

# Fundamental Symmetries and Rare Decays

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based on  
J.H., PRL 111, 021801 (2013),  
J.H., Werner Rodejohann,  
EPL 103, 32001 (2013).



MAX-PLANCK-INSTITUT  
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FOR PRECISION TESTS  
OF FUNDAMENTAL  
SYMMETRIES



MANITOP  
Massive Neutrinos: Investigating their  
Theoretical Origin and Phenomenology

# Introduction

Symmetries:

unbroken	Poincaré (Lorentz), CPT, $SU(3)_C$ , $U(1)_{\text{EM}}$
broken	C, CP, $SU(2)_L \times U(1)_Y$ , lepton numbers $L_\alpha$ , ...
?	Baryon number $B$ , total lepton number $L$ , strong CP

Discovery of (broken) symmetries always exciting, test via rare decays at low energies.

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- Here: focus on  $B - L$  and  $U(1)_{\text{EM}}$  (later), the abelian symmetries.

# Baryon and lepton number

- $B$  and  $L$  classically conserved in the Standard Model.
- $B + L$  theoretically broken non-perturbatively by 6 units.
- $B - L$  globally conserved.

Fate of fundamental  $U(1)_{B-L}$  from experiments. Linked to neutrino nature and matter–antimatter asymmetry.

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$$\text{Dirac neutrinos} \Rightarrow \begin{cases} B - L \text{ conserved,} \\ \Delta(B - L) = 4, 6, 42, \dots \neq 2. \end{cases}$$

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$$\begin{cases} B - L \text{ conserved,} \\ \boxed{\Delta(B - L) = 4, 6, 42, \dots \neq 2}. \end{cases}$$

Can we test lepton number violation by higher units?

# Effective operators

- Lowest order new processes:  $\Delta(B - L) = 4$ :

$$\mathcal{O}_{d=6} : \bar{\nu}_R^c \nu_R \bar{\nu}_R^c \nu_R$$

$$\mathcal{O}_{d=8} : |H|^2 \bar{\nu}_R^c \nu_R \bar{\nu}_R^c \nu_R, \quad (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger L) \bar{\nu}_R^c \nu_R, \quad F_Y^{\mu\nu} \bar{\nu}_R^c \sigma_{\mu\nu} \nu_R \bar{\nu}_R^c \nu_R$$

$$\begin{aligned} \mathcal{O}_{d=10} : & (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger L) (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger L), \quad |H|^2 (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger L) \bar{\nu}_R^c \nu_R, \\ & F_Y^{\mu\nu} (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger L) \bar{\nu}_R^c \sigma_{\mu\nu} \nu_R, \quad W_a^{\mu\nu} (\bar{L}^c \tilde{H}^*)(\tilde{H}^\dagger \tau^a L) \bar{\nu}_R^c \sigma_{\mu\nu} \nu_R, \end{aligned}$$

$$(\bar{u}_R d_R^c) (\bar{d}_R \tilde{H}^\dagger L) (\bar{\nu}_R^c \nu_R), \dots$$

$$\mathcal{O}_{d=18} : (\bar{d}_R d_R^c \bar{u}_R^c u_R \bar{e}_R^c e_R) (\bar{d}_R d_R^c \bar{u}_R^c u_R \bar{e}_R^c e_R), \dots$$

$$\mathcal{O}_{d=20} : \left[ ((\overline{D_\mu L})^c \tilde{H})(H^\dagger D_\nu L) \right]^2 \supset (\bar{e}_L^c W_\mu^+ W_\nu^+ e_L) (\bar{e}_L^c W^{+\mu} W^{+\nu} e_L), \dots$$

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$$(\bar{u}_R d_R^c) (\bar{d}_R \tilde{H}^\dagger L) (\bar{\nu}_R^c \nu_R), \dots$$

$$\mathcal{O}_{d=18} : (\bar{d}_R d_R^c \bar{u}_R^c u_R \bar{e}_R^c e_R) (\bar{d}_R d_R^c \bar{u}_R^c u_R \bar{e}_R^c e_R), \dots$$

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- $\nu$  vs.  $\bar{\nu}$  undetectable, use only charged particles, e.g.  $\mathcal{O}_{d=18}$ .

