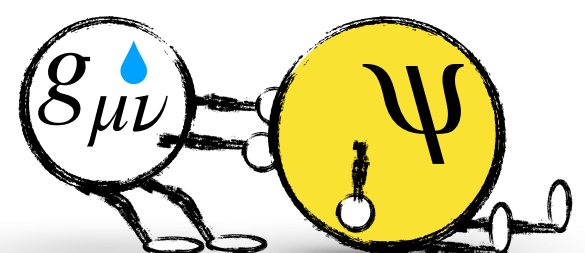


# Early universe cosmology of Yukawa interactions and primordial black holes (?)

Based on [2104.05271 & 2304.13053]  
with: D. Inman, A. Kusenko & M. Sasaki

by **Guillem Domènech**  
(ITP Hannover)

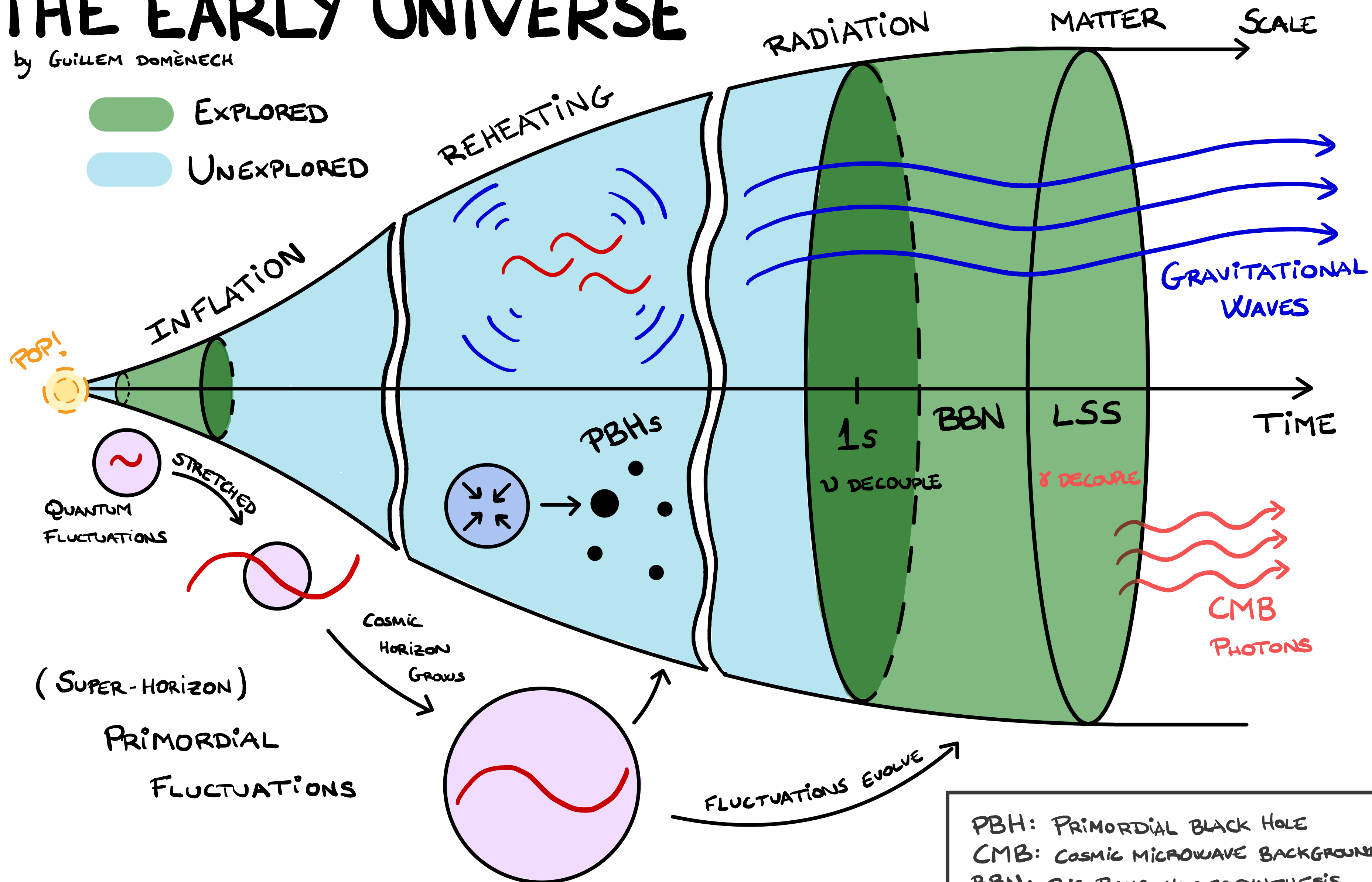
Seminar at MPIK, Heidelberg,  
June 17th, 2024



# THE EARLY UNIVERSE

by GUILLEM DOMÈNECH

EXPLORED  
UNEXPLORED



PBH: PRIMORDIAL BLACK HOLE  
CMB: COSMIC MICROWAVE BACKGROUND  
BBN: BIG BANG NUCLEOSYNTHESIS  
LSS: LAST SCATTERING SURFACE

# Why?

---

General (important) questions:

- Can we probe the physics during & after **cosmic inflation**?
- What is **dark matter**? Particles or (Primordial) **Black Holes**?
- (New) **gravitational waves probes**: all LIGO/VIRGO BHs astrophysical? PTAs?
- DM physics seeds of supermassive black holes? Early galaxies?
- How to test new cosmology and beyond SM physics in the very early universe?

# My motivation: Primordial black holes are nice!

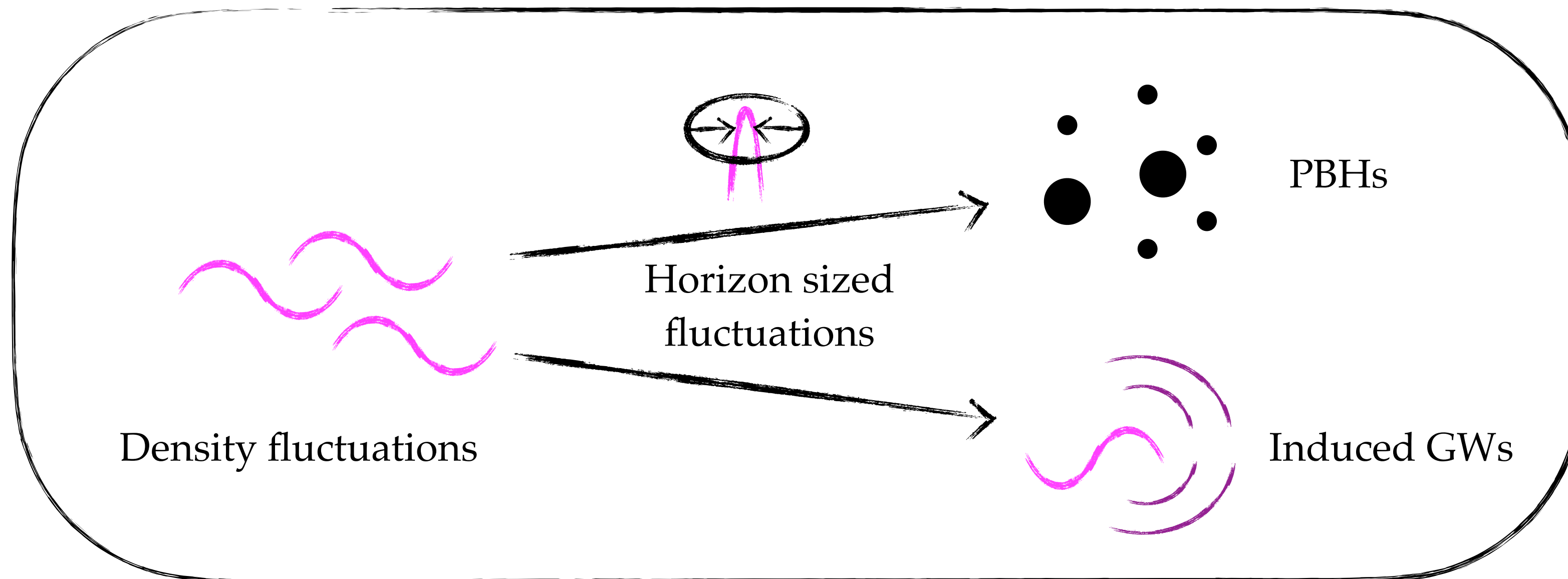
Most common mechanism: **collapse of large primordial fluctuations**

[Carr & Hawking 1974]

Connection to (or test of)  
cosmic inflation

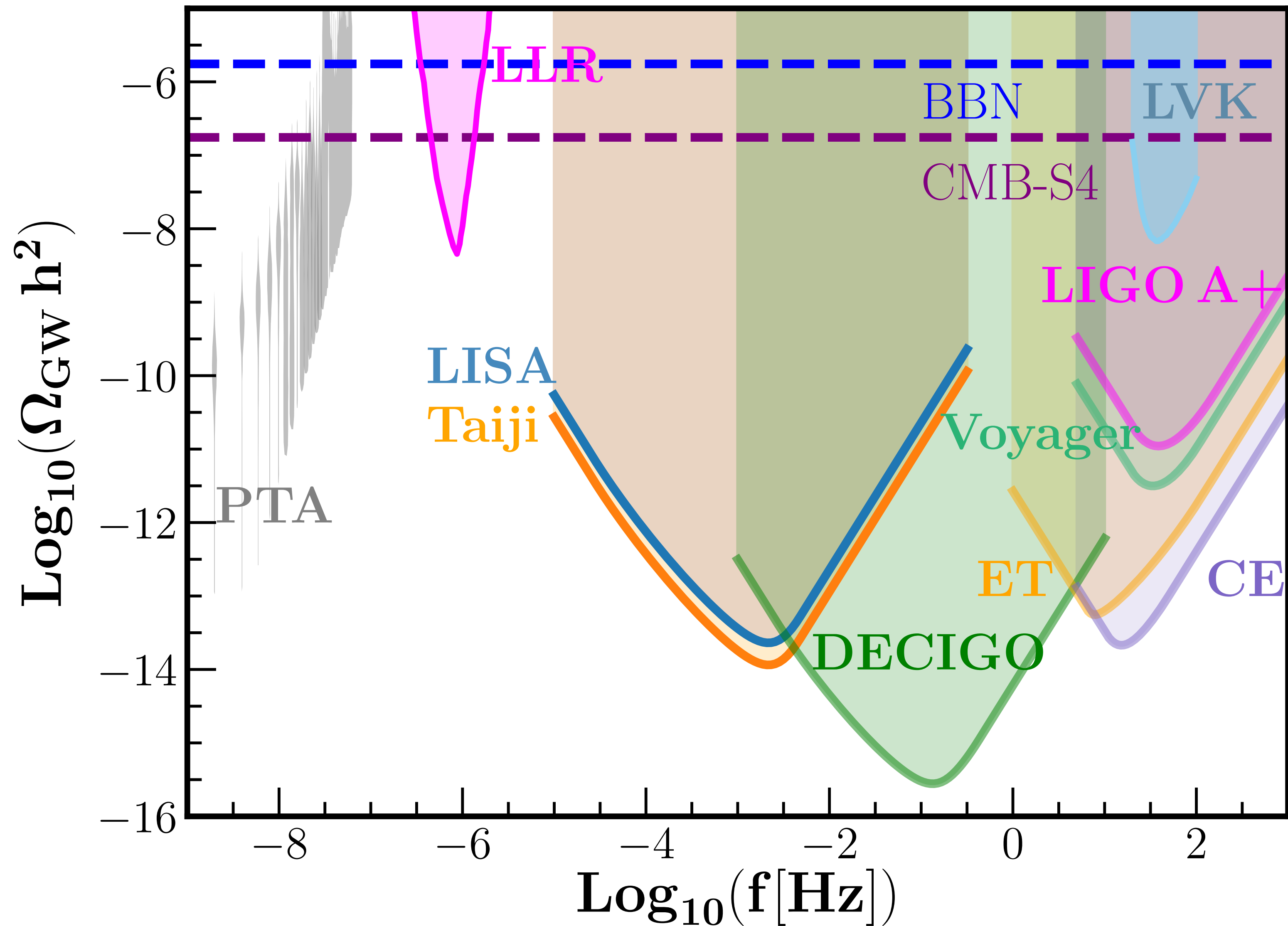
Predicts (nice) observable  
GW signal (induced GWs)

[Review: Domènech 2109.01398]

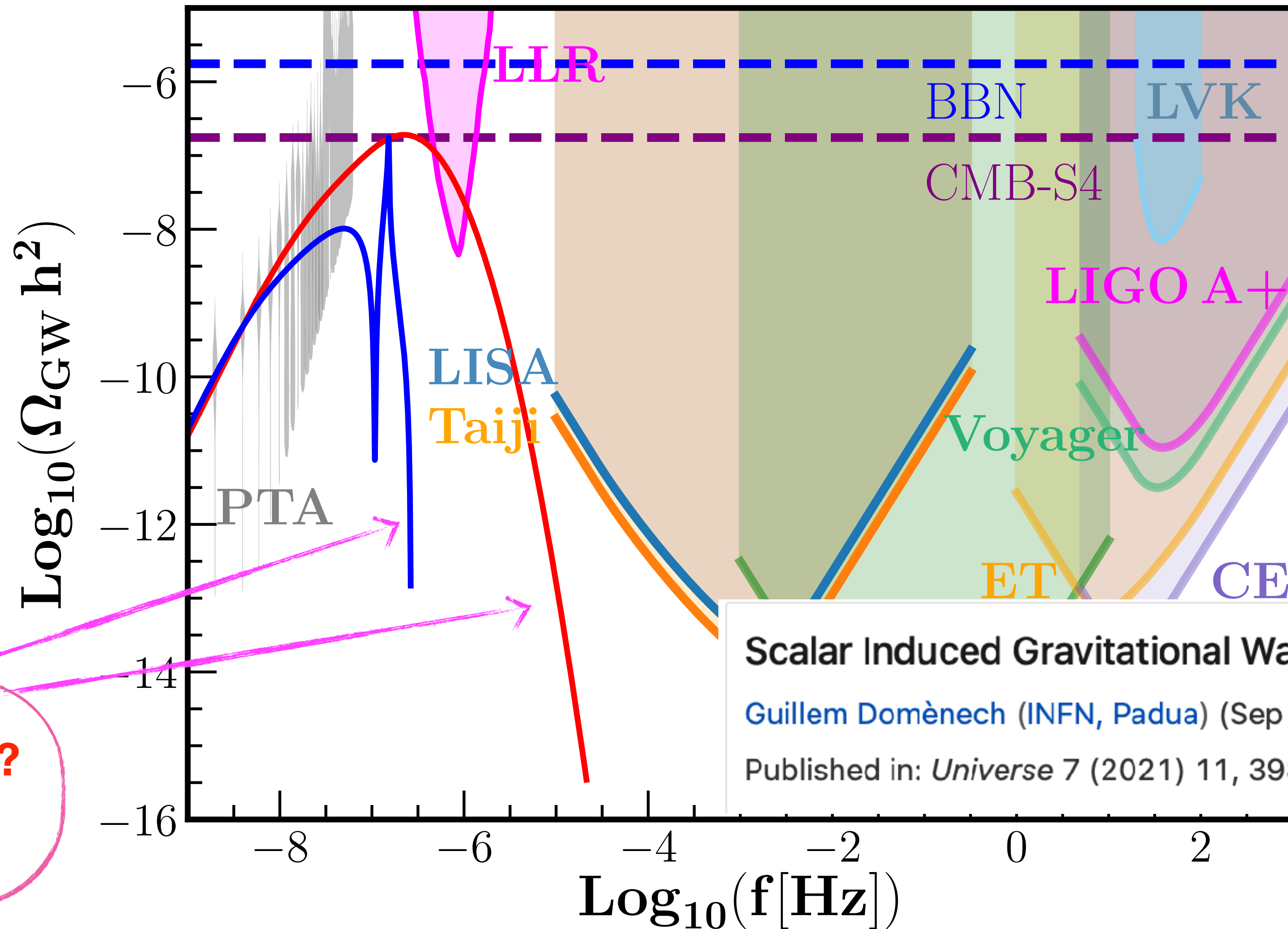




# Exciting evidence from PTA!



# Exciting evidence from PTA!



Induced GWs?  
PBHs?

# My motivation: Primordial black holes are nice!

BUT:

- What if GW signal is not as expected?
- Other mechanisms to form BH in early universe?  
Astrophysical-like channels?

**Yukawa forces can be  
MUCH stronger than  
gravity!**

[Amendola, Rubio & Wetterich: 1711.09915]

[Flores & Kusenko: 2008.12456]

## Primordial black holes from fifth forces

Luca Amendola,<sup>1,\*</sup> Javier Rubio,<sup>1,†</sup> and Christof Wetterich<sup>1,‡</sup>

<sup>1</sup>*Institut für Theoretische Physik, Ruprecht-Karls-Universität Heidelberg,  
Philosophenweg 16, 69120 Heidelberg, Germany*

Primordial black holes can be produced by a long range attractive fifth force stronger than gravity, mediated by a light scalar field interacting with nonrelativistic “heavy” particles. As soon as the energy fraction of heavy particles reaches a threshold, the fluctuations rapidly become nonlinear. The overdensities collapse into black holes or similar screened objects, without the need for any particular feature in the spectrum of primordial density fluctuations generated during inflation. We discuss whether such primordial black holes can constitute the total dark matter component in the Universe.

