

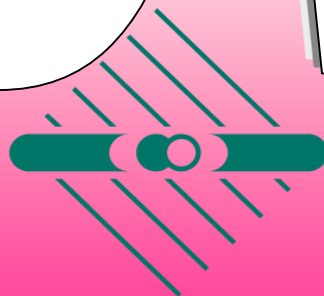
# Now & 30 years ago

# MSW

A. Y. Smirnov



MAX-PLANCK-GESELLSCHAFT



MAX-PLANCK-INSTITUT  
FÜR KERNPHYSIK

# “M”

# Stas Mikheyev



Experimentalist

Baksan telescope

MACRO

K2K

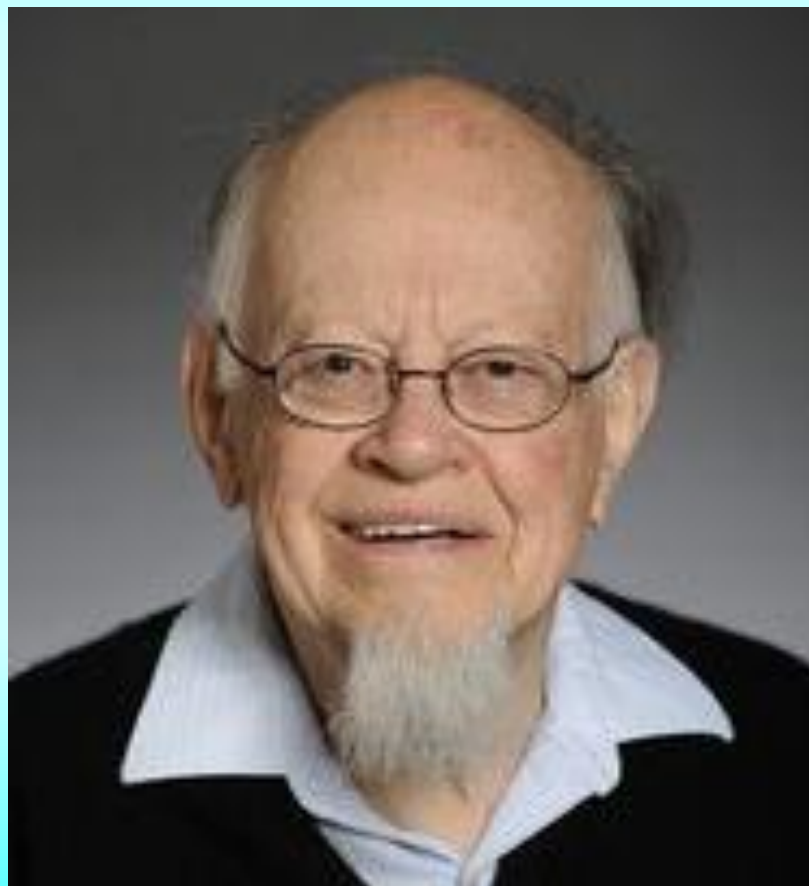
Baikal neutrino

telescope

1940 - 2011

“W”

Lincoln Wolfenstein



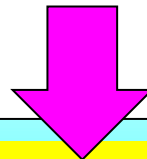
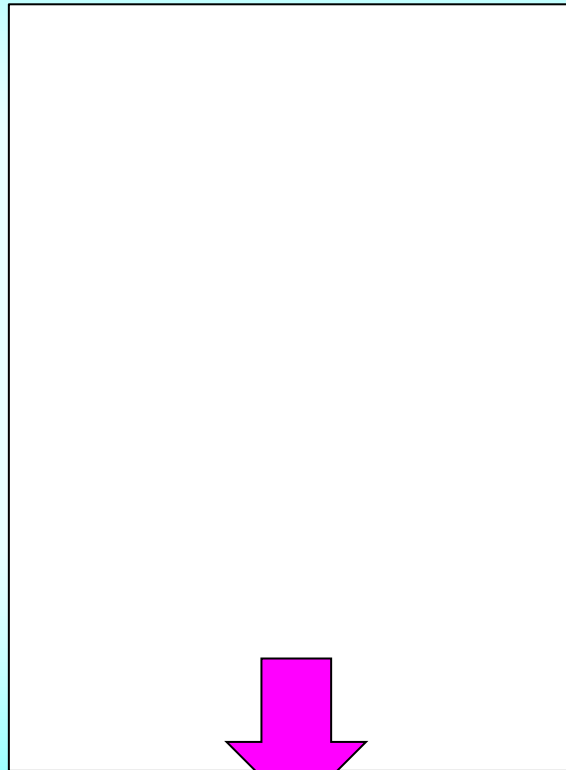
1923 - 2015

March 27

# M

# “S”

# W



Pre-excursion talk  
Between physics program  
and entertainment

Time ordering:

**30 years ago**

A bit history but more  
about physics and some  
personalities

Looks like abituary

# WIN-1985

The Tenth International workshop on  
Weak Interactions and Neutrinos,  
Savonlinna, Finland, June 16 - 22, 1985



350 km from  
Helsinki

The first presentation at international meeting

"Resonance amplification of neutrino oscillations in matter and spectroscopy of solar neutrinos"

