

# 1. Homogeneous and isotropic Universe

## 1.1 Friedmann-Lemaître-Robertson-Walker universe

Modern cosmology is based on the hypothesis that our universe is to a good approximation homogeneous and isotropic on sufficiently large length scales (cosmological principle).

- Homogeneous  $\rightarrow$  same everywhere
- Isotropic  $\rightarrow$  same in all directions
- Sufficiently large scales  $\rightarrow > \mathcal{O}(100\text{Mpc})^*$

$$* 1\text{pc} = 1\text{parsec} = 3.0856 \times 10^{18}\text{cm}$$

- e.g.,
- Distance from Sun to Galactic centre  $\sim 10\text{kpc}$
  - Distance to Virgo cluster  $\sim 20\text{ Mpc}$
  - Visible universe  $\sim \mathcal{O}(10\text{Gpc})$

### Evidence for large-scale homogeneity and isotropy:

- ① Cosmic microwave background, temperature  $\sim 2.73\text{K}$  in all directions, fluctuations  $\delta T/T \sim 10^{-5}$ .
- ② Galaxy distribution.

Homogeneity and isotropy imply maximally symmetric 3-spaces (3 translational and 3 rotational symmetries). This implies a general spacetime metric of the form:

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = -dt^2 + a^2(t) \gamma_{ij} dx^i dx^j$$

$$\begin{matrix} \mu, \nu = 0, 1, 2, 3 \\ i, j = 1, 2, 3 \end{matrix} \quad (1.1.1)$$

FLRW metric

where  $a(t)$  = scale factor (so that  $a(t_2)/a(t_1)$  denotes how much space has expanded between  $t_1$  and  $t_2$ ), and

$$\gamma_{ij} dx^i dx^j = \frac{dr^2}{1-Kr^2} + r^2 (\underbrace{d\theta^2 + \sin^2 \theta d\phi^2}_{d\Omega^2}) \quad (1.1.2)$$

with  $K=0$

flat, Euclidean

$K=+1$

spherical geometry of constant positive curvature

$K=-1$

hyperbolic geometry of constant negative curvature

Another common notation. Define

$$d\chi^2 = \frac{dr^2}{1-Kr^2}$$

$$\Rightarrow \chi = \begin{cases} \operatorname{arsinh} r & , K = -1 \\ r & , K = 0 \\ \operatorname{arsin} r & , K = +1 \end{cases} \quad \begin{array}{l} 0 \leq \chi < \infty \\ \text{open infinite} \\ \text{closed finite} \end{array} \quad (1.1.3)$$

Thus, (1.1.2) becomes

$$\gamma_{ij} dx^i dx^j = d\chi^2 + \begin{pmatrix} \sinh^2 \chi & & K = -1 \\ \chi^2 & & K = 0 \\ \sin^2 \chi & & K = +1 \end{pmatrix} d\Omega^2 \quad (1.1.4)$$

Observational evidence: flat spatial geometry ( $K=0$ ) is preferred. (Boomerang 2000).

## 1.2 Matter/energy content

Matter/energy content is encoded in the stress-energy tensor  $T^{ij}$ . Homogeneity and isotropy require that

$$T^i_i = 0, \quad T^{ij} = 0 \quad (i \neq j) \quad (1.2.1)$$

Thus, the only viable form is:

$$T_{\mu\nu} = \begin{pmatrix} -\rho g_{00} & 0 \\ 0 & P g_{ij} \end{pmatrix} \quad (1.2.2)$$

Where  $\rho$  = energy density and  $P$  = pressure in the rest frame of the coordinates (1.1.1), or the comoving frame.

$\rho$  and  $P$  must be independent of  $x^i$  because of the homogeneity and isotropy requirement, but can depend on  $t$ .

What matter/energy?

- Photons (mainly the cosmic microwave background).
- Atoms
- Dark matter (does not emit light but feels gravity)
- Gravitational wave background ??
- Background neutrinos (analogous to the CMB).
- Vacuum energy / dark energy.

In general:

$$T_{\mu\nu} \rightarrow \sum_{i=\text{all}}^{\text{matter/energy components}} T_{\mu\nu}^{(i)} \quad (1.2.3)$$

For each component  $i$ , local conservation of energy-momentum implies:

$$\begin{aligned} \underset{\text{Covariant derivative}}{\underset{\uparrow}{\nabla_{\alpha}}} T^{(i)\alpha}_{\beta} &= 0 \\ &= \frac{\partial T^{(i)\alpha}_{\beta}}{\partial x^{\alpha}} + \underset{\text{Christoffel symbols}}{\underset{\uparrow}{\Gamma^{\alpha}_{\gamma\beta}}} T^{(i)\gamma}_{\alpha} - \underset{\uparrow}{\Gamma^{\gamma}_{\alpha\beta}} T^{(i)\alpha}_{\gamma} \quad (1.2.4) \end{aligned}$$

where:  $\Gamma^{\alpha}_{\beta\gamma} = \frac{1}{2} g^{\alpha\delta} \left( \frac{\partial g_{\beta\delta}}{\partial x^{\gamma}} + \frac{\partial g_{\gamma\delta}}{\partial x^{\beta}} - \frac{\partial g_{\beta\gamma}}{\partial x^{\delta}} \right)$  (1.2.5)

Combining FLRW metric (1.1.1) + stress-energy tensor (1.2.2) + (1.2.4):

$$\Rightarrow \boxed{\frac{dp_i}{dt} + 3 \underset{\dot{a}}{\underset{\uparrow}{\frac{c_i}{a}}} (p_i + P_i) = 0} \quad (1.2.6)$$

Continuity equation

It remains to specify an equation of state relating  $P_i$  and  $p_i$  for the fluid  $i$ . Define:

$$\boxed{w_i \equiv \frac{P_i}{p_i}}$$

equation of state parameter (1.2.7)

Assuming a constant  $w_i$ :

$$(1.2.6) \Rightarrow \frac{dp_i}{dt} + 3(1+w_i) \frac{c_i}{a} p_i = 0$$

$$\Rightarrow \boxed{p_i(t) \propto a^{-3(1+w_i)}} \quad (1.2.8)$$

① Nonrelativistic matter, e.g., dark matter, atoms:  $w=0$

$$\Rightarrow \rho_m \propto a^{-3} \quad (1.2.9)$$

② Relativistic fluids (radiation), e.g., photons:  $w=\frac{1}{3}$

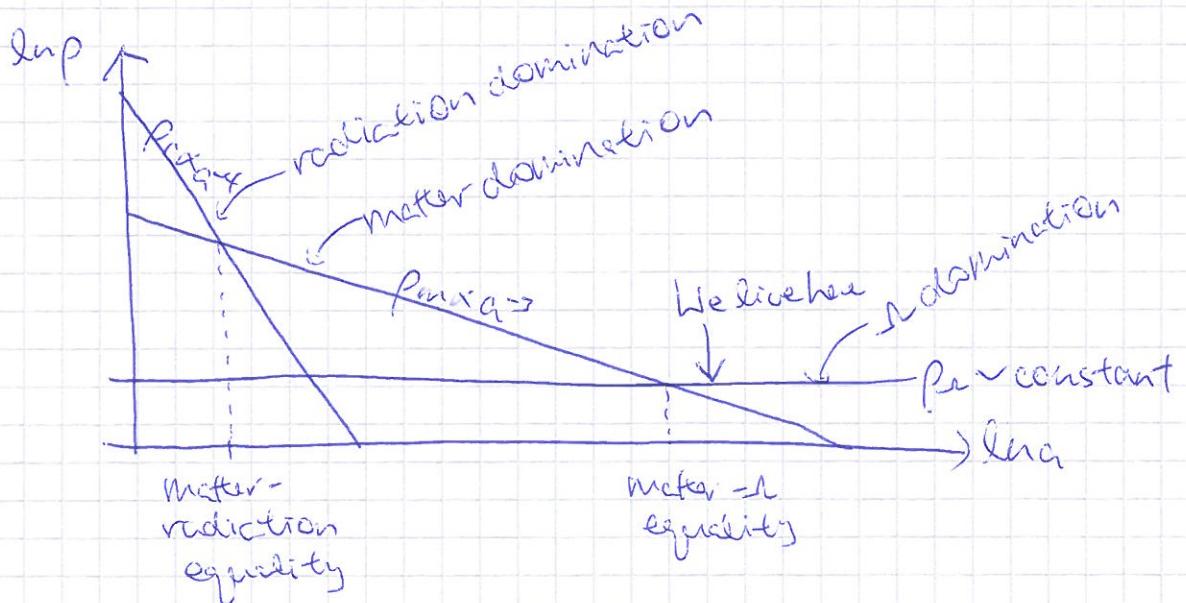
$$\Rightarrow \rho_r \propto a^{-4} \quad (1.2.10)$$

③ Vacuum energy:  $w=-1$

$$\Rightarrow \rho_\Lambda \propto \text{constant} \quad (1.2.11)$$

As  $a \rightarrow 0$ ,  $\rho_r \gg \rho_m, \rho_\Lambda$

$a \rightarrow \infty$ ,  $\rho_\Lambda \gg \rho_m, \rho_r$



### 1.3 Friedmann equation

An equation of motion for  $a(t)$  derived from the Einstein equation:

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = G_{\mu\nu} = 8\pi G T_{\mu\nu}$$

Einstein tensor

Newton's constant

(1.3.1)

①  $R_{\mu\nu} = R^X_{\mu\nu\nu\nu}$  Ricci tensor

②  $R = R^X_{\mu\mu}$  Ricci scalar

③  $R^X_{\mu\nu\nu} = \Gamma^{\alpha}_{\nu\mu,\alpha} - \Gamma^{\alpha}_{\mu\nu,\alpha} + \Gamma^{\beta}_{\mu\nu}\Gamma^{\alpha}_{\beta\alpha} - \Gamma^{\beta}_{\mu\alpha}\Gamma^{\alpha}_{\nu\beta}$  Riemann tensor

Note: (1.3.1) can be obtained by minimising the action:

$$S = S_{EH} + S_M$$

where:  $S_{EH} = -\frac{1}{16\pi G} \int d^4x \sqrt{-g} R$  Einstein-Hilbert action

$$S_M = \int d^4x \sqrt{-g} L_M \leftarrow \begin{array}{l} \text{Lagrangian density} \\ \text{for matter fields} \end{array}$$

$$S \equiv \det(g_{\mu\nu})$$

Varying  $S$  with respect to  $g^{\mu\nu}$ , we find:

$$\delta S_{EH} = \frac{1}{16\pi G} \int d^4x \sqrt{-g} (R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R) \delta g^{\mu\nu} \quad (1.3.4)$$

$$\delta S_M = -\frac{1}{2} \int d^4x \sqrt{-g} \underbrace{\left[ -\frac{2}{\sqrt{-g}} \frac{\partial (\sqrt{-g} L_M)}{\partial g^{\mu\nu}} \right]}_{\equiv T_{\mu\nu} \text{ by definition}} \delta g^{\mu\nu}$$

By demanding  $\frac{\delta S}{\delta g^{\mu\nu}} = 0$ , we recover (1.3.1).

Using the FLRW metric (1.1.1) and the stress-energy tensor (1.2.2), we find:

$$\textcircled{1} \quad R_{00} - \frac{1}{2} g_{00} R = 8\pi G T_{00}$$

$$\Rightarrow \boxed{\left(\frac{\dot{a}}{a}\right)^2 + \frac{K}{a^2} = \frac{8\pi G}{3} \sum_i p_i} \quad (1.3.5)$$

Friedmann eqn

$$\textcircled{2} \quad R_{ij} - \frac{1}{2} g_{ij} R = 8\pi G T_{ij}$$

$$\Rightarrow 2\frac{\ddot{a}}{a} + \left(\frac{\dot{a}}{a}\right)^2 + \frac{K}{a^2} = -8\pi G \sum_i P_i \quad (1.3.6)$$

Note: we usually use (1.3.5) and the continuity eqn (1.2.6). (1.3.6) is redundant.

Another useful form:

$$(1.3.6) - (1.3.5) \Rightarrow \frac{\ddot{a}}{a} = -\frac{4\pi G}{3} \sum_i (p_i + 3P_i) \quad (1.3.7)$$

acceleration.

Define the Hubble parameter:

$$\boxed{H(t) \equiv \frac{\dot{a}}{a}} \quad (1.3.8)$$

Then, we can also write the Friedmann eqn (1.3.5) as:

$$\frac{K}{a^2 H^2} = \frac{\sum_i p_i(t)}{P_{\text{crit}}(t)} - 1 \quad (1.3.9)$$

where:  $P_{\text{crit}}(t) \equiv \frac{3H^2(t)}{8\pi G}$  critical density

i.e., if  $\sum_i p_i(t) = P_{\text{crit}}(t)$ , then  $K=0 \Rightarrow$  flat spatial geometry.

Define the energy density parameters:

$$\Omega_i \equiv \frac{\rho_i(t_0)}{\rho_{\text{crit}}(t_0)}$$

$t_0 = \text{today}$  (1.3.10)

Then the Friedmann equation becomes:

$$\begin{aligned} H^2(t) &= \underbrace{\frac{8\pi G}{3} \rho_{\text{crit}}(t_0)}_{H^2(t_0)} \left[ \Omega_m \left(\frac{a_0}{a}\right)^3 + \Omega_r \left(\frac{a_0}{a}\right)^4 + \Omega_\Lambda \right] - \frac{K}{a^2} \\ &= H^2(t_0) \left[ \Omega_m \left(\frac{a_0}{a}\right)^3 + \Omega_r \left(\frac{a_0}{a}\right)^4 + \Omega_\Lambda + \Omega_K \left(\frac{a_0}{a}\right)^2 \right] \end{aligned} \quad (1.3.11)$$

Where:

$$\Omega_K \equiv -\frac{K}{a_0^2 H^2(t_0)}$$

(1.3.12)

Current observations:  $\Omega_m \approx 0.3$ ,  $\Omega_\Lambda \approx 0.7$ ,  $\Omega_K \approx 0$

Komatsu et al. (WMAP7)  
arXiv:1001.4538

$$|\Omega_K| \lesssim 0.01$$

$$H_0 \approx 70 \text{ km s}^{-1} \text{Mpc}^{-1}$$

Solutions to (1.3.11)

① If radiation dominates the matter/energy content:

$$\left(\frac{\dot{a}}{a}\right)^2 \propto a^{-4} \Rightarrow a \propto t^{4/3} \quad (1.3.13)$$

② If matter dominates:

$$\left(\frac{\dot{a}}{a}\right)^2 \propto a^{-3} \Rightarrow a \propto t^{2/3} \quad (1.3.14)$$

③ If  $\Lambda$  dominates:

$$\frac{\dot{a}}{a} = H_0 \sqrt{\Omega_\Lambda} \quad \parallel H_0 \equiv H(t_0) \Rightarrow a \propto \exp(H_0 \sqrt{\Omega_\Lambda} t) \quad (1.3.15)$$

constant

## 1.4 Cosmological redshift

Using the invariant:

$$\underset{4\text{-momentum}}{\rightarrow} \tilde{P}^\alpha \tilde{P}_\alpha = g_{\alpha\beta} \tilde{P}^\alpha \tilde{P}^\beta = -m^2 \quad (1.4.1)$$

and the geodesic equation:

$$\frac{d\tilde{P}^\alpha}{d\tau} + \Gamma_{\mu\nu}^\alpha \tilde{P}^\mu \tilde{P}^\nu = 0 \quad (1.4.2)$$

We can show that the proper / physical momentum of a particle measured by a comoving observer (comoving = at rest with the coordinates (1.1.1)) evolves as:

$$|\vec{p}| \propto a^{-1} \quad (1.4.3)$$

For photons emitted at  $t=t_e$  and observed at  $t=t_o$ , this means:

$$\boxed{\frac{|\vec{p}(t_e)|}{|\vec{p}(t_o)|} = \frac{E(t_e)}{E(t_o)} = \frac{\lambda(t_o)}{\lambda(t_e)} = \frac{a(t_o)}{a(t_e)} = (1+z)}$$

↑  
Wavelength  
redshift parameter

(1.4.4)

In a FLRW universe, there is a one-to-one correspondence between  $t$ ,  $a$  and  $z \Rightarrow$  we use them interchangeably as a measure of time.

## 2. The hot universe

### 2.1 Equilibrium thermodynamics

In general, for a gas of particles:

$$\begin{aligned} n_i(x) &= \frac{g_i}{(2\pi)^3} \int d^3 p f_i(\vec{p}, x) && \text{number density} \\ \rho_i(x) &= \frac{g_i}{(2\pi)^3} \int d^3 p E(\vec{p}) f_i(\vec{p}, x) && \text{energy density} \\ P_i(x) &= \frac{g_i}{(2\pi)^3} \int d^3 p \frac{|\vec{p}|^2}{3E} f_i(\vec{p}, x) && \text{Pressure} \end{aligned} \quad (2.1.1)$$

①  $|\vec{p}|$  = proper momentum measured by a comoving observer

$$E(\vec{p}) = \sqrt{|\vec{p}|^2 + m^2}$$

②  $g_i$  = internal degrees of freedom

e.g.,  $g_8=2$  for photons (2 polarisation states)

$g_e=4$  for electrons + positrons

(2x spin up, 2x spin down)

③  $f_i(\vec{p}, x)$  = occupancy function or phase space distribution  
 $= f_i(\vec{p})$  if homogeneous & isotropic.

What is  $f_i(\vec{p})$ ?

In the early universe, a scattering process is said to be in thermal equilibrium if the particles involved scatter many times before space has time to expand significantly, i.e.,

$$\frac{\text{Scattering rate per particle}}{77 \text{ interaction}} \gg H \xleftarrow{\text{expansion rate}} \text{H} \xleftarrow{\text{relative speed}} \sim 6 \pi r \text{ number density cross-section} \quad (2.1.2)$$

When a scattering process is in thermal (or kinetic) equilibrium, the particles involved have phase space distributions given by

$$f_i(\vec{p}) = \frac{1}{\exp(\frac{E - \mu_i}{T_i}) \pm 1} \quad \begin{array}{l} + \text{ fermion} \\ - \text{ boson} \end{array} \quad (2.1.3)$$

$T_i$  = temperature

$\mu_i$  = chemical potential.

e.g., elastic scattering processes such as



lead to equilibrium phase space distributions for  $\gamma$  and  $e^-$ , with  $T_e = T_\gamma$ .