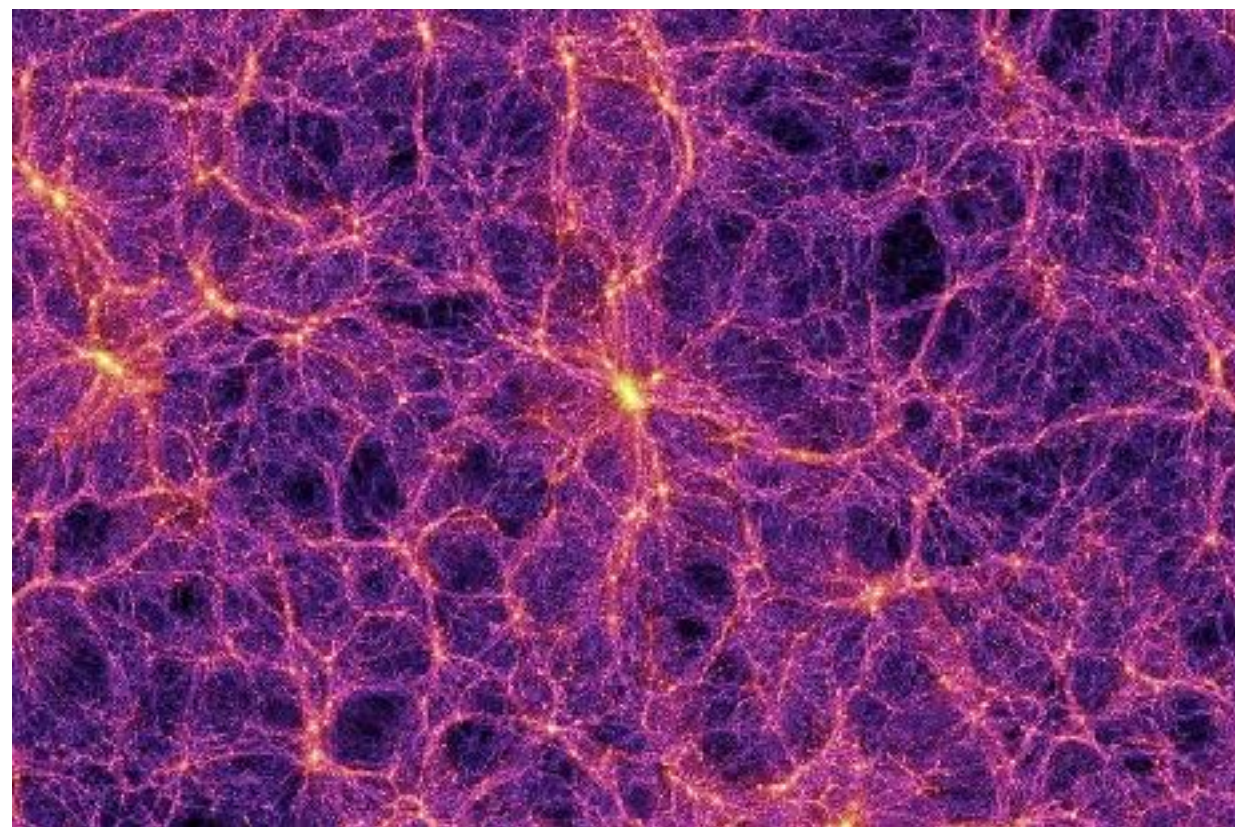


# Main message (& spoiler)

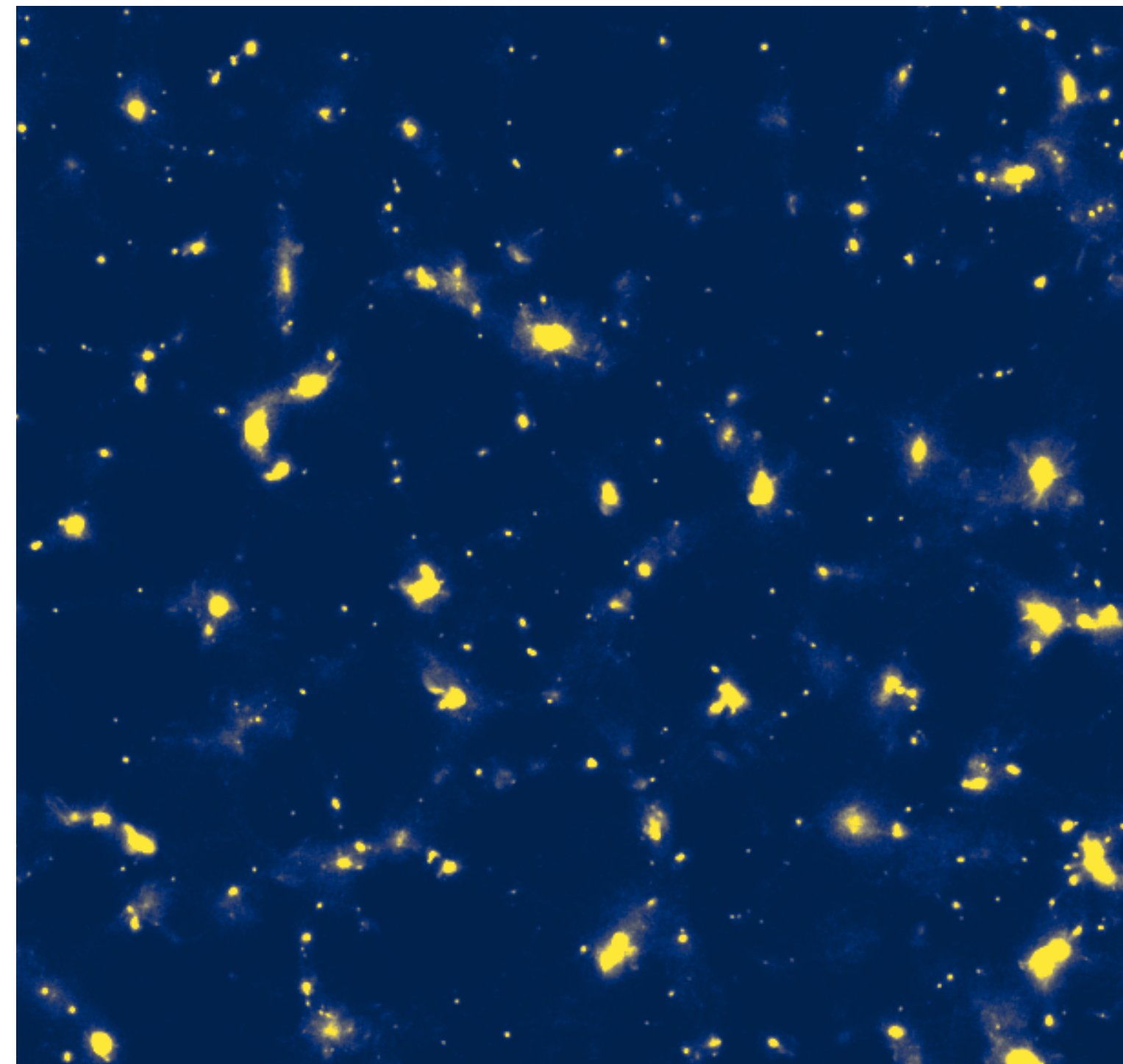
*“Yukawa forces can efficiently form structures  
in the very early universe”*



Compare with the  
“cosmic web”



Springel et al. (Virgo Consortium)



All credit to D. Inman

Yellow dots are lumps of  
non-relativistic fermions  
hold by Yukawa forces!

**Implications for DM and early  
universe cosmology?**

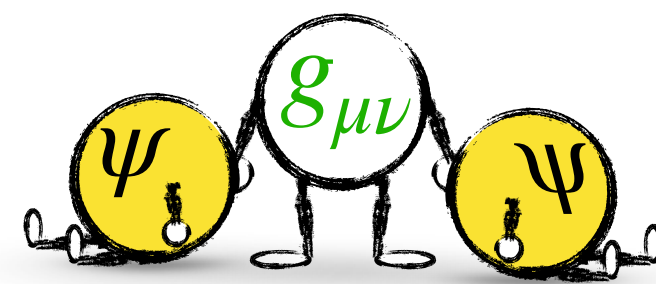




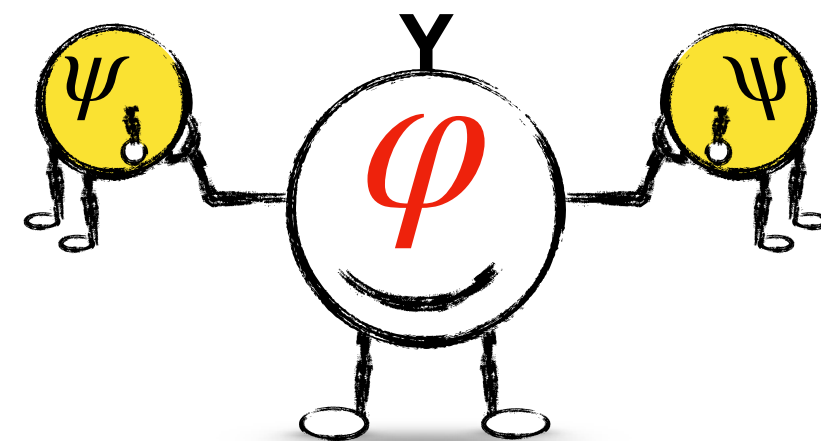
# Yukawa vs Gravity: particle interaction

Take 2 fermions with mass  $m_\psi$  with Yukawa interaction  $y$

$$F_{\text{gravity}} \sim \frac{Gm_\psi^2}{r^2}$$



$$F_{\text{yukawa}} \sim \frac{y^2}{r^2} e^{-r\ell}$$



$$\frac{F_{\text{yukawa}}}{F_{\text{gravity}}} \sim \frac{y^2 M_{\text{pl}}^2}{m_\psi^2} = \beta^2$$

**This can be in general (always?)  
very large!**

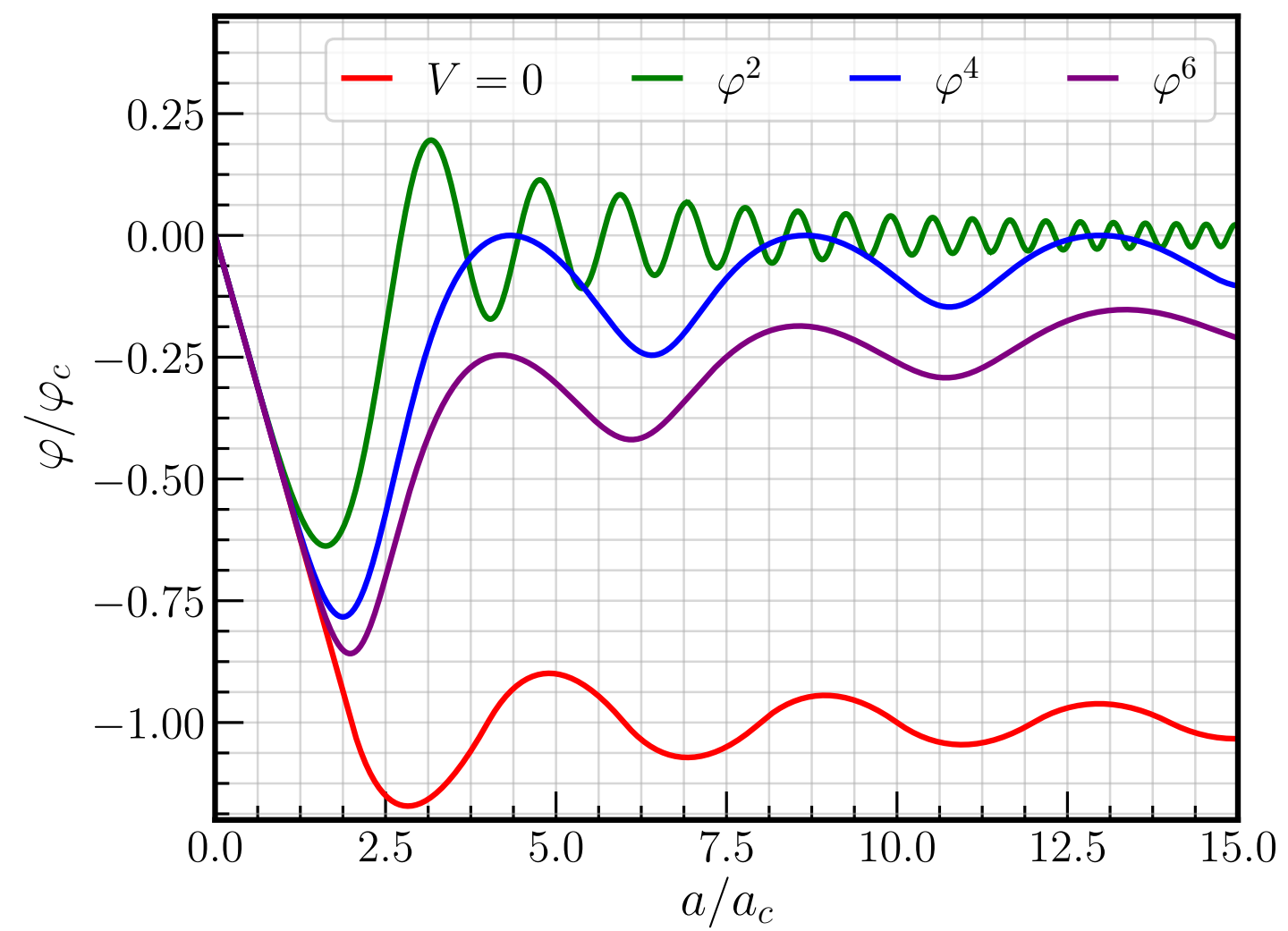
**At some point in the past Yukawa force was long range:  $\ell \gg H^{-1}$**

$$H^{-1} = 10^{-4} \text{ cm} \left( \frac{T}{10^4 \text{ GeV}} \right)^{-2}$$

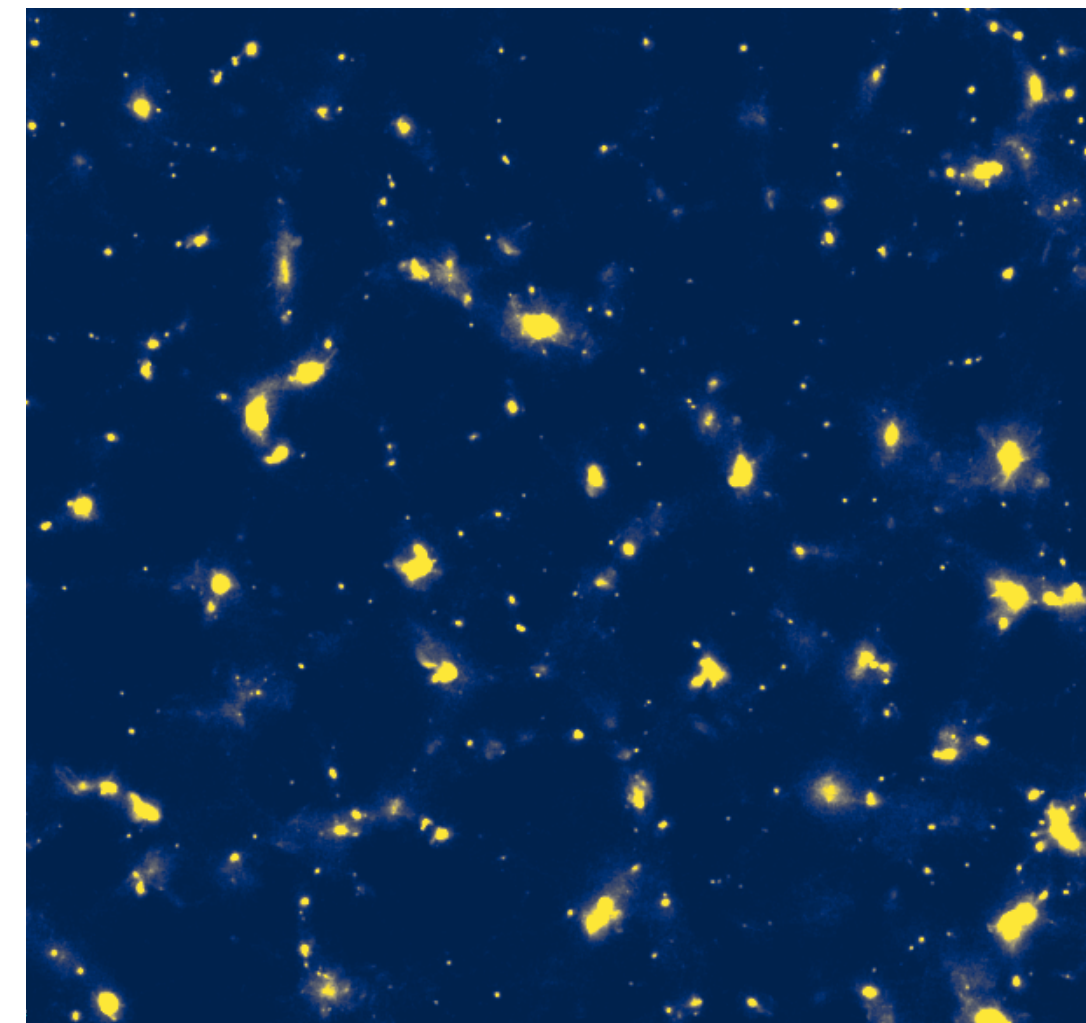
**Can this form structures or BH in the  
radiation dominated universe?**

# Overview

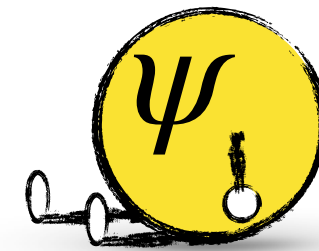
## 1. Basics: Fermions, Yukawa & Early universe



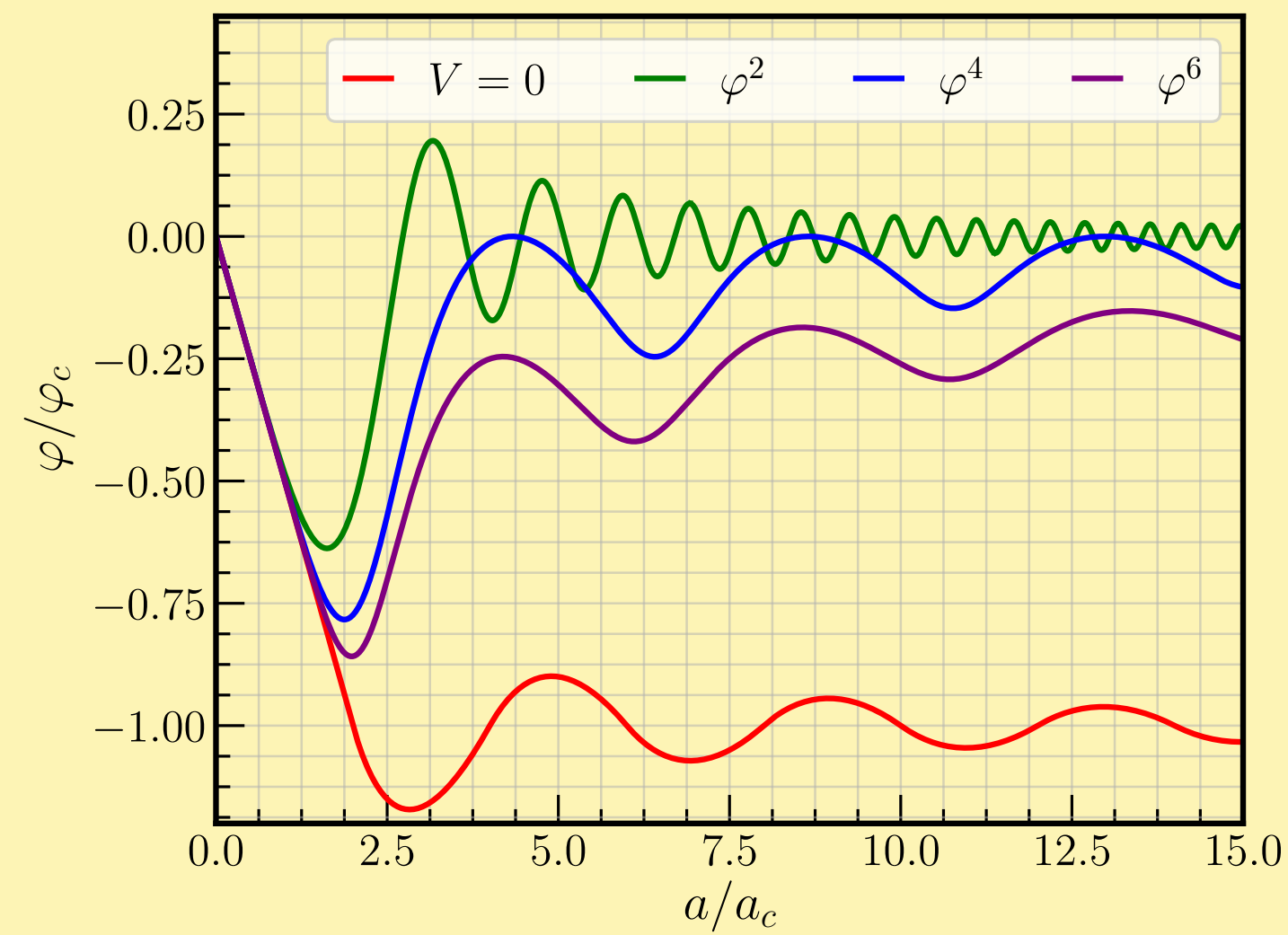
## 2. Fluctuations and N-Body simulations:



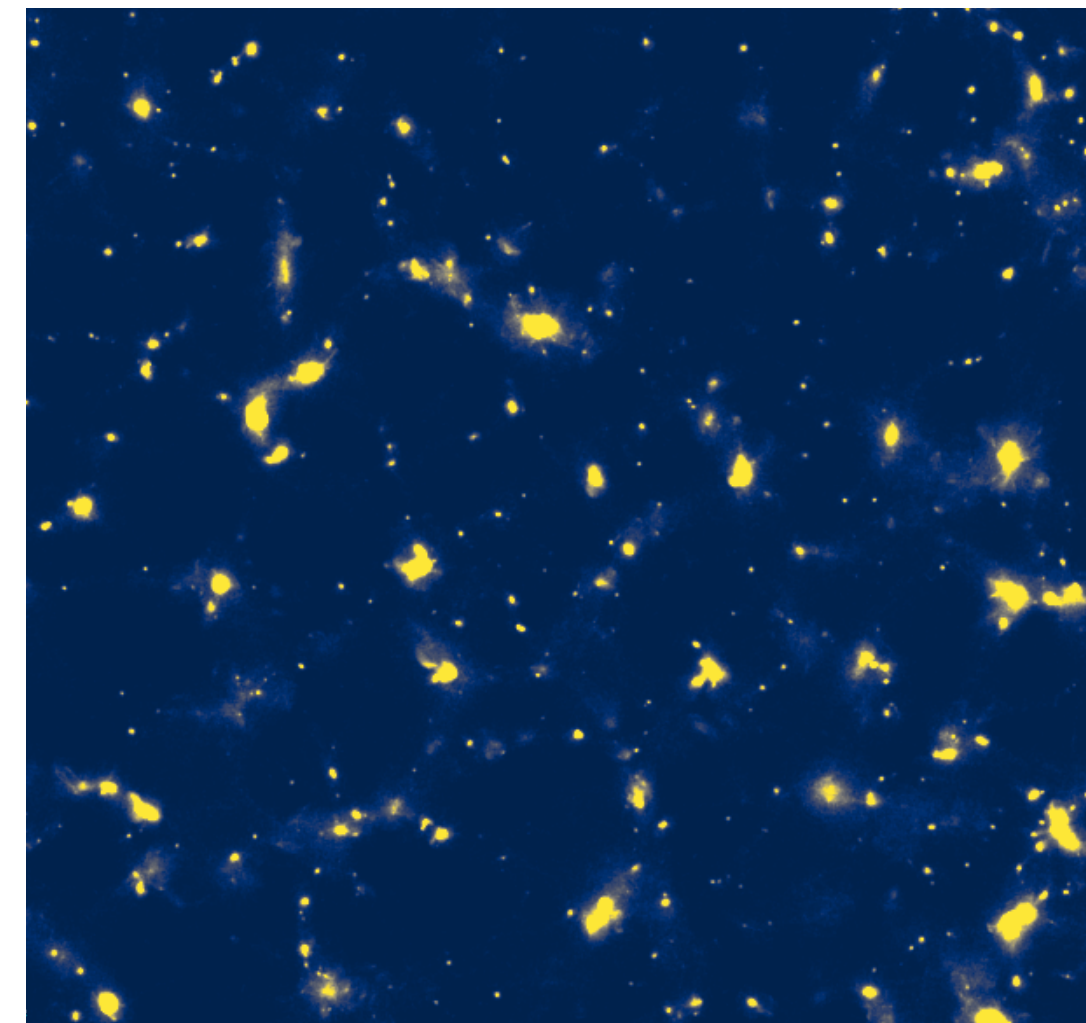
# Overview



## 1. Basics: Fermions, Yukawa & Early universe



## 2. Fluctuations and N-Body simulations:





# Yukawa interactions: basics

Basic Lagrangian:

$$\mathcal{L}(\psi, \varphi) = \bar{\psi} i \Gamma^\mu D_\mu \psi - \underbrace{|m_\psi + y\varphi|}_{m_{\text{eff}} = m_\psi + y\varphi} \bar{\psi} \psi - \overbrace{V(\varphi)}^{V_{\text{eff}}(\varphi)} - \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi$$