Became part of MSW

triggered wide interest to the field

first references were to the Savonlinna talk

The paper was published in Yad. Fiz. in December 1985

# Chairman:

Matts Roos

Particle physicist

painter



Now professor  
emeritus of  
Helsinki  
University

Particle physicist,  
Cosmologist,  
Painter

PDG

$\sigma$  meson

Determination of  
CKM element  
Munit, errors  
correlations

Cosmologist



# Matts Roos:

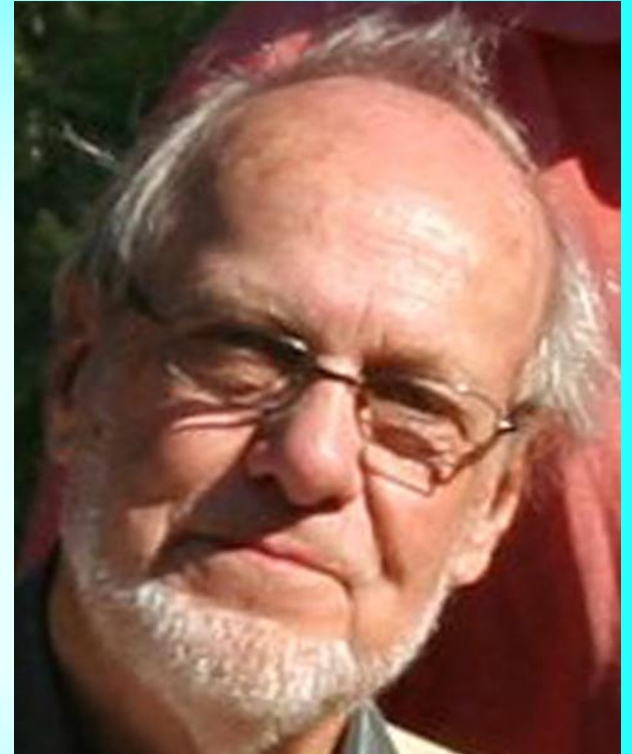
From our email exchange

We were unexperienced conference organizers in those days, so I am afraid that there are very few records, if any.

At the Institute I have changed office many times since then, usually to a smaller one, so I have also got rid of a lot of material. But I shall look ...

And then,

The only document I found was the enclosed list of invited speakers. Some of the talks were certainly published as such, try to locate Cabibbo!



# Invited and not invited speakers

80 participants, 16 invited speakers

A. Szalay (Fermilab)

F. Scheck (Mainz)

K. E. Bergkvist (Stockholm)

J. Schacher (Bern)

W. Marciano (BNL)

G. Altarelli (Rome)

R. Peccei (DESY)

A. Bohm (RWTH)

D. Haid (DESY)

A. I. Sanda (Cen-Saclay)

D. O. Caldwell (California)

S Stone (Cornell)

Some more names...

Astrophysics and Cosmology

Charged weak interactions at low energies  
and family number changing processes

Tritium beta-spectrum

pp-collider;  $W$ ,  $Z$ , exotics and new particles

Electroweak theory

SUSY-phenomenology

Composite models

Neutral current experiments with  $e^+ e^-$  beams

Other neutral current experiments

CP-violation

Neutrinoless double beta decay, experiment

Charged currents: new flavors

Matts Roos:

You and Mikheyev were not invited with your names,  
one Russian professor (I forgot who) proposed you as  
participants.



A. A. Pomansky

# Gianni Conforto

(CERN & Florence)

"Neutrino oscillations"

organizer of parallel session



1938 - 2003

Gargamelle, Crystal Ball  
NOMAD, L3

# Cecilia Jarlskog

(Stockholm)

"Status of electroweak theory"



# Serguey Petcov

(Bulgarian Acad. of Science)



Review talk:

"Massive neutrinos,  
neutrino oscillations  
and  
neutrinoless double beta decay"

I met him before in Dubna

Explained in some details

- Resonance
- adiabaticity
- new solution of the solar neutrino problem

Well, interesting... but unfortunately  
no time is available

Suddenly things changed:

We have found a slot for your  
presentation at Neutrino  
oscillation session

*I don't remember  
who told me this,  
may be Conforto*

but I suspect one person  
played crucial role

# Excursion



Sauna,  
Swimming  
in a lake

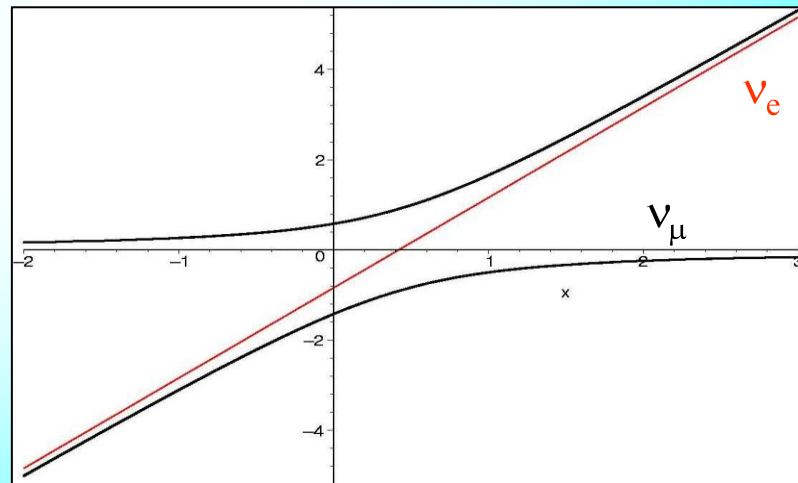
# Nicola Cabibbo

Univ. of Rome

Concluding talk

During excursion

Serguey Petcov told me about your paper and I would like to include your result in my talk. I think the effects can be understood as the level crossing processes



1935 - 2010

Do you agree with this?

Yes

Half a year later: in Hans Bethe paper  
PRL 65 (1986) 1305

# Valery Rubakov



Superheavy Magnetic Monopoles and Proton Decay, 1981

In spring 1985:

"Your transition has some similarity with catalysis of proton decay when monopole propagates near nucleon . This has interpretation as the level crossing phenomenon"