If inelastic processes such as

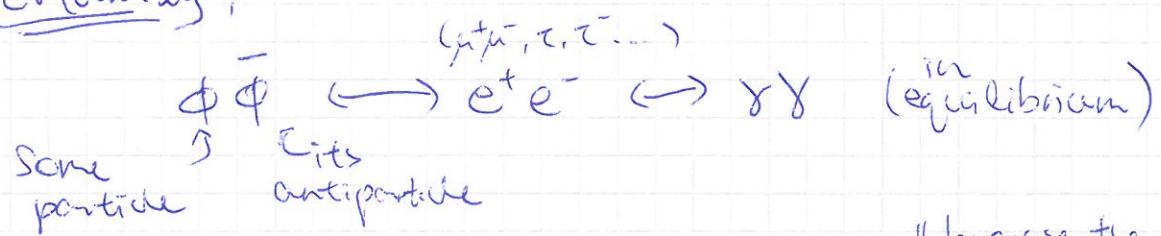


are in equilibrium, then

$$\mu_i + \mu_j = \mu_k + \mu_l \quad (2.1.6)$$

i.e.,  $i, j, k$  and  $l$  are also in chemical equilibrium.

Importantly,



leads to:  $\mu_\phi + \mu_{\bar{\phi}} = \dots = 2\mu_\gamma = 0$

$$\Rightarrow \boxed{\mu_{\bar{\phi}} = -\mu_\phi} \quad \begin{array}{l} \mu \text{ for } \phi \text{ & } \bar{\phi} \\ \text{while in chemical equilibrium with } \gamma \end{array}$$

because the number of photons in the universe is not conserved.

$$(2.1.7)$$

## Equilibrium energy densities

Assuming  
 $m_i \ll T_i$

|       | Relativistic<br>fermions, $m_i \ll T_i$    | Relativistic<br>bosons         | Nonrelativistic<br>$m_i \gg T_i$  |
|-------|--|--------------------------------|---|
| $n_i$ | $\frac{3}{4} \frac{3(3)}{\pi^2} g_i T_i^3$ | $\frac{5(3)}{\pi^2} g_i T_i^3$ | $g_i \left(\frac{m_i T_i}{2\pi}\right)^{3/2} \exp\left[-\frac{(m_i - h_i)}{T_i}\right]$ |
| $p_i$ | $\frac{7}{8} \frac{\pi^2}{30} g_i T_i^4$   | $\frac{\pi^2}{30} g_i T_i^4$   | $m_i n_i + \frac{3}{2} T_i n_i$   |
| $P_i$ | $\frac{p_i}{3}$                            | $\frac{p_i}{3}$                | $T_i p_i \ll m_i n_i = P_i$   |

Clearly, when in equilibrium,  $p_{\text{model}} \ll p_{\text{free}}$

$$\Rightarrow \sum_{\substack{i=\text{all} \\ \text{species}}} p_i \approx \sum_{\substack{i=\text{relativistic} \\ \text{species}}} p_i \quad (2.1.8)$$

It is then useful to write:

$$P_{\text{total}} = \sum_{\substack{i=\text{all} \\ \text{species}}} p_i = \frac{\pi^2}{30} g_* T_8^4$$

Line<sup>o</sup>

$$g_* = \sum_{\substack{i=\text{all} \\ \text{bosons}}} g_i \left(\frac{T_i}{T_8}\right)^4 + \frac{7}{8} \sum_{\substack{i=\text{all} \\ \text{fermions}}} \left(\frac{T_i}{T_8}\right)^4$$

effective massless  
degrees of freedom

Note:  $g_*$  is a function of temperature and particle physics model, since at different  $T$ , different particle species satisfy  $m_i \ll T_i$ .

## 2.2 Entropy

Thermal equilibrium = a maximal entropy state. If equilibrium is maintained, then the entropy in a comoving volume element stays constant.

Define the entropy density  $s(T)$  as the entropy per unit volume. Then:

Equilibrium  $\Rightarrow$

$$\boxed{s(T) \propto a^{-3} = \frac{p(T) + P(T)}{T}} \quad (2.2.1)$$

assuming  $\mu \ll T$   
from 2nd law  
of thermodynamics  
 $T(ds(T)/V) = d(p(T)V) + P(T)dV$

Like  $\rho_{\text{total}}$ ,  $S_{\text{total}}$  is dominated by relativistic species. Thus, analogous to (2.1.9), we write:

$$\boxed{S_{\text{total}} = \frac{2\pi^2}{45} g_{*s} T_8^3}$$

where:  $g_{*s} = \sum_{i=\text{rel}}^{} \text{bosons} g_i \left(\frac{T_i}{T_8}\right)^3 + \frac{7}{8} \sum_{i=\text{rel}}^{} \text{fermions} g_i \left(\frac{T_i}{T_8}\right)^3$  (2.2.2)

If all  $T_i$ 's are the same, then  $g_{*s} = g_{*}$  of (2.1.9).

Compare (2.2.1) and (2.2.2):

$$\begin{aligned} s &\propto a^{-3} \\ &\propto g_{*s} T_8^3 \\ \Rightarrow & T_8 \propto g_{*s}^{-1/3} a^{-1} \end{aligned} \quad \begin{array}{l} \text{Evolution of the} \\ \text{photon temperature} \\ (2.2.3) \end{array}$$

Generally temperature-dependent

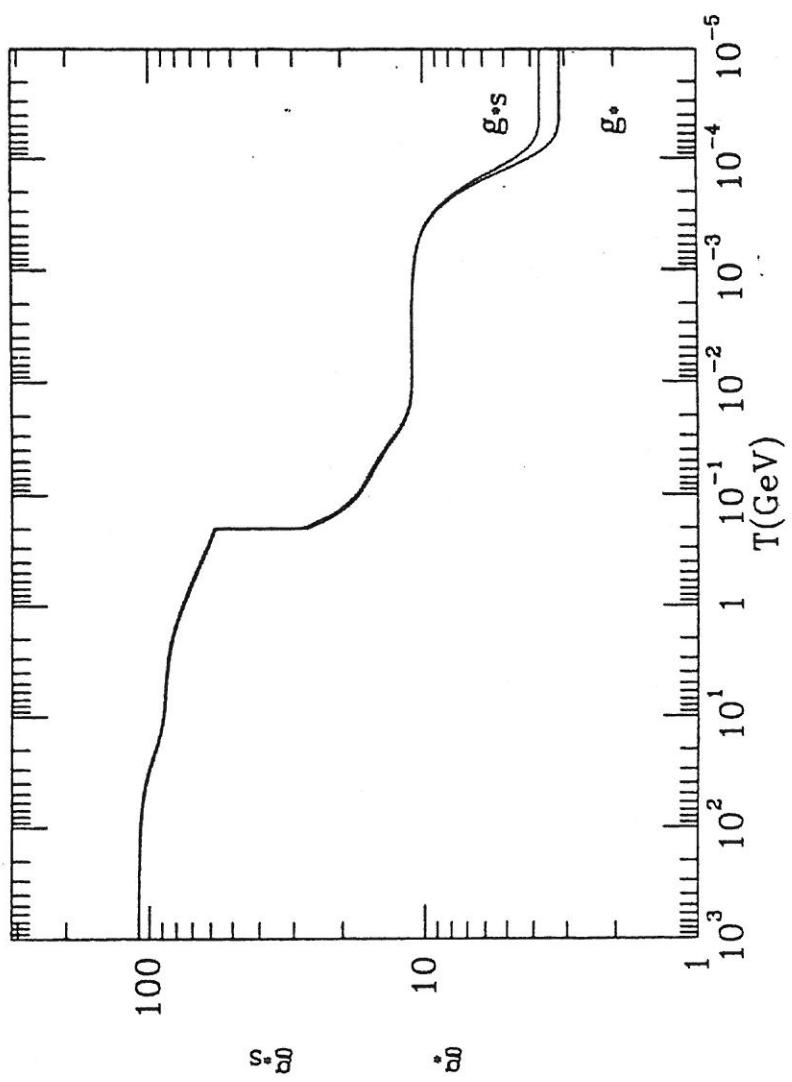


Fig. 3.5: The evolution of  $g_*(T)$  as a function of temperature in the  $SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$  theory.

## 2.3 Decoupling

As the universe cools,  $\Gamma_{\text{interaction}}$  decreases. When

$$\Gamma_{\text{interaction}} \ll H \quad (2.3.1)$$

interactions are too slow to keep up with expansion  
 $\Rightarrow$  decoupling.