Dominant at low energies: Neutrinoless quadruple beta decay  $0\nu4\beta$

$$4d \rightarrow 4u + 4e^- \Leftrightarrow 4n \rightarrow 4p + 4e^-.$$

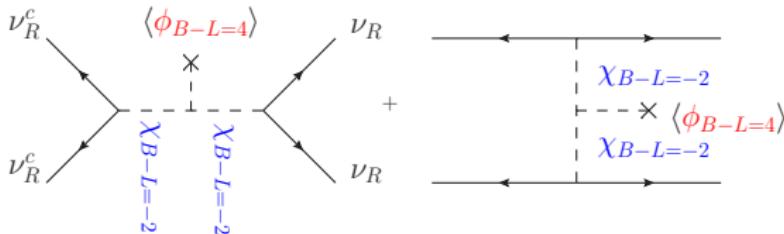
## UV completion

- One scalar  $\phi_{B-L=4}$  to break  $B - L$ , one scalar  $\chi_{B-L=-2}$  as mediator.

$$\mathcal{L} \supset y_{\alpha\beta} \bar{L}_\alpha H \nu_{R,\beta} + \kappa_{\alpha\beta} \chi_{B-L=-2} \bar{\nu}_{R,\alpha} \nu_{R,\beta}^c + \text{h.c.}$$

- Neutrinos are Dirac (and  $\Delta L = 2$  forbidden) if  $\langle \chi_{B-L=-2} \rangle = 0$ .
- Scalar potential  $V(H, \phi, \chi) \supset -\mu \phi_{B-L=4} (\chi_{B-L=-2})^2 + \text{h.c.}$
- Lepton number violation  $\Delta L = 4$  still possible!<sup>1</sup>

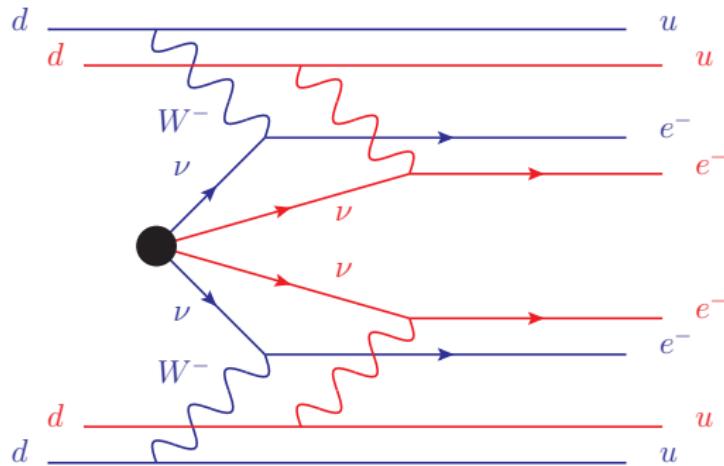
$$\bar{\nu}_R^c \nu_R \bar{\nu}_R^c \nu_R =$$



<sup>1</sup>Heeck and Rodejohann, EPL 103, 32001 (2013) [arXiv:1306.0580].

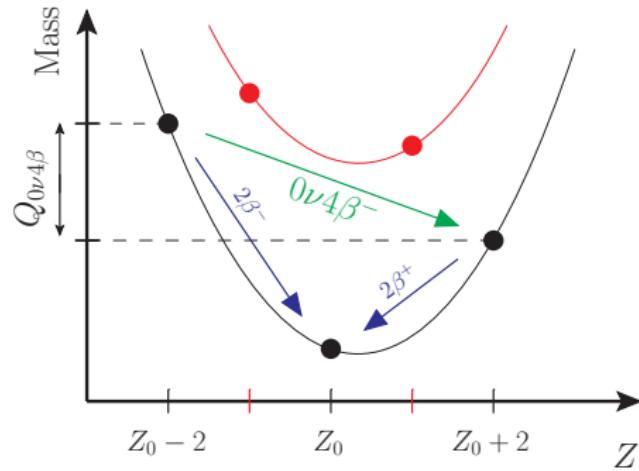
Neutrinoless quadruple beta decay  $0\nu4\beta$ 

$(A, Z) \rightarrow (A, Z + 4) + 4 e^-$  via  $\mathcal{O} = (\bar{\nu}_L^c \nu_L)^2 / \Lambda^2$ :



# Candidate nuclei

- Experimental aspects of  $0\nu4\beta$  independent of underlying mechanism.
- Need beta-stable initial state:



- Decay modes:  $0\nu4\beta$  and  $2\nu2\beta$  ( $0\nu2\beta$  forbidden here).