NOTE: Fermion current is conserved: no net particle creation, U(1) symmetry preserved

Conserved fermion number density  $n_\psi$

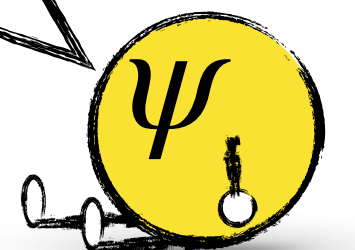
We will need a bit of  
particle-antiparticle  
asymmetry

FLRW metric:

$$ds^2 = -dt^2 + a^2 dx^2$$

In cosmology


$$n_\psi \propto a^{-3}$$





# Yukawa interactions: basics

$$f(\mathbf{p}, m_{\text{eff}}, \mu) = \frac{1}{1 + e^{\frac{E - \mu}{T}}}$$

Thermodynamical considerations:

$$\rho_\psi = \frac{2}{(2\pi)^3 a^3} \int d^3p E(\mathbf{p}, m_{\text{eff}}) (f(\mathbf{p}, m_{\text{eff}}, \mu) + f(\mathbf{p}, m_{\text{eff}}, -\mu))$$

- Fermions (as perfect fluid) in thermodynamical equilibrium
- “The Universe” is described by grand-canonical ensemble  $\Omega = - P_\psi V$

$$d\Omega = - S_\psi dT - N_\psi d\mu - P_\psi dV + Y_\psi d\varphi \quad \text{External force}$$

Energy “conservation”:

$$\dot{\rho}_\psi + 3H(\rho_\psi + P_\psi) = - \dot{\varphi} \left( \frac{\partial P_\psi}{\partial \varphi} \right)_{\mu, T} = - y\sigma \frac{\rho_\psi - 3P_\psi}{m_{\text{eff}}} \quad \text{Zero for relativistic fermions}$$

Note: no

$$\left( \frac{\partial \rho_\psi}{\partial \varphi} \right) \quad \text{Conserved number density } n_\psi \longrightarrow \text{Conserved entropy density } s_\psi$$

# Yukawa interactions: basics

Thermodynamical considerations:  $\rho_\psi = \frac{2}{(2\pi)^3 a^3} \int d^3p E(\mathbf{p}, m_{\text{eff}}) (f(\mathbf{p}, m_{\text{eff}}, \mu) + f(\mathbf{p}, m_{\text{eff}}, -\mu))$

- Fermions (as perfect fluid) in thermodynamical equilibrium

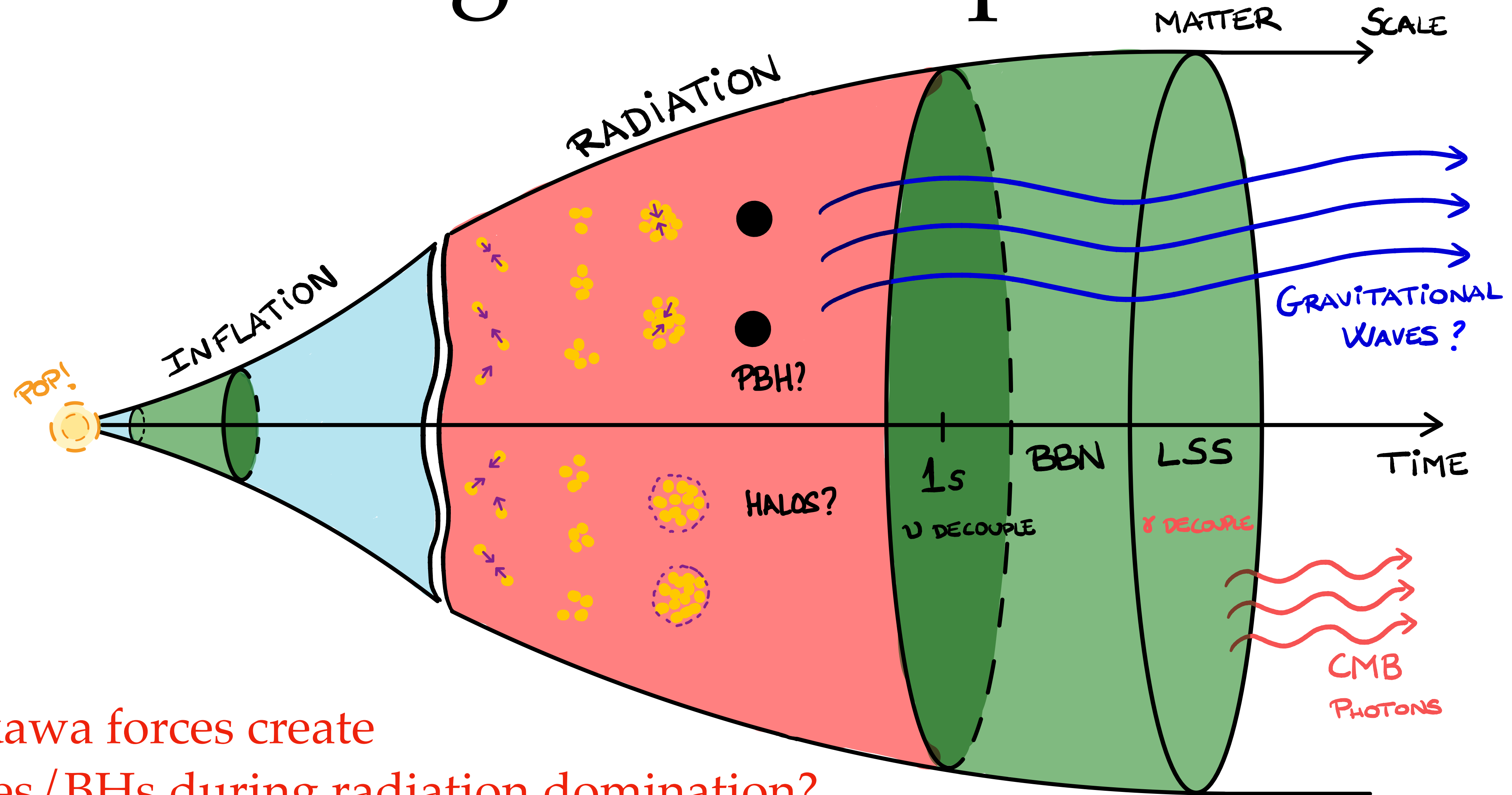
**Summary: We can follow the evolution of fermions + scalar field in relativistic/non-relativistic regimes**

Energy conservation :

$$\dot{\rho}_\psi + 3H(\rho_\psi + P_\psi) = -\dot{\phi} \left( \frac{\partial P_\psi}{\partial \varphi} \right)_{\mu, T} = -y\sigma \frac{\rho_\psi - 3P_\psi}{m_{\text{eff}}} \quad \leftarrow$$

Conserved number density  $n_\psi \longrightarrow$  Conserved entropy density  $s_\psi$

# The cosmological set-up



Can Yukawa forces create structures/BHs during radiation domination?

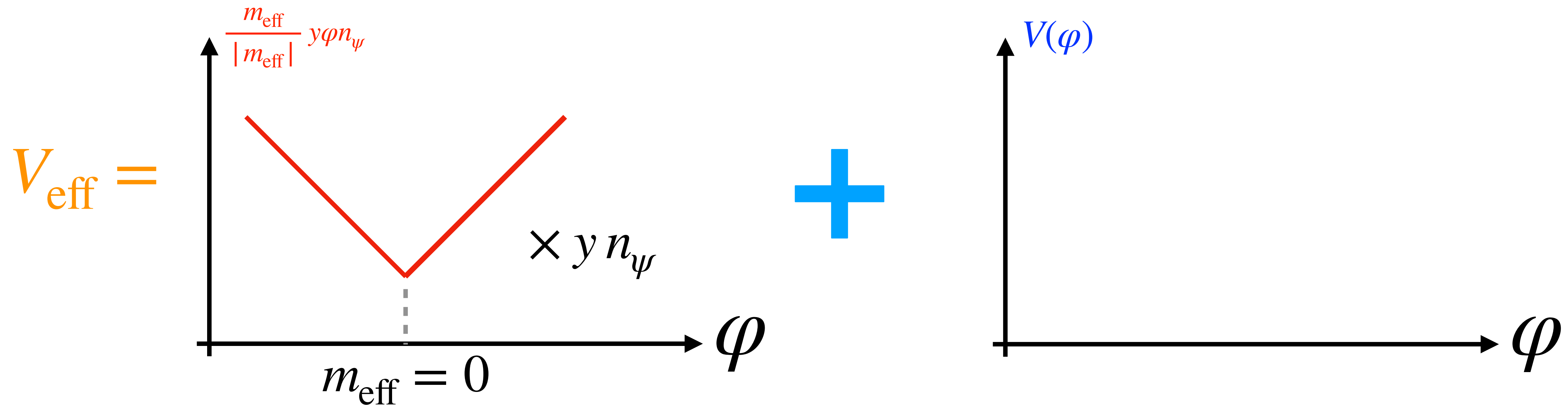
All fermion dynamics determined by the scalar mediator...

# Massless Scalar mediator + Non-relativistic fermions

We consider  $P_\psi \ll \rho_\psi = m_{\text{eff}} n_\psi$  plus Klein-Gordon equation:  $m_{\text{eff}} = m_\psi + y\varphi$

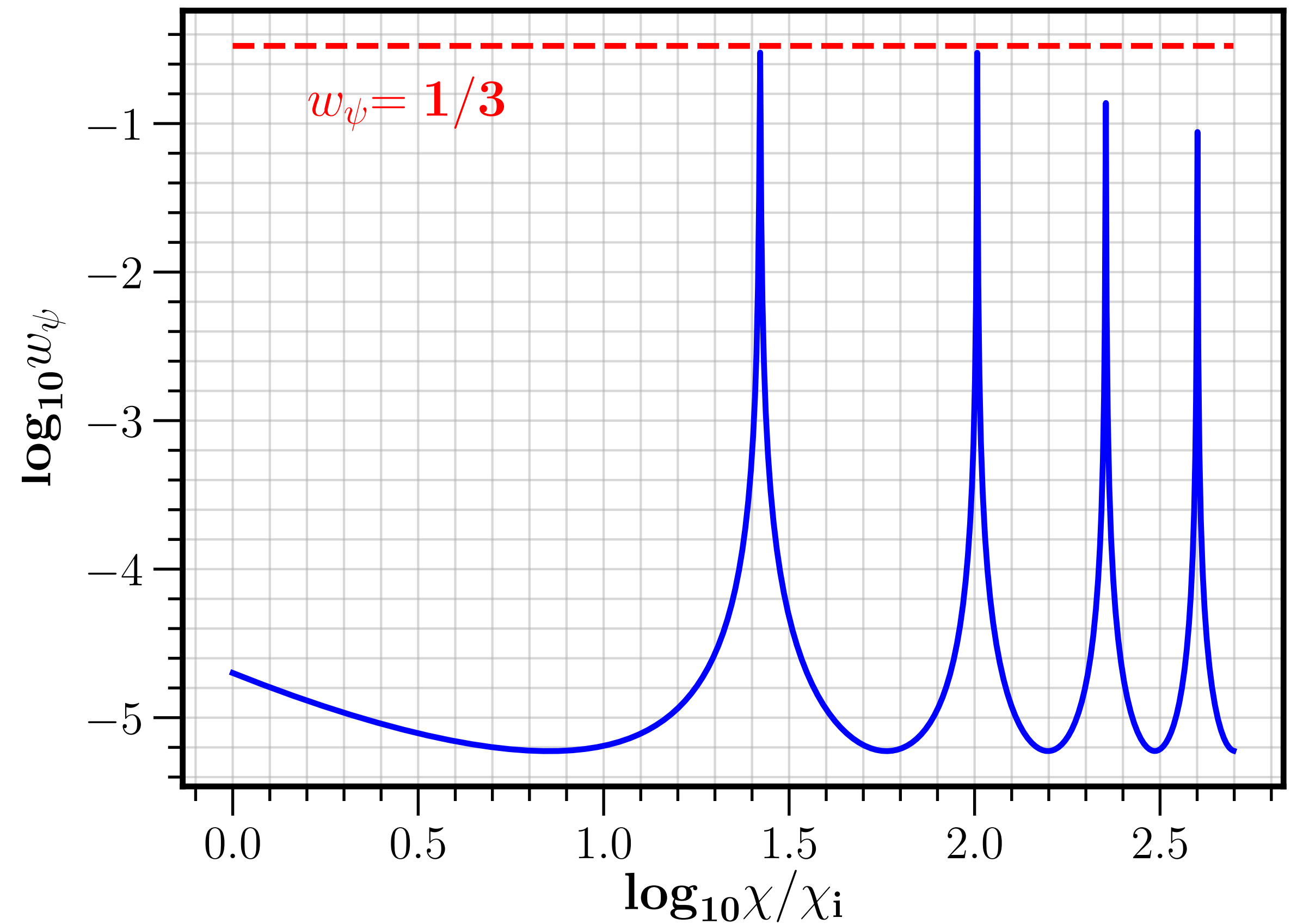
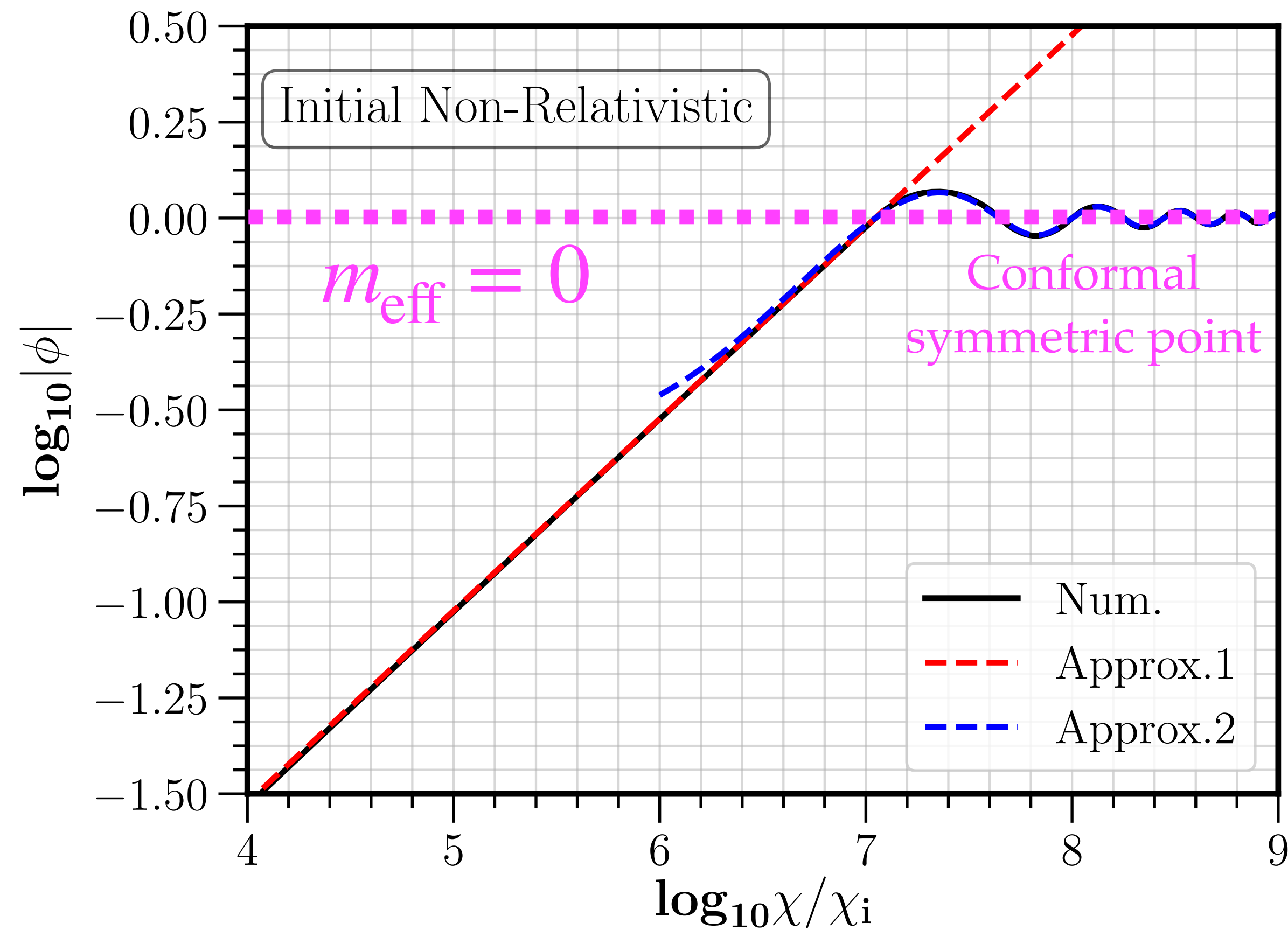
$$\ddot{\varphi} + 3H\dot{\varphi} + \frac{\partial V_{\text{eff}}}{\partial \varphi} = 0$$

$$V_{\text{eff}} = \frac{m_{\text{eff}}}{|m_{\text{eff}}|} y\varphi n_\psi$$





# Massless Scalar mediator + Non-relativistic fermions



$$\rho_\psi = m_{\text{eff}} n_\psi \propto a^{-4} \quad \rho_\phi \propto a^{-4}$$

