

The KATRIN experiment



Launch Workshop, Heidelberg, November 9-12, 2009

Christian Weinheimer for the KATRIN collaboration

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- **Introduction**
- **Status of the different KATRIN components**
- **Background suppression**
- **Systematics and sensitivity**
- **Conclusion**



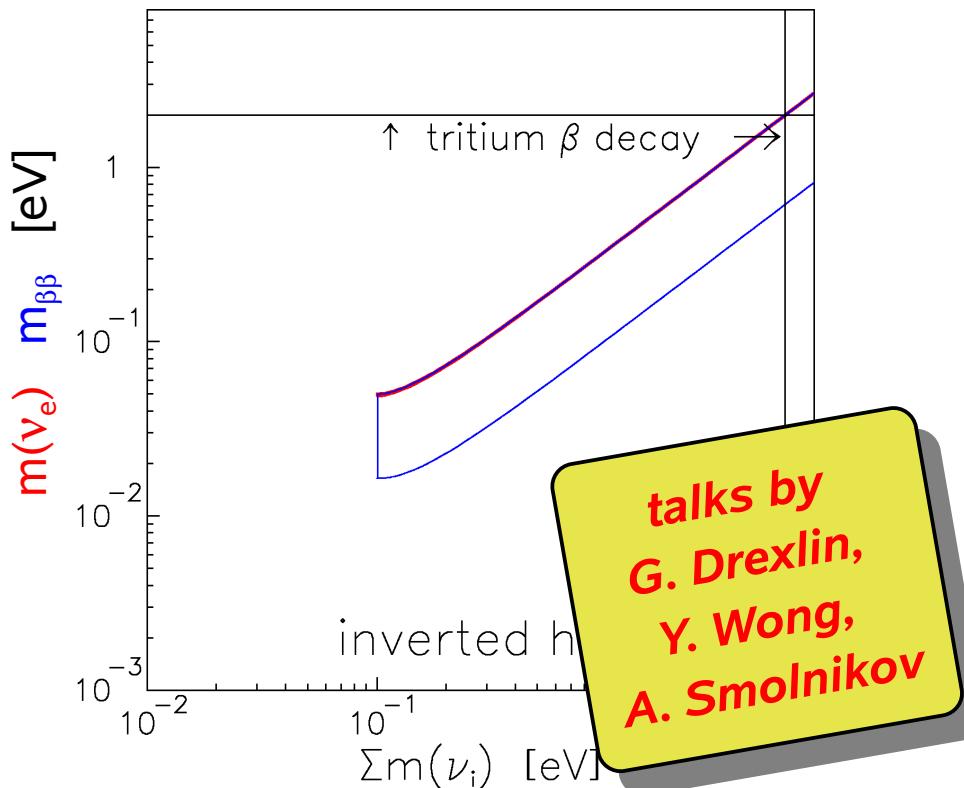
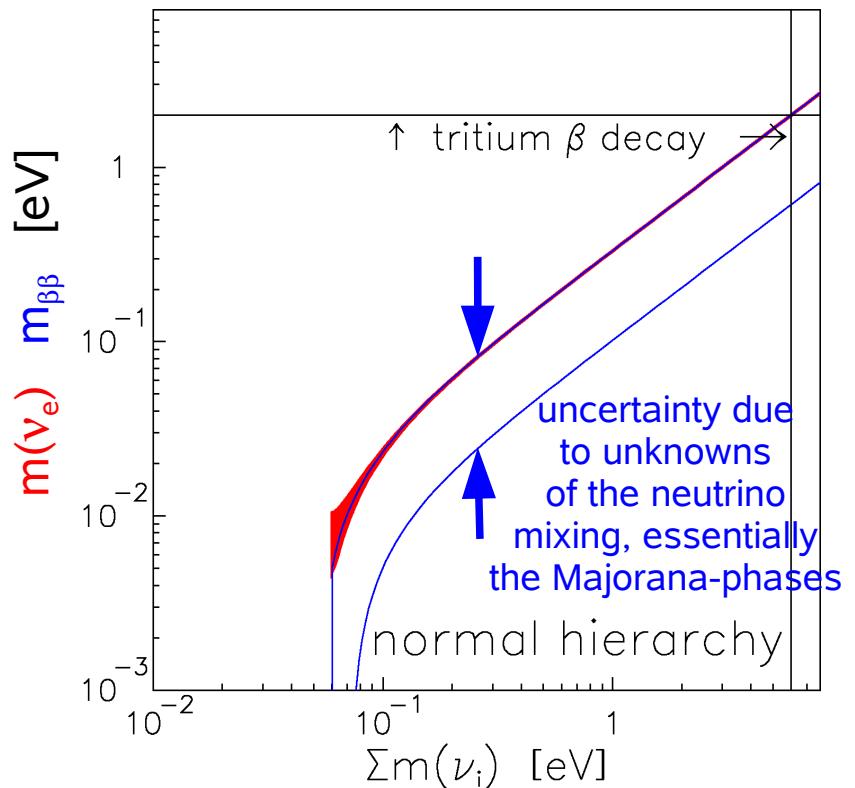
Comparison of the different approaches to the neutrino mass



Direct kinematic measurement: $m^2(\nu_e) = \sum |U_{ei}|^2 m^2(\nu_i)$ (incoherent)

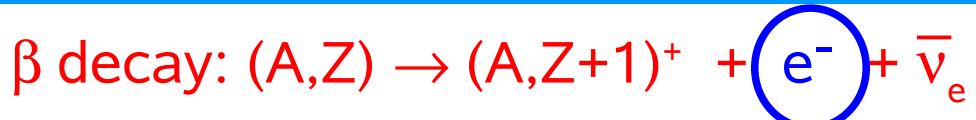
Neutrinoless double β decay: $m_{\beta\beta}(\nu) = |\sum |U_{ei}|^2 e^{i\alpha(i)} m(\nu_i)|$ (coherent)

if no other particle is exchanged (e.g. R-violating SUSY)
problems with uncertainty of nuclear matrix elements



⇒ absolute scale/cosmological relevant neutrino mass in the lab by single β decay

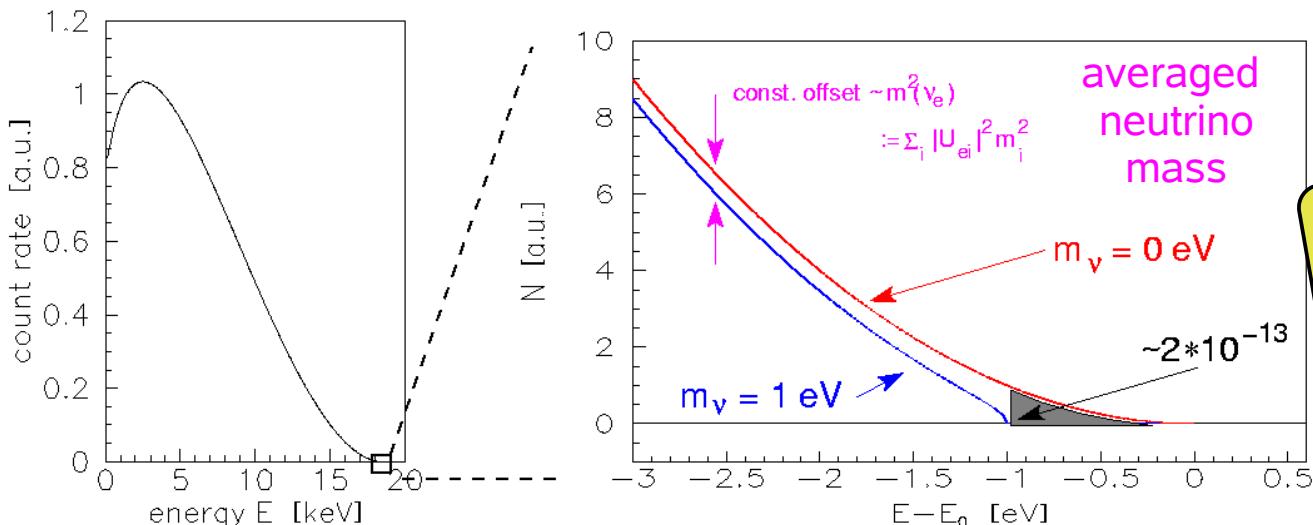
Direct determination of $m(\nu_e)$ from β decay



β electron energy spectrum:

$$dN/dE = K F(E, Z) p E_{\text{tot}} (E_0 - E_e) \sum |U_{ei}|^2 \sqrt{(E_0 - E_e)^2 - m(\nu_i)^2}$$

(modified by electronic final states, recoil corrections, radiative corrections)



E.W. Otten & C. Weinheimer
Rep. Prog. Phys.
71 (2008) 086201

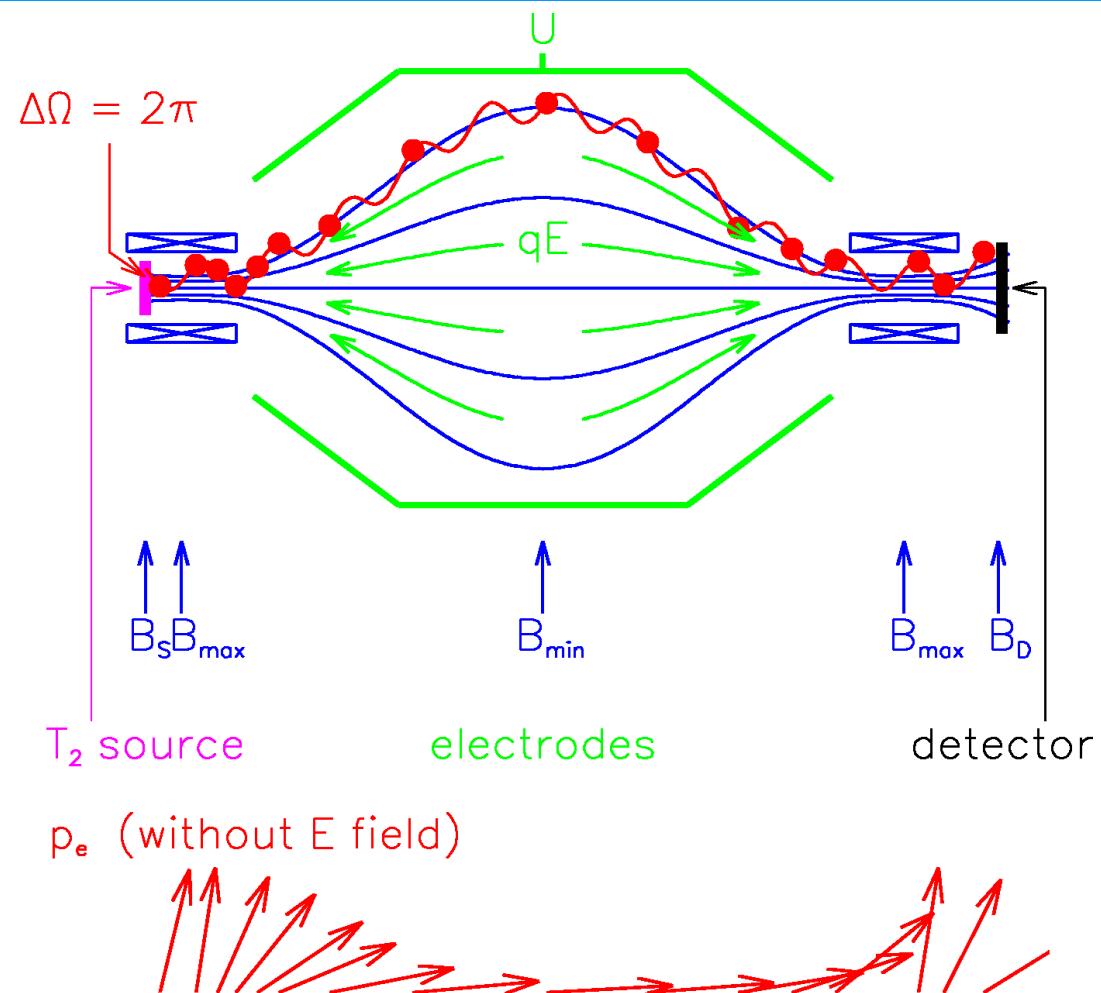
Need: **low endpoint energy**
very high energy resolution &
very high luminosity &
very low background

\Rightarrow Tritium ${}^3\text{H}$, $({}^{187}\text{Re})$

$\left. \begin{array}{l} \text{very high energy resolution} \\ \text{very high luminosity} \\ \text{very low background} \end{array} \right\} \Rightarrow$ MAC-E-Filter
(or bolometer for ${}^{187}\text{Re}$)

