

# Dark matter, baryogenesis and neutrinos

Mikhail Shaposhnikov

ISAPP 2011: The dark side of the Universe

# Outline 1

- Introduction: “No new scale paradigm”
- Minimal model leading to minimal solutions
- The structure of the minimal model -  $\nu$ MSM
- Sterile neutrino as Dark Matter
  - Elements of finite temperature field theory
  - Cosmological production of sterile neutrino
  - How to search for DM sterile neutrino

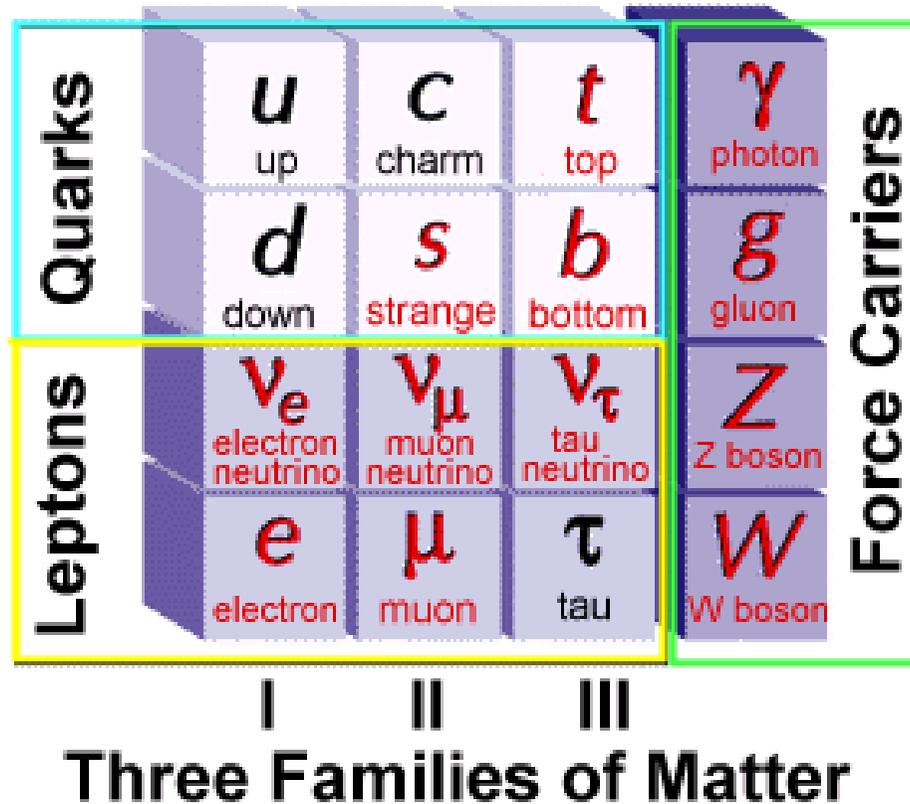
# Outline 2

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- Baryogenesis and neutrinos
  - Anomalous baryon and lepton number non-conservation
  - The mechanism
  - Experimental consequences
- Conclusions

Standard Model of particle interactions is in great shape: it agrees with all **accelerator** experiments

# Elementary Particles



The only missing particle - the Higgs boson. It will be searched at the LHC

Still, the Standard Model cannot accommodate a number of cosmological observations and discoveries in neutrino physics, it also has a number of “fine tuning” problems from theory side.

## The strategy

- Select the most important problems to solve (may be subjective).
- Use Ockham’s razor principle: “*Frustra fit per plura quod potest fieri per pauciora*” or “entities must not be multiplied beyond necessity”. For particle physics: entities = new hypothetical particles and new scales different from Fermi and Planck scales.

# SM problems and possible solutions

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Hierarchy problem: stability of the Higgs mass against radiative corrections

Possible solutions:

- Compensation of divergent diagrams by new particles at TeV scale (supersymmetry, composite Higgs boson). Consequence: new physics at LHC

## SM problems and possible solutions

- New symmetry – exact, but spontaneously broken scale invariance. Higgs mass is kept small in the same way as photon mass is kept zero by gauge invariance. Consequences: validity of the SM all the way up to the Planck scale, nothing but the Higgs at LHC in the mass interval

$$m_{\min} < m_H < m_{\max}$$

$$m_{\min} = \left[ 126.3 + \frac{m_t - 171.2}{2.1} \times 4.1 - \frac{\alpha_s - 0.1176}{0.002} \times 1.5 \right] \text{ GeV}$$

$$m_{\max} = \left[ 173.5 + \frac{m_t - 171.2}{2.1} \times 1.1 - \frac{\alpha_s - 0.1176}{0.002} \times 0.3 \right] \text{ GeV}$$

theory error in  $m_{\min} \simeq \pm 2$  GeV. Existence of massless particle – dilaton, which can play the role of **Dark Energy**

Zenhausern, M.S

# SM problems and possible solutions

The universe is flat, homogeneous and isotropic with high accuracy. It contained in the past small density fluctuations that lead to structure formation

Possible solution: inflation.

The inflaton (scalar particle inflating the universe) is

- new particle with the mass of the order of  $10^{13}$  GeV and minimal coupling to gravity

## Alternative

- SM Higgs boson with non-minimal coupling to gravity

Bezrukov, M.S

# SM problems and possible solutions

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## Neutrino masses and oscillations

Possible solutions:

- See-saw mechanism: existence of several superheavy ( $M \sim 10^{10}$  GeV) neutral leptons. Direct experimental consequences: none, as the mass is too large to be accessed

## Alternative

- Existence of new leptonic flavours with masses similar to those of known quarks and leptons. Experimental consequence: possibility of direct experimental search

# SM problems and possible solutions

## Dark matter

Possible solutions:

- WIMPS with masses of the order of 100 GeV and roughly electroweak cross-sections (e.g. SUSY neutralino).

Consequences: new particles at LHC, success of WIMP searches

## Alternative

- Super-WIMPS with masses in keV region. Natural possibility: new neutral leptonic flavour with mass of few keV. Consequences: no DM candidates at LHC, failure of WIMP searches. Possibility of search through radiative processes  $N \rightarrow \nu\gamma$  which leads to existence of narrow X-ray line in direction of DM concentrations.

# SM problems and possible solutions

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## Baryon asymmetry of the Universe

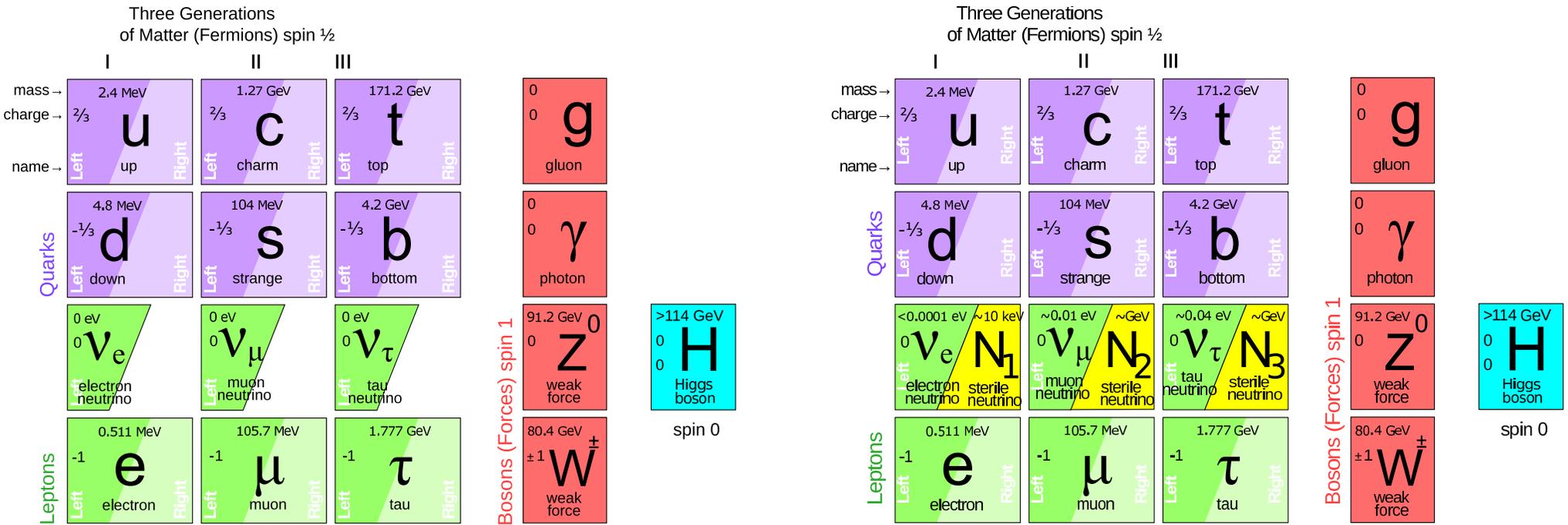
Possible solutions:

- Baryogenesis due to new physics above the electroweak scale. Potential consequences: new particles at LHC (for electroweak baryogenesis)

## Alternative

- Baryogenesis due to new neutral leptonic flavours with masses in the region from 140 MeV up to few GeV. Experimental consequence: possibility of direct experimental search

# Realisation: $\nu$ MSM + scale-invariant unimodular gravity



Role of  $N_1$  with mass in keV region: dark matter

Role of  $N_2, N_3$  with mass in 100 MeV – GeV region: “give” masses to neutrinos and produce baryon asymmetry of the Universe

Role of the Higgs: give masses to quarks, leptons,  $Z$  and  $W$  and inflate the Universe.

Role of scale invariance and unimodular gravity: dilaton gives mass to the Higgs and  $N_{1,2,3}$  and provides dynamical dark energy

# Parameter counting: the $\nu$ MSM

Most general renormalizable Lagrangian

$$L_{\nu MSM} = L_{SM} + \bar{N}_I i \partial_\mu \gamma^\mu N_I - F_{\alpha I} \bar{L}_\alpha N_I \Phi - \frac{M_I}{2} \bar{N}_I^c N_I + h.c.,$$

Extra coupling constants:

**3** Majorana masses of new neutral fermions  $N_i$ ,

**15** new Yukawa couplings in the leptonic sector

(3 Dirac neutrino masses  $M_D = F_{\alpha I} v$ , 6 mixing angles and 6 CP-violating phases),

**18** new parameters in total. The number of parameters is almost doubled.

# The strategy

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- Let us see therefore whether  $\nu$ MSM agrees with particle physics experiments, and can explain neutrino masses, dark matter, and baryon asymmetry of the Universe for some choice of parameters

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- Let us see therefore whether  $\nu$ MSM agrees with particle physics experiments, and can explain neutrino masses, dark matter, and baryon asymmetry of the Universe for some choice of parameters
- The parameters, found in this way can be used to fix the experimental strategy for search for new physics

# Neutrino masses and oscillations

# $\nu$ MSM neutrino masses

If the Dirac neutrino masses  $M_D \sim Fv \ll M_I$  ( $v$  is the VEV of the Higgs field) the see-saw formula works,

$$M_\nu = -M_D \frac{1}{M_N} [M_D]^T, \quad M_D = Fv, \quad v = 174 \text{ GeV}$$

$M_\nu$  depends on 9 physical parameters which potentially can be determined experimentally in low energy neutrino experiments.

They are: 3 absolute values of  $\nu$  masses, 3 mixing angles, 1 Dirac CP-violating phase and 2 Majorana phases. 4 out of these 9 are not known.

- **Obvious statement:** 18 new parameters of the  $\nu$ MSM can fit **any** pattern of neutrino masses and oscillations
- **Another obvious statement:** scale of  $M_N$  cannot be extracted from low-energy experiments:  
multiply  $M_D$  by  $x$  and  $M_N$  by  $x^2$ ,  $M_\nu$  does not change  
( $m_\nu \propto M_D^2/M_N$ )

*Assume* that the Majorana masses of  $N$  are smaller or of the same order as the mass of the Higgs boson and find Yukawa couplings from requirement that one gets correct active neutrino masses:

$$F \sim \frac{\sqrt{m_{atm} M_N}}{v} \sim (10^{-6} - 10^{-13}),$$

small  $F$  will play crucial role for dark matter and baryogenesis.

# Dark matter in the universe

Problem since 1933, F. Zwicky.

Most of the matter in the universe is dark

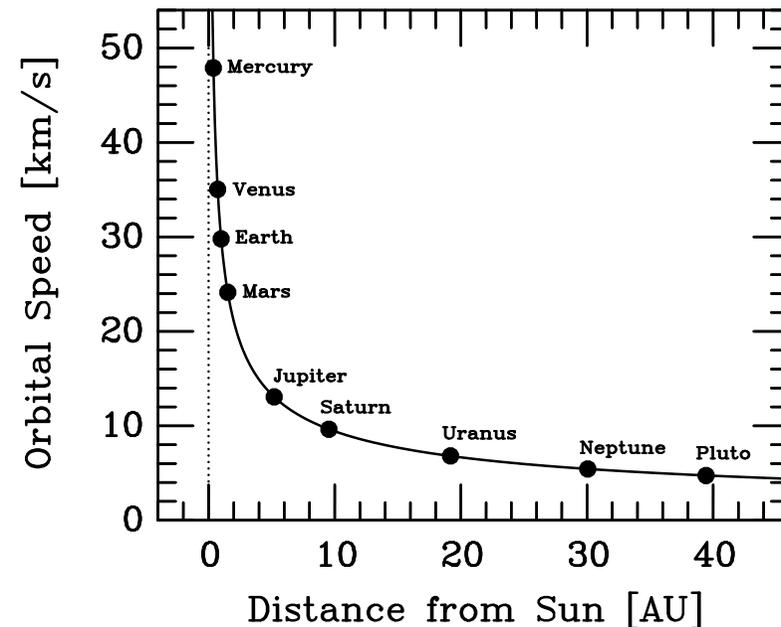
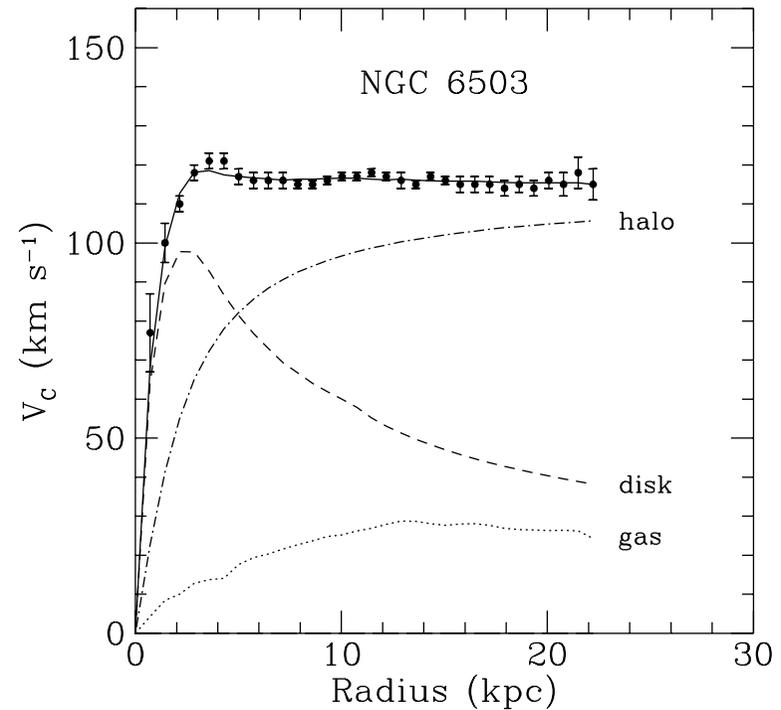
Evidence:

- Rotation curves of galaxies
- Big Bang nucleosynthesis
- Structure formation
- CMB anisotropies
- Supernovae observations

Non-baryonic dark matter:

$$\Omega_{DM} \simeq 0.22$$

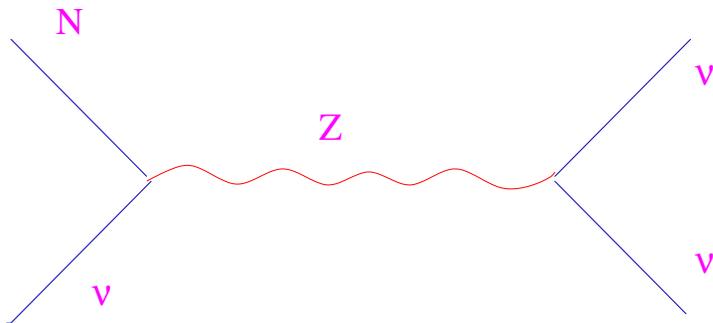
SM: no particle physics candidate



# $\nu$ MSM Dark matter

Dodelson, Widrow; Shi, Fuller; Dolgov, Hansen;  
Abazajian, Fuller, Patel; Asaka, Laine, M.S.

Yukawa couplings are small  $\rightarrow$   
sterile  $N$  can be very stable.



Main decay mode:  $N \rightarrow 3\nu$ .

Subdominant radiative decay

channel:  $N \rightarrow \nu\gamma$ .

For one flavour:

$$\tau_{N_1} = 10^{14} \text{ years} \left( \frac{10 \text{ keV}}{M_N} \right)^5 \left( \frac{10^{-8}}{\theta_1^2} \right)$$

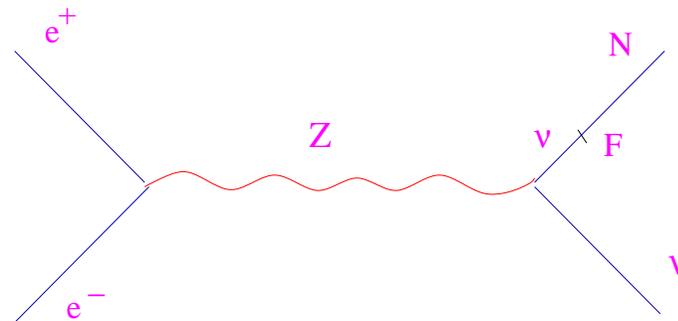
$$\theta_1 = \frac{m_D}{M_N}$$

# Production via neutrino oscillations

Assumptions (Dodelson, Widrow):

- The theory is SM + just one sterile neutrino
- The abundance of sterile neutrino at  $T > 1$  GeV is zero

Cosmological production is due to active - sterile neutrino oscillations:



# Production via neutrino oscillations

Naive estimate: production rate

$$\Gamma \sim \sigma n v, \quad \sigma \sim G_F^2 \theta^2 T^2, \quad n \sim T^3$$

Abundance:

$$\frac{n_N}{n_\gamma} \sim G_F^2 \theta^2 M_W^3 M_{Pl}$$

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Wrong by many orders of magnitude!

