

Introduction to sterile neutrinos

From oscillation measurements:
active neutrinos have masses m_1, m_2, m_3

convention: $m_1 < m_2$

$$\Delta m_{21}^2 = 7.6 \cdot 10^{-5} \text{ eV}^2$$

$$|\Delta m_{atm}^2| = 2.4 \cdot 10^3 \text{ eV}^2$$

→ 2 possibilities

NH $m_1 = m_{min} \rightarrow m_1 < m_2 < m_3$

$$\Delta m_{21}^2 = \Delta m_{21}^2 > 0$$

$$\Delta m_{atm}^2 = \Delta m_{31}^2 > 0$$

IH $m_3 = m_{min} \rightarrow m_3 < m_1 < m_2$

$$\Delta m_{21}^2 = \Delta m_{21}^2 > 0$$

$$\Delta m_{atm}^2 = \Delta m_{32}^2 < 0$$

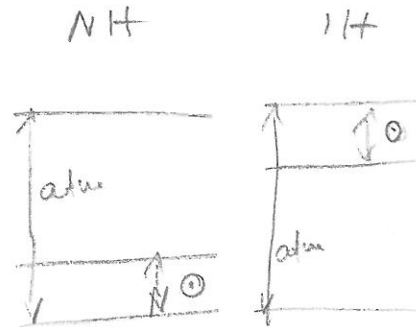
depending on $m_{min} \Rightarrow$ neutrino mass spectra

1) $m_{min} \approx 0$: $m_1 \ll m_2 < m_3$ in NH

$$m_2 \approx (\Delta m_{21}^2)^{1/2} \approx 0.009 \text{ eV}$$

$$m_3 \approx |\Delta m_{atm}^2|^{1/2} \approx 0.05 \text{ eV}$$

$m_3 \ll m_1 < m_2$ in IH

$$m_{1,2} \approx |\Delta m_{atm}^2|^{1/2} \approx 0.05 \text{ eV}$$


2) QD $m_1 \approx m_2 \approx m_3 \approx m_0$, $m_j^2 \gg |\Delta m_{atm}^2|$

→ from limits $m_0 \geq 0.1 \text{ eV}$

Limits:

(KATRIN ~ 0.2 eV)

β -decay spectrum $m_{\bar{\nu}_e} \leq 2$ eV

Cosmology $\sum_j m_j \leq (0.3 - 1.3)$ eV (WMAP)

Generation of neutrino masses.

SM \rightarrow neutrinos massless, only left-handed ν_L

for Dirac mass term \rightarrow introduce $\bar{\nu}_R$

sterile \rightarrow not el. charged
not strongly int.
doesn't couple to W, Z

(but can have int. in BSM physics)

\hookrightarrow eg L-R-symm.

Dirac mass term.

$$-\mathcal{L}_D = m_D (\bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L)$$

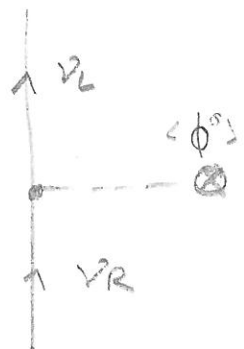
weak isospin conservation \rightarrow m_D needs to be generated by VEV of neutral comp. of Higgs doublet

$$m_D = y \cdot \langle \phi_0 \rangle = y \frac{v}{\sqrt{2}}$$

$$\rightarrow -\mathcal{L}_D = y \cdot \bar{\nu}_R \Phi^+ L + \text{h.c.}$$

as we have seen: $m_\nu \leq 0.1$ eV

$$\rightarrow y \leq 10^{-12} \quad (e^- : 10^{-6})$$



Suppression of y

- Symm $\rightarrow y$ has to vanish \rightarrow contributions from higher dim. operators
- ν_L & ν_R in (large or warped) extra dim \rightarrow overlap of wave-fcts.

Majorana mass term:

no symm. forbids Majorana mass term.
(w/ U(1) charge)

Major. mass requires only one Weyl spinor (2-comp.)

eg $\nu_L \rightarrow$

$$-\mathcal{L}_T = \frac{m_L}{2} (\bar{\nu}_L \nu_R^c + \bar{\nu}_R^c \nu_L)$$

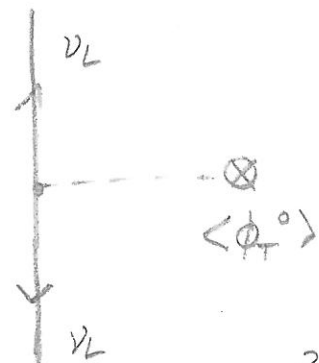
$$= \frac{m_L}{2} (\bar{\nu}_L C \bar{\nu}_L^T + \nu_L^T C^{-1} \nu_L) = \frac{m_L}{2} \bar{\nu}_M \nu_M$$

$$\nu_M = \nu_L + \nu_R^c = \nu_M^c$$

but weak isospin violated by $\Delta T_3 = \pm 1$

(ν_L is part of doublet) \rightarrow Higgs triplet $\Delta w /$
VEV of neutral comp.