Tritium experiments: source \neq spectrometer

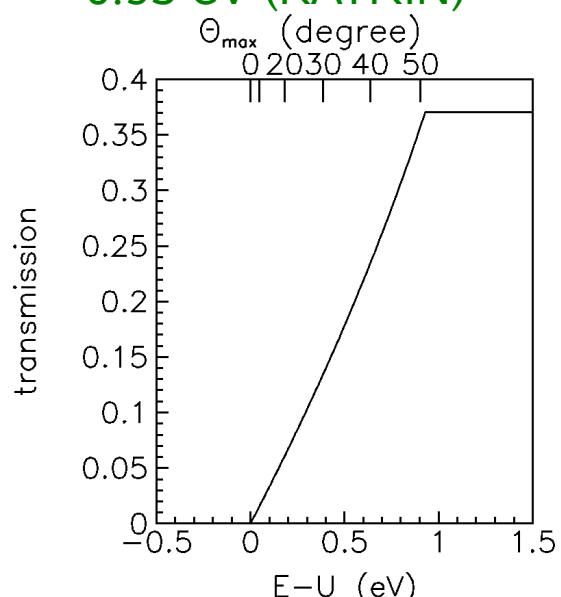
MAC-E-Filter



⇒ sharp integrating transmission function without tails →

Magnetic Adiabatic Collimation + Electrostatic Filter
(A. Picard et al., Nucl. Instr. Meth. 63 (1992) 345)

- Two supercond. solenoids compose magnetic guiding field
- adiabatic transformation:
 $\mu = E_\perp / B = \text{const.}$
⇒ parallel e^- beam
- Energy analysis by electrostat. retarding field
 $\Delta E = E \cdot B_{min} / B_{max}$
 $= 0.93 \text{ eV (KATRIN)}$

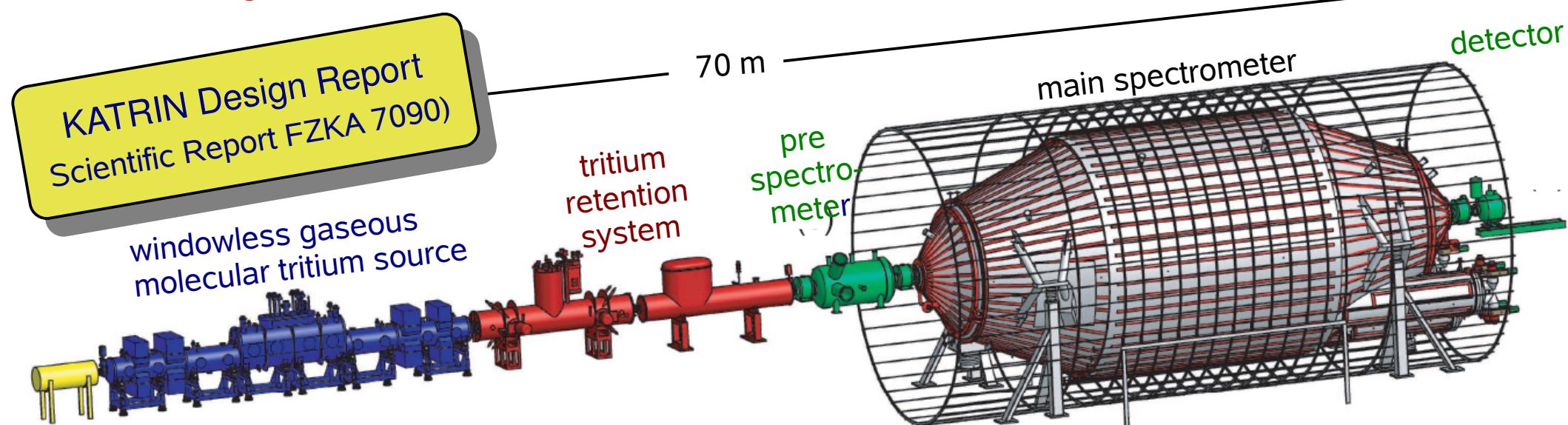


The Karlsruhe Tritium Neutrino experiment KATRIN

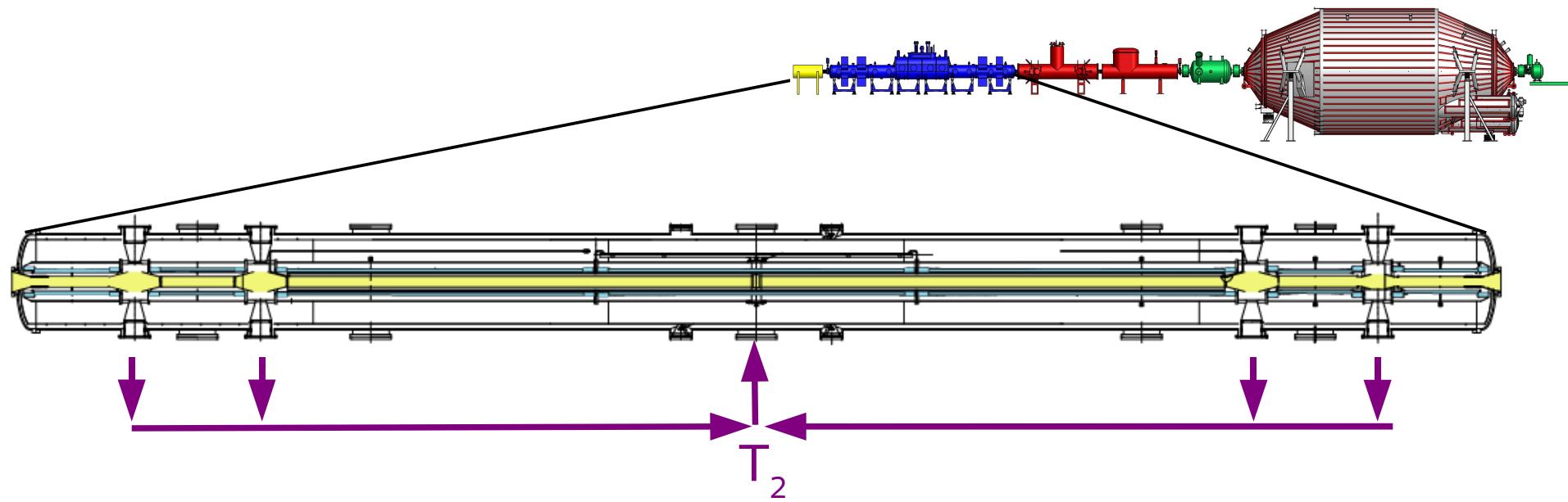
is being set up at the Forschungszentrum Karlsruhe

Physics Aim: $m(\nu_e)$ sensitivity of 0.2 eV (currently 2 eV)

- higher energy resolution: $\Delta E \approx 1\text{eV}$
since $E/\Delta E \sim A_{\text{spectrometer}}$ ⇒ larger spectrometer
 - relevant region below endpoint becomes smaller
even less rate $dN/dt \sim A_{\text{source}} \sim A_{\text{spectrometer}}$ ⇒ larger spectrometer
 - small systematics ⇒ windowless gaseous tritium source
 - much longer measurement time: $100\text{ d} \rightarrow 1000\text{ d}$
- } $\varnothing 10\text{m}$



Molecular Windowless Gaseous Tritium Source WGTS



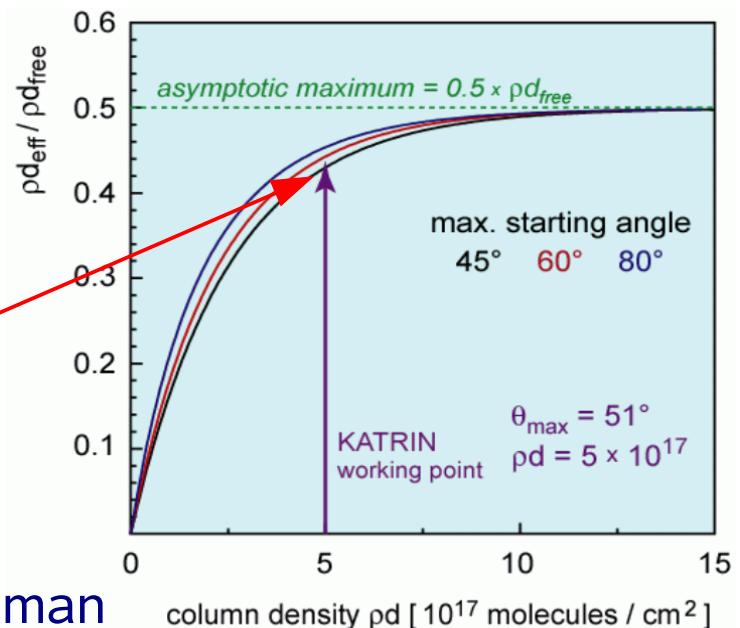
WGTS: tub in long superconducting solenoids
 \varnothing 9cm, length: 10m, $T = 30$ K

Tritium recirculation (and purification)
 $p_{\text{inj}} = 0.003$ mbar, $q_{\text{inj}} = 4.7$ Ci/s

allows to measure with near to maximum count rate using

$$\rho d = 5 \cdot 10^{17} / \text{cm}^2$$

with small systematics



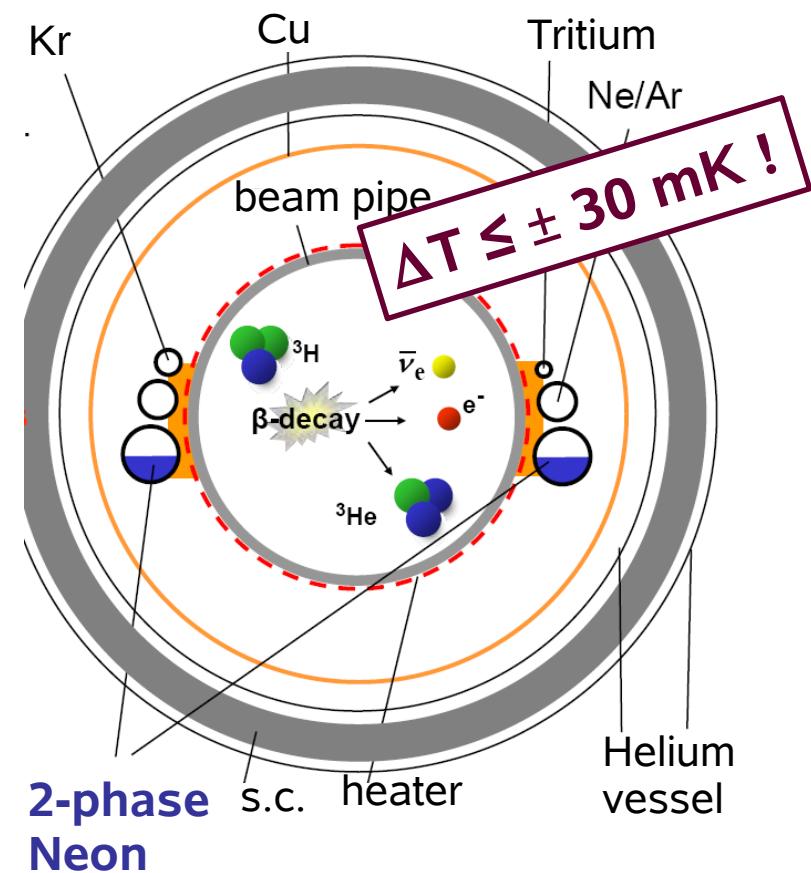
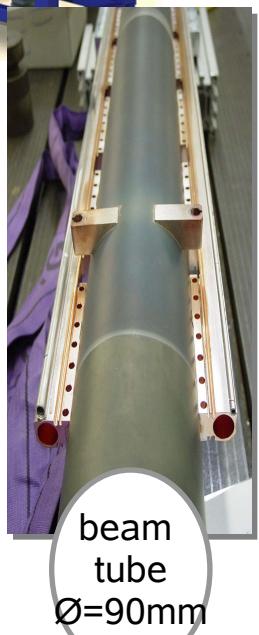
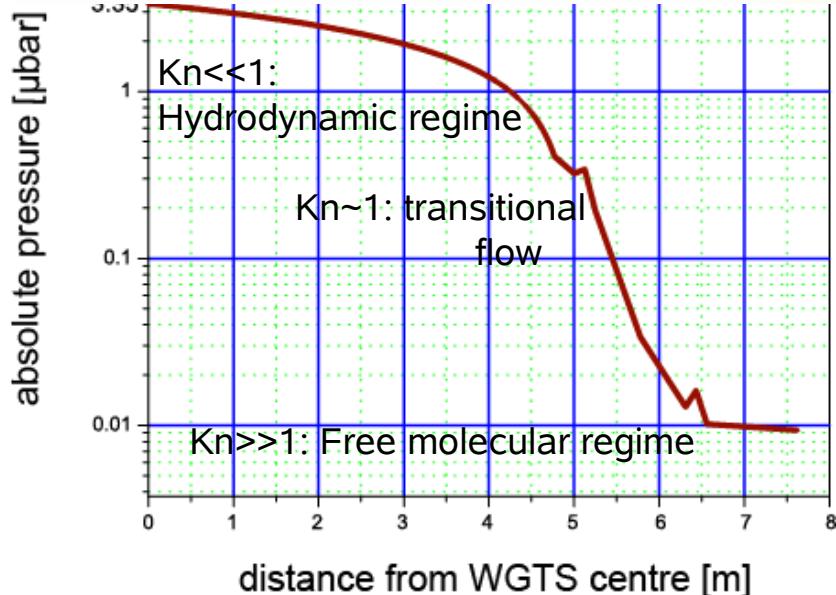
check column density by e-gun, T_2 purity by laser Raman

