

The dark side of the Universe, ISAPP, MPIK Heidelberg, May 29/30, 2019

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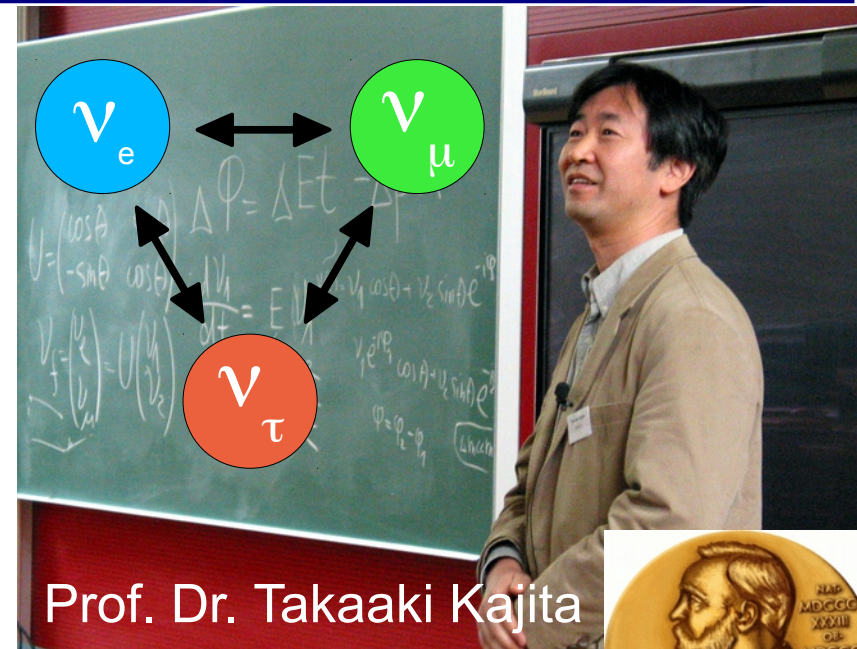
A reminder: Neutrinos
in the Standard Model of Particle Physics

Neutrino oscillations:
experiments with atmospheric,
solar, accelerator and reactor neutrinos

Neutrino masses:

- cosmology and astrophysics
- neutrinoless double β decay
- direct neutrino mass experiments

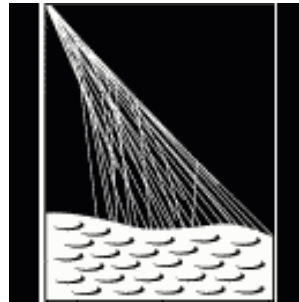
Search for sterile neutrinos
Coherent elastic neutrino nucleus scattering



Positive results from ν oscillation experiments

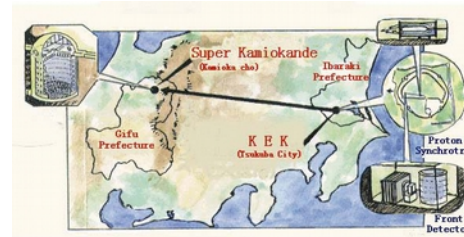
atmospheric neutrinos

(Kamiokande, Super-Kamiokande, IceCube, ANTARES)



accelerator neutrinos

(K2K, T2K, MINOS, OPERA, MiniBoone)

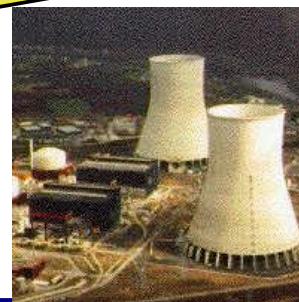


solar neutrinos

(Homestake, Gallium, Sage, Super-Kamiokande, SNO, Borexino)



Matter effects (MSW)



$\Rightarrow$ **non-trivial ν -mixing**

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$|U_{\text{PMNS}}| \sim \begin{pmatrix} 0.8 & 0.5 & 0.1 \\ 0.5 & 0.6 & 0.7 \\ 0.3 & 0.6 & 0.7 \end{pmatrix}$$

$$0.37 < \sin^2(\theta_{23}) < 0.63 \quad \text{maximal!}$$

$$0.26 < \sin^2(\theta_{12}) < 0.36 \quad \text{large!}$$

$$0.018 < \sin^2(\theta_{13}) < 0.030 \quad 8.5^\circ$$

$$7.0 \cdot 10^{-5} \text{ eV}^2 < \Delta m_{12}^2 < 8.2 \cdot 10^{-5} \text{ eV}^2$$

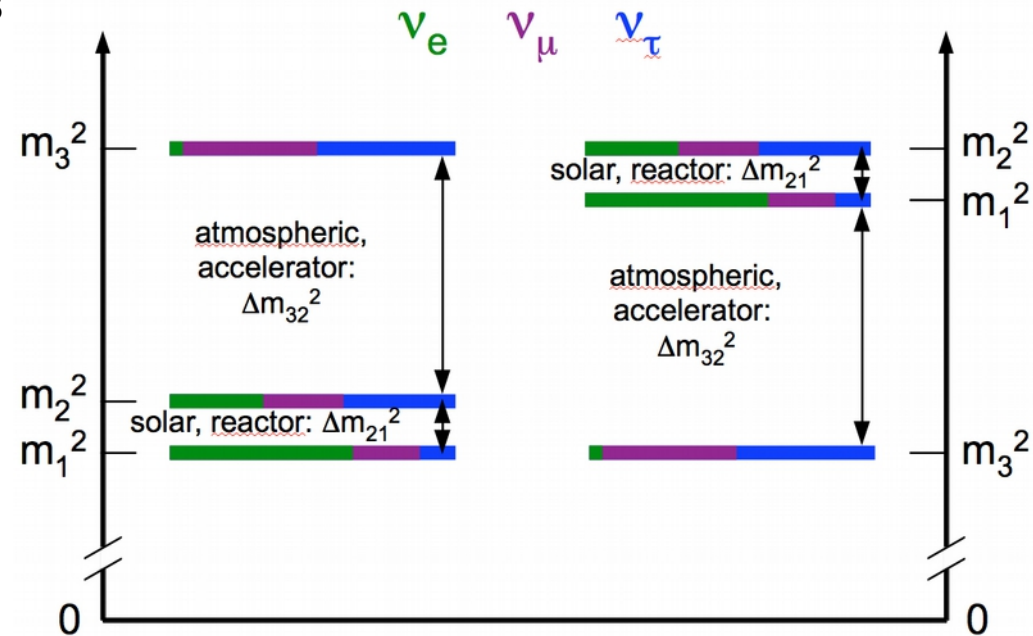
$$2.2 \cdot 10^{-3} \text{ eV}^2 < |\Delta m_{13}^2| < 2.6 \cdot 10^{-3} \text{ eV}^2$$

$\Rightarrow m(\nu_j) \neq 0$, but unknown

additional sterile neutrinos ?

What next in neutrino physics ?

- Absolute neutrino mass scale ?
very important since there are 1 billion times more neutrinos than atoms in the universe
very important since the very small neutrino masses are probably due to more than just the Yukawa coupling to the Higgs
- Neutrino particle character ?
Are neutrinos their own antiparticles (Majorana particles)
- Hierarchy: $m(\nu_3) > m(\nu_{2,1})$ or $m(\nu_{2,1}) > m(\nu_3)$?



- CP violating phase δ_{CP} ?

3x3 unitary mixing matrix U_{PMNS} :
3 angles and 1 CP violating phase,
connected to BAU via leptogenesis ?

$$U_{PMNS} =$$

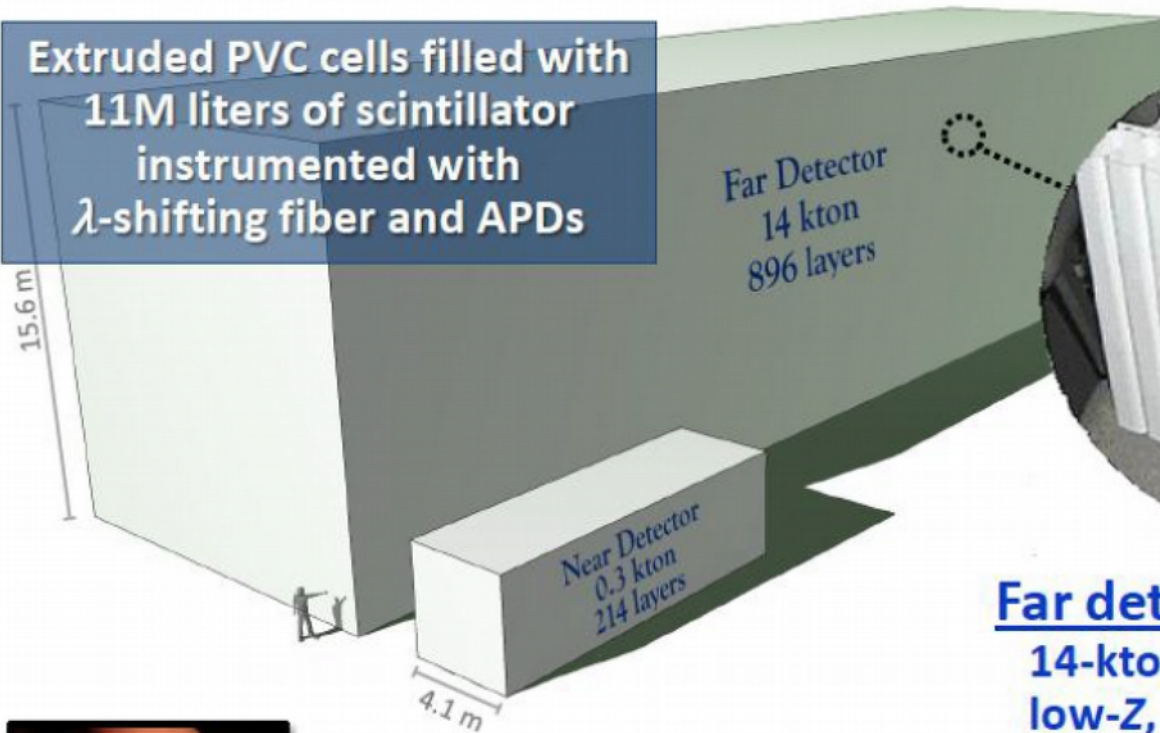
$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- Is there a 4th or even a 5th light but sterile neutrino ?

NOVA: high granularity detector, off-axis beam, long baseline (810 km)

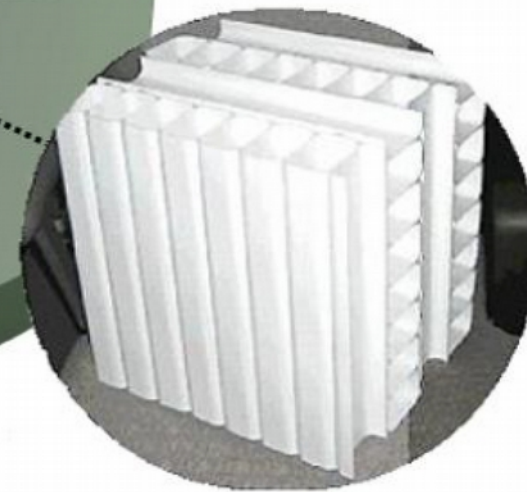
NO ν A detectors

Extruded PVC cells filled with
11M liters of scintillator
instrumented with
 λ -shifting fiber and APDs



A NO ν A cell

To APD



1560 cm

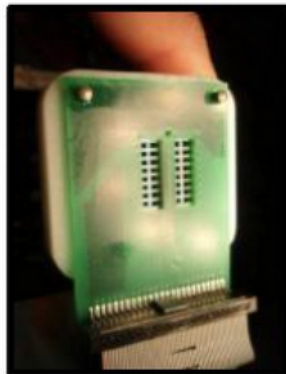
4 cm $\times$ 6 cm

Far detector:

14-kton, fine-grained,
low-Z, highly-active
tracking calorimeter
→ 344,000 channels

Near detector:

0.3-kton version of
the same
→ 20,000 channels



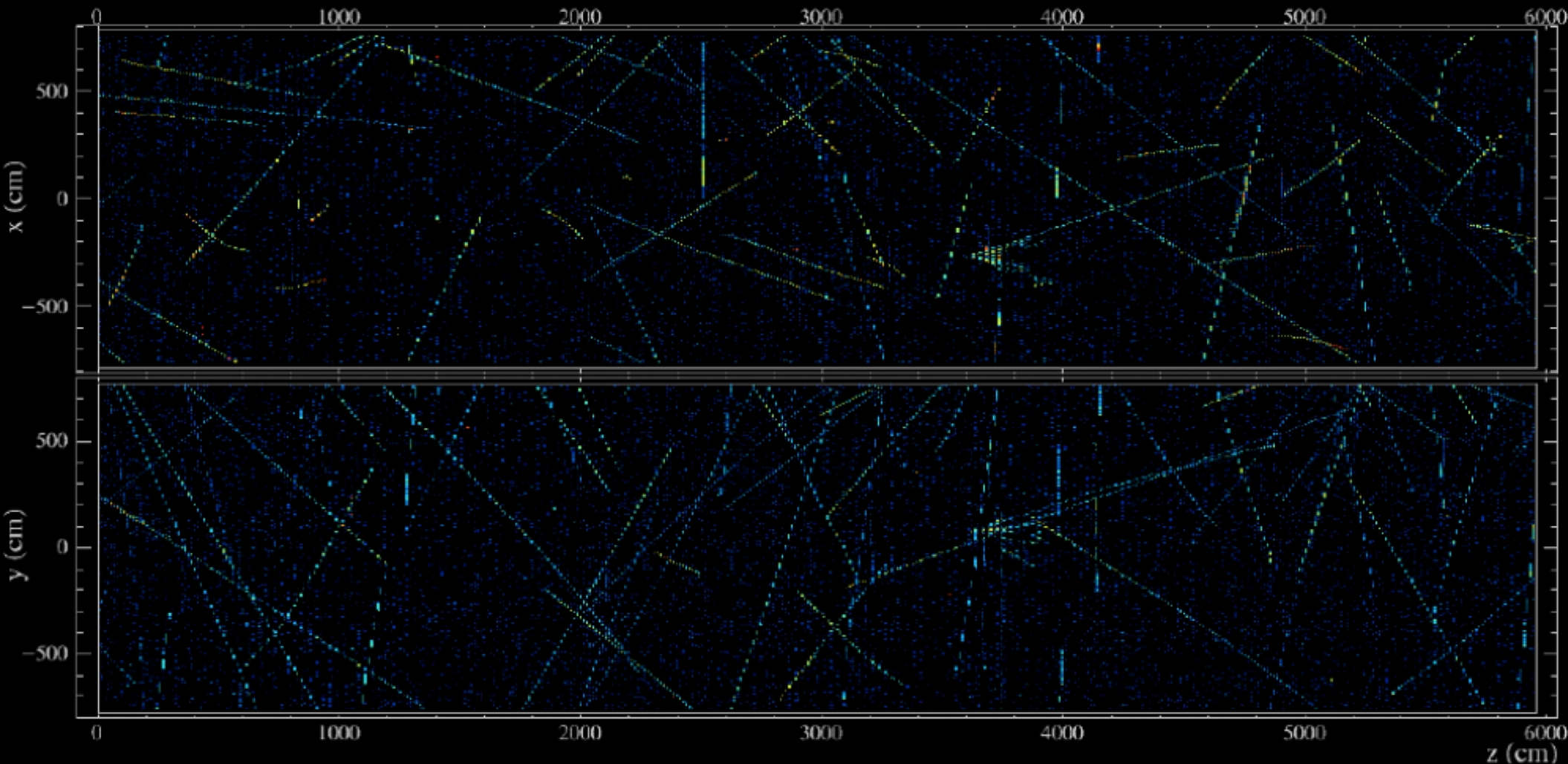
32-pixel APD

Fiber pairs
from 32 cells



NOVA: high granularity detector, off-axis beam, long baseline (810 km)

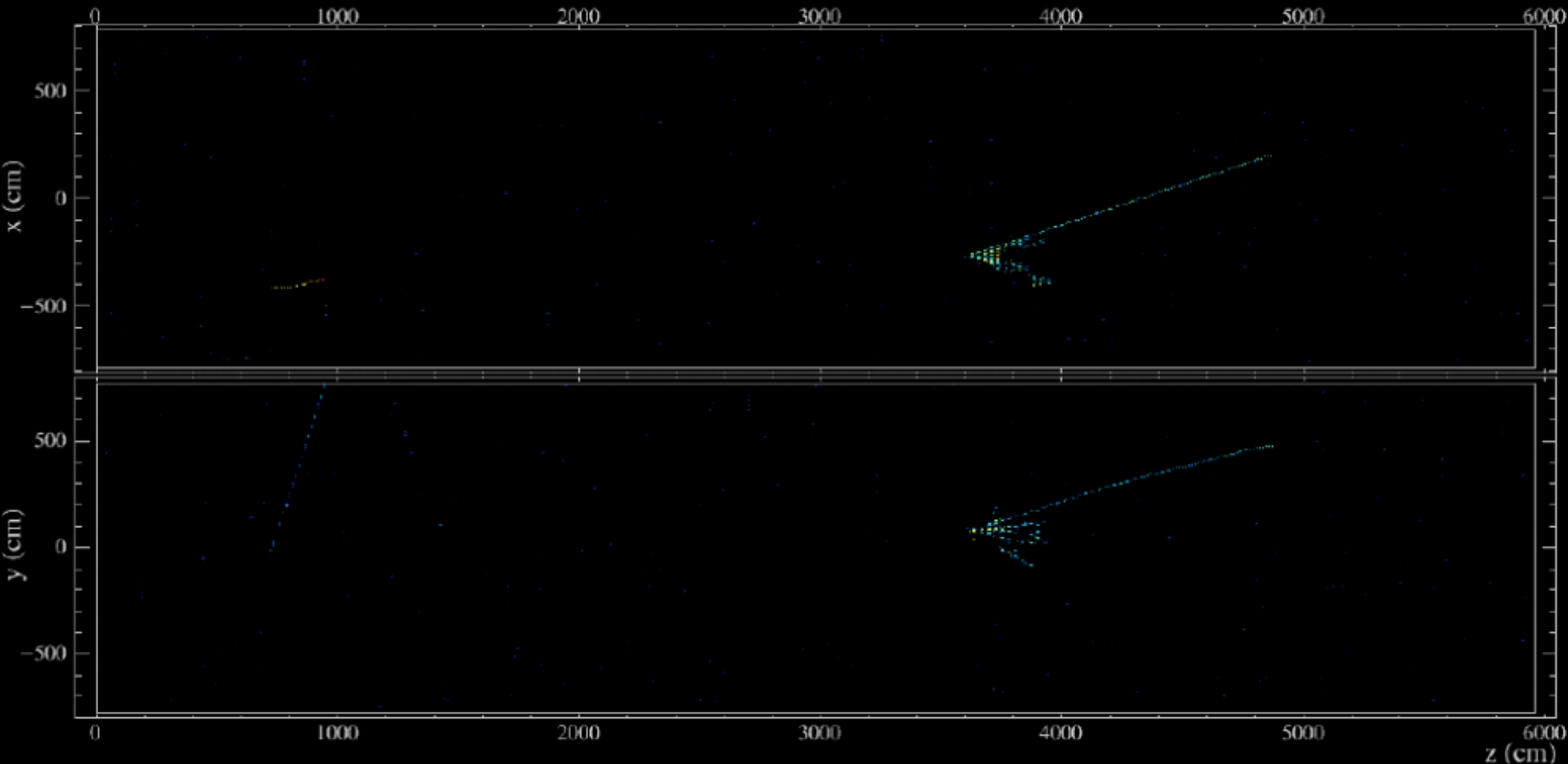
550 μ s exposure of the Far Detector



from Dave Wark, VI Pontecorvo International Neutrino School

NOVA: high granularity detector, off-axis beam, long baseline (810 km)

Time-zoom on 10 μ s interval during NuMI beam pulse



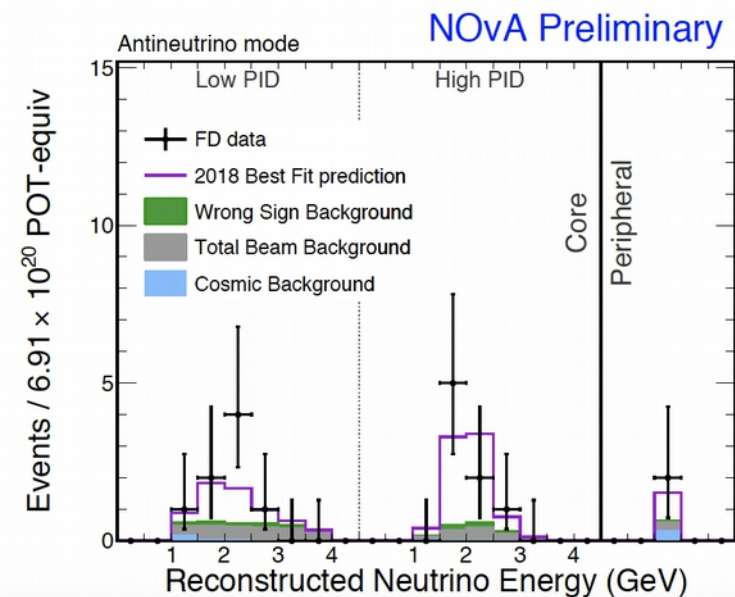
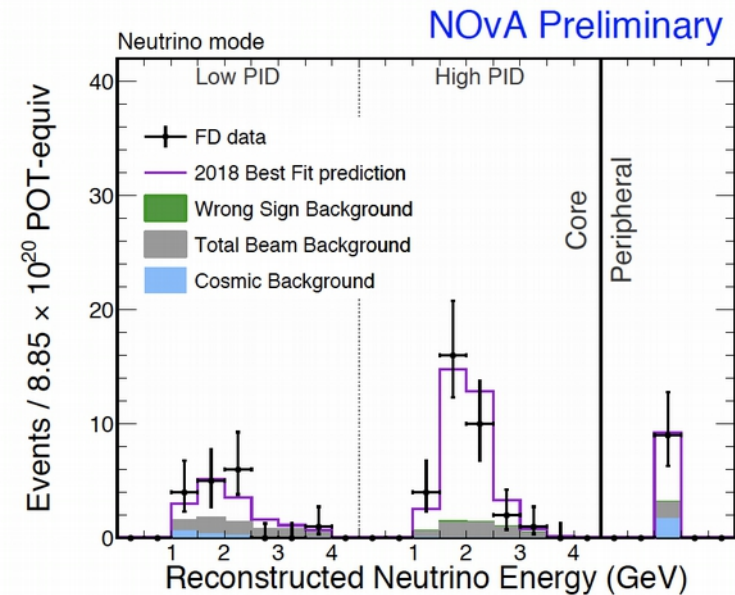
from Dave Wark, VI Pontecorvo International Neutrino School

Electron (anti)neutrino appearance

M. Sanchez, Neutrino 2018

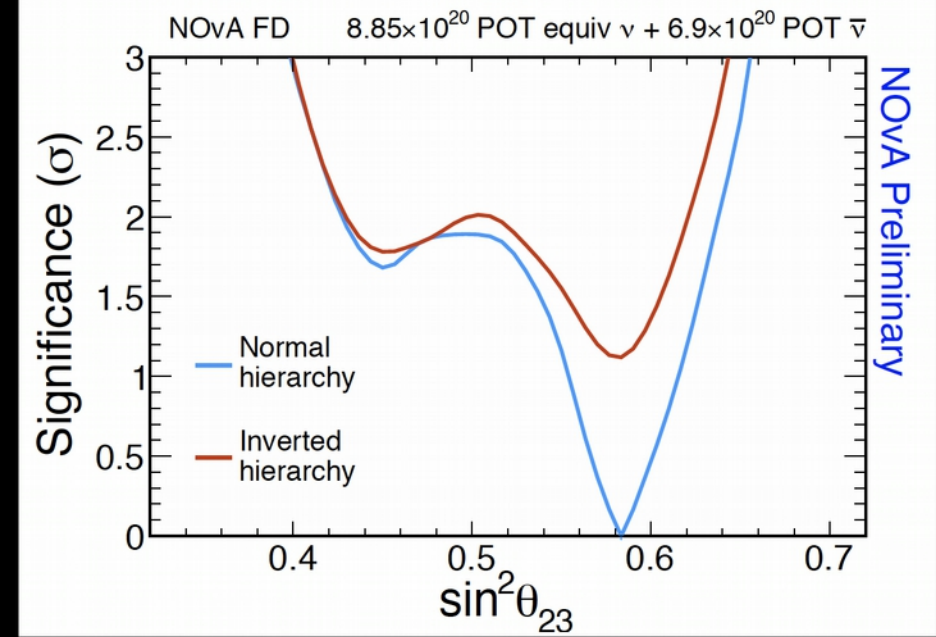
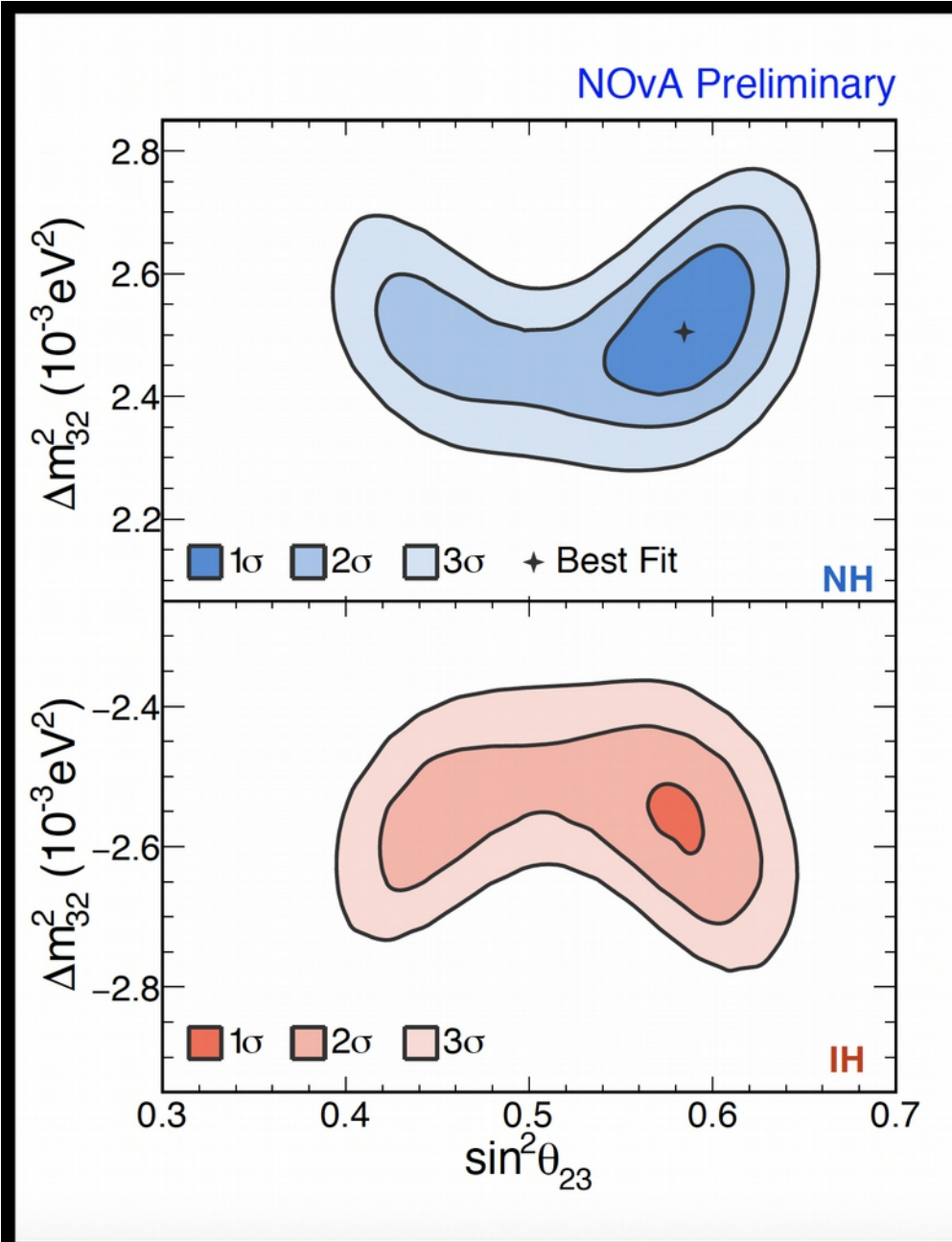
- On the neutrino beam we observe 58 events and expect 15 background interactions:
 - 11 beam, 3 cosmic background and < 1 wrong sign background.
- For the antineutrino beam we observe 18 and expect 5.3 background interactions:
 - 3.5 beam background, < 1 cosmic background and 1 wrong sign background.