Candidates for nuclear  $\Delta L = 4$  processes

	$Q_{0\nu 4\beta}$	Other decays	NA/%
$^{96}_{40}\text{Zr} \rightarrow ^{96}_{44}\text{Ru}$	0.629 MeV	$\tau_{1/2}^{2\nu 2\beta} \simeq 2 \times 10^{19} \text{ y}$	2.8
$^{136}_{54}\text{Xe} \rightarrow ^{136}_{58}\text{Ce}$	0.044 MeV	$\tau_{1/2}^{2\nu 2\beta} \simeq 2 \times 10^{21} \text{ y}$	8.9
$^{150}_{60}\text{Nd} \rightarrow ^{150}_{64}\text{Gd}$	2.079 MeV	$\tau_{1/2}^{2\nu 2\beta} \simeq 7 \times 10^{18} \text{ y}$	5.6
	$Q_{0\nu 4\text{EC}}$		
$^{124}_{54}\text{Xe} \rightarrow ^{124}_{50}\text{Sn}$	0.577 MeV	—	0.095
$^{130}_{56}\text{Ba} \rightarrow ^{130}_{52}\text{Te}$	0.090 MeV	$\tau_{1/2}^{2\nu 2\text{EC}} \sim 10^{21} \text{ y}$	0.106
$^{148}_{64}\text{Gd} \rightarrow ^{148}_{60}\text{Nd}$	1.138 MeV	$\tau_{1/2}^\alpha \simeq 75 \text{ y}$	—
$^{154}_{66}\text{Dy} \rightarrow ^{154}_{62}\text{Sm}$	2.063 MeV	$\tau_{1/2}^\alpha \simeq 3 \times 10^6 \text{ y}$	—
	$Q_{0\nu 3\text{EC}\beta^+}$		
$^{148}_{64}\text{Gd} \rightarrow ^{148}_{60}\text{Nd}$	0.116 MeV	$\tau_{1/2}^\alpha \simeq 75 \text{ y}$	—
$^{154}_{66}\text{Dy} \rightarrow ^{154}_{62}\text{Sm}$	1.041 MeV	$\tau_{1/2}^\alpha \simeq 3 \times 10^6 \text{ y}$	—
	$Q_{0\nu 2\text{EC}2\beta^+}$		
$^{154}_{66}\text{Dy} \rightarrow ^{154}_{62}\text{Sm}$	0.019 MeV	$\tau_{1/2}^\alpha \simeq 3 \times 10^6 \text{ y}$	—

Best candidate: Neodymium  $^{150}_{60}\text{Nd}$ 

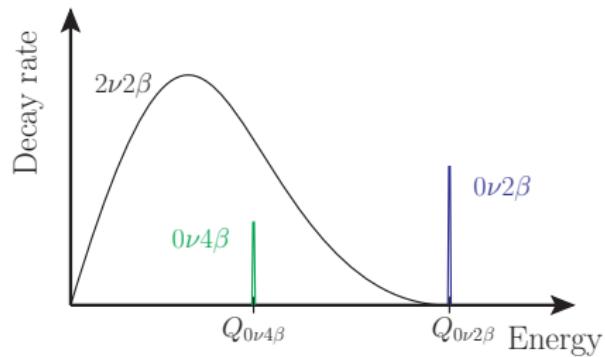
Decay channels:

- $^{150}_{60}\text{Nd} \rightarrow ^{150}_{62}\text{Sm}$  via  $2\nu 2\beta$  ( $\tau_{1/2}^{2\nu 2\beta} \simeq 7 \times 10^{18} \text{ y}$ ):

$$0 < \sum E_{e,i} < 3.371 \text{ MeV}.$$

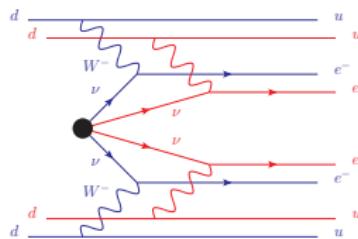
- $^{150}_{60}\text{Nd} \rightarrow ^{150}_{64}\text{Gd}$  via  $0\nu 4\beta$ :

$$\sum E_{e,i} = Q_{0\nu 4\beta} = 2.079 \text{ MeV}.$$



# Neutrinoless quadruple beta decay $0\nu4\beta$

$(A, Z) \rightarrow (A, Z + 4) + 4 e^-$  via  $\mathcal{O} = (\bar{\nu}_L^c \nu_L)^2 / \Lambda^2$ :