Molecular Windowless Gaseous Tritium Source WGTS

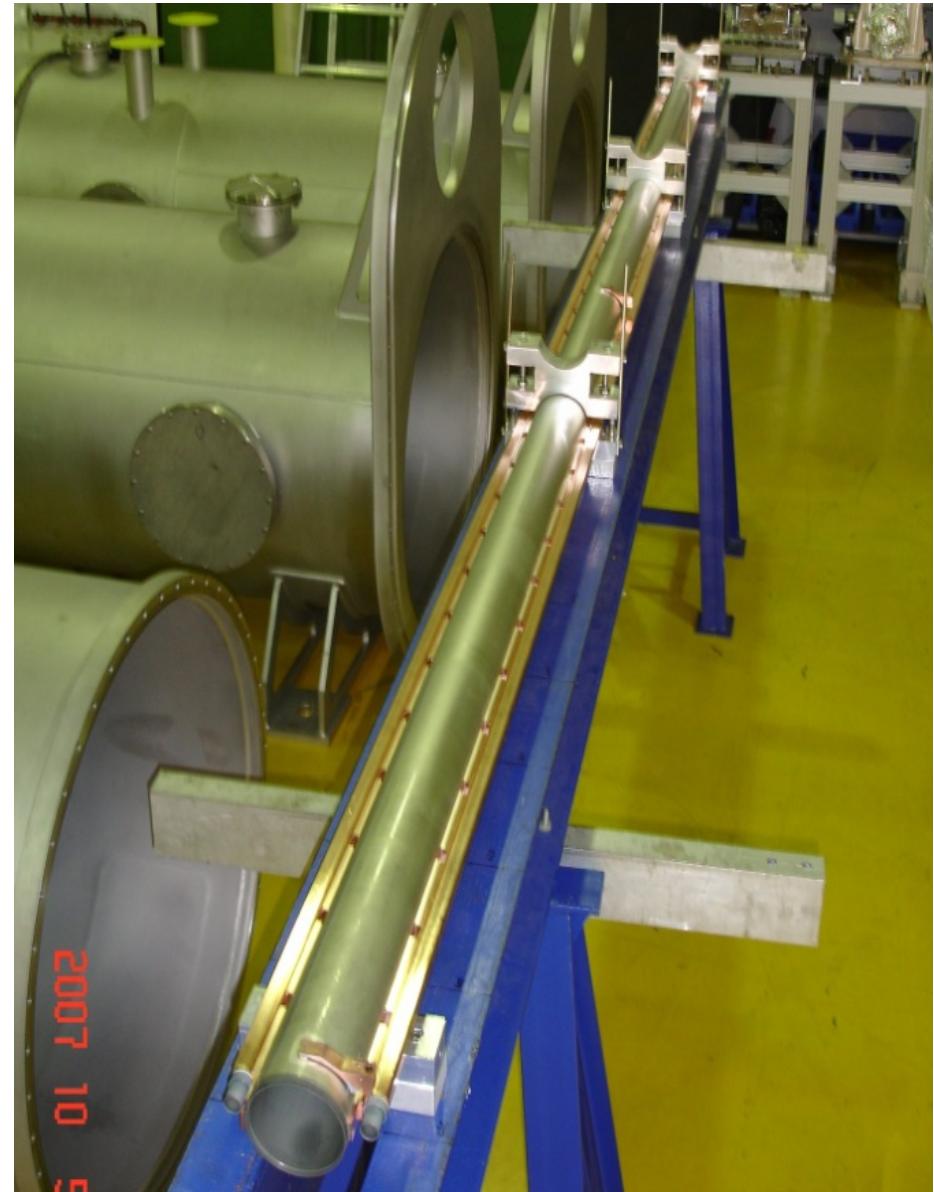
Conceptional design

Neon cooling with
g temperature: 27–28 K
I (homogeneity): $\pm 0.1\%$
(stability/hour): $\pm 0.1\%$

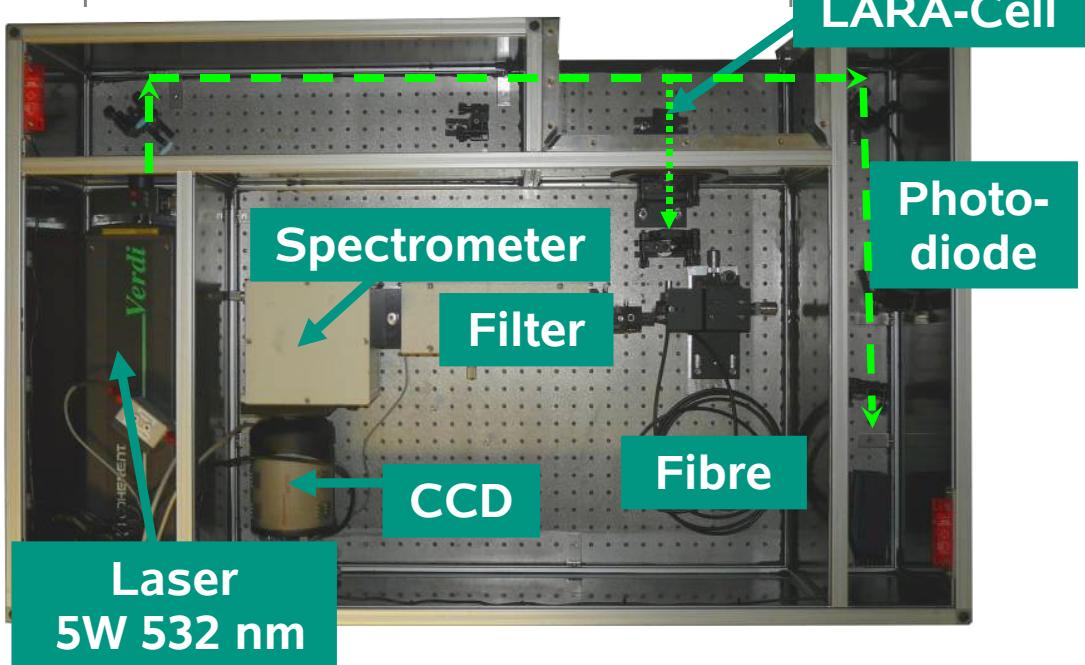
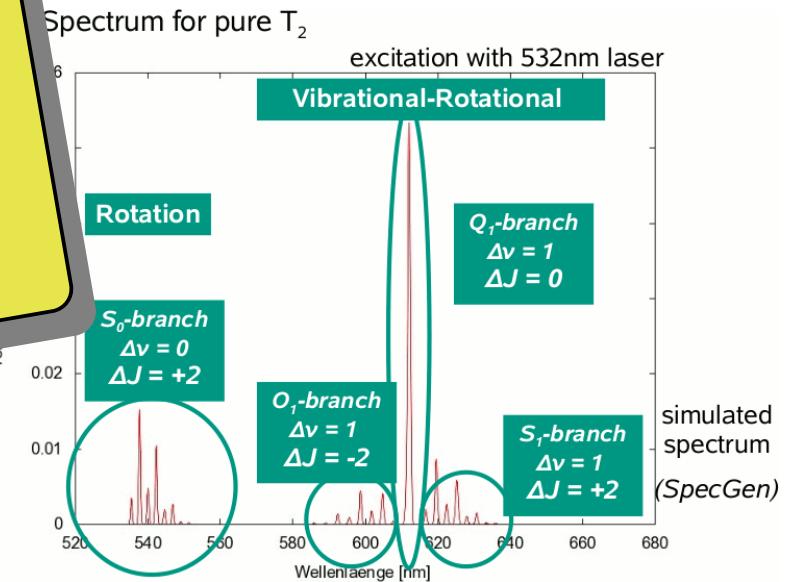
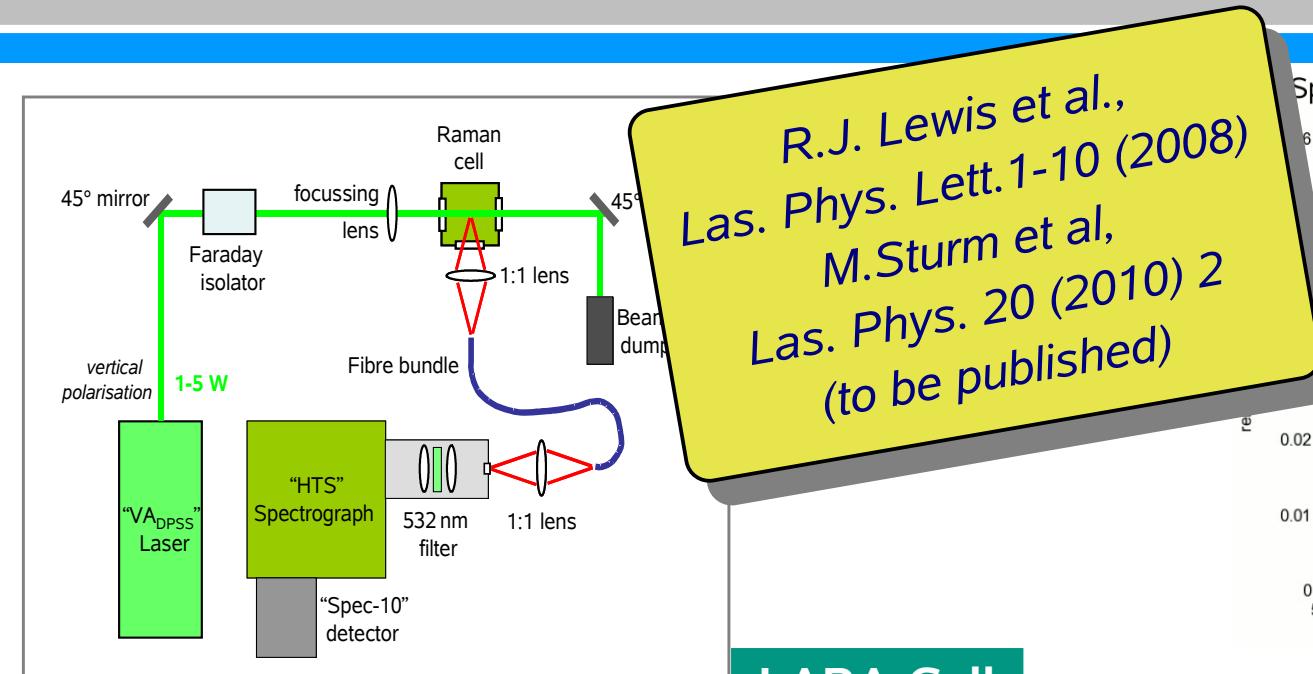
S. Grohmann,
Cryogenics 49,
No. 8 (2009) 413



