

# Where does CP violation actually come from?

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Mu-Chun Chen, University of California, Irvine

WIN 2015, Heidelberg, Germany, June 8 - 13, 2015

# Where can CP violation possibly come from?

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Mu-Chun Chen, University of California, Irvine

Based on work in collaboration with  
Maximillian Fallbacher, K.T. Mahanthappa, Michael Ratz, Andreas Trautner

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# CP Violation in Nature

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- CP violation: required to explain matter-antimatter asymmetry
- So far observed only in flavor sector
  - SM: CKM matrix for the quark sector
    - experimentally established  $\delta_{\text{CKM}}$  as major source of CP violation
- Search for new source of CP violation:
  - CP violation in neutrino sector
  - if found  $\Rightarrow$  phase in PMNS matrix
- Discrete family symmetries:
  - suggested by large neutrino mixing angles
  - neutrino mixing angles from group theoretical CG coefficients

**Discrete (family) symmetries  $\Leftrightarrow$  Physical CP violation**

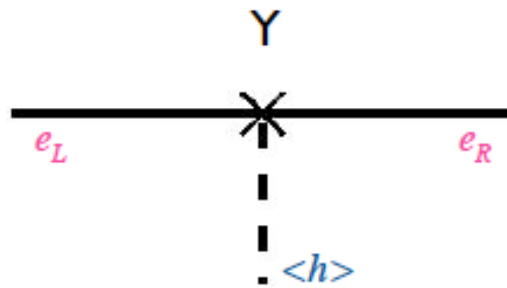
# Origin of CP Violation

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- CP violation  $\Leftrightarrow$  complex mass matrices

$$\bar{U}_{R,i}(M_u)_{ij}Q_{L,j} + \bar{Q}_{L,j}(M_u^\dagger)_{ji}U_{R,i} \xrightarrow{\mathcal{CP}} \bar{Q}_{L,j}(M_u)_{ij}U_{R,i} + \bar{U}_{R,i}(M_u)_{ij}^*Q_{L,j}$$

- Conventionally, CPV arises in two ways:
  - Explicit CP violation: complex Yukawa coupling constants  $Y$
  - Spontaneous CP violation: complex scalar VEVs  $\langle h \rangle$





# A Novel Origin of CP Violation

M.-C.C., K.T. Mahanthappa  
Phys. Lett. B681, 444 (2009)

- Complex CG coefficients in certain discrete groups  $\Rightarrow$  explicit CP violation
  - Real Yukawa couplings, real scalar VEVs
  - CPV in quark and lepton sectors purely from complex CG coefficients
  - No additional parameters needed  $\Rightarrow$  extremely predictive model!

**Basic idea**

Discrete symmetry  $G$

real coupling  $\rightarrow Y$

$(L_1, L_2)$   $(R_1, R_2)$

$C_1$   $Y\langle\Delta_2\rangle$   $C_2$   $Y\langle\Delta_1\rangle$   $C_3$   $Y\langle\Delta_1\rangle$   $C_4$   $Y\langle\Delta_3\rangle$

- Scalar potential: if  $Z_3$  symmetric  $\Rightarrow \langle\Delta_1\rangle = \langle\Delta_2\rangle = \langle\Delta_3\rangle \equiv \langle\Delta\rangle$  real
- Complex effective mass matrix: **phases determined by group theory**

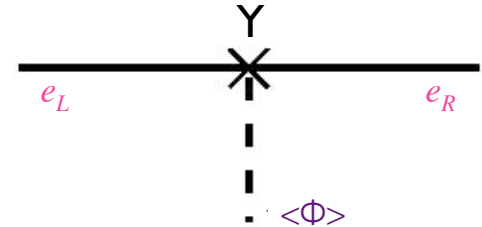
$$M = \begin{pmatrix} L_1 & L_2 \\ C_1 & C_3 \\ C_2 & C_4 \end{pmatrix} Y \langle\Delta\rangle \begin{pmatrix} R_1 \\ R_2 \end{pmatrix}$$

$C_{1,2,3,4}$ : complex CG coefficients of  $G$

# A Novel Origin of CP Violation

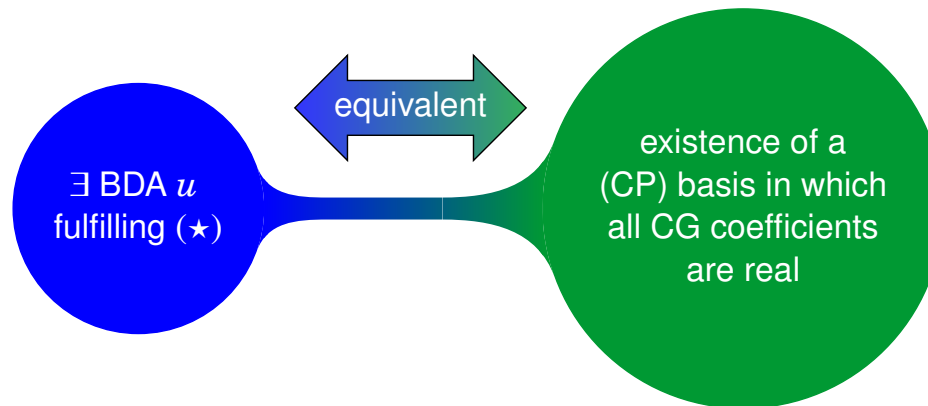
- Conventionally:
  - Explicit CP violation: complex Yukawa couplings
  - Spontaneous CP violation: complex Higgs VEVs
- Complex CG coefficients in discrete groups  $\Rightarrow$  explicit CP violation in quark and lepton sectors (e.g.  $\delta \neq 0$ )
 