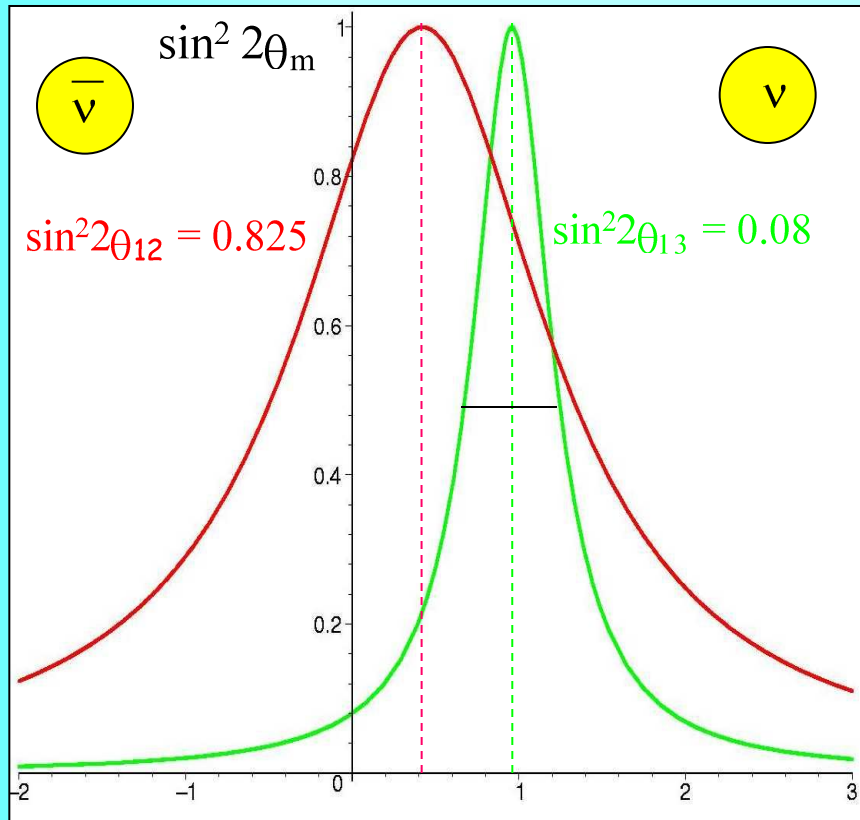
Complementary description in terms of the Eigenvalues of the system.  
I was happy with description in terms of the Eigenstates

I missed Cabibbo talk - we left one day before



In my talk:

# Resonance



Resonance condition

$$\sin^2 2\theta_m = 1$$

Flavor mixing is maximal

$$l_\nu = l_0 \cos 2\theta$$

Vacuum  
oscillation  
length

$\approx$

Refraction  
length

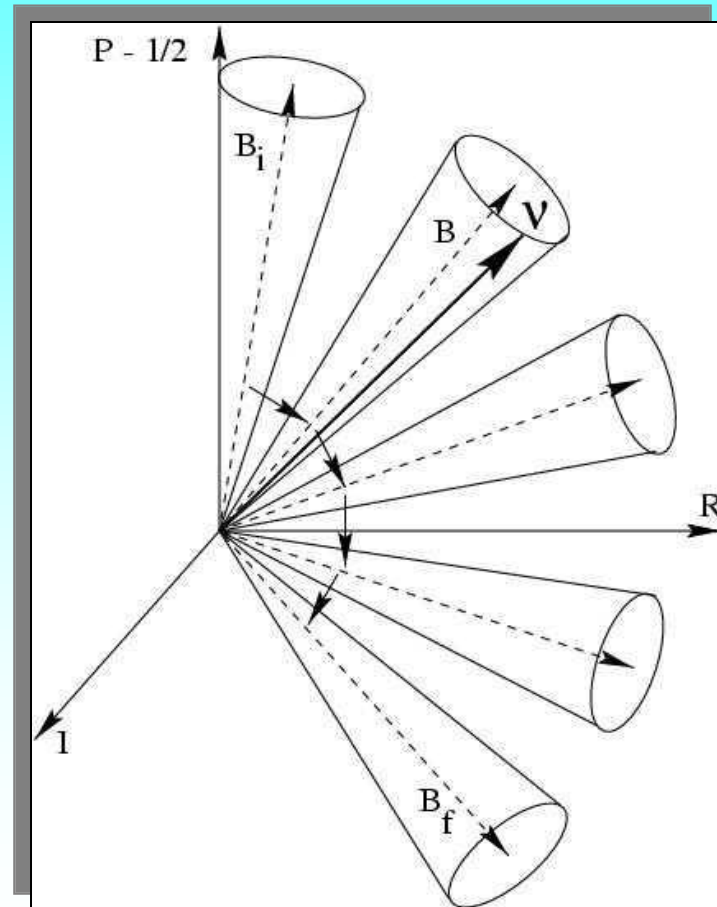
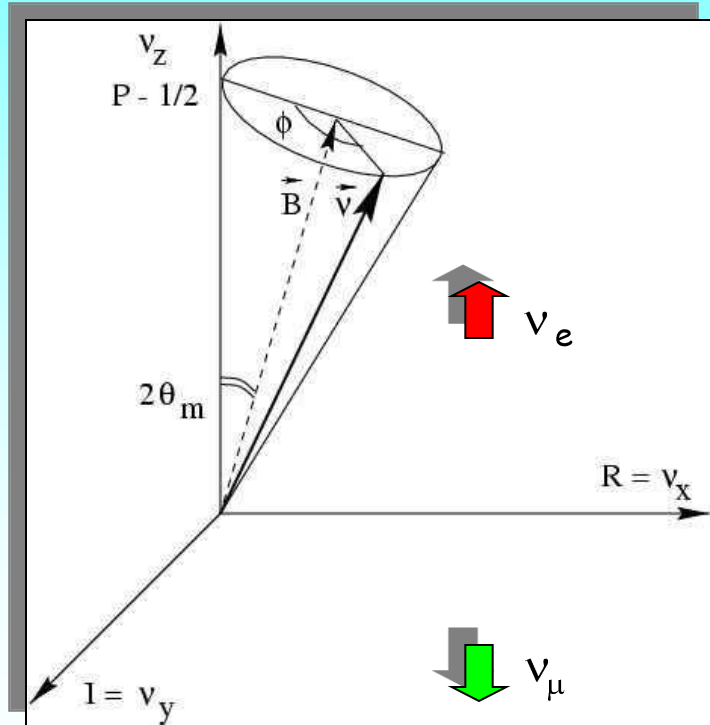
Resonance width:  $\Delta n_R = 2n_R \tan 2\theta$