- L. Wolfenstein, Phys. Rev. D17 (1978) 2369;  
Phys. Rev. D20 (1979) 2634
- A.D. Dolgov, Yad.Fiz. 33 (1981) 1309
- S.P. Mikheev, A.Yu. Smirnov, Yad.Fiz. 42 (1985) 1441;  
Nuovo Cim. C9 (1986) 17
- D. Notzold, G. Raffelt, Nucl.Phys. B307 (1988) 924

Active-sterile neutrino mixing angle is temperature dependent.

From Dolgov-Hansen:

$$\theta \rightarrow \theta_M \simeq \frac{\theta}{1 + 2.4(T/200 \text{ MeV})^6 (\text{keV}/M_I)^2}$$

So,  $\Gamma \propto T^{-7}$  and not  $T^5$  !

Strong suppression of sterile neutrino production at  $T > 100$  MeV!

Production temperature of sterile neutrinos:

$$T \sim 130 \left( \frac{M_I}{1 \text{ keV}} \right)^{1/3} \text{ MeV}$$

To find the number of produced sterile neutrinos exactly one must know

- The rate of *sterile* neutrino production
- The equation of state of the plasma  $P = F(T)$ , which gives the temperature-time relation in the expanding Universe

Asaka, Laine, M.S.

Computation from first principles of QFT and statistical physics

# Elements of finite temperature field theory

Master formula for sterile neutrino  
DM production

(Blackboard part)

# Initial conditions for Big Bang

**Assume:** at some temperature  $T \gg M_W$  we have:

- thermal equilibrium for all SM particles
- no singlet fermions present

**Why?**

- Yukawa couplings of singlet fermions are very small: reactions  $N \leftrightarrow$  SM particles are out of thermal equilibrium at  $T \gg M_W$ .
- In the  $\nu$ MSM with non-minimal Higgs coupling the *Higgs*  $\equiv$  *inflaton* energy goes to SM particles rather than singlet fermions. Yukawas are small!

## Statistical physics formulation

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Find  $\text{Tr} N \hat{\rho}(t)$  where density matrix  $\hat{\rho}(t)$  satisfies:

$$i \frac{d\hat{\rho}(t)}{dt} = [\hat{H}, \hat{\rho}(t)]$$

$\hat{H}$  - total Hamiltonian. Initial condition:

$$\hat{\rho}(0) = \hat{\rho}_{\text{SM}} \otimes |0\rangle\langle 0|$$

where  $\hat{\rho}_{\text{SM}} = Z_{\text{SM}}^{-1} \exp(-\beta \hat{H}_{\text{SM}})$ ,  $\beta \equiv 1/T$ , is the equilibrium MSM density matrix at a temperature  $T$ , and  $|0\rangle$  is the vacuum state for sterile neutrinos.

DM sterile neutrinos are never in thermal equilibrium



Kinetic equations are not necessary: one can use perturbation theory on Yukawa coupling !

Result in the lowest order of perturbation theory:

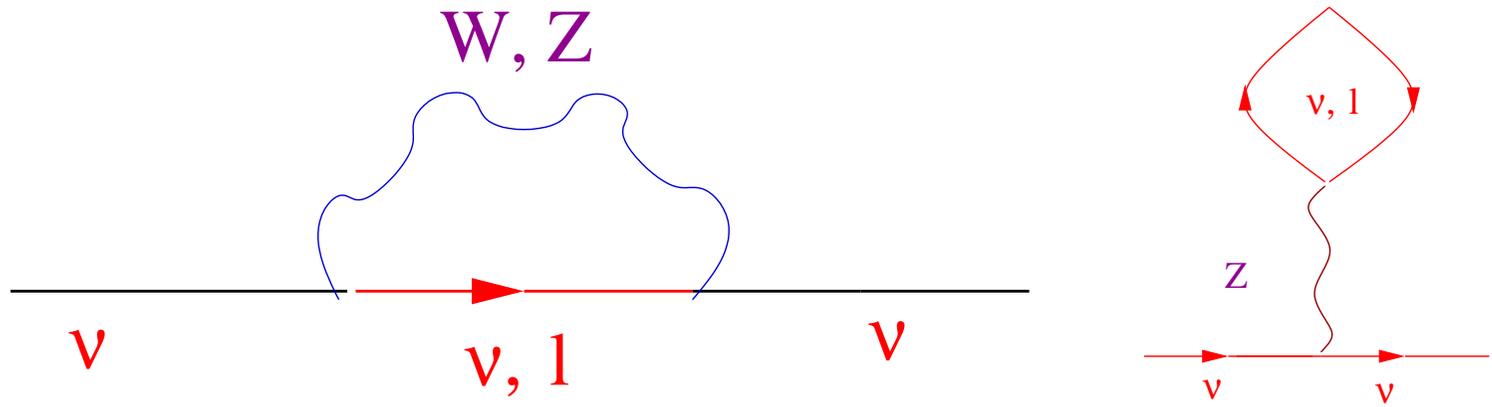
$$\frac{dN_I(x, \vec{q})}{d^4x d^3\vec{q}} = \frac{2n_F(q^0)}{(2\pi)^3 2q^0} \sum_{\alpha=1}^3 |M_D|_{\alpha I}^2 \text{tr} \left\{ \not{Q} a_L \left[ \rho_{\alpha\alpha}(-Q) + \rho_{\alpha\alpha}(Q) \right] a_R \right\}$$

$$a_{L,R} = \frac{1}{2} (1 \pm \gamma_5)$$

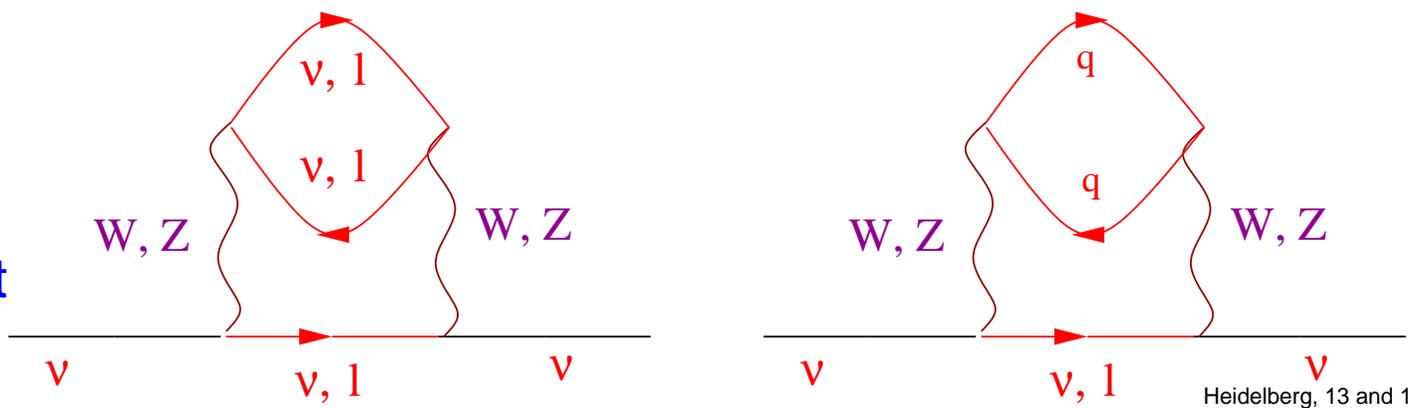
# Spectral function

$$\rho_{\alpha\beta}(Q) \equiv \int dt d^3\vec{x} e^{iQ\cdot x} \left\langle \frac{1}{2} \left\{ \hat{\nu}_\alpha(x), \hat{\nu}_\beta(0) \right\} \right\rangle$$

Real part



Imaginary part



**Challenge:** production temperature of sterile neutrinos:

$$T \sim 130 \left( \frac{M_I}{1 \text{ keV}} \right)^{1/3} \text{ MeV}$$

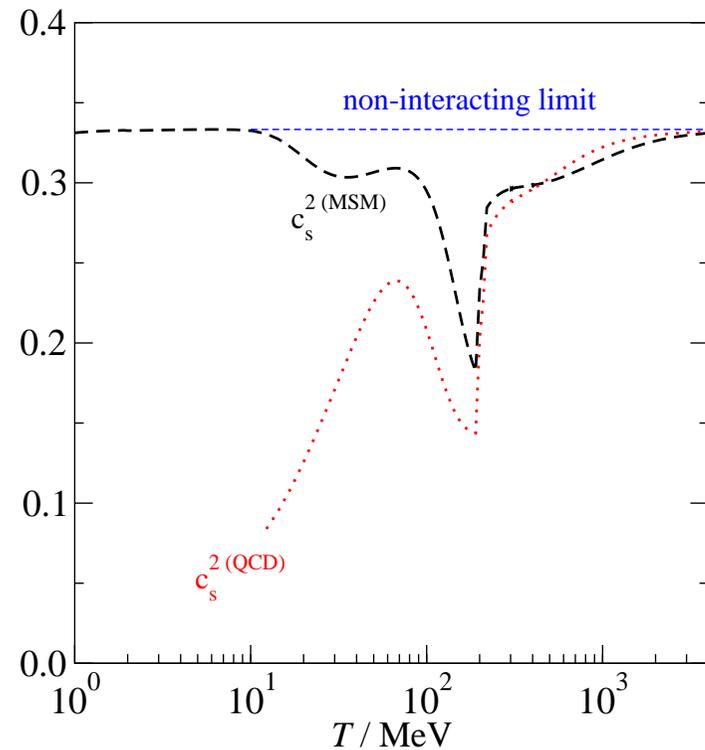
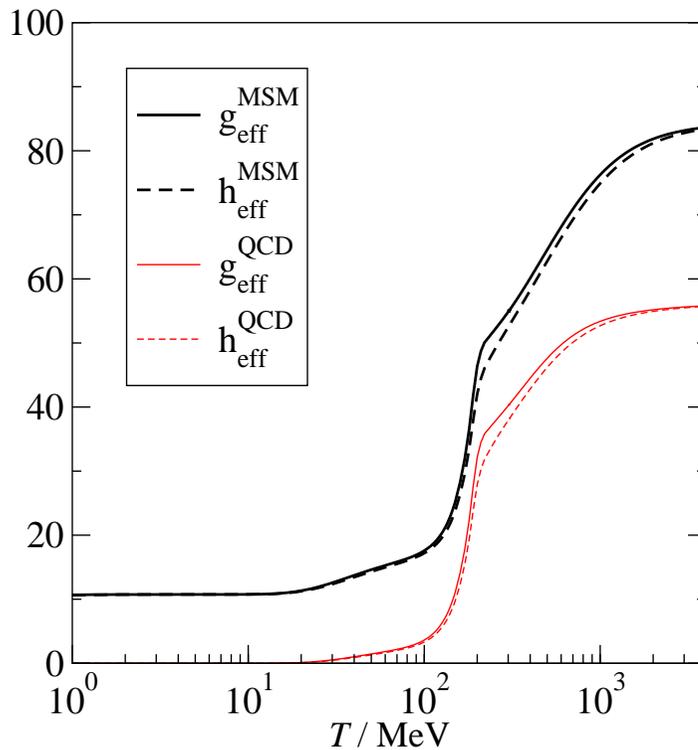
QCD interactions are strong!

The problem can be solved if one knows:

- equation of state at temperatures 10 MeV - 1 GeV
- real time correlators of vector and axial vector hadronic currents in this temperature range

# Equation of state

Method: use a gas of hadronic resonances at low temperatures; the most advanced (up to resummed 4-loop level weak-coupling) results at high temperatures; and an interpolation thereof at intermediate temperatures



## Scattering: imaginary part

- $T \gg \Lambda_{QCD}$ : use quarks
- $T \ll \Lambda_{QCD}$ : use hadrons
- Conservative **upper** bound on hadronic contribution: use free quarks at all temperatures
- Conservative **lower** bound on hadronic contribution: put  $N_c = 0$
- Phenomenological mean value:

$$N_c \rightarrow N_c \frac{h_{eff}^{QCD}(T)}{58}$$

Yet another parameter: leptonic asymmetry

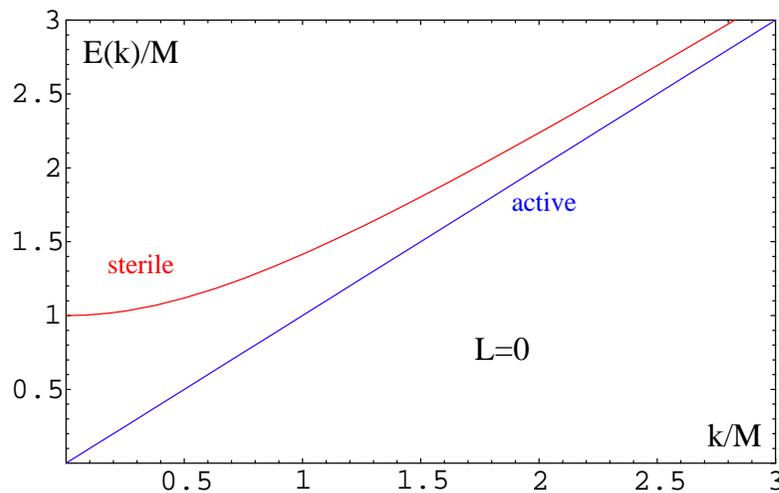
$$\Delta_L = \frac{(n_L - \bar{n}_L)}{(n_L + \bar{n}_L)}$$

in the QCD epoch

- Lepton asymmetry is created in reactions with heavier singlet fermions of the  $\nu$ MSM,  $\Delta_L \lesssim 0.2$
- Constraints from BBN on  $\Delta_L$  are weak

# Non-resonant and resonant production

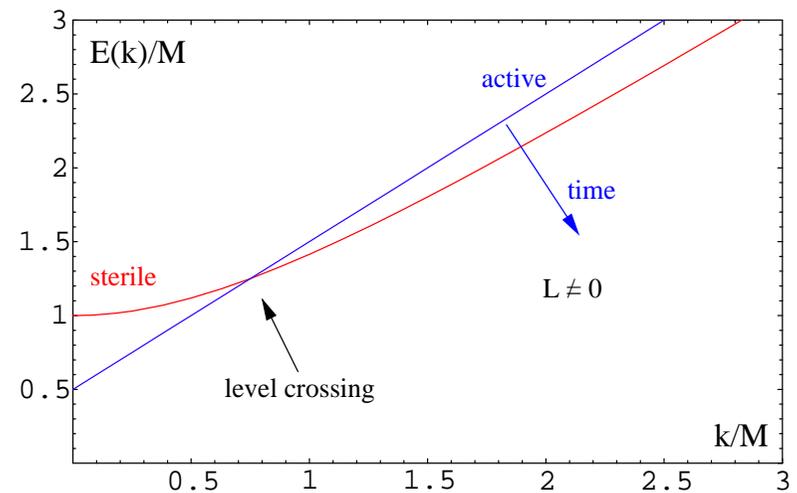
Dispersional relations for active and sterile neutrinos (from real part)