M.-C.C, K.T. Mahanthappa, Phys. Lett. B681, 444 (2009);  
M.-C.C, M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner, Nucl. Phys. B (2014)



- Conditions for a discrete group to admit real CG's Bickerstaff, Damhus, 1985

$\exists$  automorphism  $u$ , such that  $\lambda_k(\mathbf{R}) = \lambda_k(u(\mathbf{R}))^*$  for all  $\mathbf{R} \in G$

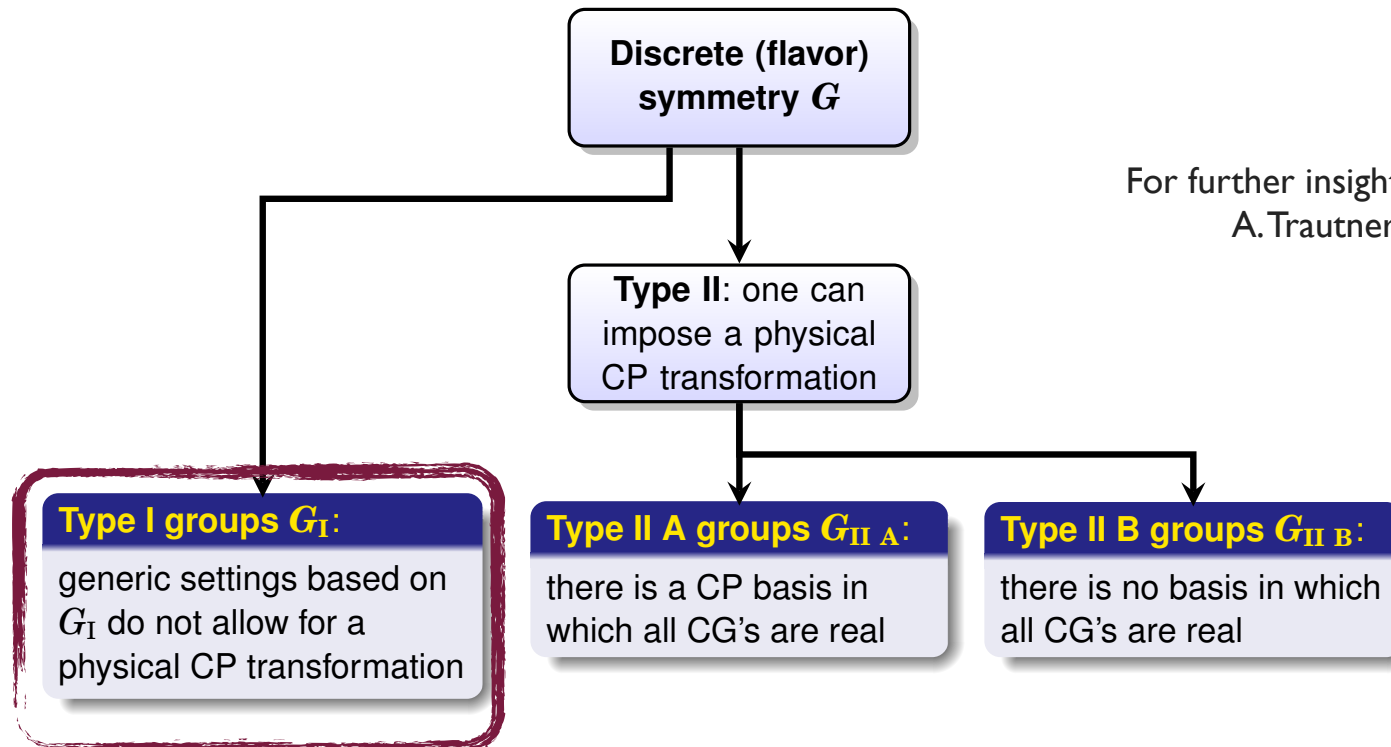


# A Novel Origin of CP Violation

M.-C.C, M. Fallbacher, K.T. Mahanthappa,  
M. Ratz, A. Trautner, NPB (2014)

- More generally, for discrete groups that do not have class-inverting, involutory automorphism, CP is generically broken by complex CG coefficients (**Type I Group**)
- Non-existence of such automorphism  $\Leftrightarrow$  Physical CP violation

## CP Violation from Group Theory!



For further insights, see, M. Fallbacher,  
A. Trautner, NPB (2015)

# Physical CP Transformation

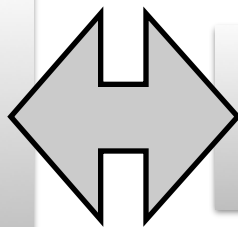
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- **NOT** all outer automorphisms correspond to physical CP transformations
- Condition on automorphism for *physical* CP transformation