Resonance layer:  $n = n_R \pm \Delta n_R$

$$\nu = \frac{\Delta m^2}{2E} \cos 2\theta$$

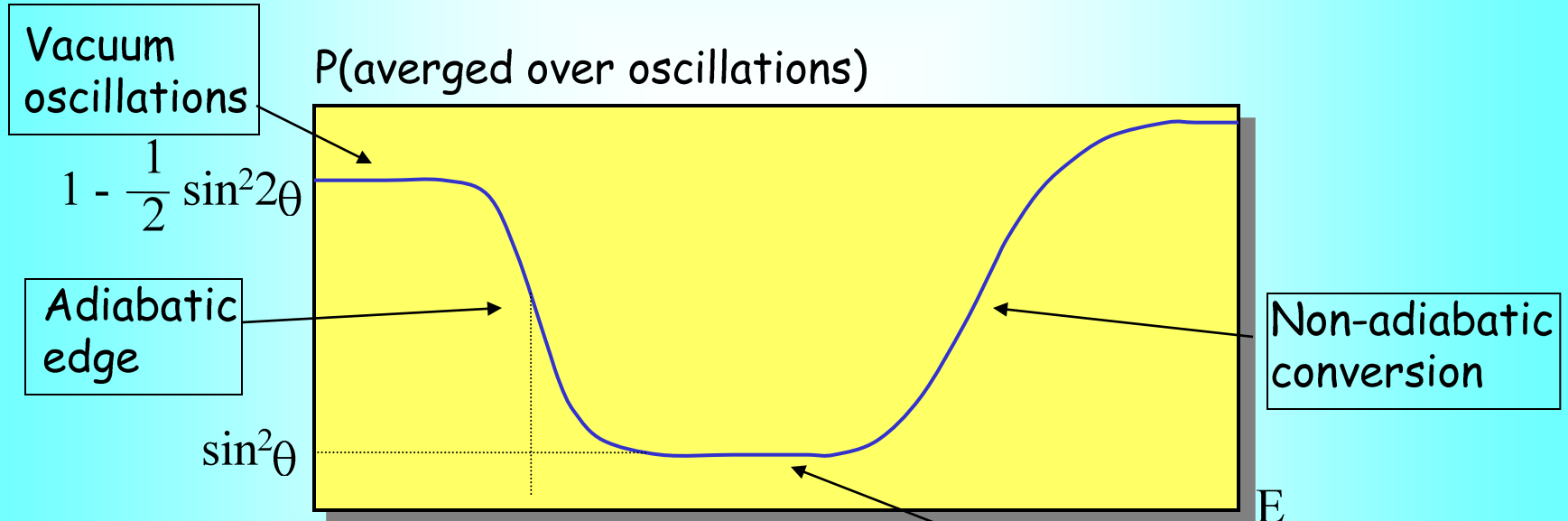
# Graphic representation

## Adiabaticity



# Survival probability

"Suppression bath"



Resonance at the highest density



$$\nu(0) = \nu_e = \nu_{2m} \rightarrow \nu_2$$

$$P = |\langle \nu_e | \nu_2 \rangle|^2 = \sin^2\theta$$

Non-oscillatory adiabatic conversion

adiabaticity

# Actually, everything is not as in reality...

The first MS talk was at INR (Moscow) month before

I used different graphic representation: for the amplitudes not for the elements of density matrix

Actually MSW is not the resonance enhancement of oscillations

There is no significant enhancement of oscillations inside the Sun

There is no even oscillations in the Sun (or oscillations are irrelevant)

No MSW in June 1985

The term has appeared at the end of 1985 - beginning 1986

A. Messiah  
Jan, 1986:

I do not understand why you call it resonant enhancement  
I will call it simply the MSW effect.  
Unfortunately, there no way to correct terminology ...

History is tricky thing

In fact,

# MSW: two effects

Both are related to modification of mixing in matter  
But different dynamics  
Different degrees of freedom involved

## Resonance enhancement of oscillations

uniform medium with constant parameters  
Phase difference increase between the eigenstates

$$\phi(t)$$

$$\theta_m(E)$$

Mixing does not change but depends on energy

## Adiabatic conversion

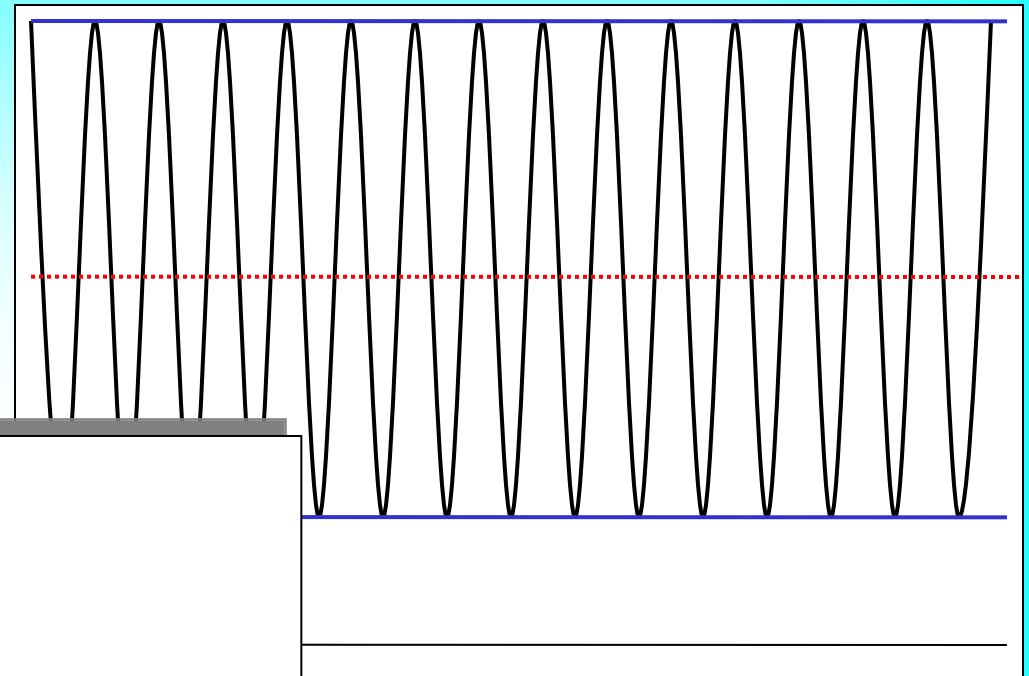
Non-uniform medium or/and medium with varying in time parameters  
Change of mixing in medium  $\rightarrow$  change of flavor of the eigenstates