Transitions  $\nu \rightarrow N_1$

Dodelson-Widrow

Zero lepton asymmetry



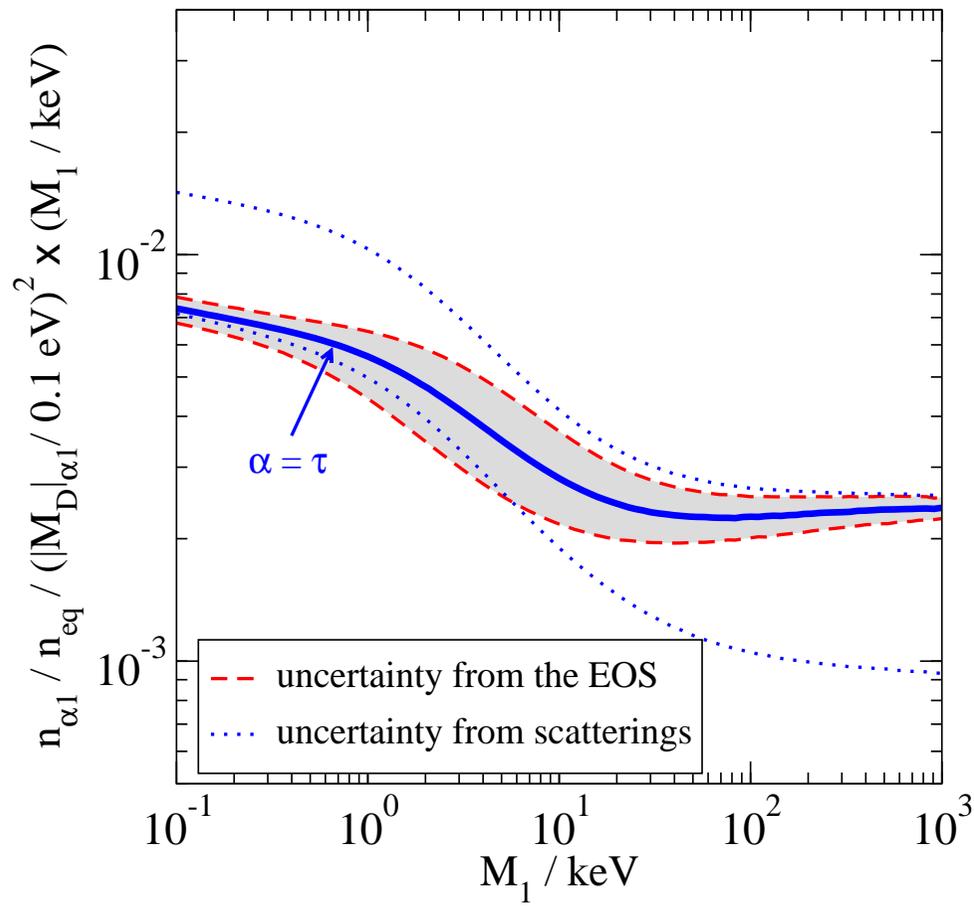
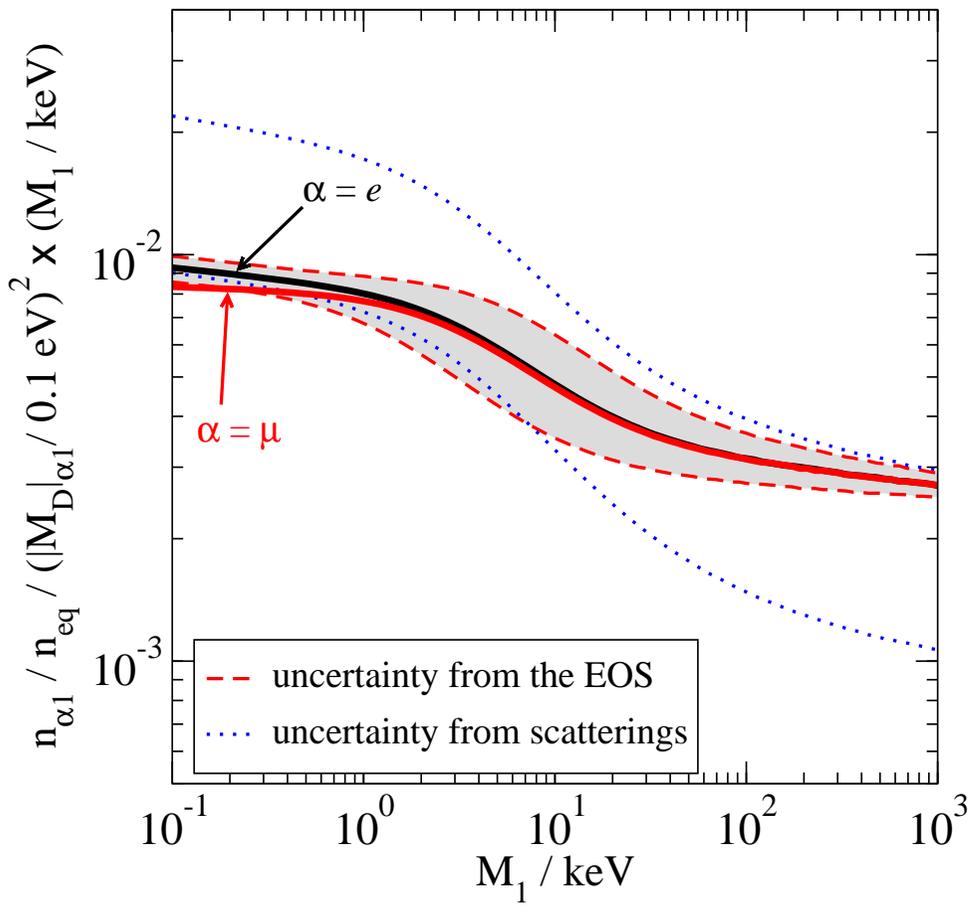
Resonant transitions

Shi-Fuller

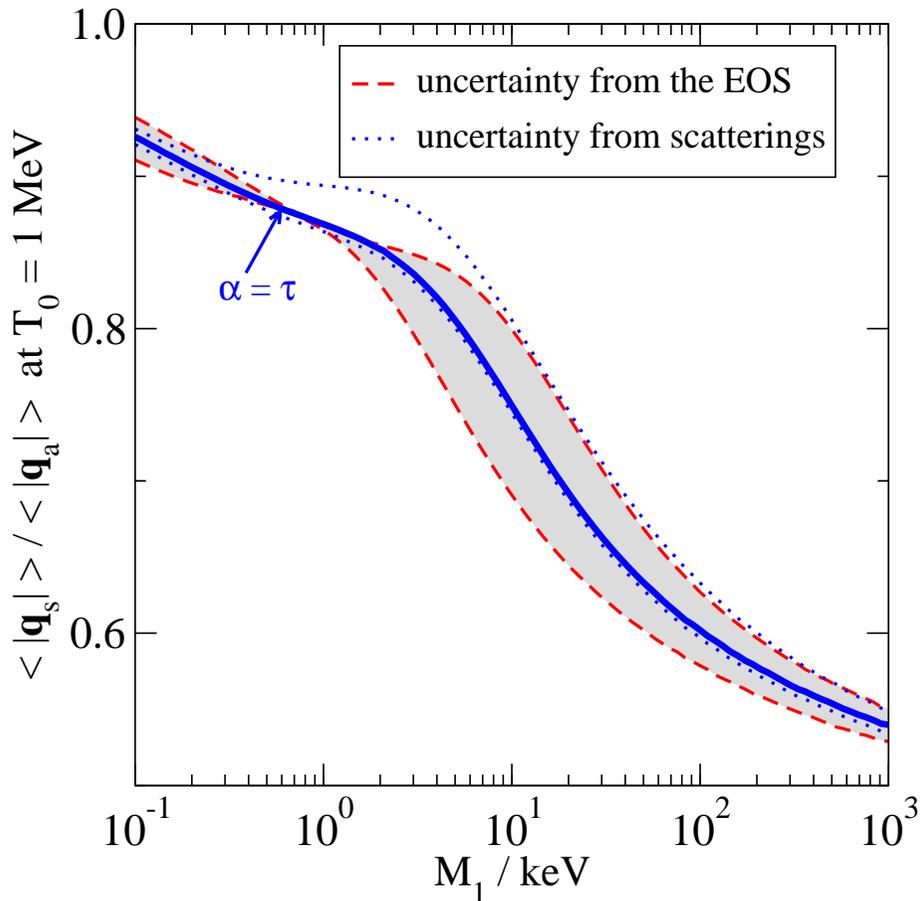
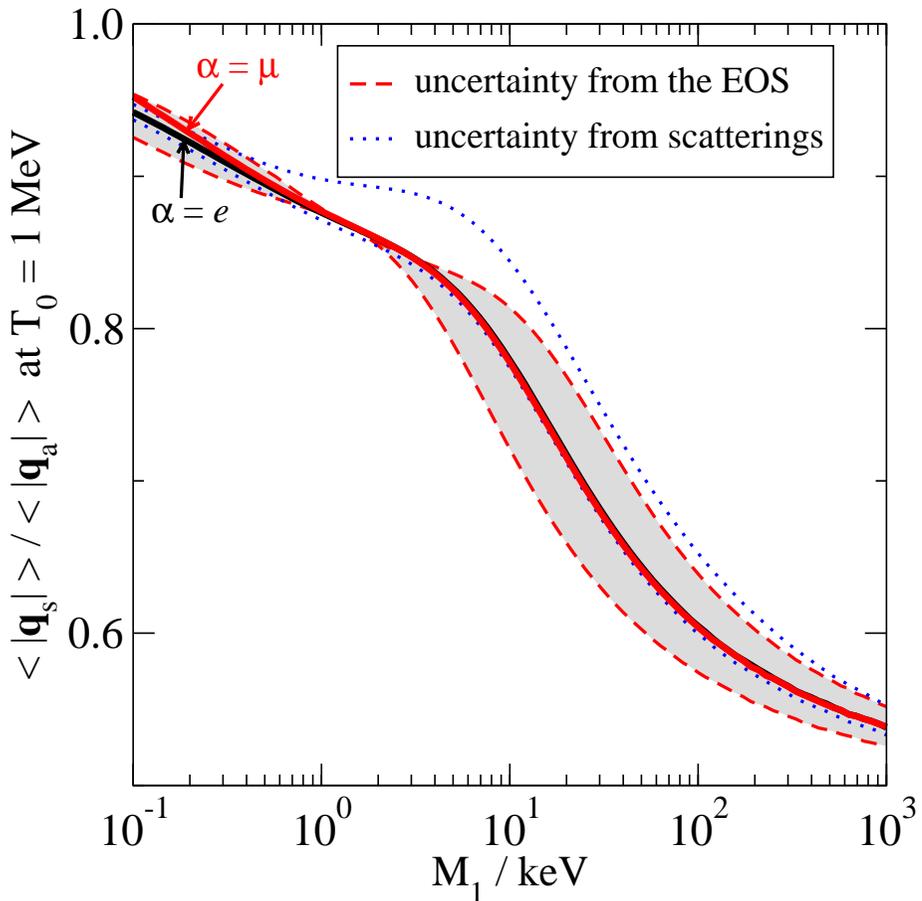
Lepton asymmetry  
created in  $N_{2,3}$  decays

# Results: non-resonant case

# Dark matter abundance



# Average sterile neutrino momentum

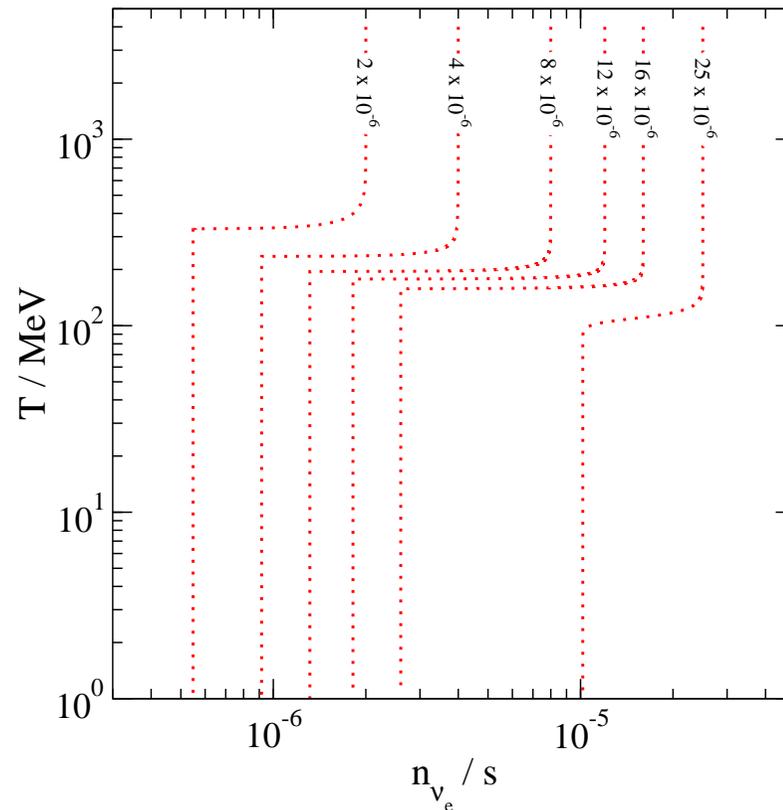


# Results: resonant case

# Transfer of asymmetry to DM

Large fraction of lepton asymmetry is transferred to DM

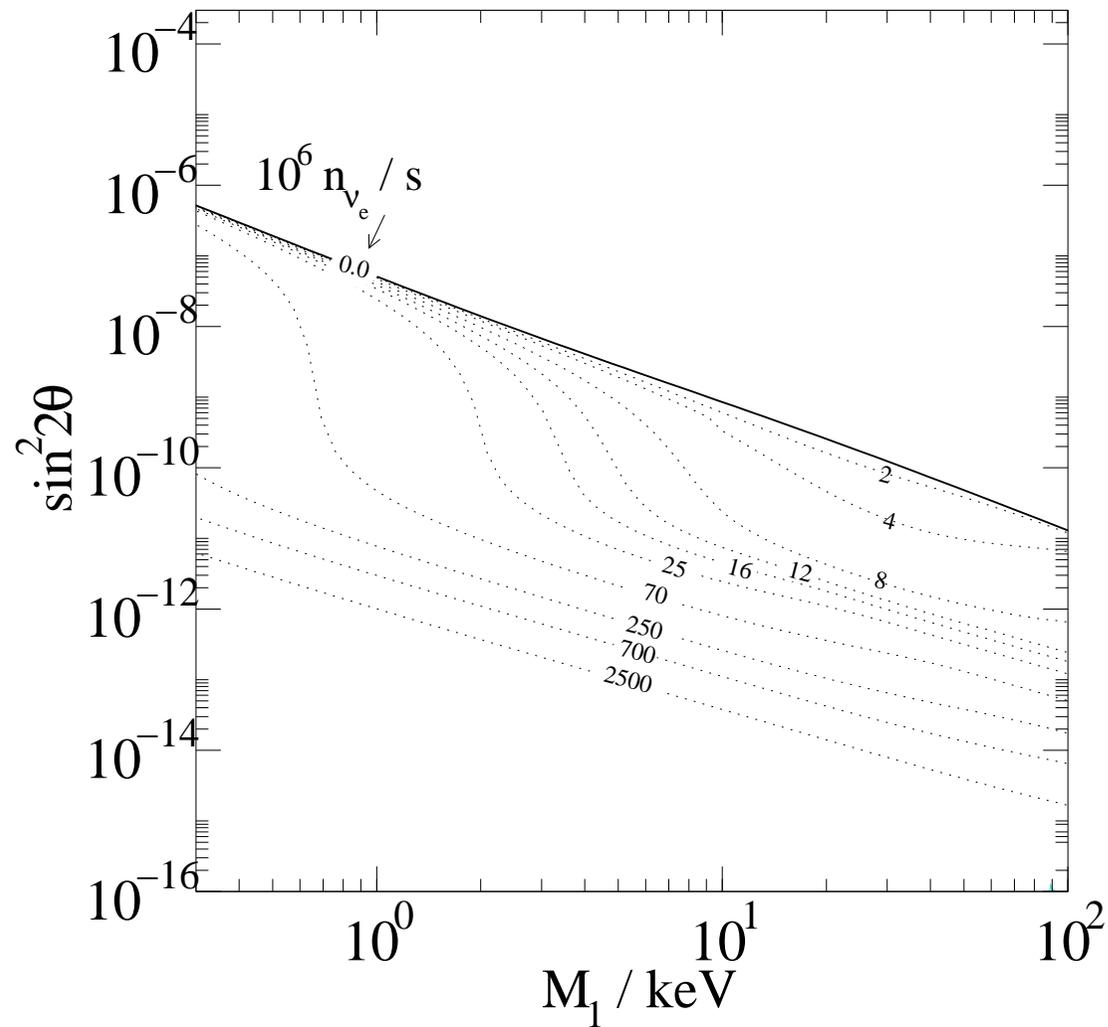
$$M_1 = 3 \text{ keV}, \alpha = e$$



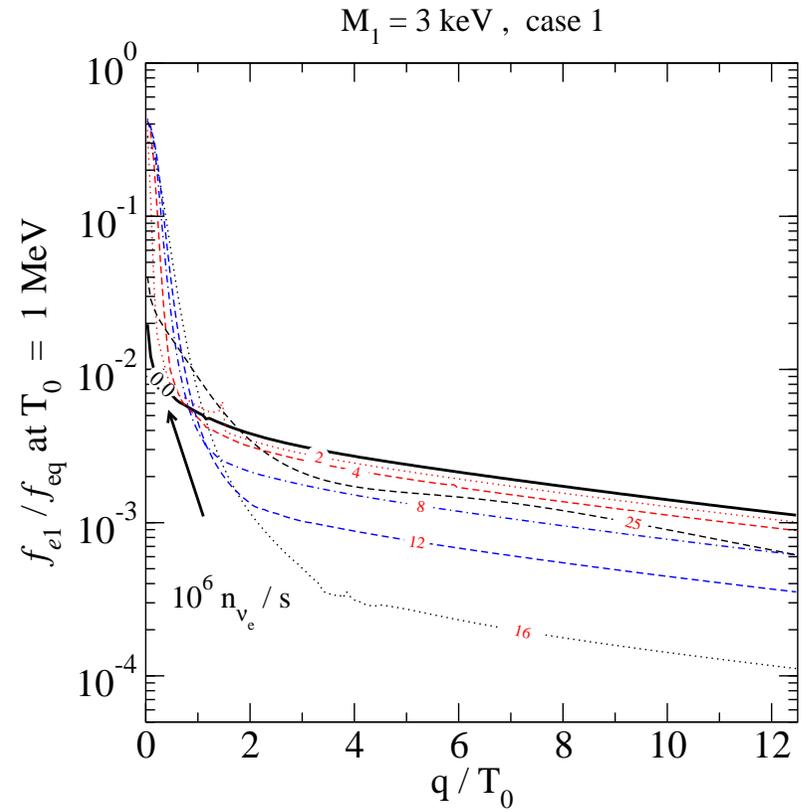
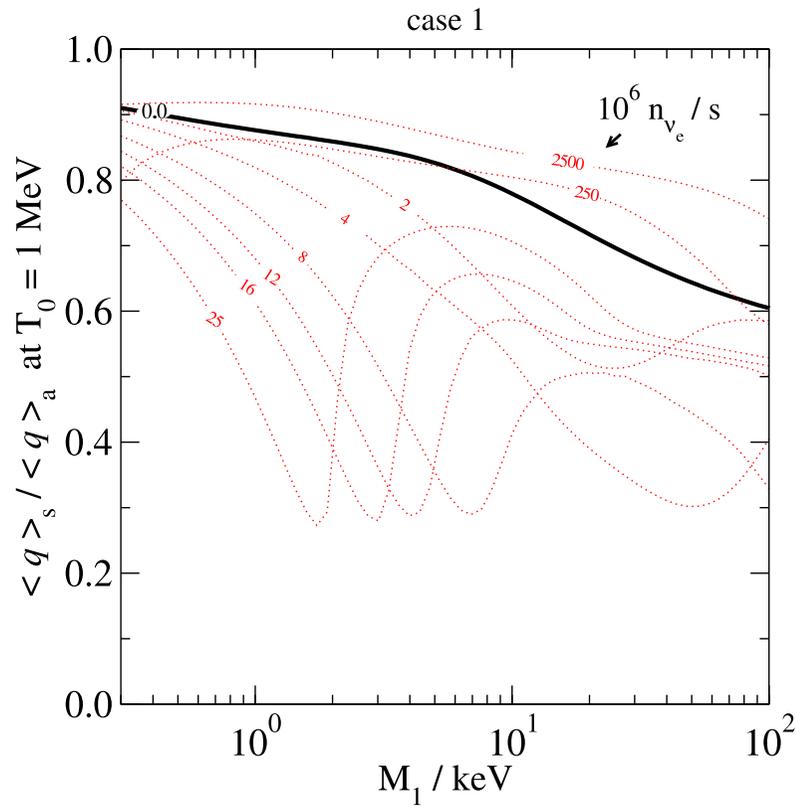
Common origin of DM and baryon asymmetry!