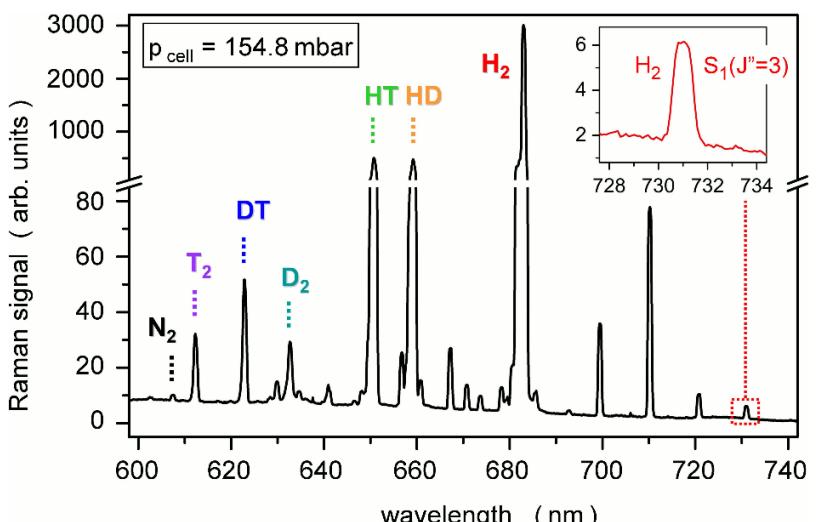
WGTS under construction



Measurement of tritium concentration by laser Raman spectroscopy



$$H_2 / HD / T_2 / DT / HT \\ = 0.820 / 0.083 / 0.003 / 0.005 / 0.085$$

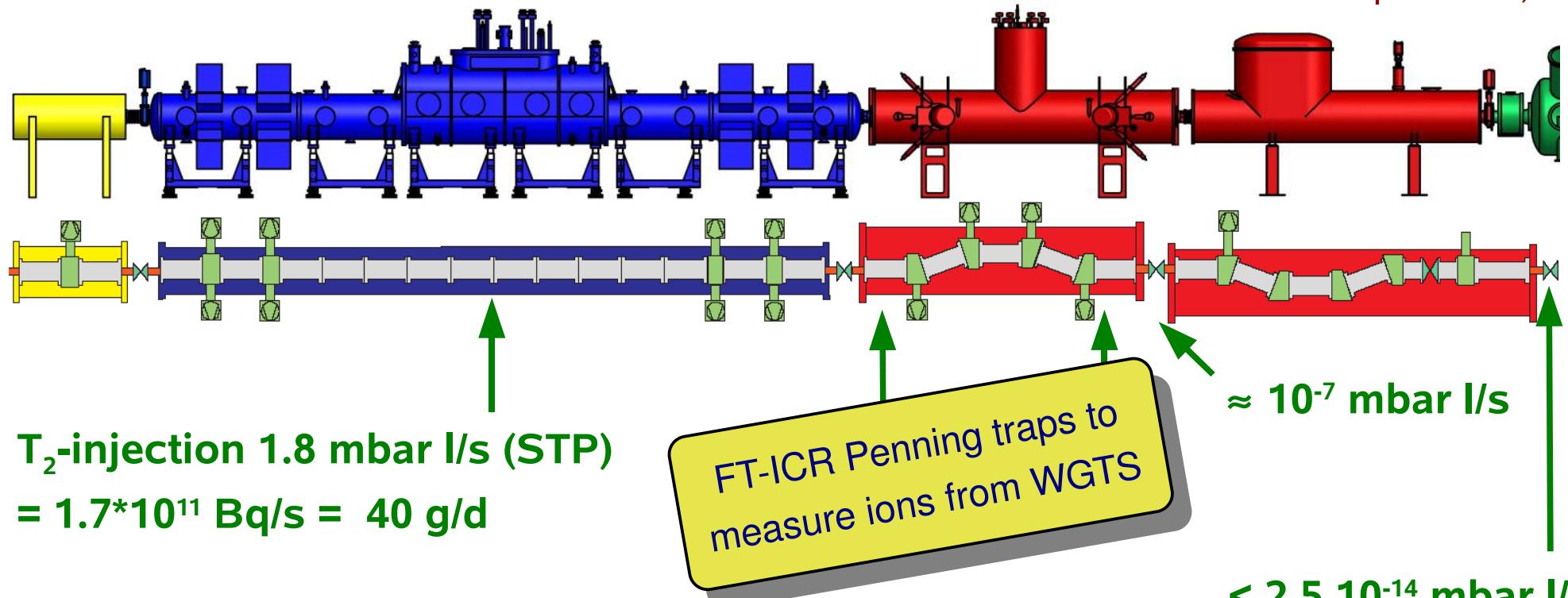


Transport and differential & cryo pumping sections

Molecular windowless
gaseous tritium source

Differential
pumping

Cryogenic
pumping
with Argon snow
at LHe temperatures
(successfully tested with the
TRAP experiment)



⇒ adiabatic electron guiding & T_2 reduction factor of $\sim 10^{14}$

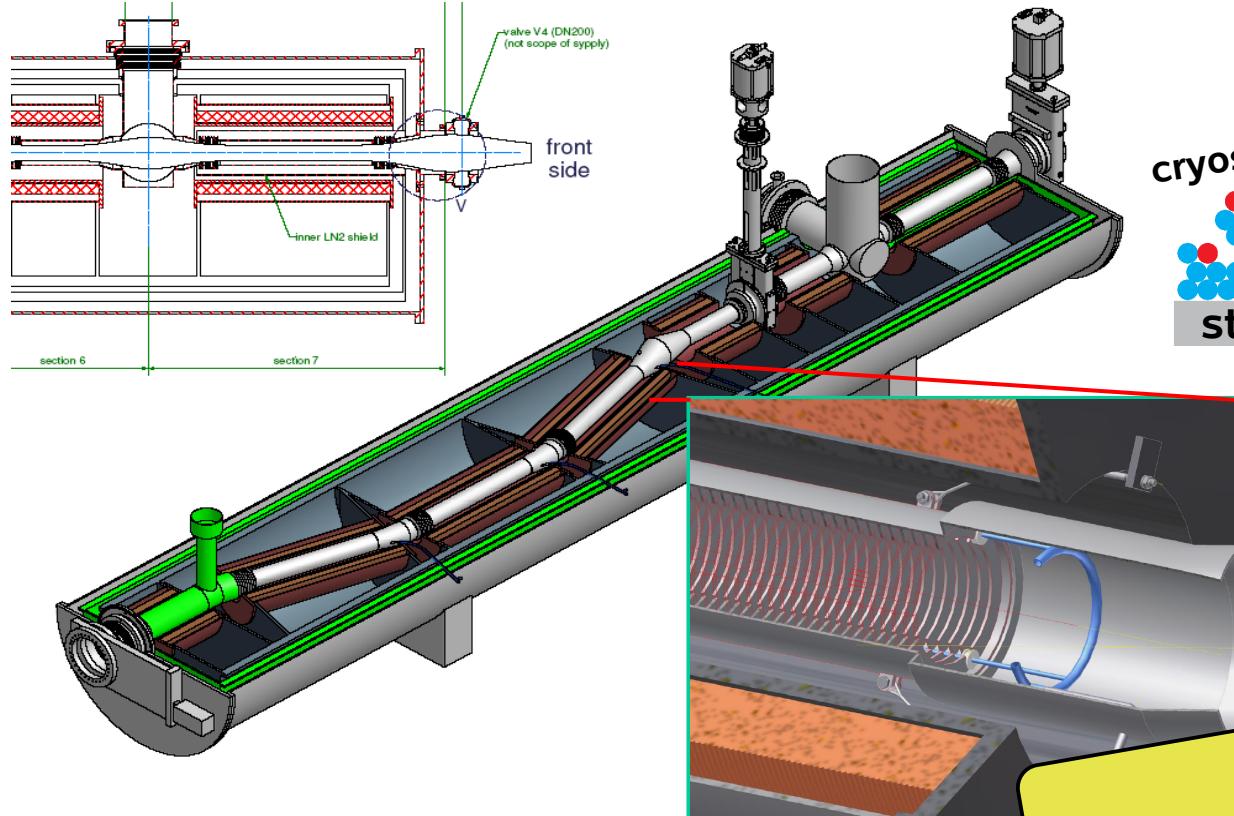
Arrival of DPS2-F at Karlsruhe: July 15, 2009



FT-ICR Penning traps:
M. Ubieto-Diaz et al.,
Int. J. Mass. Spectrom.
288 (2009) 1-5

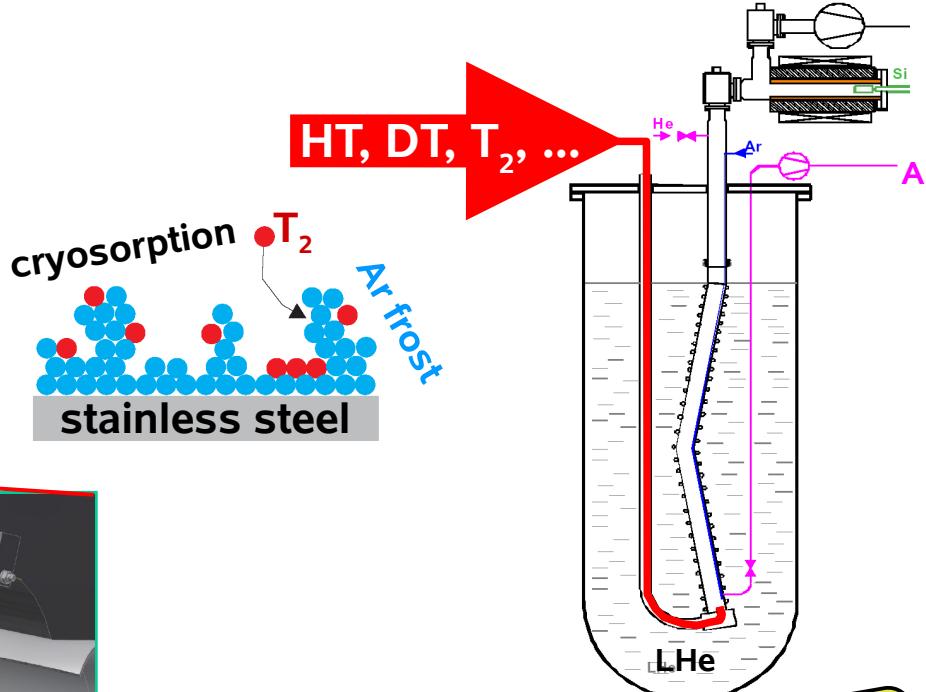
Cryogenic pumping section and test of principle

CPS: cryogenic pumping section



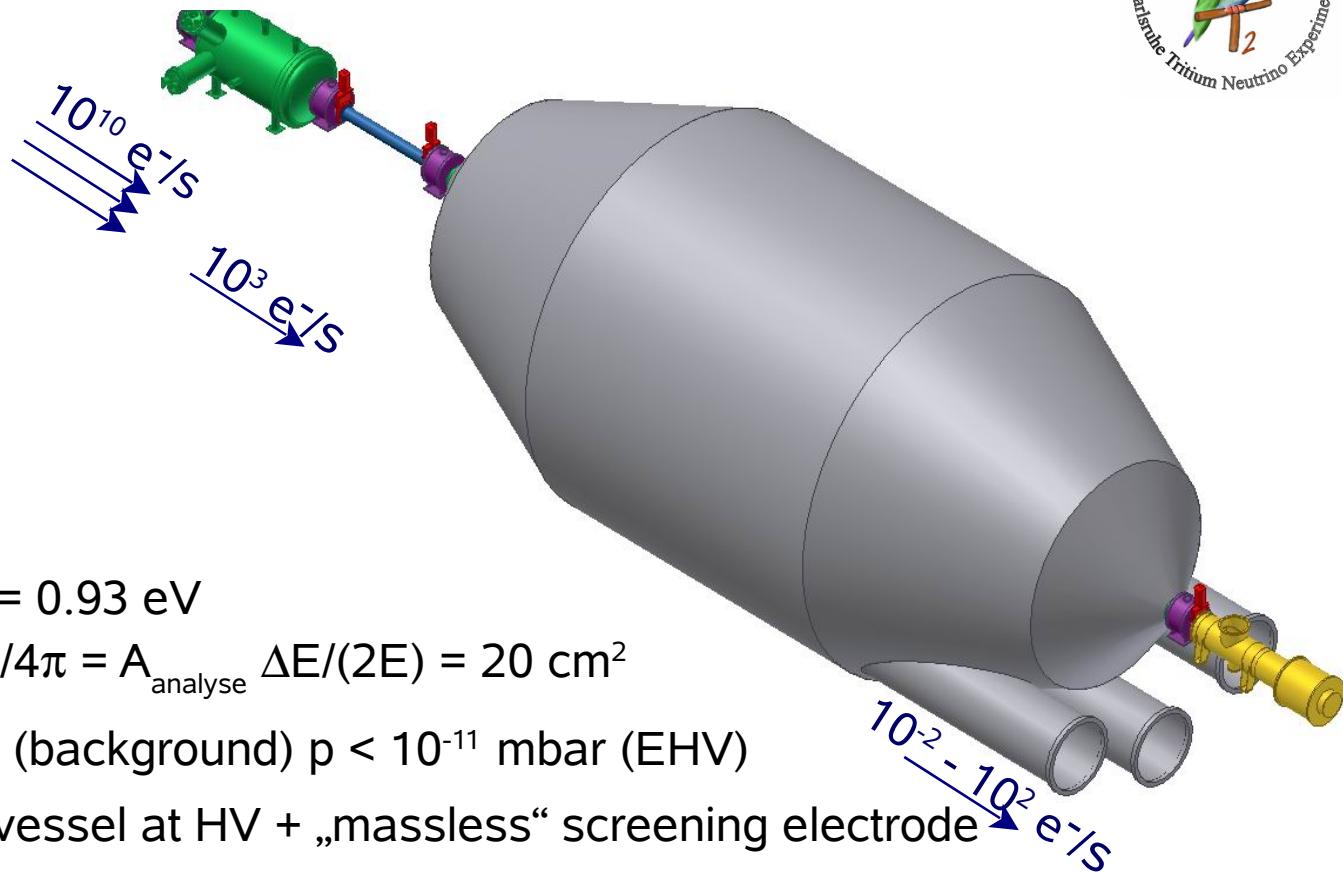
- cryosorption of T_2 by Ar frost
- magnetic guiding field $B = 5.6$ T
- specification finished
- estimated delivery 2010