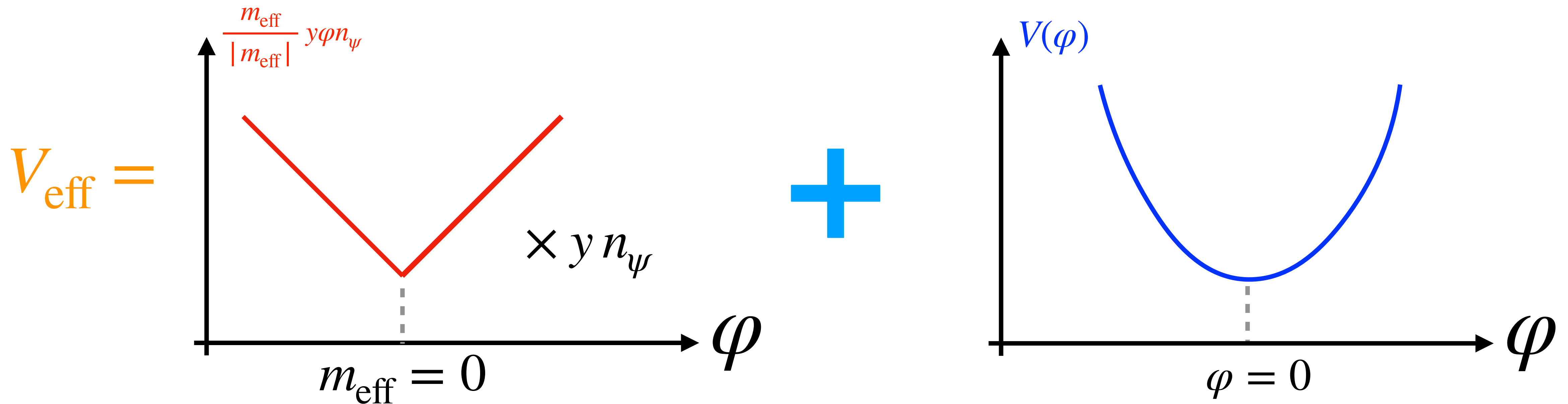
Scaling solution

# General scalar mediator + Non-relativistic fermions

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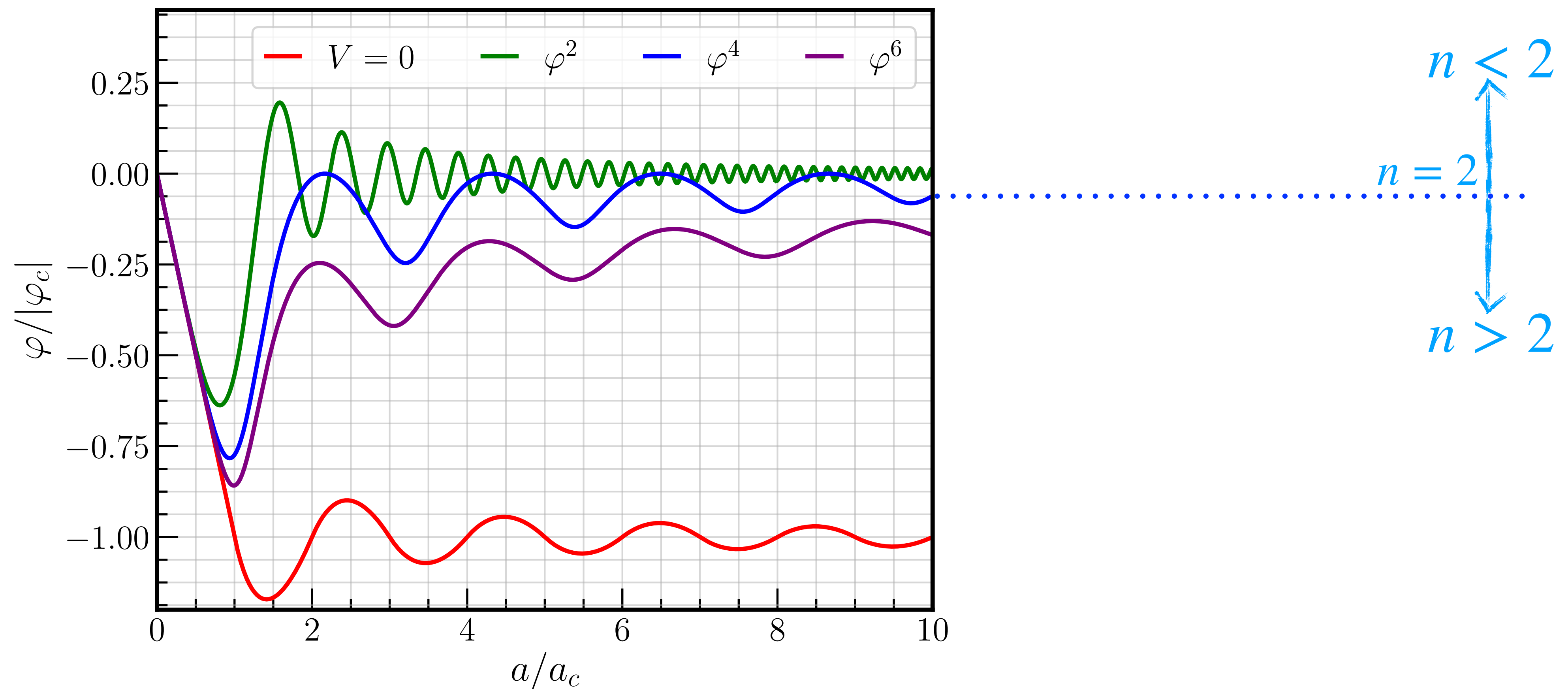
$$\ddot{\varphi} + 3H\dot{\varphi} + \frac{\partial V_{\text{eff}}}{\partial \varphi} = 0$$

$$V_{\text{eff}} = \frac{m_{\text{eff}}}{|m_{\text{eff}}|} y\varphi n_\psi + V(\varphi)$$



# General scalar mediator + Non-relativistic fermions

$$V_{\text{eff}} = \frac{m_{\text{eff}}}{|m_{\text{eff}}|} y \varphi n_{\psi} + V(\varphi) \quad V(\varphi) \propto \varphi^{2n}$$

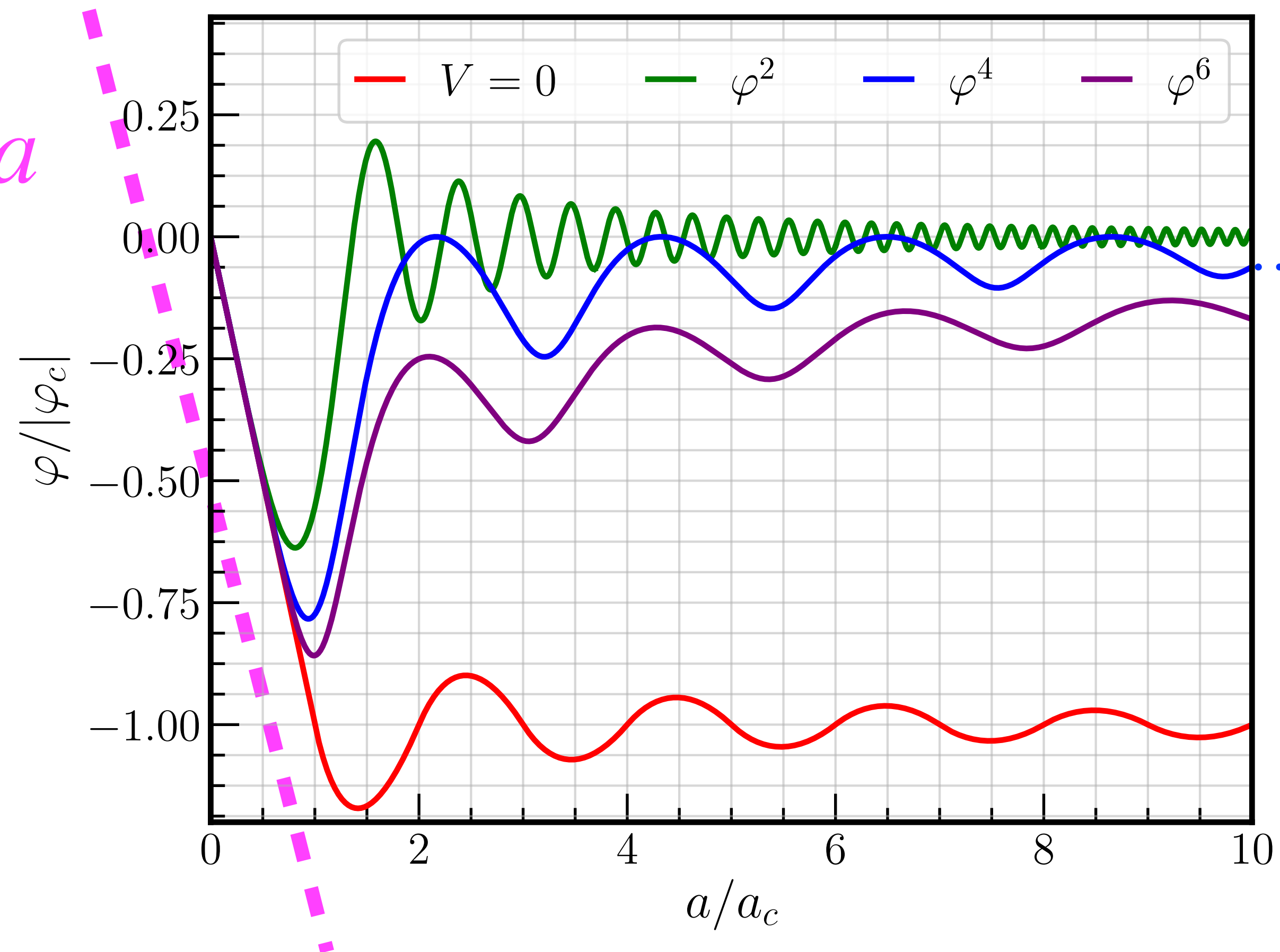


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$$V_{\text{eff}} = \frac{m_{\text{eff}}}{|m_{\text{eff}}|} y \varphi n_{\psi} + V(\varphi) \quad V(\varphi) \propto \varphi^{2n}$$

Cosmologically  
massless regime

$$\varphi \propto a$$



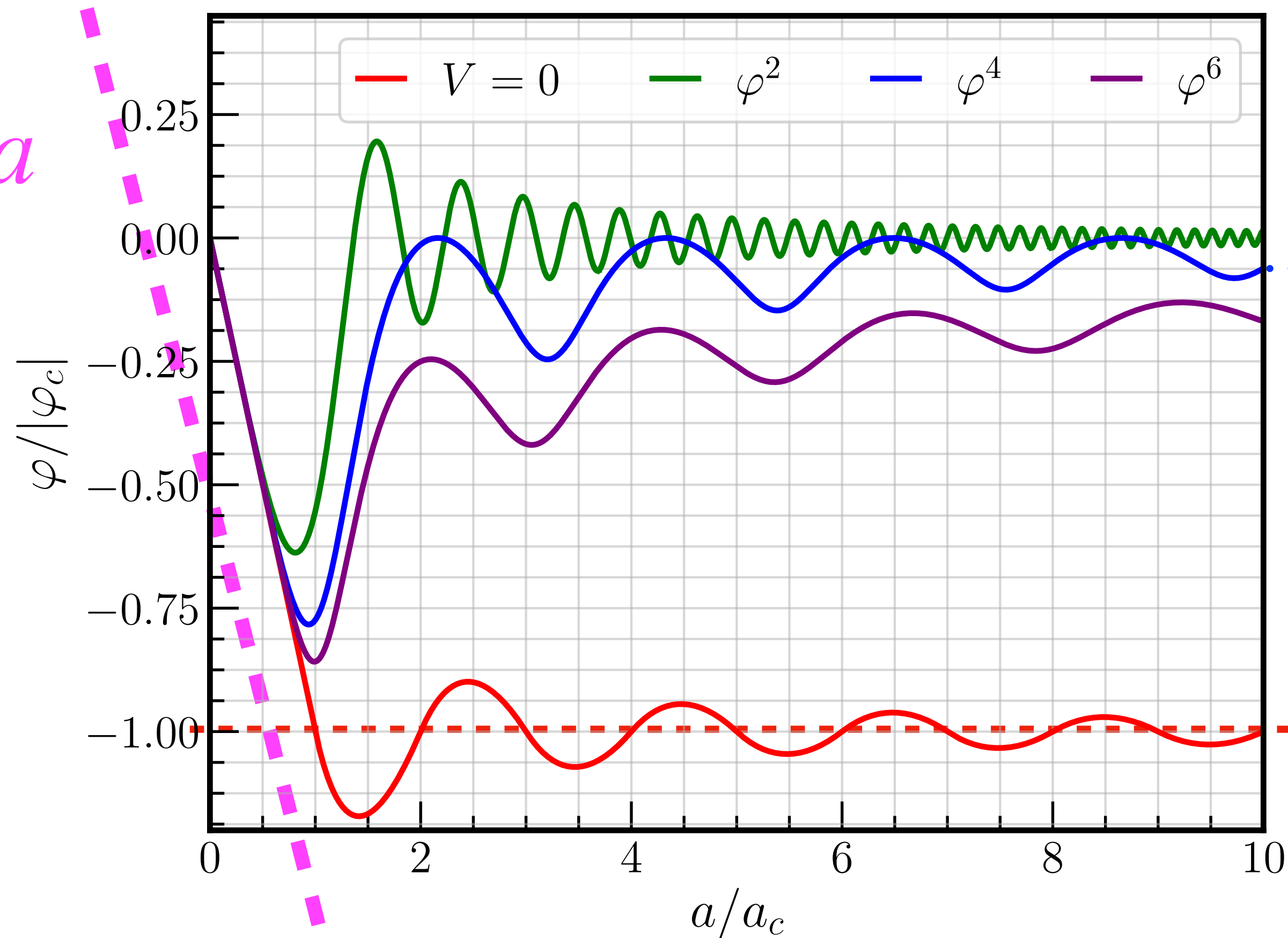


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$$m_{\text{eff}} = 0$$

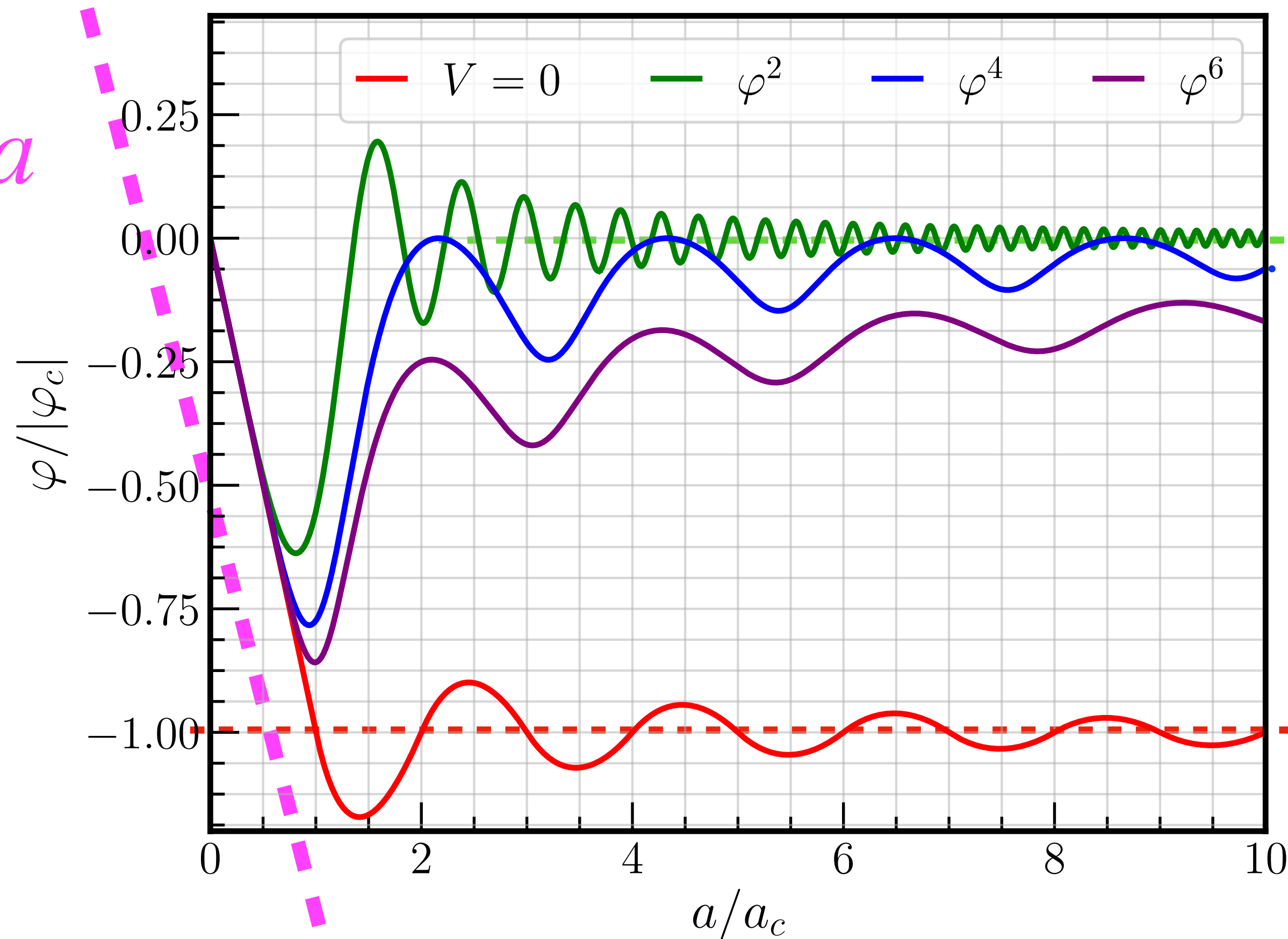
Conformal  
symmetric point

# General scalar mediator + Non-relativistic fermions

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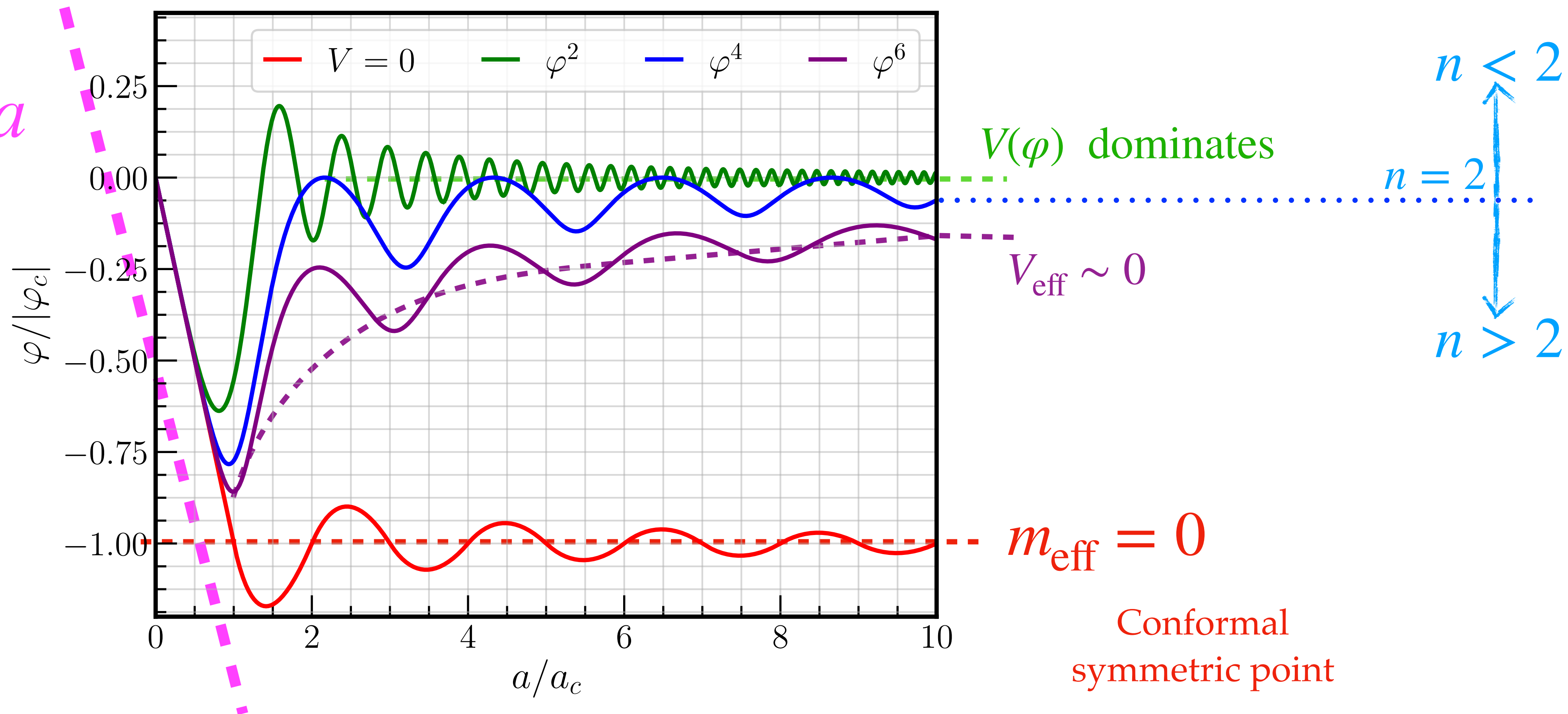
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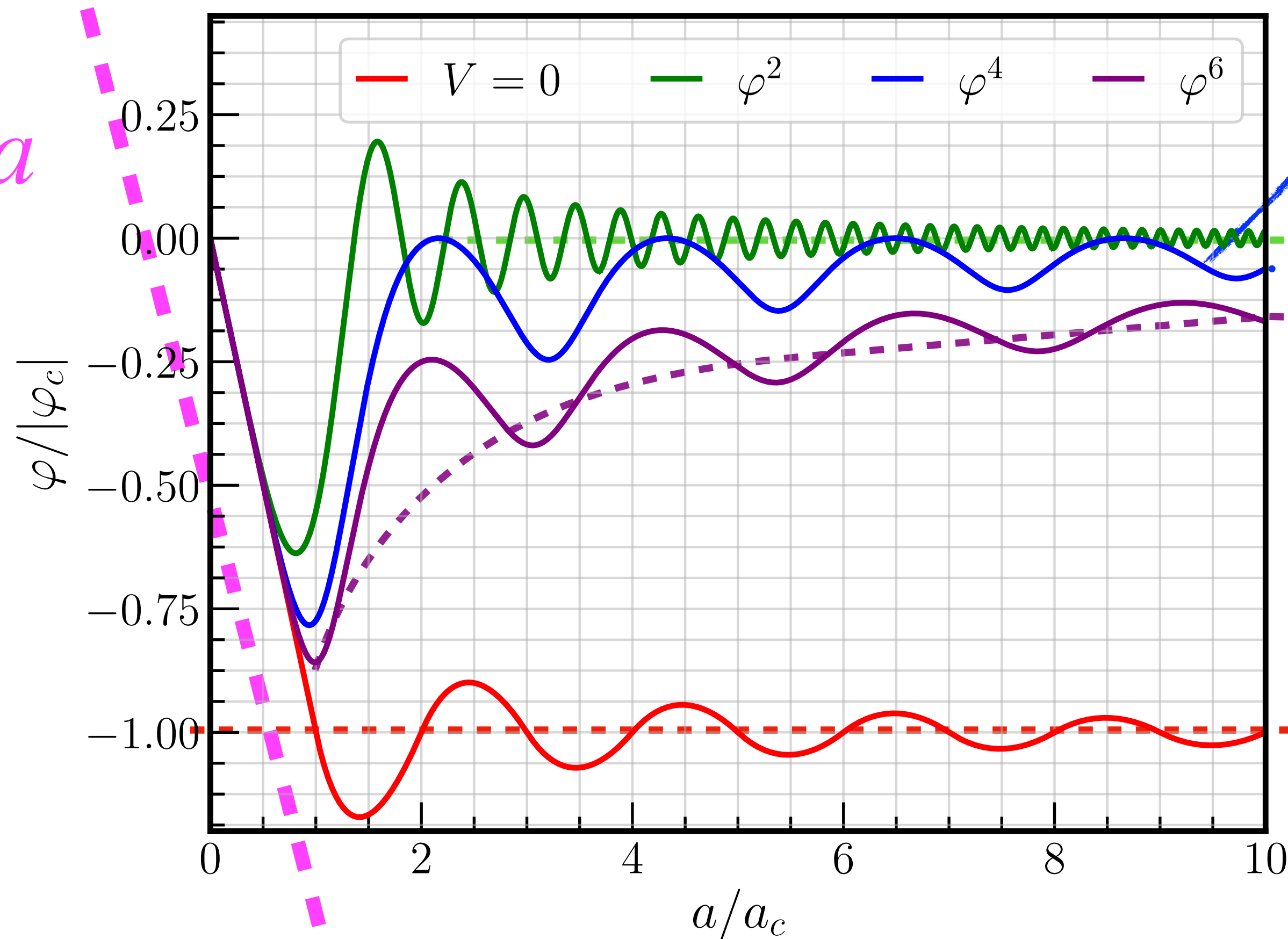