Explanation why  $\Omega_{DM} \sim \Omega_B$ ?

# Dark matter abundance



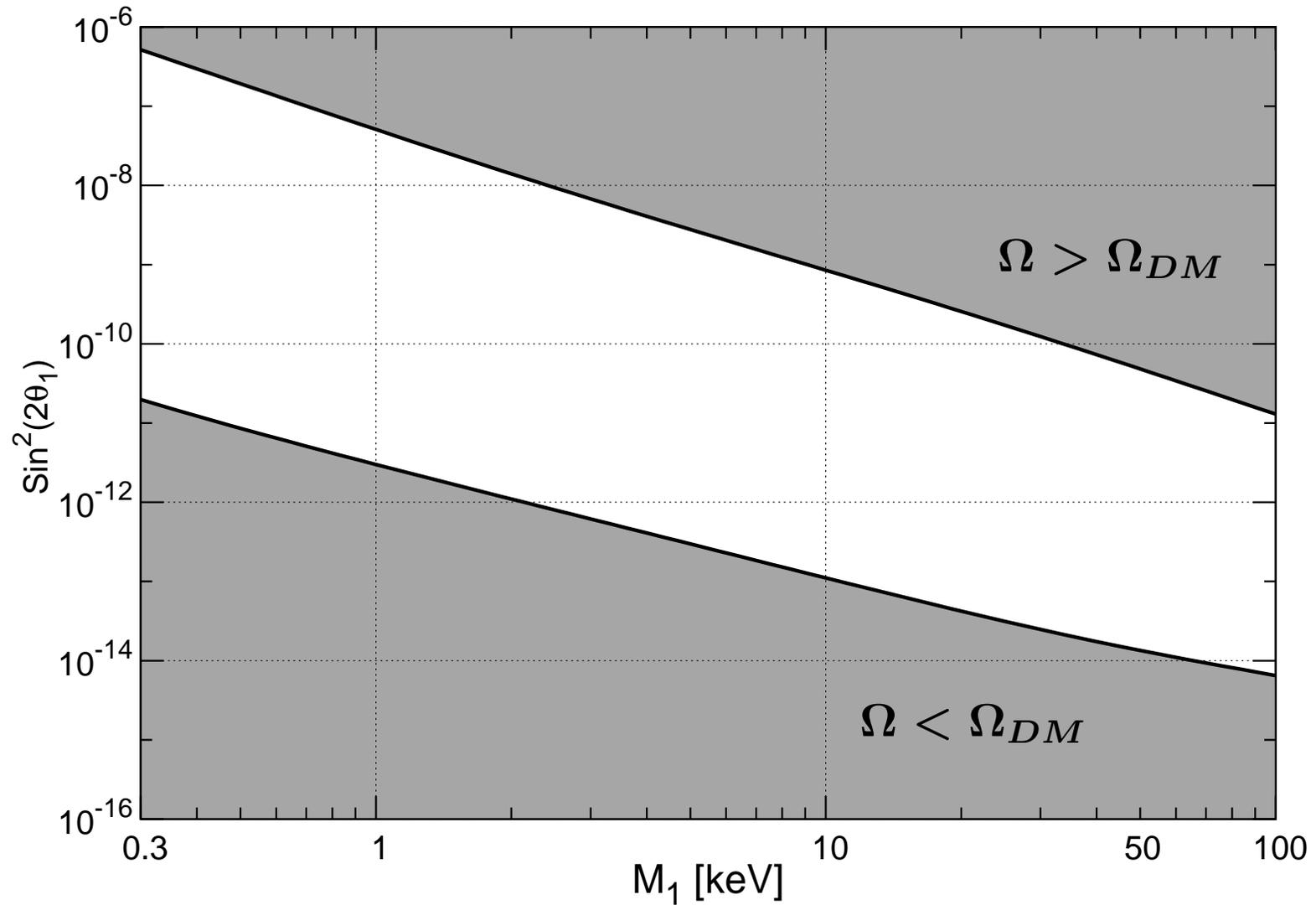
# Momentum distribution of DM



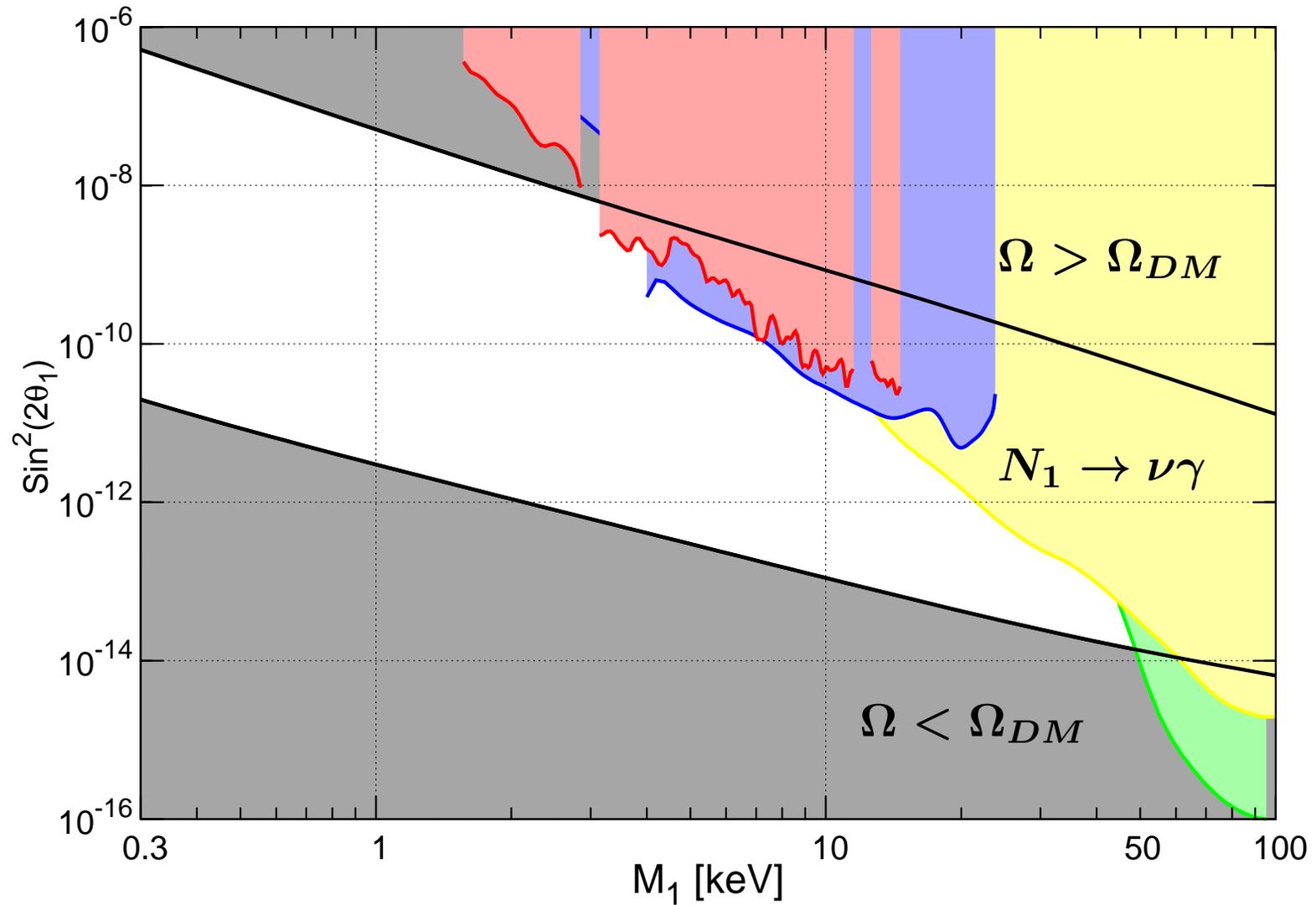
# Constraints on DM sterile neutrino

- **Production.**  $N_1$  are created in the early Universe in reactions  $l\bar{l} \rightarrow \nu N_1$ ,  $q\bar{q} \rightarrow \nu N_1$  etc. We should get correct DM abundance.
- **X-rays.**  $N_1$  decays radiatively,  $N_1 \rightarrow \gamma\nu$ , producing a narrow line which can be detected. This line has not been seen (yet).
- **Structure formation.** If  $N_1$  is too light it may have considerable free streaming length and erase fluctuations on small scales. This can be checked by the study of Lyman- $\alpha$  forest spectra of distant quasars.

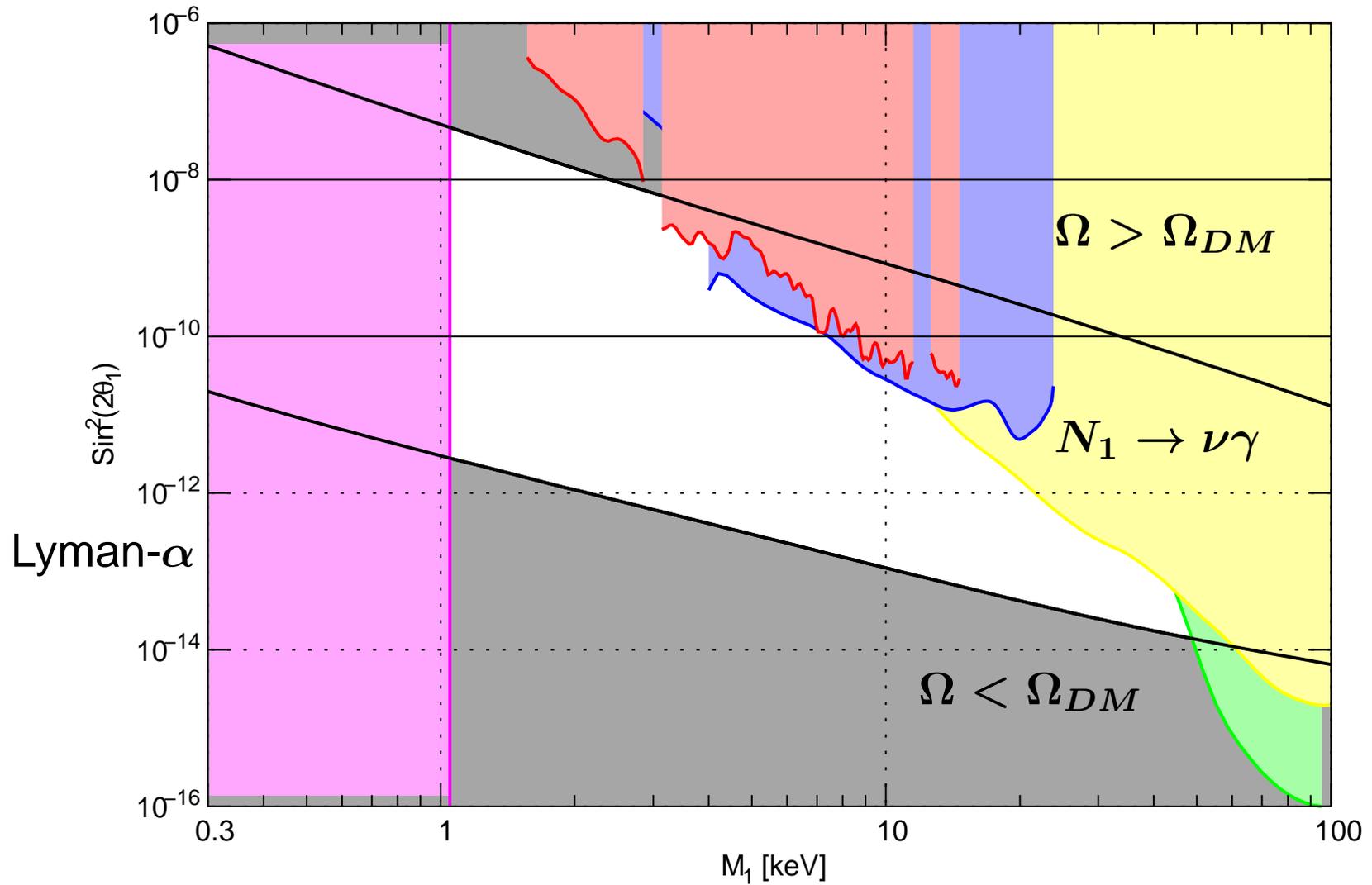
# DM: production



# DM: production + X-ray constraints



# DM: production + X-ray constraints + Lyman- $\alpha$ bounds



# Constraints on the mass of dark matter sterile neutrinos

Tremaine, Gunn; Lin, Faber; Hogan, Dalcanton

Rotational curves of dwarf spheroidal galaxies:

●  $M_I > 0.3 \text{ keV}$

Hansen et al, Viel et al

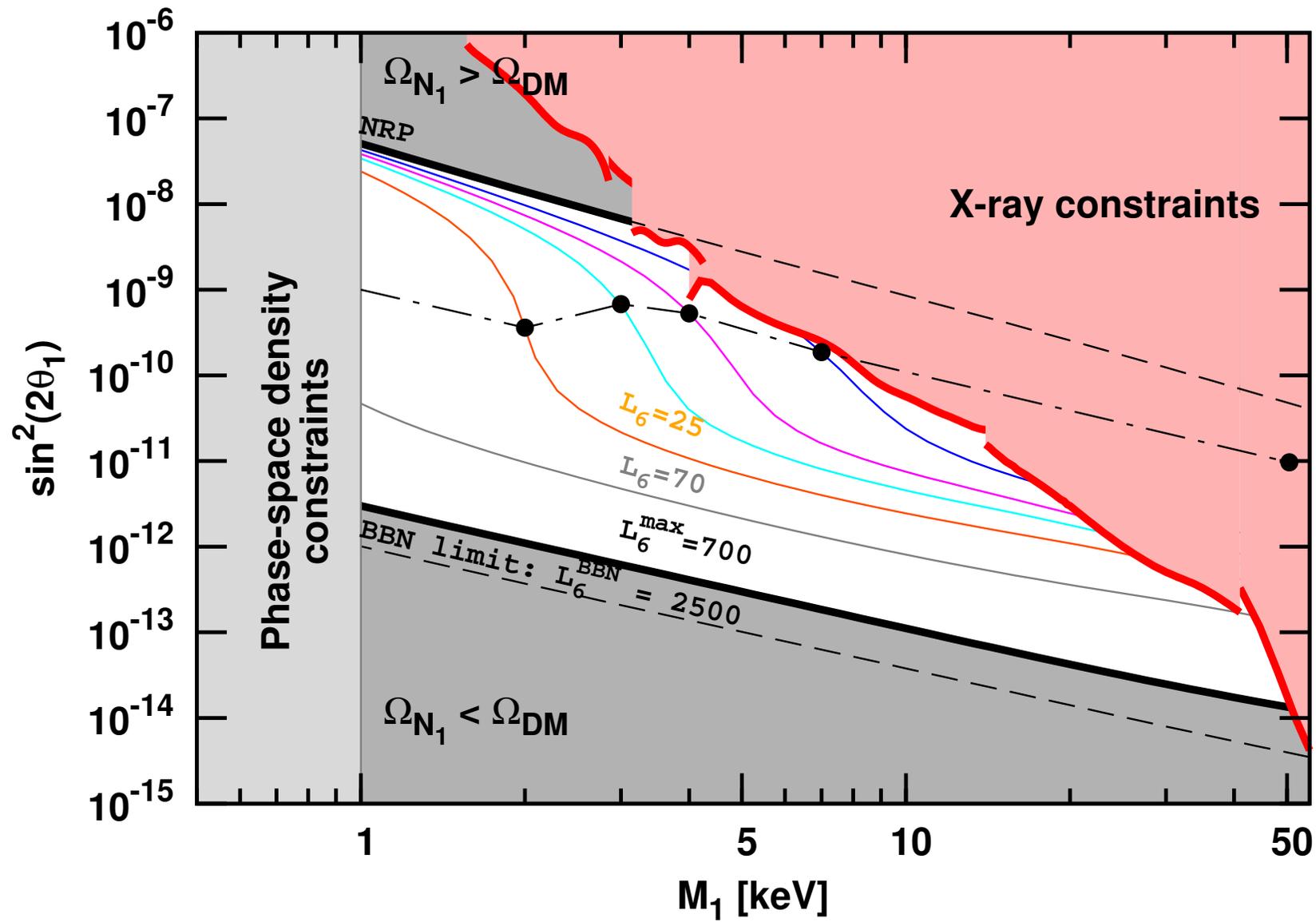
Structure formation and Lyman- $\alpha$  forest data:

●  $M > M_0 \left( \frac{\langle p_s \rangle}{\langle p_\alpha \rangle} \right)$

Viel et al:  $M_{Ly\alpha} = 10 \text{ keV}$

Seljak et al:  $M_{Ly\alpha} = 15.4 \text{ keV}$

Conservative limit, Boyarsky et al:  $M_{Ly\alpha} \simeq 1 \text{ keV}$



Sterile neutrino free streaming length an matter-radiation equality:

$$\lambda_{FS} \simeq 1 \text{ Mpc} \left( \frac{1 \text{ keV}}{M_I} \right) \left( \frac{\langle p/T \rangle}{3.15} \right)$$

The mass inside  $\lambda_{FS}$ :

$$M_{FS} \simeq 3 \times 10^{10} M_{\odot} \left( \frac{1 \text{ keV}}{M_I} \right)^3 \left( \frac{\langle p/T \rangle}{3.15} \right)^3$$

Hot DM :  $M_{FS} > 10^{14} M_{\odot}$

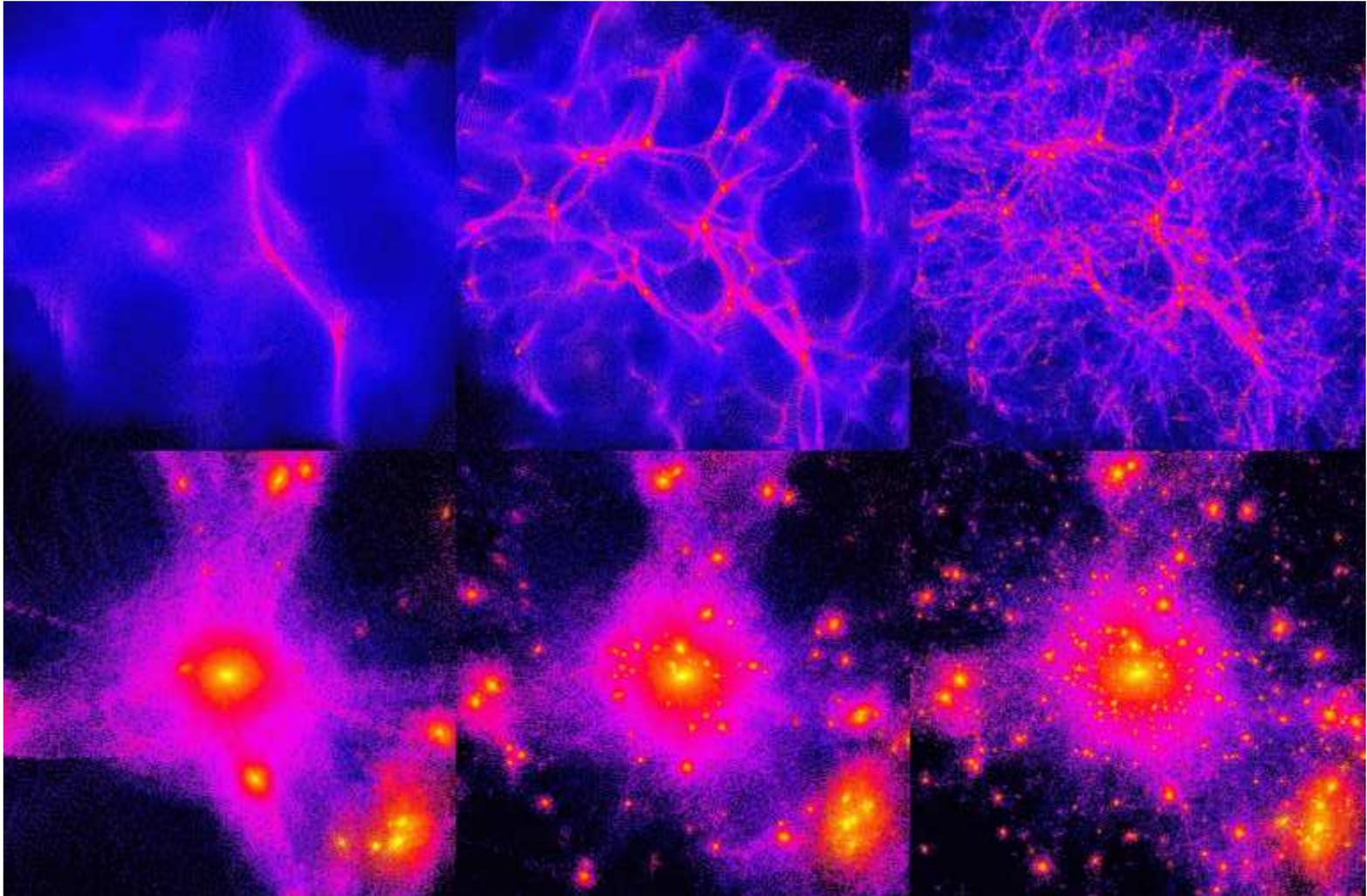
Warm DM :  $10^5 M_{\odot} < M_{FS} < 10^{14} M_{\odot}$

Cold DM :  $M_{FS} < 10^5 M_{\odot}$

Hot DM

Warm DM

Cold DM



Ben Moore simulations

# Sterile neutrino DM is not completely dark!

Dolgov, Hansen; Abazajian, Fuller, Tucker

Subdominant radiative decay

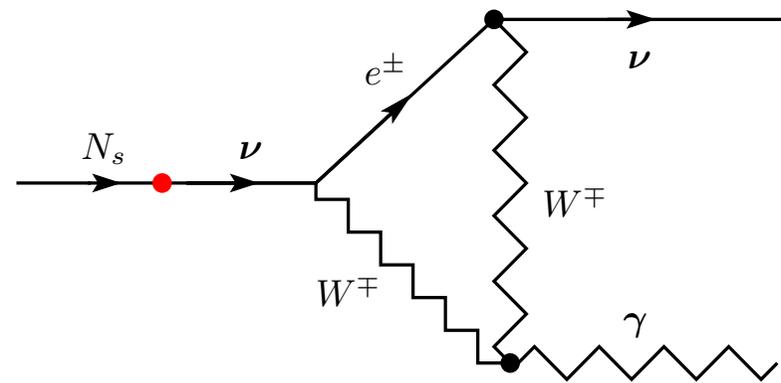
channel:  $N \rightarrow \nu \gamma$ .

Photon energy:

$$E_\gamma = \frac{M_s}{2}$$

Radiative decay width:

$$\Gamma_{\text{rad}} = \frac{9 \alpha_{\text{EM}} G_F^2}{256 \cdot 4\pi^4} \sin^2(2\theta) M_s^5$$



## How to find DM sterile neutrino

Flux from DM decay:

$$F_{\text{dm}} = \frac{\Gamma_{\text{rad}} M_{\text{dm}}^{\text{fov}}}{8\pi D_L^2} \approx \frac{\Gamma_{\text{rad}} \Omega_{\text{fov}}}{8\pi} I, \quad I = \int \rho_{\text{dm}}(r) dr$$

line of sight

(Valid for small redshifts  $z \ll 1$ , and small fields of view  $\Omega_{\text{fov}} \ll 1$ )

Strategy:

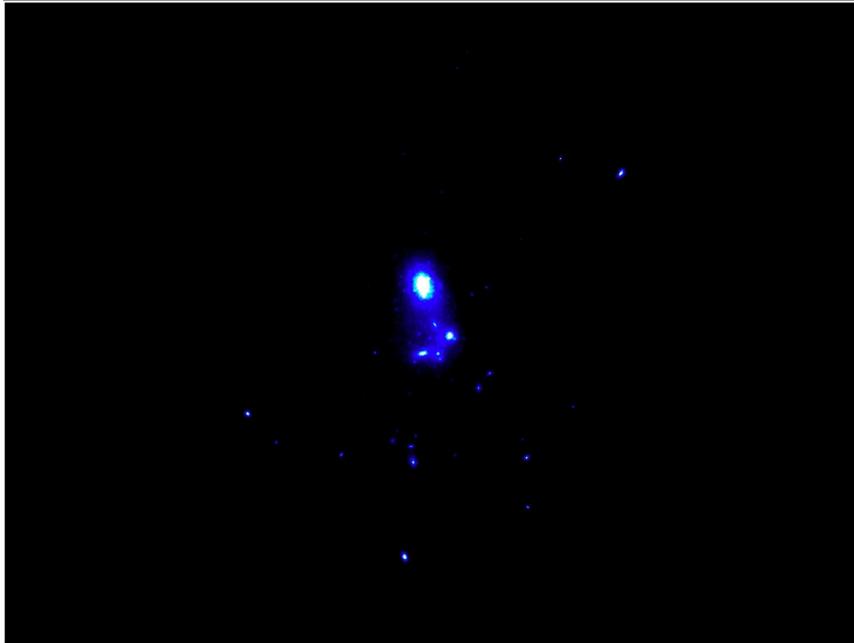
- Look for a narrow line against astrophysical background
- Maximize the value of integral  $I$
- Minimize the X-ray background

Amazing fact: the signal (value of  $I$ ) is roughly the same for many astrophysical objects - from clusters to dwarf galaxies!

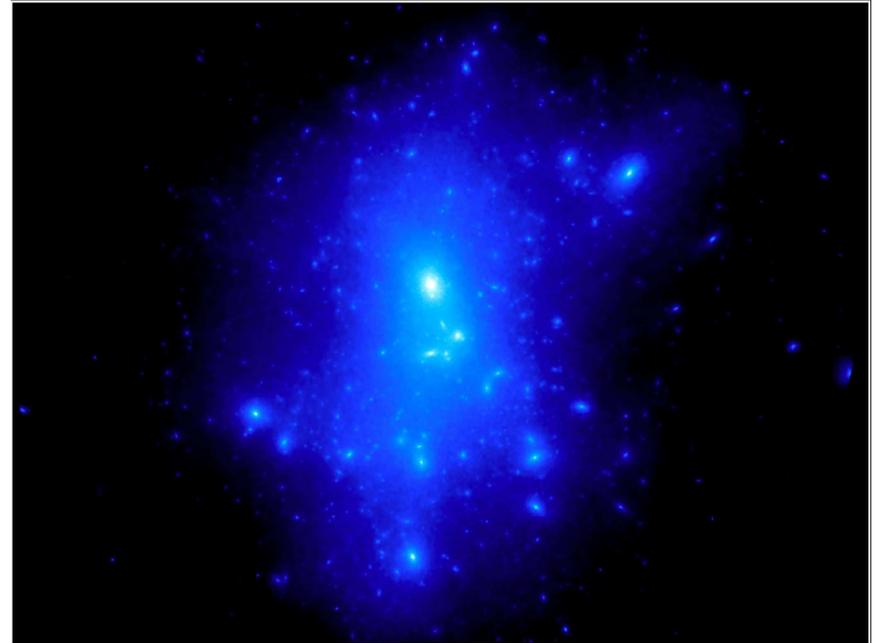
- Milky Way halo signal is comparable with that of clusters like Coma or Virgo
- DM flux from Draco or Ursa Minor dSph is 3 times stronger than that of the Milky Way halo.

Boyarsky, Neronov, Ruchayskiy, MS, Tkachev

Signal from annihilation



Signal from decay



Ben Moore simulations for cold Dark Matter

Background strongly depends on the astrophysical object!

- Clusters of galaxies (e.g. Coma or Virgo) - temperature in KeV range - strong X-ray emission, atomic lines
- Continuum X-ray emission from Milky Way is about 2 orders weaker than that of a cluster
- Dwarf satellites of the MW are really dark,  $M/L \sim 100$ .

**Conclusion: look at Milky Way and dwarf satellite galaxies!** (Not very interesting objects for X-ray astronomers...)

# Baryon asymmetry of the universe

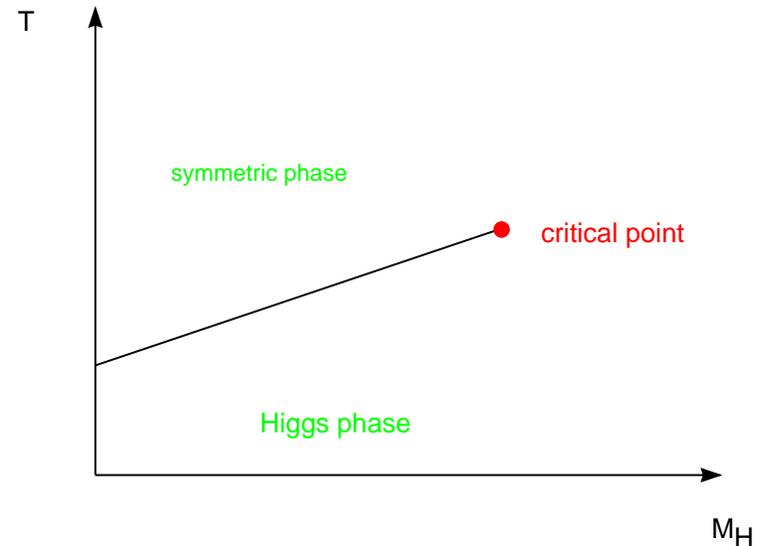
Problem since 1930, P. Dirac.

Observational evidence: no antimatter in the Universe. **Required** (Sakharov):

- Baryon number non-conservation (OK)
- CP-violation (OK)
- Substantial deviations from thermal equilibrium. Present for Higgs masses larger than  $\simeq 73 \text{ GeV}$  (first order electroweak phase transition).

**SM: Higgs mass  $> 114 \text{ GeV}$**

**CP violation present but too small to accommodate observed BAU.**



## Electroweak theory

$$\langle \phi^\dagger \phi \rangle \ll (250 \text{ GeV})^2$$

$$T = 109.2 \pm 0.8 \text{ GeV},$$

$$M_H = 72.3 \pm 0.7 \text{ GeV}$$

$$\langle \phi^\dagger \phi \rangle_{T=0} \sim (250 \text{ GeV})^2$$

# EW phase transition

Anomalous baryon and lepton  
number non-conservation

Master formula for baryon  
asymmetry

(Blackboard parts)

# The problem

Find domain of the parameters of the  $\nu$ MSM, where baryon asymmetry can be consistent with observations, and which fit the neutrino data.

Number of unknown constants is large - 7:

$M, \Delta M_M, \epsilon, \eta, \theta_{13}, \phi, \alpha$

Whether  $N_{2,3}$  can be found experimentally depends crucially on 2 parameters (Gorbunov, M.S.)

- their mass  $M$
- strength of their coupling to leptons, parametrised by  $\epsilon$

So - fix  $M, \epsilon$  and the degree of degeneracy  $\Delta M_M$  and extremise the asymmetry as a function of other unknown parameters. Result:

3-dimensional parameter space.

# Baryon asymmetry generation

Akhmedov, Rubakov, Smirnov

Asaka, MS

Idea - sterile neutrino oscillations as a source of baryon asymmetry.

Qualitatively:

- Sterile neutrino are created in the early universe and oscillate in a coherent way with CP-breaking.
- The total lepton number gets unevenly distributed between active and sterile neutrinos.
- The lepton number of active left-handed neutrinos is transferred to baryons due to equilibrium sphaleron processes.

# Kinetics of sterile neutrinos

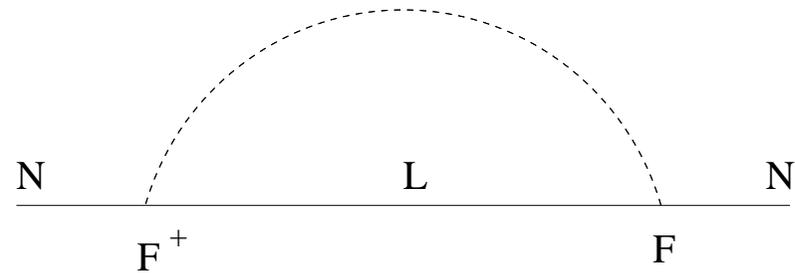
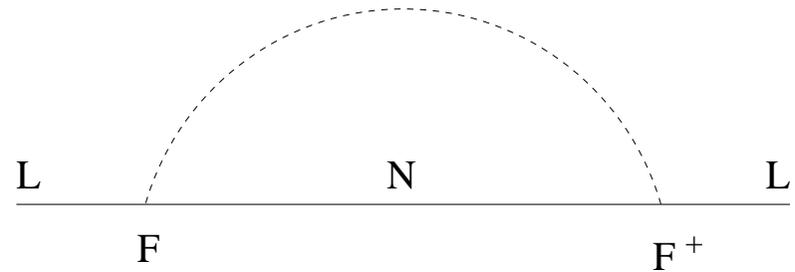
Asaka,MS

Facts to take into account:

(i) Coherence of sterile neutrino interactions  $\rightarrow$  density matrix  $\rho_{NN}$  rather than concentrations.

(ii) Oscillations, creation and destruction of sterile and active neutrinos.

(iii) Dynamical asymmetries in active neutrinos and charged leptons.