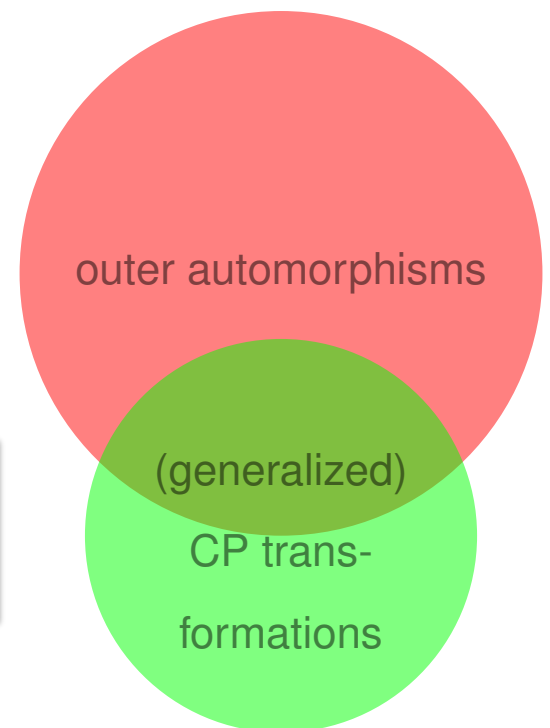
$$\rho_{r_i}(u(g)) = U_{r_i} \rho_{r_i}(g)^* U_{r_i}^\dagger \quad \forall g \in G \text{ and } \forall i$$

M.-C.C, M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner, NPB (2014)

class inverting,  
involutory  
automorphisms



physical CP  
transformations



# Examples

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

- Type I: all odd order non-Abelian groups

group	$\mathbb{Z}_5 \rtimes \mathbb{Z}_4$	$T_7$	$\Delta(27)$	$\mathbb{Z}_9 \rtimes \mathbb{Z}_3$
SG	(20,3)	(21,1)	(27,3)	(27,4)

- Type IIA: dihedral and all Abelian groups

group	$S_3$	$Q_8$	$A_4$	$\mathbb{Z}_3 \rtimes \mathbb{Z}_8$	$T'$	$S_4$	$A_5$
SG	(6,1)	(8,4)	(12,3)	(24,1)	(24,3)	(24,12)	(60,5)

- Type IIB

group	$\Sigma(72)$	$((\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_4) \rtimes \mathbb{Z}_4$
SG	(72,41)	(144,120)

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Example for a type I group:

$$\Delta(27)$$



- decay asymmetry in a toy model
- prediction of  $CP$  violating phase from group theory

# Toy Model based on $\Delta(27)$

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

- Field content

field	$S$	$X$	$Y$	$\Psi$	$\Sigma$
$\Delta(27)$	$\mathbf{1}_0$	$\mathbf{1}_1$	$\mathbf{1}_3$	$\mathbf{3}$	$\mathbf{3}$
U(1)	$q_\Psi - q_\Sigma$	$q_\Psi - q_\Sigma$	0	$q_\Psi$	$q_\Sigma$

fermions

- Interactions

$q_\Psi - q_\Sigma \neq 0$

$$\mathcal{L}_{\text{toy}} = F^{ij} S \bar{\Psi}_i \Sigma_j + G^{ij} X \bar{\Psi}_i \Sigma_j + H_\Psi^{ij} Y \bar{\Psi}_i \Psi_j + H_\Sigma^{ij} Y \bar{\Sigma}_i \Sigma_j + \text{h.c.}$$

$F = f \mathbb{1}_3$

$G = g \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$

$H_{\Psi/\Sigma} = h_{\Psi/\Sigma} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}$   
with  $\omega := e^{2\pi i/3}$

“flavor” structures determined by (complex) CG coefficients

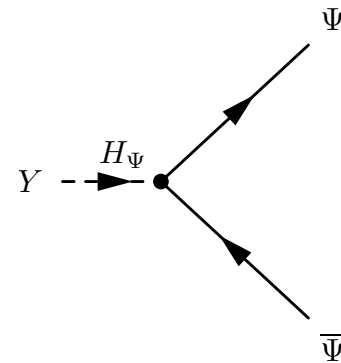
arbitrary coupling constants:  $f, g, h_\Psi, h_\Sigma$