- Very naive comparison with competing channel  $2\nu2\beta$ :

$$\frac{\tau_{1/2}^{0\nu4\beta}}{\tau_{1/2}^{2\nu2\beta}} \simeq \left( \frac{Q_{0\nu2\beta}}{Q_{0\nu4\beta}} \right)^{11} \left( \frac{\Lambda^4}{q^{12} G_F^4} \right) \simeq 10^{46} \left( \frac{\Lambda}{\text{TeV}} \right)^4,$$

with  $|q| \sim p_\nu \sim 1 \text{ fm}^{-1} \simeq 100 \text{ MeV}$ .

- Estimated rate in toy model unobservably small. Elaborate models with resonances overcome this?

# Rare decays in QED

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- Measurements:

8 Summary Tables of Particle Properties

SUMMARY TABLES OF PARTICLE PROPERTIES

Extracted from the Particle Listings of the  
*Review of Particle Physics*

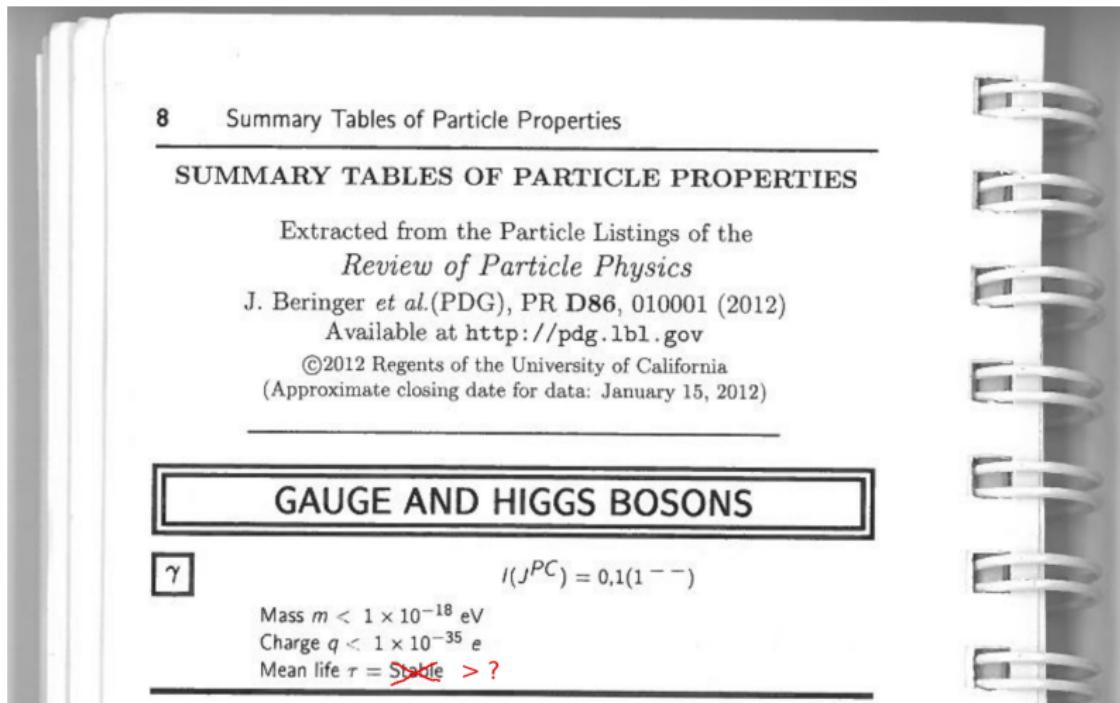
J. Beringer *et al.* (PDG), PR D86, 010001 (2012)  
Available at <http://pdg.lbl.gov>  
©2012 Regents of the University of California  
(Approximate closing date for data: January 15, 2012)

**GAUGE AND HIGGS BOSONS**

$\gamma$	$I(J^{PC}) = 0,1(1^{--})$
Mass $m < 1 \times 10^{-18}$ eV	
Charge $q < 1 \times 10^{-35}$ e	
Mean life $\tau = \text{Stable}$	