Example : Neutrino decoupling ||  $\nu e \leftrightarrow \nu e$   
 $e^- e^- \leftrightarrow \bar{\nu} \bar{\nu}$  etc.

$$\begin{aligned} \Gamma_{\text{interaction}} &\sim C_F^2 T^5 & \parallel & \quad E \sim C_F^2 E^2 \\ H &\sim \frac{T^2}{M_{\text{pl}}} & \parallel & \quad m \sim T^3 \\ && \text{from} & \quad M_{\text{pl}}^2 \equiv \frac{1}{C_F} \\ && \text{Friedmann} & \end{aligned} \quad (2.3.2)$$

Then,  $\Gamma_{\text{int}} \ll H$  implies :

$$T \ll [C_F^2 M_{\text{pl}}]^{1/3} \sim O(1) \text{ MeV} \quad \boxed{\text{Neutrino decoupling temperature}} \quad (2.3.3)$$

## Evolution of $f(\vec{p})$ after decoupling

In general, we need to solve the Boltzmann equation to find  $f(\vec{p})$  after decoupling (more later). But there are two special cases :

① Decoupling when particle species is highly relativistic (e.g., neutrinos). Then

$$f(\vec{p}) \approx \frac{1}{\exp(\frac{\vec{p} - \mu}{T}) \pm 1} \quad (2.3.4)$$

with  $T \propto a^{-1}$  and  $\mu \propto a^{-1}$ , i.e., particle species maintains its relativistic FD or BE equilibrium distribution.

② Decoupling when particle species is very non-relativistic. Then,

$$f(\vec{p}) \approx \exp\left[-\frac{(m-\mu)}{T}\right] \exp\left(\frac{-|\vec{p}|^2}{2mT}\right) \quad (2.3.5)$$

with  $T \propto \alpha^{-2}$  and  $\mu = m + [\mu(T_0) - m] \frac{T}{T_0}$ .

Note: (2.3.5) is the non-relativistic ( $m \gg T$ ) limit

Or

$$\begin{aligned} f(\vec{p}) &= \frac{1}{\exp(E-\mu) \pm 1} \\ &\approx \frac{1}{\exp[(m + \frac{|\vec{p}|^2}{2m} - \mu)/T] \pm 1} \\ &= \frac{\exp[-\frac{(m-\mu)}{T}]}{\exp(\frac{|\vec{p}|^2}{2mT}) \pm \underbrace{\exp[-\frac{(m-\mu)}{T}]}_{\ll 1; m \gg \mu}} \quad (2.3.6) \end{aligned}$$

Therefore, particle species that decouple while non-relativistic maintain their Maxwell-Boltzmann equilibrium distribution.

## 2.4 Neutrino temperature today

| $t$                         | <u>Events</u>  | <u>Moleculistic species</u> | <u>Temperature</u>  | <u>Entropy</u>  |
|-----------------------------|--|-----------------------------|---|---|
| $\uparrow 100\text{ MeV}$   |  | $\gamma, \nu, e$            | $T_\gamma = T_e = T_\nu$<br>(thermal equilibrium)                             | $S = S_e + S_\gamma + S_\nu$  |
| $\downarrow 1\text{ MeV}$   | Neutrino decoupling  | $\gamma, \nu, e$            | $T_\gamma = T_e \propto g_\gamma^{1/3} a_1^{-1}$                              | $S_{\text{total}} = S_e + S_\gamma$<br>$S_\gamma(a_1)$ conserved together   |
| $\downarrow 0.3\text{ MeV}$ | $e^+e^-$ become non-relativistic<br>$e^+e^- \rightarrow \gamma\gamma$<br>(since $m_e \gg T_\gamma$ ) | $\gamma, \nu$               | $T_\nu \propto a_1^{-1} = T_\gamma$<br>(since $s$ is constant)<br>$T_\nu = ?$ | $S_{\text{ex}}(a_1) = S_e + S_\gamma$<br>$S_\nu(a_1)$ conserved separately<br>$S_{\text{ex}}(a_2) = S_e + S_\gamma$<br>$S_\nu(a_2)$ |

Conservation of entropy in the  $e\gamma$  system:

$$S_{\text{ex}}(a_1) a_1^3 = S_{\text{ex}}(a_2) a_2^3$$

where

$$S_{\text{ex}}(a_1) \propto \left(g_\gamma^2 + \frac{7}{8} g_e^4\right) T_\gamma^3(a_1) = \frac{11}{2} T_\gamma^3(a_1)$$

$$S_{\text{ex}}(a_2) \propto g_\gamma T_\gamma^3(a_2) = 2 T_\gamma^3(a_2)$$

$$\Rightarrow \frac{T_\gamma(a_1)}{T_\gamma(a_2)} = \left(\frac{4}{11}\right)^{1/3} \frac{a_2}{a_1} \quad (2.4.1)$$

Conservation of entropy in  $\nu$ :

$$S_\nu(a_1) a_1^3 = S_\nu(a_2) a_2^3$$

$$\Rightarrow \frac{a_2}{a_1} = \frac{T_\nu(a_1)}{T_\nu(a_2)} \quad (2.4.2)$$

Combining (2.4.1) and (2.4.2) gives

$$\frac{T_\gamma(a_1)}{T_\gamma(a_2)} = \left(\frac{4}{11}\right)^{1/3} \frac{T_\nu(a_1)}{T_\nu(a_2)} \quad (2.4.3)$$

But  $T_\gamma(a_1) = T_\nu(a_1)$  (before  $e^+e^-$  annihilation).

Thus :

$$T_\nu(a_2) = \left(\frac{4}{\pi}\right)^{1/3} T_\gamma(a_2) \quad (2.4.4)$$

Given  $T_\gamma(\text{today}) = 2.73 \text{ K}$  (CMB temperature),

$$\Rightarrow T_\nu(\text{today}) = 1.95 \text{ K} \quad (2.4.5)$$

$\Rightarrow$  Cosmic neutrino background

Energy density of the cosmic neutrino background

① If massless :

$$\rho_\nu(t_0) = 3 \times \frac{7}{8} \left(\frac{4}{\pi}\right)^{4/3} \rho_\gamma(t_0) \quad (2.4.6)$$

$$\Rightarrow \Omega_\nu = \frac{\rho_\nu(t_0)}{\rho_{\text{crit}}(t_0)} = 1.68 \times 10^{-5} h^{-2}$$

$$H_0 = 100 h \text{ km s}^{-1} \text{ Mpc}^{-1}$$

② If massive and  $m_\nu \gg T_\nu(t_0)$  :

$$\nu_{\nu_i}(t_0) = \frac{3}{\pi} n_\gamma(t_0)$$

↑  
One neutrino flavour

$$\Rightarrow \Omega_\nu = \frac{\rho_\nu(t_0)}{\rho_{\text{crit}}(t_0)} = \frac{\sum m_i \nu_{\nu_i}(t_0)}{\rho_{\text{crit}}(t_0)}$$

$$= \frac{\sum m_i}{94 h^2 \text{ eV}} \quad (2.4.7)$$

## 2.5 Boltzmann equation

The evolution of the phase space distribution  $f(\vec{p}, \vec{x})$  can be tracked using the Boltzmann equation:

$$\boxed{\frac{\partial f}{\partial t} - \sum_i \vec{p}_i \cdot \vec{v}_i \frac{\partial f}{\partial p_i} = C[f]}$$

$\alpha, i = 0, 1, 2, 3$   
 $i = 1, 2, 3$   
(2.5.1)

$\hookrightarrow L[f] = \text{Liouville operator}$   
(gravitational effects)

$C[f] = \text{Collision operator}$   
(non-gravitational effects)

In a FLRW universe, (2.5.1) becomes:

$$\boxed{\frac{\partial f}{\partial t} - \frac{\dot{a}}{a} |\vec{p}| \frac{\partial f}{\partial p_i} = \frac{1}{E} C[f]} \quad (2.5.2)$$

(2.5.2) describes the full phase space evolution. But we are usually more interested in bulk quantities such as the number density of a particle species. Therefore we integrate (2.5.2) over momentum:

$$\frac{g}{(2\pi)^3} \int d^3 p \left[ \frac{\partial f}{\partial t} - \frac{\dot{a}}{a} |\vec{p}| \frac{\partial f}{\partial p_i} \right] = \frac{g}{(2\pi)^3} \int d^3 p \frac{1}{E} C[f]$$

$$\Rightarrow \boxed{\frac{dn}{dt} + 3 \frac{\dot{a}}{a} n = \frac{g}{(2\pi)^3} \int d^3 p \frac{1}{E} C[f]} \quad (2.5.3)$$

If collisionless, i.e.,  $C[f] = 0$ , then  $n \propto a^{-3}$ , as expected.