$> 4\sigma$ evidence of electron antineutrino appearance



Electron (anti)neutrino appearance

M. Sanchez, Neutrino 2018

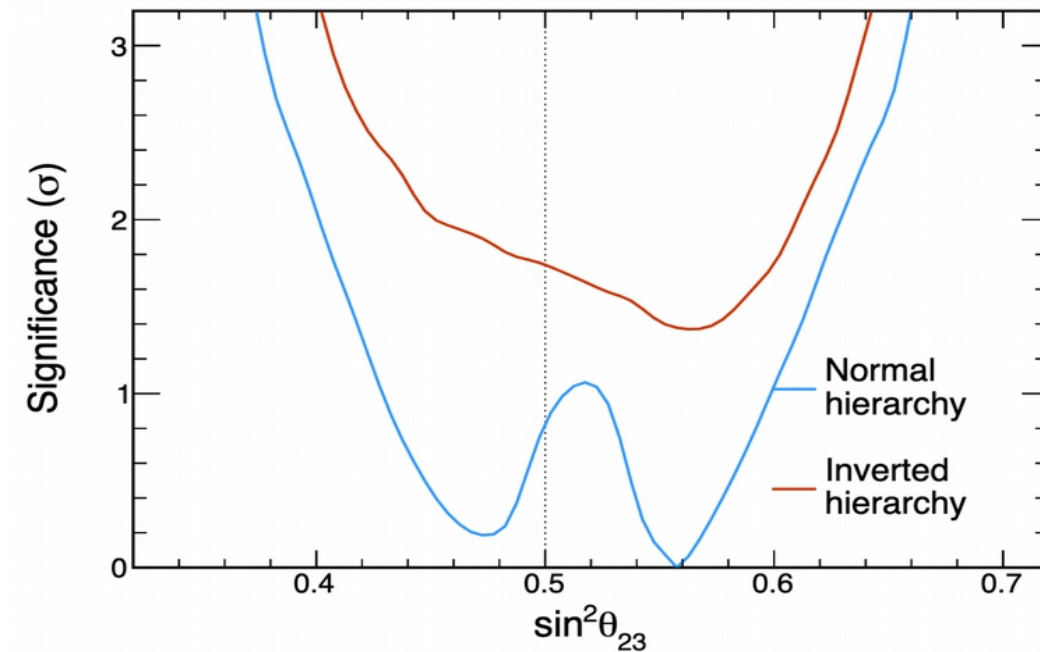


- Best fit:
Normal Hierarchy
 $\sin^2 \theta_{23} = 0.58 \pm 0.03$ (UO)
 $\Delta m^2_{32} = (2.51^{+0.12}_{-0.08}) \cdot 10^{-3} \text{eV}^2$

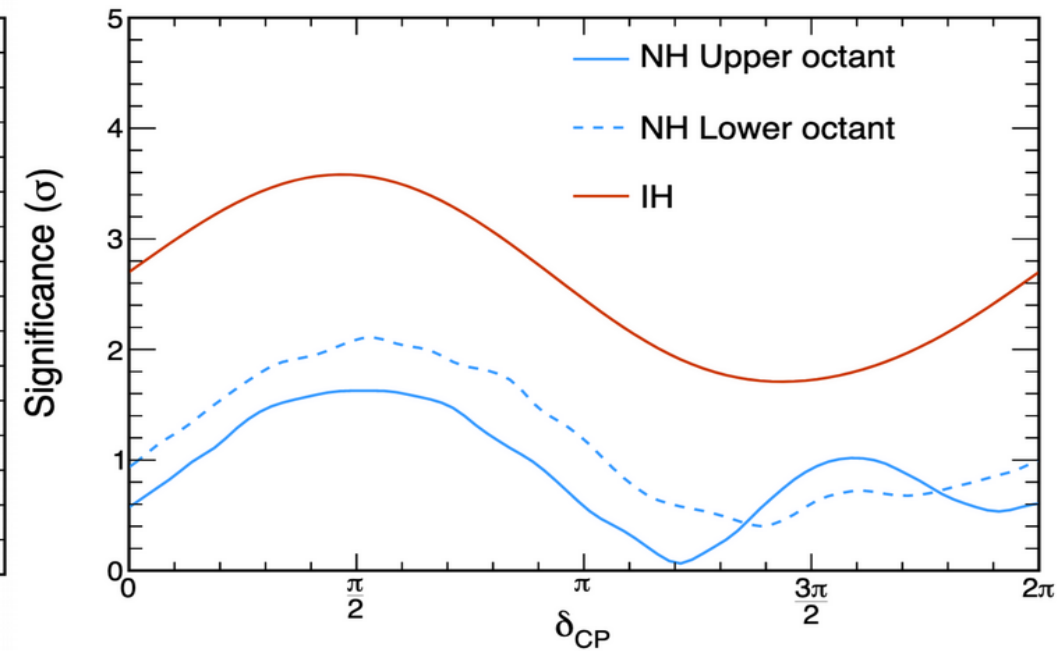
Prefer non-maximal at 1.8 σ
Exclude LO at similar level

NO ν A: Results from muon disappearance and Electron neutrino appearance

Hierarchy

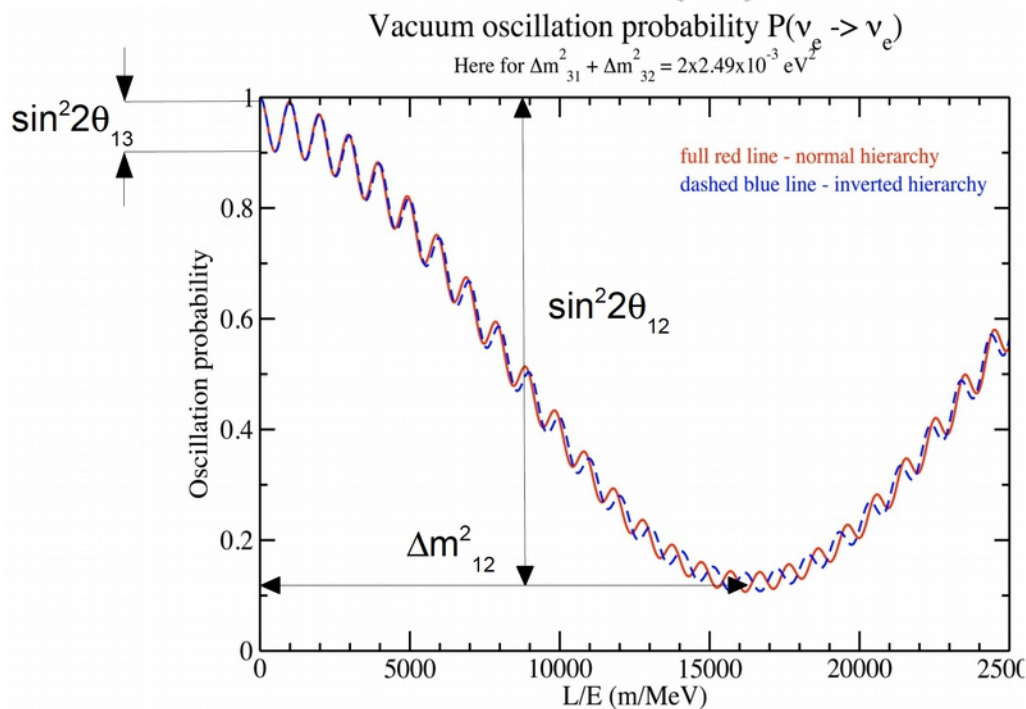
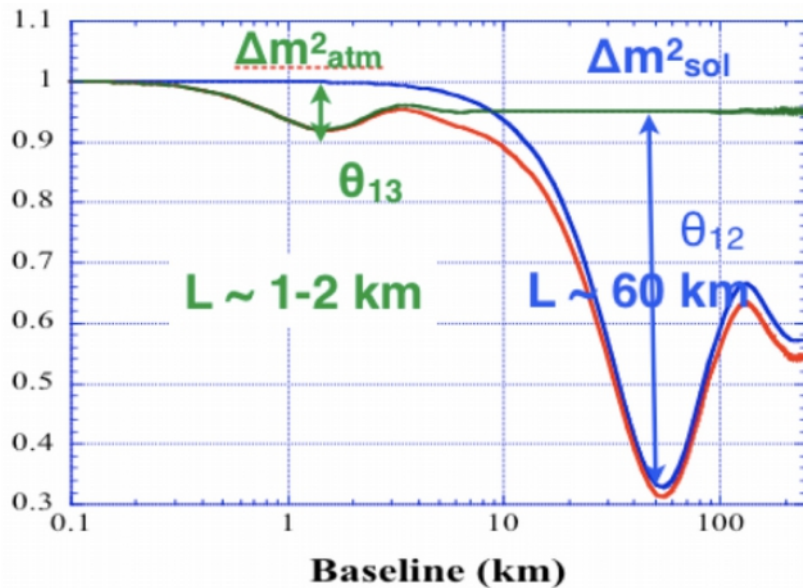


CP phase

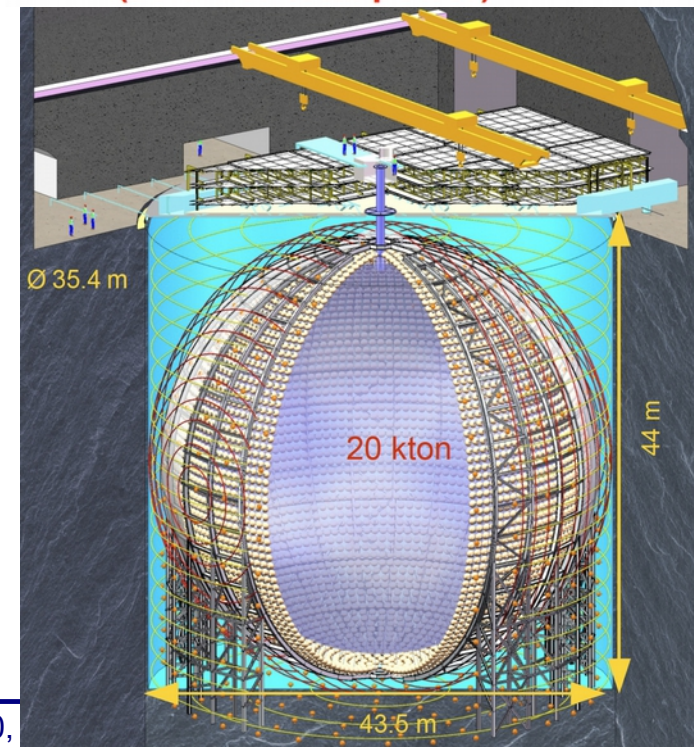


M.A. Acero (NO ν A Collaboration), Phys. Rev. D 98 (2018) 032012

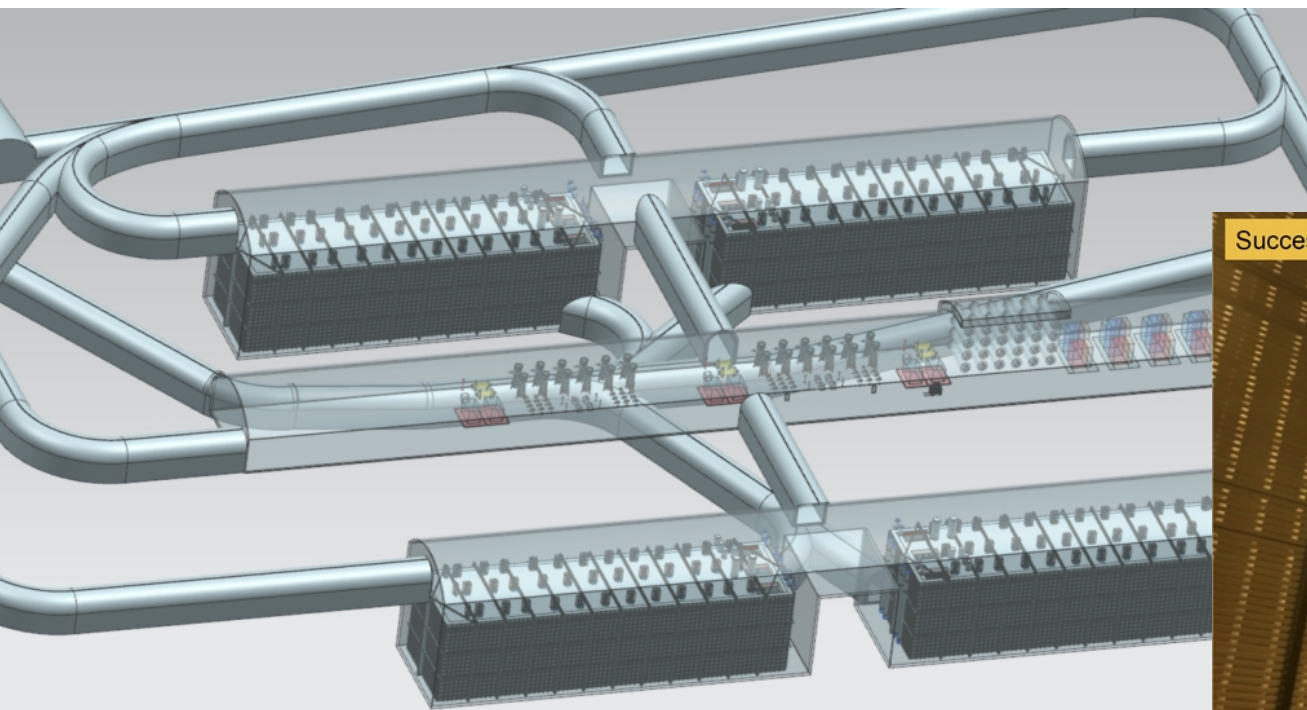
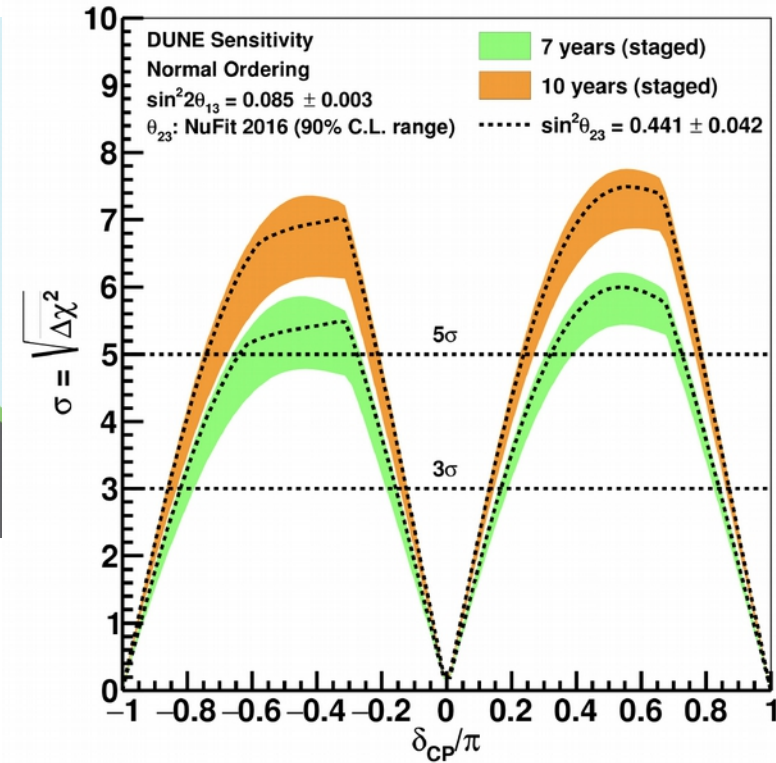
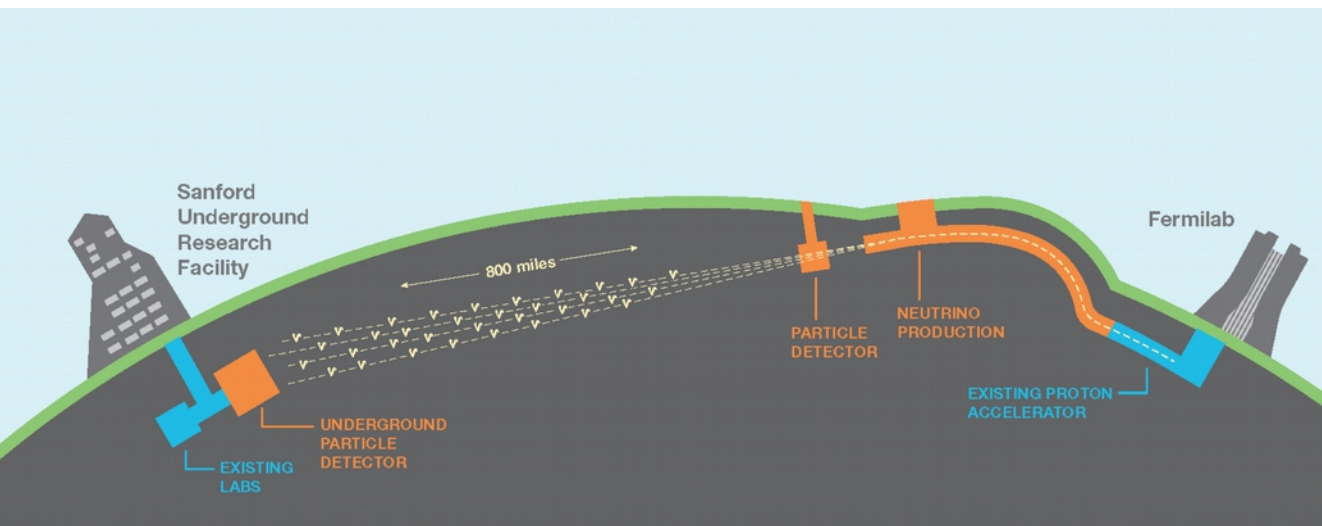
JUNO: 60 km baseline reactor experiment: resolving ν mass hierarchy ($m_1 < m_2 < m_3$ or $m_3 < m_1 < m_2$)



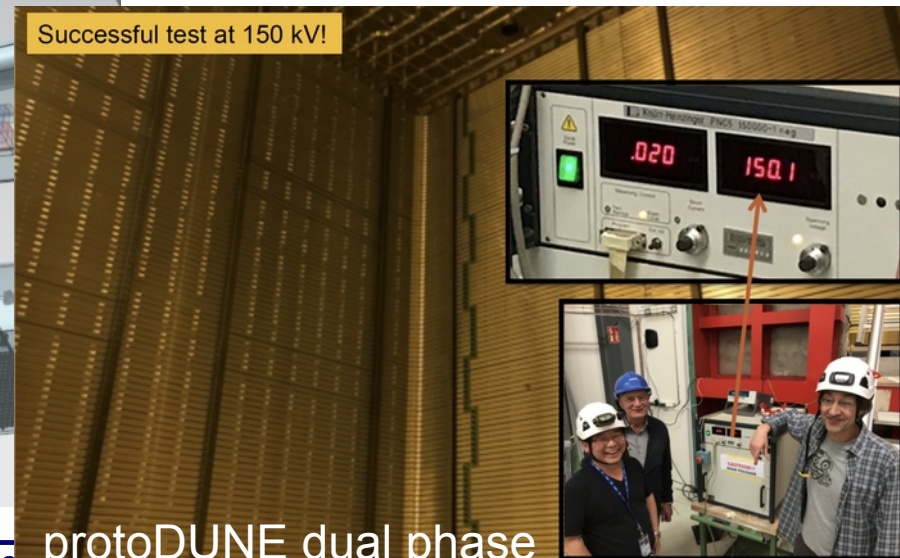
High power nuclear power plants
(26.6 GW total power)



Future: DUNE - 1300km baseline, LAr TPCs: CP & mass ordering



Successful test at 150 kV!



protoDUNE dual phase

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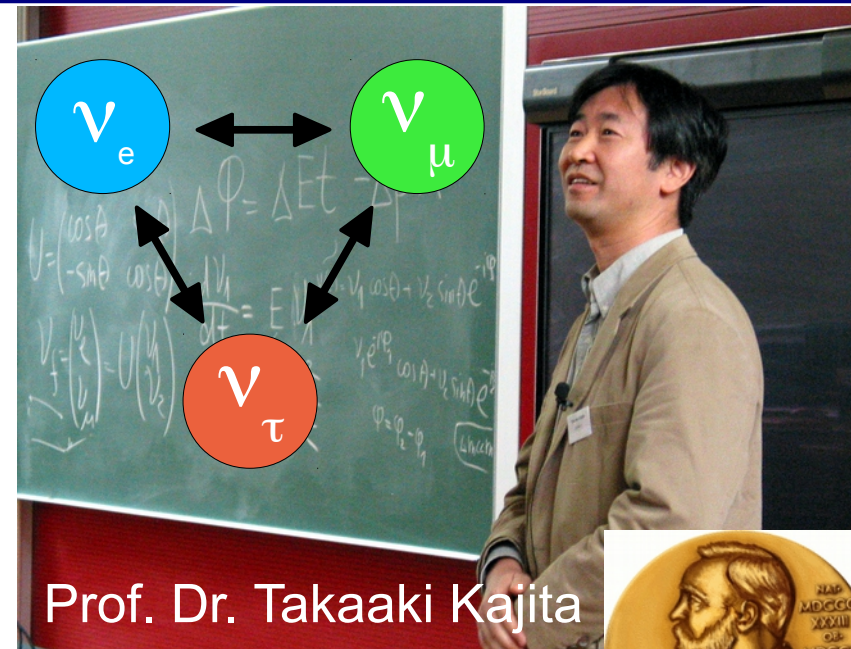
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Neutrino oscillations:
experiments with atmospheric,
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Neutrino masses:

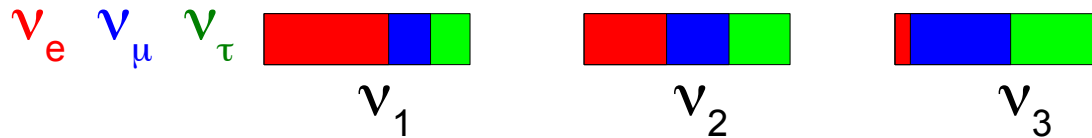
- cosmology and astrophysics
- neutrinoless double β decay
- direct neutrino mass experiments

Search for sterile neutrinos
Coherent elastic neutrino nucleus scattering



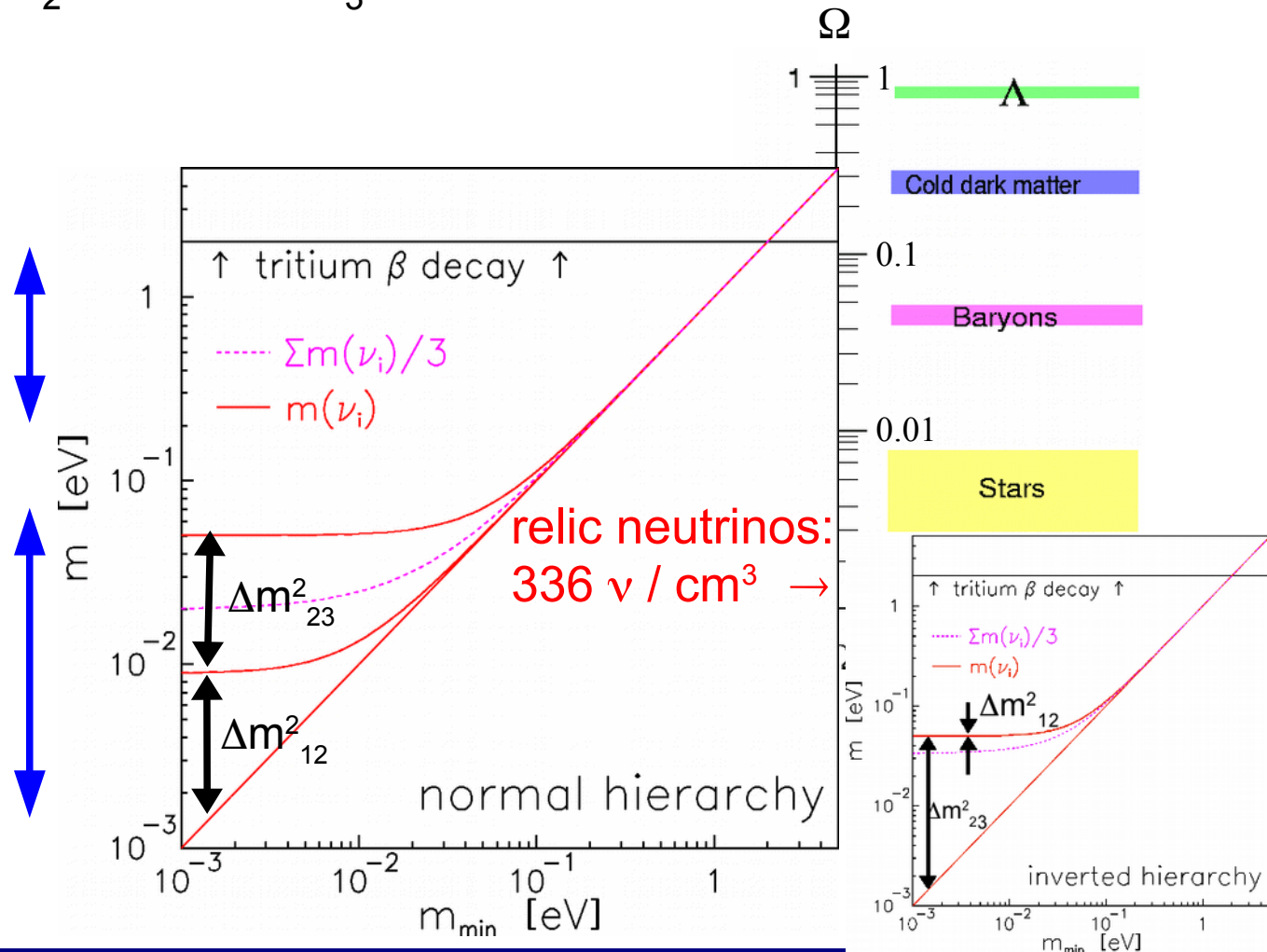
Need for the absolute ν mass determination

Results of recent oscillation experiments: Θ_{23} , Θ_{12} , Θ_{13} , Δm^2_{23} , Δm^2_{12}



degenerated masses
cosmological relevant
e.g. seesaw mechanism type 2

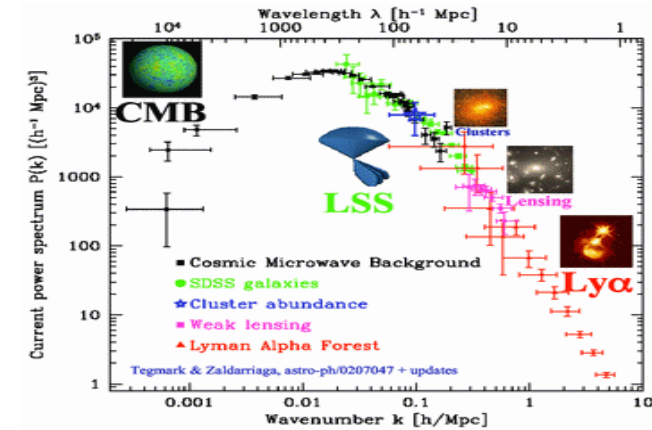
hierarchical masses
e.g. seesaw mechanism type 1
explains smallness of masses,
but not large (maximal) mixing



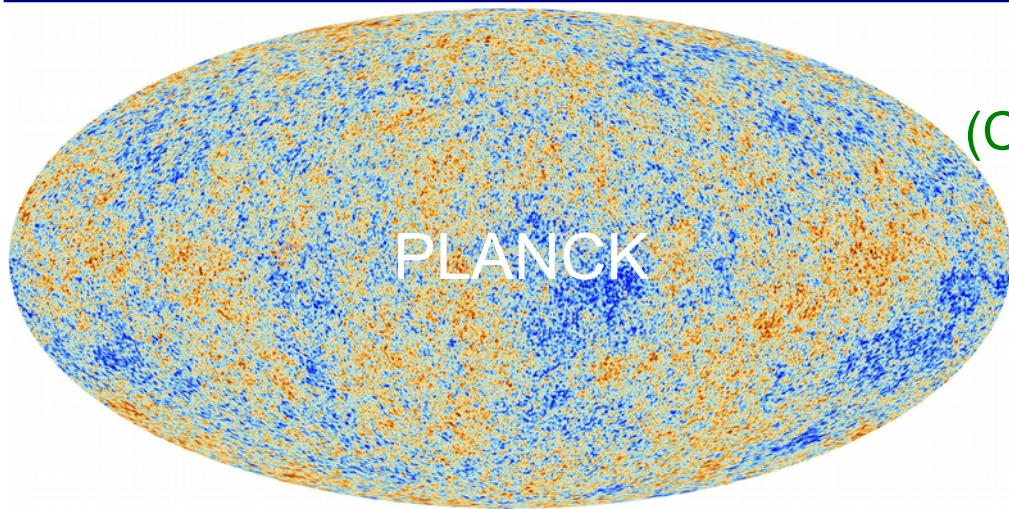
Three complementary ways to the absolute neutrino mass scale

1) Cosmology

very sensitive, but model dependent
compares power at different scales
current sensitivity: $\Sigma m(\nu_i) \approx 0.23 \text{ eV}$



Neutrino mass from cosmology



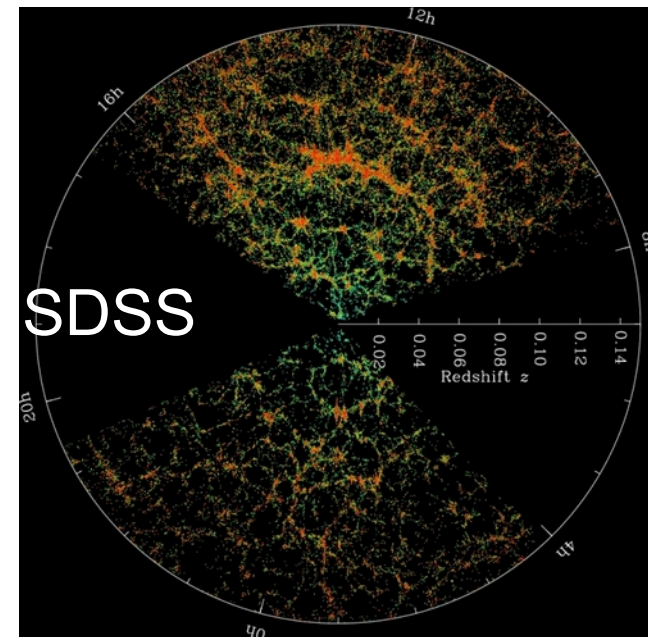
measurement of CMBR

(Cosmic Microwave Background Radiation)

big bang theory:
neutrino density
in universe

$$n_\nu = 336 / \text{cm}^3$$

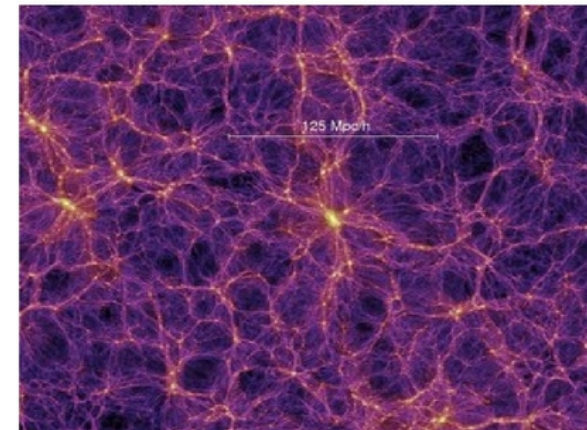
measurement of matter
density distribution
LSS (Large Scale Structure)
2dF, SDSS, ...