# Toy Model based on $\Delta(27)$

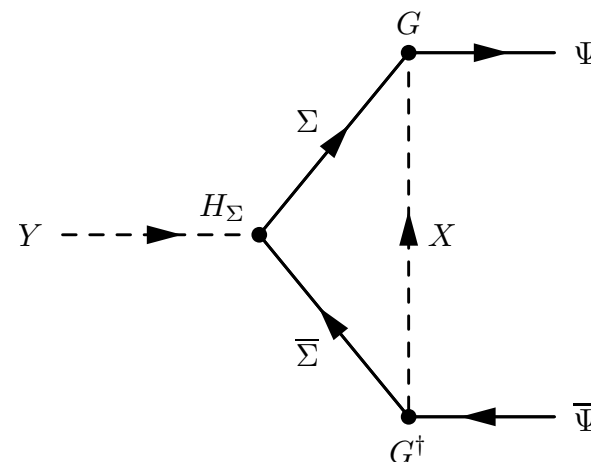
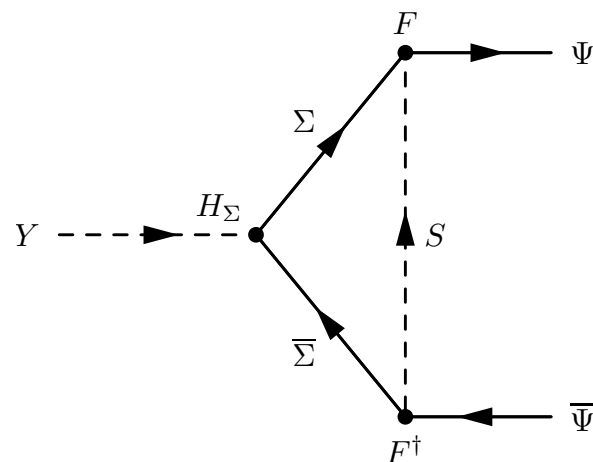
M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

- Particle decay  $Y \rightarrow \bar{\Psi}\Psi$

interference of



with





# Decay Asymmetry

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

- Decay asymmetry

$$\begin{aligned}\varepsilon_{Y \rightarrow \bar{\Psi}\Psi} &= \frac{\Gamma(Y \rightarrow \bar{\Psi}\Psi) - \Gamma(Y^* \rightarrow \bar{\Psi}\Psi)}{\Gamma(Y \rightarrow \bar{\Psi}\Psi) + \Gamma(Y^* \rightarrow \bar{\Psi}\Psi)} \\ &\propto \text{Im}[I_S] \text{Im}\left[\text{tr}\left(F^\dagger H_\Psi F H_\Sigma^\dagger\right)\right] + \text{Im}[I_X] \text{Im}\left[\text{tr}\left(G^\dagger H_\Psi G H_\Sigma^\dagger\right)\right] \\ &= |f|^2 \text{Im}[I_S] \text{Im}[h_\Psi h_\Sigma^*] + |g|^2 \text{Im}[I_X] \text{Im}[\omega h_\Psi h_\Sigma^*] .\end{aligned}$$

one-loop integral  $I_S = I(M_S, M_Y)$

one-loop integral  $I_X = I(M_X, M_Y)$

- properties of  $\varepsilon$

- invariant under rephasing of fields
- independent of phases of f and g
- basis independent

# Decay Asymmetry

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

- Decay asymmetry

$$\varepsilon_{Y \rightarrow \bar{\Psi}\Psi} = |f|^2 \operatorname{Im} [I_S] \operatorname{Im} [h_\Psi h_\Sigma^*] + |g|^2 \operatorname{Im} [I_X] \operatorname{Im} [\omega h_\Psi h_\Sigma^*]$$

- cancellation requires delicate adjustment of relative phase  $\varphi := \arg(h_\Psi h_\Sigma^*)$
- for non-degenerate  $M_S$  and  $M_X$ :  $\operatorname{Im} [I_S] \neq \operatorname{Im} [I_X]$ 
  - phase  $\varphi$  unstable under quantum corrections
- for  $\operatorname{Im} [I_S] = \operatorname{Im} [I_X]$  &  $|f| = |g|$ 
  - phase  $\varphi$  stable under quantum corrections
  - relations **cannot** be ensured by outer automorphism of  $\Delta(27)$
  - require symmetry larger than  $\Delta(27)$

**model based on  $\Delta(27)$  violates CP!**

# Spontaneous CP Violation with Calculable CP Phase

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

field	$X$	$Y$	$Z$	$\Psi$	$\Sigma$	$\phi$
$\Delta(27)$	$\mathbf{1}_1$	$\mathbf{1}_3$	$\mathbf{1}_8$	$\mathbf{3}$	$\mathbf{3}$	$\mathbf{1}_0$
U(1)	$2q_\Psi$	0	$2q_\Psi$	$q_\Psi$	$-q_\Psi$	0

$$\Delta(27) \subset \text{SG}(54, 5): \begin{cases} (X, Z) & : \text{doublet} \\ (\Psi, \Sigma^c) & : \text{hexaplet} \\ \phi & : \text{non-trivial 1-dim. representation} \end{cases}$$

☞ non-trivial  $\langle \phi \rangle$  breaks  $\text{SG}(54, 5) \rightarrow \Delta(27)$

☞ allowed coupling leads to mass splitting  $\mathcal{L}_{\text{toy}}^\phi \supset M^2 (|X|^2 + |Z|^2) + \left[ \frac{\mu}{\sqrt{2}} \langle \phi \rangle (|X|^2 - |Z|^2) + \text{h.c.} \right]$