# Kinetics of sterile neutrinos

Sterile neutrino kinetic equation

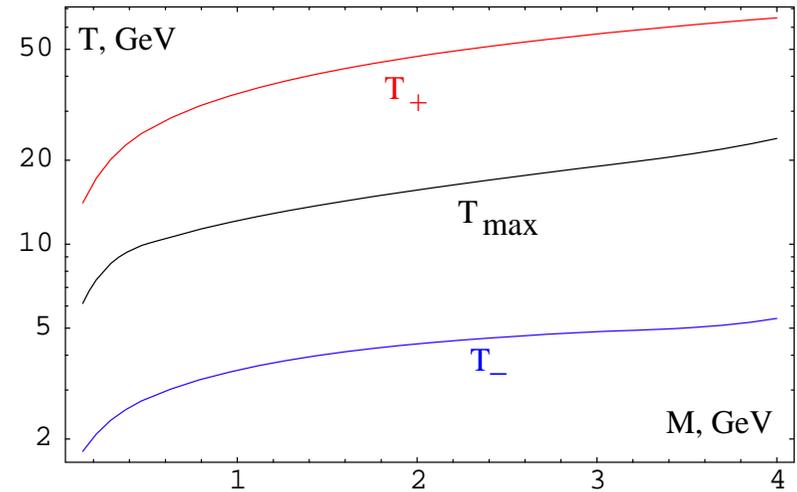
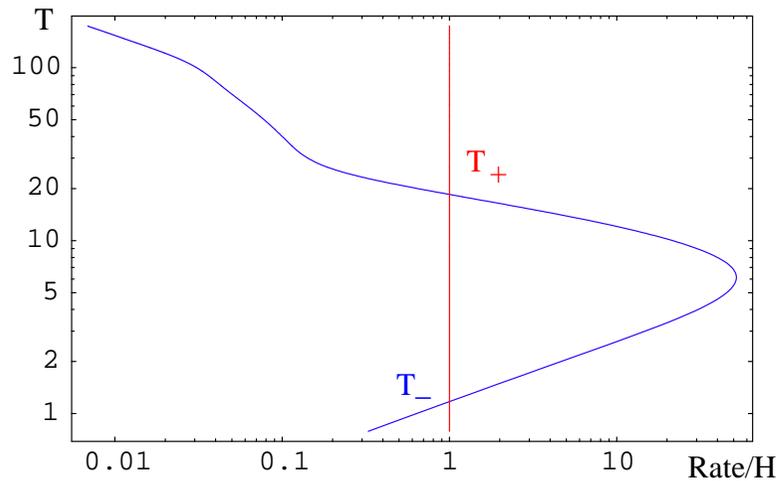
$$i \frac{d\tilde{\rho}_{NN}}{dt} = [H_{int}^N(t), \tilde{\rho}_{NN}] - \frac{i}{2} \{ \Gamma^N(t), \tilde{\rho}_{NN} - \tilde{\rho}_{NN}^{eq} \} \\ + i \frac{\sin \phi}{8} T U^\dagger(t) F^\dagger (\rho_{LL} - \rho_{LL}^{eq}) F U(t) .$$

Diagonal part of the active neutrino density matrix:

$$i \frac{d\rho_{LL}}{dt} = i \frac{\sin \phi}{8} T F U(t) (\tilde{\rho}_{NN} - \tilde{\rho}_{NN}^{eq}) U^\dagger(t) F^\dagger \\ - \frac{i}{2} \{ \Gamma^L(t), \rho_{LL} - \rho_{LL}^{eq} \} .$$

# Equilibrium and non-equilibrium

$$M_N = 140 \text{ MeV}, \epsilon = 1$$



Always thermal equilibrium for  $T_- < T < T_+$  and always  $T_- < M_W$

# Baryogenesis above the electroweak scale

Sphaleron decoupling:

$$T_{EW} \simeq 130 - 175 \text{ GeV for } M_H \simeq 114 - 200 \text{ GeV}$$

Source of thermal non-equilibrium: deviation of  $N_{2,3}$  concentrations from equilibrium ones.

Relevant deviations from thermal equilibrium are maximal at

- $T \simeq T_{EW}$  if  $T_+ < T_{EW}$

- $T \simeq T_+$  if  $T_+ > T_{EW}$

# Perturbative results

Analytic computation (Asaka, M.S), valid for

- Singlet fermions are out of thermal equilibrium for all temperatures above the sphaleron freeze-out,  $T_+ < T_{EW}$ .

- The number of singlet fermion oscillations

$$N_{osc} \simeq \frac{M \Delta M_M}{T_{EW}} \times \frac{M_P}{T_{EW}^2} \text{ is large at the electroweak temperature.}$$

- The mass difference between two singlet fermions is much larger than the the mass difference between active neutrinos,

$$\Delta M_M \gg 0.04 \text{ eV for the normal hierarchy and}$$

$$\Delta M_M \gg 8 \cdot 10^{-4} \text{ eV for the inverted hierarchy.}$$

# Value of baryon asymmetry

$$\frac{n_B}{s} \simeq 1.7 \cdot 10^{-10} \delta_{\text{CP}} \left( \frac{10^{-5}}{\Delta M_{32}^2 / M_3^2} \right)^{\text{col2}} \left( \frac{M_3}{10 \text{ GeV}} \right)^{\text{col3}} .$$

$\delta_{\text{CP}} \sim 1$  is consistent with observed  $\nu$  oscillations.

Non-trivial requirement:  $|M_2 - M_3| \ll M_{2,3}$ , i.e. heavier neutrinos must be degenerate in mass.

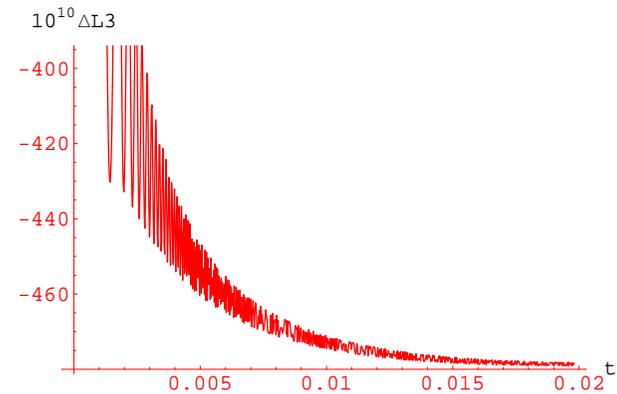
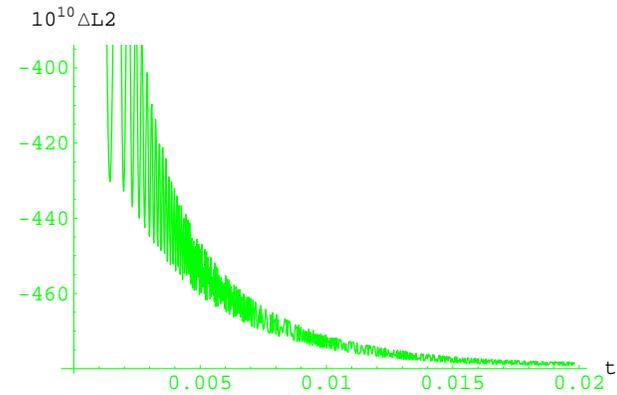
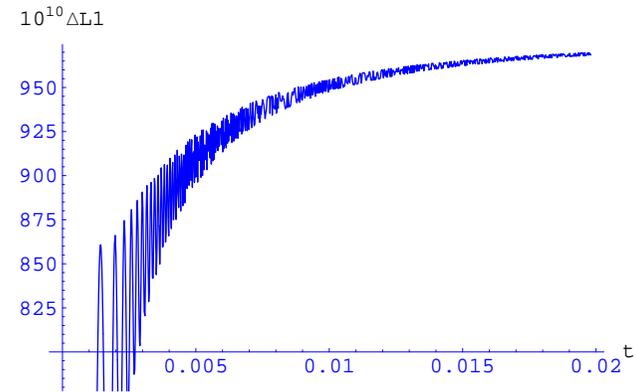
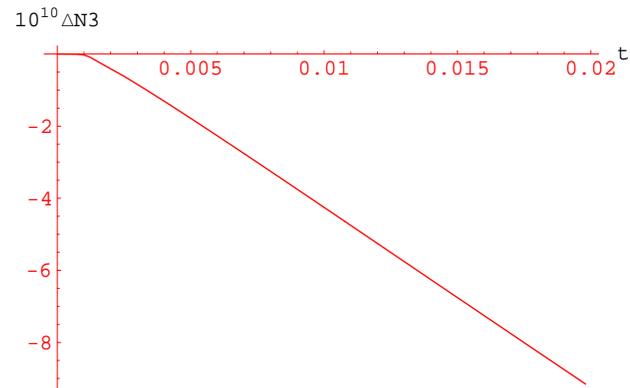
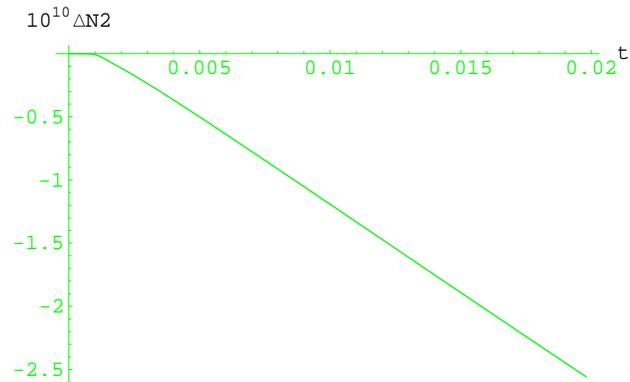
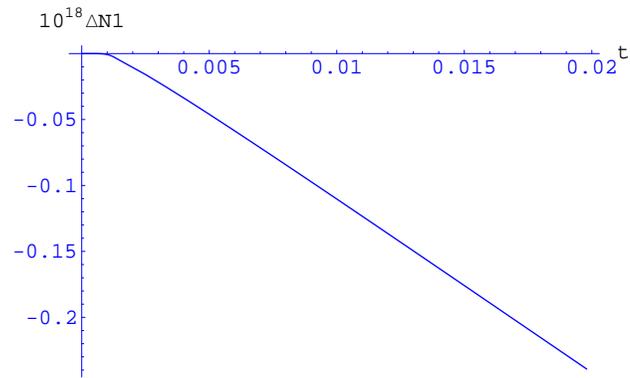
Works best (resonance) if

$$T_+ \simeq T_{EW}, \quad N_{osc} \simeq 1$$

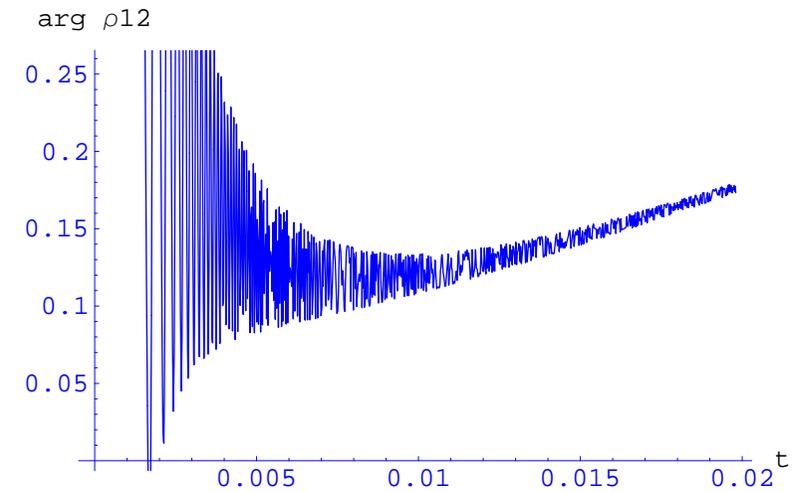
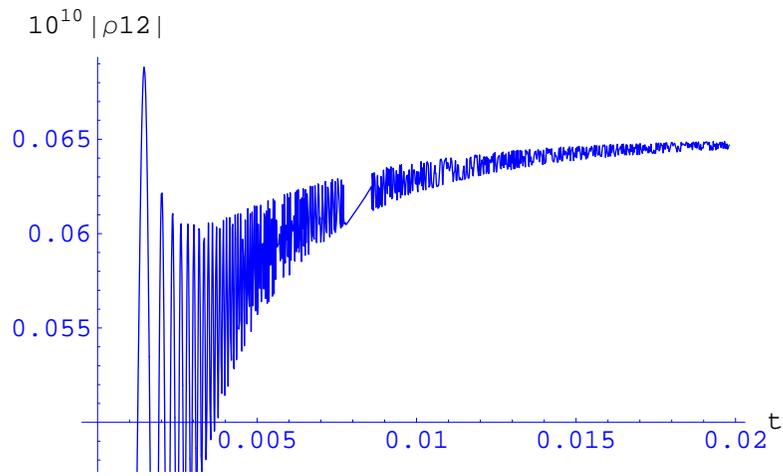
Perturbation theory does not work in this domain.

