solved*

Failed in explaining the reactor anomaly

Minimal extended type-I seesaw

Barry, Rodejohann, HZ, 11

The model: **SM** + three right-handed neutrinos + one singlet ***S***

$$- \mathcal{L}_m = \overline{\nu}_L M_D \nu_R + \overline{S^c} M_S \nu_R + \frac{1}{2} \overline{\nu_R^c} M_R \nu_R + \text{h.c.}$$

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$$M_\nu^{7 \times 7} = \begin{pmatrix} 0 & M_D & 0 \\ M_D^T & M_R & M_S^T \\ 0 & M_S & 0 \end{pmatrix}$$

- The full 7×7 neutrino mass matrix is of rank 6, and therefore, one active neutrino is massless.

$$m_\nu \simeq M_D M_R^{-1} M_S^T (M_S M_R^{-1} M_S^T)^{-1} M_S (M_R^{-1})^T M_D^T - M_D M_R^{-1} M_D^T$$
$$m_s \simeq -M_S M_R^{-1} M_S^T$$

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$$m_s \simeq -M_S M_R^{-1} M_S^T$$

$$M_D \sim 100 \text{ GeV};$$

$$M_S \sim 500 \text{ GeV}; \quad M_R \sim 2 \times 10^{14} \text{ GeV}$$



$$m_\nu \sim 0.05 \text{ eV};$$

$$m_s \sim \mathbf{1.3 \text{ eV}}; \quad U_{e4} \sim \mathbf{0.2}$$

- No need to artificially insert small mass scales and tiny Yukawa couplings for light neutrino masses.
- Thermal leptogenesis works.
- Only **one** singlet *S* is allowed (minimal extension).

Minimal extended type-I seesaw

Barry, Rodejohann, **HZ**, **11**

The model: **SM** + three right-handed neutrinos + one singlet **S**

$$- \mathcal{L}_m = \overline{\nu}_L M_D \nu_R + \overline{S}^c M_S \nu_R + \frac{1}{2} \overline{\nu}_R^c M_R \nu_R + \text{h.c.}$$

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$$m_\nu \simeq M_D M_R^{-1} M_S^T (M_S M_R^{-1} M_S^T)^{-1} M_S (M_R^{-1})^T M_D^T - M_D M_R^{-1} M_D^T$$
$$m_s \simeq -M_S M_R^{-1} M_S^T$$

A similar idea was used with a sterile state of mass $\sim 10^{-3}$ eV introduced in order to explain the solar neutrino problem
(Chun, Joshipura, Smirnov, **95**)

Summary

1. The presence of **light** sterile neutrinos could significantly change both the short-baseline neutrino oscillation experiments and the **effective mass** measured in neutrino-less double beta decays.
2. We found that light sterile neutrinos can be naturally embedded into **flavor symmetry** models, e.g., A_4 . In general, the admixture between active and sterile neutrinos leads to the deviation from the exact constant (e.g., **tri-bimaximal**) mixing pattern.
3. A **minimal extended** type-I seesaw model is presented, in which, without the need of introducing tiny Yukawa couplings, the smallness of sterile neutrino masses is ascribed to the existence of heavy singlet neutrinos, whereas the mixing between active and sterile neutrinos could still be sizable.

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Thanks