$$\theta_m(t)$$

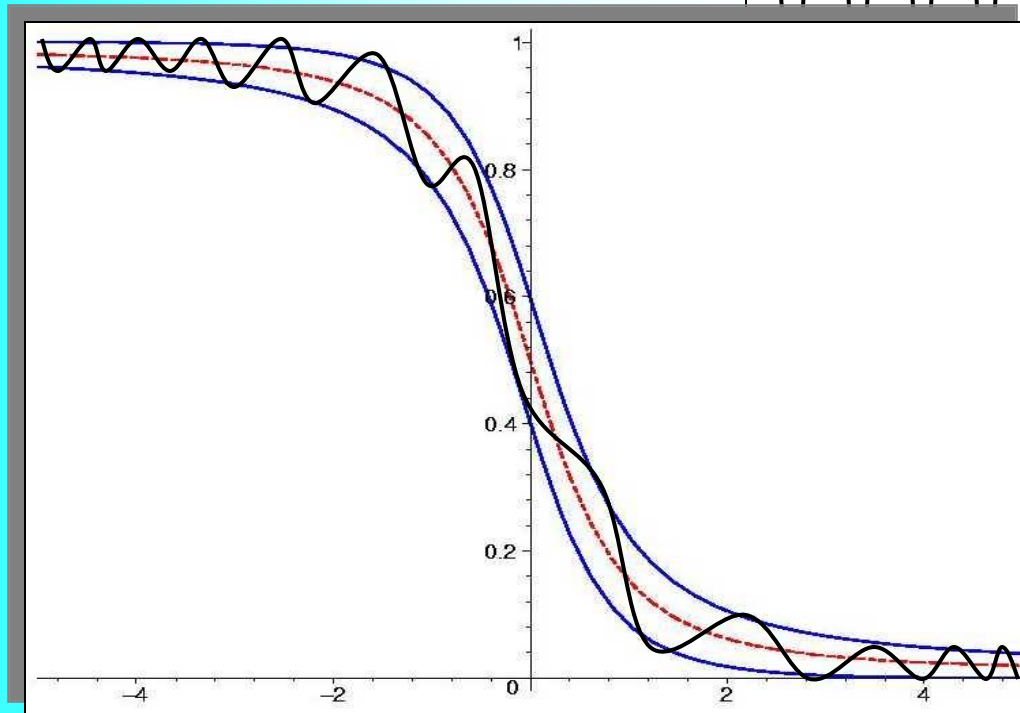
Phase is irrelevant

# Spatial picture

Oscillations



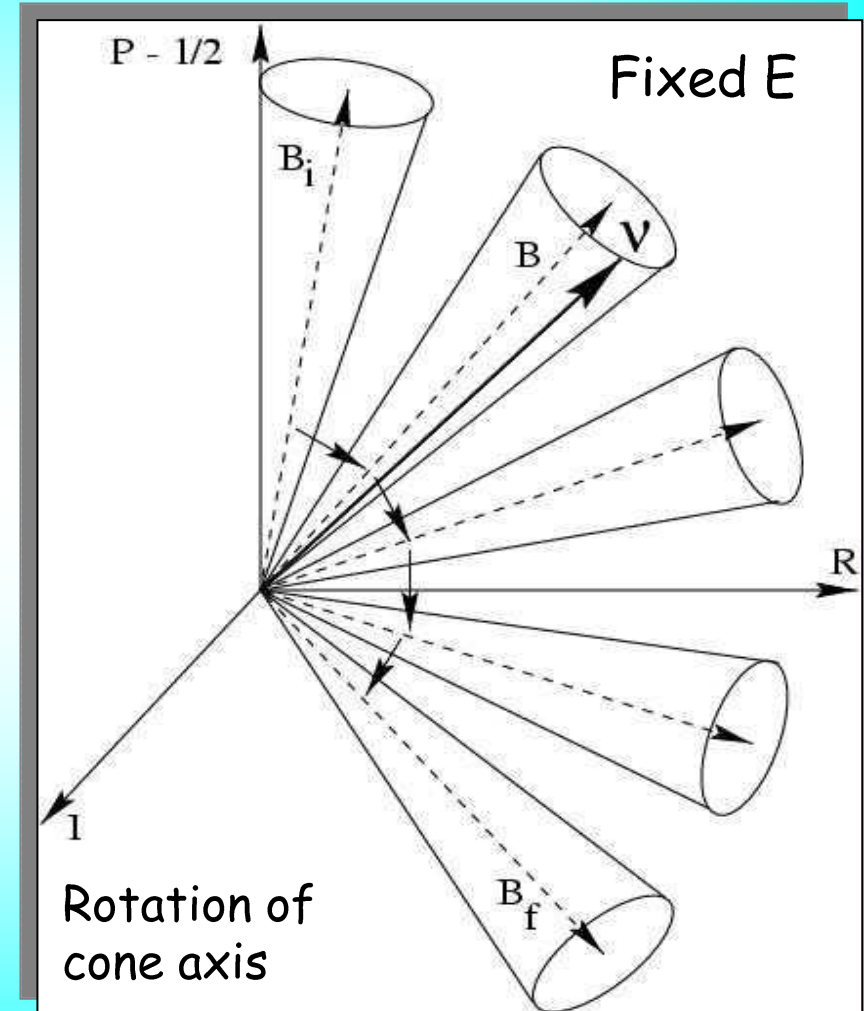
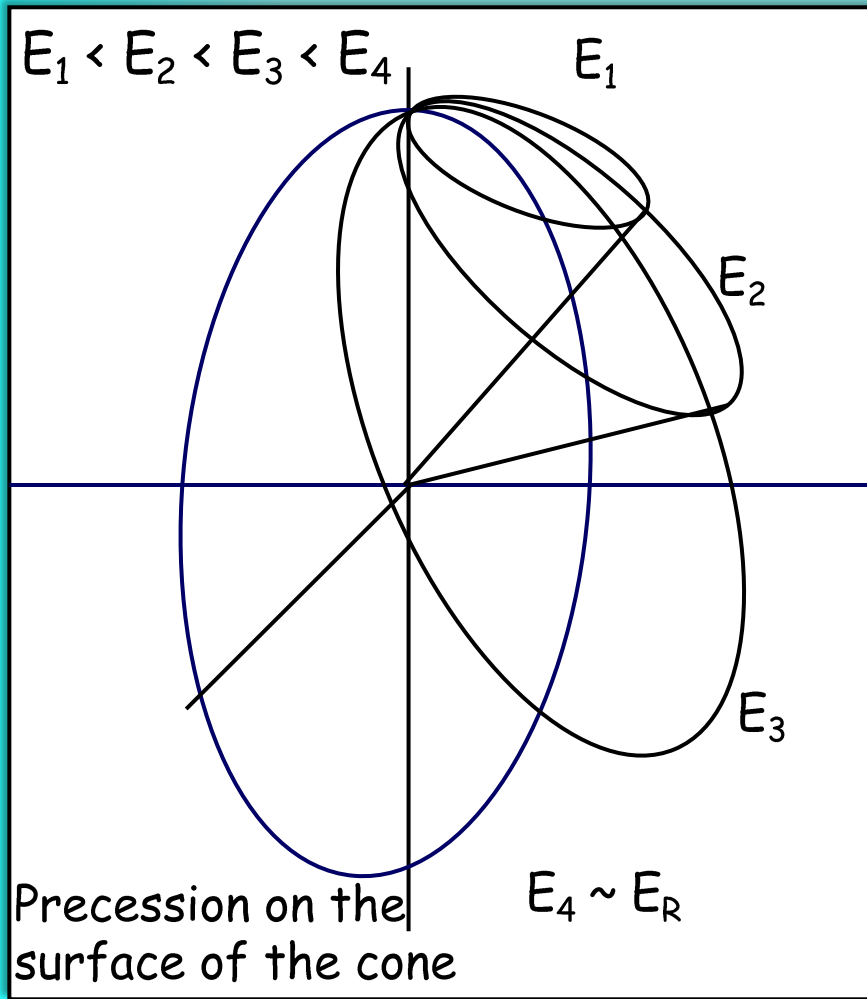
Adiabatic conversion