# General scalar mediator + Non-relativistic fermions

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Cosmologically  
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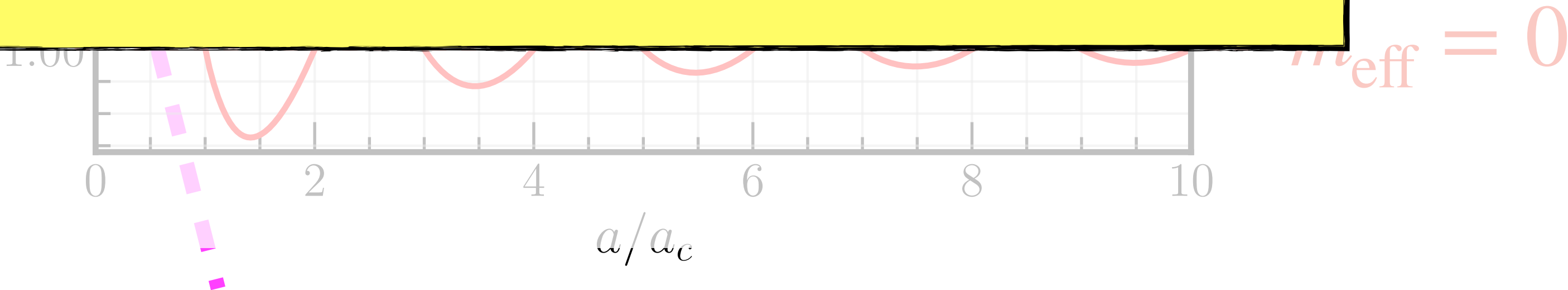
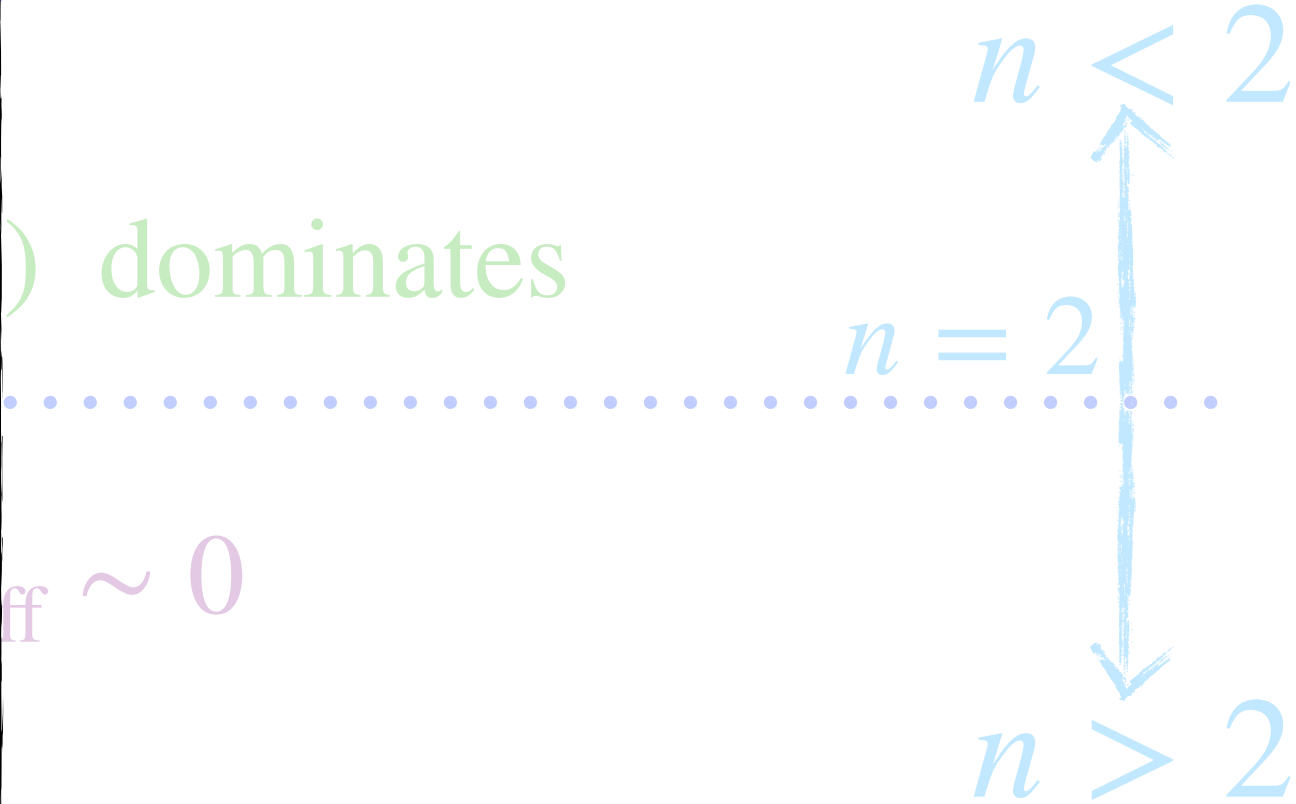
# General scalar mediator + Non-relativistic fermions

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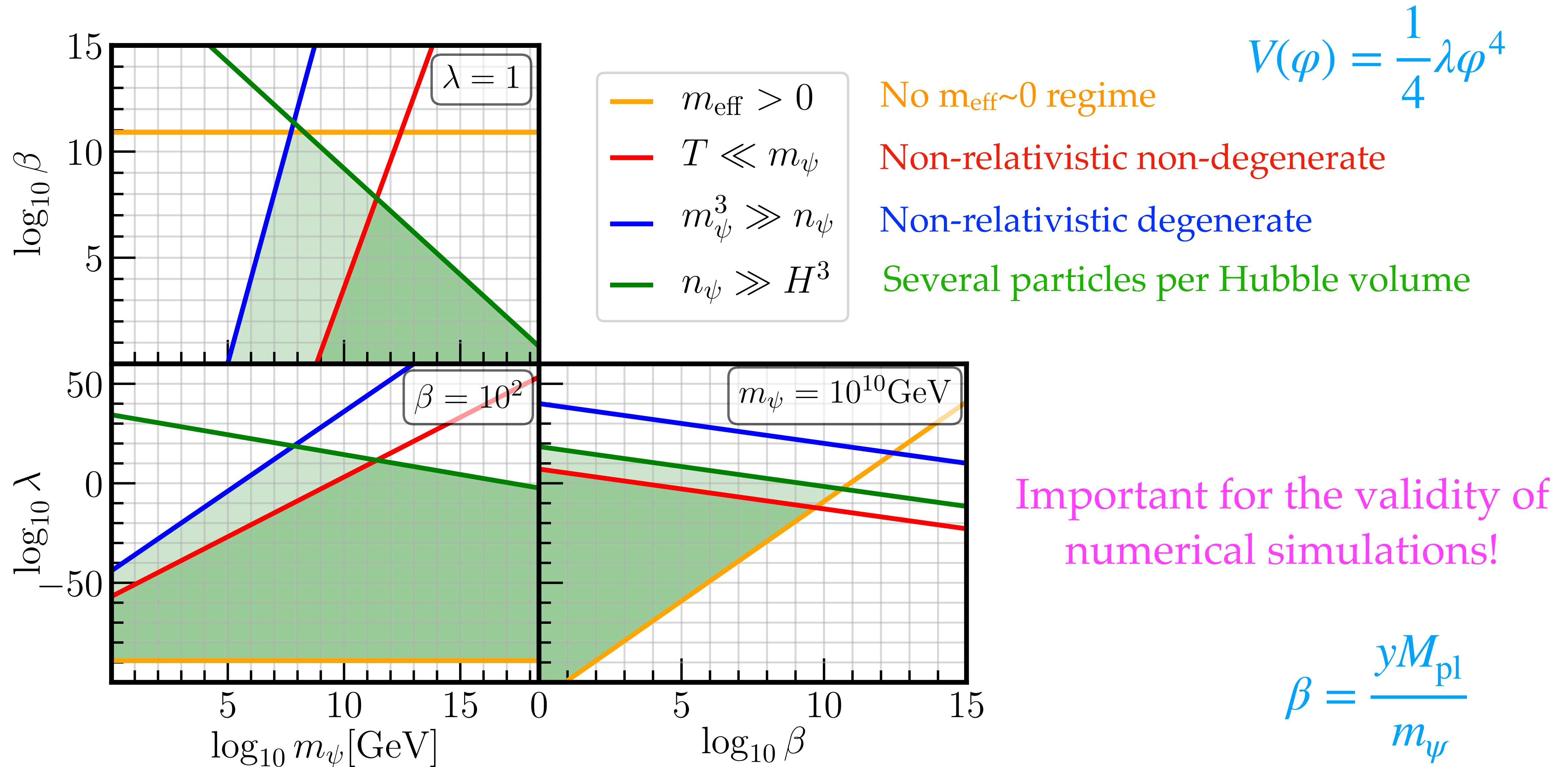
Cosmologically  
massless regime  
 $\varphi \propto$

$$\varphi \sim n_{\psi}^{1/3} \propto a^{-1}$$

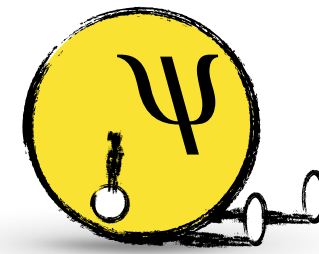
**Quartic potential is:**  
  
**+ well-motivated**  
**+ convenient for simulations**  
**+ we have exact analytical solutions**



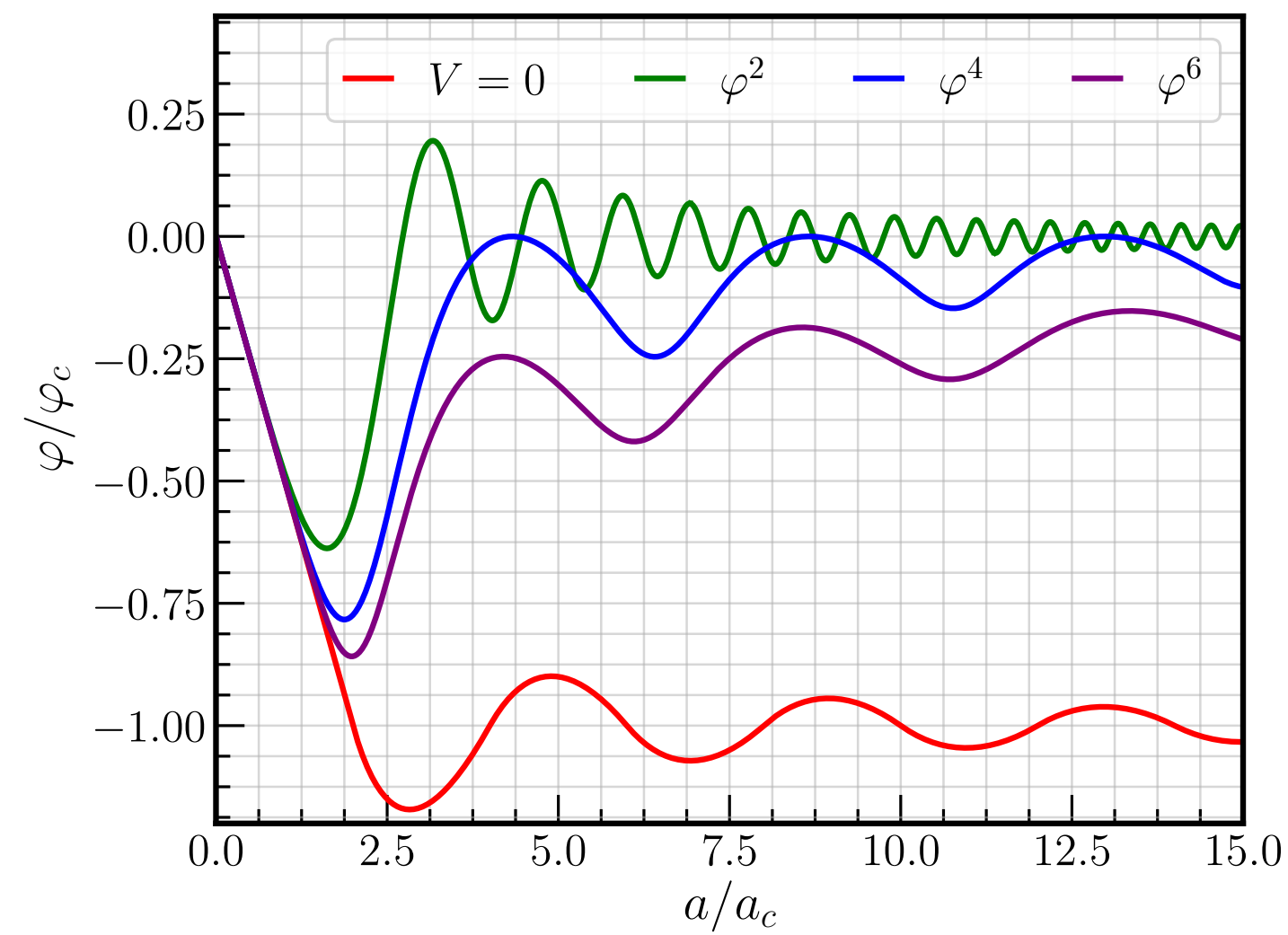
# Non-relativistic fermions: Parameter space



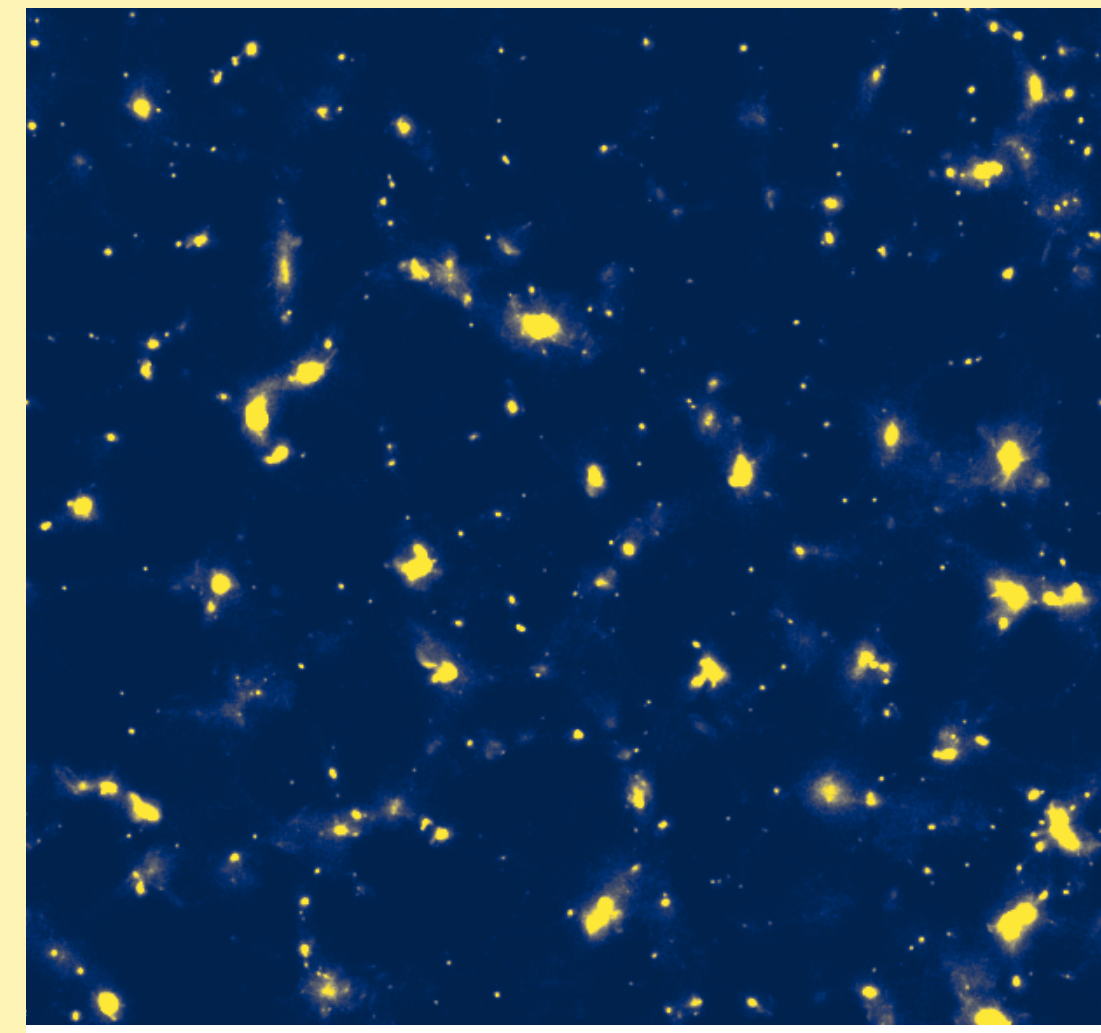
# Overview



## 1. Basics: Fermions, Yukawa & Early universe



## 2. Fluctuations and N-Body simulations:



# Yukawa vs Gravity: fermion fluctuations

(in comoving variables)

Take continuity + Euler equation (energy + momentum conservation):

$$\delta'_\psi + \vec{\nabla} \left[ (1 + \delta_\psi) \vec{V} \right] = 0 \qquad \vec{V}' + (2\mathcal{H} + (\ln m_{\text{eff}})') \vec{V} + (\vec{V} \cdot \vec{\nabla}) \vec{V} + \vec{\nabla} \phi = 0$$

Plus “Poisson” equation:  $(\nabla^2 + \ell^{-2})\phi \sim \beta \rho_\psi \delta_\psi$

For gravity  $\ell = \infty$  and  $\beta = 1$

For Yukawa  $\ell = a^{-1} V_{\phi\phi}^{-1/2}$  and  $\beta \gg 1$

Known dynamics  
of scalar field!

Effect of scalar field on fermions through  $m_{\text{eff}}$  and  $\ell$



# Yukawa vs Gravity: fermion fluctuations

Combine all at linear level... (use Friedmann Eq.  $3H^2 = \rho_{\text{rad}}$ )

$$x = a/a_{\text{eq}}$$

Gravity    Yukawa

$$\delta''_{\psi} + \frac{1}{x}\delta'_{\psi} + \frac{d \ln m_{\text{eff}}}{d \ln x}\delta'_{\psi} = \frac{3}{2x}\delta_{\psi} \left[ \underbrace{1 + \frac{2\beta^2}{1 + (k\ell(x))^{-2}}}_{\alpha} \right]$$

Growing mode  
solution

$$m_{\psi}, \ell = \text{constant}$$

$$\delta_{\psi} \propto I_0(\sqrt{6\alpha x}) \begin{cases} \alpha x \ll 1 & I_0 \sim 1 \\ \alpha x \gg 1 & I_0 \sim e^{\sqrt{6\alpha x}} \quad \text{PBHs?} \end{cases}$$

# General considerations

Results from cosmological perturbations (in quasi-static approx.):

1) Exponential growth for  $k \gg aH$  &  $k^2 \gg a^2 V_{\varphi\varphi}$

2) Scalar fluctuations only act as an **effective potential** for fermions  $\phi_Y \equiv \frac{y}{m_{\text{eff}}} \delta\varphi$

Poisson-like equation:  $(\nabla^2 - \ell^{-2})\phi_Y = a^2 \beta^2 M_{\text{pl}}^{-2} \rho_\psi \delta_\psi$

Basic length scale of interaction:  $\ell \equiv a^{-1} V_{\varphi\varphi}^{-1/2}$  Growth on scales  $k\ell \gg 1$

Known dynamics of scalar field!