# Numerical results

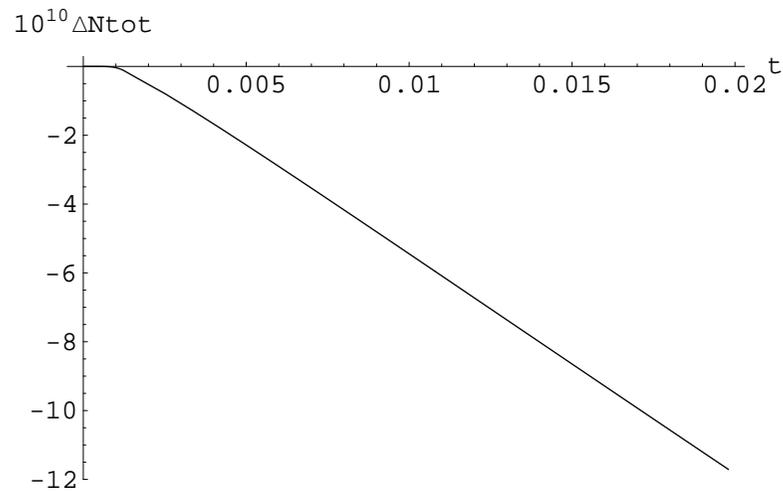
# Asymmetry evolution



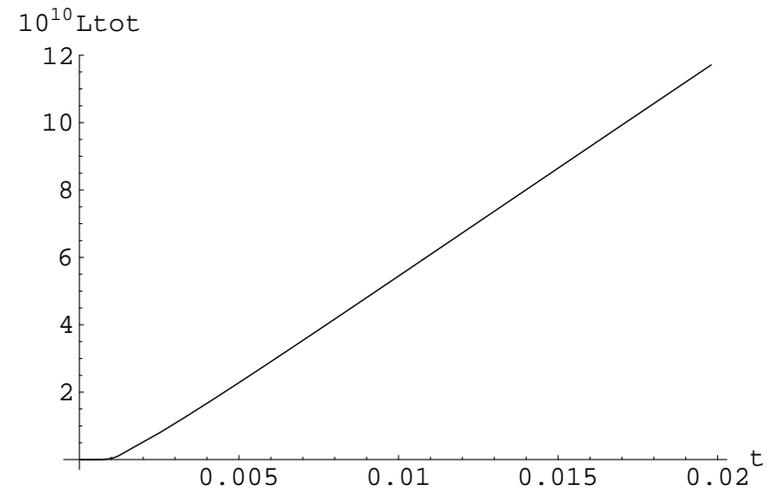
# Asymmetry evolution



## Total asymmetry in sterile sector



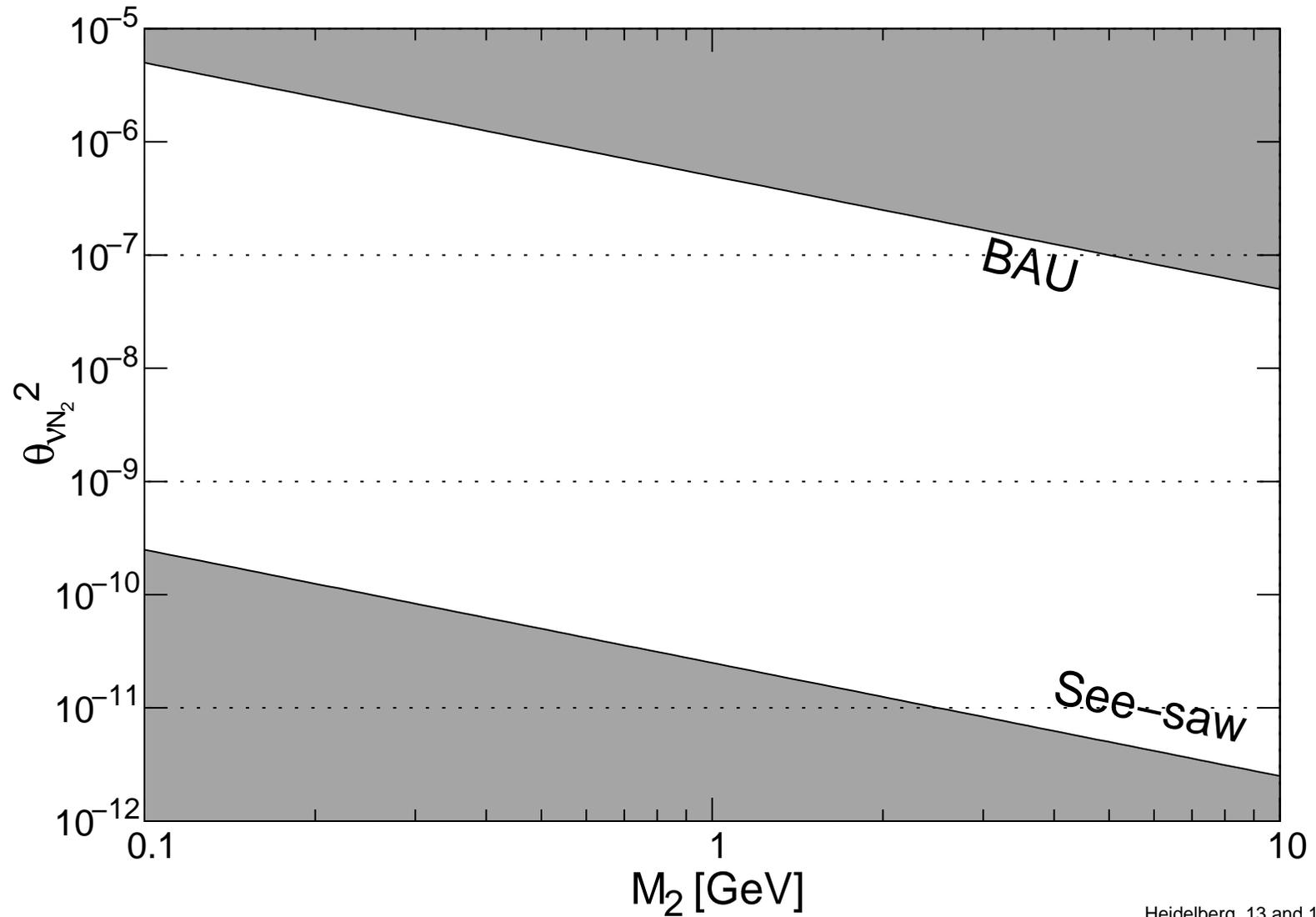
## Total asymmetry in active $\nu$



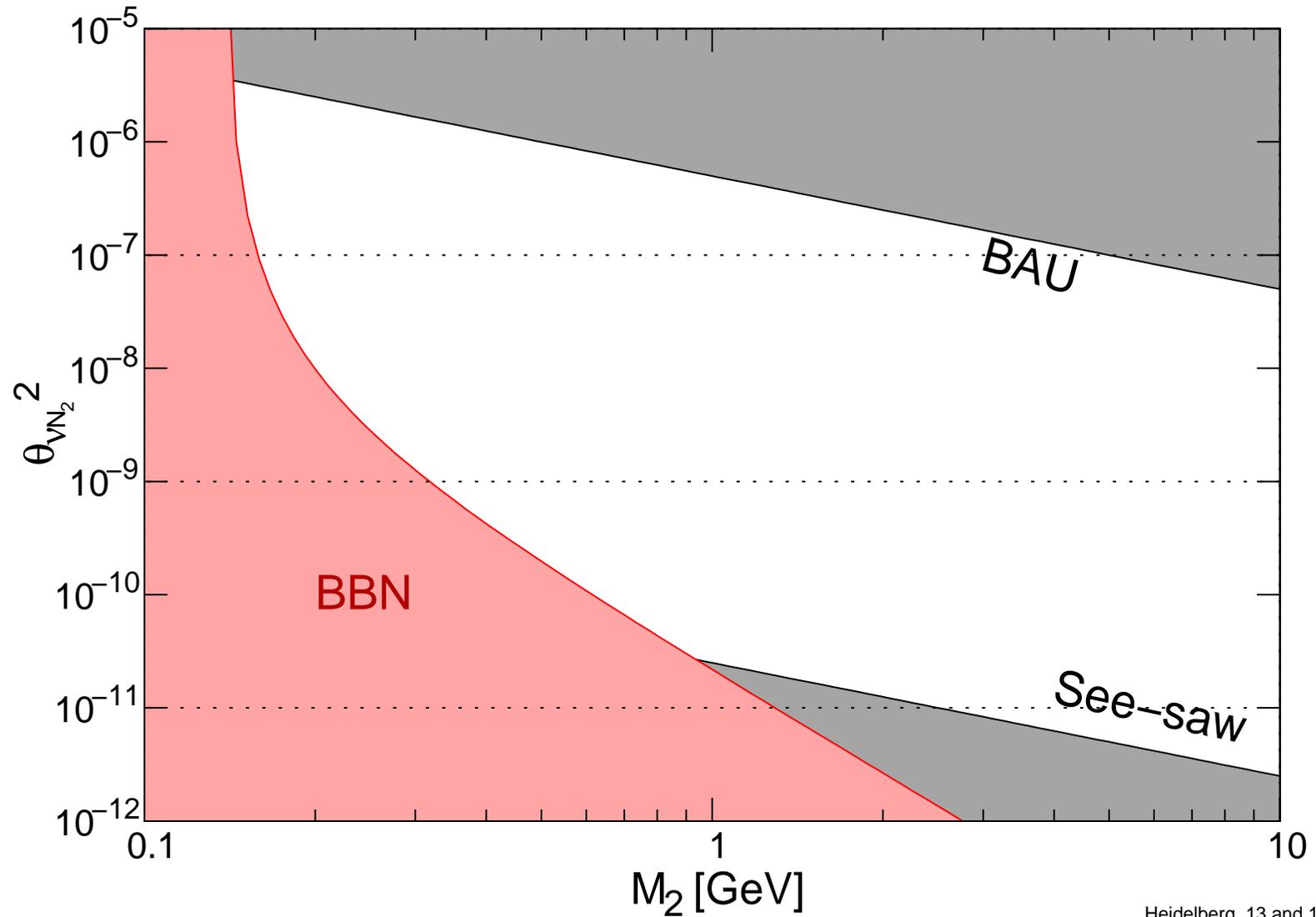
# Constraints on BAU sterile neutrinos

- **BAU generation** requires out of equilibrium: mixing angle of  $N_{2,3}$  to active neutrinos cannot be too large
- **Neutrino masses.** Mixing angle of  $N_{2,3}$  to active neutrinos cannot be too small
- **BBN.** Decays of  $N_{2,3}$  must not spoil Big Bang Nucleosynthesis
- **Experiment.**  $N_{2,3}$  have not been seen (yet).

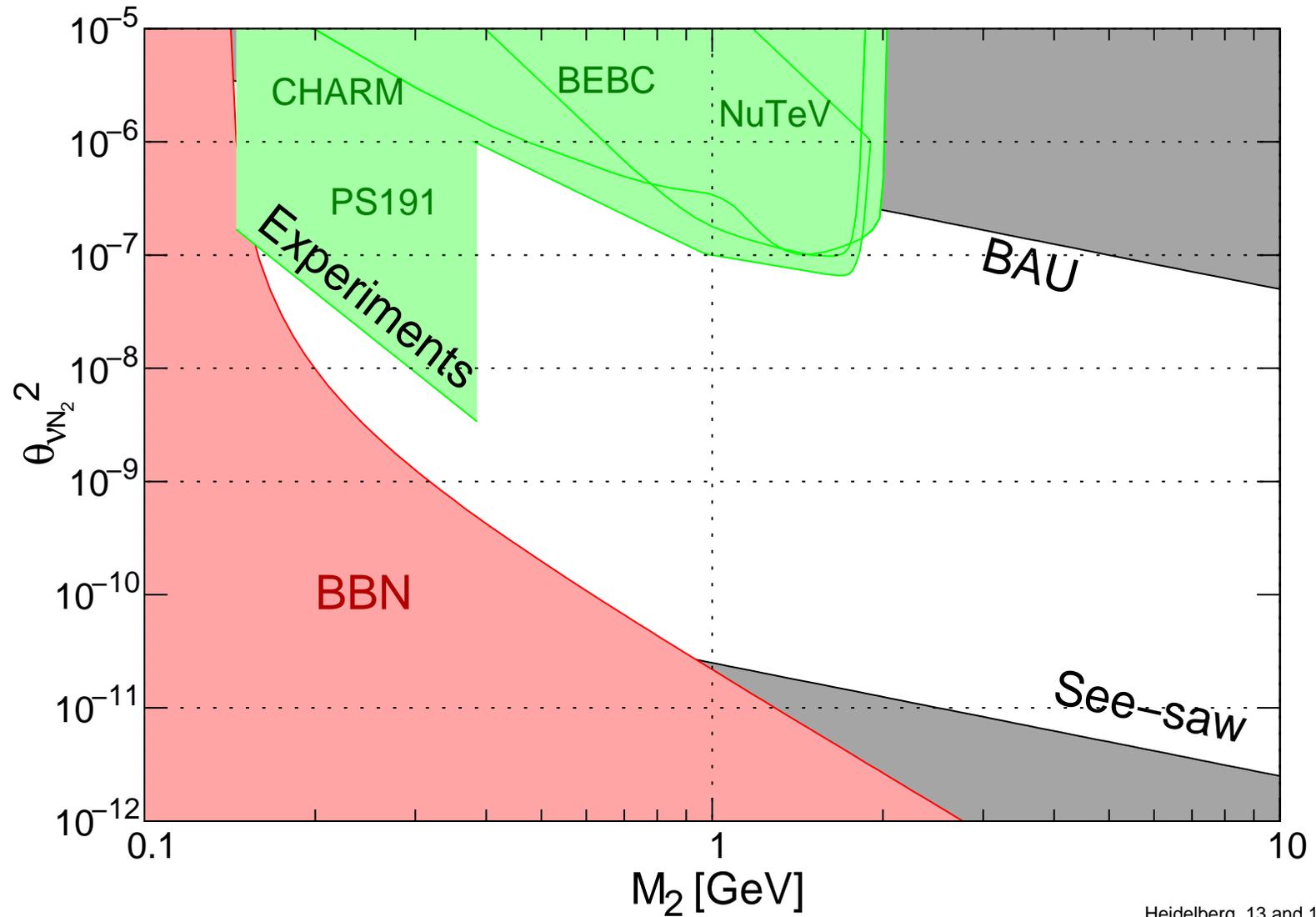
# $N_{2,3}$ : BAU

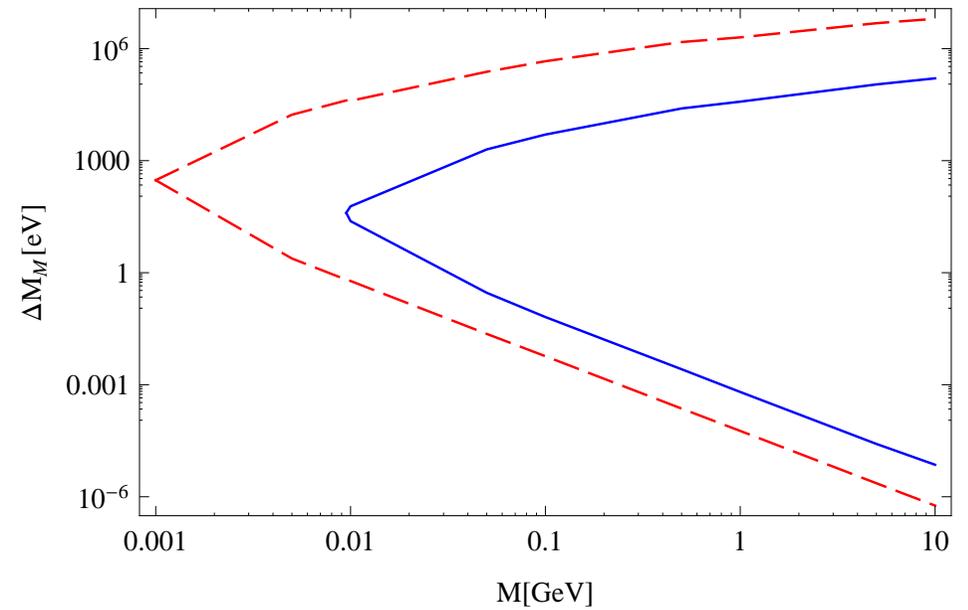
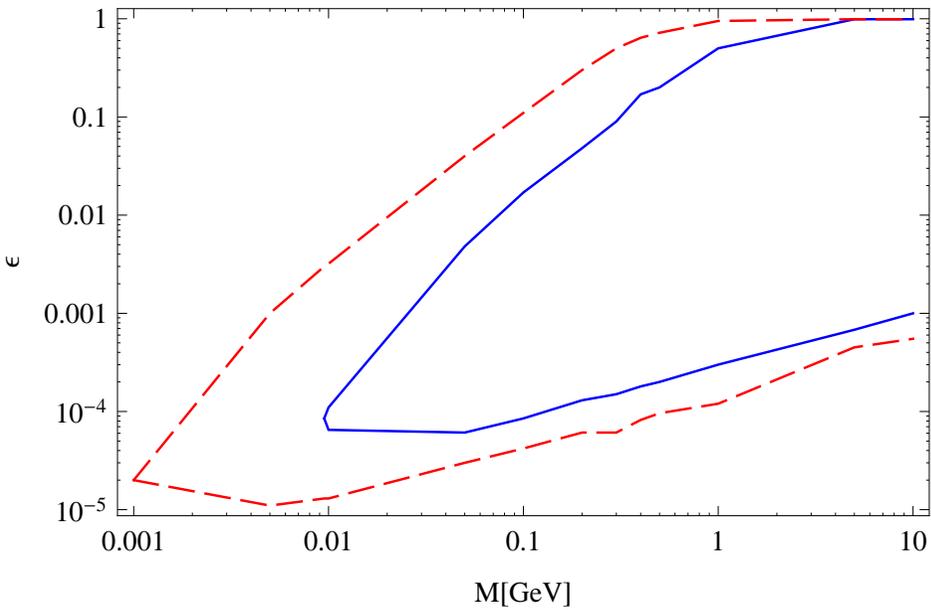


# $N_{2,3}$ : BAU + BBN

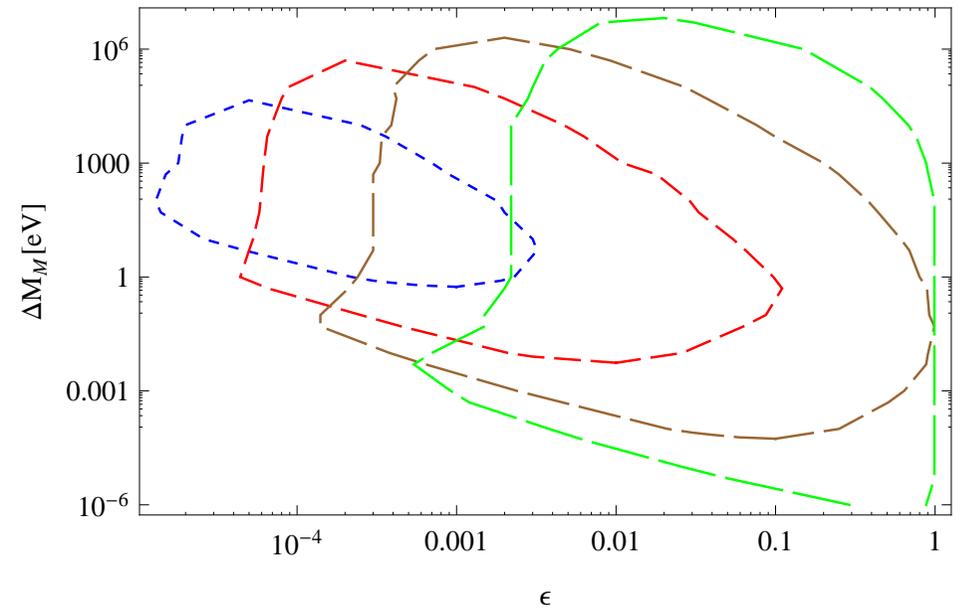
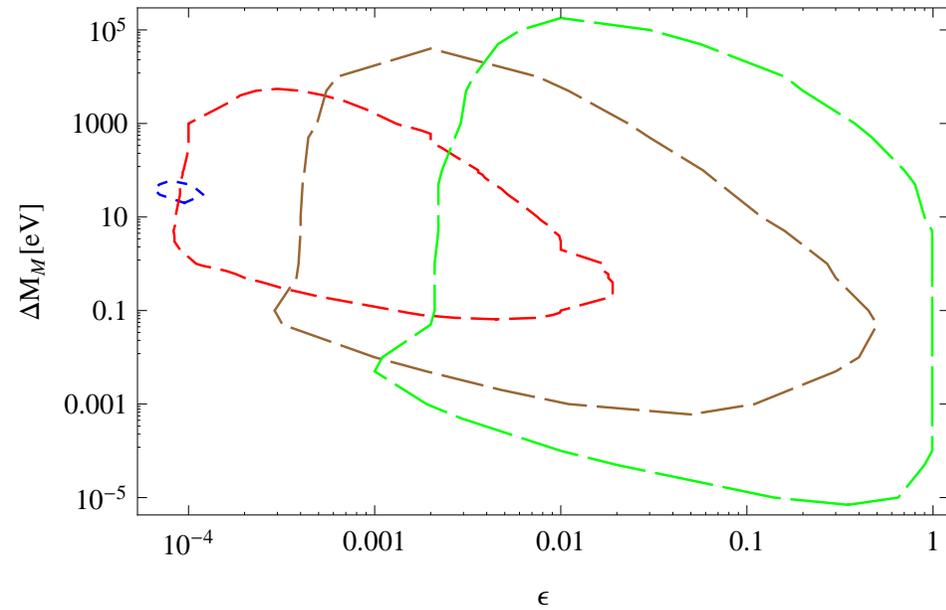