# What do we know about photons?

- Mass  $m = 0$ , charge  $Q = 0$ , lifetime  $\tau_\gamma = \infty$
- Measurements:



# Massive photons

- Standard argument:  $\mathcal{L} \supset \frac{1}{2}m^2 A_\mu A^\mu$  breaks gauge invariance  $A_\mu \rightarrow A_\mu - \partial_\mu \theta(x)$ .
- True, but theory *still* renormalizable, unitary, charge conserved etc.
- Formal reason: Stückelberg mechanism:

$$\frac{1}{2}m^2 A_\mu A^\mu \rightarrow \Delta \mathcal{L} \equiv \frac{1}{2}(mA^\mu + \partial^\mu \sigma)(mA_\mu + \partial_\mu \sigma).$$

- Real scalar  $\sigma$  transforms as  $\sigma \rightarrow \sigma + m\theta(x)$ , gauge invariance restored.
- Mass term  $\frac{1}{2}m^2 A_\mu A^\mu$  is just gauge *fixing*, not *breaking*.

$U(1)$  gauge bosons can have mass without symmetry breaking.

# Massive photons II

Theory:<sup>2</sup>

- QED  $U(1)_{\text{EM}} \subset$  Standard Model  $SU(3)_C \times SU(2)_L \times U(1)_Y$ .
- Stückelberg mass for hypercharge boson  $m_Y^2$  gives photon mass + corrections  $m_\gamma^2/M_Z^2$  to SM.
- Not possible in Grand Unified Theories  
 $SU(3)_C \times SU(2)_L \times U(1)_Y \subset SU(5) \subset SO(10) \subset E_6$ .

Experiment:<sup>3</sup>

- Coulomb's law  $V(r) = -\frac{e}{r}e^{-mr}$  gives  $m \lesssim 10^{-14}$  eV.
- Solar wind magnetic field:  $m \lesssim 10^{-18}$  eV.
- Galactic magnetic field:  $m \lesssim 10^{-26}$  eV.

Massive photon not crazy, simplest SM extension.

<sup>2</sup>Ruegg and Ruiz-Altaba, arXiv:hep-th/0304245.

<sup>3</sup>Goldhaber and Nieto, arXiv:0809.1003.

# Unstable photons

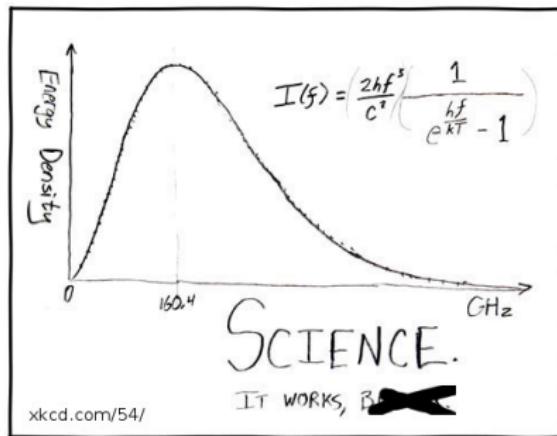
- Massive photon can kinematically decay,<sup>4</sup> e.g. to lightest neutrino:  
 $\gamma \rightarrow \nu\nu$ .
- Model-independent limit on  $\tau_\gamma$ : well-known low-energy photons.

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- Blackbody spectrum from cosmic microwave background!



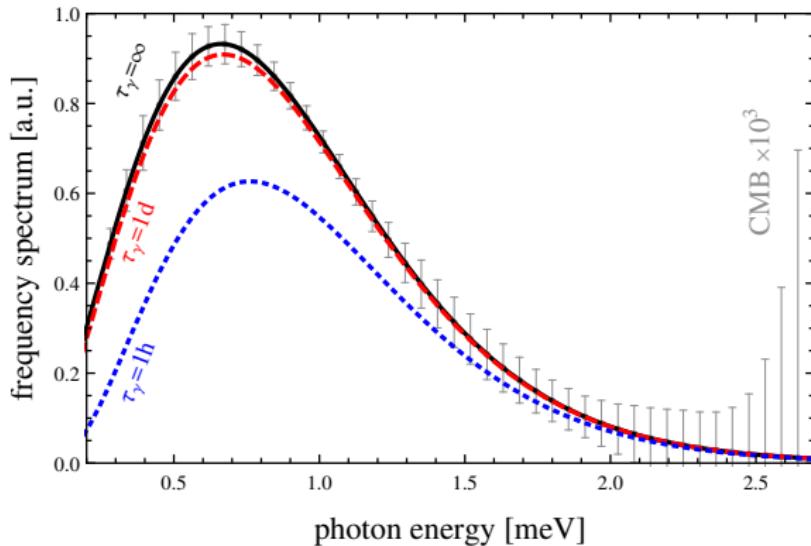
<sup>4</sup>Heeck, PRL 111 (2013), 021801 [arXiv:1304.2821].