Collision term : for a process  $i+j \leftrightarrow k+l$

$$\frac{g_i}{(2\pi)^3} \int d^3 p \frac{c[f_i]}{\epsilon_i} = - \int d\pi_i d\pi_j d\pi_k d\pi_l$$

$$x (2\pi)^4 \delta^{(4)}(\tilde{P}_i + \tilde{P}_j - \tilde{P}_k - \tilde{P}_l)$$

$$x [ |M|^2_{i+j \rightarrow k+l} f_i f_j (1 \pm f_k) (1 \pm f_l)$$

$$- |M|^2_{k+l \rightarrow i+j} f_k f_l (1 \pm f_i) (1 \pm f_j) ]$$

where :

(2.5.4)

$$d\pi_i = \frac{g_i}{(2\pi)^3} \frac{d^3 p_i}{2\epsilon_i} \quad \text{for species } i$$

$|M|^2$  = matrix element of interaction  
(averaged over initial & final spins)

$\delta^{(4)}(\tilde{P}_i + \tilde{P}_j - \tilde{P}_k - \tilde{P}_l)$  = Dirac delta  
(Energy-momentum  
conservation)  
4-momentum.

$$(1 \pm f_i) = \begin{cases} + \text{Bose enhancement} & \text{if } i = \text{boson} \\ - \text{Pauli blocking} & \text{if } i = \text{fermion} \end{cases}$$

If scattering process obeys CP or T invariance,

$$|M|^2_{i+j \rightarrow k+l} = |M|^2_{k+l \rightarrow i+j} \equiv |M|^2 \quad (2.5.5)$$

## Useful approximations

① Ignore quantum statistics, i.e.,

$$\text{set } 1+f_i \rightarrow 1$$

and

$$f_i^{\text{eq}} = \exp\left(-\frac{E_i + \mu_i}{T_i}\right) \quad \parallel \quad \begin{array}{l} \text{Maxwell-Boltzmann} \\ \text{statistics} \end{array} \quad (2.5.6)$$

② In general, elastic scattering processes remain in equilibrium down to a lower temperature than inelastic ones, e.g.,  $\gamma e^- \leftrightarrow \gamma e^-$  versus  $e^+e^- \rightarrow \gamma\gamma$ .  
 ⇒ kinetic equilibrium can be assumed, i.e.,

$$f_i = \exp\left(-\frac{E_i + \mu_i}{T_i}\right) \quad (2.5.7)$$

$$\text{and} \quad T_i = T_j = T_h = T_l \equiv T$$

although in general  $\mu_i + \mu_j \neq \mu_h + \mu_l$  (chemical equilibrium does not necessarily hold).

Define a reference equilibrium density:

$$n_i^{(0)} \equiv g_i \int \frac{d^3 p}{(2\pi)^3} e^{-E_i/T} \quad (2.5.8)$$

Then:

$$\frac{n_i}{n_i^{(0)}} = \frac{g_i \int \frac{d^3 p}{(2\pi)^3} e^{-E_i + \mu_i}}{g_i \int \frac{d^3 p}{(2\pi)^3} e^{-E_i/T}} = e^{\mu_i/T}$$

(2.5.7)  $\Rightarrow$

$$\boxed{f_i = \frac{n_i}{n_i^{(0)}} = e^{-E_i/T}}$$

(2.5.9)

Then, ① + ② give:

$$\text{Collision integral} = - \int d\pi_i d\pi_j d\pi_k d\pi_l (2\pi)^4 f_0^{(4)} (\tilde{P}_i + \tilde{P}_j - \tilde{P}_k - \tilde{P}_l) \\ \times |M|^2 \left[ \frac{n_i n_j}{n_i^{(0)} n_j^{(0)}} e^{-\frac{E_i - E_j}{T}} - \frac{n_k n_l}{n_k^{(0)} n_l^{(0)}} e^{-\frac{E_k - E_l}{T}} \right]$$

assuming  
CP invariance

because of energy  
conservation.

$$\Rightarrow \boxed{\frac{dn_i}{dt} + \frac{3\dot{a}}{a} n_i = -n_i^{(0)} n_j^{(0)} \langle G \cdot v \rangle \left[ \frac{n_i n_j}{n_i^{(0)} n_j^{(0)}} - \frac{n_k n_l}{n_k^{(0)} n_l^{(0)}} \right]}$$

where:

$$\langle G \cdot v \rangle \equiv \frac{1}{n_i^{(0)} n_j^{(0)}} \int d\pi_i d\pi_j d\pi_k d\pi_l e^{-\frac{E_i - E_j}{T}} \\ \times (2\pi)^4 f_0^{(4)} (\tilde{P}_i + \tilde{P}_j - \tilde{P}_k - \tilde{P}_l) |M|^2$$

(2.5.10)

Thermally averaged  
cross-section

## 2.6 Applications of the Boltzmann Equation

### Freeze-out of WIMPs

WIMP = weakly interacting particles, a candidate for cold dark matter.

Consider the process:  $\chi \bar{\chi} \leftrightarrow X \bar{X}$   
 L(MP)  $\uparrow$  ↗ some standard model  
 particle, e-, j-, e+, l...

The Boltzmann equation for  $n_{\chi}$  is:

$$\frac{dn_{\chi}}{dt} + 3 \frac{\partial}{\partial n} n_{\chi} = -n_{\chi}^{(0)} n_{\bar{\chi}}^{(0)} \langle \sigma_{\chi\bar{\chi} \rightarrow X\bar{X}} v \rangle \left[ \frac{n_{\chi} n_{\bar{\chi}}}{n_{\chi}^{(0)} n_{\bar{\chi}}^{(0)}} - \frac{n_X n_{\bar{X}}}{n_X^{(0)} n_{\bar{X}}^{(0)}} \right] \quad (2.6.1)$$

Two main approximations:

- ①  $X$  and  $\bar{X}$  have other interactions to keep them in kinetic equilibrium  $\Rightarrow n_X \approx n_X^{(0)}$ ,  $n_{\bar{X}} \approx n_{\bar{X}}^{(0)}$
- ②  $n_{\chi} = n_{\bar{\chi}}$

Then (2.6.1) becomes

$$\boxed{\frac{dn_{\chi}}{dt} + 3 \frac{\partial}{\partial n} n_{\chi} = - \sum_{\text{all annihilation channels}} \langle \sigma_{\chi\bar{\chi} \rightarrow X\bar{X}} v \rangle [n_{\chi}^2 - n_{\chi}^{(0)2}]} \quad (2.6.2)$$

Alternatively:

$$\frac{x}{Y_{\chi}^{(0)}} \frac{dY_{\chi}}{dx} = \left( \frac{\Gamma_A}{H} \right) \left[ 1 - \left( \frac{Y_{\chi}}{Y_{\chi}^{(0)}} \right)^2 \right] \quad (2.6.3)$$

where  $Y_{\chi} \equiv \frac{n_{\chi}}{s}$  ;  $x = \frac{M_{\chi}}{T}$

$$\Gamma_A \equiv n_{\chi} \langle \sigma v \rangle$$

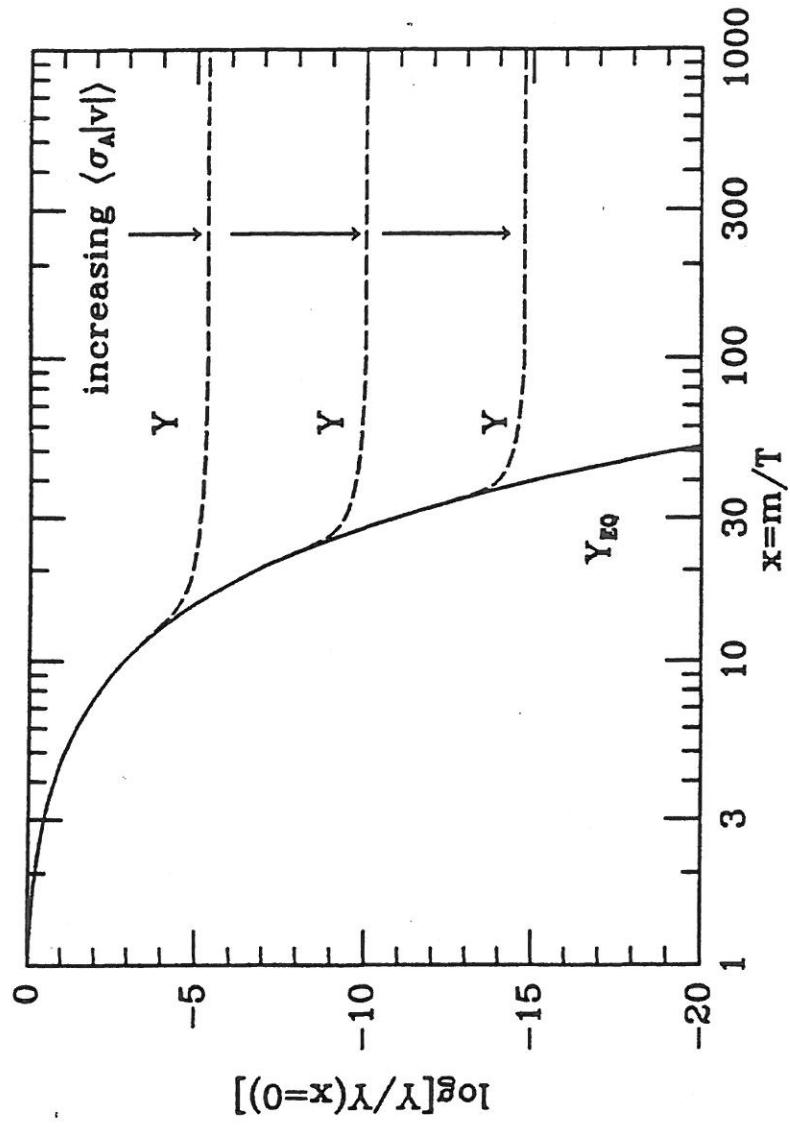
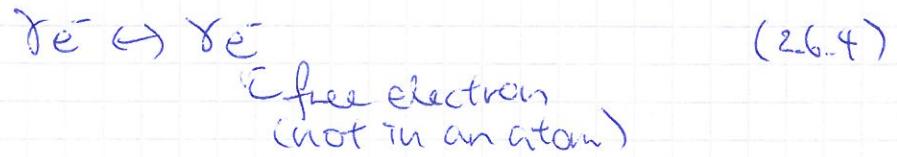


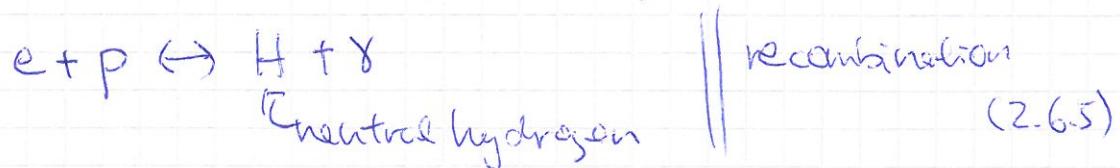
Fig. 5.1: The freeze out of a massive particle species. The dashed line is the actual abundance, and the solid line is the equilibrium abundance.