➡ CP asymmetry with calculable phases

$$\varepsilon_{Y \rightarrow \bar{\Psi} \Psi} \propto |g|^2 |h_\Psi|^2 \text{Im} [\omega] (\text{Im} [I_X] - \text{Im} [I_Z])$$

phase predicted by group theory

CG coefficient of  $\text{SG}(54, 5)$

**Group theoretical origin  
of CP violation!**

M.-C.C., K.T. Mahanthappa (2009)

# Summary

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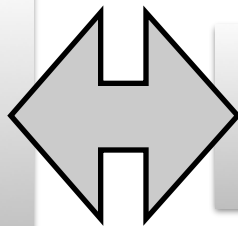
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- **NOT** all outer automorphisms correspond to physical CP transformations
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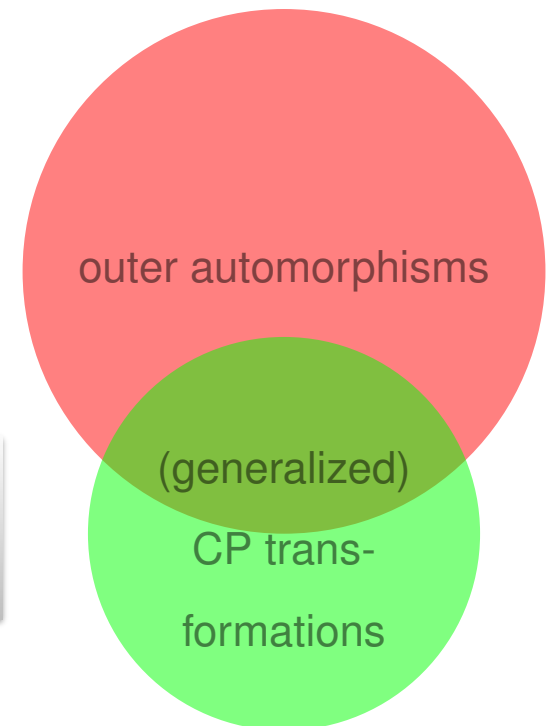
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M.-C.C, M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner, NPB (2014)