# Unstable photons

- Modified blackbody spectrum:

$$\rho(E, T) dE \simeq \frac{1}{\pi^2} \frac{E^3 dE}{\exp(\sqrt{E^2 - m^2}/T) - 1} \sqrt{1 - \frac{m^2}{E^2}} \exp\left(-\frac{m d_L}{E \tau_\gamma}\right)$$

with comoving distance to CMB  $d_L \simeq 47$  billion lightyears.



# Limit on photon decay

- Fit to precise ( $10^{-4}$ ) COBE data:  $m < 3 \times 10^{-6} \text{ eV}$  and model-independent limit on photon lifetime:

$$\tau_\gamma > 3 \text{ yr} \left( \frac{m}{10^{-18} \text{ eV}} \right) \quad \text{at 95% C.L.}$$

- Visible photons are boosted:  $\tau_\gamma(\text{red light}) \sim 10^{18} \tau_\gamma$ .

Caution:

- Assumed free-streaming CMB photons: interactions with ionized plasma generate plasma-mass for  $\gamma$ .
- Model-dependent limits far stronger, because  $\gamma \rightarrow XX$  implies milli-charged  $X$ .<sup>5</sup>

<sup>5</sup>Davidson, Hannestad, and Raffelt, arXiv:hep-ph/0001179.

# Summary

Fundamental abelian symmetries of SM:

$U(1)_{B-L}$

- global, local, unbroken, broken by 2, 4, 6, ... units?
- Now:  $\Delta L = 2$  via  $0\nu 2\beta$ .
- In principle:  $\Delta L = 4$  via  $0\nu 4\beta$ ; experimentally (and theoretically) challenging.
- $\Delta L = 4$  lowest LNV of Dirac neutrinos.

$U(1)_{\text{EM}}$

- Photon can be massive (gauge invariant!).
- Could (kinematically) decay, e.g.  $\gamma \rightarrow \nu\nu$ .
- First model-independent bound:  $\tau_\gamma > 3 \text{ yr}$ .
- Severe implications: photon decay (or mass) would kill GUTs.

# Phenomenology of LNV Dirac neutrinos

Quick summary:

- Even with Dirac neutrinos, we can have LNV.
- Lowest order is then  $\Delta(B - L) = \Delta L = 4$ , via  $\mathcal{O}_{d=6} = (\bar{\nu}_R^c \nu_R)^2 / \Lambda^2$ .

How to check for  $\Delta L = 4$ ?

- Neutrinoless quadruple beta decay  $(A, Z) \rightarrow (A, Z + 4) + 4 e^-$ .
- Collider process  $e^- e^- \rightarrow W^- W^- W^- W^- \ell^+ \ell^+$ .
- Rare meson decays etc.?

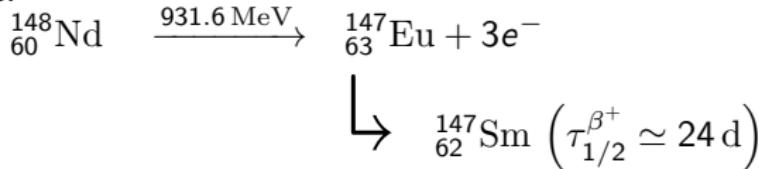
All tough, many particles in final state!

$\Delta L = 4$  can however easily be relevant in the early Universe  
 $\Rightarrow$  new Dirac leptogenesis mechanism predicting  $3.14 \lesssim N_{\text{eff}} \lesssim 3.29$ .<sup>6</sup>

<sup>6</sup>Heeck, PRD **88**, 076004 (2013) [arXiv:1307.2241].