## Recombination and photon decoupling

When  $T > 0(1)$  eV, Thomson scattering keeps  $\gamma$  and  $e^-$  in equilibrium:



But the free electron density,  $n_e$ , is governed by



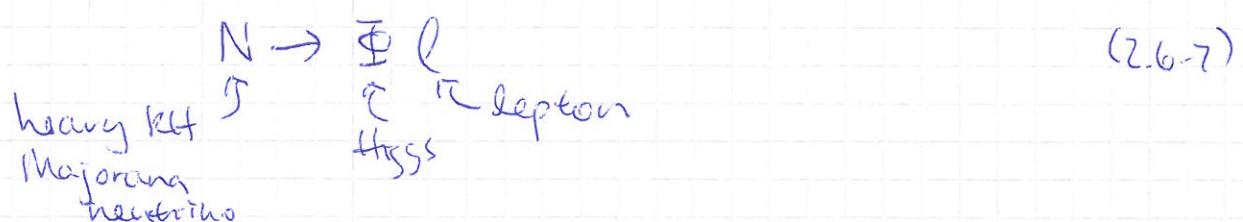
We track  $n_e$  using:

$$\frac{dn_e}{dt} + 3\frac{\dot{n}}{a}n_e = n_e^{(0)}n_p^{(0)} \langle \sigma_{T\gamma} v \rangle \left[ \frac{n_H}{n_H^{(0)}} - \frac{n_e^2}{n_e^{(0)}n_p^{(0)}} \right] \quad (2.6.6)$$

When  $n_e$  becomes so low that the process (2.6.4) falls out of equilibrium  $\Rightarrow$  photons free-stream  $\Rightarrow$  Cosmic microwave background.

## Baryogenesis via leptogenesis

CP violating, out-of-equilibrium decay



CP violation means

$$|M_{N \rightarrow \bar{\nu}_l \ell}|^2 = |M_{\bar{\nu}_l \ell \rightarrow N}|^2 = |M_0|^2 (1 + \xi) \quad \begin{matrix} \text{Tree level} \\ \text{matrix element} \end{matrix} \quad \begin{matrix} \text{CP violation} \\ \text{from 1-loop} \end{matrix}$$

$$|M_{N \rightarrow \bar{\nu}_l \ell}|^2 = |M_{\bar{\nu}_l \ell \rightarrow N}|^2 = |M_0|^2 (1 - \epsilon) \quad (2.6.8)$$

Use BE to track  $n_N$  and the lepton asymmetry  $L = \frac{n_e - \bar{n}_e}{n_\gamma}$ .

### 3. Inflation

#### 3.1 Motivation

① The horizon problem: The CMB is remarkably uniform despite the fact that it is made up of many causally disconnected regions.

$$\Delta\theta \sim \frac{dH}{dP} \sim \frac{O(0.1) \text{ Mpc}}{O(10) \text{ Mpc}} \sim 1^\circ \quad (3.1.1)$$

② The flatness problem: The universe appears to have a flat geometry today,  $|k_{\text{eff}}| \leq 0.01$ , from observations. But, from the Friedmann equation:

$$\frac{d}{dt} [\Omega(t) - 1] = -2\ddot{\alpha} [\Omega(t) - 1] \quad \parallel \quad \Omega(t) = \frac{f(t)}{P_{\text{eff}}(t)}$$

$\uparrow$   
 $\dot{\alpha} < 0$  during  
MD and RD

i.e.,  $\Omega(t) - 1 = 0$  is an unstable fixed point. In order to satisfy:

$$|\Omega(t_0) - 1| = |k_{\text{eff}}| \leq 0.01 \quad (3.1.2)$$

we must have:  $|\Omega(t_{\text{pl}}) - 1| \leq 10^{-60}$  time when  $T \sim 10^{10} \text{ GeV}$  (3.1.3)

⇒ Too much fine-tuning is required to make the universe appear flat today.

- ③ The monopole problem: GUTs predict topological defects (monopoles, cosmic strings, domain walls, etc.). Generally, we expect one defect per causally connected region at the time of creation ( $T > 10^{16}$  GeV)  $\Rightarrow$  there must be many of these objects in the observable universe today. Where are they?

Main idea of inflation

Introduce a phase of accelerated expansion  $\ddot{a} > 0$  before radiation domination.

- ① Horizon problem can be solved because the physical horizon at  $t_{\text{dec}}$  can be made much larger than the estimate (3.1.1).
- ② Flatness problem solved because a positive  $\dot{a}$  will drive any initial  $R(t) - 1$  to zero given sufficient time.
- ③ Rapid expansion dilutes abundance of topological defects, provided inflation occurs after production of defects.

### 3.2 Scalar field dynamics.

Lagrangian density for a scalar field  $\phi$  : (minimally coupled)

$$\mathcal{L}_\phi = -\frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) \quad || \phi = \text{inflaton} \quad (3.2-1)$$

minus sign  
because our  
metric has  
 $(-+++)$

$$= -\frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi)$$

Consider a homogeneous  $\phi$  (i.e.,  $\partial_i \phi = 0$ ), then from

$$T_{\mu\nu} = \frac{-2}{\sqrt{g}} \frac{\partial}{\partial g^{\mu\nu}} (\sqrt{g} \mathcal{L}_m) \quad (3.2-2)$$

and assuming the FLRW metric (1.1.1), we find:

$$\begin{aligned} T^0_0 &= -\frac{1}{2} \left( \frac{\partial \phi}{\partial t} \right)^2 - V(\phi) & ; \quad T^0_i &= 0. \\ T^i_j &= \frac{1}{2} \left( \frac{\partial \phi}{\partial t} \right)^2 - V(\phi) & ; \quad T^i_j &= 0 \end{aligned} \quad (3.2-3)$$

Compare with a perfect fluid:

$$T^\mu_\nu = \text{diag}(-\rho, P, P, P)$$

$$\Rightarrow \boxed{\begin{aligned} \rho_\phi &= \frac{1}{2} \left( \frac{\partial \phi}{\partial t} \right)^2 + V(\phi) \\ P_\phi &= \frac{1}{2} \left( \frac{\partial \phi}{\partial t} \right)^2 - V(\phi) \end{aligned}} \quad \begin{matrix} \text{energy density} \\ \text{and pressure of} \\ \text{inhomogeneous} \\ \text{scalar field} \end{matrix} \quad (3.2-4)$$

If  $V(\phi) \gg \dot{\phi}$ :

$$\omega_\phi = \frac{P_\phi}{\rho_\phi} \simeq -1 \quad (3.2-5)$$

$\Rightarrow$  accelerated expansion if  $\phi$  dominates the energy density.

Evolution equation for  $\dot{\phi}$ :

$$\ddot{\phi} + 3H\dot{\phi} + \frac{\partial V}{\partial \phi} = 0 \quad \begin{matrix} \text{from } \nabla^\mu T_{\mu\nu} = 0 \\ \text{or } \frac{dP}{dt} + 3H(P + \rho) = 0 \end{matrix} \quad (3.2-6)$$

### 3.3 Basic picture of inflation

Consider a causally connected patch of the universe at some early time.