General potential:

$$V(\varphi) \propto \varphi^{2n}$$

$$V_{\varphi\varphi} \propto \varphi^{2(n-1)}$$

$$\ell(n=1) \sim a^{-1} \text{ (decay)}$$

$$\ell(n=2) \sim \text{constant} \times \text{oscillations}$$

$$\ell(n>2) \sim a^{\frac{n-2}{2n-1}} \text{ (grow)} \times \text{oscillations}$$

$$\varphi \propto a^{-3/2}$$

$$\varphi \propto a^{-1}$$

$$\varphi \propto a^{-3/(2n-1)}$$

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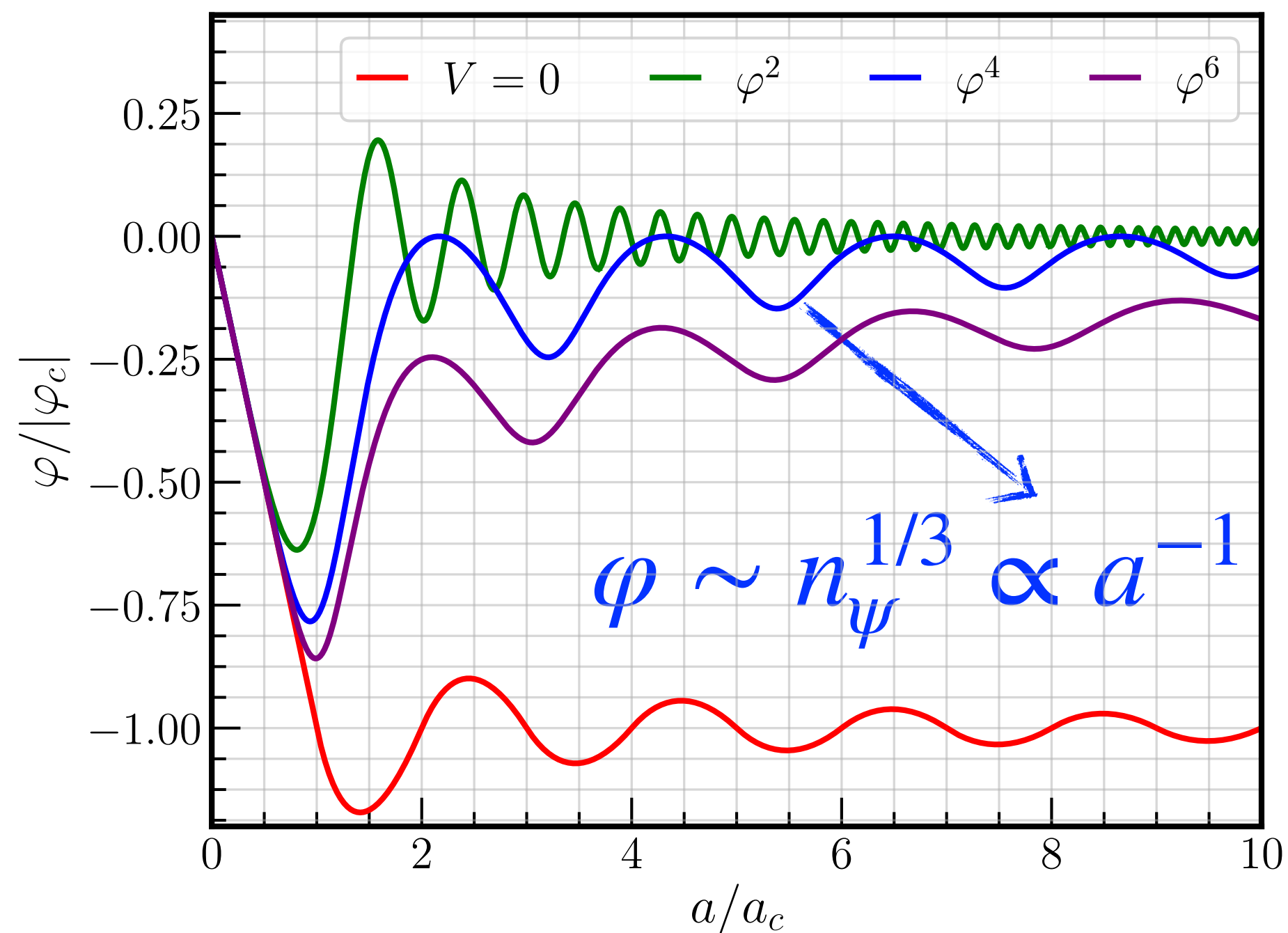
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$$\varphi \propto a^{-3/(2n-1)}$$

# Brief recap

## 1. Known bg. dynamics of scalar field



## 2. Known dynamics of interaction scale

$$\ell \equiv a^{-1} V_{\phi\phi}^{-1/2} \quad V(\phi) \propto \phi^4$$

$$\ell \sim \text{constant} \times \text{oscillations}$$

## 3. Affects fermions via Poisson eq.

$$(\nabla^2 - \ell^{-2})\phi_Y = a^2 \beta^2 M_{\text{pl}}^{-2} \rho_\psi \delta_\psi$$



# Quartic scalar potential

Physical parameters:  $m_\psi, y, \lambda, f_\psi, H_{\text{eq}}$

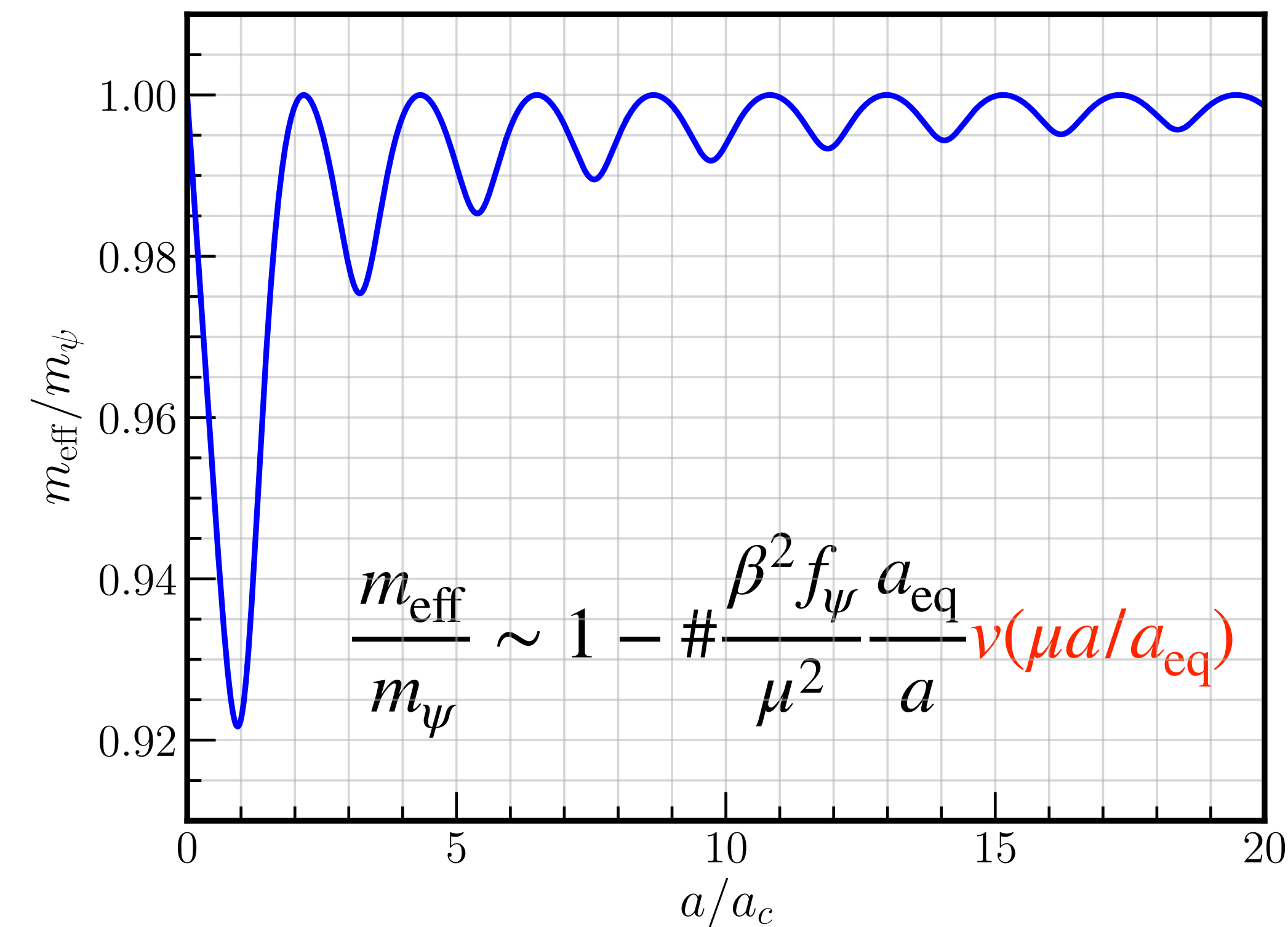
We use matter-radiation equality as reference

$$\beta = \frac{y M_{\text{pl}}}{m_\psi}$$

$$f_\psi = \frac{\rho_\psi}{\rho_\psi + \rho_m}$$

Relevant combination:  $\mu = \left( \sqrt{2} \lambda \frac{3 f_\psi \beta M_{\text{pl}}}{H_{\text{eq}}} \right)^{1/3} \approx 3.6 \times 10^{18} \lambda^{1/6} (f_\psi \beta)^{1/3}$

$$\ell^{-1} \sim \mu a_{\text{eq}} H_{\text{eq}} \times v(\mu a / a_{\text{eq}})$$



Oscillating function

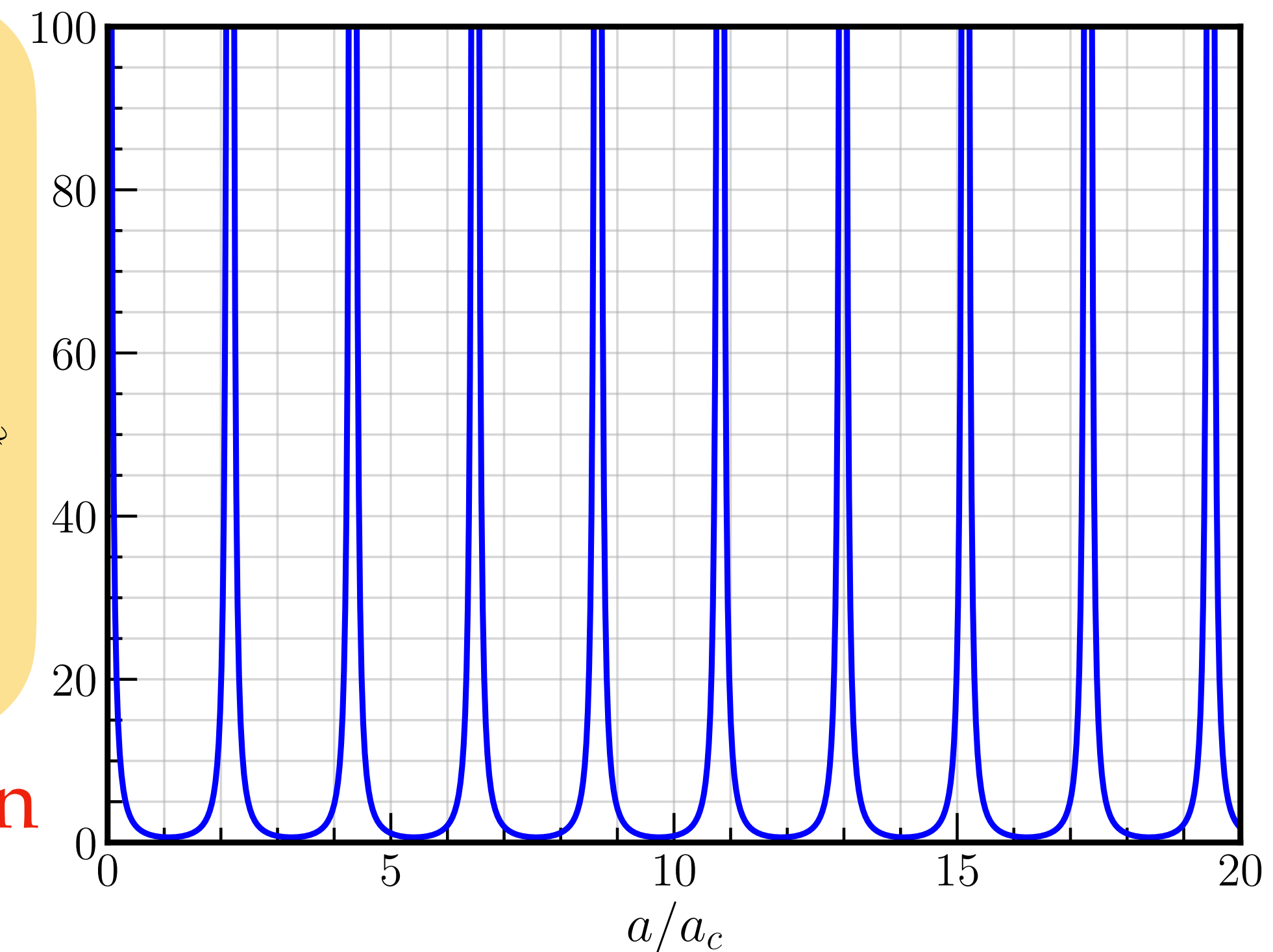
$$v(\mu a / a_{\text{eq}})$$

$$0 < v(\mu a / a_{\text{eq}}) < 1.6$$

$$m_{\text{eff}} \sim m_\psi$$

$$\mu^{-1} \lesssim k_{\text{eq}} \ell < \infty$$

Pulse like function



# Fermion perturbations

Dependence on  $\beta$  and  $f_\psi$  can be totally absorbed into: (also at non-linear level)

$$s = 12\beta^2 f_\psi \frac{a}{a_{\text{eq}}} \quad \omega = \frac{1}{2} \frac{\mu}{2^{5/6} 3^{3/4} f_\psi \beta^2} \approx 4 \times 10^{17} \left( \frac{\sqrt{\lambda}}{f_\psi^2 \beta^5} \right)^{1/3}$$

Linear equation:

$$s \frac{d^2 \delta_\psi}{ds^2} + \left( 1 + \frac{d \log m_{\text{eff}}}{d \log s} \right) \frac{d \delta_\psi}{ds} = \frac{\delta_\psi}{4} \frac{m_{\text{eff}}}{m_\psi} \frac{1}{1 + (k\ell)^{-2}}$$

$$\frac{m_{\text{eff}}}{m_\psi} \sim 1 - \# \frac{1}{s\omega^2} v(\omega s)$$

$$(k\ell)^{-1} \sim (k\bar{\ell})^{-1} \times v(\omega s)$$

Negligible effect for  
 $\omega \gg 1$

Periodic pulse-like function

=> Periodically infinitely long range force

Representative value:  $(k_{\text{eq}} \bar{\ell})^{-1} \equiv 2^{-1/3} 3^{1/4} \mu = 6\sqrt{2} f_\psi \beta^2 \omega$

# Linear + Numerical

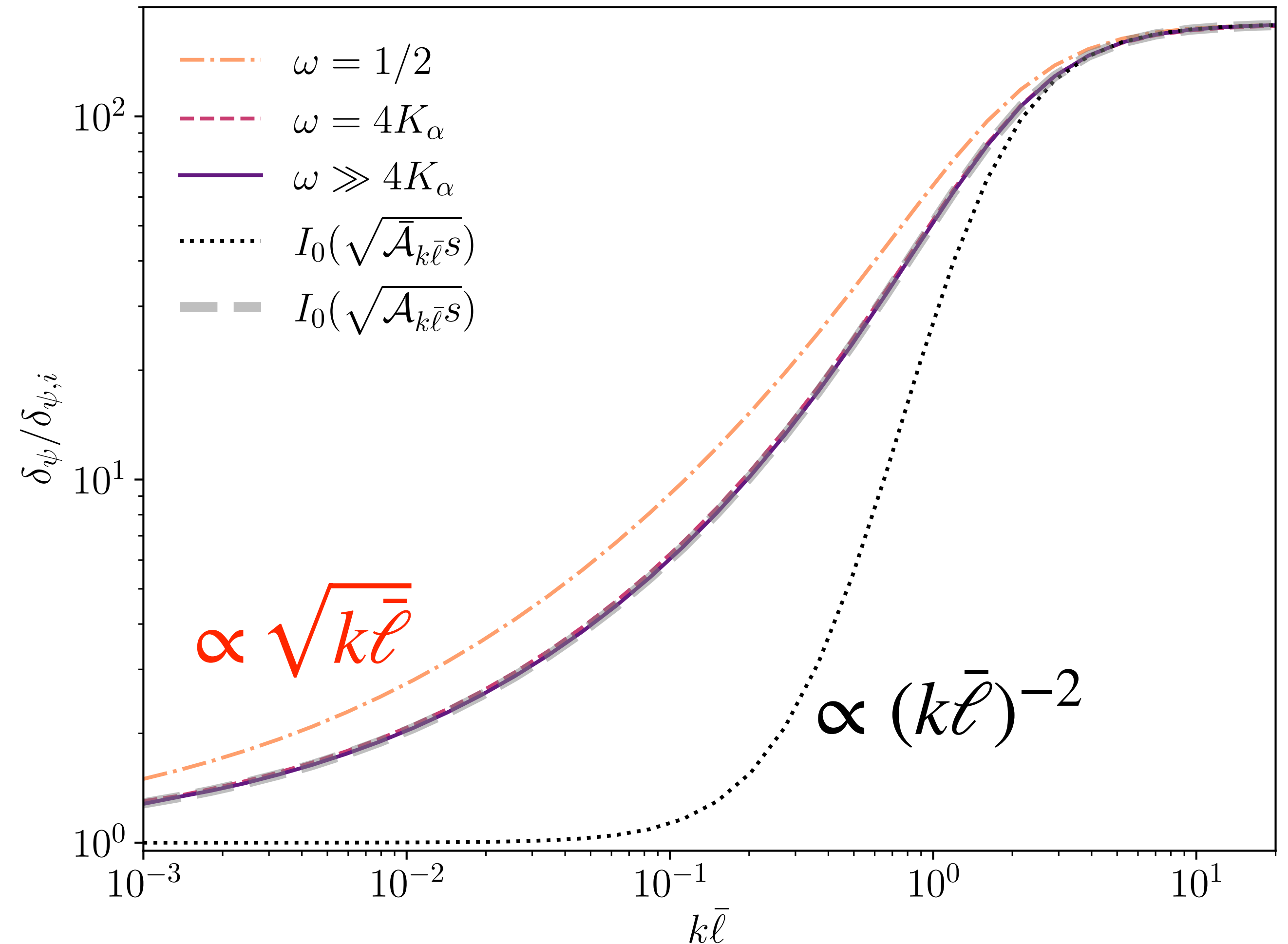
High frequency oscillation-average:

$$\mathcal{A}_{k\bar{\ell}} \equiv \left\langle \frac{1}{1 + (k\ell)^{-2}} \right\rangle \approx \left( \frac{1}{1 + (k_*/k)^2} \right)^{1/4}$$

Good analytical approximation:

$$\delta_\psi \approx \delta_{\psi,i} I_0(\sqrt{\mathcal{A}_{k\bar{\ell}} s})$$

Well-defined high frequency limit



Yukawa interaction longer range than naive estimates

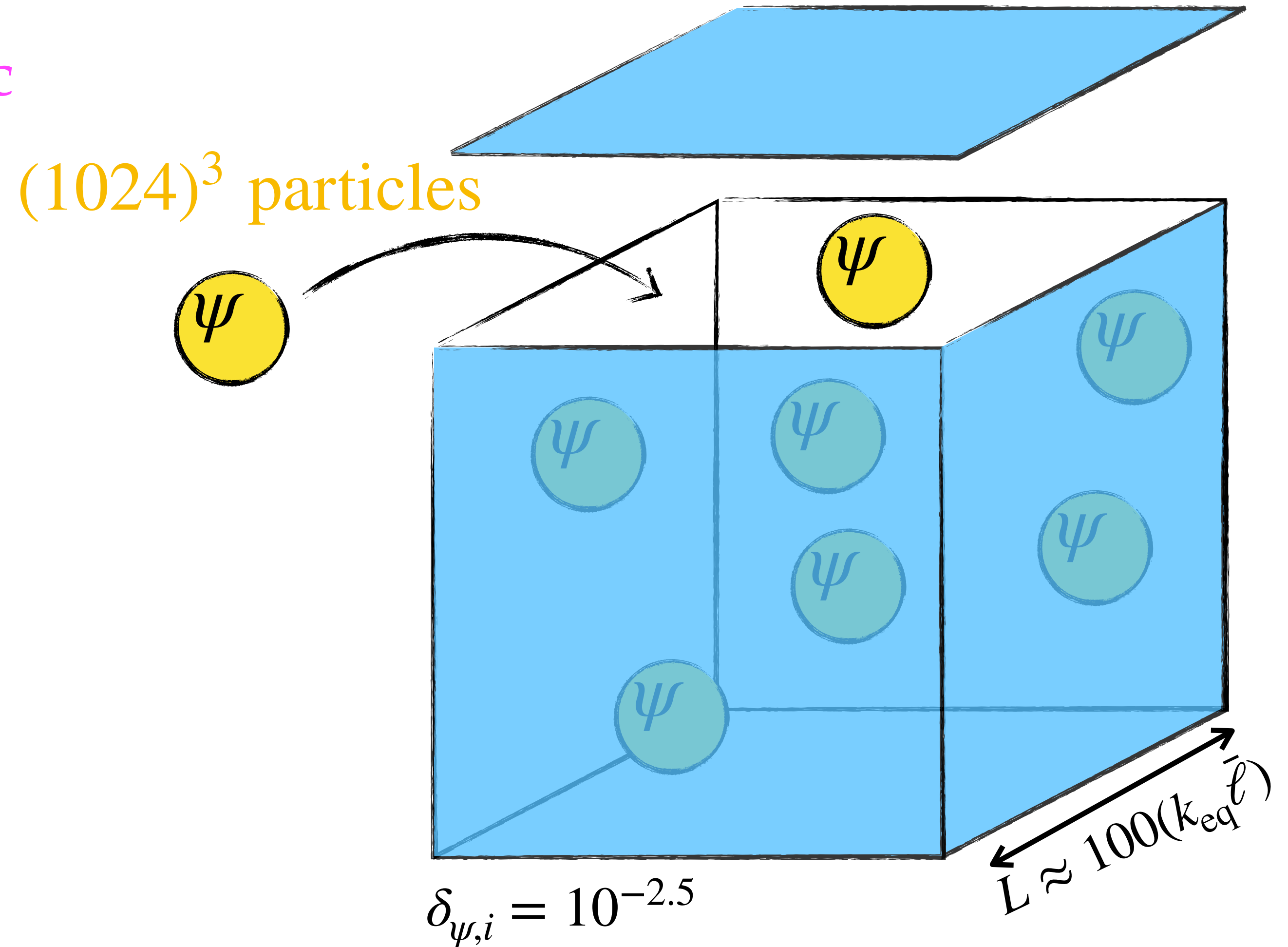
# Box & Particles

High frequency limit is scale-free, i.e. independent of L.