TRAP: TRitium Argon frost Pump



O. Kazachenko et al.,
Nucl. Instr. Meth. A 587 (2008) 136
F. Eichelhardt et al.,
Fusion Science and Technology 54 (2008) 615

Pre and main spectrometer



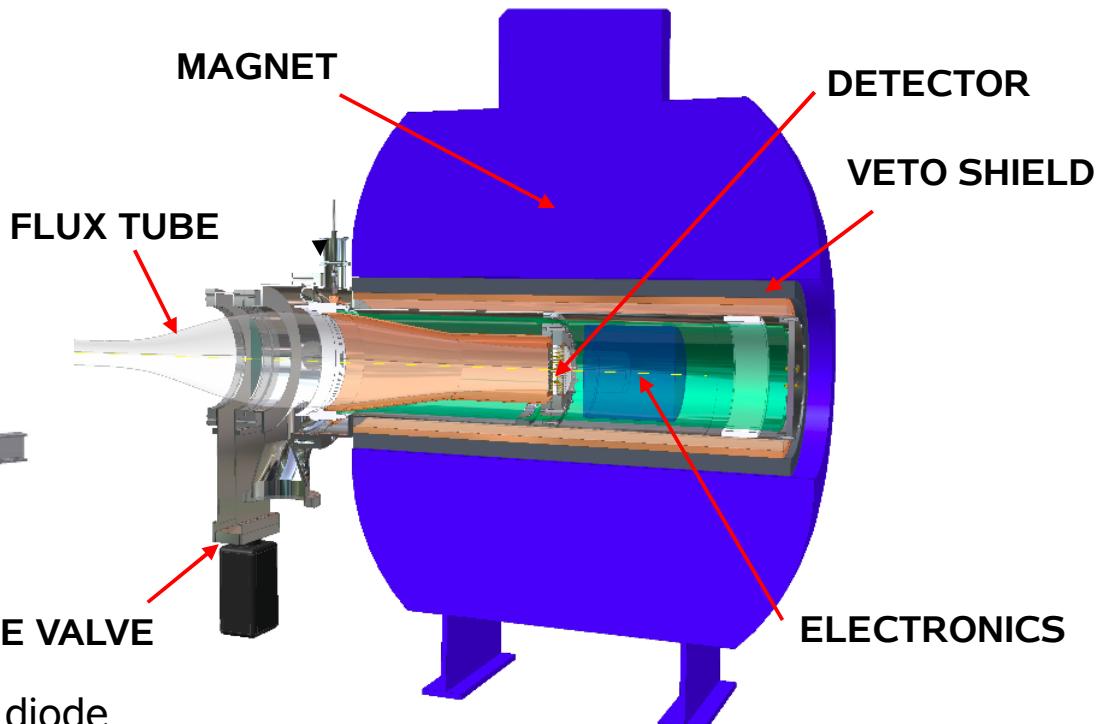
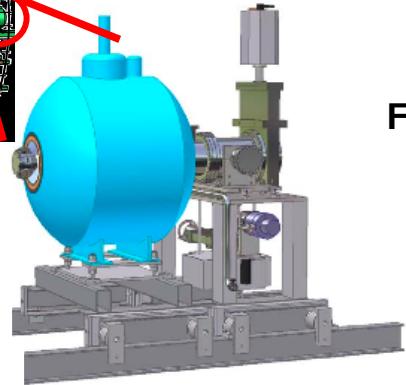
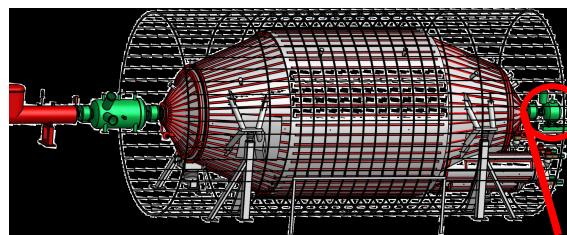
Main spectrometer:

- Ø10m, length 24m
⇒ large energy resolution: $\Delta E = 0.93 \text{ eV}$
- ⇒ high luminosity: $L = A_{\text{Seff}} \Delta\Omega/4\pi = A_{\text{analyse}} \Delta E/(2E) = 20 \text{ cm}^2$
- ultrahigh vacuum requirements (background) $p < 10^{-11} \text{ mbar}$ (EHV)
- „simple“ construction: vacuum vessel at HV + „massless“ screening electrode

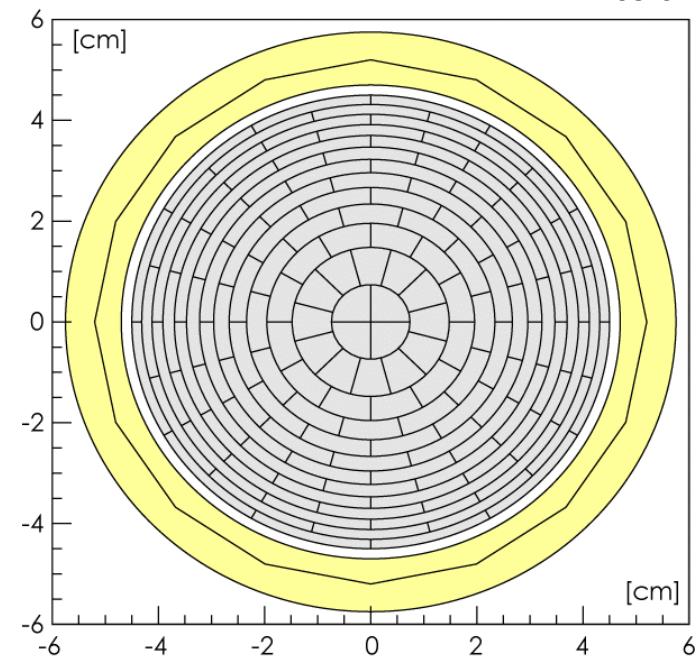
Pre spectrometer

- Transmission of electron with highest energy only (10^{-7} part in last 100 eV)
⇒ Reduction of scattering probability in main spectrometer
- ⇒ Reduction of background
- only moderate energy resolution required: $\Delta E = 80 \text{ eV}$
- test of new ideas (EHV, shape of electrodes, avoid and remove of trapped particles, ...)

Detector Setup



$A = 63 \text{ cm}^2$



- Si-Pin diode
 - Detection of transmitted β -decay electrons (mHz to kHz)
 - **Low background for endpoint investigation**
 - High energy resolution $\Delta E < 1 \text{ keV}$
 - 12 rings with 30° segmentation + 4 fold center = **148 pixels**
 - record azimuthal and radial profile of flux tube
 - minimize background
 - investigate systematic effects
 - compensate field inhomogeneity in analyzing plane
- (magn. field of 3 - 6 T, active veto shield, post-accel. mode)

Main Spectrometer – Transport to Forschungszentrum Karlsruhe



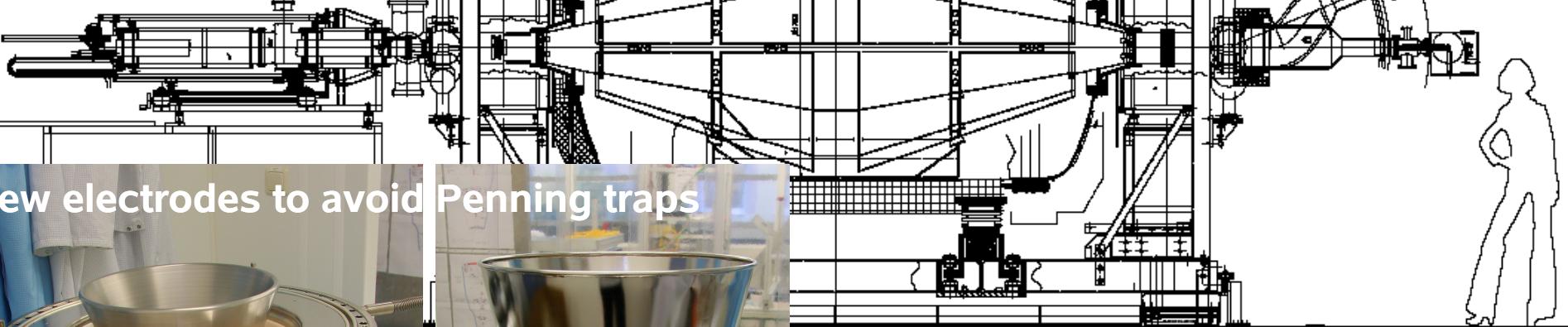
Tritium Laboratory Karlsruhe

spectrometer
hall

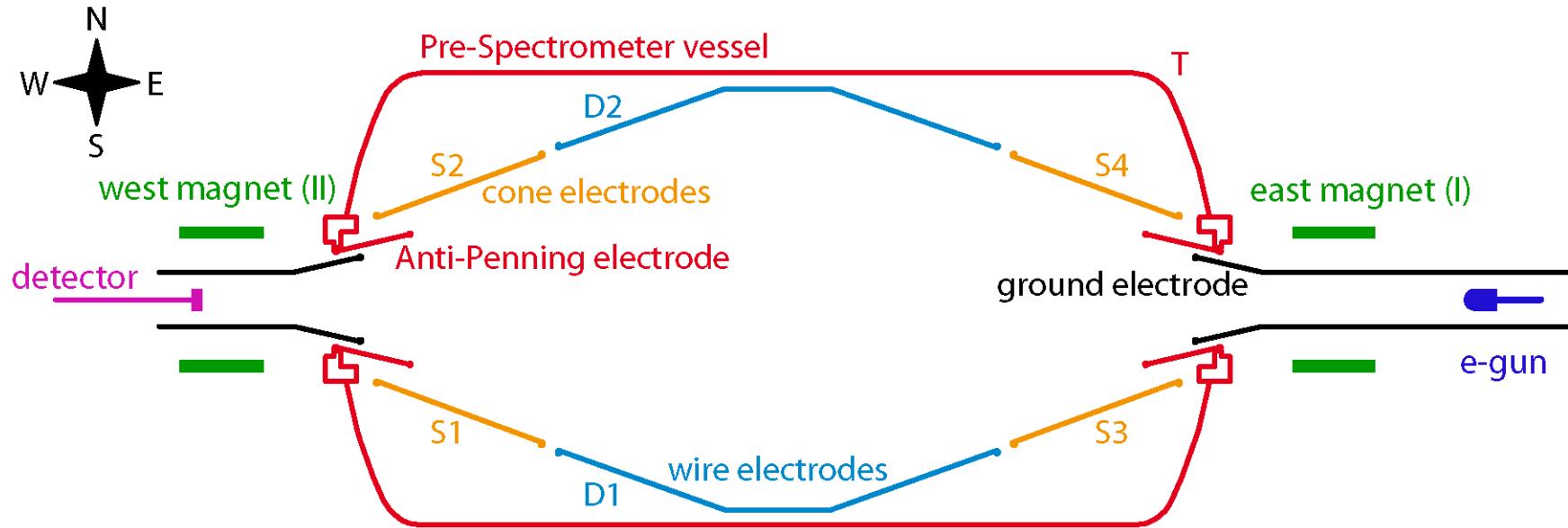
support
buildings

main spectrometer

Electromagnetic design tests at the pre spectrometer

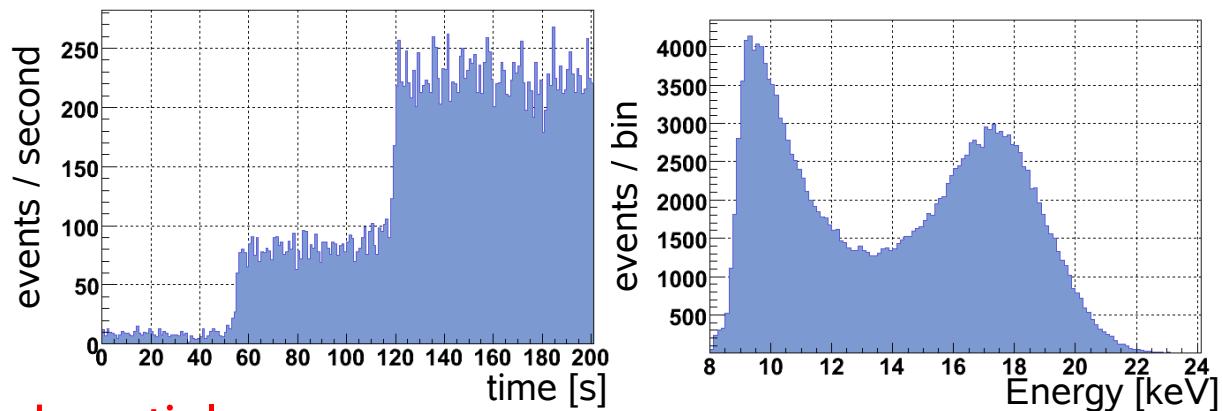


Pre spectrometer background studies I



Background at high B-fields ($B_{\max} > 2T$)