class inverting,  
involutory  
automorphisms



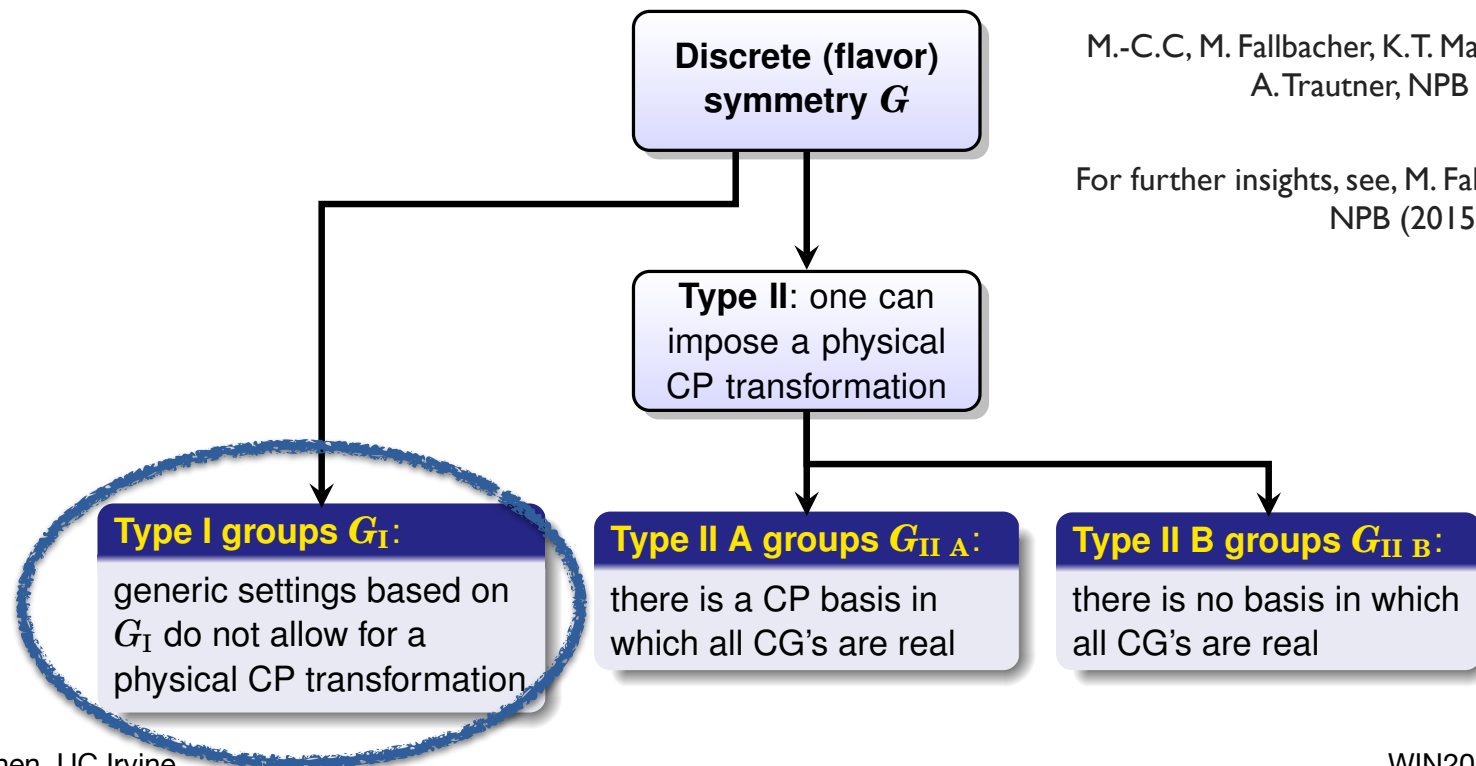
physical CP  
transformations



# Summary

- For discrete groups that do not have class-inverting, involutory automorphism, CP is generically broken by complex CG coefficients (**Type I Group**)
- Non-existence of such automorphism  $\Leftrightarrow$  physical CP violation

## CP Violation from Group Theory!



M.-C.C, M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner, NPB (2014)

For further insights, see, M. Fallbacher, A. Trautner, NPB (2015)

# Backup Slides

# CP Transformation

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- Canonical CP transformation

$$\phi(x) \xrightarrow{\mathcal{CP}} \eta_{\mathcal{CP}} \phi^*(\mathcal{P}x)$$

freedom of re-phasing fields

- Generalized CP transformation

Ecker, Grimus, Konetschny (1981); Ecker, Grimus, Neufeld (1987);  
Grimus, Rebelo (1995)

$$\Phi(x) \xrightarrow{\widetilde{\mathcal{CP}}} U_{\mathcal{CP}} \Phi^*(\mathcal{P}x)$$

unitary matrix



# Generalized CP Transformation

Ecker, Grimus, Konetschny (1981); Ecker, Grimus, Neufeld (1987)

👉 setting w/ discrete symmetry  $G$

**G and CP transformations do not commute**

👉 **generalized** CP transformation

Feruglio, Hagedorn, Ziegler (2013); Holthausen, Lindner, Schmidt (2013)

👉 invariant contraction/coupling in  $A_4$  or  $T'$

$$[\phi_{1_2} \otimes (x_3 \otimes y_3)_{1_1}]_{1_0} \propto \phi (x_1 y_1 + \omega^2 x_2 y_2 + \omega x_3 y_3)$$

$$\omega = e^{2\pi i/3}$$

👉 **canonical CP transformation** maps  $A_4/T'$  invariant contraction to something non-invariant

➡ need **generalized CP transformation**  $\tilde{CP}$ :  $\phi \xrightarrow{\tilde{CP}} \phi^*$  as usual but

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} \xrightarrow{\tilde{CP}} \begin{pmatrix} x_1^* \\ x_3^* \\ x_2^* \end{pmatrix} \quad \& \quad \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix} \xrightarrow{\tilde{CP}} \begin{pmatrix} y_1^* \\ y_3^* \\ y_2^* \end{pmatrix}$$

# The Bickerstaff-Damhus automorphism (BDA)

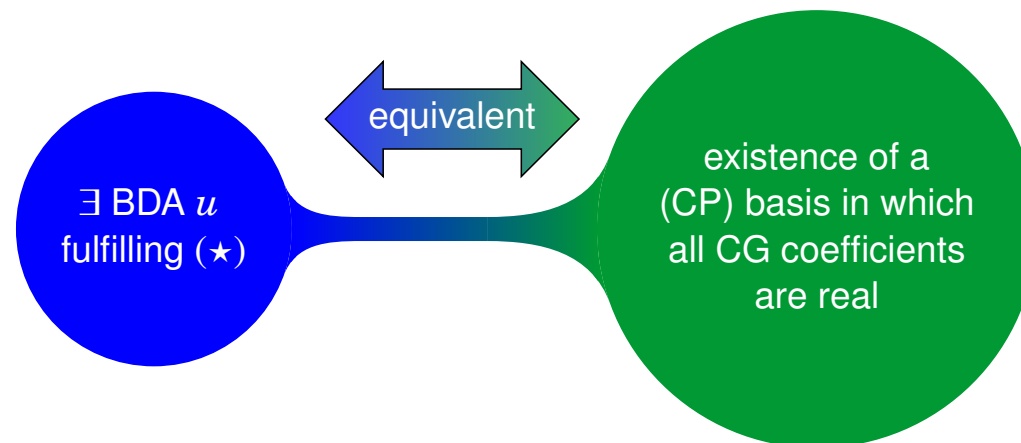
- Bickerstaff-Damhus automorphism (BDA)  $u$