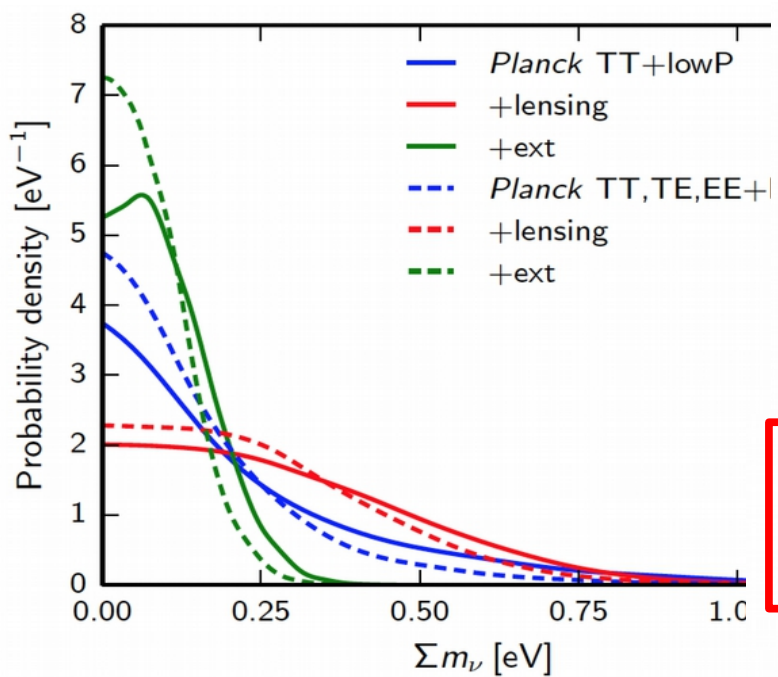
model development

← National Center for SuperComputer Simulations,
<http://cosmicweb.uchicago.edu/sims.html>

Millenium simulation →
<http://www.mpa-garching.mpg.de/galform/presse/>



Neutrino mass from cosmology



$$\begin{aligned} \sum m_\nu &< 0.72 \text{ eV} && \text{Planck TT+lowP;} \\ \sum m_\nu &< 0.21 \text{ eV} && \text{Planck TT+lowP+BAO;} \\ \sum m_\nu &< 0.49 \text{ eV} && \text{Planck TT, TE, EE+lowP;} \\ \sum m_\nu &< 0.17 \text{ eV} && \text{Planck TT, TE, EE+lowP+BAO.} \end{aligned}$$

$$\left. \begin{aligned} \sum m_\nu &< 0.23 \text{ eV} \\ \Omega_\nu h^2 &< 0.0025 \end{aligned} \right\} 95\%, \text{ Planck TT+lowP+lensing+ext.}$$

Planck Collaboration: P. A. R. Ade et al., arXiv:1502.01589

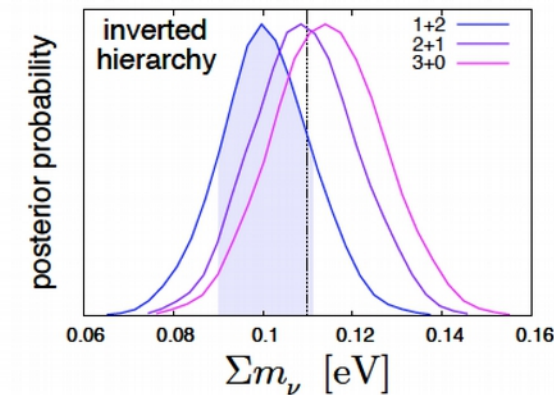
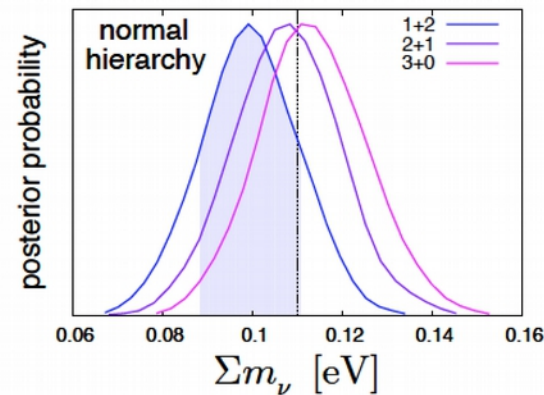
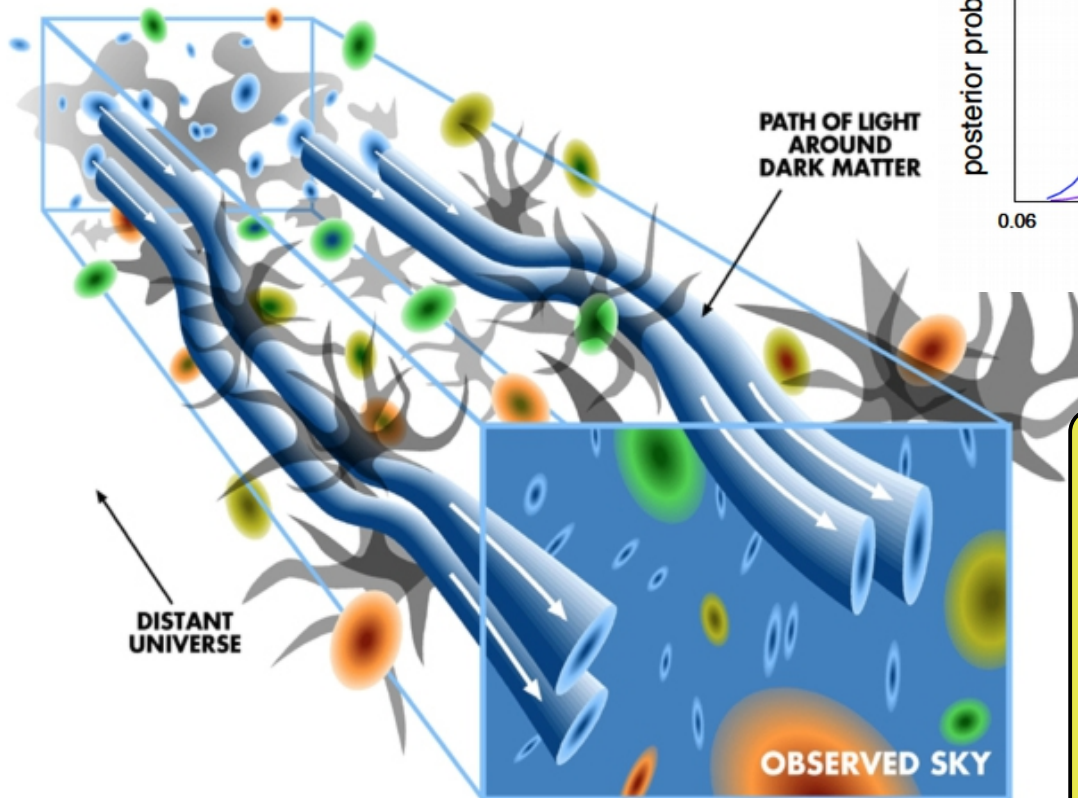
Relies on Λ CDM model !

Is this fully correct, there are some discrepancies ?

**More than 95% of the energy distribution in the universe is not known
(dark energy, dark matter)**

Future on neutrino mass results from cosmology

In addition to Planck CMB data (temperature + polarisation)
use cosmic shear by weak gravitational lensing and galactic power spectrum
measured with EUCLID (VIS+NISP space-borne telescope by ESA)



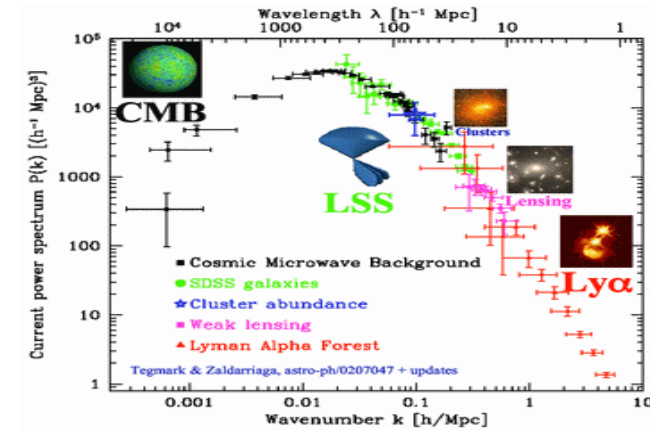
“..Euclid will very likely provide a positive detection of neutrino mass .., the exact nature of the neutrino mass spectrum remains out of its reach ..”

J. Hamann S. Hannestad Y.Y.Y. Wong
JCAP 11 (2012) 52, [arXiv:1209.1043](https://arxiv.org/abs/1209.1043)

Three complementary ways to the absolute neutrino mass scale

1) Cosmology

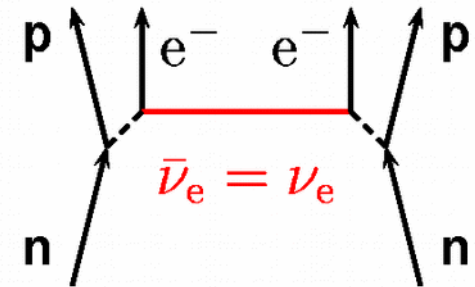
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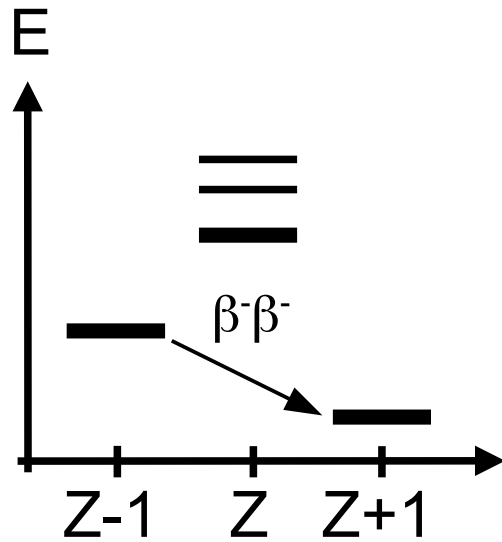
2) Search for $0\nu\beta\beta$

Sensitive to Majorana neutrinos

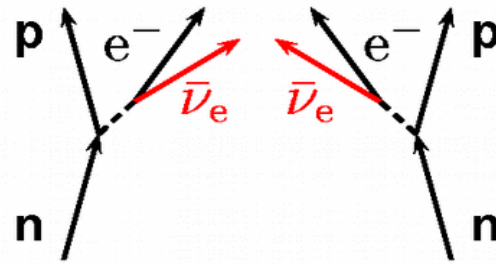
First upper limits (EXO-200, KamLAND-Zen, GERDA, CUORE-0)



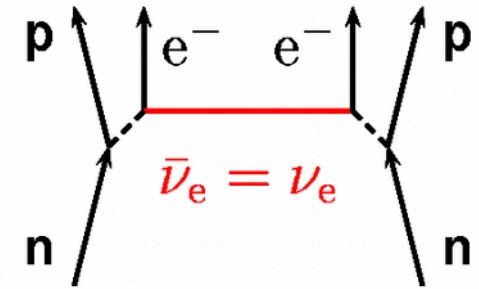
Double β decay



normal ($2\nu\beta\beta$)



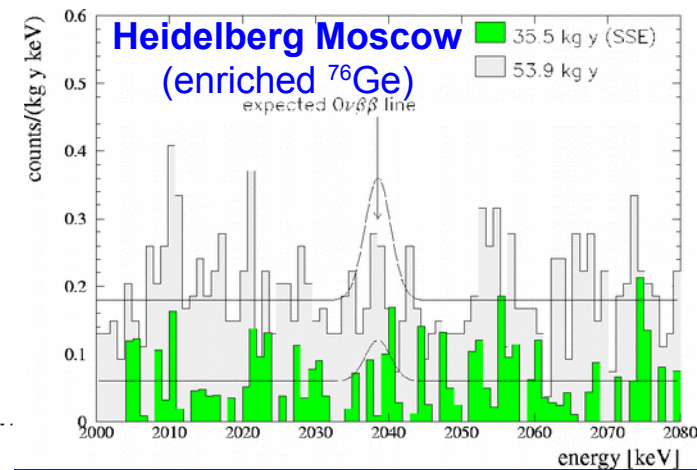
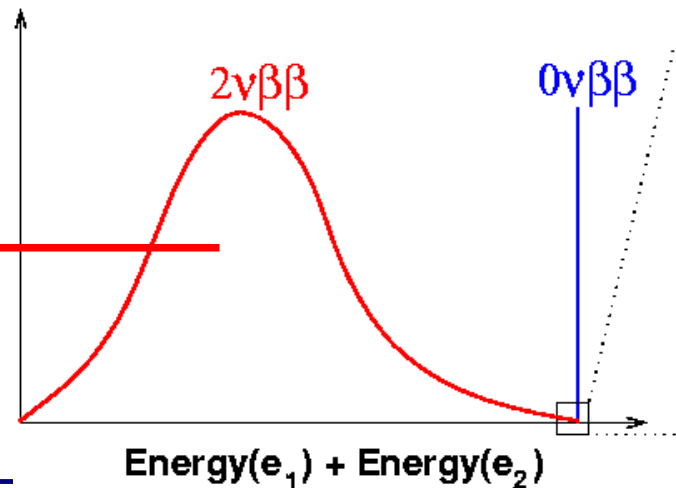
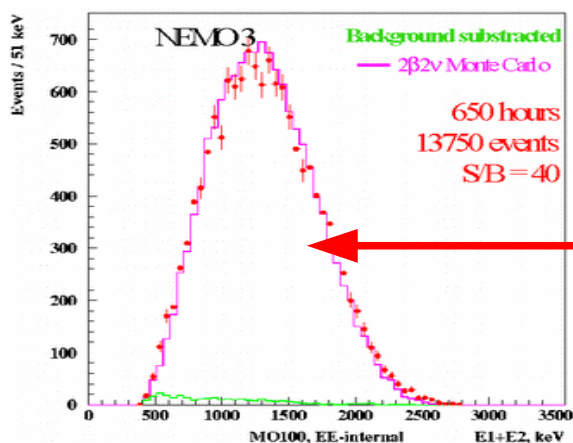
neutrinoless ($0\nu\beta\beta$)



$$m_{\beta\beta}(\nu) = \left| \sum |U_{ei}|^2 e^{i\alpha(i)} m(\nu_i) \right| \quad (\text{coherent})$$

a) $\nu = \bar{\nu}$ (Majorana)

b) unfavored helicity: $m(\nu) \neq 0$
or other new physics



Future on neutrino mass results from $0\nu\beta\beta$

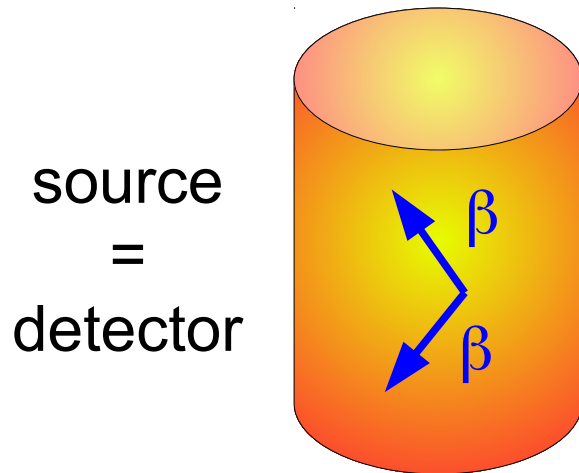
→ sensitivity: $T_{1/2} > 10^{26}$ yr , $m_{\beta\beta} < 100$ meV

$$m_{\beta\beta} \sim (1/\text{enrichment})^{1/2} \cdot (\Delta E \cdot bg / M \cdot t)^{1/4}$$

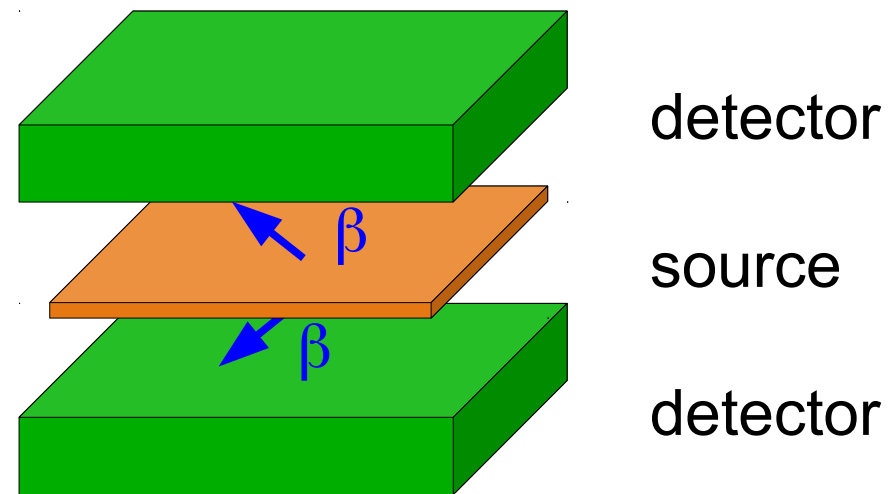
⇒ mass → > 100 kg, high enrichment, very low background *bg*

2 ways to measure both β -electrons:

semiconductor, cryogenic
bolometer, liquid scintillator



tracking calorimeter



very sensitive

gives more information on mechanism if observed

running: GERDA I/II, EXO-200, KamLAND-Zen
setting up: CUORE, SNO+, Majorana
planned: COBRA, Lucifer, AMORE, ...

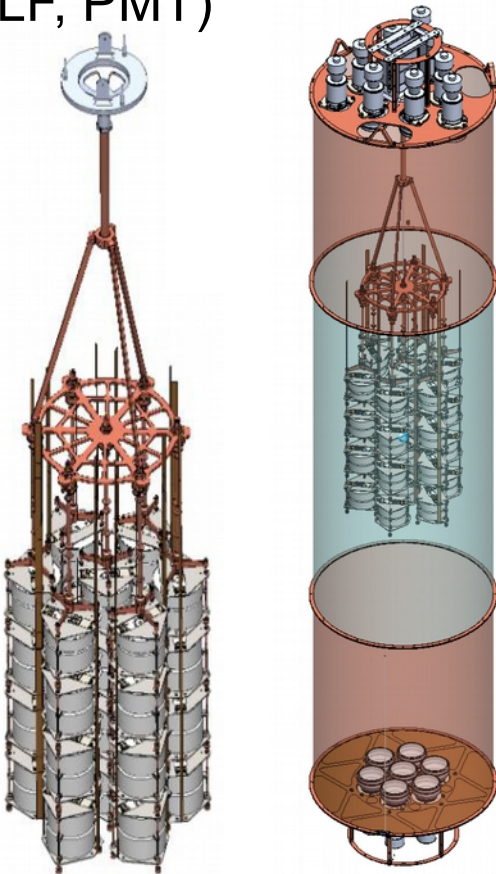
finished: NEMO-3
setting up: SuperNEMO
planned: MOON

Future on neutrino mass results from $0\nu\beta\beta$

→ sensitivity: $T_{1/2} > 10^{26}$ yr , $m_{\beta\beta} < 100$ meV

GERDA II @LNGS:

^{76}Ge , 38 kg better BEGe detectors
lower bg rate due to active veto
(WLF, PMT)



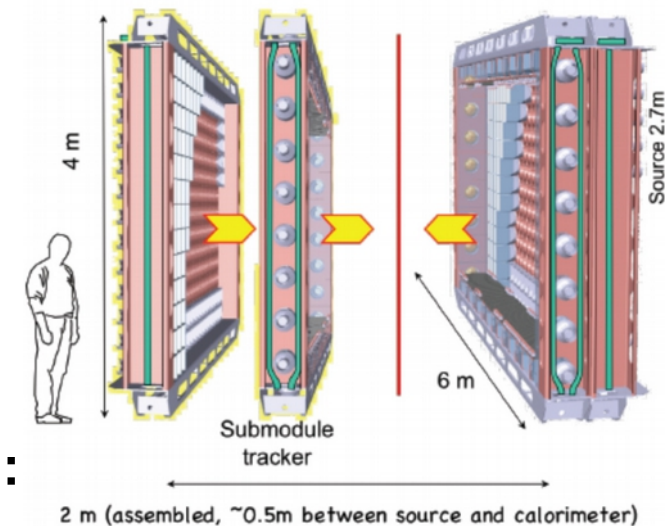
CUORE@LNGS:

^{130}Te : 988 TeO_2 crystals,
total detector mass:
nearly 1t at 10 mK!
bg goal reached
& demonstrated
(CUORE-0)



SuperNemo @Canfranc&LSM: demonstrator being built:

^{82}Se , aim: $6 \cdot 10^{24}$ yr



Other experiments:

^{130}Te : SNO+,

^{100}Mo : AMORE, LUNIEU

^{136}Xe : nEXO, NEXT, KamLAND-Zen

Majorana demonstrator at DUSEL:

^{76}Ge , similar goal as GERDA II

3 m diam. ballon: liquid scintillator loaded with enriched Xenon inserted into KamLAND

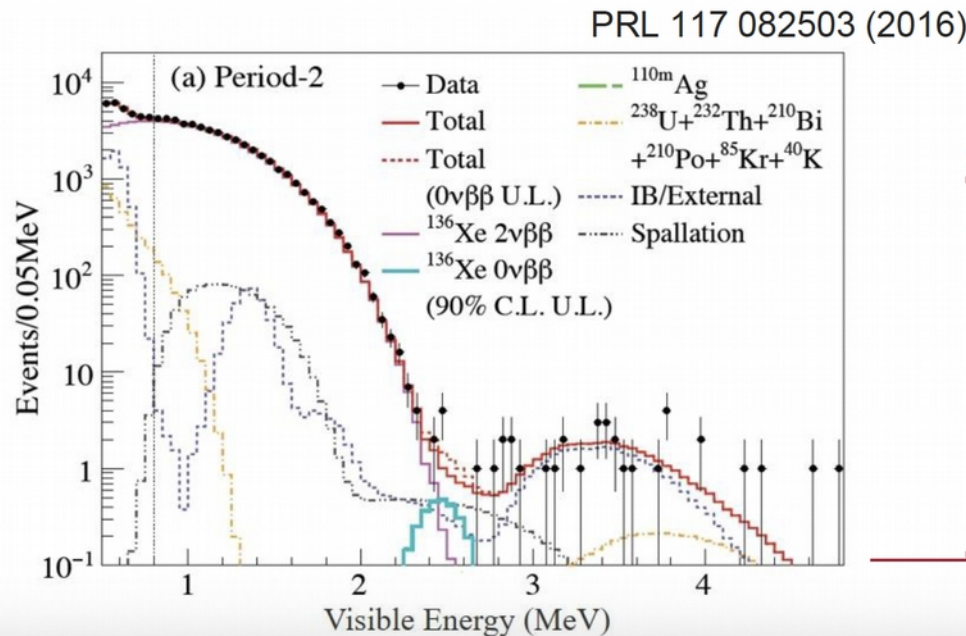
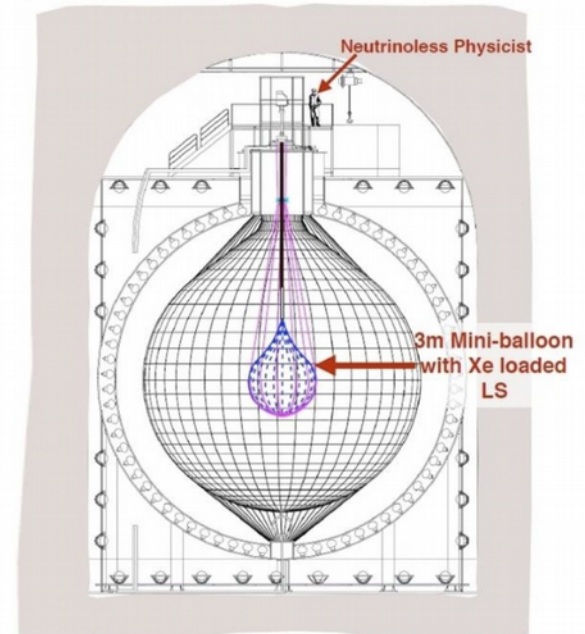
$\Delta E \sim 250$ keV FWHM

results: 383 kg Xe / 110 kg_{isotope} in FV
~ 600 kg·yr

$T_{1/2}^{0\nu\beta\beta} > 10.7 \cdot 10^{25}$ yr

sensitivity $5.6 \cdot 10^{25}$ yr

background in ROI $\sim 100 / \text{FWHM} \cdot t_{\text{isotope}} \cdot \text{yr}$



starting: KamLAND Zen 800

750kg Xe

goal sensitivity

$T_{1/2}^{0\nu\beta\beta} > 4.6 \cdot 10^{26}$ yr

background $\sim 10 / \text{FWHM} \cdot t_{\text{isotope}} \cdot \text{yr}$

plan: KamLAND2: 1000 kg

calorimetry at mK temperature
in natural TeO_2 crystals ^{130}Te (30%)

CUORE 750 kg TeO_2 (206 kg ^{130}Te)
988 crystals

results:

86.3 kg·yr (24 kg·yr ^{130}Te)

$\Delta E \sim 7.7$ keV FWHM

$$T_{1/2}^{0\nu\beta\beta} > 1.5 \cdot 10^{25} \text{ yr}$$

background in ROI

$$\sim 360 / \text{FWHM} \cdot t_{\text{isotope}} \cdot \text{yr}$$

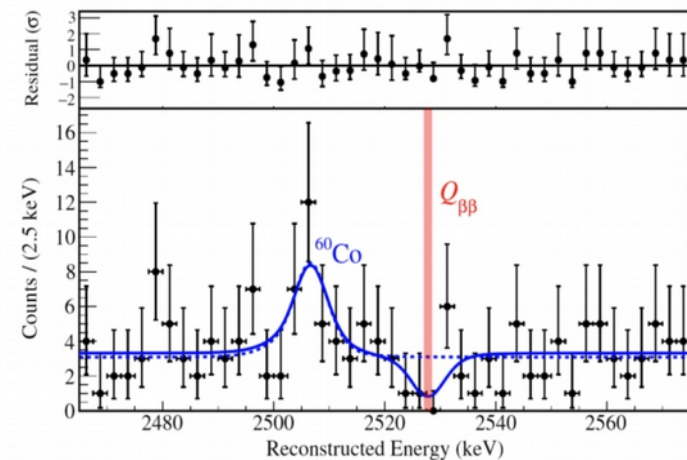
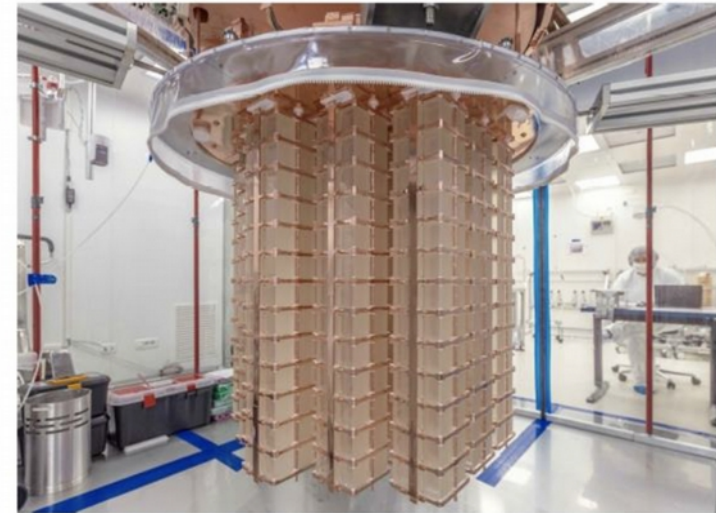
goals:

goal sensitivity

$$T_{1/2}^{0\nu\beta\beta} > 9.5 \cdot 10^{25} \text{ yr}$$

background

$$\sim 180 / \text{FWHM} \cdot t_{\text{isotope}} \cdot \text{yr}$$



Phys.Rev.Lett 120, 132501 (2018)

potential upgrade with calorimetry + light
CUORE => CUPID (might interest german groups)
AMORE (Korea) large funding, sensors from D

GERDA phase 2: ^{76}Ge

Majorana Demonstrator

26 kg·yr

background in ROI
15 / FWHM·t_{isotope}·yr

Neutrino 2018
conference

sensitivity $4.8 \cdot 10^{25}$ yr

$T_{1/2}^{0\nu\beta\beta} > 2.7 \cdot 10^{25}$ yr

GERDA:

82.4 kg·yr total exposure

background in ROI
2 / FWHM·t_{isotope}·yr

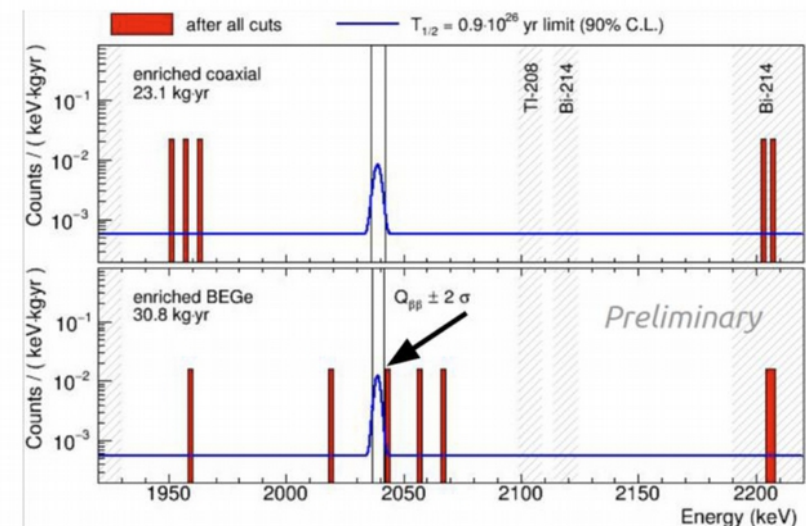
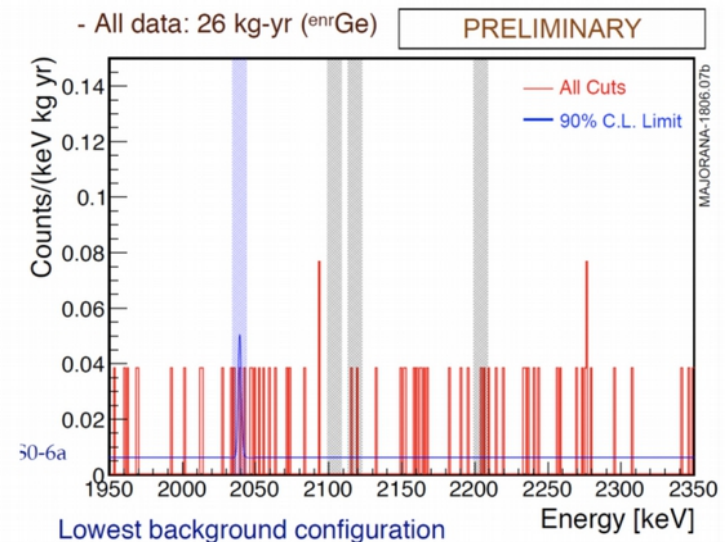
sensitivity $11 \cdot 10^{25}$ yr

$T_{1/2}^{0\nu\beta\beta} > 9 \cdot 10^{25}$ yr

first background free $0\nu\beta\beta$ experiment

→ potential for discovery (up to $\sim 10^{26}$ yr)

makes sense to grow larger (background goal for LEGEND 200 alm ostrained)



LEGEND: ^{76}Ge

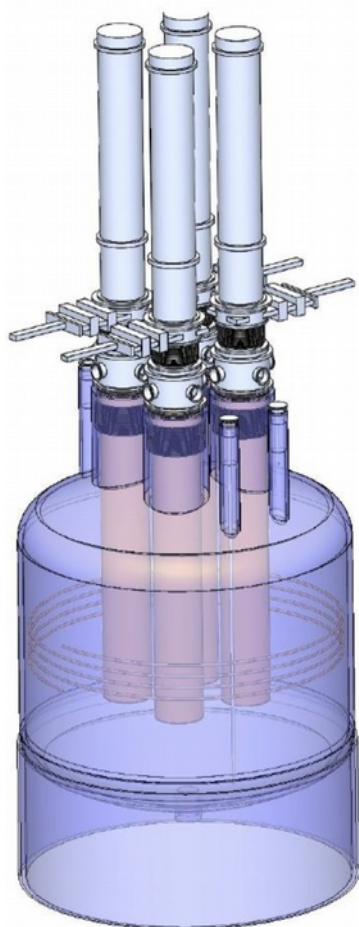
new collaboration formed LEGEND

Majorana + GERDA members + others

use GERDA concept and
staged approach to 1000kg



⇒ one worldwide collaboration on ^{76}Ge



LEGEND 200: first 200kg in GERDA setup @ Gran Sasso

- starting 2021
- ^{76}Ge available for 150kg of detectors
- funded by NSF, INFN, MPI, BMBF

sensitivity $> 10^{27}$ yr

⇒ Still needs some ^{76}Ge , but 90% fundend for ~ 190 kg...

background level amost reached (needs x3 improvement cmp. GERDA)

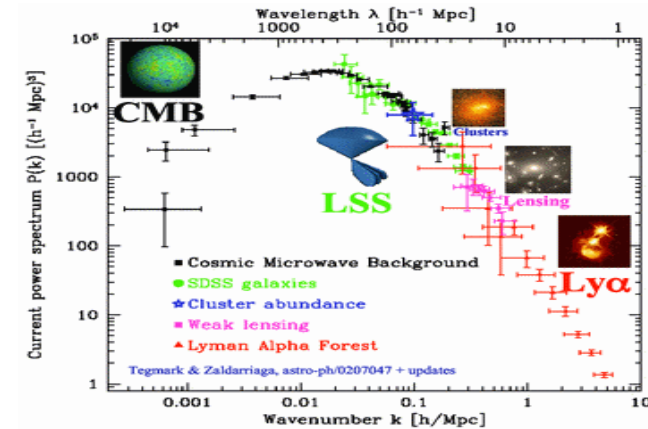
sensitivity $> 10^{28}$ yr

LEGEND 1000: 1000kg phase depends on US down selection process
same for nEXO

Three complementary ways to the absolute neutrino mass scale

1) Cosmology

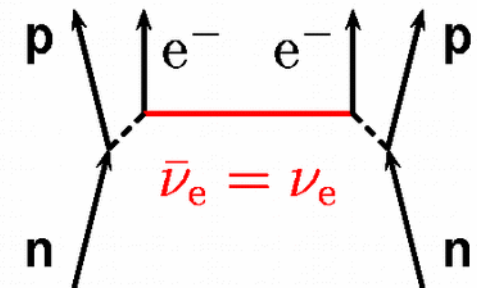
very sensitive, but model dependent
compares power at different scales
current sensitivity: $\Sigma m(\nu_i) \approx 0.23 \text{ eV}$



2) Search for $0\nu\beta\beta$

Sensitive to Majorana neutrinos

First upper limits (EXO-200, KamLAND-Zen, GERDA, CUORE-0)



3) Direct neutrino mass determination:

No further assumptions needed, use $E^2 = p^2c^2 + m^2c^4 \Rightarrow m^2(\nu)$ is observable mostly

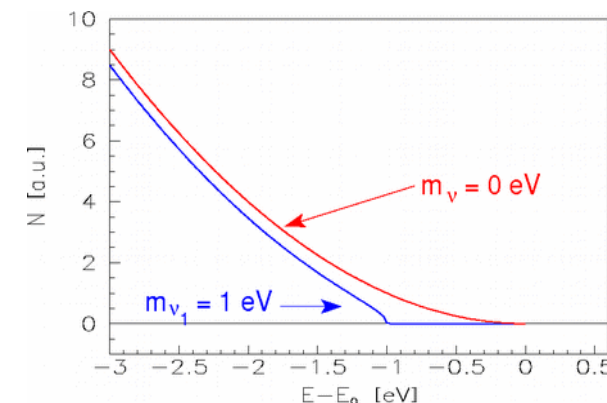
Time-of-flight measurements (ν from supernova)

SN1987a (large Magellan cloud) $\Rightarrow m(\nu_e) < 5.7 \text{ eV}$

Kinematics of weak decays / beta decays

measure charged decay prod., E-, p-conservation

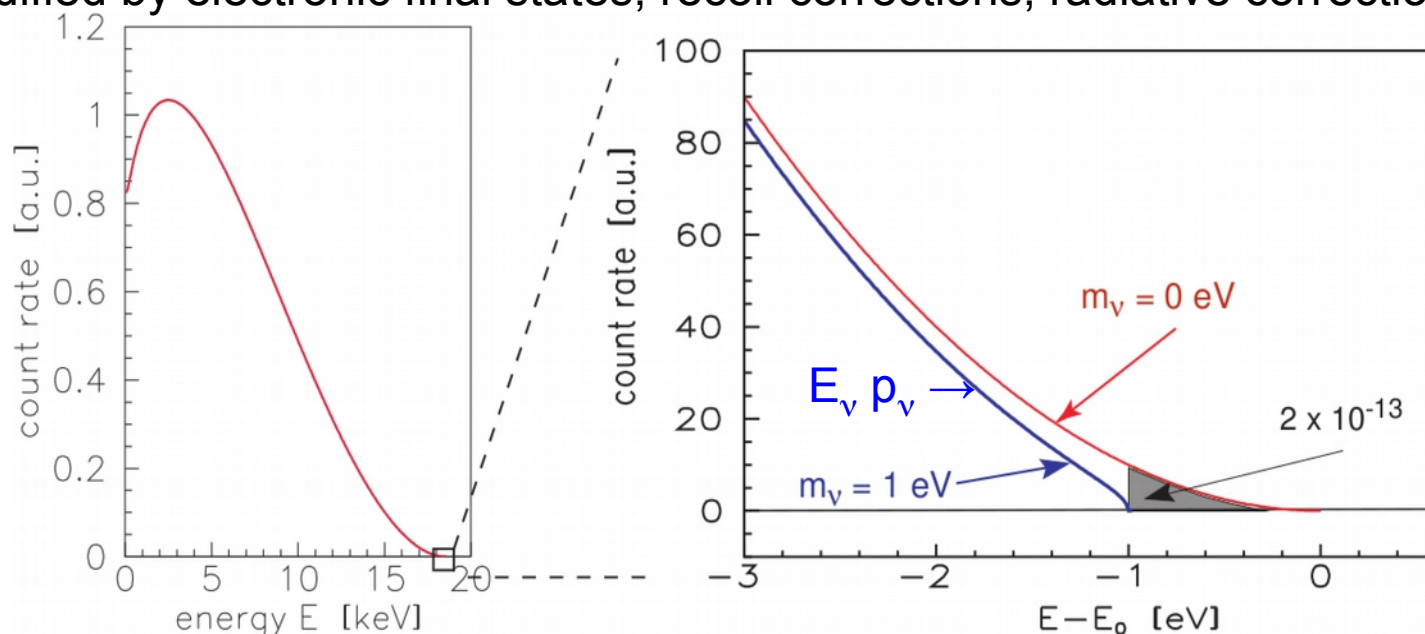
- β -decay searches for $m(\nu_e)$ - tritium, ^{187}Re β -spectrum
- ^{163}Ho electron capture (EC)



Direct determination of “ $m(\nu_e)$ ” from β -decay (and EC)

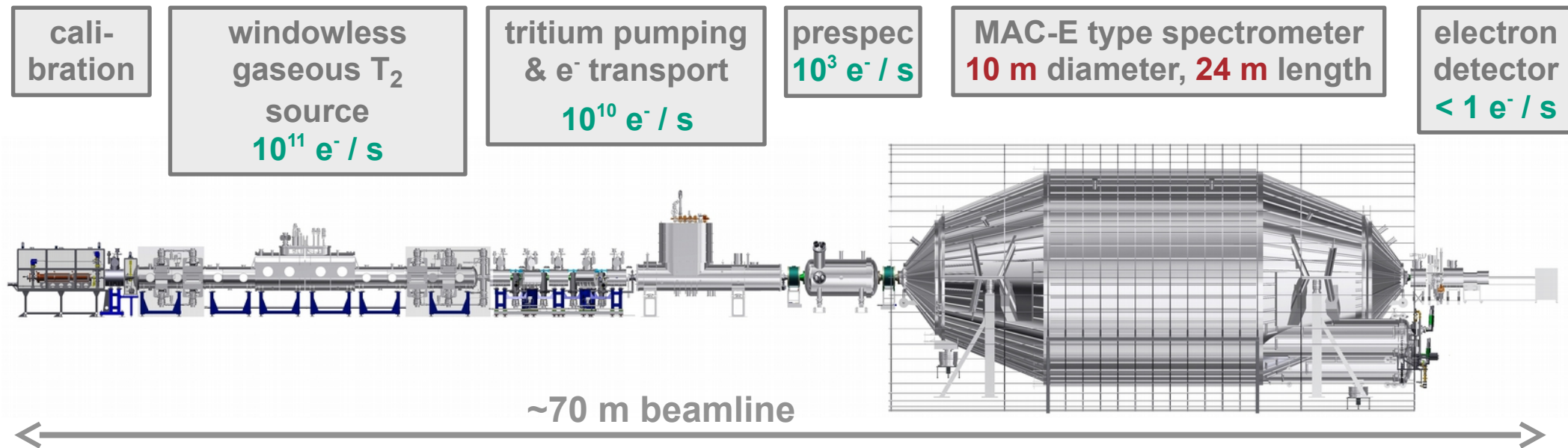
$$\beta: dN/dE = K \underbrace{F(E,Z)}_{\text{essentially phase space: } p_e} \underbrace{p}_{E_{\text{tot}}} \underbrace{(E_0 - E_e)}_{E_\nu} \underbrace{\sum |U_{ei}|^2 \sqrt{(E_0 - E_e)^2 - m(\nu_i)^2}}_{p_\nu}$$

with “electron neutrino mass”: “ $m(\nu_e)^2$ ” := $\sum |U_{ei}|^2 m(\nu_i)^2$, complementary to $0\nu\beta\beta$ & cosmol.
(modified by electronic final states, recoil corrections, radiative corrections)



Need: low endpoint energy $\Rightarrow$ Tritium ^3H (^{163}Ho)
 very high energy resolution &
 very high luminosity &
 very low background $\Rightarrow$ MAC-E-Filter
 (or cryobolometer for ^{163}Ho)

The KATRIN experiment at Karlsruhe Institute of Technology



Basic ideas of KATRIN:

- **Windowless gaseous molecular tritium source**
→ ultra-high luminosity and small systematics
- **Huge spectrometer of MAC-E-Filter type**
→ ultra-high energy resolution

Sensitivity on $m(\nu_e)$:
2 eV → 200 meV

The KATRIN experiment at Karlsruhe Institute of Technology

cali-
bration

windowless
gaseous T_2
source
 $10^{11} e^- / s$

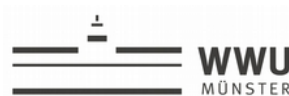
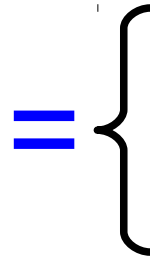
tritium pumping
& e^- transport
 $10^{10} e^- / s$

prespec
 $10^3 e^- / s$

MAC-E type spectrometer
10 m diameter, **24 m** length

electron
detector
 $< 1 e^- / s$

~70 m beamline



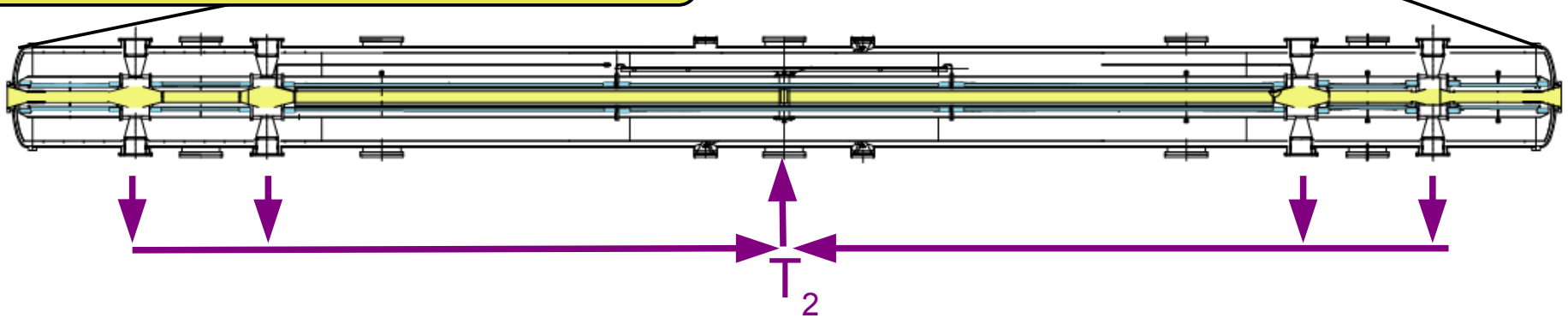
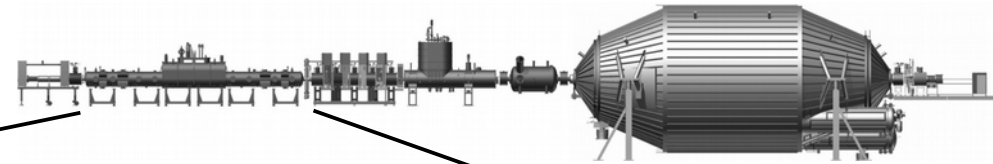
The international KATRIN Collaboration

Windowless Gaseous Molecular Tritium Source WGTS

per mill stability source strength request:

$$dN/dt \sim f_T \cdot N / \tau \sim n = f_T \cdot p \cdot V / R T$$

tritium fraction f_T & ideal gas law



WGTS: tube in long superconducting solenoids
 $\varnothing$ 9cm, length: 10m, $T = (30 \pm 0.03)$ K

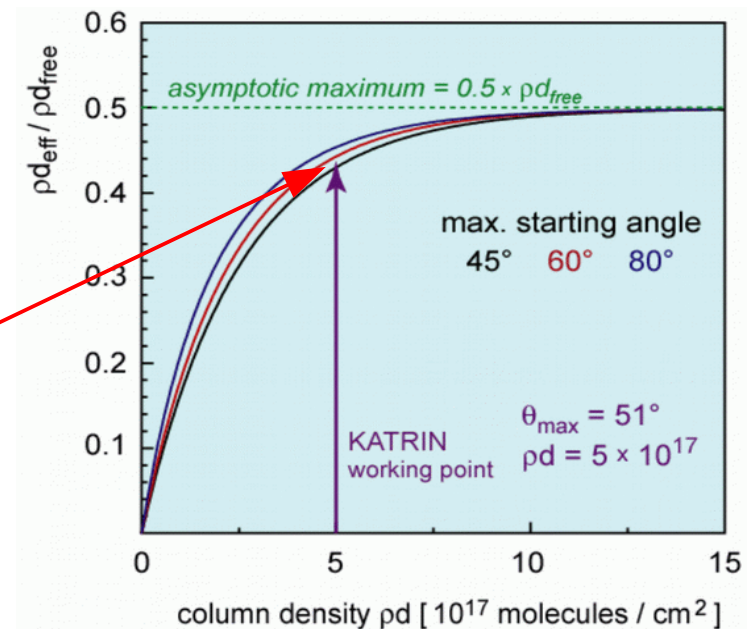
Tritium recirculation (and purification)

$$p_{inj} = (3 \pm 0.003) \mu\text{bar}, q_{inj} = 4.7\text{Ci/s}$$

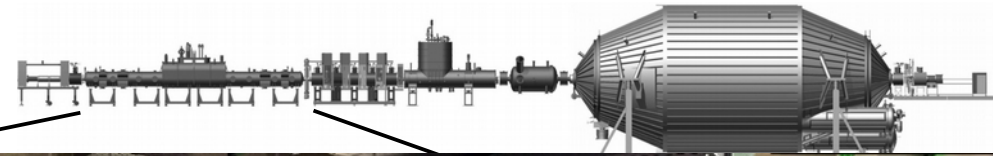
T_2 purity f_T by laser Raman spectr.

→ $\rho d = 5 \cdot 10^{17}/\text{cm}^2$
 measure with near to maximum
 count rate with small systematics

check column density by e-gun



Windowless Gaseous Molecular Tritium Source WGTS



WGTS at Tritium Laboratory Karlsruhe

WGTS: tube in long superconducting solenoids
 $\varnothing$ 9cm, length: 10m, $T = (30 \pm 0.03)$ K

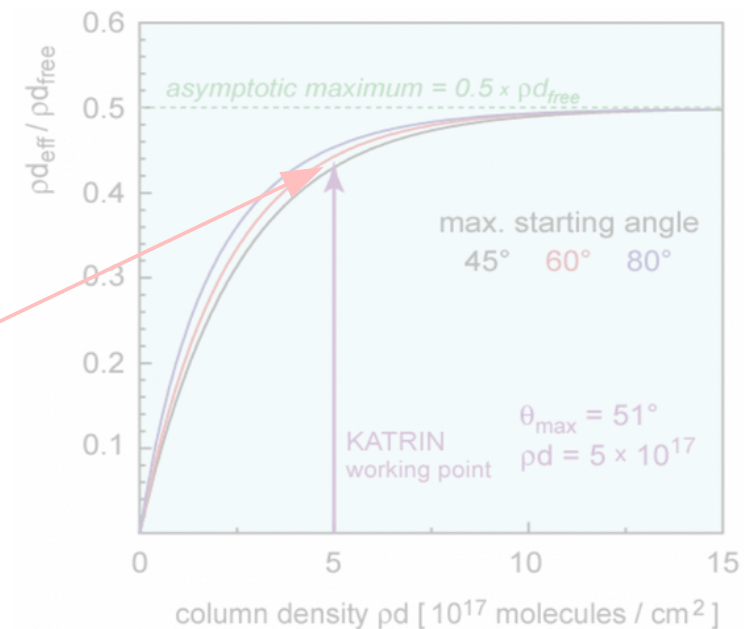
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$p_{inj} = (3 \pm 0.003)$ μ bar, $q_{inj} = 4.7$ Ci/s

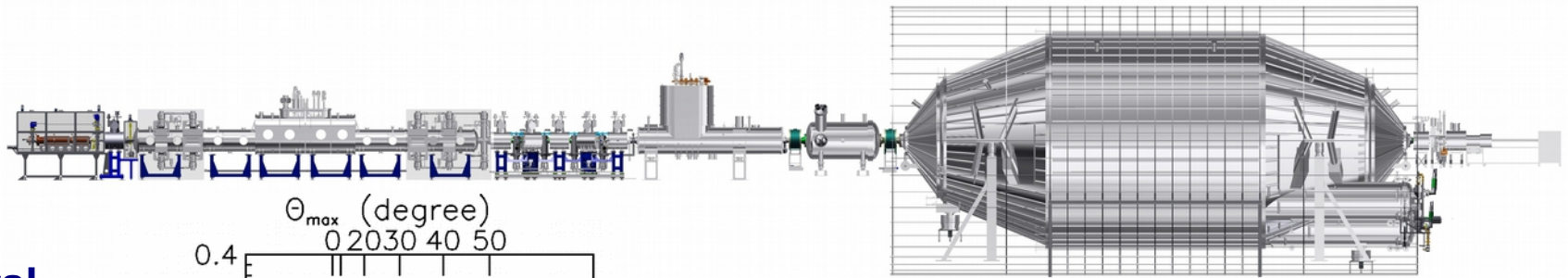
T_2 purity f_T by laser Raman spectr.

$\rightarrow \rho d = 5 \cdot 10^{17}/\text{cm}^2$
 measure with near to maximum
 count rate with small systematics

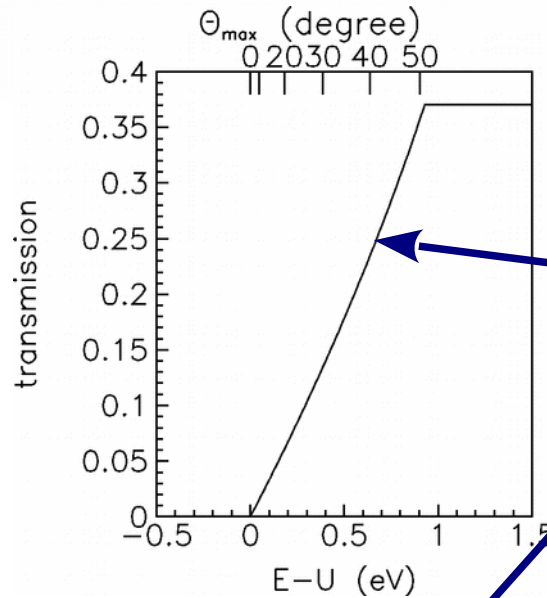
check column density by e-gun



The KATRIN Main Spectrometer: an integrating high resolution MAC-E-Filter



**Integral
transmission
function:**
 ΔE
 $= E \cdot B_{\min} / B_{\max}$
 $= 0.93 \text{ eV}$



18.6 kV retardation voltage, $\sigma < 60 \text{ meV/years}$

Energy resolution (0% $\rightarrow$ 100% transmission): 0.93 eV

Ultra-high vacuum, pressure $< 10^{-11} \text{ mbar}$

Air coils for earth magnetic field compensation

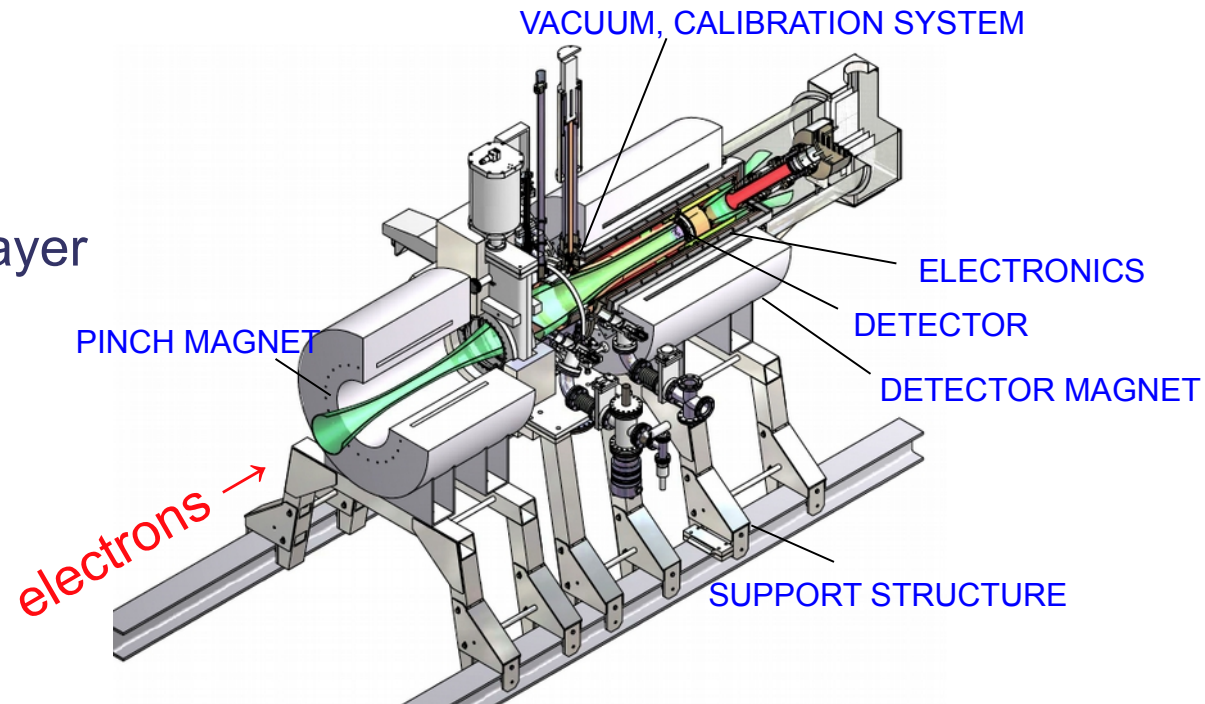
Double layer wire electrode for background reduction and field shaping



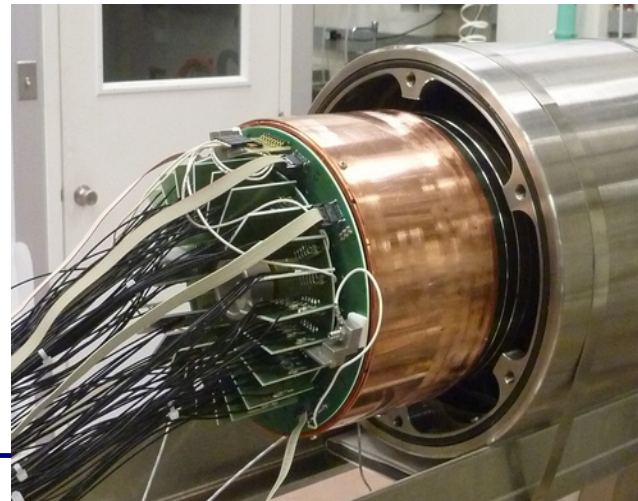
Focal Plane Detector

Focal plane detection system

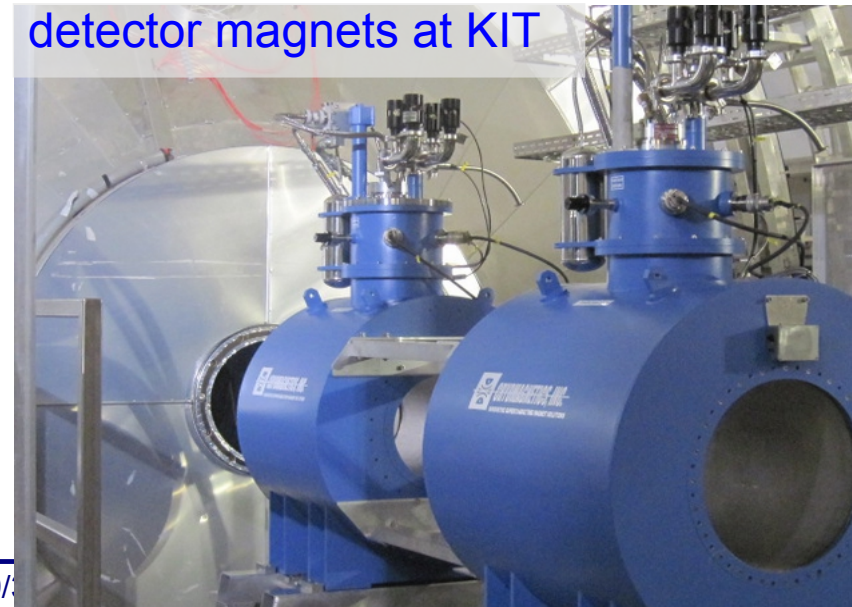
segmented Si PIN diode:
90 mm Ø, 148 pixels, 50 nm dead layer
energy resolution ≈ 1 keV
pinch and detector magnets up to 6 T
post acceleration (10kV)
active veto shield