- ① If the energy density in the patch is dominated by  $V(\phi)$   
 & a slowly varying  $\phi$  ('slow-roll'), i.e.,

$$\frac{1}{2}\dot{\phi}^2 \ll V(\phi) \quad \| \quad KE \ll PE \quad (3.3.1)$$

$$\text{or equivalently: } \dot{\phi} \ll 3H\phi \simeq -V_{,\phi} \quad \| \quad V_{,\phi} \equiv \frac{\partial V}{\partial \phi} \quad (3.3.2)$$

then  $W\phi = \frac{P\phi}{P\phi} \simeq -1 \Rightarrow \text{inflationary phase.}$

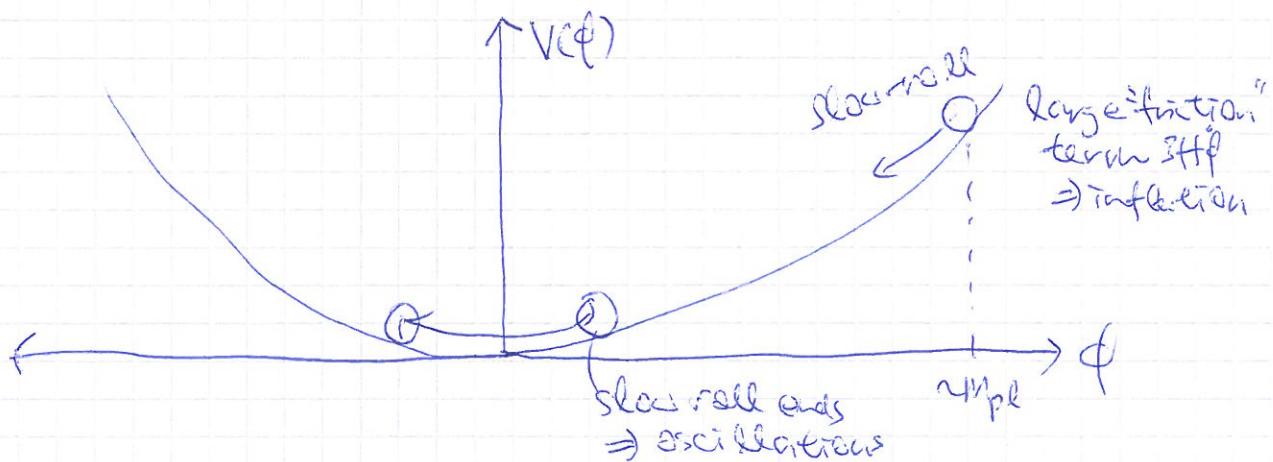
(3.3.1) and (3.3.2) can be translated into constraints on the form of  $V(\phi)$ :

$$\left. \begin{array}{l} \varepsilon \equiv \frac{M_{Pl}^2}{16\pi} \left( \frac{V_{,\phi}}{V} \right)^2 = -\frac{\dot{H}}{H^2} \\ n \equiv \frac{M_{Pl}^2}{8\pi} \left( \frac{V_{,\phi\phi}}{V} \right) \\ \vdots \end{array} \right\} \begin{array}{l} \text{Slow roll parameters} \\ \text{inflection} \\ \simeq \frac{3}{2} \frac{\dot{\phi}^2}{V} \end{array} \quad (3.3.3)$$

During inflation,  $\varepsilon, n \ll 1$

- ② When  $\varepsilon, n \rightarrow 1$ , inflation ends.

Example:  $V(\phi) = \frac{1}{2}m^2\phi^2$  (large-field models)



## After inflation: Reheating

Reheating = conversion of energy in  $\phi$  into relativistic (standard model) particles

$\Rightarrow$  Universe enters into radiation domination.

The actual process of reheating is not well understood.

But suppose:

- ① It is a simple  $\phi$  decay process, with decay width  $\Gamma_\phi$ .
- ② All energy in  $\phi$  is converted instantaneously into radiation when  $H \sim \Gamma_\phi$ .

Then:

$$\Gamma_\phi \sim H = \sqrt{\frac{8\pi G}{3} P_{\text{rad}}} = \sqrt{\frac{8\pi}{3m_p^2} \left(\frac{\pi^2}{30}\right) g_* T_{\text{RH}}^4}$$

Friedmann eqn radiation

$$\Rightarrow \boxed{T_{\text{RH}} = \left( \frac{90}{8\pi^3 g_*} \right)^{1/4} \sqrt{\Gamma_\phi M_p} \\ = 0.2 \left( \frac{200}{g_*} \right)^{1/4} \sqrt{\Gamma_\phi M_p}}$$

Reheating temperature (3-3.4)

## 4. Structure formation

### 4.1 Overview

The formation of gravitationally bound objects such as dark matter halos, galaxies, clusters, etc. proceeds through gravitational instability.

The various stages of structure formation:

① Quantum fluctuations of the inflaton field induce perturbations in the spacetime metric during inflation.

② Linear evolution of perturbations

⇒ CMB anisotropies

⇒ Large-scale distribution of matter ( $> 10^{11} \text{ pc}$ )

③ Nonlinear evolution on small scales ( $< 10^{11} \text{ pc}$ ) at late times.

⇒ formation of bound structures

⇒ halo density profiles

{ numerical simulations

## 4.2 Describing small perturbations

We use cosmological perturbation theory. Define the spacetime metric:

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + a^2 h_{\mu\nu} \quad \begin{matrix} \text{hypothetical} \\ \text{FLRW} \end{matrix} \quad \begin{matrix} \text{perturbation} \end{matrix} \quad (4.2-1)$$

and the stress-energy tensor:

$$T_{\mu\nu} = \bar{T}_{\mu\nu} + S T_{\mu\nu} \quad (4.2-2)$$

$\begin{matrix} \text{diag}(\bar{\rho}, \bar{g}_{\mu\nu} \bar{P}) \\ (\text{homogeneous \& isotropic}) \end{matrix}$

We use the Einstein equation to relate  $h_{\mu\nu}$  and  $S T_{\mu\nu}$ .

Note that in general:

$$h_{\mu\nu} = 10 \text{ d.o.f.} \quad \begin{matrix} \rightarrow 6 \text{ physical d.o.f.} \\ \rightarrow 4 \text{ gauge modes} \\ (\text{related to our choice of coordinate system}) \end{matrix} \quad \begin{matrix} \rightarrow 2 \text{ scalars, } 2 \times 1 \text{ d.o.f.} \\ \rightarrow 1 \text{ vector, } 1 \times 2 \text{ d.o.f.} \\ 1 \text{ tensor, } 1 \times 2 \text{ d.o.f.} \\ (\text{gravitational waves}) \end{matrix}$$

To linear order in the small quantities, only the scalar degrees of freedom in  $h_{\mu\nu}$  are relevant for structure formation. One way to parametrize these scalar degrees of freedom is:

$$ds^2 = a^2 \left\{ -[1 + 2\tilde{\Psi}(x, \tau)] d\tau^2 + [1 - 2\tilde{\Psi}(x, \tau)] \delta_{ij} dx^i dx^j \right\} \quad (4.2-3)$$

(Conformal Newtonian gauge)

Conformal  $\rightarrow$   $d\tau = \frac{dt}{a}$  cosmic time

In the same gauge, the stress-energy tensor (to 1st order in small quantities) is:

$$T^\mu_\nu = \begin{pmatrix} -\bar{\rho} & & & \\ & \bar{\rho} & & \\ & & \bar{\rho} & \\ & & & \bar{\rho} \end{pmatrix} + \underbrace{\begin{pmatrix} -\delta p & (\bar{\rho} + \bar{P})v_i & & \\ -(\bar{\rho} + \bar{P})v_i & \delta P & \delta P & \\ & \delta P & \delta P & \delta P \end{pmatrix}}_{\text{Perfect fluid}} + \underbrace{\begin{pmatrix} & & & \\ & & & \\ & & & \\ & & & \Pi^i_j \end{pmatrix}}_{\text{addition term for non-perfect fluid}} \quad (4.24)$$

$\Pi^i_j = \text{anisotropic stress.}$

General expressions relating  $\bar{\rho}$ ,  $\bar{P}$  to  $\delta p$ ,  $\delta P$ , etc., can be found in, e.g., Ma & Bertschinger astro-ph/9506072. Here, we only look at a simple example.

### 4.3 Example: Evolution of CDM density perturbations during matter domination

Suppose the universe's energy density is dominated by cold dark matter (CDM).

CDM means  $\underbrace{\bar{P}, \delta P, \Pi^i_j = 0}_{\text{i.e., no velocity dispersion.}}$  by definition

Then:

$$\bar{T}^{\mu}_{\nu} = \underbrace{\begin{pmatrix} -\bar{\rho} & 0 \\ 0 & 0 \end{pmatrix}}_{\bar{T}^{\mu}_{\nu}} + \underbrace{\begin{pmatrix} -\bar{\rho} s & \bar{\rho} v_i \\ \bar{\rho} v^i & 0 \end{pmatrix}}_{\delta T^{\mu}_{\nu}} \quad (4.3.1)$$

Using the Einstein equation to relate  $\bar{\Psi}, \bar{\Phi}$  to  $\delta T^{\mu}_{\nu}$ , we find:

$$\bar{\Psi} = \bar{\Phi} \quad \left| \begin{array}{l} \text{Consequence of} \\ \Pi^i_j = 0 \end{array} \right. \quad (4.3.2)$$

and:

$$\boxed{k^2 \bar{\Psi} = -4\pi G a^2 \bar{\rho} [S + \frac{3H}{k} v^{(s)}] = -\frac{3}{2} H^2 [S + \frac{3H}{k} v_a^{(s)}]} \quad (4.3.3)$$

#### Notes

- ① We are working in Fourier space,  $\vec{x} \rightarrow \vec{k}$ .
- ② Flat spatial geometry has been assumed.
- ③  $\mathcal{H} = aH$  Hubble parameter ;  $\mathcal{H} \equiv \frac{1}{a} \frac{da}{dt}$ .
- ④  $v^{(s)} = v_i k^i / k$ , i.e., component of  $v_i$  parallel to the wave vector  $k^i$ .