Hamilton equations for non-relativistic particles:  
+radiation domination  
+time-dependent mass  
+ Yukawa forces

$$\frac{d\vec{x}}{d\eta} \simeq \frac{\vec{p}}{am_{\text{eff}}} \quad \frac{d\vec{p}}{d\eta} \simeq -am_{\text{eff}} \vec{\nabla} \phi_Y$$

$$(\nabla^2 - \ell^{-2})\phi_Y = a^2\beta^2 M_{\text{pl}}^{-2} \rho_\psi \delta_\psi$$



Similar to CDM N-body simulations but not quite



# Box & Particles

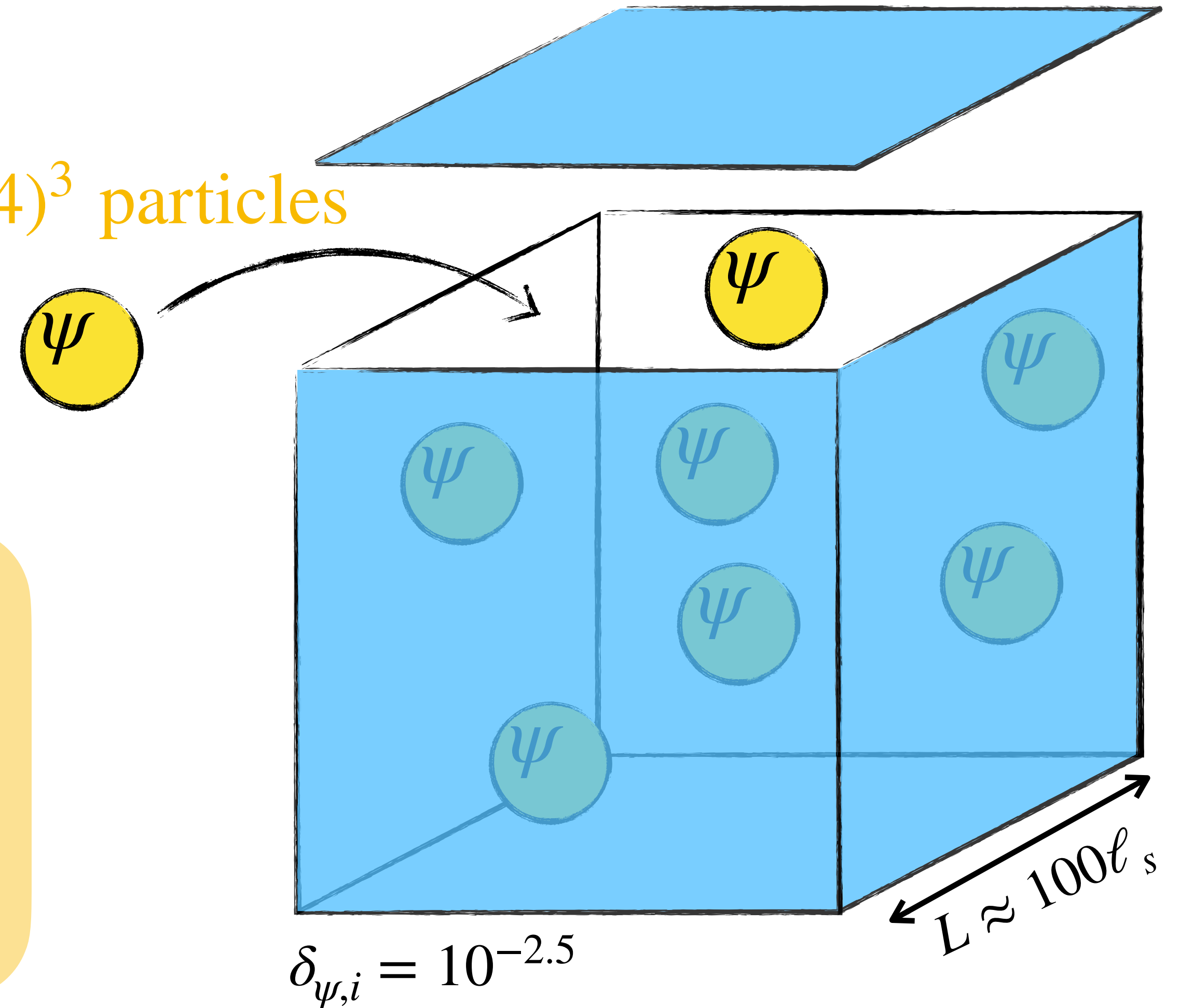
High frequency limit is scale-free, i.e. independent of L.

Hamilton equations for non-relativistic  
particles:  
+radiation domination  
+time-dependent mass  
+ Yukawa forces

$$\frac{d\vec{x}_s}{dt_s} = \vec{v}_s \quad \frac{d\vec{p}_s}{dt_s} = \vec{f}_s \quad \vec{v}_s = \vec{p}_s/m_s$$
$$\left(\nabla_s^2 - \ell_s^{-2}\right) \phi_s = \frac{s}{4} \delta_\psi \quad \vec{f}_s = -m_s \vec{\nabla}_s \phi_s$$

Dimension-less equations

$(1024)^3$  particles



Similar to CDM N-body simulations but not quite

# Movie I

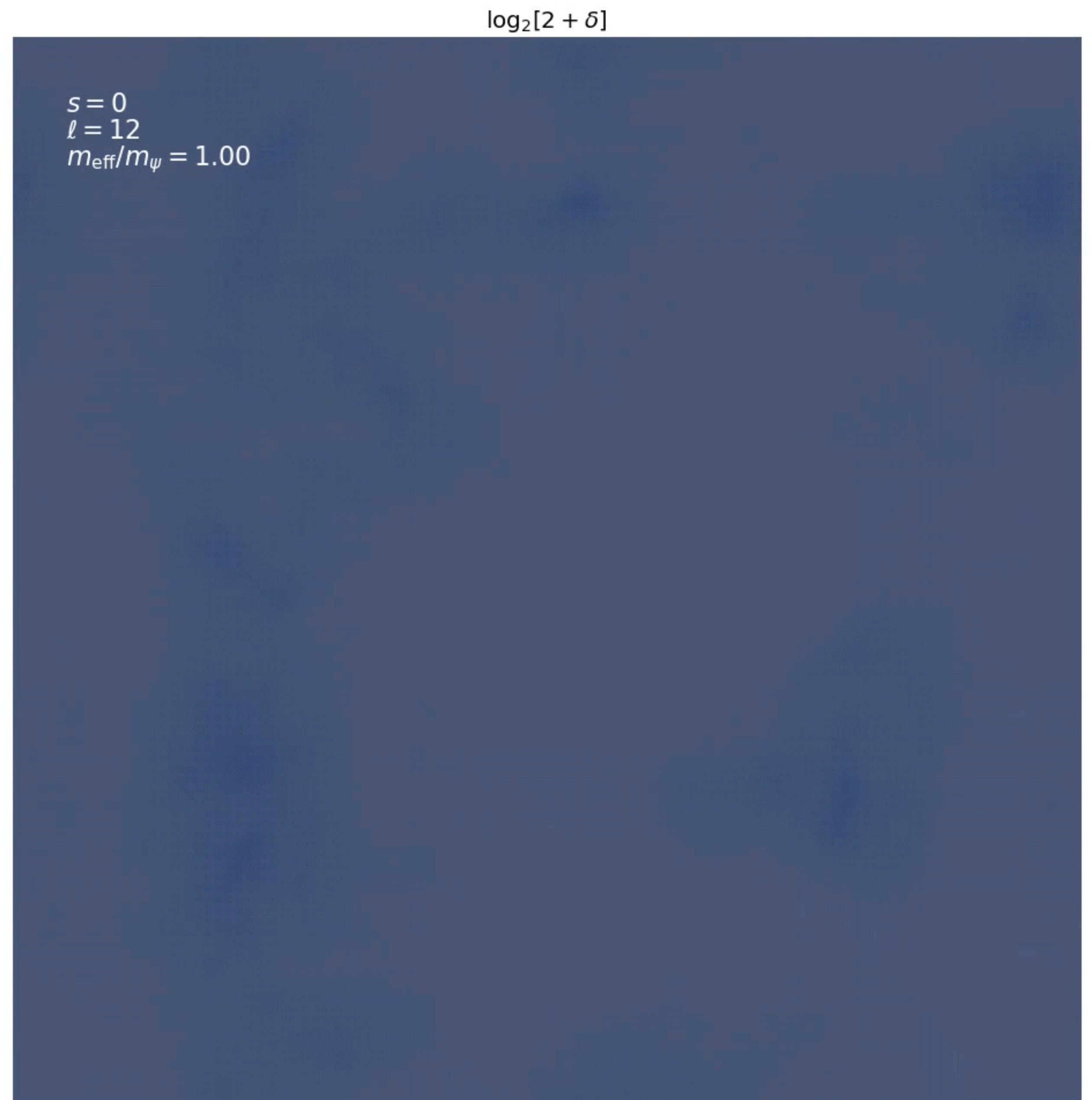
Oscillating  $\ell$  and  $m_{\text{eff}}$





# Movie II

Constant  $\ell$  and  $m_{\text{eff}}$





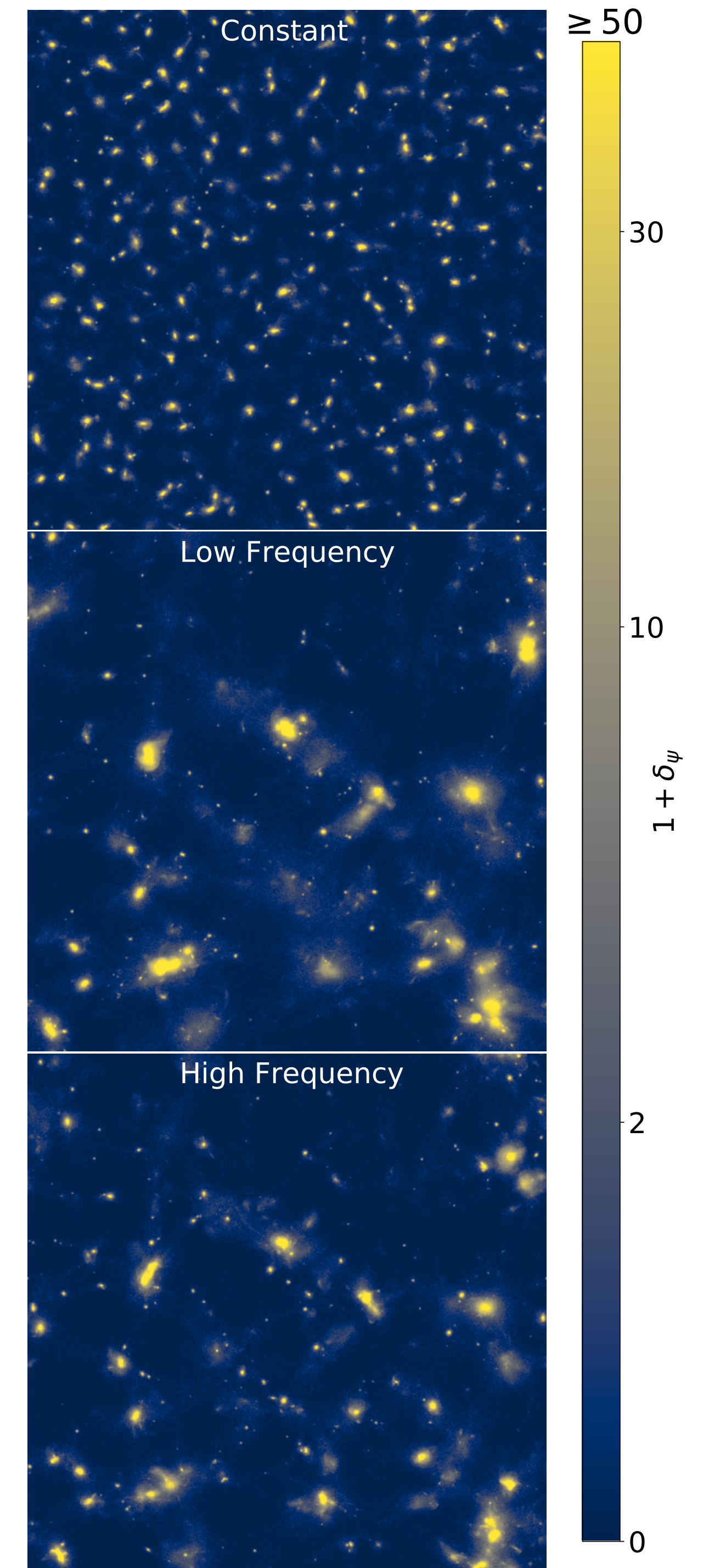
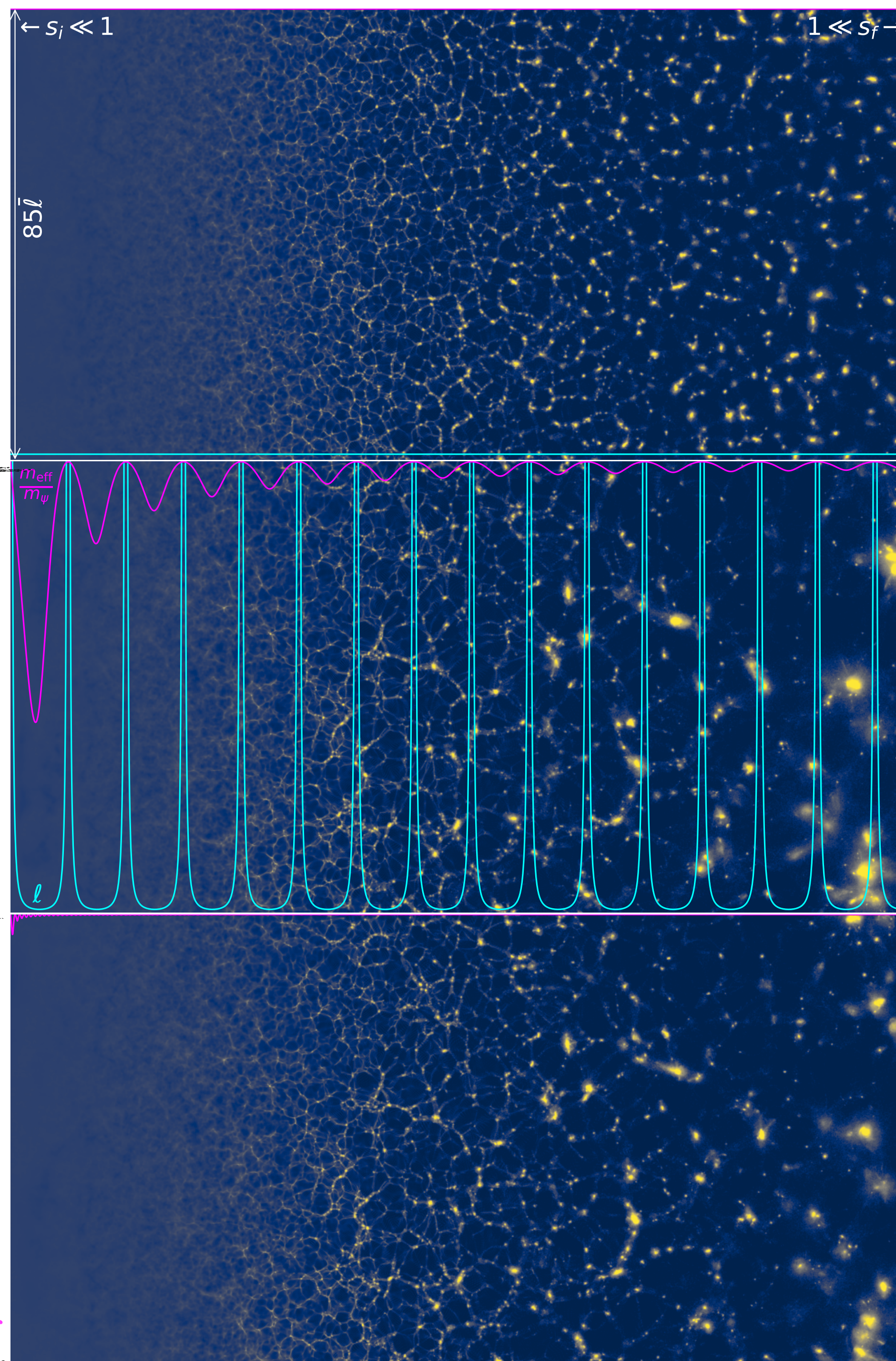
# Screenshots