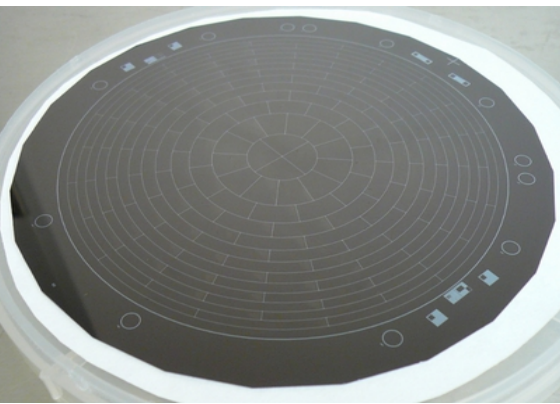
pre-amplifier wheel



detector magnets at KIT

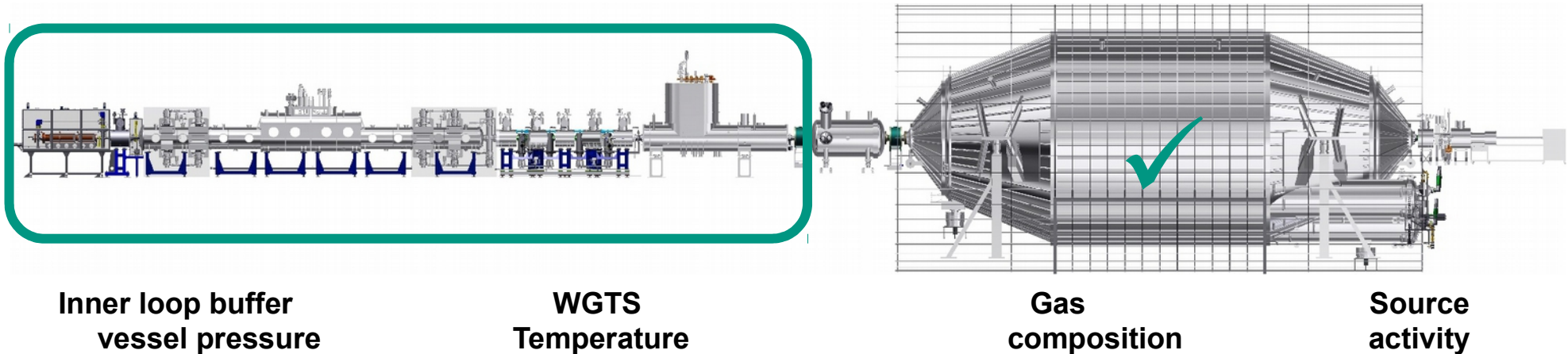
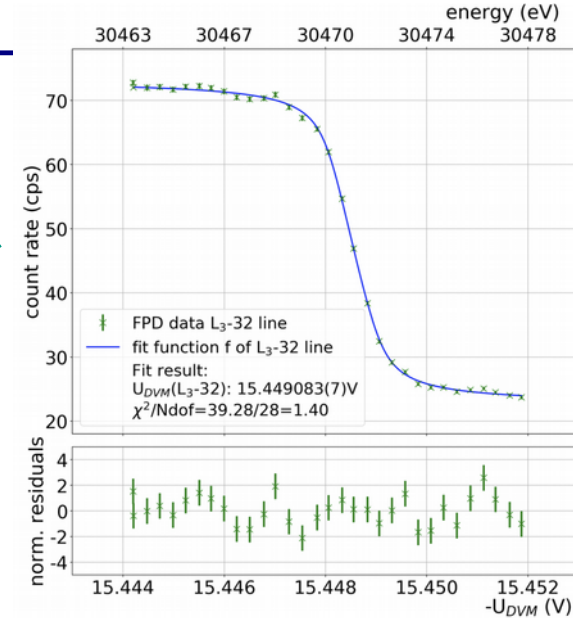


segmented Si-PIN wafer



Status of KATRIN before May/June 2018

- KATRIN will investigate $m(\nu_e)$ with 200 meV sensitivity by employing
 - a high-resolution MAC-E filter with < 1 eV energy resolution (e.g. M. Arenz et al., EPJ C 78 (2018) 368) ✓
 - and an ultra-stable high-luminosity windowless gaseous molecular tritium source



Active regulation
Stability $< 0.05\%$

Priester et al.
Vacuum 116
(2015) 42 ✓

Two-phase Ne cooling
Stability $< 1.5\text{mK/h}$ @ 30 K

Grohmann et al.
Cryogenics 55-56,
(2013) 5 ✓

Raman spectroscopy
Precision $< 0.1\%$ in 20 s

M. Schlösser et al.
J. Mol. Struct. 1044,
24 (2013) 61 ✓

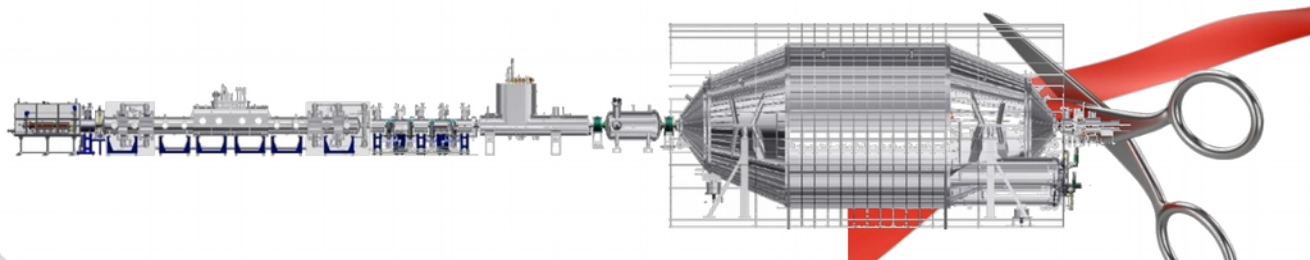
Not yet demonstr

May/June 2018: KATRIN inauguration & first tritium campaign (commissioning)

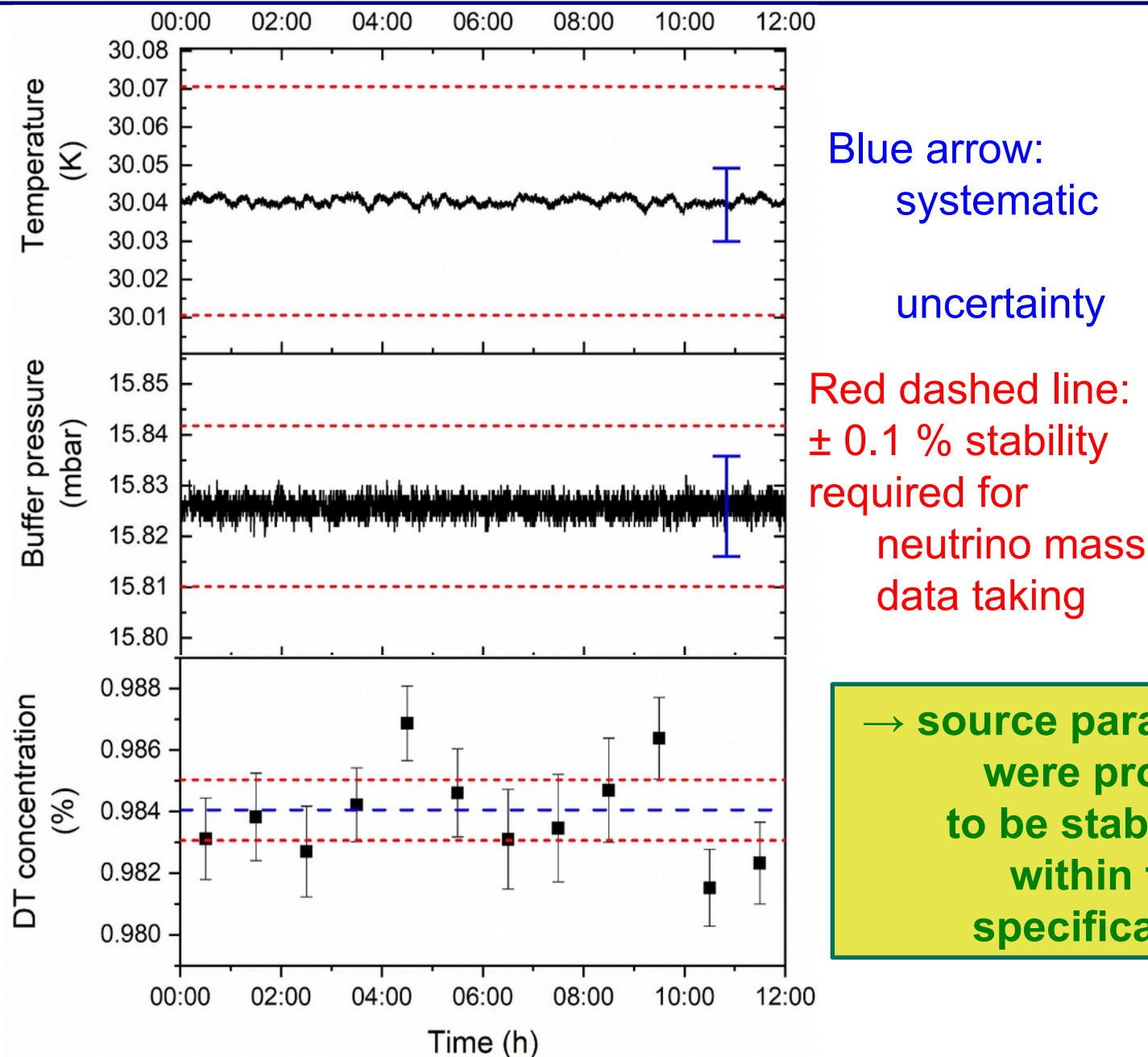
Motivation:

method: inject known gas mix from prepared cylinders (80% of nominal pd, ~1% DT and ~99% D₂ corresponds to <1% of nominal activity ≈ 500 MBq)

verify functionality of all system components and demonstrate 0.1% global stability
study beta spectrum for systematic effects and test analysis strategies

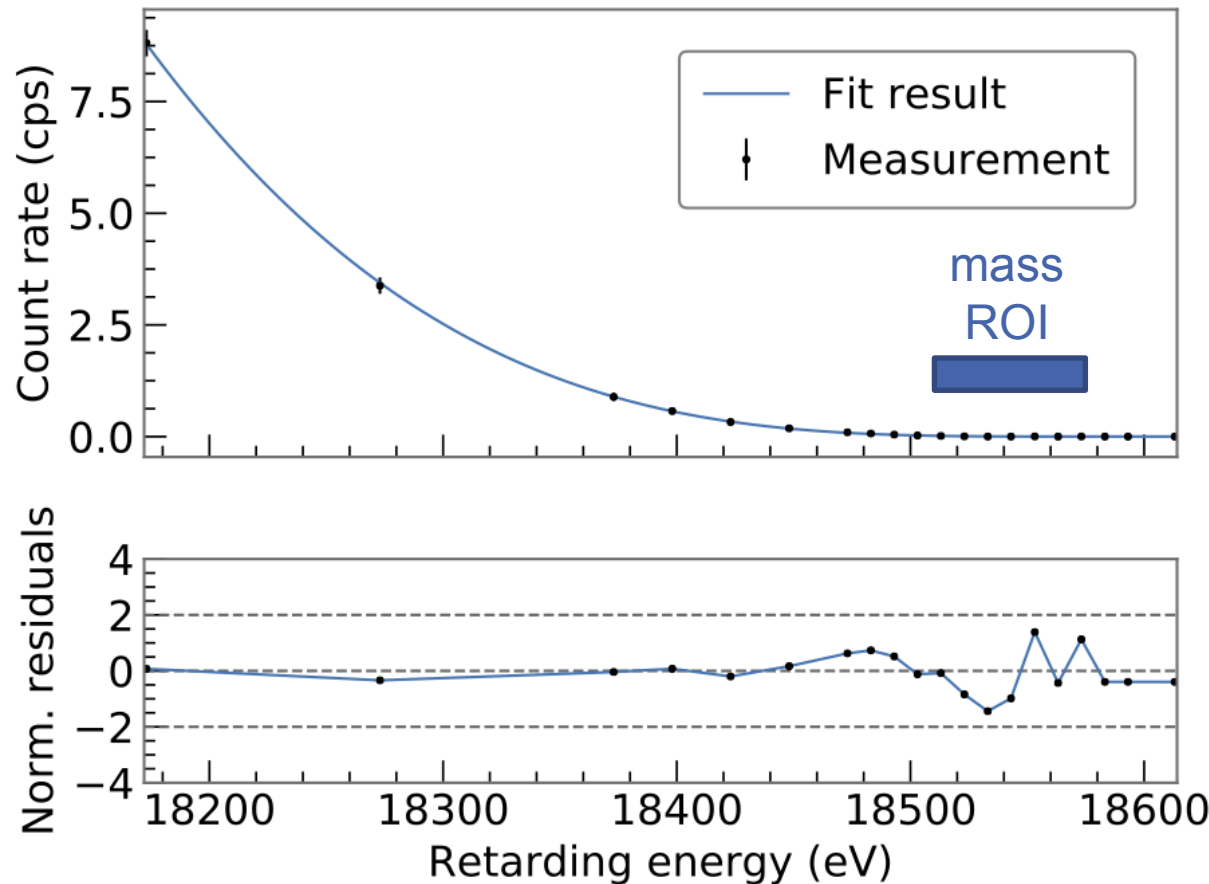


First tritium campaign: Stability of source parameters during 12 h



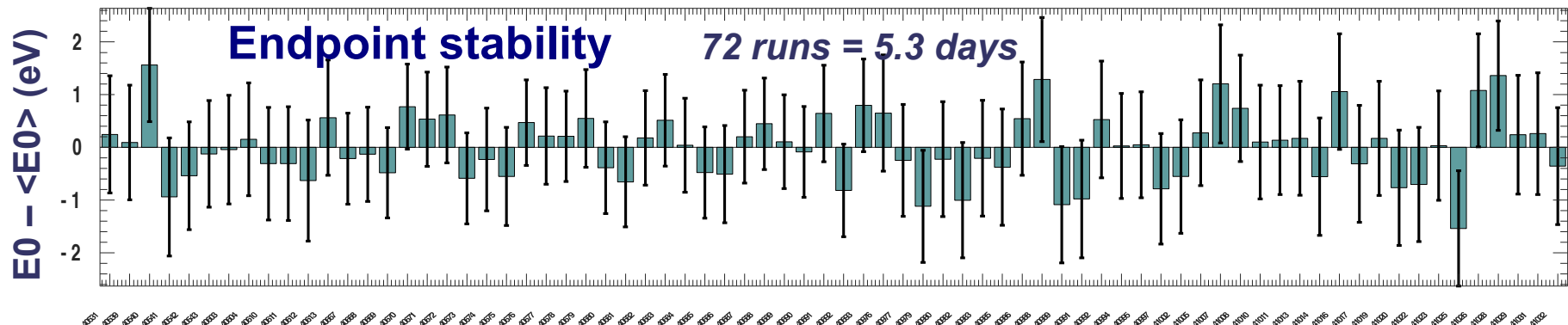
→ source parameters
were proven
to be stable and
within the
specifications

Tritium spectrum fit (example)

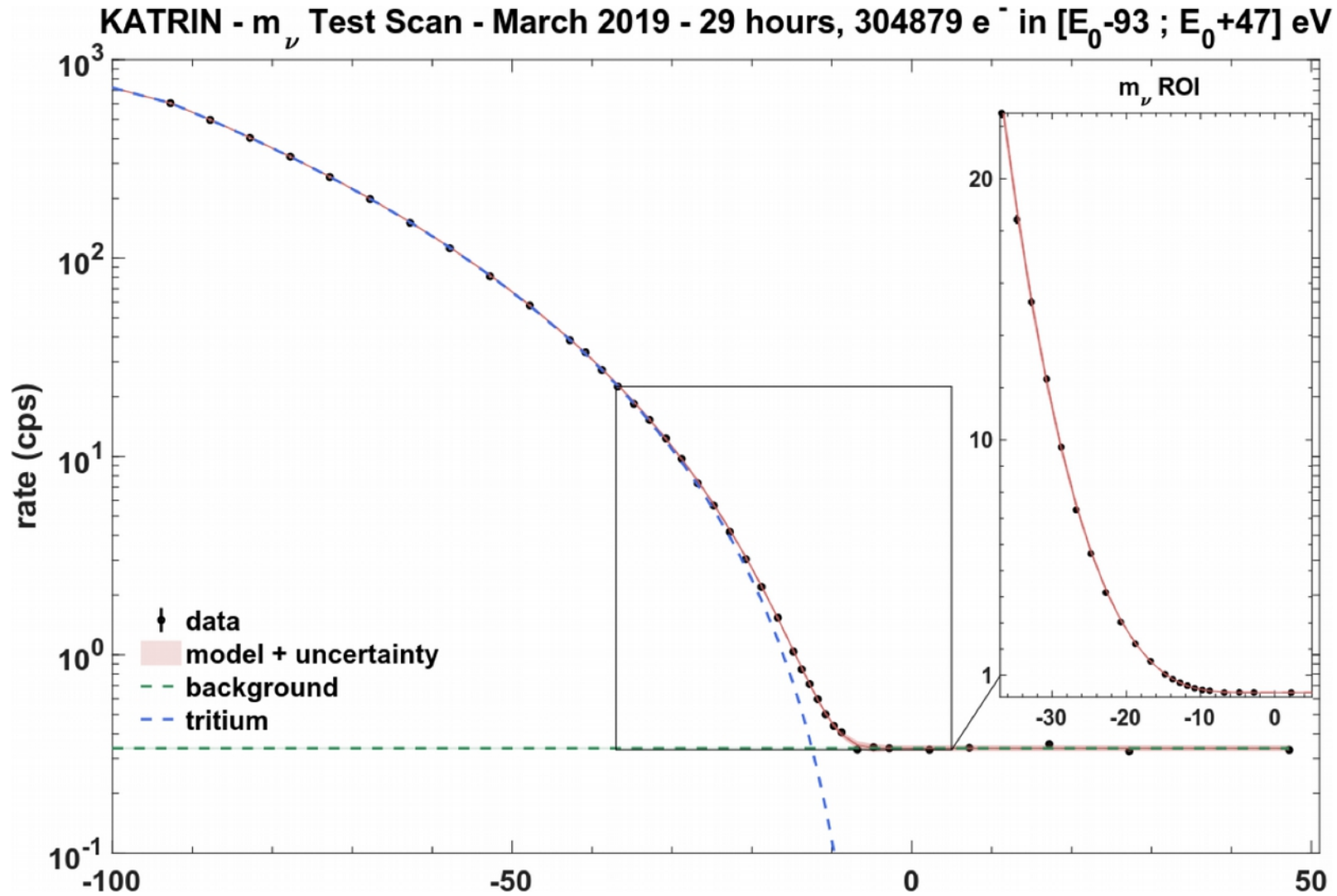


- Single run (3h), analysis of single detector pixel
- ROI extended to 400 eV
- Statistics only

→ very good agreement between data and fit (shape and estimated absolute rate!) & very stable



KATRIN's first science run in 2019

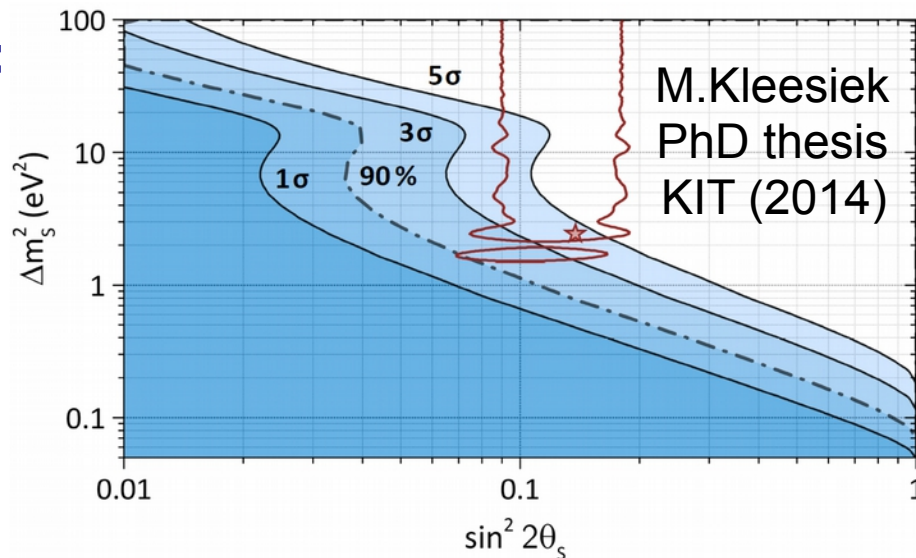


KATRIN will measure an ultra-precise β -spectrum → search for physics beyond the SM

Sterile neutrinos

$$dN/dE = K F(E,Z) p E_{\text{tot}} (E_0 - E_e) \left(\cos^2(\theta) \sqrt{(E_0 - E_e)^2 - m(\nu_{1,2,3})^2} + \sin^2(\theta) \sqrt{(E_0 - E_e)^2 - m(\nu_4)^2} \right)$$

eV ν :



see e.g.:

J. A. Formaggio, J. Barret, PLB 706 (2011) 68

A. Seiersen Riis, S. Hannestad, JCAP02 (2011) 011

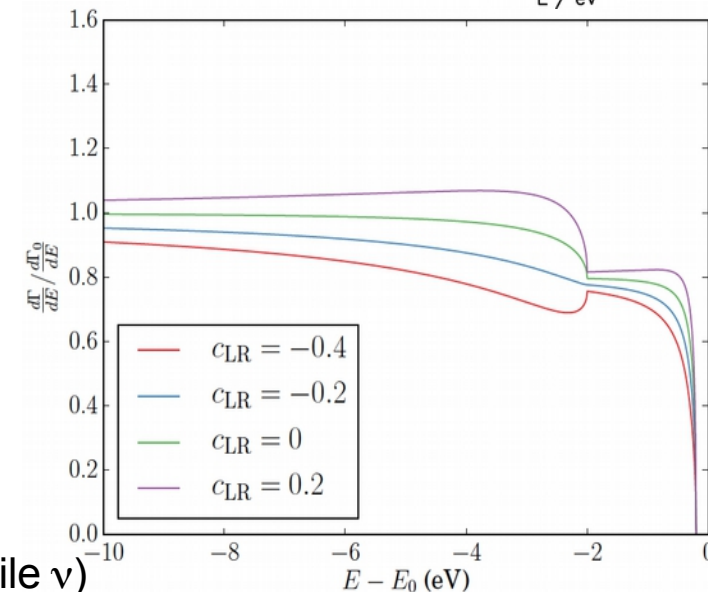
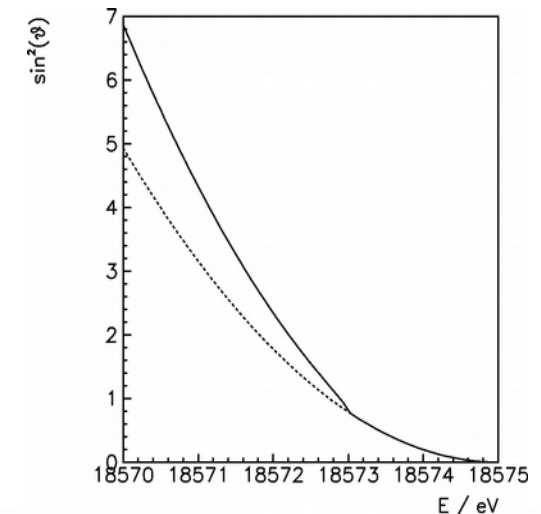
see e.g. Esmaili, O.L.G. Peres, arXiv:1203.2632

S. Mertens et al., JCAP 02 (2015) 020

M. Drewes et al. JCAP 01 (2017) 025

non SM currents, ...

see e.g.: N. Steinbrink et al., JCAP 6 (2017) 15 (RH currents & sterile ν)



Sterile neutrino search with KATRIN: upgrading detector by the project TRISTAN

Extension of KATRIN to search for eV – keV sterile neutrinos

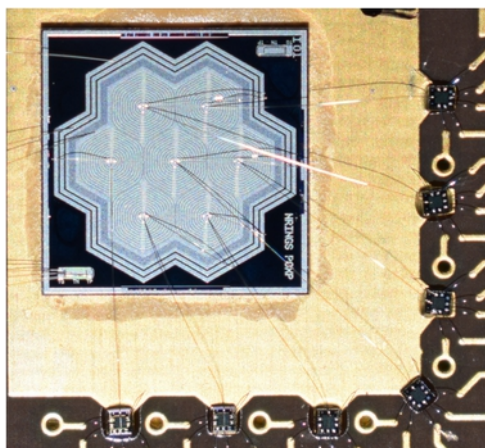
Tiny, but characteristic signal further away from the endpoint

Challenge:

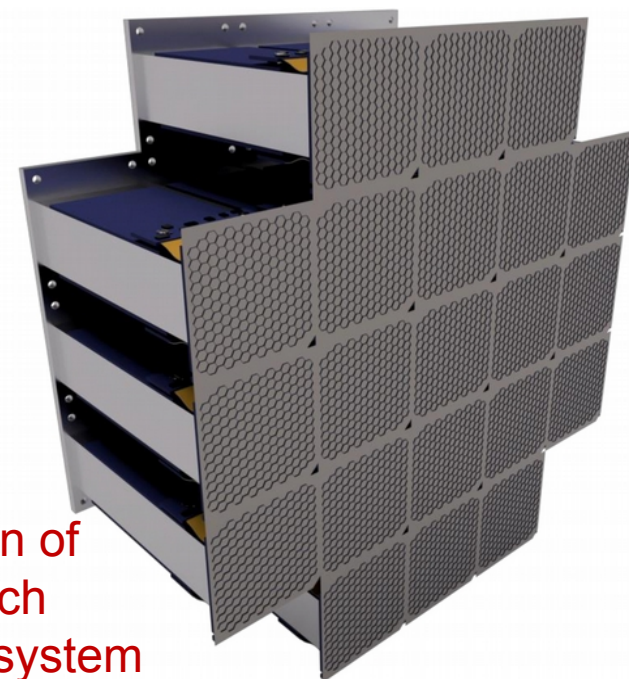
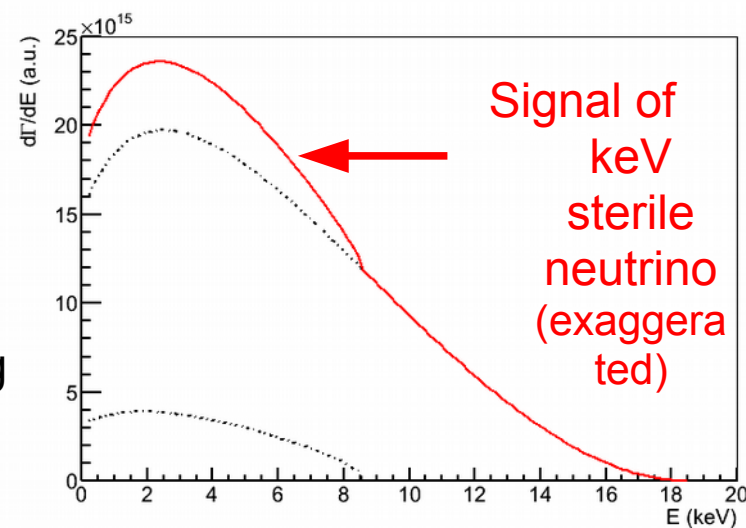
ppm sensitivity needed (high statistics, small systematics)
→ New detector system!

R&D of multi-pixel Silicon Drift Detector (SDD) system ongoing

Excellent performance
demonstrated with
prototype detector system



7 pixel TRISTAN
prototype



Design of
3500 ch
SDD system

S. Mertens, T. Lasserre *et al.* Phys.Rev. D91 (2015) 4, 042005
S. Mertens, K. Dolde, M. Korzeczek, *et al.* JCAP 1502 (2015) 02, 020
K. Dolde, S. Mertens, D. Radford *et al.* NIM-A 848 (2017)
M. Drewes *et al.*, JCAP 1701 (2017) no.01, 025
A. Boyarsky, M. Drewes, T. Lasserre, S. Mertens, O. Ruchayskiy,
arXiv:1807.07938
S. Mertens, *et al.*: arXiv:1810.06711 [physics.ins-det] (2018)

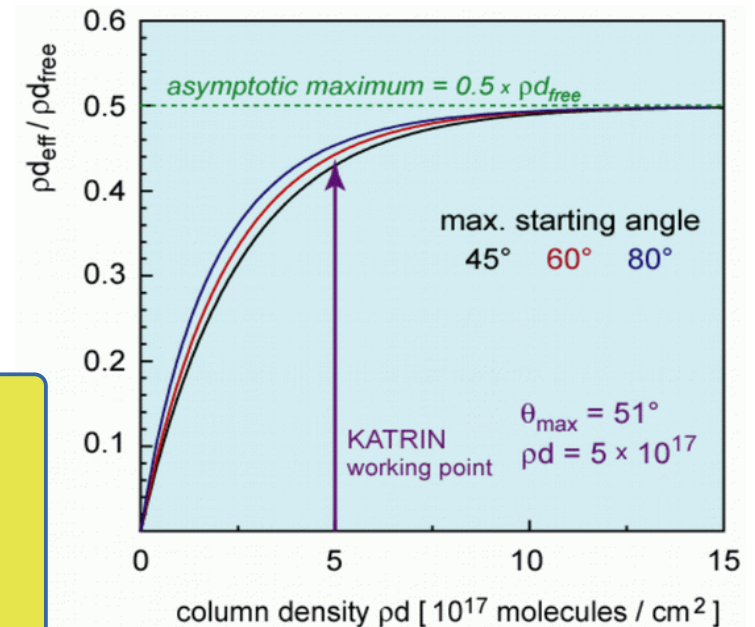
KATRIN's sensitivity of 200 meV might not be enough

Can we go beyond or improve KATRIN ?