- strong dependence on B (threshold)
- delayed ignition
- background strongly correlated with p
- strong dependence on voltage

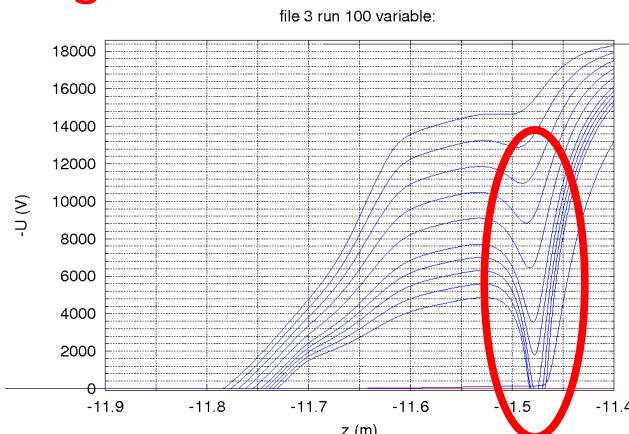
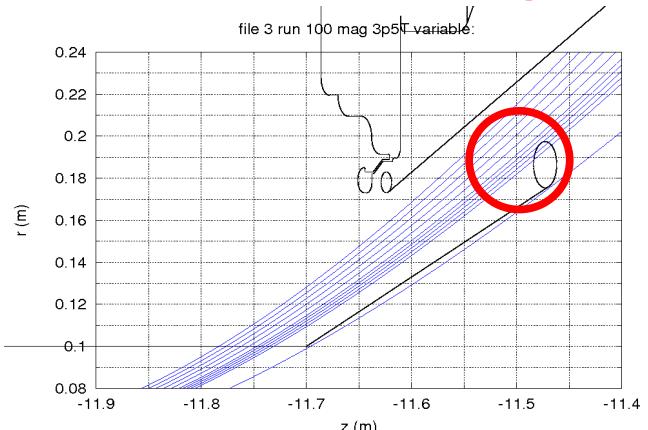


⇒ background caused by trapped particles

Pre spectrometer background studies II



Problem: very small, but deep Penning traps near geometrical corners

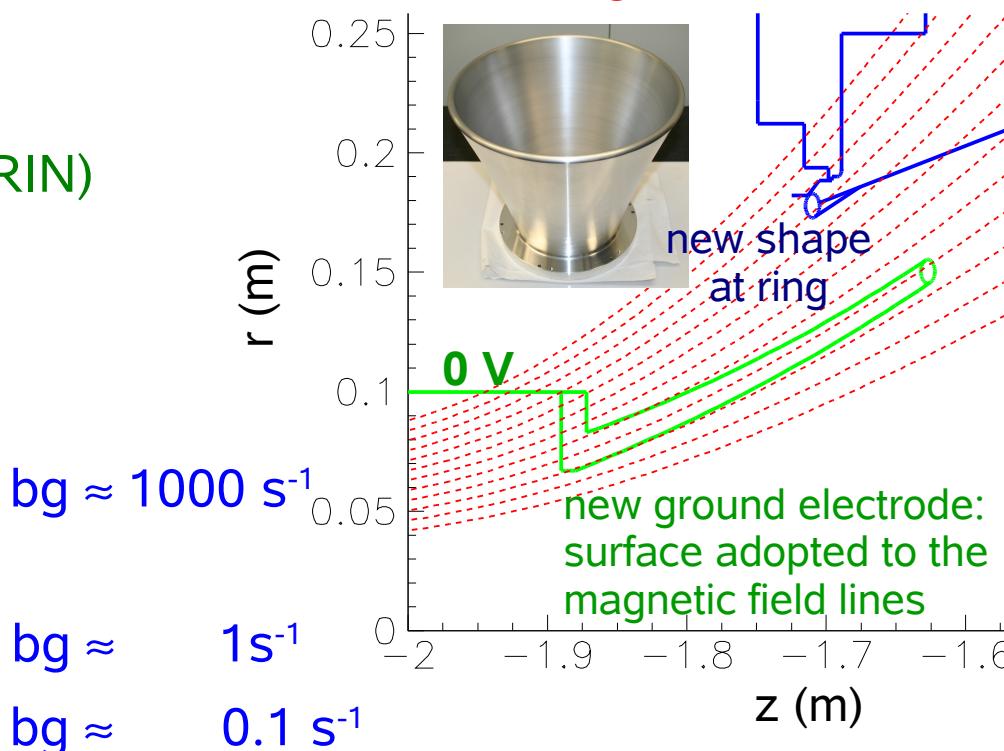


Solution:

- very precise and very detailed electromagnetic calculations (special codes developed by KATRIN)
- avoid Penning trap by optimally shaped electrodes

Result: Background reduction by 10^4 :

- with small Penning traps:
- optimally shaped electrodes with residual shallow Penning trap
- no residual Penning trap



$$bg \approx 1000 \text{ s}^{-1}$$

$$bg \approx 1 \text{ s}^{-1}$$

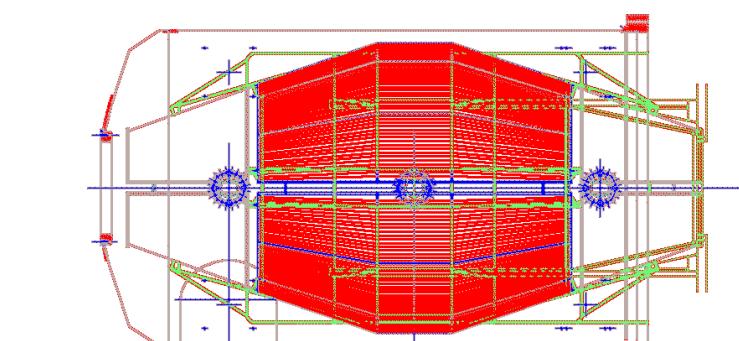
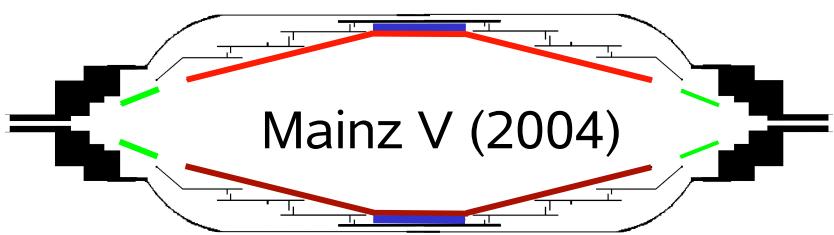
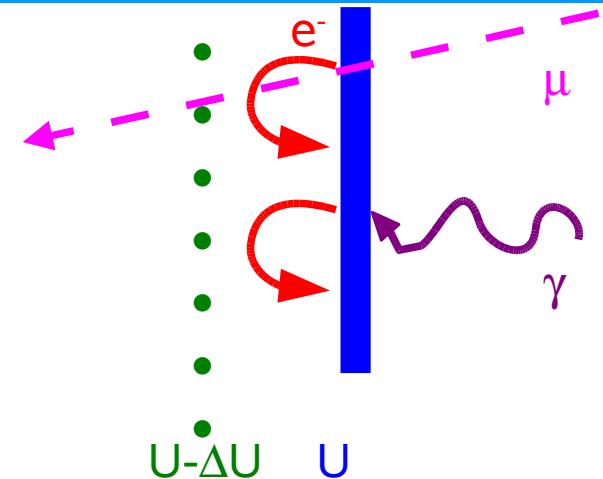
$$bg \approx 0.1 \text{ s}^{-1}$$

Background reduction: shielding by „massless“ wire electrode

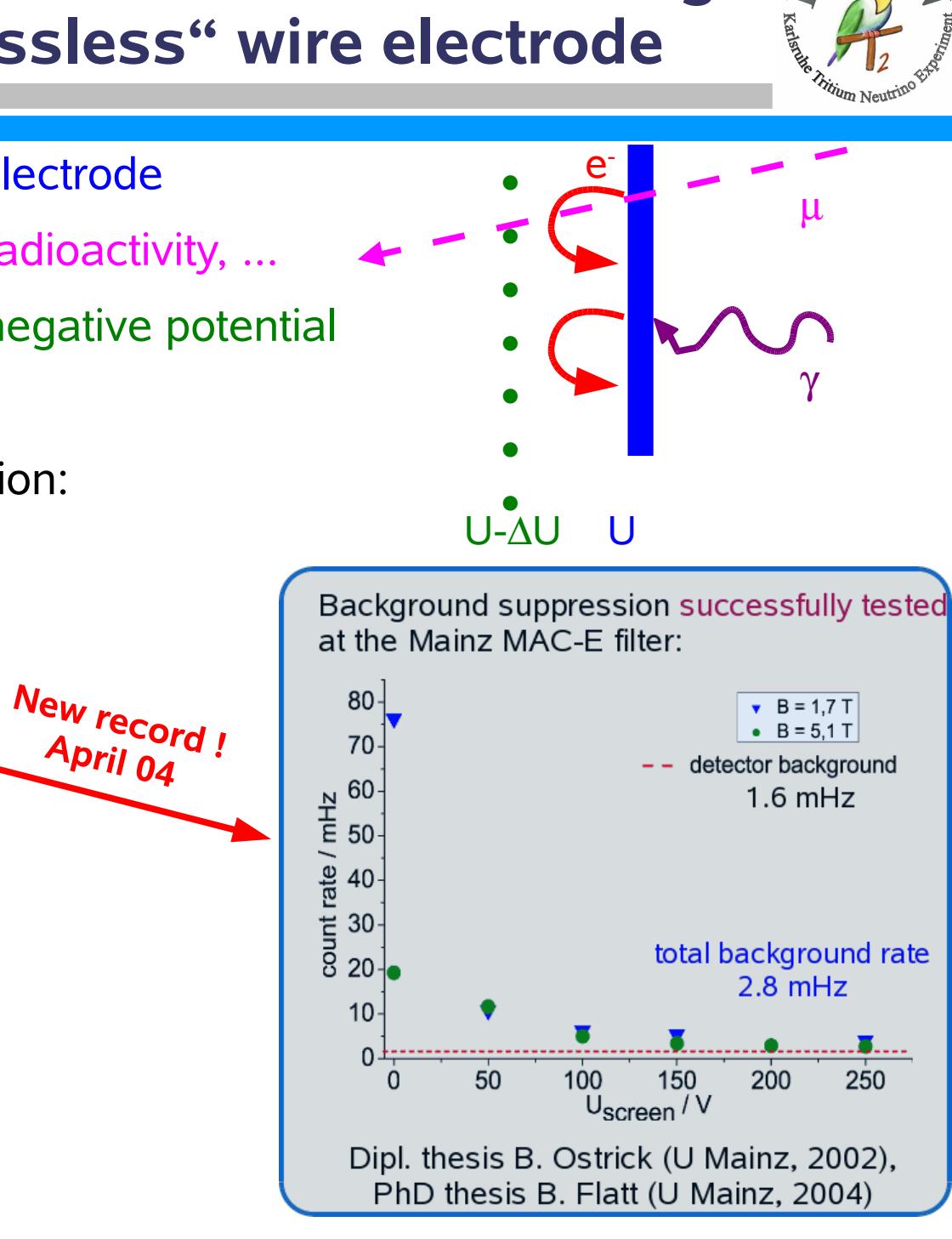
Secondary electrons from wall/electrode
by cosmic rays, environmental radioactivity, ...
wire electrode on slightly more negative potential