$$-\mathcal{L}_T = \frac{1}{2} f \cdot L^T C^{-1} \nu_R \Delta L + h.c$$



Majorana mass for sterile neutrino ν_R :

$$\begin{aligned}
 -\mathcal{L}_S &= \frac{M_R}{2} (\bar{\nu}_L^c \nu_R + \bar{\nu}_R \nu_L^c) = \\
 &= \frac{M_R}{2} (\nu_R^T C^{-1} \nu_R + \bar{\nu}_R C \bar{\nu}_R^T) \\
 &= \frac{M_R}{2} (\bar{N} N)
 \end{aligned}$$

$$N_N = \nu_L^c + \nu_R = N_N^c$$

not forbidden by any symmetry

(but eg in L-R-symm model M_R needs to be generated by VEV)

Major & Dirac

When both mass terms present \rightarrow need to diagonalise mass matrix to obtain mass eigenstates, which are a lin. comb of ν_L & ν_R

define $\nu^M = \frac{1}{\sqrt{2}} (\nu_L + \nu_L^c)$

$$N^M = \frac{1}{\sqrt{2}} (\bar{\nu}_R + \nu_R^c)$$

$$\rightarrow -\mathcal{L}_{\text{mass}} = (\bar{\nu}^M, \bar{N}^M) \begin{pmatrix} m_L & m_D^T \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \nu^M \\ N^M \end{pmatrix}$$

Diagonalised by unitary matrix U

Seesaw

$$U_M = \begin{pmatrix} M_L & M_D^T \\ M_D & M_R \end{pmatrix}$$

$$M_L : 3 \times 3$$

$$M_R : n_R \times n_R$$

$$\rightarrow M_D^T = 3 \times n_R$$

can assume M_R diagonal (sterile!)

if $\frac{M_D}{M_R} \ll 1$ we can block-diag U_M , expanding

in $\frac{M_D}{M_R}$

$$W^T \begin{pmatrix} M_L & M_D^T \\ M_D & M_R \end{pmatrix} W = \begin{pmatrix} \text{light } 0 & \\ & U_{\text{heavy}} \end{pmatrix} \quad (*)$$

$$\begin{pmatrix} \nu^M \\ N^M \end{pmatrix} = W \begin{pmatrix} \nu^{\text{light}} \\ \nu^{\text{heavy}} \end{pmatrix} \quad \leftarrow \begin{array}{l} \text{almost only active} \\ \text{(not massless!)} \end{array}$$

almost only sterile

Solve (*) with ansatz

$$W = \begin{pmatrix} \sqrt{1 - BB^T} & B \\ -B^T & \sqrt{1 - B^T B} \end{pmatrix}$$

sqrt $\hat{=}$ power series

$$B = B_1 + B_2 + B_3 + \dots$$

$$B_j \propto \left(\frac{1}{M_R}\right)^j$$

note

$$\nu^M = \sqrt{1 - BB^T} \nu^{\text{light}} + B \nu^{\text{heavy}}$$

\Rightarrow active sterile mixing $\propto B$

$$\rightarrow B_1^+ \approx M_R^{-1} m_D \left(\frac{m_D}{M_R} \right)$$

$$\& M_{\text{right}} \approx m_L - m_D^T M_R^{-1} m_D$$

$$M_{\text{heavy}} = M_R$$

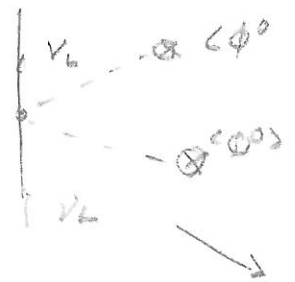
no triplet term ($m_L = 0$) \rightarrow seesaw type I

$$M_{\text{right}} \approx -m_D^T M_R^{-1} m_D$$

\rightarrow M_R suppresses
the mainly active ν

$M_{\text{right}} \hat{=}$ masses of

eg m_D w/ Yukawa $\sim O(1)$



$$\Rightarrow m_D \approx 100 \text{ GeV}$$

$$M_{\text{right}} \approx 10^{-2} \text{ eV}$$

$$\Rightarrow \frac{m_D^2}{M_R} = 10^{-2} \text{ eV}$$

$$\rightarrow M_R \approx 10^{15} \text{ GeV}$$

[of course y can be smaller]

Seesaw II $\rightarrow m_L \neq 0$ $\hat{=}$ new scale

cancellations

also only $m_L \neq 0$