distance

distance

# Graphic representation



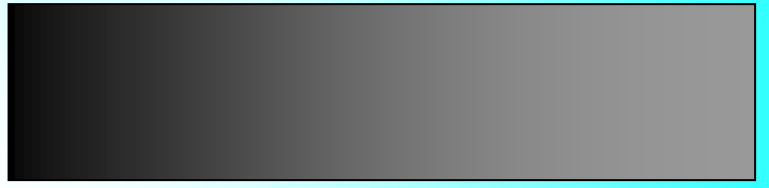
**Resonance enhancement**

**Adiabatic conversion**

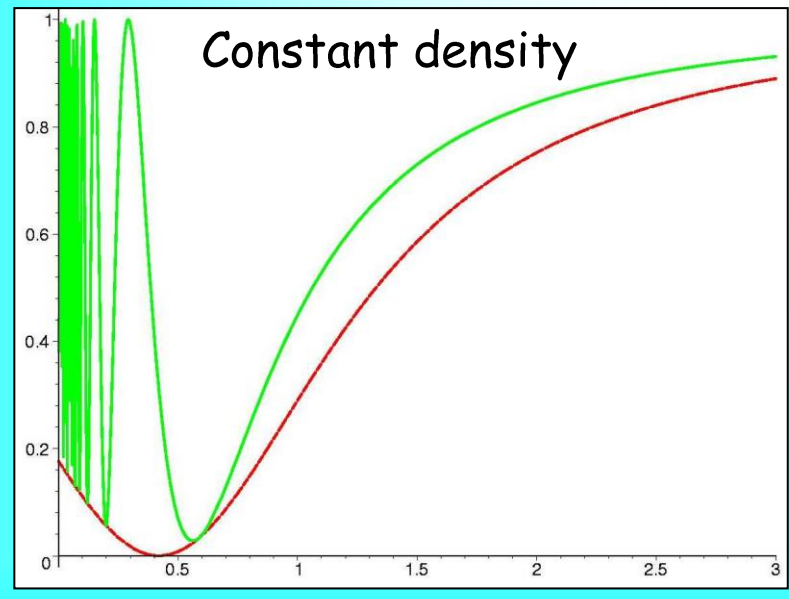
# Energy dependence

Resonance enhancement

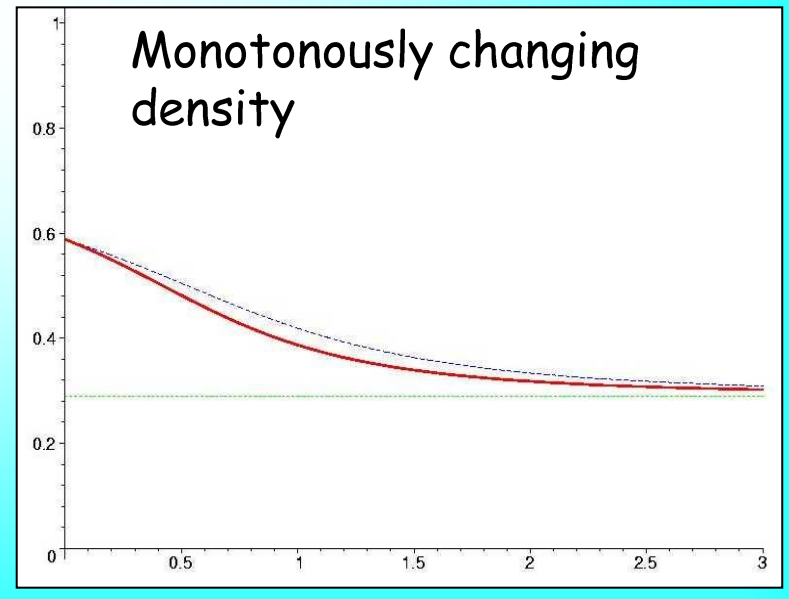
Adiabatic conversion



$$\frac{F(E)}{F_0(E)}$$



$$E/E_R$$



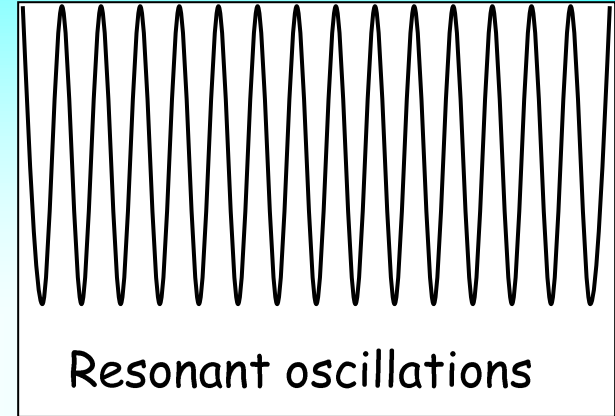
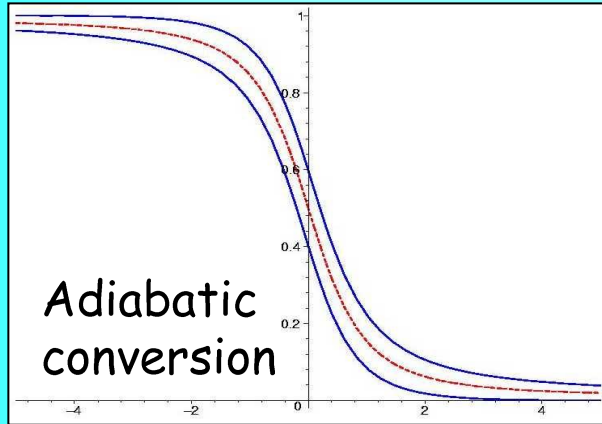
$$E/E_R$$



... and Now

Generalizations  
and

# Realizations



**the Sun**  
**Supernovae**  
**Early Universe**  
**CR sources**

Many others if physics  
beyond 3nu paradigm  
(e.g. sterile neutrinos exists)