First realisation:
Mainz III

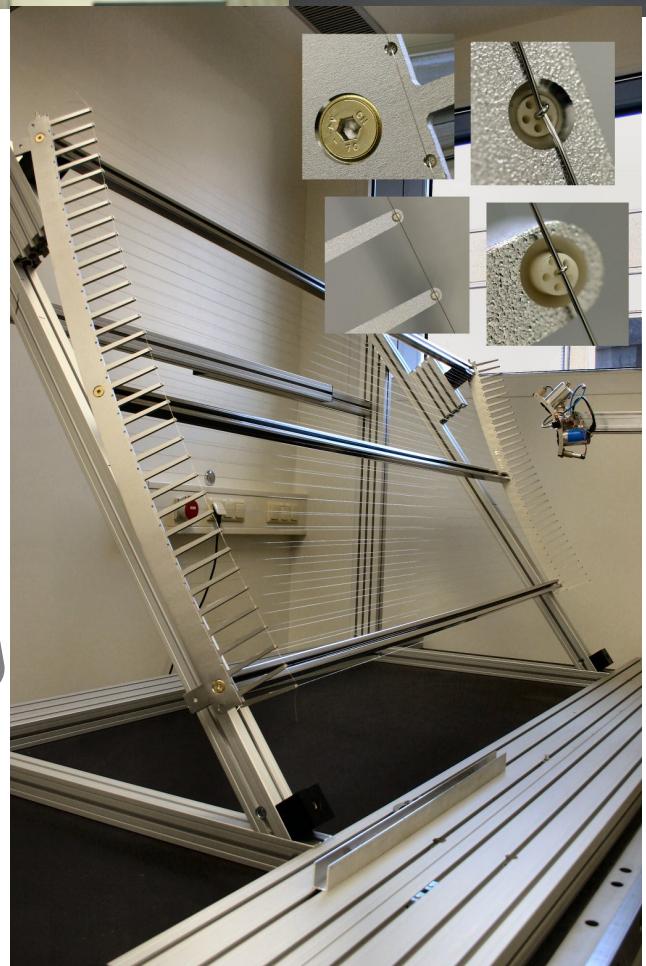
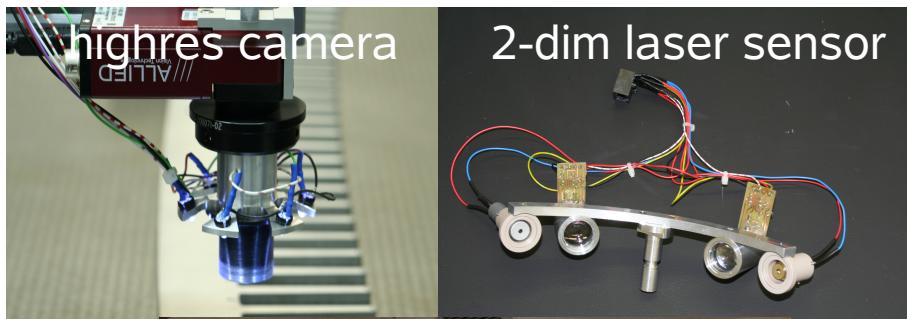
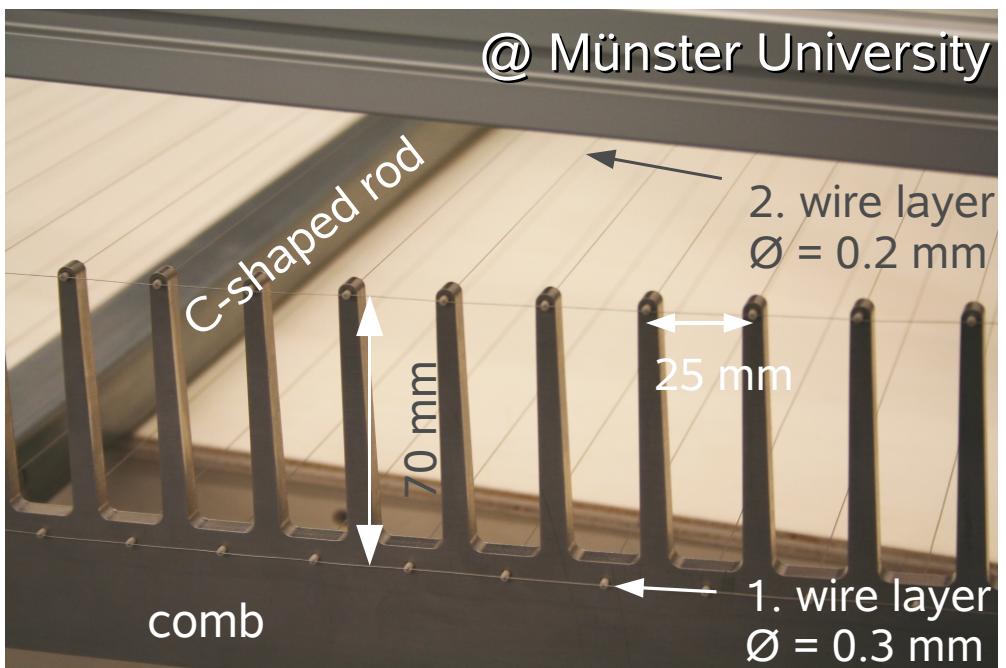


KATRIN pre spectrometer

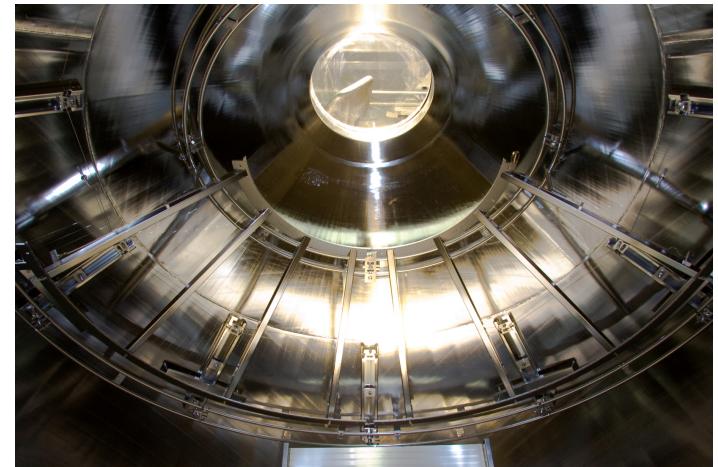


Double-wire layer electrode (690m^2) production and quality assurance

@ Münster University



Electrode module installation at the main spectrometer has started



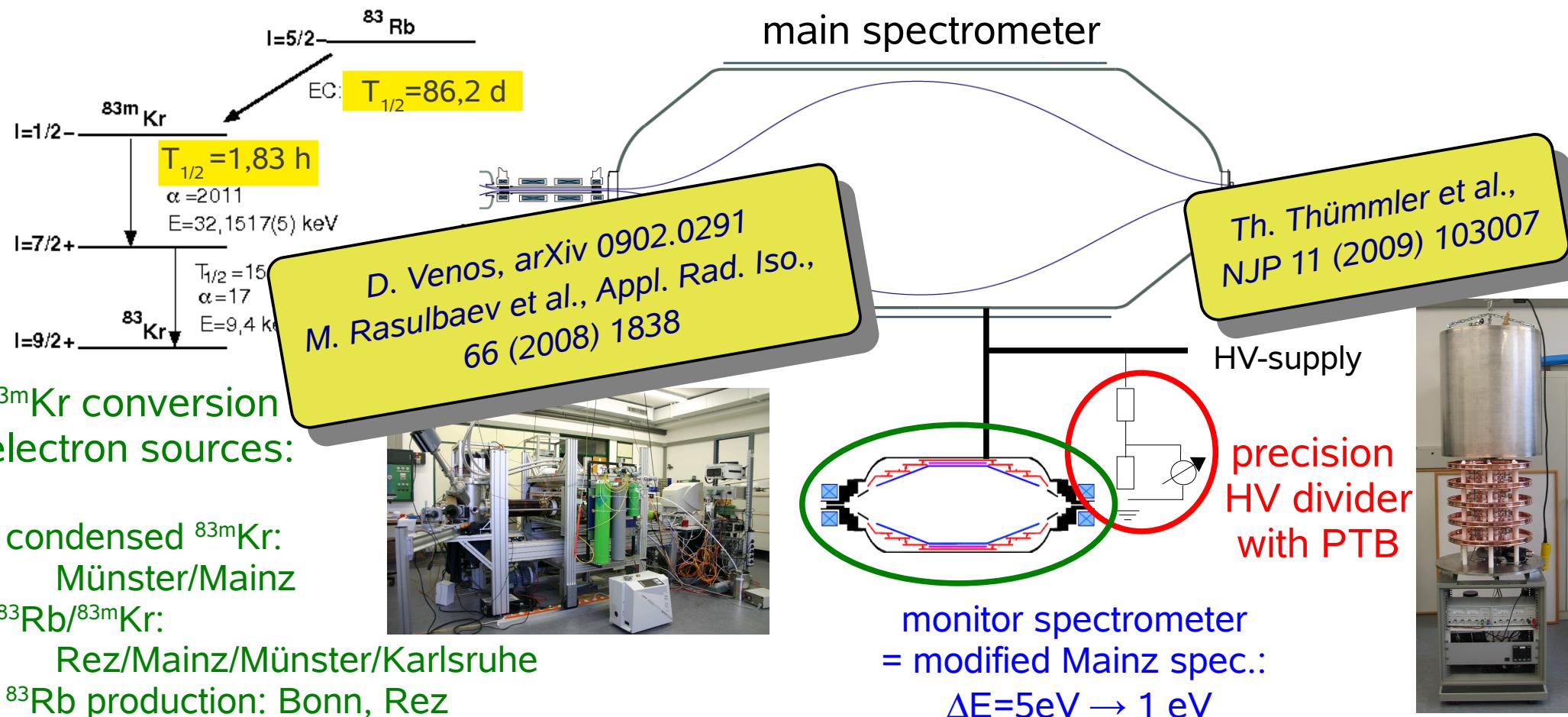
Systematic uncertainties

- A) As smaller $m(\nu)$ as smaller the region of interest below endpoint E_0
- B) Any unaccounted variance σ^2 leads to negative shift of m_ν^2 : $\Delta m_\nu^2 = -2\sigma^2$

1. inelastic scatterings of β 's inside WGTS
 - **dedicated e-gun measurements**, unfolding of response fct.
 2. fluctuations of WGTS column density (required < 0.1%)
 - rear detector, Laser-Raman spectroscopy, T=30K stabilisation,
e-gun measurements
 3. transmission function
 - **spatial resolved e-gun measurements**
 4. WGTS charging due to remaining ions (MC: $\phi < 20\text{mV}$)
 - inject low energy meV electrons from rear side,
diagnostic tools available
 5. final state distribution
 - reliable quantum chem. calculations
 6. HV stability of retarding potential on ~3ppm level required
 - **precision HV divider (PTB), monitor spectrometer beamline**
- a few contributions with $\Delta m_\nu^2 \leq 0.007 \text{ eV}^2$ each

Stability of retarding potential / energy calibration: ppm at 18.6 kV

- Measure HV by precision HV divider
- Lock retarding HV by measuring energetically well-defined electron line with monitor spectrometer