The evolution of  $\delta T^{\mu}_{\nu}$  is given by  $\nabla_{\mu} T^{\mu}_{\nu} = 0$  (local conservation of energy-momentum).

$$\Rightarrow \boxed{\begin{aligned} \frac{\partial S}{\partial \tau} + k V^{(S)} - 3 \frac{\partial \bar{\Psi}}{\partial \tau} &= 0 \\ \frac{\partial V^{(S)}}{\partial \tau} + 4 U^{(S)} - k \bar{\Psi} &= 0 \end{aligned}}$$

Continuity  
eqn

Euler  
eqn

(4.3.4)

To find the solution, we combine (4.3.3) and (4.3.4) into a 2nd Order DE for  $\bar{\Psi}$ :

$$\left[ 3 + \frac{2k^2}{3H^2} \right] \frac{\partial^2 \bar{\Psi}}{\partial \tau^2} + \left\{ \frac{2}{3H} \left[ \frac{9H^2}{2} + 2k^2 \right] + (9H^2 + k^2) \left( 3 + \frac{2k^2}{3H^2} \right) \left( \frac{9H^2}{2} + k^2 \right) \right\} \frac{\partial \bar{\Psi}}{\partial \tau} = 0 \quad (4.3.5)$$

(4.3.5) is in the form  $\alpha \frac{\partial^2 \bar{\Psi}}{\partial \tau^2} + \beta \frac{\partial \bar{\Psi}}{\partial \tau} = 0 \Rightarrow \bar{\Psi} = \text{constant}$  is a solution. (There is also a second solution which decays with  $\tau$ .)

$\Rightarrow \bar{\Psi} = \text{constant in a CDM dominated universe}$

For perturbations with wavelengths smaller than the Hubble radius, i.e.,  $k \gg H$ ,

$$(4.3.3) \Rightarrow k^2 \bar{\Psi} \simeq -4\pi G a^2 \bar{\rho} \delta \quad (4.3.6)$$

$\uparrow$   
constant       $\downarrow a^{-3}$

Thus:

$$\delta \propto a \quad (4.3.7)$$

CDM density perturbations grow like the scale factor inside the Hubble horizon during matter domination.

## 4.4 Hot/warm dark matter

HDM or WDM has velocity dispersion  $\Rightarrow$  nager SP and T<sub>ij</sub> have important consequences for structure formation.

A full quantitative discussion is quite complex (see, e.g., Ma & Bertschinger, astroph/9506072). Here, we consider the following heuristic argument for neutrino hot dark matter.

Recall that after decoupling, the homogeneous neutrino phase space distribution is

$$f(\vec{p}, T_\nu) = \frac{1}{\exp(\frac{\vec{p}^2}{T_\nu}) + 1} \quad \begin{array}{l} \text{Relativistic} \\ \text{Fermi-Dirac} \end{array} \quad (4.4.1)$$

The characteristic thermal speed is then:

$$v_{th} = \frac{T_\nu}{m_\nu} = 50.4 \text{ cm}^{-1} \left( \frac{\text{eV}}{m_\nu} \right) \text{ km s}^{-1} \quad \text{using } T_{\nu 0} = 1.95 \text{ K} \quad (4.4.2)$$

This thermal motion leads to large pressure and shear stress in the neutrino gas, which counter the effect of gravity  $\Rightarrow$  the neutrino gas does not collapse gravitationally because particles fly away.

Define the gravitational collapse timescale:

$$\Delta t_{\text{grav}} \equiv (4\pi G p a^2)^{-1/2} \quad (4.4.3)$$

Then:

$$\begin{aligned}\Delta_{fs} &\equiv \text{v-th } \Delta t_{\text{grav}} \\ &= 0.41 \Omega^{1/2} a^{1/2} \left( \frac{\text{eV}}{m_\nu} \right) h^{-1} \text{Mpc}\end{aligned}\quad (4.4.4)$$

### Free-streaming Scale

i.e., at any time, density perturbations in the neutrino gas with wave length less than  $\Delta_{fs}$  do not grow.

Now, let  $a = a_{nr}$ , where  $a_{nr}$  = the scale factor at which neutrinos becomes nonrelativistic, i.e.,  $m_\nu > T_c(a_{nr})$ .

$$\Rightarrow a_{nr} \simeq \frac{T_{c,0}}{m_\nu} \sim 1.95 \text{K} \sim 10^{-4} \text{eV}. \quad (4.4.5)$$

Then, the maximum free-streaming scale is:

$$\Delta_{fs,\max} = 31.8 \Omega^{1/2} \left( \frac{\text{eV}}{m_\nu} \right)^{1/2} h^{-1} \text{Mpc} \quad (4.4.6)$$

i.e., unless density perturbations are regenerated by other means, primordial density perturbations in neutrinos with wave length less than  $\Delta_{fs,\max}$  will be erased.

Thus, if neutrinos were the only dark matter, we would have trouble forming galaxies ( $\sim 10 \text{kpc}$ ) and galaxy clusters ( $\sim 1 \text{Mpc}$ )!

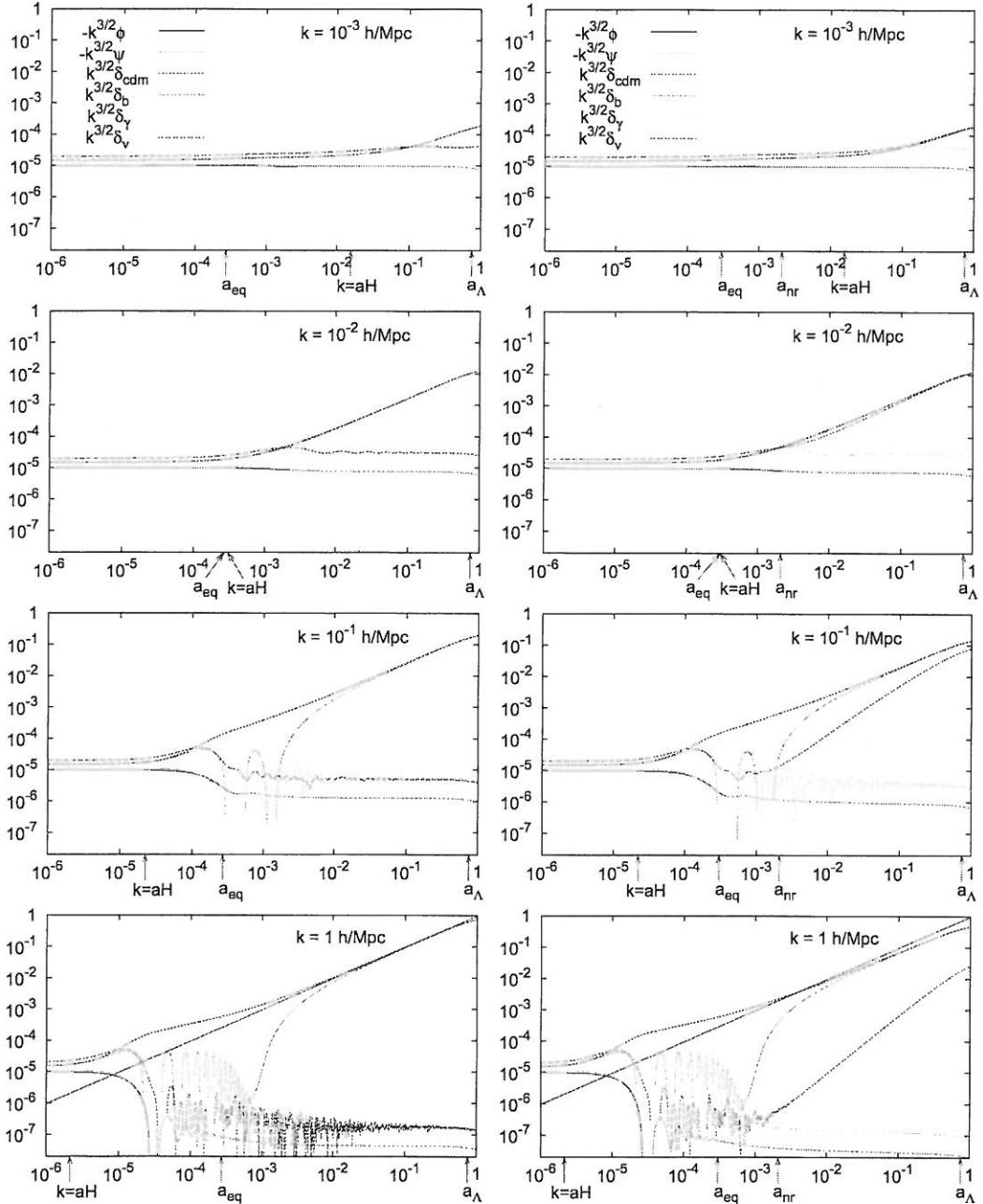


Fig. 11. Evolution of the metric and density perturbations as a function of the scale factor (normalized to  $a_0 = 1$  today), in the longitudinal gauge, for modes  $10^{-3} \text{ h Mpc}^{-1} < k < 1 \text{ h Mpc}^{-1}$  (from top to bottom), and for two cosmological models:  $\Lambda\text{CDM}$  (left) and  $\Lambda\text{MDM}$  (right), both with  $\omega_m = 0.147$  and  $\Omega_\Lambda = 0.7$ . The integration has been performed with the code CMBFAST starting from the initial condition  $k^{3/2}\phi = -10^{-5}$ . The  $\Lambda\text{MDM}$  model has three degenerate neutrinos with  $m_\nu = 0.46 \text{ eV}$ , corresponding to  $f_\nu = 0.1$ .