**Atmospheric**  
**Accelerator**  
**Supernova**  
**neutrinos**  
**propagating in**  
**the Earth**

# Solar neutrinos



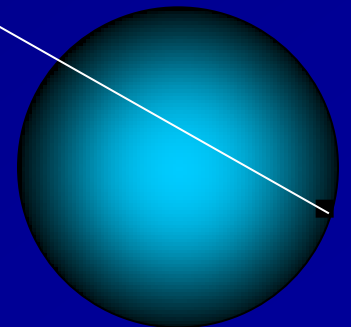
Adiabatic  
conversion

$\nu$

Loss of  
coherence

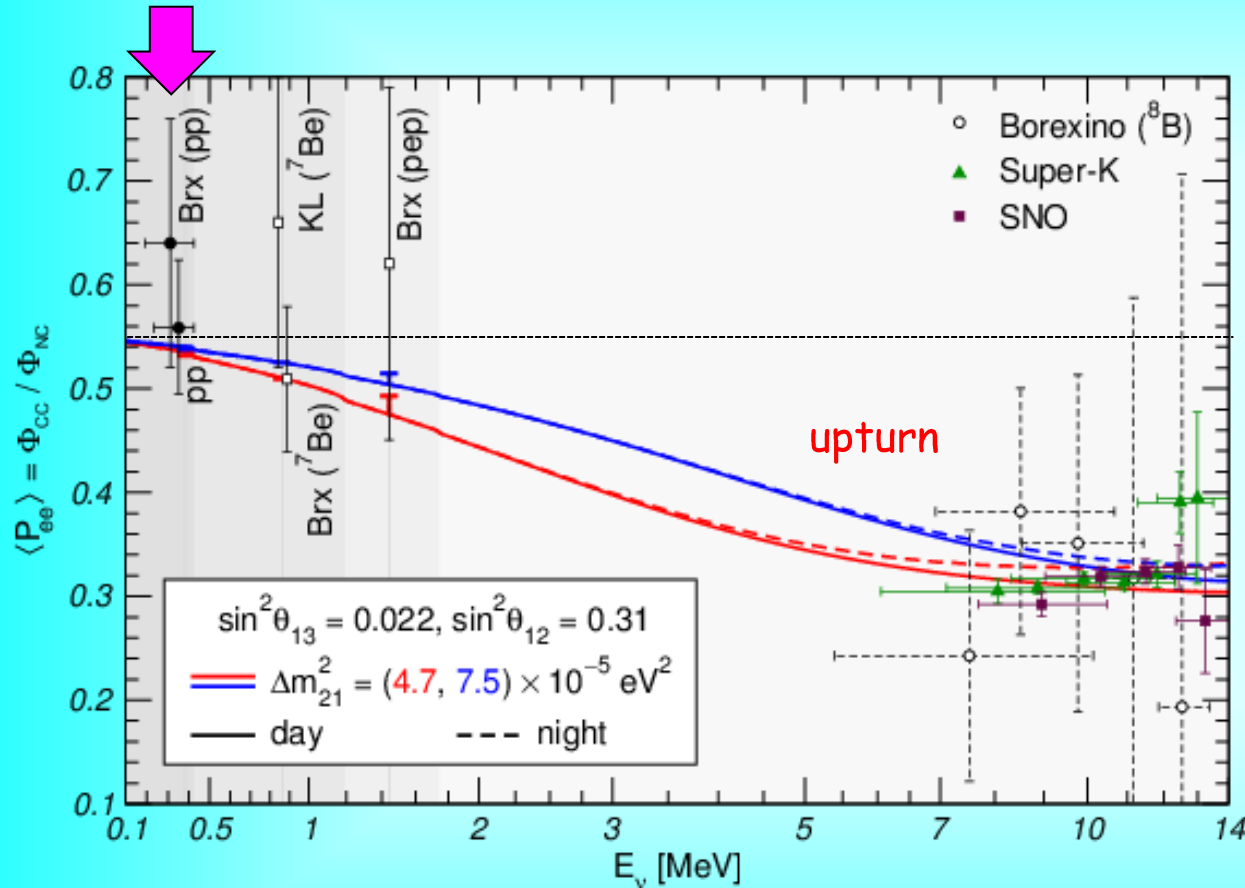
Oscillations  
in matter  
of the Earth

The only set up in which  
MSW was experimentally  
confirmed



# Profile of the effect

*M. Maltoni, A.Y.S.  
to appear*