Problem: The KATRIN source is already opaque
 → need to increase size transversally
 magnetic flux tube conservation
 requests larger spectrometer too
 but a Ø100m spectrometer is not feasible

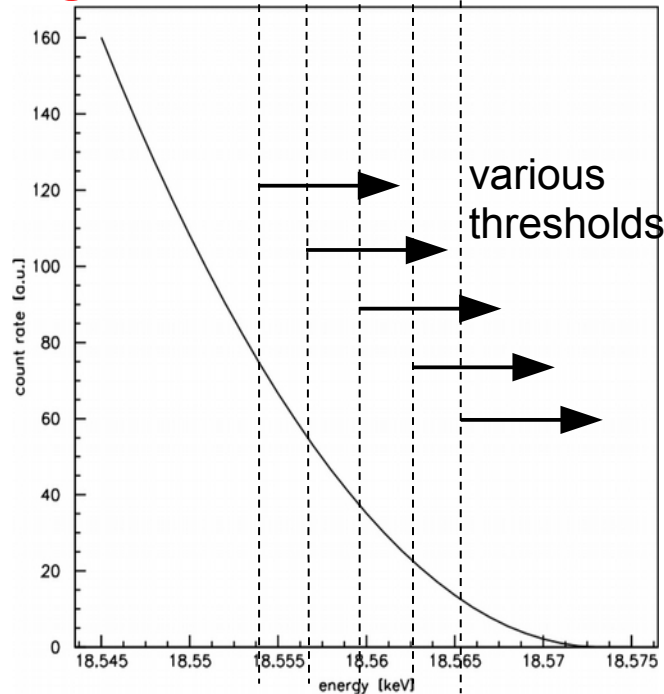
Possible ways out:

- a) make better use of the electrons by differential measurement (e.g. cryo bolometer array or TOF) additional to integral threshold:
 → measure all retarding voltage settings at once
 additional benefit: possible background reduction



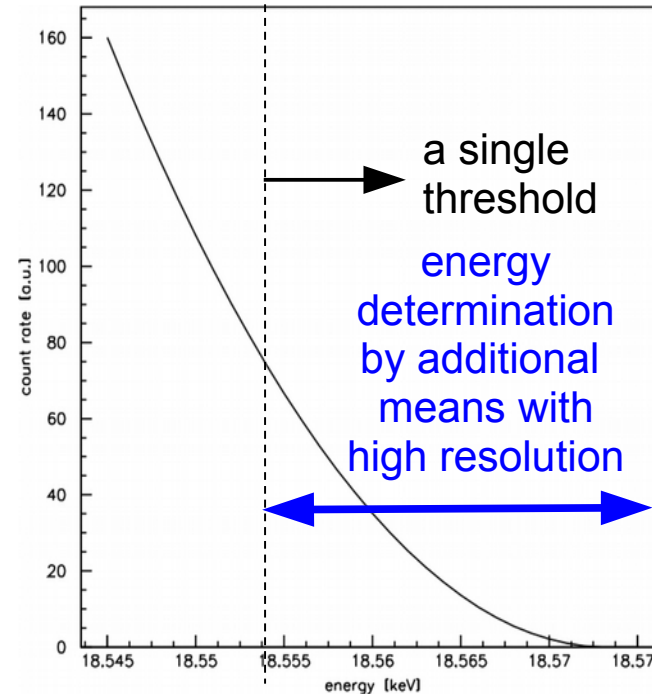
Gain of additional differential method avoiding loss of statistics by many filter settings

Integral MAC-E-Filter method



need many retardation voltages,
about 40 different settings,
to obtain spectral information

add. differential measurement



need one retardation voltage to limit count rate
and use other means, e.g. high-res. detector
to obtain spectral information

→ **Differential method: expect naively statistical improvement**
in m_v^2 of up to a factor $\sqrt{40}$ w.r.t. standard KATRIN,
i.e. up to a factor of 2.5 in m_v w.r.t. standard KATRIN !
→ **KATRIN could reach < 100 meV with such a method**

Numbers are in
agreement with
simulations in
dipl. thesis of
A. Mertens,
KIT, 2012

Possible ways for an add. differential method at KATRIN

1) Cryo bolometer detector array

Problem: In the KATRIN setup the electrons are guided and adiabatically collimated by axial magnetic fields with conserved magnetic flux: 134 Tcm^2 (70% of KATRIN default magnetic fields)

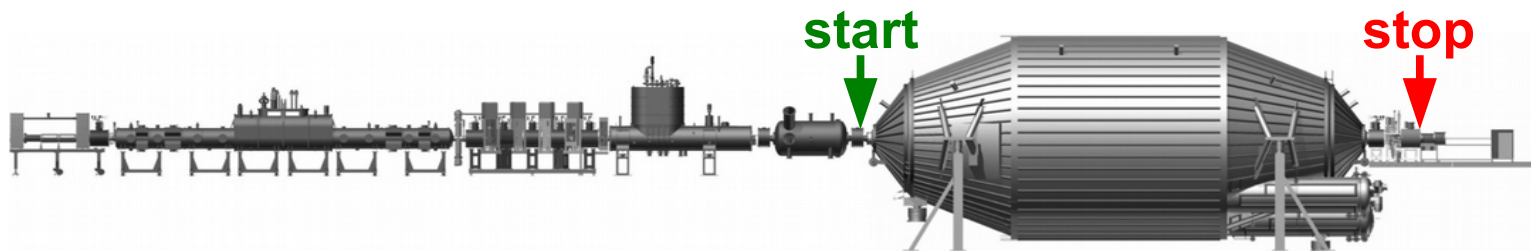
→ Tesla magnetic fields at cryo-bolometer array

Is there a cryo bolometer technology compatible with this?

Or can we separate magnetically the electron absorber from the temperature read-out?

2) Measurement of time of flight

Works in principle since electrons are strongly retarded by the MAC-E-Filter, please see *N. Steinbrink et al., NJP 15 (2013) 113020*



Problem: Can we build an electron tagger to measure the time of start with only little disturbance?

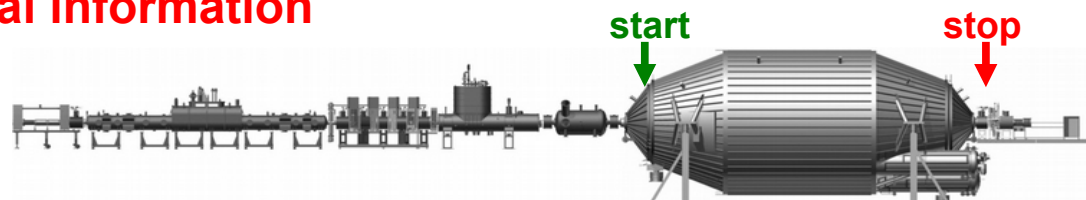
There are some ideas, which are not excluded by first principles ..

Bonus of any differential method: could significantly lower background !

Background mitigation & enhancement of sensitivity by time-of-flight methods (differential method)

Idea: measure time-of-flight to get additional information

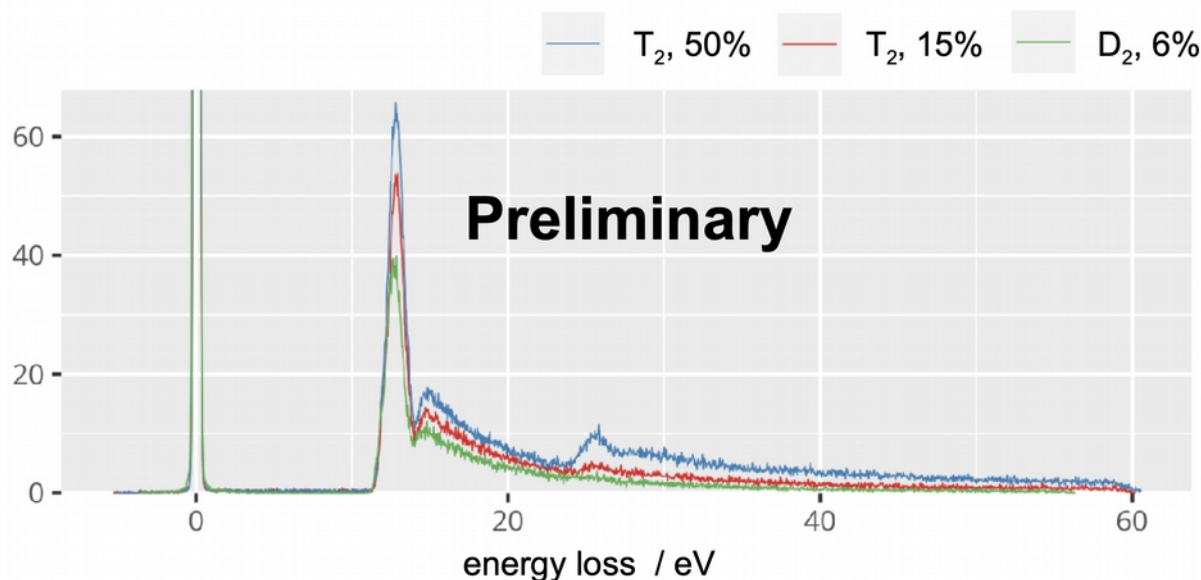
- differentiate background
- increasing sensitivity by factor 2 in m_ν



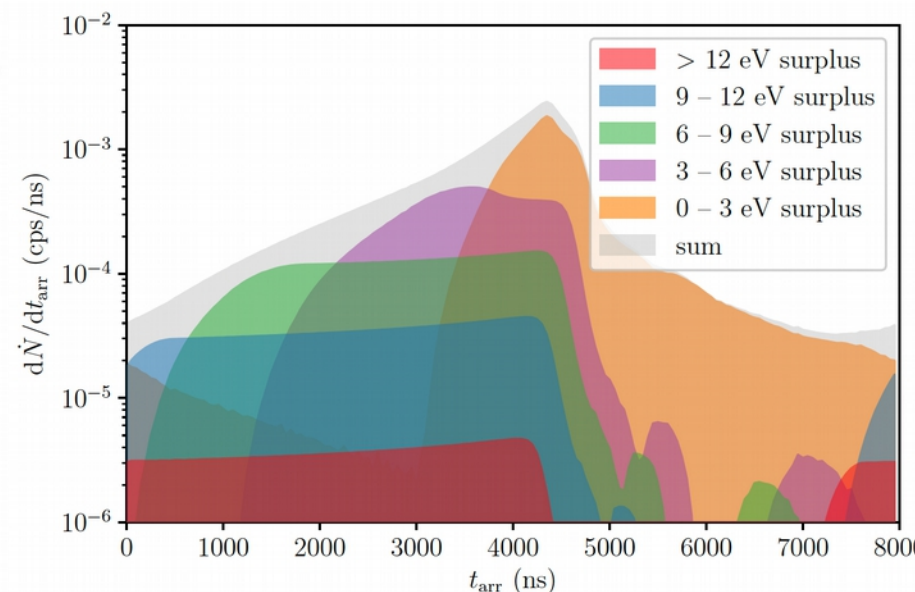
(N. Steinbrink et al., New J Physics 15 (2013) 113020)

already successfully tested for determination of inelastic scattering
& new idea for implementation: time-focusing time-of-flight

loss measurements with tof:



time-focusing time-of-flight:



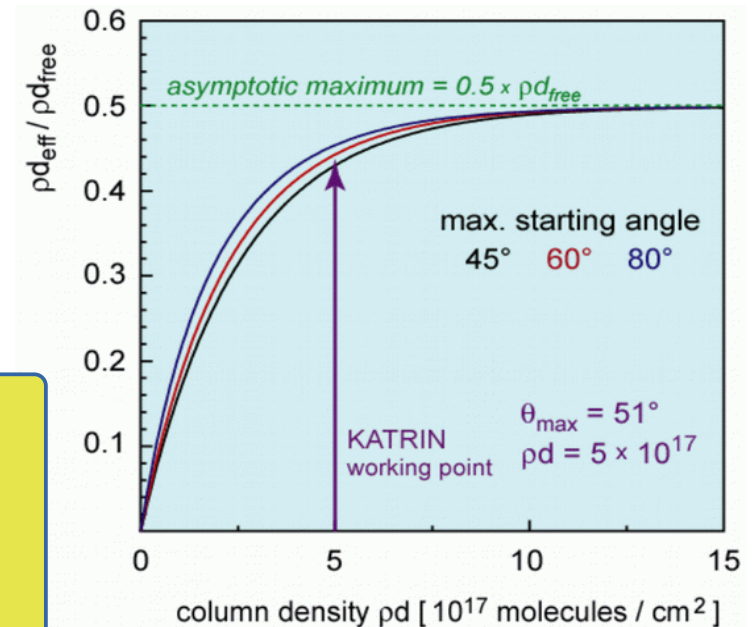
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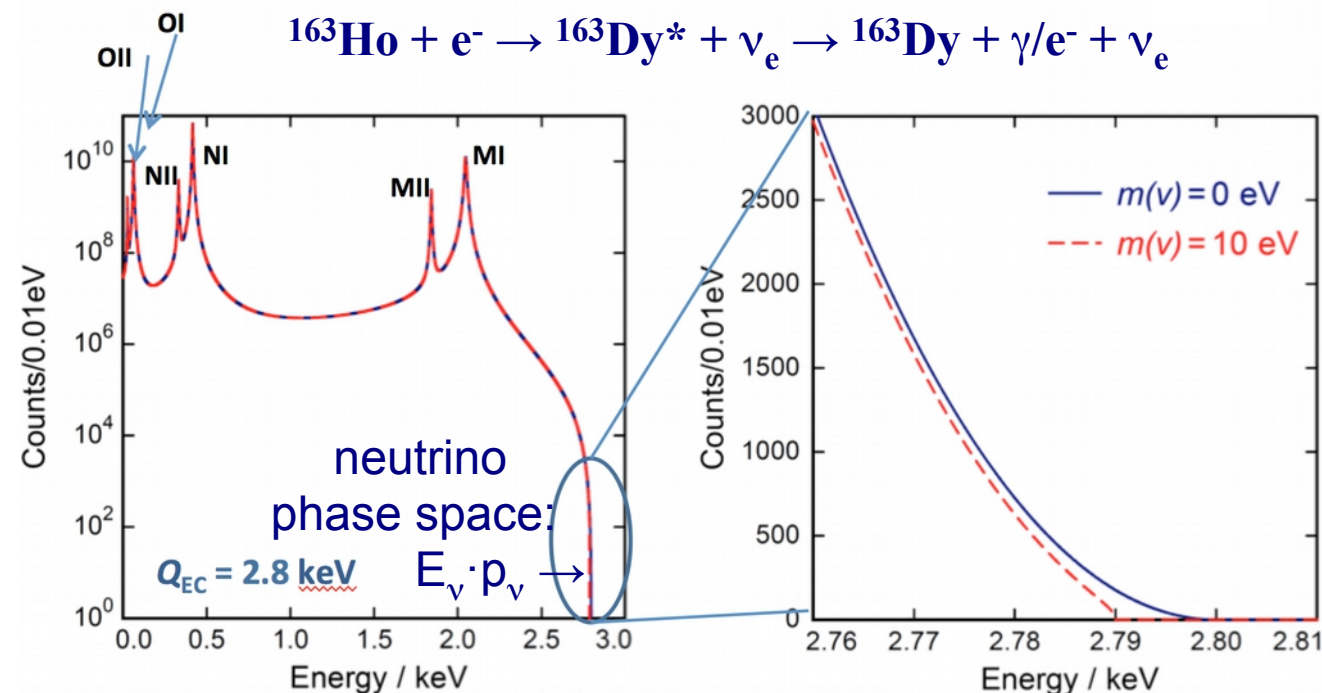
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 but a Ø100m spectrometer is not feasible

Possible ways out:

- make better use of the electrons by differential measurement (e.g. cryo bolometer array or TOF) additional to integral threshold:
 → measure all retarding voltage settings at once
 additional benefit: possible background reduction
- source inside detector (compare to $0\nu\beta\beta$)
 using cryogenic bolometers (ECHO, HOLMES, ..)

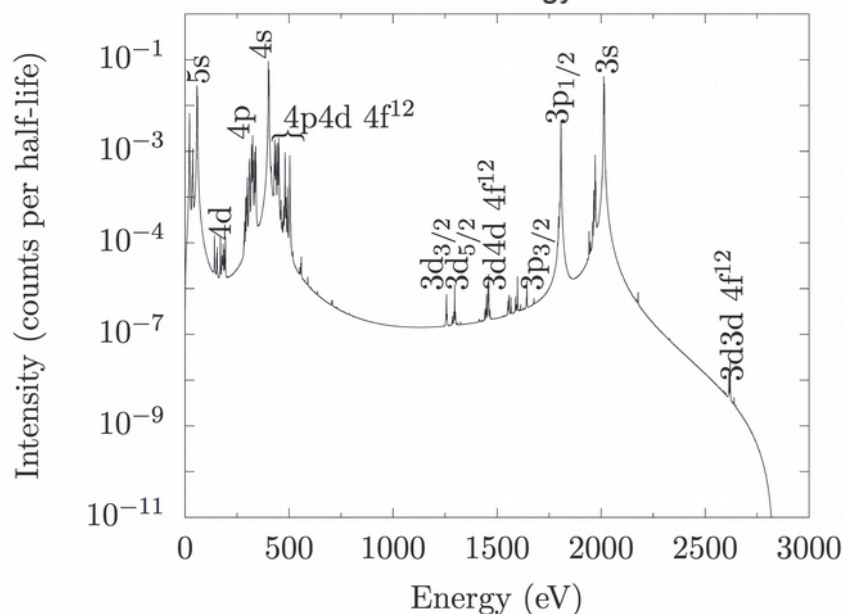


Direct anti neutrino mass measurement from ^{163}Ho electron capture: ECHO, HOLMES



New ab initio
spectral calculation:
M. Braß et al.,
PRC **97** (2018) 054620

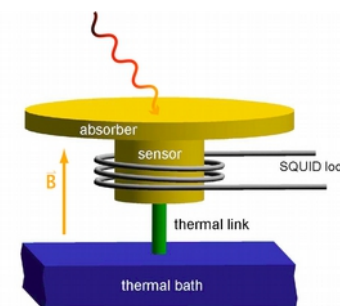
→ much better agreement
with experimental data
from ECHO



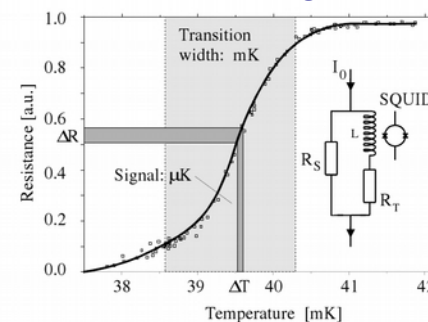
^{163}Ho source inside
cryo calorimeter
→ determine ΔE
by temp change ΔT :

$$\Delta T = \Delta E/C, C \propto T^3$$

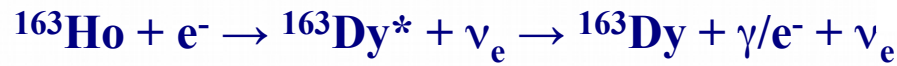
ECHO:
metallic magnetic calorimeters:
change of magnetic properties



HOLMES:
sc. transition edge sensors



Direct anti neutrino mass measurement from ^{163}Ho electron capture: ECHO, HOLMES

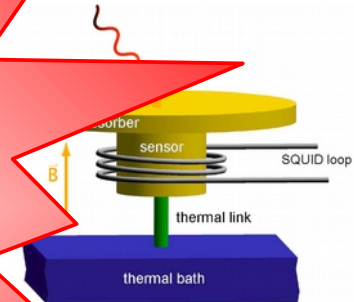


^{163}Ho source inside
cryo calorimeter
→ determine ΔE
by temp change ΔE :

$$\Delta T = \Delta E / C, C \propto T^3$$

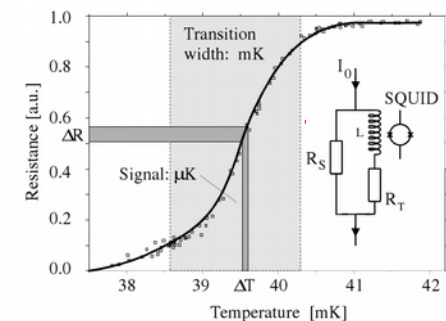
ECHO:

metallic magnetic calorimeters:
change of magnetic properties

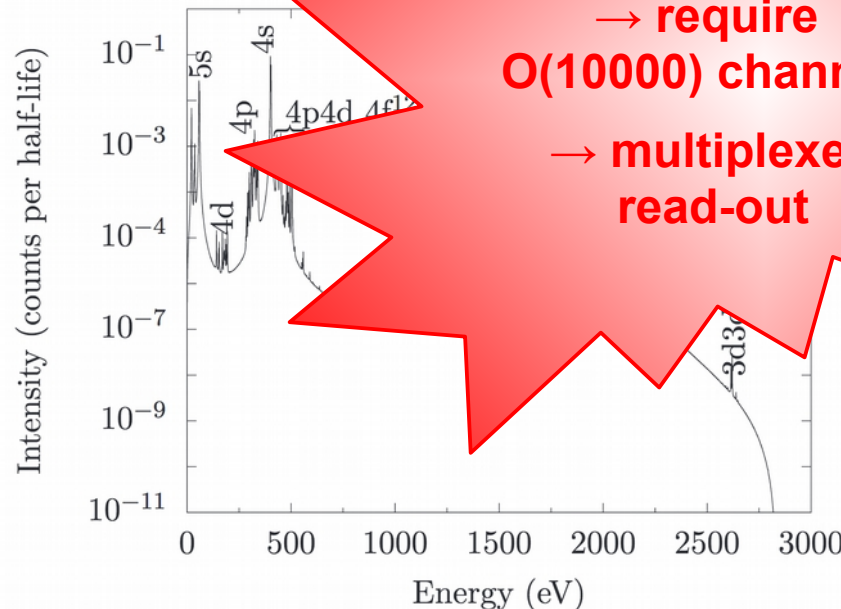


HOLMES:

sc. transition edge sensors

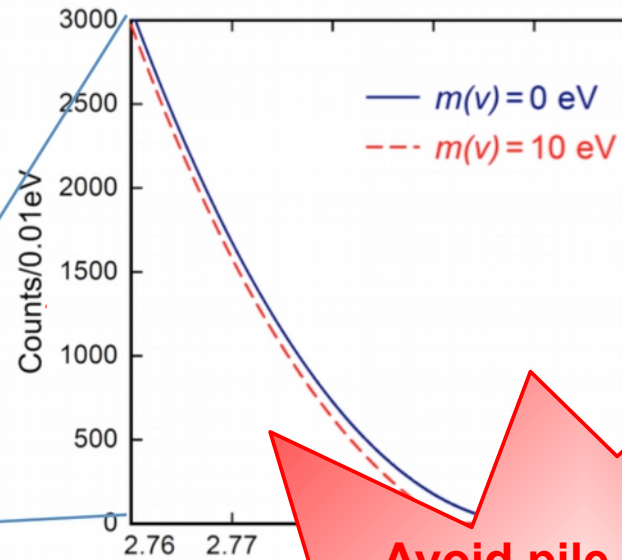
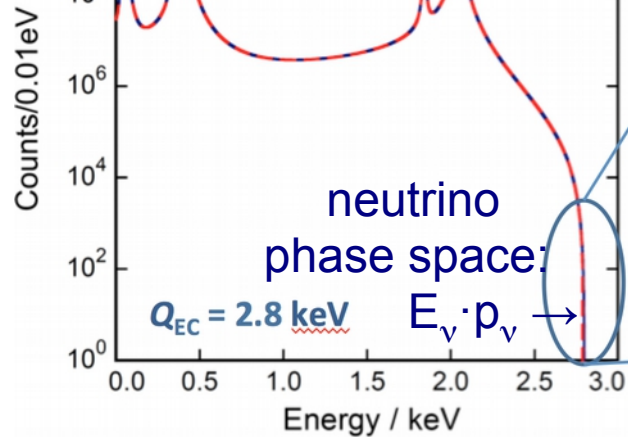


Avoid pile-up
→ require
O(10000) channels
→ multiplexed
read-out



New ab initio
spectral calculation:
M. Braß et al.,
PRC **97** (2018) 054620

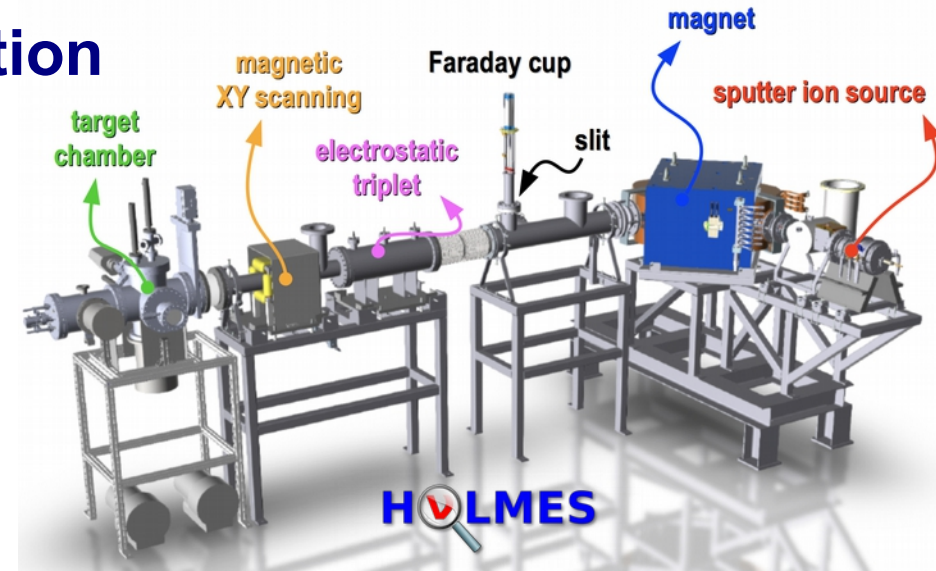
→ much better agreement
with experimental data
from ECHO



Direct anti neutrino mass measurement from ^{163}Ho electron capture: add. technical challenges

Source by n irradiation and implantation

Tm 163 1.81 h β^+ γ 104; 69; 241; 434; 1267	Tm 164 5.1 m β^+ γ 104; 69; 241; 434; 1267	Tm 165 30.06 h β^+ γ 243; 47; 207; 367	Tm 166 7.70 h β^+ γ 779; 2092; 184; 1274	Tm 167 9.25 d β^+ γ 532... m	Tm 168 93.1 d β^+ γ 190; 010; 447
Er 162 0.139 β^+ γ 1114... g	Er 163 75 m β^+ γ 1114... g	Er 164 1.601 β^+ γ 1114... g	Er 165 10.3 h β^+ γ 1114... g	Er 166 33.503 β^+ γ 1114... g	Er 167 22.869 β^+ γ 1114... g
Ho 161 6.7 s β^+ γ 1114... g	Ho 162 2.5 h β^+ γ 1114... g	Ho 163 4570 s β^+ γ 1114... g	Ho 164 37 m β^+ γ 1114... g	Ho 165 100 β^+ γ 1114... g	Ho 166 1000 s 29.00 h β^+ γ 1114... g
Dy 160 2.329 β^+ γ 1114... g	Dy 161 18.869 β^+ γ 1114... g	Dy 162 25.475 β^+ γ 1114... g	Dy 163 24.896 β^+ γ 1114... g	Dy 164 28.260 β^+ γ 1114... g	Dy 165 2.39 h β^+ γ 1114... g



Q-value of ^{163}Ho :

→ long debate of values around 2.5 and 2.8 keV solved:

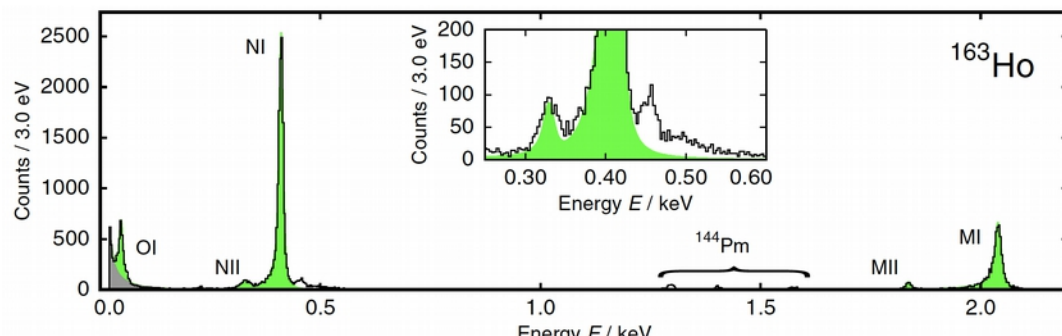
SHIPTRAP Penning trap measurement:
S. Eliseev et al., PRL 115 (2015) 062501

$$Q_{\text{EC}} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}}) \text{ keV}$$

ECHo precision ^{163}Ho EC spectrum:

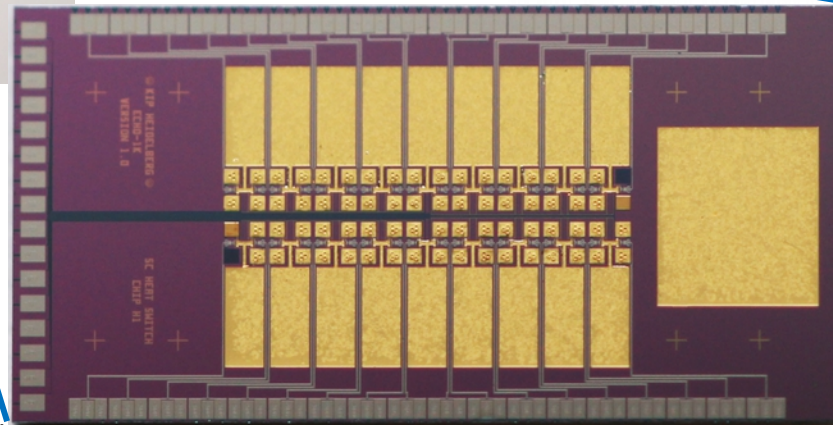
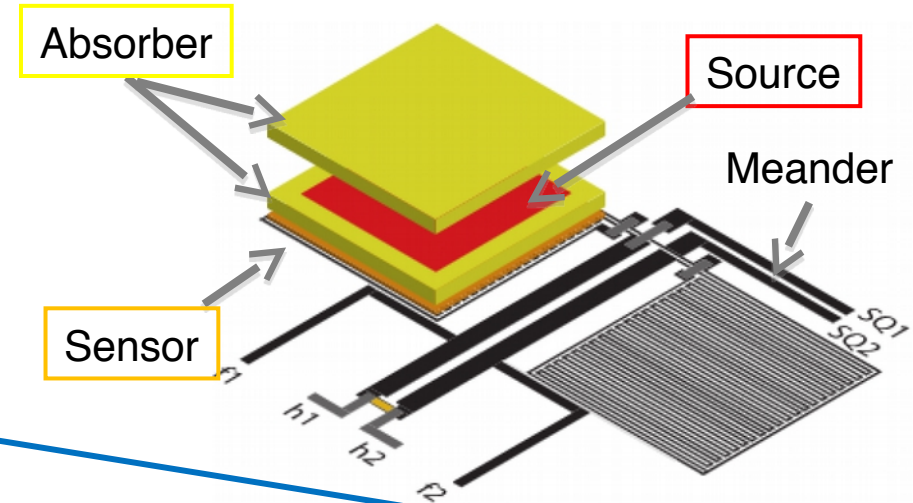
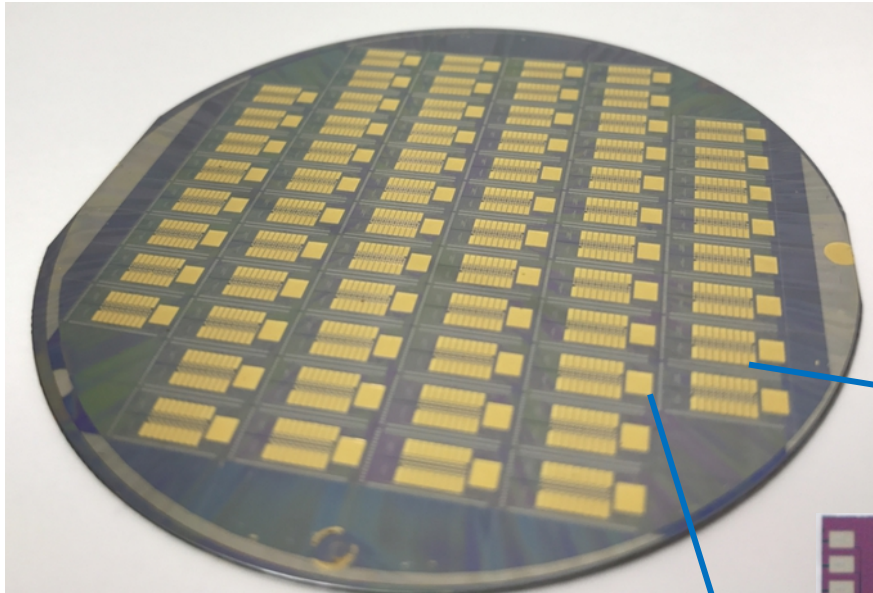
P. C.-O. Ranitzsch et al., PRL 119 (2017) 122501

$$Q_{\text{EC}} = (2.858 \pm 0.010^{\text{stat}} \pm 0.05^{\text{syst}}) \text{ keV}$$



courtesy: L. Gastaldo, A. Nucciotti

Present status of ECHo



64 pixels which can be loaded with ^{163}Ho
+ 4 detectors for diagnostics

Design performance:

$$\Delta E_{\text{FWHM}} \sim 5 \text{ eV}$$

$$\tau_r \sim 90 \text{ ns (single channel readout)}$$

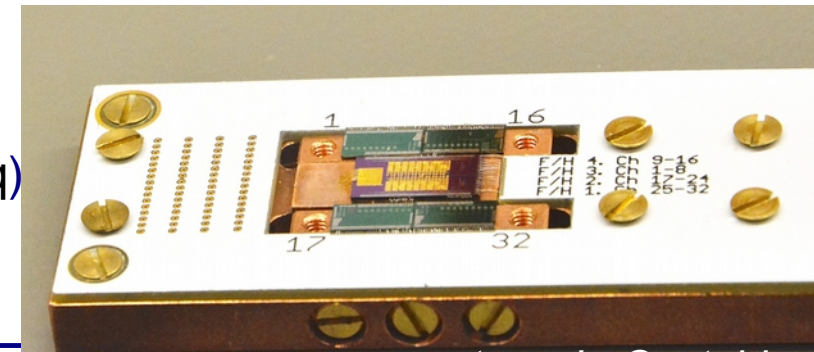
$$\tau_r \sim 300 \text{ ns (microwave-multiplexed read-out)}$$

ECHo-1k chip implanted at RISIKO at Univ. of Mainz

→ ^{163}Ho activity per pixel $a \approx 1 \text{ Bq}$ (total activity $A \approx 100 \text{ Bq}$)

4 Front-end chips each with 8 dc-SQUIDS

→ **ECHo has taken spectra with 10^7 counts this summer**



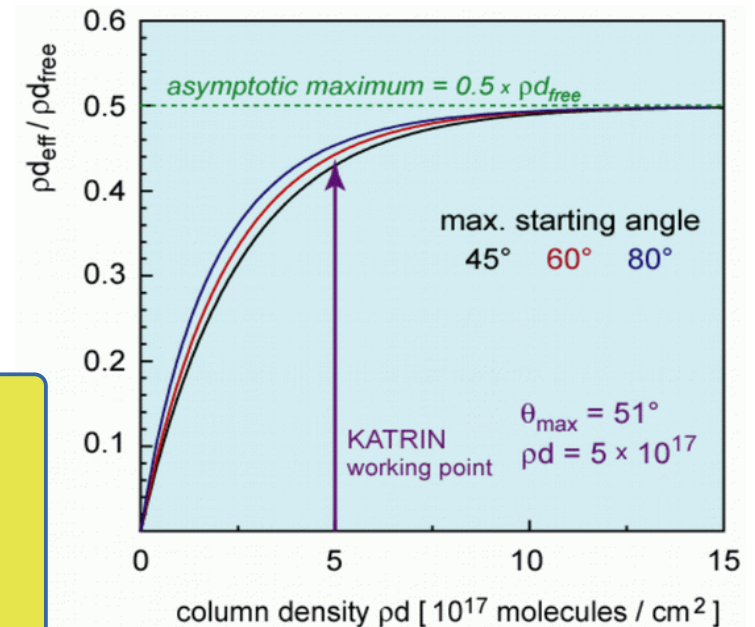
KATRIN's sensitivity of 200 meV might not be enough

Can we go beyond or improve KATRIN ?

Problem: The KATRIN source is already opaque
 → need to increase size transversally
 magnetic flux tube conservation
 requests larger spectrometer too
 but a Ø100m spectrometer is not feasible

Possible ways out:

- make better use of the electrons by differential measurement (e.g. cryo bolometer array or TOF) additional to integral threshold:
 → measure all retarding voltage settings at once
 additional benefit: possible background reduction
- source inside detector (compare to $0\nu\beta\beta$)
 using cryogenic bolometers (ECHO, HOLMES, ..)
- hand-over energy information of β electron to other particle (radio photon),
 which can escape tritium source (Project 8)



Project 8's goal: Measure coherent cyclotron radiation of tritium β electrons

General idea:

B. Monreal and J. Formaggio, PRD 80 (2009) 051301

- Source = KATRIN tritium source technology :

uniform B field + low pressure T_2 gas

β electron radiates coherent
cyclotron radiation

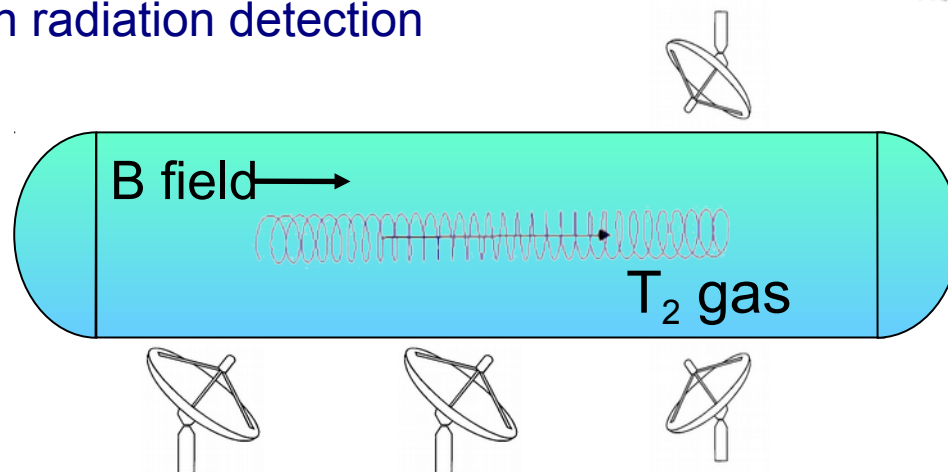
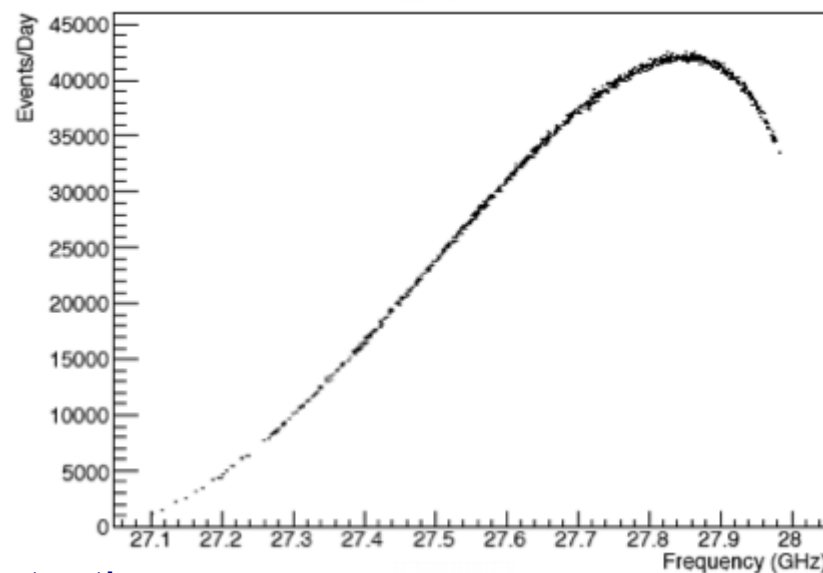
$$\omega(\gamma) = \frac{\omega_0}{\gamma} = \frac{eB}{K + m_e}$$

But tiny signal: P (18 keV, $\theta=90^\circ$, $B=1T$) = 1 fW

- Antenna array (interferometry) for cyclotron radiation detection

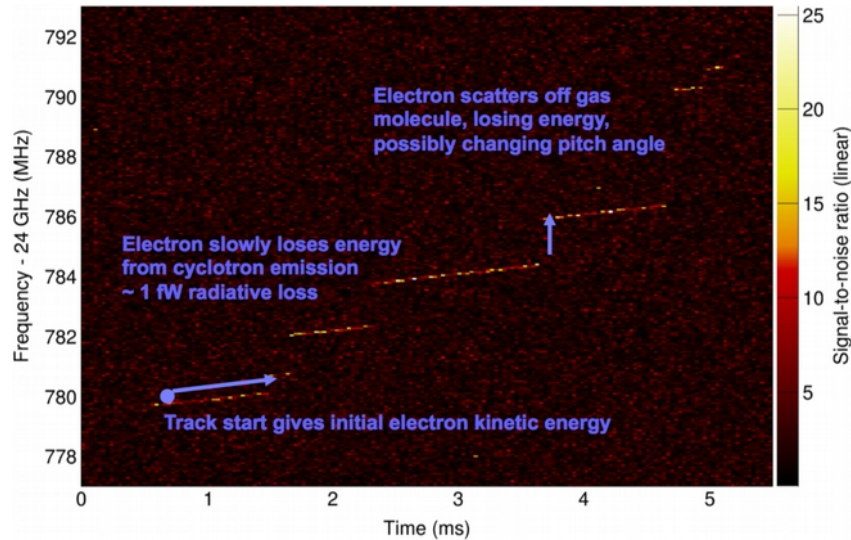
since cyclotron radiation
can leave the source and
carries out the information
of the β -electron energy

PROJECT 8

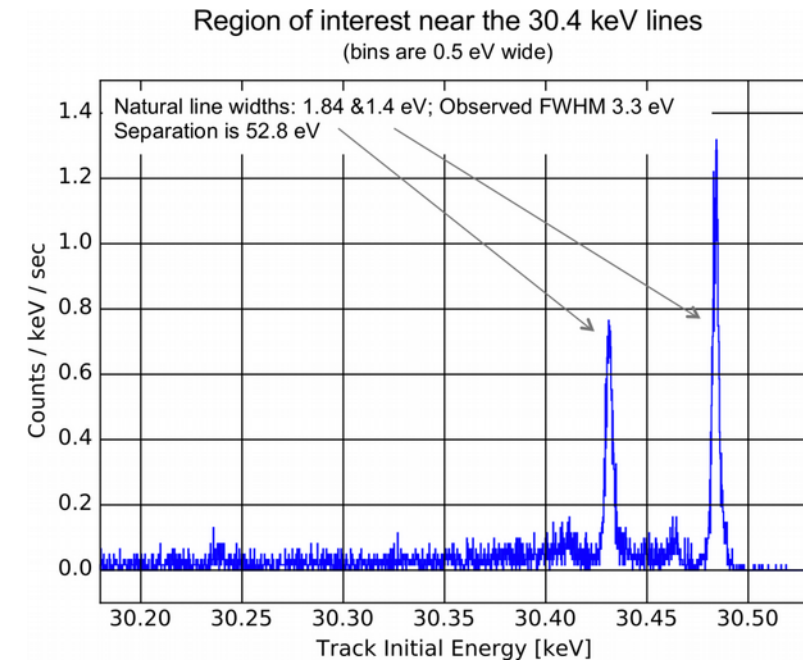


Project 8: phase I ($^{83\text{m}}\text{Kr}$) and II (tritium) Proof of principle

Phase I ($^{83\text{m}}\text{Kr}$)

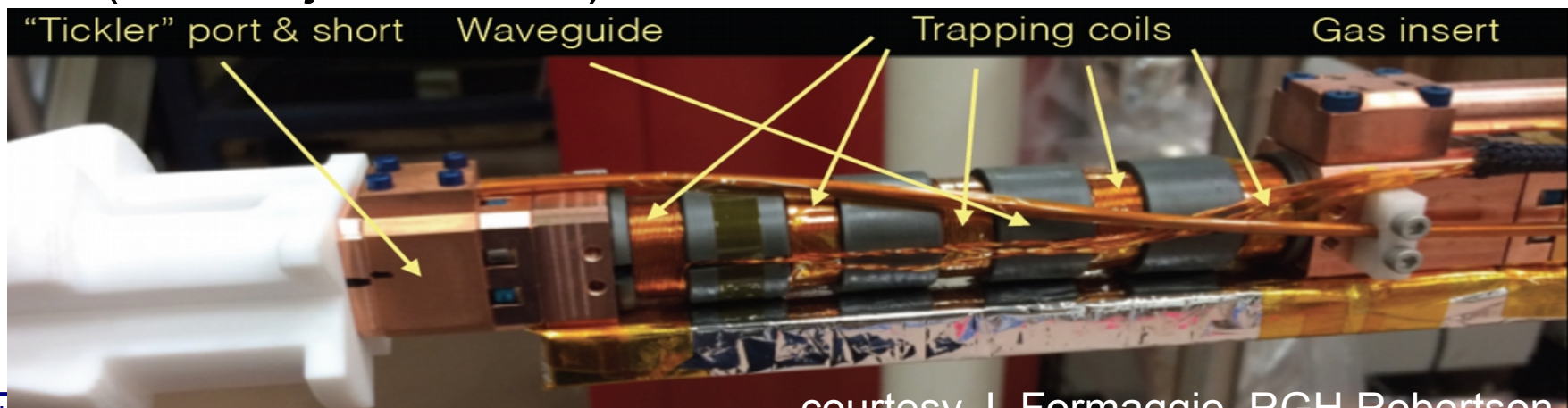


magnetic bottle to trap
decay electrons long enough



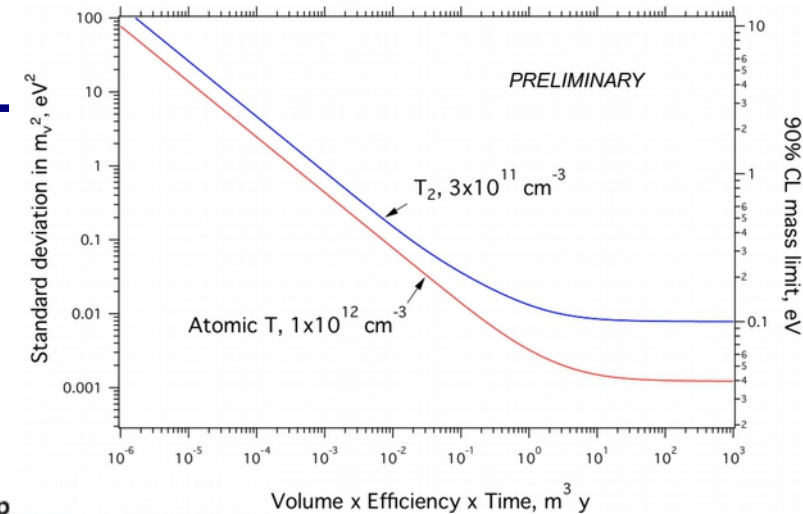
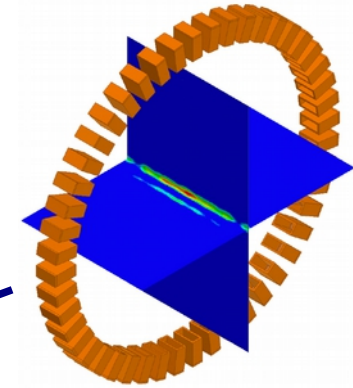
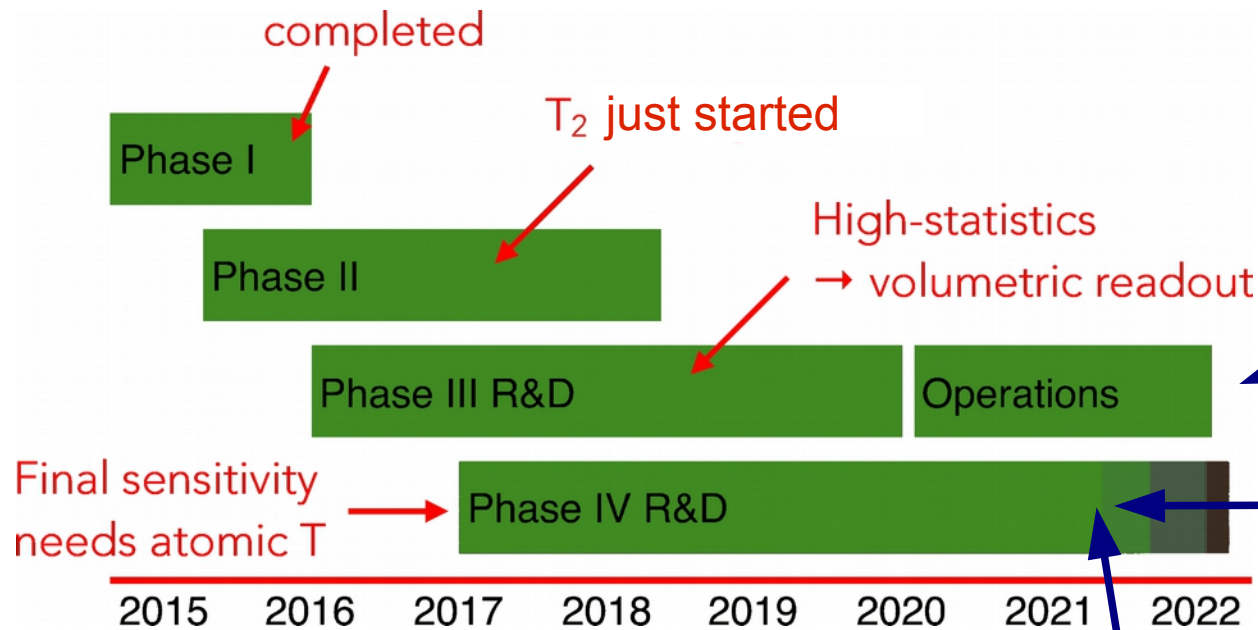
D. M. Asner et al., Phys. Rev. Lett. 114, 162501

Phase II (tritium, just started)

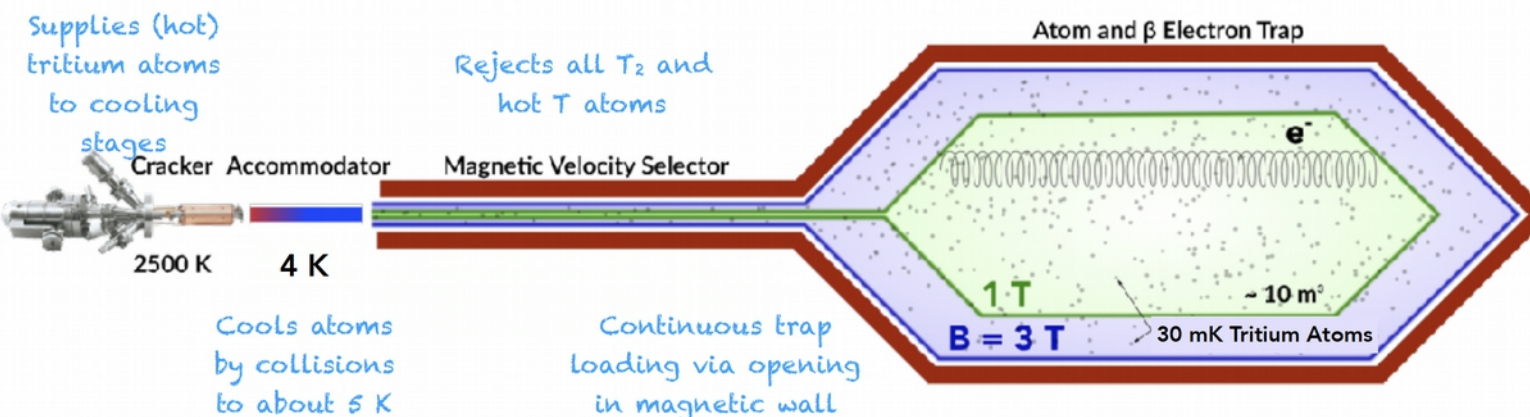


courtesy J. Formaggio, RGH Robertson

Project 8: longterm perspective



atomic tritium source (higher resolution)



$\rightarrow$ 40 - 100 meV should be possible with $\approx 10 \text{ m}^3$ setup

courtesy: S. Böser

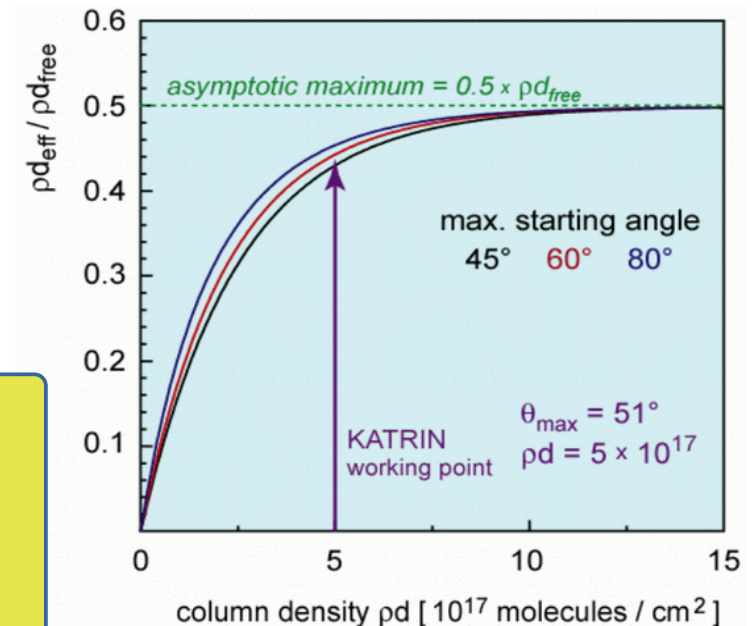
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 to other particle (radio photon),
 which can escape tritium source (Project 8)
- combine all technologies and add new (PTOLEMY)



The dark side of the Universe, ISAPP, MPIK Heidelberg, May 29/30, 2019

Christian Weinheimer

Institut für Kernphysik, Westfälische Wilhelms-Universität Münster

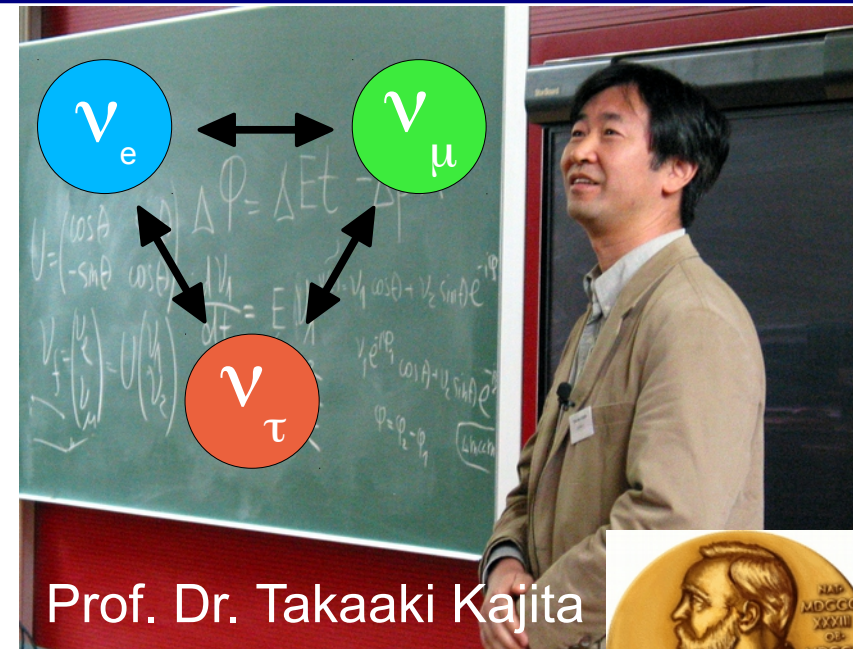
weinheimer@uni-muenster.de

A reminder: Neutrinos
in the Standard Model of Particle Physics

Neutrino oscillations:
experiments with atmospheric,
solar, accelerator and reactor neutrinos

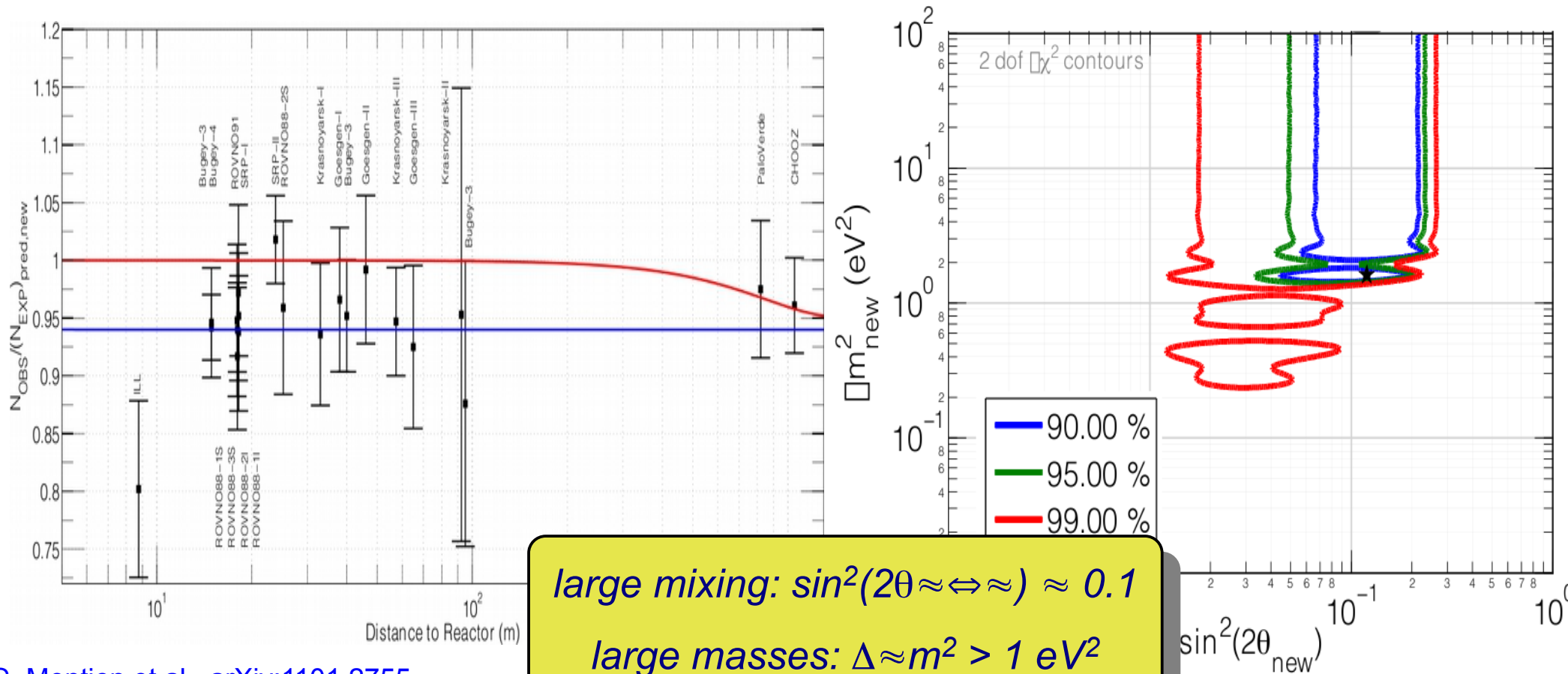
Neutrino masses:
- cosmology and astrophysics
- neutrinoless double β decay
- direct neutrino mass experiments