# $N_{2,3}$ : BAU + BBN + Experiment

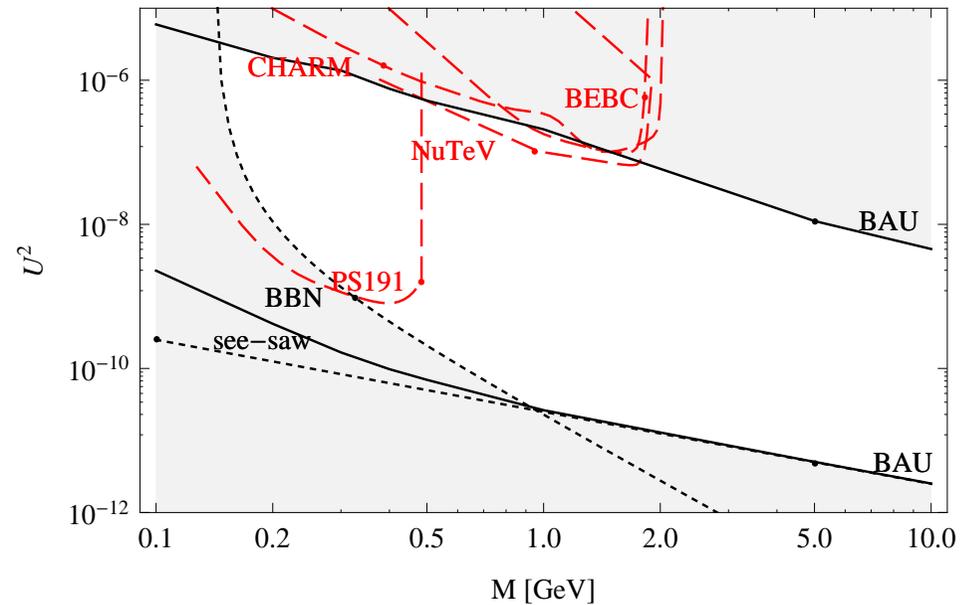
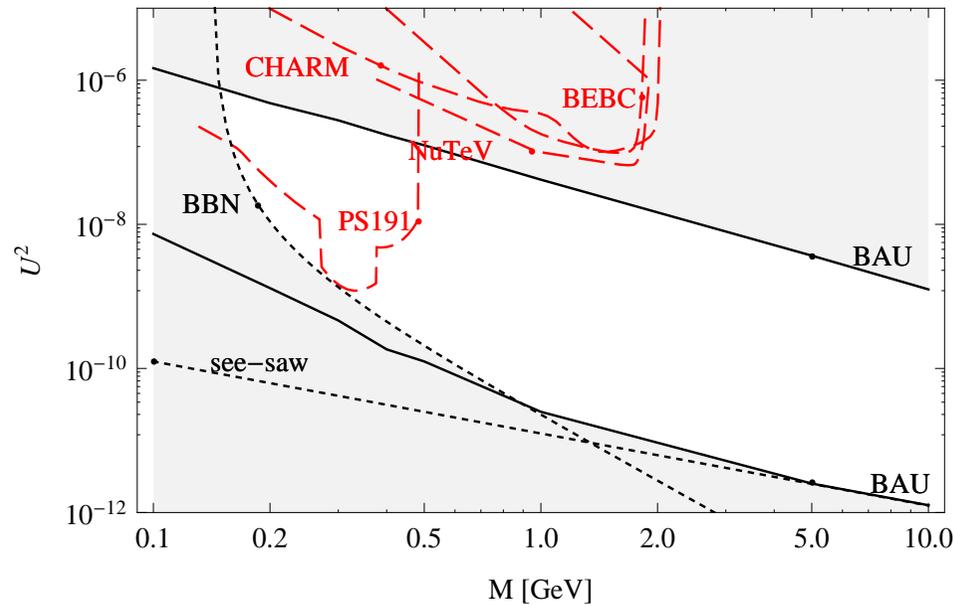




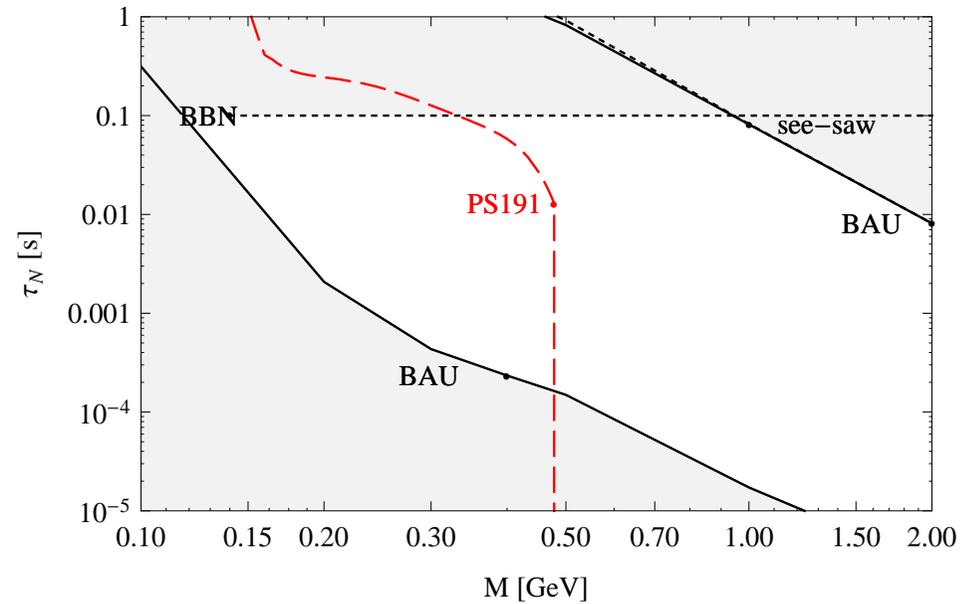
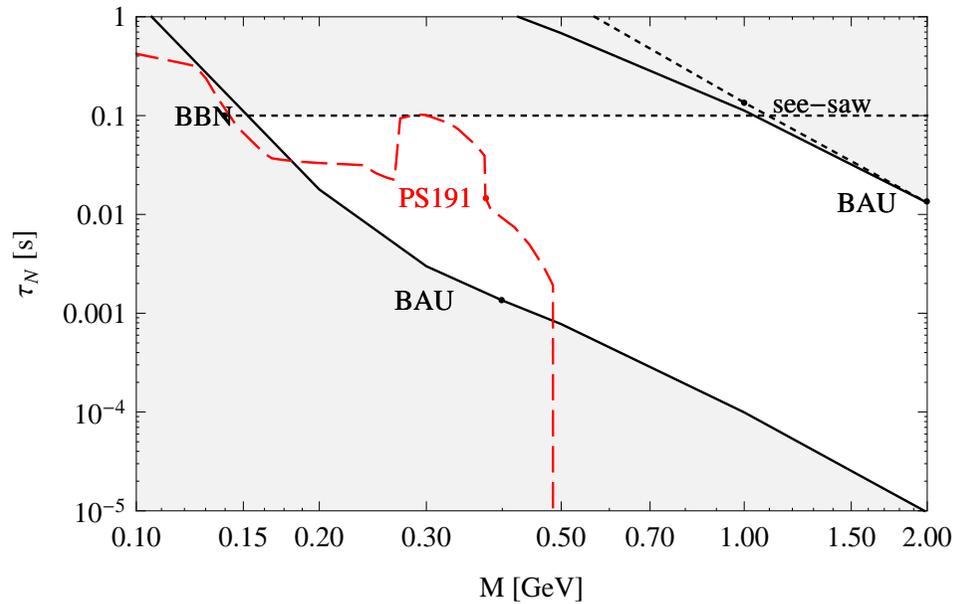
Values of  $\epsilon - M$  (left panel) and  $\Delta M_M - M$  (right panel) that leads to the observed baryon asymmetry for the **normal hierarchy** and for the **inverted one**.



Values of  $\Delta M_M$  and  $\epsilon$  that lead to the observed baryon asymmetry for different singlet fermion masses,  $M = 10$  MeV, 100 MeV, 1 GeV, and 10 GeV. Left panel - normal hierarchy, right panel - inverted hierarchy.



Constraints on  $U^2$  coming from the baryon asymmetry of the Universe (solid lines), from the see-saw formula (dotted line) and from the big bang nucleosynthesis (dotted line). Experimental searched regions are in red - dashed lines. Left panel - normal hierarchy, right panel - inverted hierarchy.



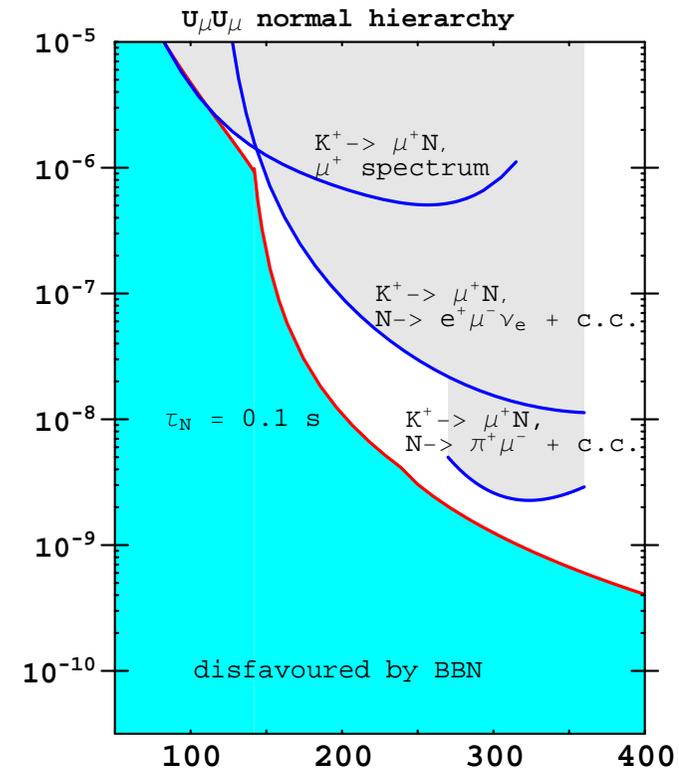
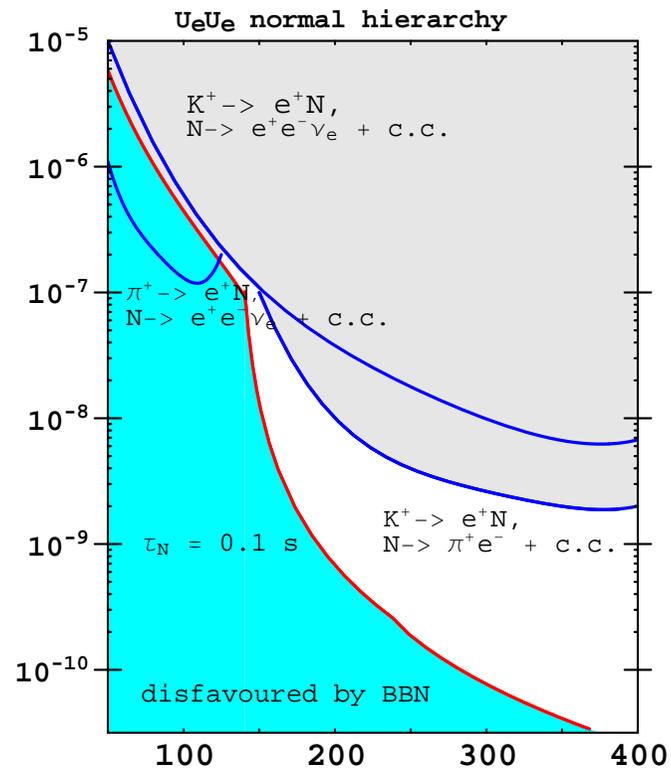
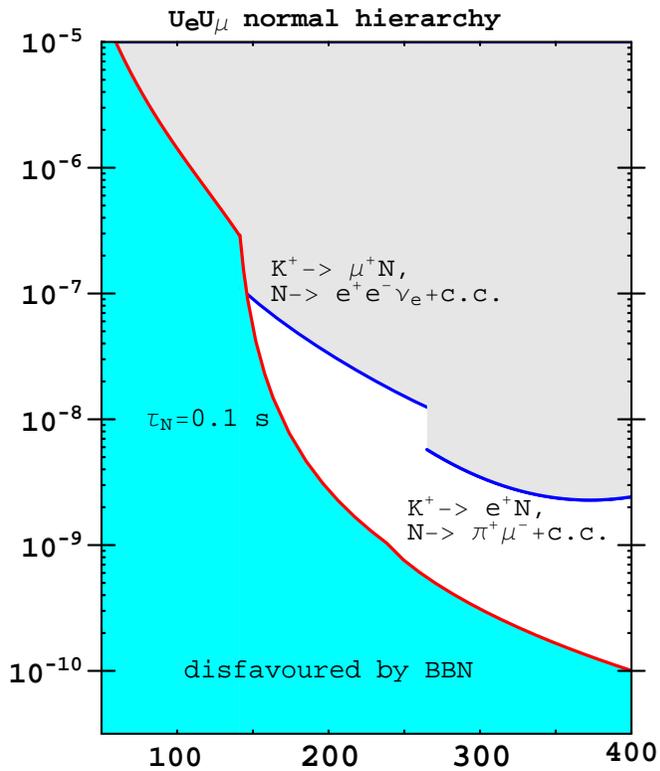
Constraints on the lifetime  $\tau_N$  coming from the baryon asymmetry of the Universe (solid lines), from the see-saw formula (dotted line) and from the big bang nucleosynthesis (dotted line). Experimental constraints from PS 191 are shown in red - dashed lines. Left panel - normal hierarchy, right panel - inverted hierarchy.

# Direct experimental tests: rare decays

# Previous searches at CERN

- A. M. Cooper-Sarkar *et al.* [WA66 Collaboration] “Search For Heavy Neutrino Decays In The Bebc Beam Dump Experiment”, 1985
- J. Dorenbosch *et al.* [CHARM Collaboration] “A search for decays of heavy neutrinos in the mass range 0.5-GeV to 2.8-GeV”, 1985
- G. Bernardi *et al.* [PS191 Collaboration], “Search For Neutrino Decay”, 1986;  
“Further Limits On Heavy Neutrino Couplings”, 1988
- P. Astier *et al.* [NOMAD Collaboration], “Search for heavy neutrinos mixing with tau neutrinos”, 2001
- P. Achard *et al.* [L3 Collaboration], “Search for heavy neutral and charged leptons in  $e^+e^-$  annihilation at LEP”, 2001

# CERN PS191 experiment, 1988



Conclusion:  $M_{2,3} > 140 \text{ MeV}$

# Experimental signatures 1

Challenge - from baryon asymmetry:  $\theta^2 \lesssim 5 \times 10^{-7} \left(\frac{\text{GeV}}{M}\right)$

- Peak from 2-body decay and missing energy signal from 3-body decays of  $K$ ,  $D$  and  $B$  mesons (sensitivity  $\theta^2$ )

Example:

$$K^+ \rightarrow \mu^+ N, \quad M_N^2 = (p_K - p_\mu)^2 \neq 0$$

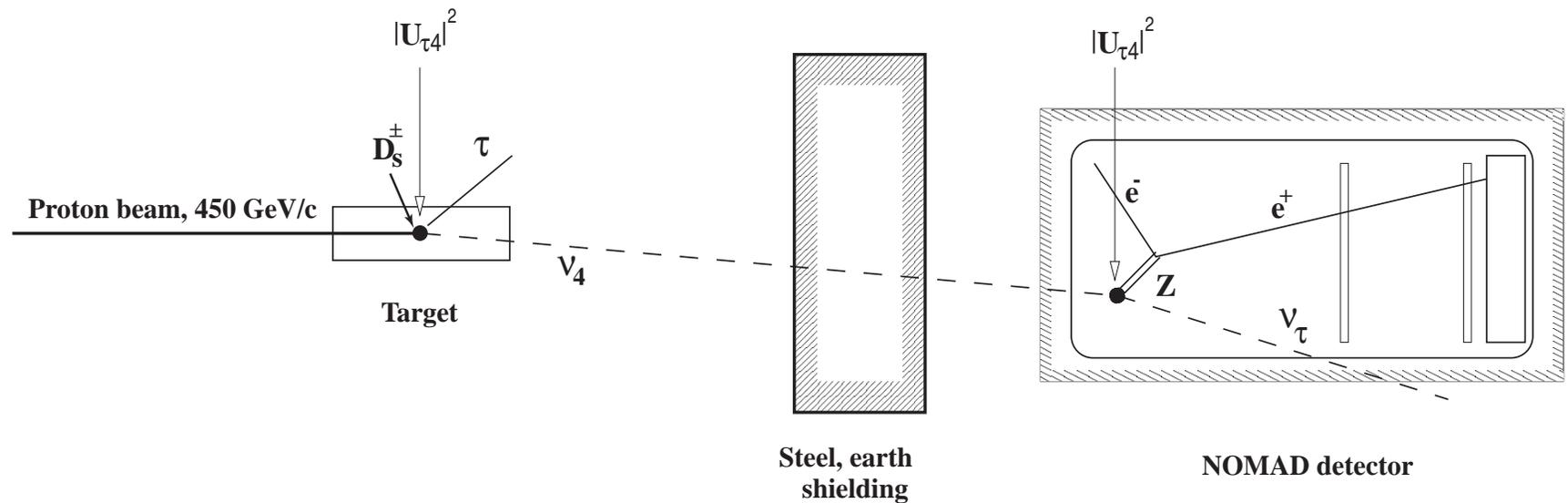
Similar for charm and beauty.

- $M_N < M_K$ : KLOE, NA62, E787
- $M_K < M_N < M_D$ : charm and  $\tau$  factories, CLEO
- $M_N < M_B$ : B-factories (planned luminosity is not enough to get into cosmologically interesting region)

# Experimental signatures 2

- Two charged tracks from a common vertex, decay processes  $N \rightarrow \mu^+ \mu^- \nu$ , etc. (sensitivity  $\theta^4 = \theta^2 \times \theta^2$ )  
**First step:** proton beam dump, creation of  $N$  in decays of  $K$ ,  $D$  or  $B$  mesons:  $\theta^2$   
**Second step:** search for decays of  $N$  in a near detector, to collect all  $N$ s:  $\theta^2$ 
  - $M_N < M_K$ : Any intense source of K-mesons (e.g. from proton targets of PS.)
  - $M_N < M_D$ : SPS or PS2 beam + near detector
  - $M_N < M_B$ : Project X (?) + near detector
  - $M_N > M_B$ : extremely difficult

# $N_{2,3}$ production and decays



Type on neutrino mass hierarchy - from branching ratios of  $N_{2,3}$  decays to  $e, \mu, \tau$ .

CP asymmetry can be as large as 1% - from BAU and DM

# Conclusions

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- New physics, responsible for neutrino masses and mixings, for dark matter, and for baryon asymmetry of the universe may hide itself **below** the EW scale
- New **dedicated** experiments in particle physics and cosmology are needed to uncover this physics

# Experiments:

## Particle physics

- decays of **BAU** singlet fermions  $N_{2,3}$  created in fixed target experiments with the use of CERN SPS proton beam (or similar), e.g.  $N_{2,3} \rightarrow \pi^+ \mu^-$
- precision study of kinematics of K, charm and beauty mesons, e.g.  $K^+ \rightarrow \mu^+ N_{2,3}$

## Astrophysics - Dark matter

- X-rays from decays of Dark Matter neutrinos  $N_1 \rightarrow \nu \gamma$ : X-ray spectrometer in Space with good energy resolution  $\delta E/E \sim 10^{-3} - 10^{-4}$  getting signals from our Galaxy and its Dwarf satellites