Bickerstaff, Damhus (1985)

$$\rho_{r_i}(u(g)) = U_{r_i} \rho_{r_i}(g)^* U_{r_i}^\dagger \quad \forall g \in G \text{ and } \forall i \quad (\star)$$

unitary & symmetric

- BDA vs. Clebsch-Gordan (CG) coefficients



# Twisted Frobenius-Schur Indicator

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- How can one tell whether or not a given automorphism is a BDA?
- Frobenius-Schur indicator:

$$\mathbf{FS}(\mathbf{r}_i) := \frac{1}{|G|} \sum_{g \in G} \chi_{\mathbf{r}_i}(g^2) = \frac{1}{|G|} \sum_{g \in G} \mathrm{tr} [\rho_{\mathbf{r}_i}(g)^2]$$

$$\mathbf{FS}(\mathbf{r}_i) = \begin{cases} +1, & \text{if } \mathbf{r}_i \text{ is a real representation,} \\ 0, & \text{if } \mathbf{r}_i \text{ is a complex representation,} \\ -1, & \text{if } \mathbf{r}_i \text{ is a pseudo-real representation.} \end{cases}$$

- Twisted Frobenius-Schur indicator

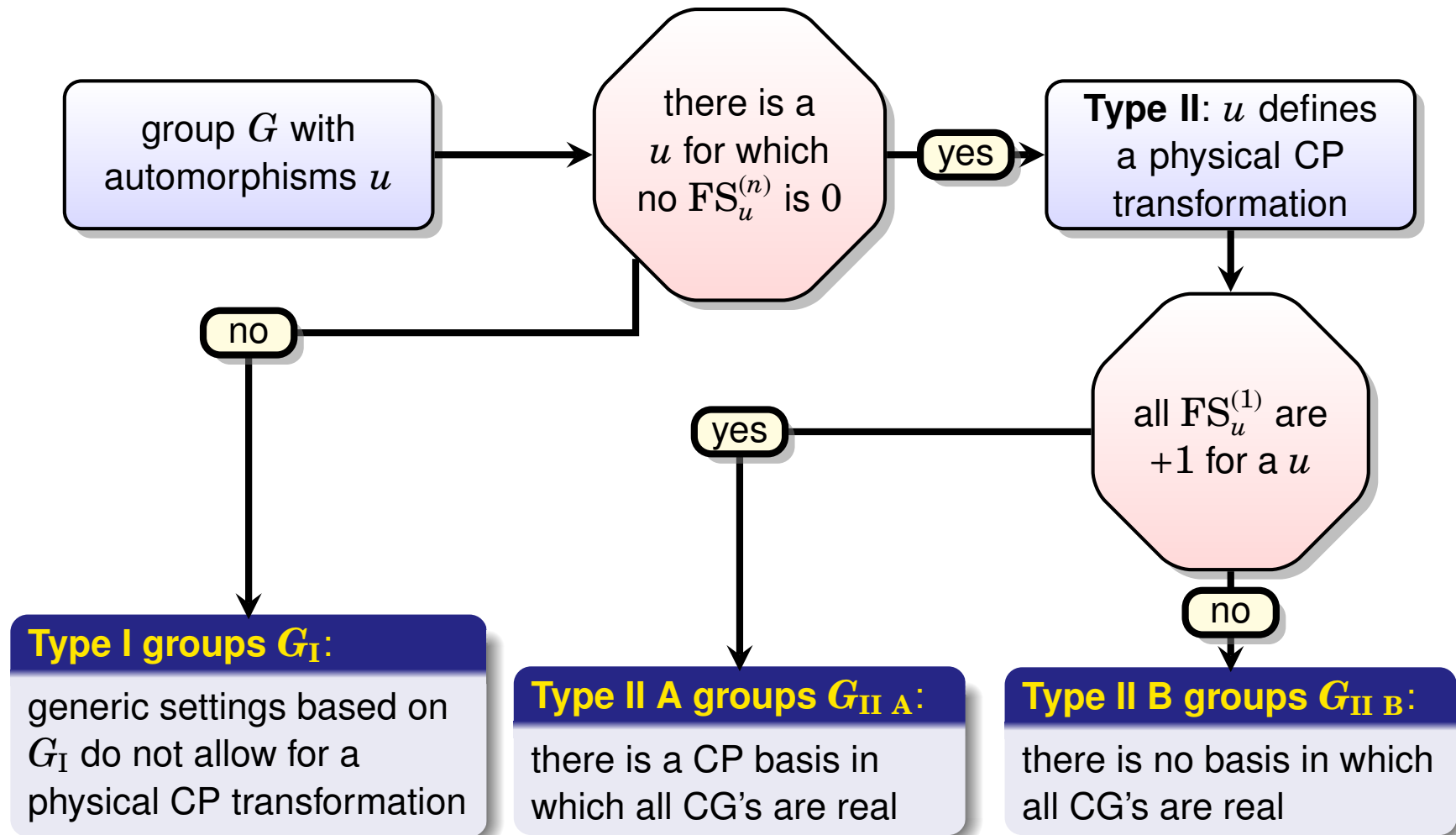
Bickerstaff, Damhus (1985); Kawanaka, Matsuyama (1990)

$$\mathbf{FS}_u(\mathbf{r}_i) = \frac{1}{|G|} \sum_{g \in G} [\rho_{\mathbf{r}_i}(g)]_{\alpha\beta} [\rho_{\mathbf{r}_i}(u(g))]_{\beta\alpha}$$

$$\mathbf{FS}_u(\mathbf{r}_i) = \begin{cases} +1 \quad \forall i, & \text{if } u \text{ is a BDA,} \\ +1 \text{ or } -1 \quad \forall i, & \text{if } u \text{ is class-inverting and involutory,} \\ \text{different from } \pm 1, & \text{otherwise.} \end{cases}$$