All credit to D. Inman

Constant  $\ell$  and  $m_{\text{eff}}$

Low-Freq. Oscillating  $\ell$  and  $m_{\text{eff}}$

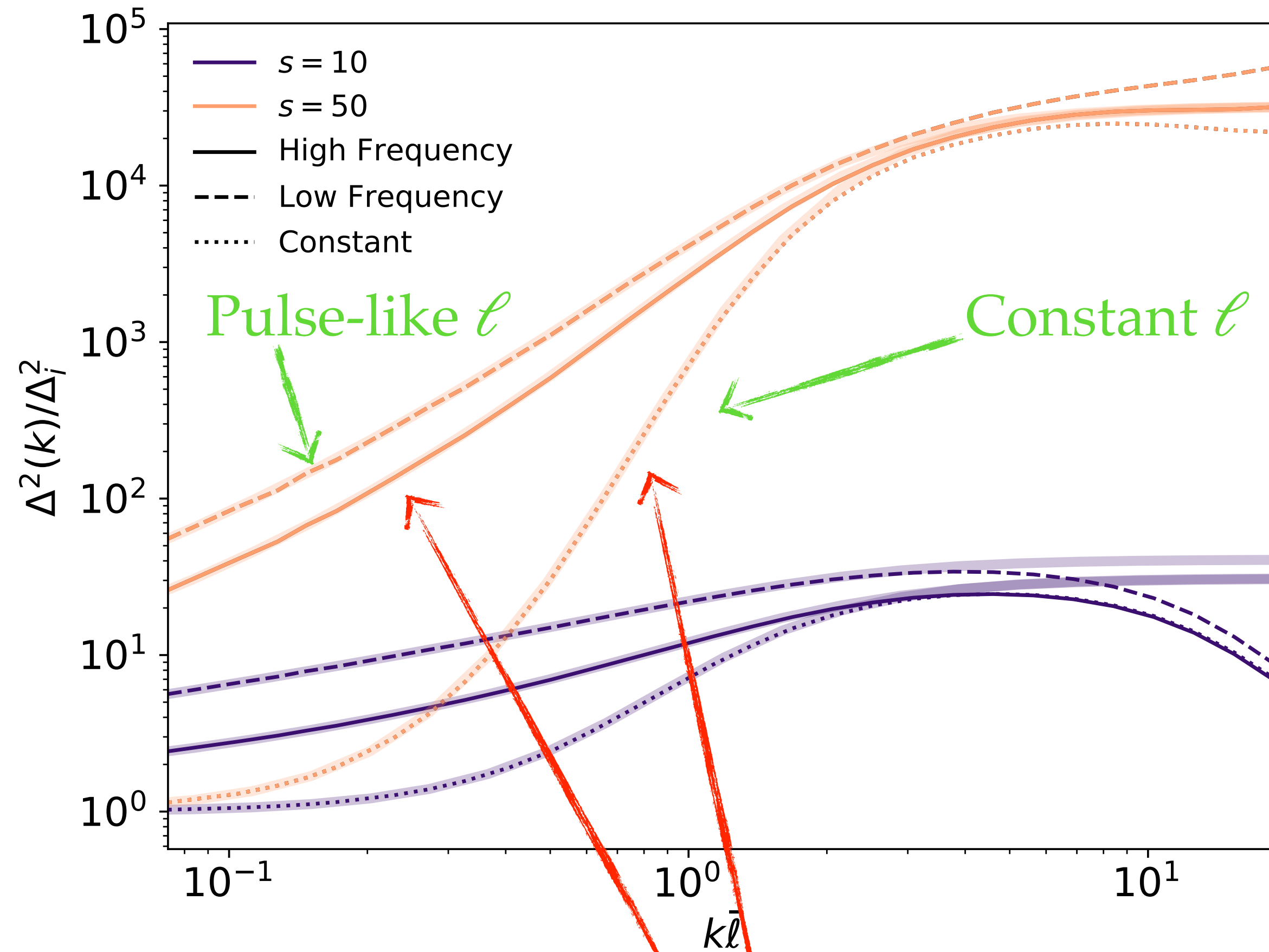
High-Freq. Oscillating  $\ell$  and  $m_{\text{eff}}$



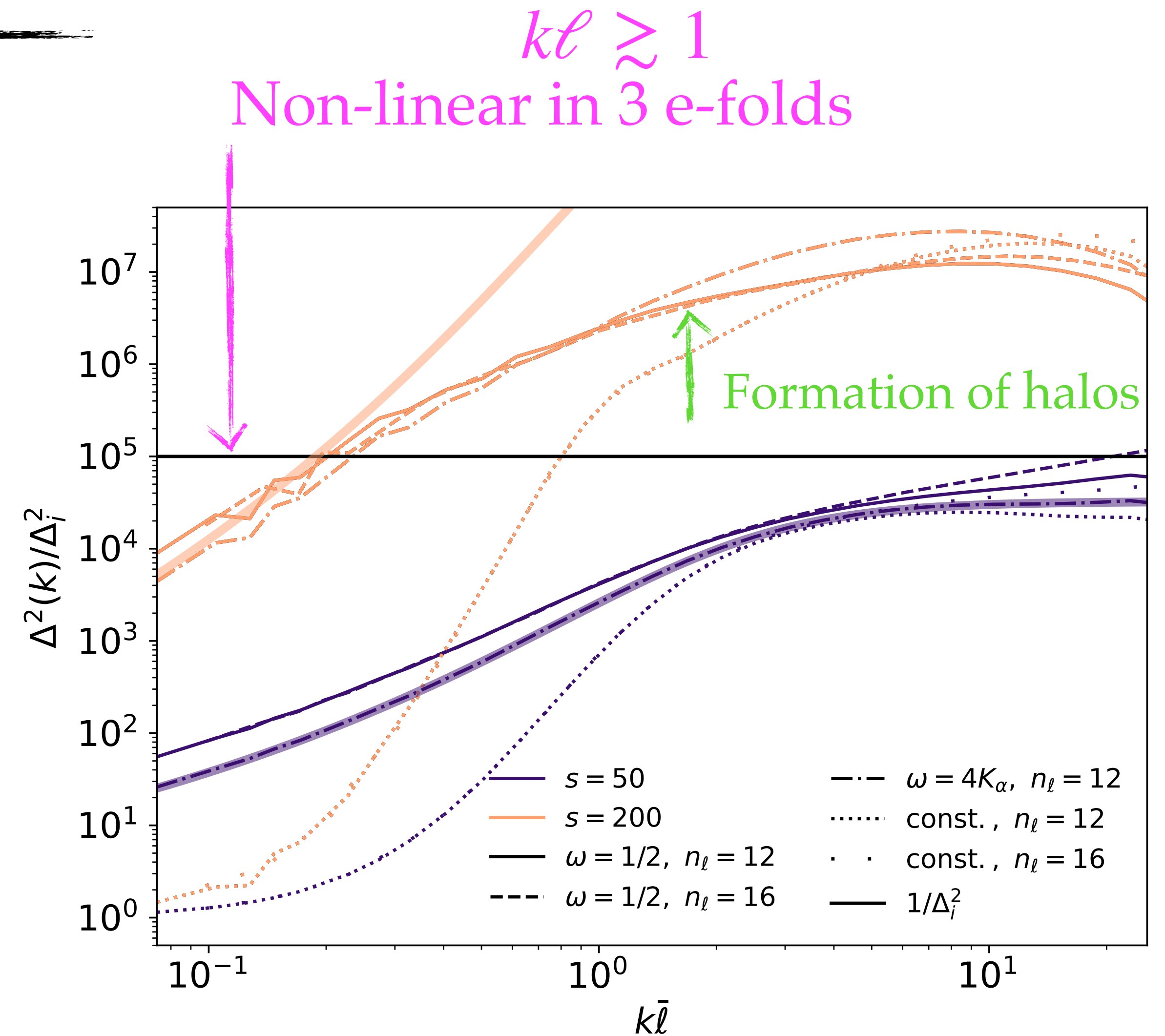


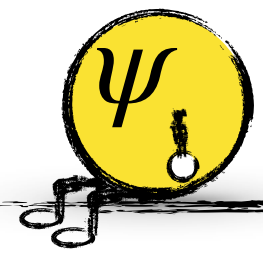
# Spectrum of fluctuations

Linear regime

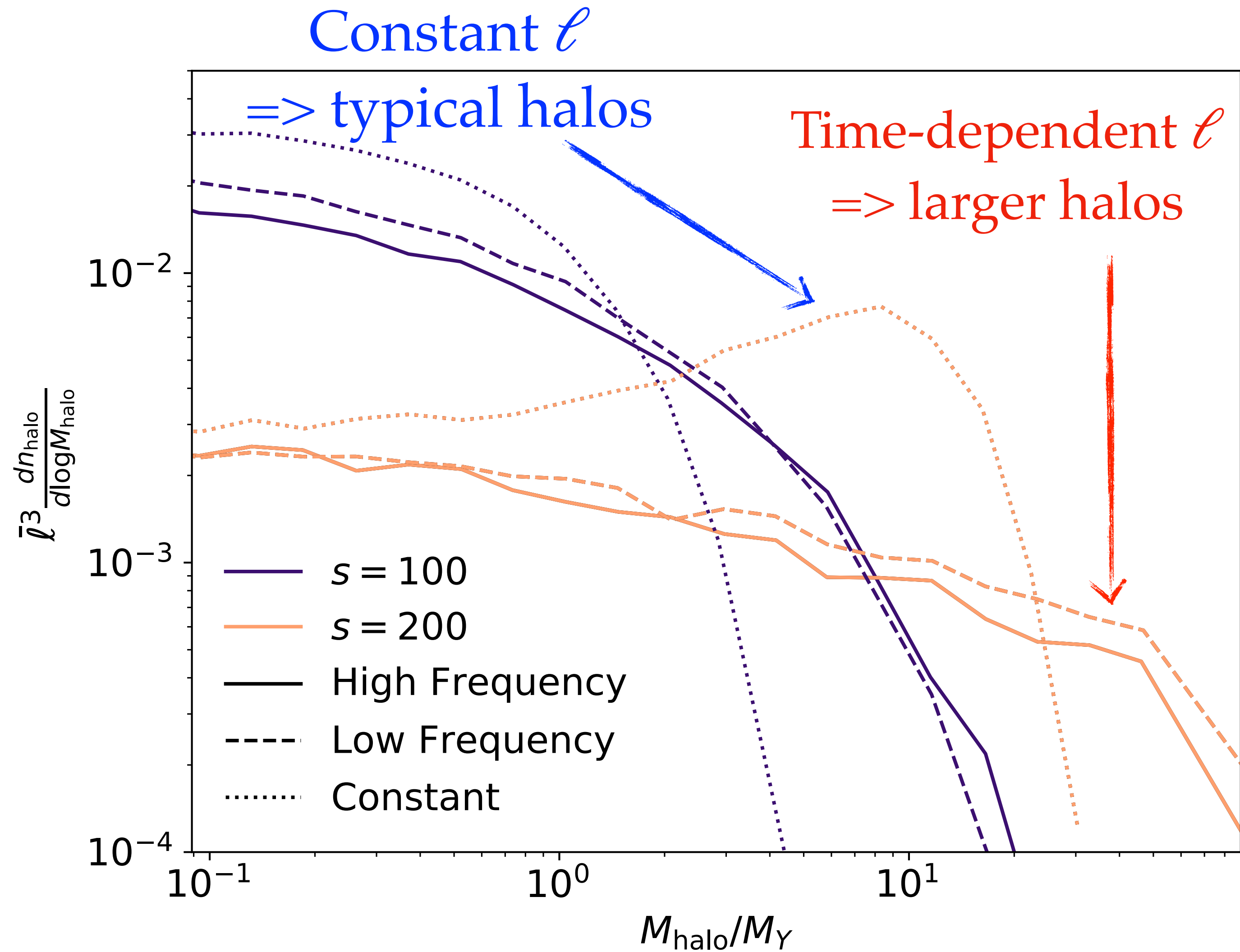


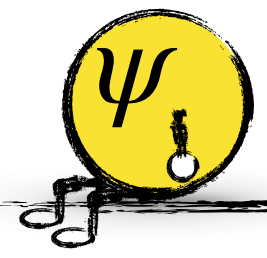
Time dependence allows for longer and more massive structures





# Halo mass function & Mass estimates





# Halo mass function & Mass estimates

Basic length:  $\bar{\ell}_Y \approx \frac{0.23 \text{ km}}{(f_\psi \beta \lambda^{1/2})^{1/3}}$

Basic mass:  $M_Y \approx \frac{6 \times 10^{-6} \text{ g}}{\beta \sqrt{\lambda}}$

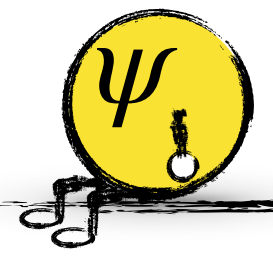
Maximum halo mass grows with s:  $M_{\text{max}}(s) = M_Y (k_{\text{nl}}(s) \bar{\ell})^{-3}$

E.g. at equality:  $M_{\text{max}}(a = a_{\text{eq}}) \approx 5 \times 10^{42} \text{ g} \frac{f_\psi^6}{\sqrt{\lambda}} \left( \frac{\beta}{10^5} \right)^{11}$   $R_{\text{max}}(a_0) \sim \left( \frac{M_{\text{max}}}{4\pi\Delta\rho_\psi/3} \right)^{1/3} = 40 \text{ kpc} \frac{f_\psi^{5/3}}{\lambda^{1/6}} \left( \frac{\beta}{10^5} \right)^{11/3}$

Like a galaxy!

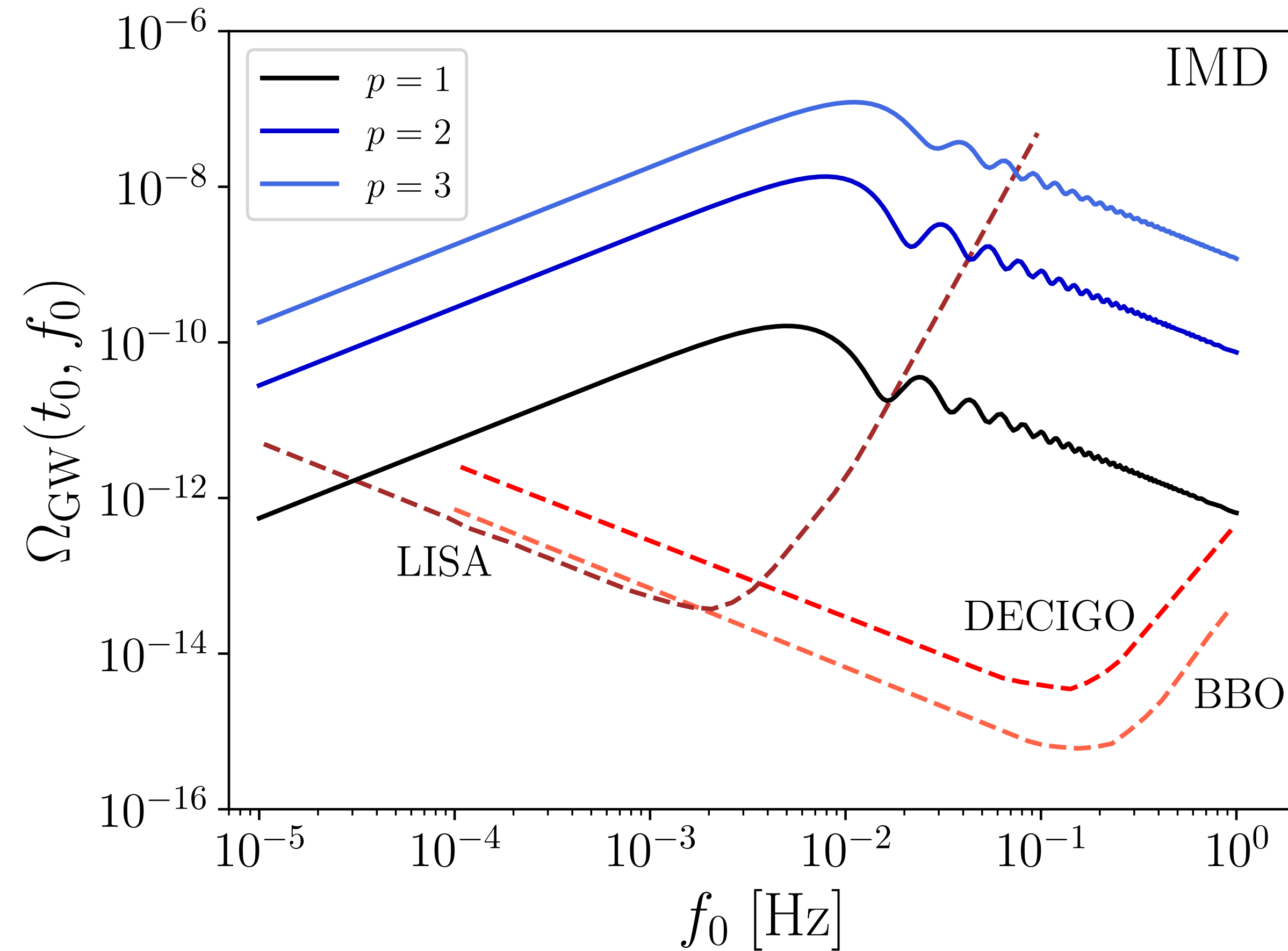
## Potential outcomes:

- 1) Yukawa force overwhelms degeneracy pressure  $\rightarrow$  Total collapse
- 2) Fermions slowly decay into Standard Model  $\rightarrow$  New signatures



# GWs signatures?

Flores, Kusenko & Sasaki: 2209.04970



GW from collapsing structures in an early matter domination

Check <https://arxiv.org/abs/2404.05430> for recent summary



# Halo fates?

Yukawa forces in radiation domination form halos

What next?

- **PBH** formation? If there is efficient radiative cooling yes [Flores & Kusenko 2108.08416]
- **Gravitational waves** from formation? Most likely yes [Flores+ 2209.04970]
- Decay into SM particles? Maybe **magnetogenesis** or **baryogenesis**  
[Durrer & Kusenko 2209.13313] [Flores+ 2208.09789]
- **Dark stars** / halos? [Savastano+ 1906.05300]
- Mixture of heavy fermion dark matter and scalar field (36%) dark matter  
[GD & Sasaki 2104.05271]

# Summary

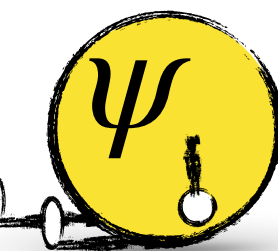
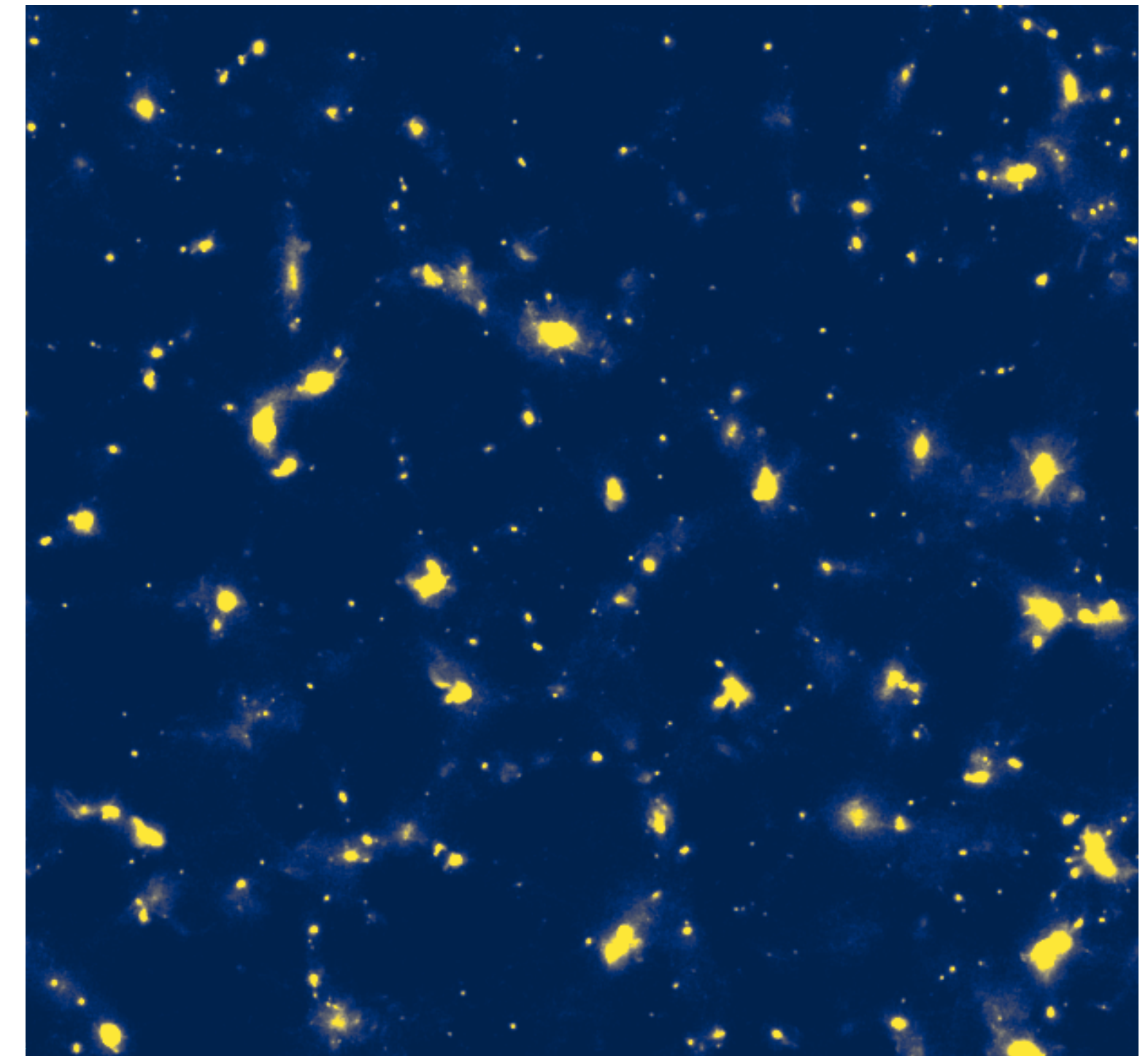
*“Yukawa forces can efficiently form structures  
in the very early universe”*

For a quartic scalar field potential

—> (Pulse-like) Longer range interactions

These halos have rich phenomenology

—> PBHs, annihilation, early galaxies...



# Early universe cosmology of Yukawa interactions

Based on [2104.05271 & 2304.13053]  
with: D. Inman, A. Kusenko & M. Sasaki

by **Guillem Domènech**  
(ITP Hannover)



Seminar at MPIK, Heidelberg,  
June 17th, 2024



# THANK YOU!