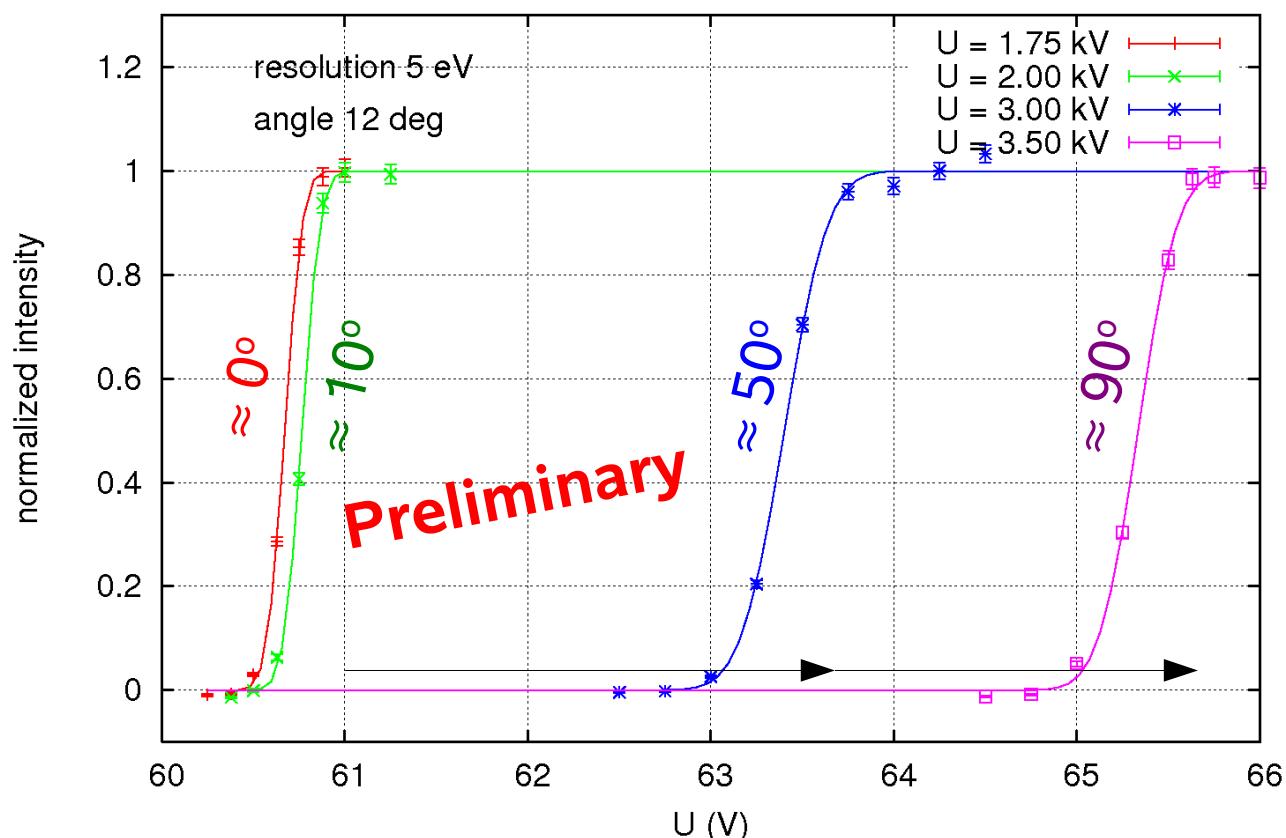
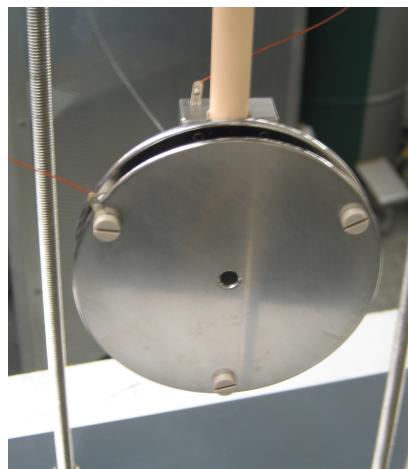
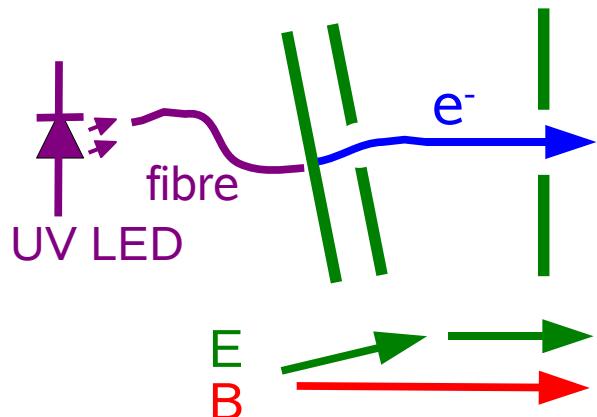
A new pulsed angular-defined UV LED photoelectron source

Idea:
fast non-adiabatic acceleration
with adjustable non-parallel
E and B fields

Angle at
electron source: 0°
pinch magnet: 0°

K. Valerius et al.,
NJP 11 (2009) 063018
(without angular-definition)

3.3°
 90°

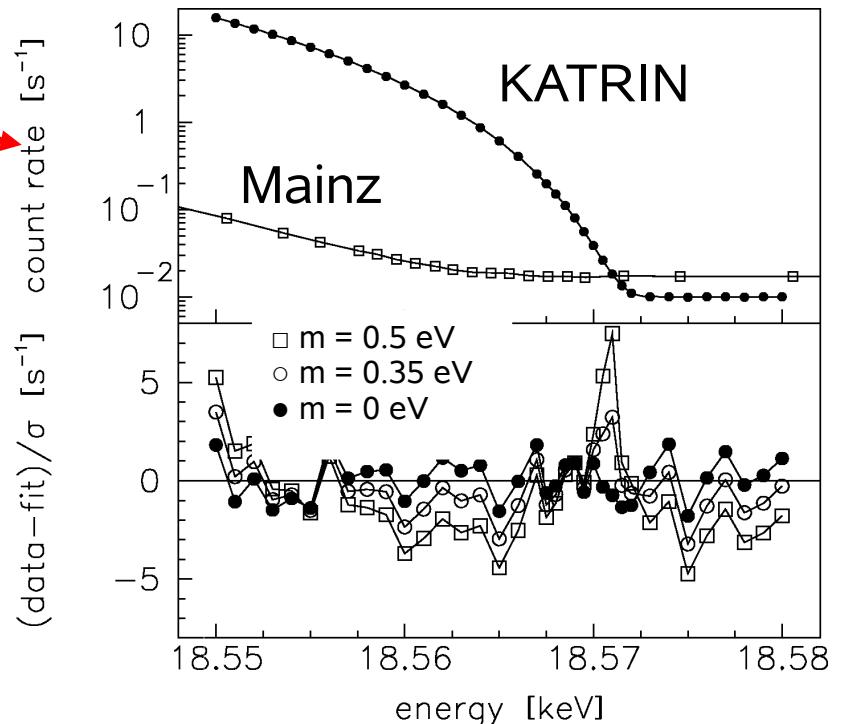
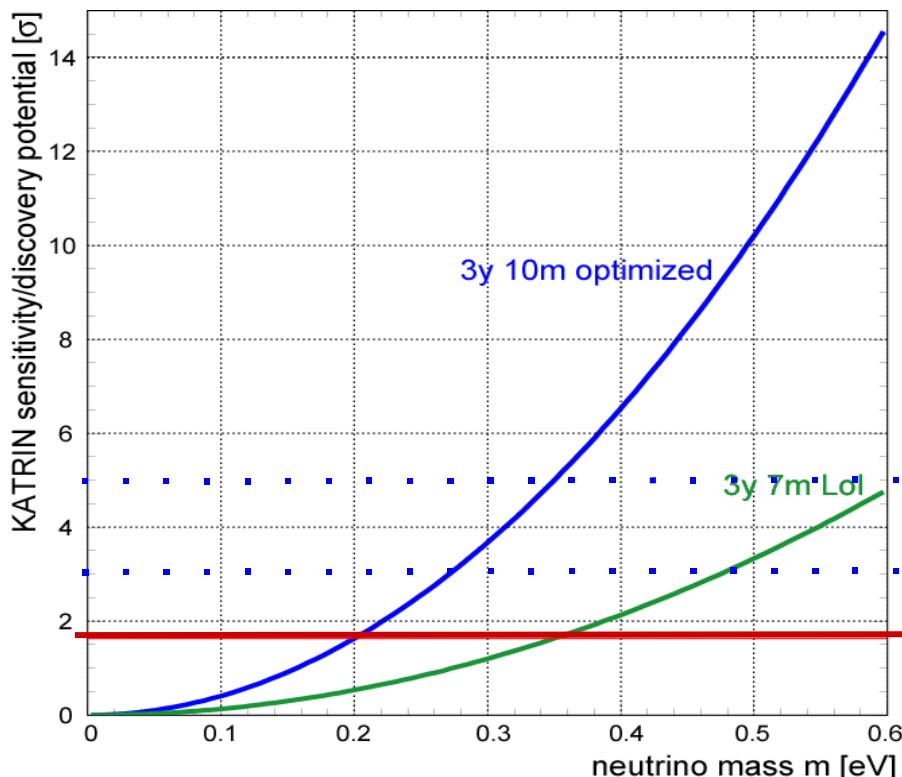


KATRIN's sensitivity



Example of KATRIN simulation & fit
(last 25eV below endpoint, reference):

Expectation for 3 full beam years: $\sigma_{\text{syst}} \sim \sigma_{\text{stat}}$



discovery potential:

$m_\nu = 0.35\text{eV}$ (5σ)

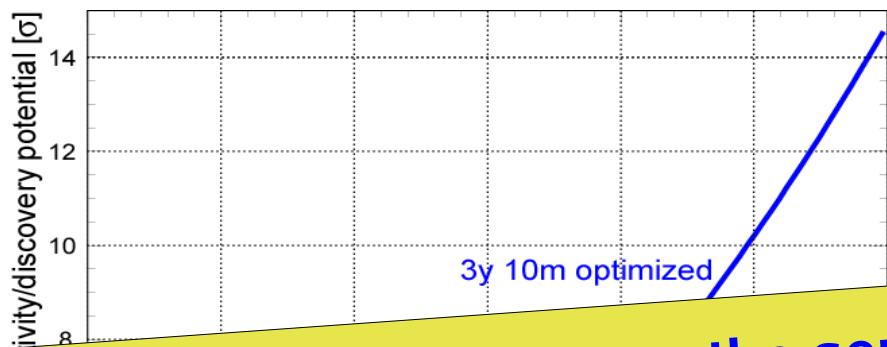
$m_\nu = 0.3\text{eV}$ (3σ)

sensitivity:

$m_\nu < 0.2\text{eV}$ (90%CL)

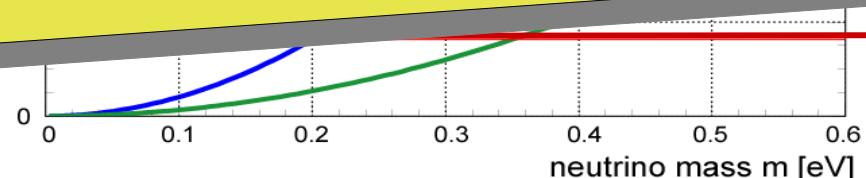
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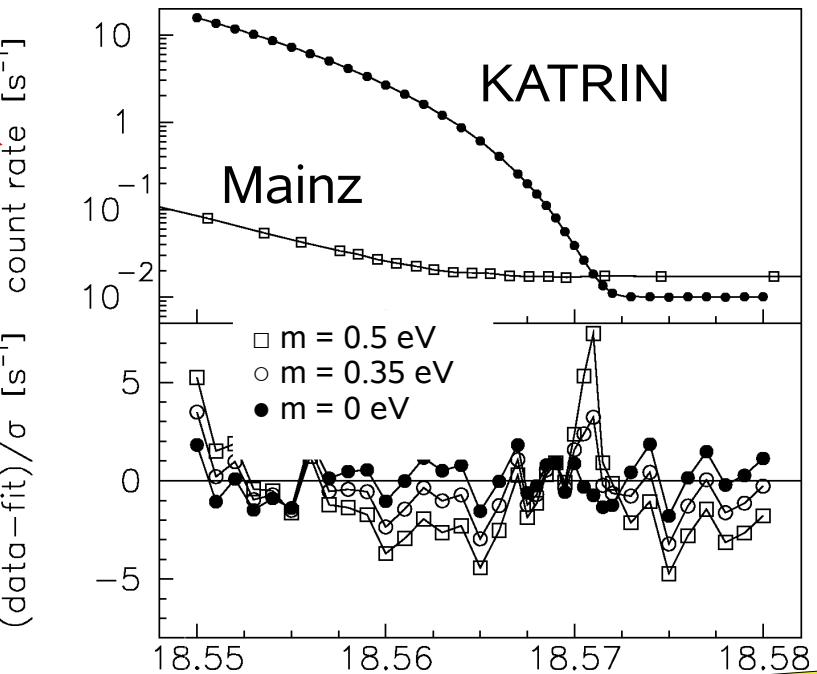
⇒ KATRIN

will improve the sensitivity by 1 order of magnitude
will check the whole cosmological relevant mass range
will detect degenerate neutrinos (if they are degen.)



$m_\nu = 0.3\text{eV} \ (3\sigma)$

sensitivity:
 $m_\nu < 0.2\text{eV} \ (90\%\text{CL})$



Conclusion



Some of the KATRIN highlights in 2009:

- WGTS: Laser Raman spectroscopy per mille sensitivity demonstrated
- DPS2-F: arrived, commissioning has started
- Pre spectrometer: background has been reduced by 4 orders
- Main spectrometer: Air coil system completed
- Calibration: Inner electrode installation has started
- Monitor spectrometer is being installed
- Implanted ^{83}Rb sources are very stable (ppm)
- New angular-defined e-gun demonstrated

KATRIN: 0.2 eV sensitivity:

2009-11 commissioning of main spectrometer and detector

2009-12 commissioning of tritium source and tritium elimination lines

2012- regular data taking for 5-6 years (3 full-beam-years)