# Three Types of Finite Groups

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)



# CP Conservation vs Symmetry Enhancement

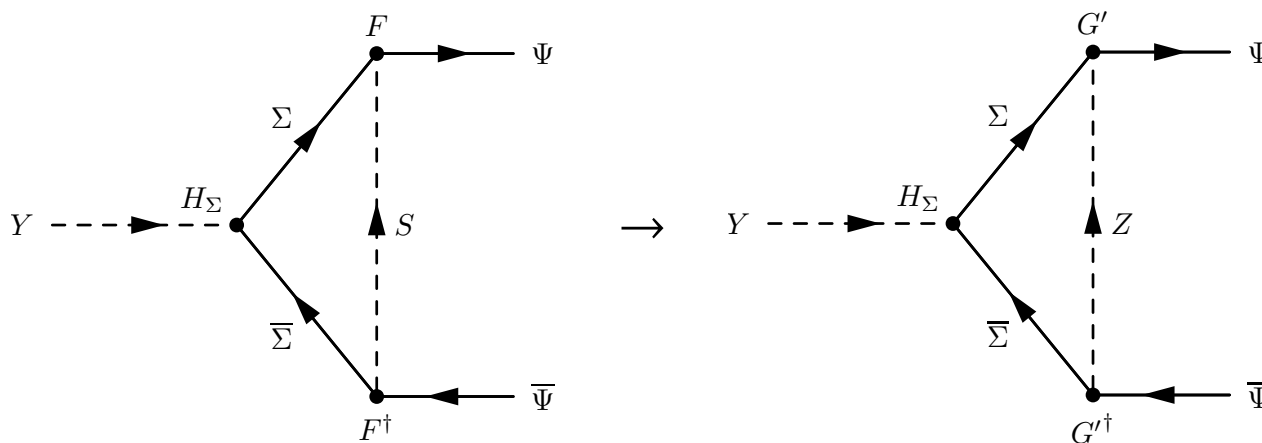
M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

👉 replace  $S \sim \mathbf{1}_0$  by  $Z \sim \mathbf{1}_8 \curvearrowright$  interaction

$$\mathcal{L}_{\text{toy}}^Z = g' \left[ Z_{\mathbf{1}_8} \otimes (\bar{\Psi}\Sigma)_{\mathbf{1}_4} \right]_{\mathbf{1}_0} + \text{h.c.} = (G')^{ij} Z \bar{\Psi}_i \Sigma_j + \text{h.c.}$$

$$G' = g' \begin{pmatrix} 0 & 0 & \omega^2 \\ 1 & 0 & 0 \\ 0 & \omega & 0 \end{pmatrix}$$

and leads to new interference diagram



# CP Conservation vs Symmetry Enhancement

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

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$$\mathcal{L}_{\text{toy}}^Z = g' \left[ Z_{\mathbf{1}_8} \otimes (\bar{\Psi}\Sigma)_{\mathbf{1}_4} \right]_{\mathbf{1}_0} + \text{h.c.} = (G')^{ij} Z \bar{\Psi}_i \Sigma_j + \text{h.c.}$$

➔ different contribution to decay asymmetry:  $\varepsilon_{Y \rightarrow \bar{\Psi}\Psi}^S \rightarrow \varepsilon_{Y \rightarrow \bar{\Psi}\Psi}^Z$

☞ total CP asymmetry of the  $Y$  decay vanishes if  $\left\{ \begin{array}{l} \text{(i)} \quad M_Z = M_X \\ \text{(ii)} \quad |g| = |g'| \\ \text{(iii)} \quad \varphi = 0 \end{array} \right.$

☞ relations (i)—(iii) can be due to an **outer automorphism**

$$X \xleftrightarrow{u_3} Z, \quad Y \xrightarrow{u_3} Y, \quad \Psi \xrightarrow{u_3} U_{u_3} \Sigma^C \quad \& \quad \Sigma \xrightarrow{u_3} U_{u_3} \Psi^C$$

requires  $q_\Sigma = -q_\Psi$

$$U_{u_3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}$$

... BUT this enlarges  $\Delta(27) \rightarrow \text{SG}(54, 5) \simeq \Delta(27) \rtimes \mathbb{Z}_2^{u_3}$

SG(54, 5): group name from GAP library

# Summary

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Three examples:

M.-C.C, M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner, NPB (2014)

## ☞ Type I group: $\Delta(27)$

- generic settings based on  $\Delta(27)$  violate CP!
- spontaneous breaking of type II A group  $SG(54, 5) \rightarrow \Delta(27)$   
↪ prediction of CP violating phase from group theory!

## ☞ Type II A group: $T'$

- CP basis exists but has certain shortcomings
- advantageous to work in a different basis & impose generalized CP transformation
- CP constrains phases of coupling coefficients

## ☞ Type II B group: $\Sigma(72)$

- absence of CP basis but generalized CP transformation ensures physical CP conservation
- CP forbids couplings