# Comments on $0\nu 4\beta$

- Background: electrons from  $2\nu 2\beta$  kick out two more  $e^-$ .  
 $\Rightarrow 4 e^-$  with  $\sum E_i \sim Q_{0\nu 4\beta}$  possible.
- $0\nu 4\beta$  to excited state  $^{150}_{64}\text{Gd}^*$ :  $Q$  reduced by 0.6 MeV ( $2^+$ ) or 1.2 MeV ( $0^+$ ), but more photons...
- Nuclear matrix elements impossible (?) to calculate.  
 $\Rightarrow$  No way to extract fundamental couplings from  $\tau_{0\nu 4\beta}$  (?)
- $0\nu 6\beta$  etc. all involve beta-unstable nuclei.  
 $\Rightarrow 0\nu 2\beta$  and  $0\nu 4\beta$  somewhat unique.
- Very different  $\Delta(B - L) = 4$  decay:  $4n \rightarrow 3p + 3e^-$ , mimics  $0\nu 3\beta$ .  
Candidate:



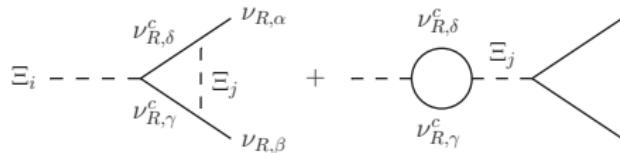
followed by the  $\beta^+$  decay into  $^{147}_{62}\text{Sm}$  with half-life 24 d.

Dirac  $B - L$ : LeptogenesisLeptogenesis via  $\Delta L = 4$ ?

- Scalar potential  $V(H, \phi, \chi) \supset -\mu \phi_{B-L=4} (\chi_{B-L=-2})^2$  breaks complex  $\chi_{B-L=-2} = (\Xi_1 + i \Xi_2)/\sqrt{2}$  into two real scalars with mass

$$m_1^2 = m_c^2 - 2\mu \langle \phi_{B-L=4} \rangle, \quad m_2^2 = m_c^2 + 2\mu \langle \phi_{B-L=4} \rangle.$$

- Heavy mediator scalar  $\Xi_j$  decays to  $\nu_R \bar{\nu}_R$  or  $\bar{\nu}_R \bar{\nu}_R$  out-of-equilibrium in early Universe.<sup>7</sup>



- $CP$  violation requires second scalar  $\chi_{B-L=-2}$ .

Asymmetry in  $\nu_R$ . How to translate to baryon asymmetry?

<sup>7</sup>Heeck, PRD 88, 076004 (2013) [arXiv:1307.2241].

# Baryon Asymmetry

$$Y_{\nu_R} \equiv \frac{n_{\nu_R}}{s} \sim \frac{1}{g_*} \frac{\Gamma(\Xi_i \rightarrow \nu_R \nu_R) - \Gamma(\Xi_i \rightarrow \nu_R^c \nu_R^c)}{\Gamma(\Xi_i \rightarrow \nu_R \nu_R) + \Gamma(\Xi_i \rightarrow \nu_R^c \nu_R^c)}.$$

- Dirac-Yukawa coupling  $Y_\nu = m_\nu / \langle H \rangle$  too small to equilibrate  $\nu_R \dots$

Add second Higgs doublet  $H_2$  with large Yukawa  $\bar{L} H_2 \nu_R$ :

- Neutrinophilic  $H_2$  with small VEV  $\langle H_2 \rangle \sim 1 \text{ eV}$   
 $\Rightarrow$  Dirac neutrinos light with large Yukawas.

- $H_2$  moves  $Y_{\nu_R}$  to  $Y_{\nu_L}$ .

- Sphalerons move  $Y_{\nu_L}$  to  $Y_B$ .

$\Rightarrow$  Different from neutrino genesis, similar to standard leptogenesis!

- Necessary thermalization of  $\nu_R \Rightarrow N_{\text{eff}} > 3!$
- $3.14 \lesssim N_{\text{eff}} \lesssim 3.29$  depending on  $H_2^+$  mass and Yukawa coupling.
- Specific collider signatures of neutrinophilic  $H_2$ .<sup>8</sup>

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<sup>8</sup>S. M. Davidson and H. E. Logan, PRD **80**, 095008 (2009).