Search for sterile neutrinos
Coherent elastic neutrino nucleus scattering

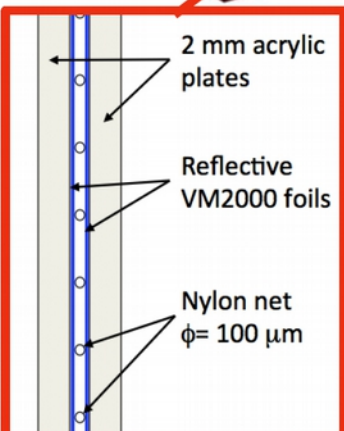
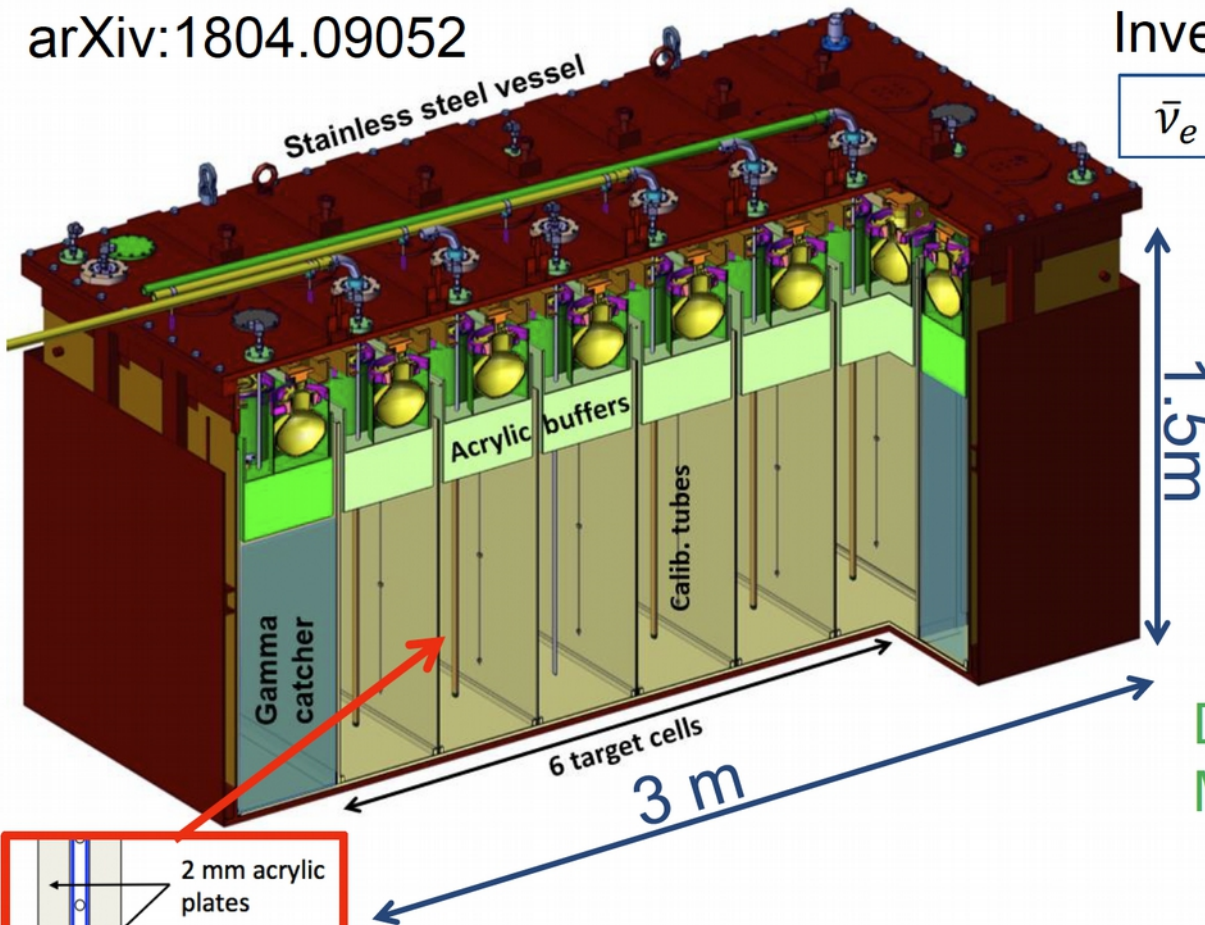


More than 3 neutrinos and/or CPT violation?

- Reevaluation of reactor neutrinos fluxes and use GALLEX/SAGE calibration measurements:
"reactor antineutrino anomaly": $P_{ee} = 0.943 \pm 0.023$

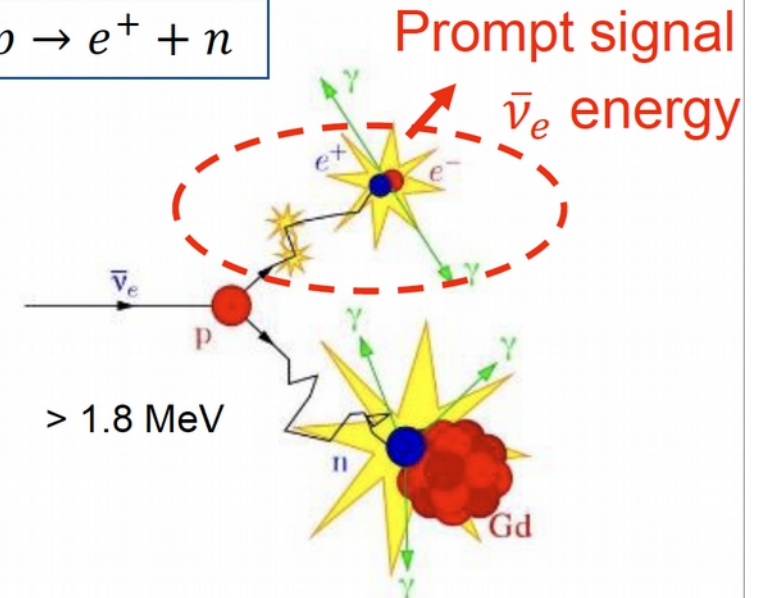
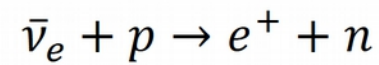


arXiv:1804.09052



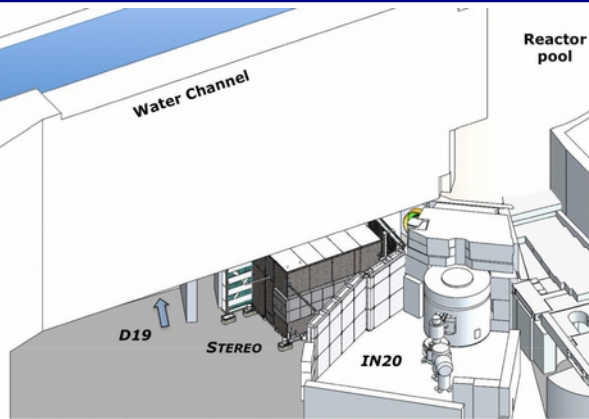
Target
6 cells filled with
Gd-loaded liquid scintillator
4 top PMTs per cell

Invert Beta Decay



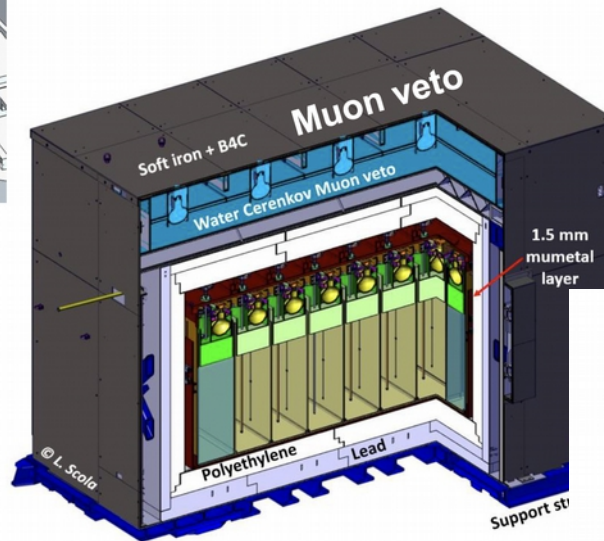
Delayed signal
Mean neutron capture time $16 \mu\text{s}$

Gamma-catcher
Outer-crown to detect γ 's escaping from the Target + active shielding
24 PMTs



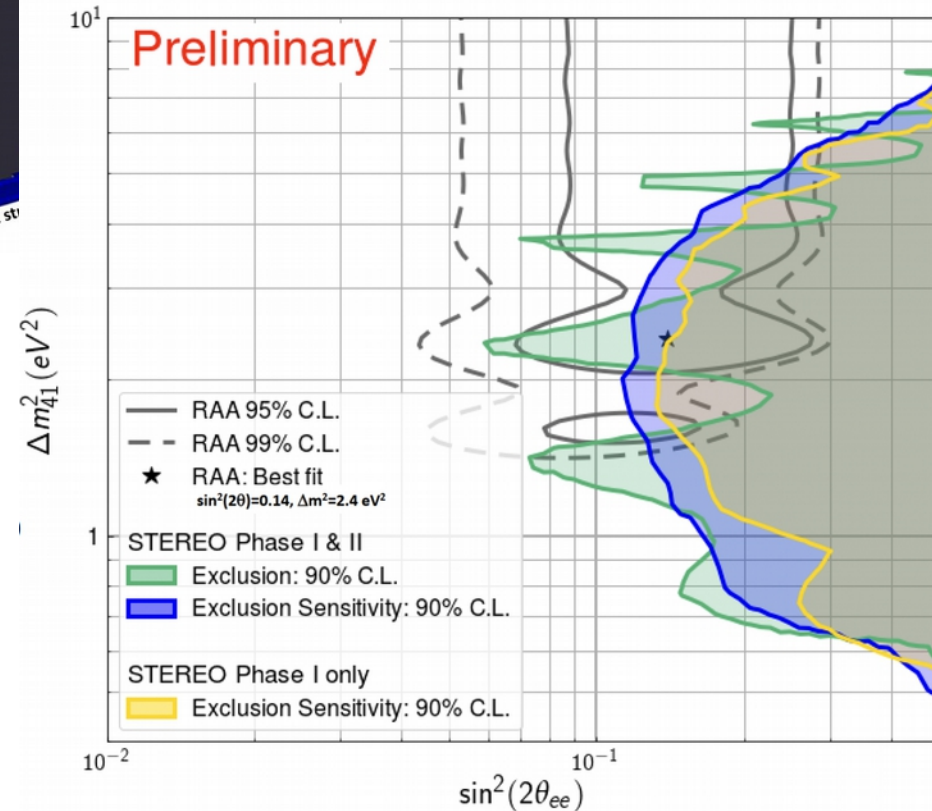
Cosmic background

- 15 m.w.e. (Water channel + reactor building)
- Water Cerenkov Muon Veto

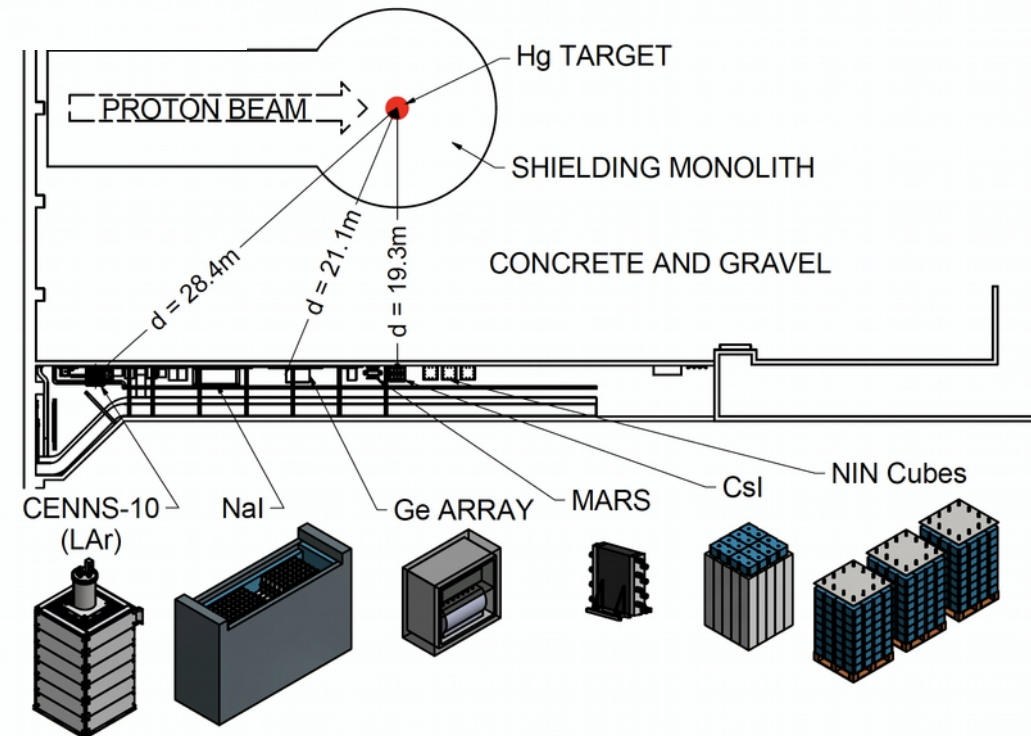
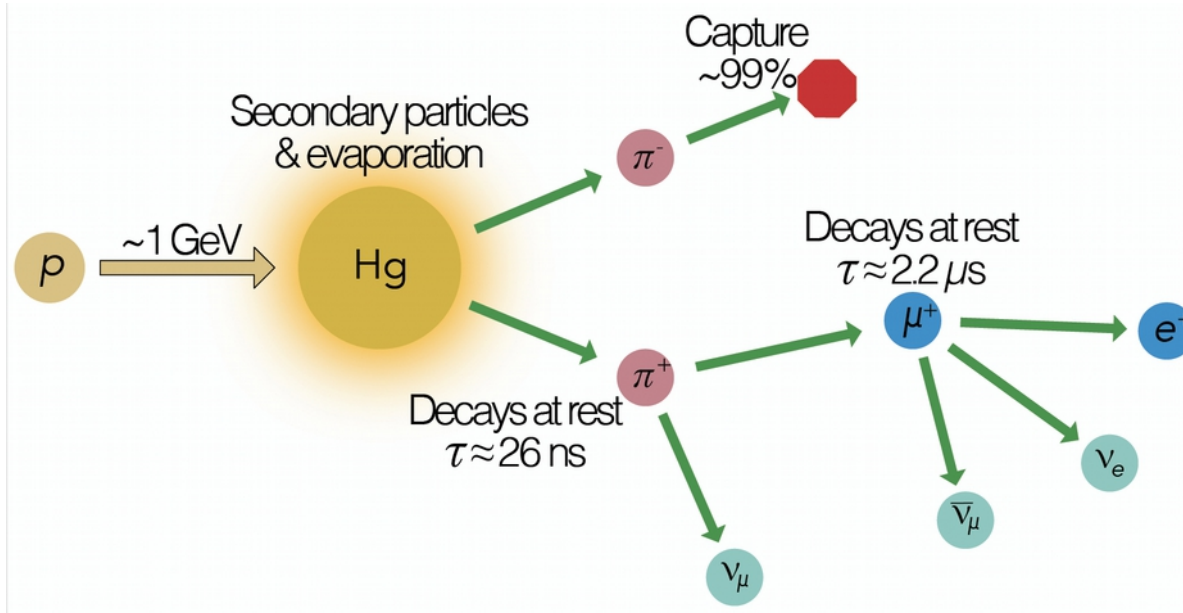


Gamma and neutron background

- Lead
- Boron doped polyethylene
- Boron loaded rubber

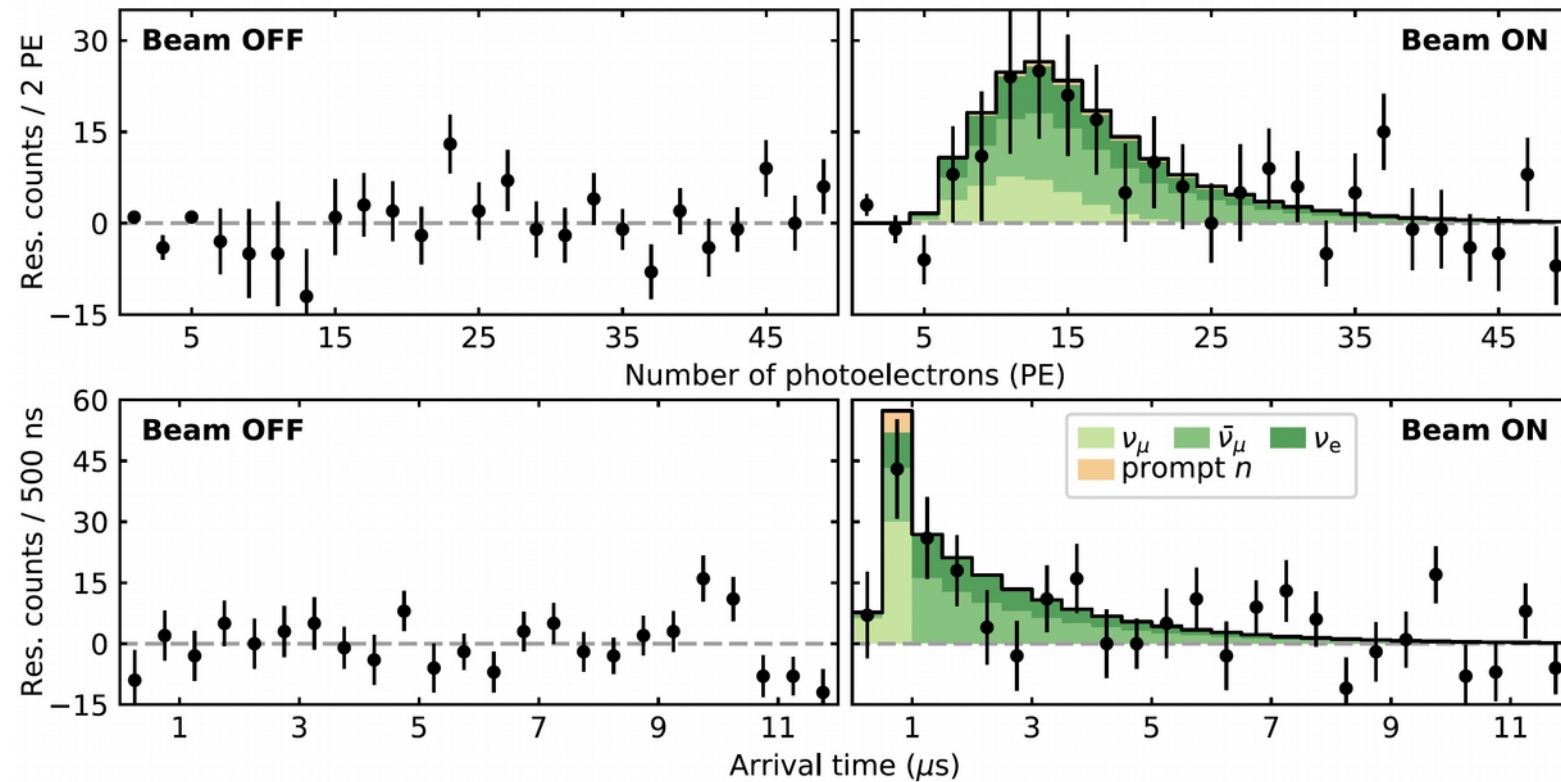


COHERENT at Oakridge NL: discovery of coherent elastic neutrino nucleus scattering



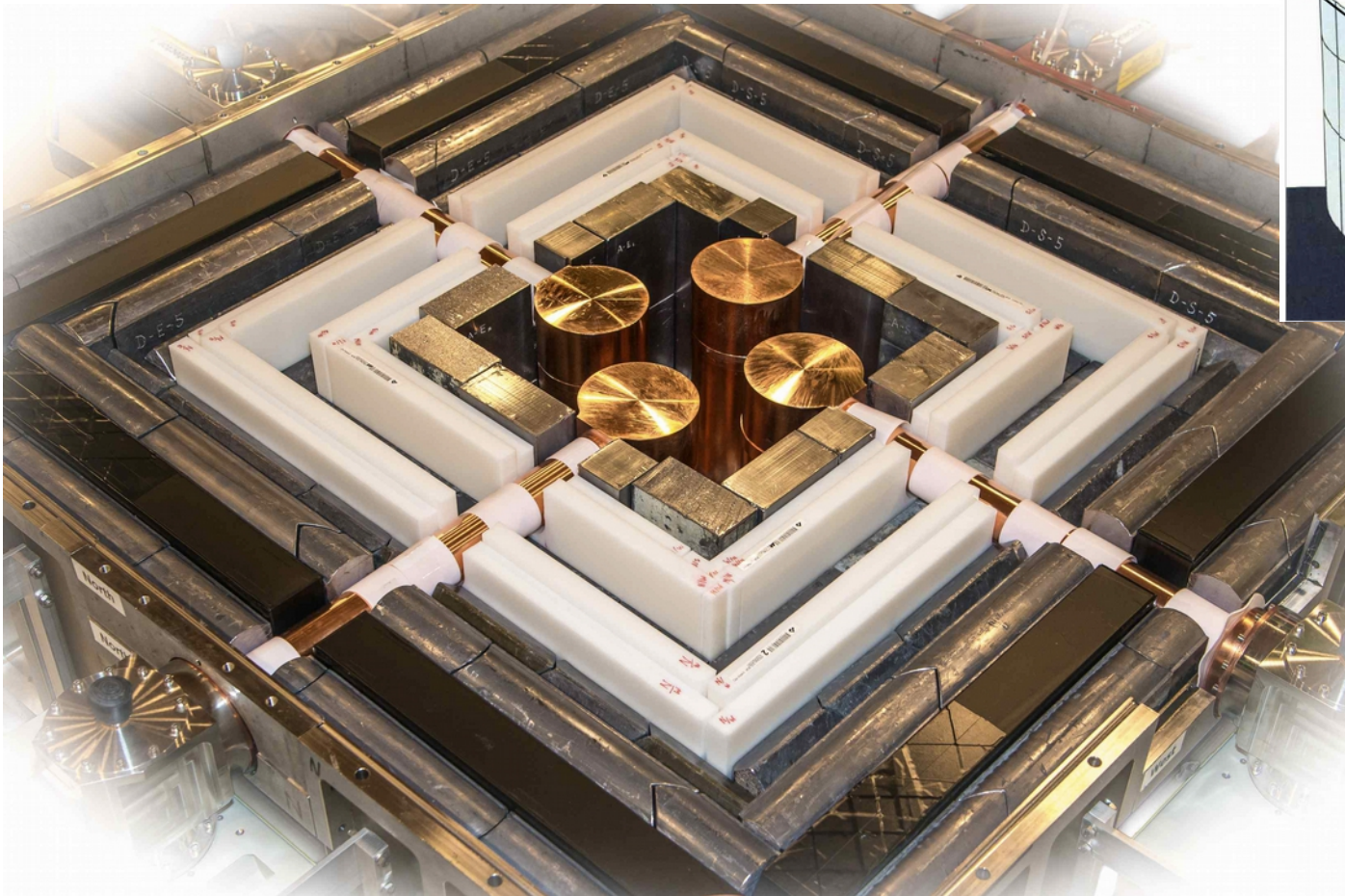
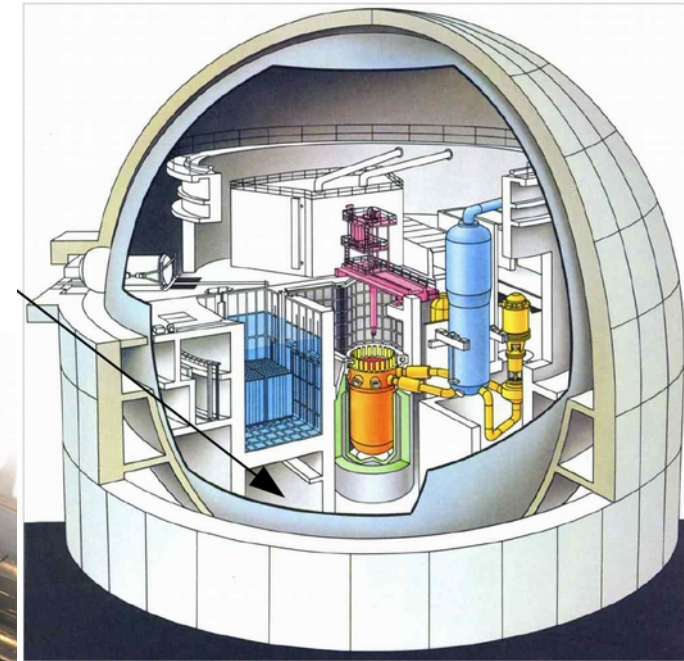
COHERENT at Oakridge NL:

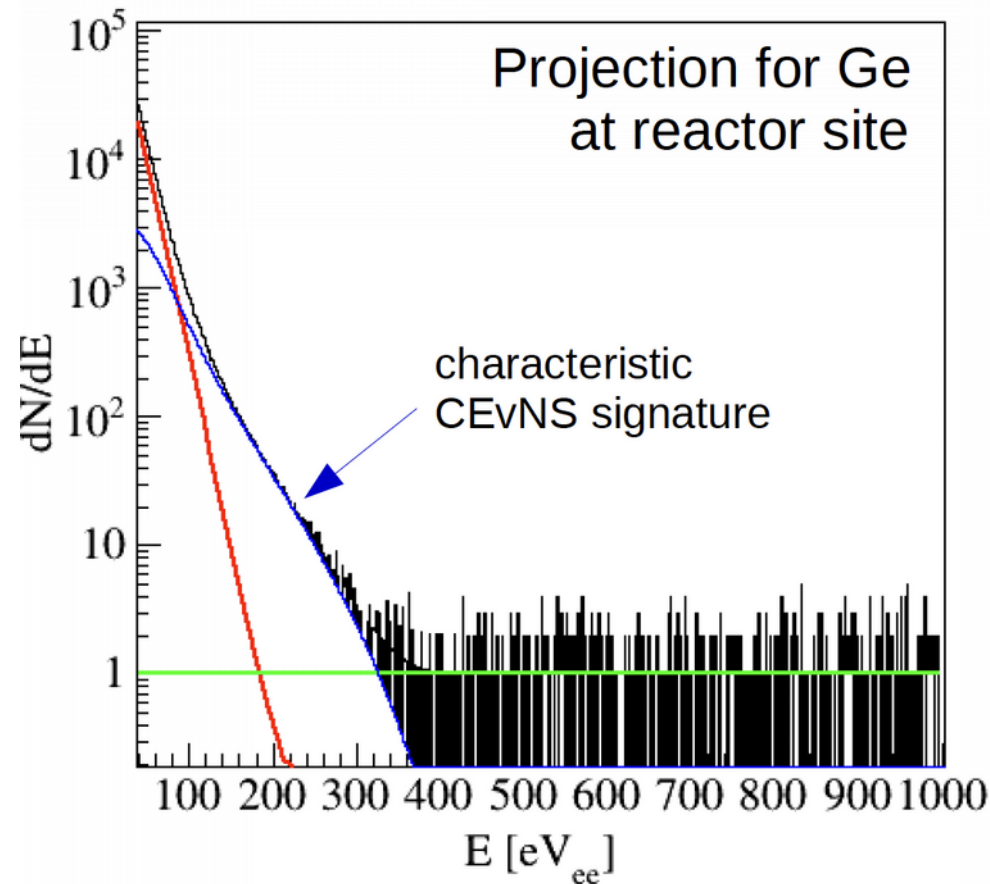
discovery of coherent elastic neutrino nucleus scattering



CONUS at Brokdorf nuclear power plant

Ultralow threshold Ge detectors
in active & passive shielding
(„artificial depth technology“)





	counts	counts/(d·kg) (*)
reactor OFF (114 kg*d)	582	
reactor ON (112 kg*d)	653	
ON-OFF (exposure corr.)	84	0.94
Significance	2.4 σ	2.3 σ

Some systematics still under study

(*) Including stat. uncertainty and above efficiencies

After having discovered neutrino oscillations in many channels and measured the 3 mixing angles and 2 squared mass differences ...

What is the neutrino mass ordering: NH or IH?

What is the CP phase?

Are there more than 3 neutrinos (sterile neutrinos)?

What is the neutrino mass (only known hot dark matter component)?

KATRIN is the direct neutrino mass experiment complementary to cosmological analyses and $0\nu\beta\beta$ searches

There are new approaches beyond KATRIN

Are neutrinos Majorana particles, is there lepton number violation?

→ maybe the key to the baryon asymmetry of the universe

GERDA II is leading together with KamLAND-Zen

LEGEND 200 will go another order of magnitude in sensitivity

Coherent elastic neutrino nucleus scattering is opening a new door ...