Vacuum dominated

Transition region resonance turn on

Matter dominated region

Reconstructed exp. points for SK, SNO and BOREXINO at high energies

# Day-Night effect

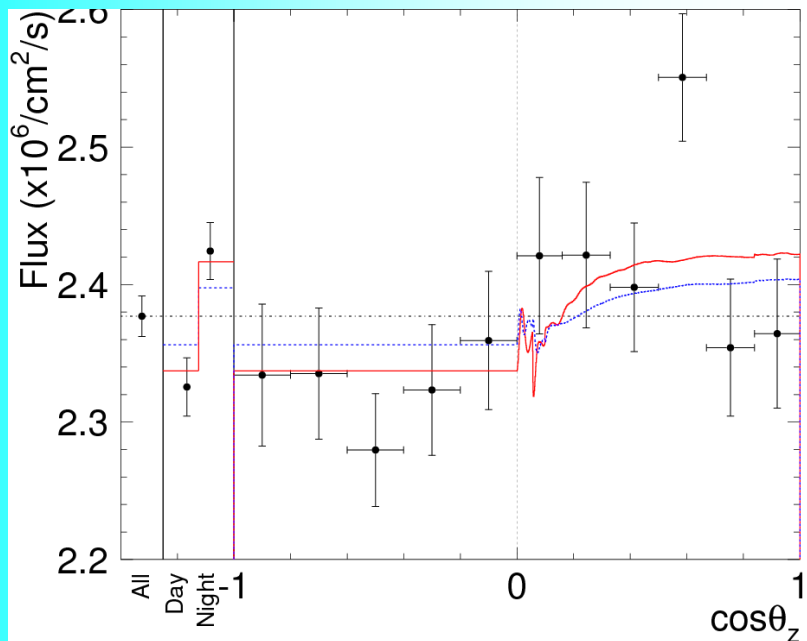
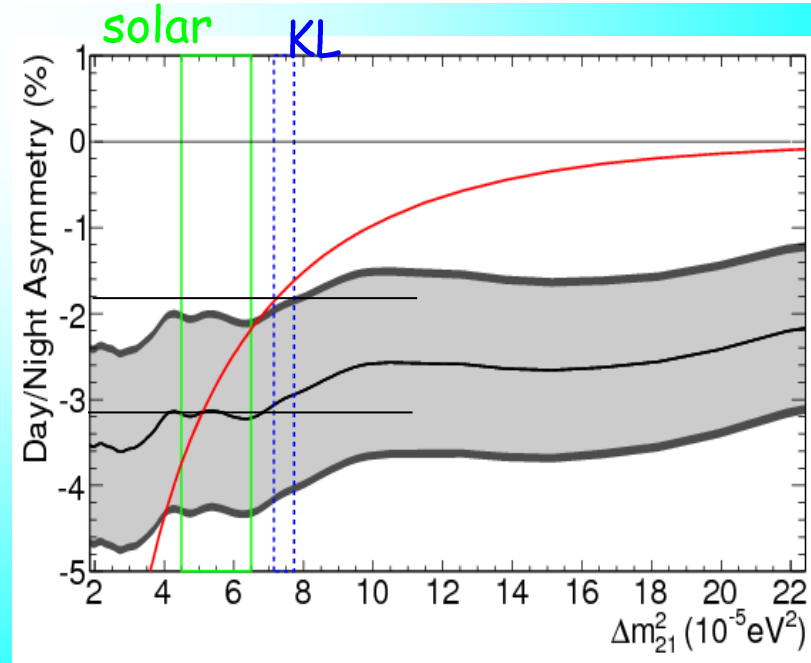
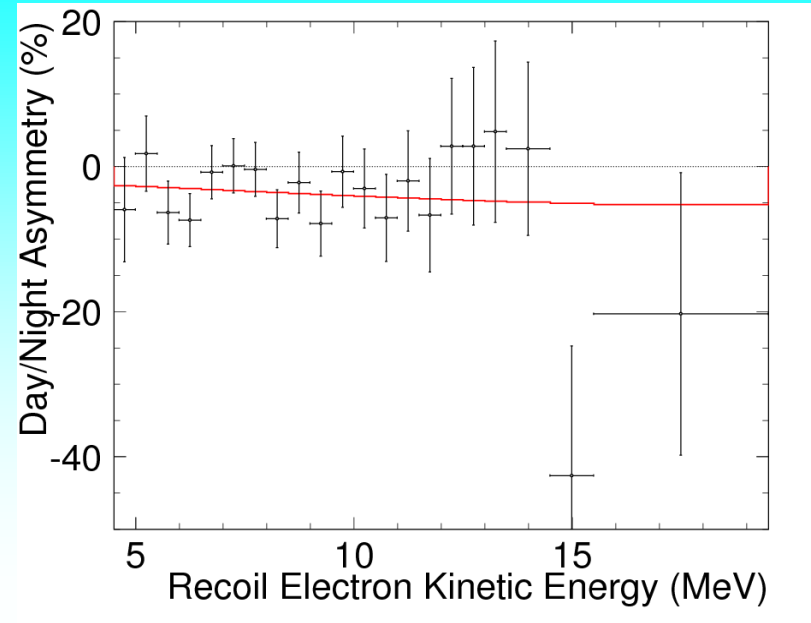
First Indication of Terrestrial Matter Effects on Solar Neutrino Oscillation

*Super-Kamiokande collaboration*

*(Renshaw, A. et al.)*

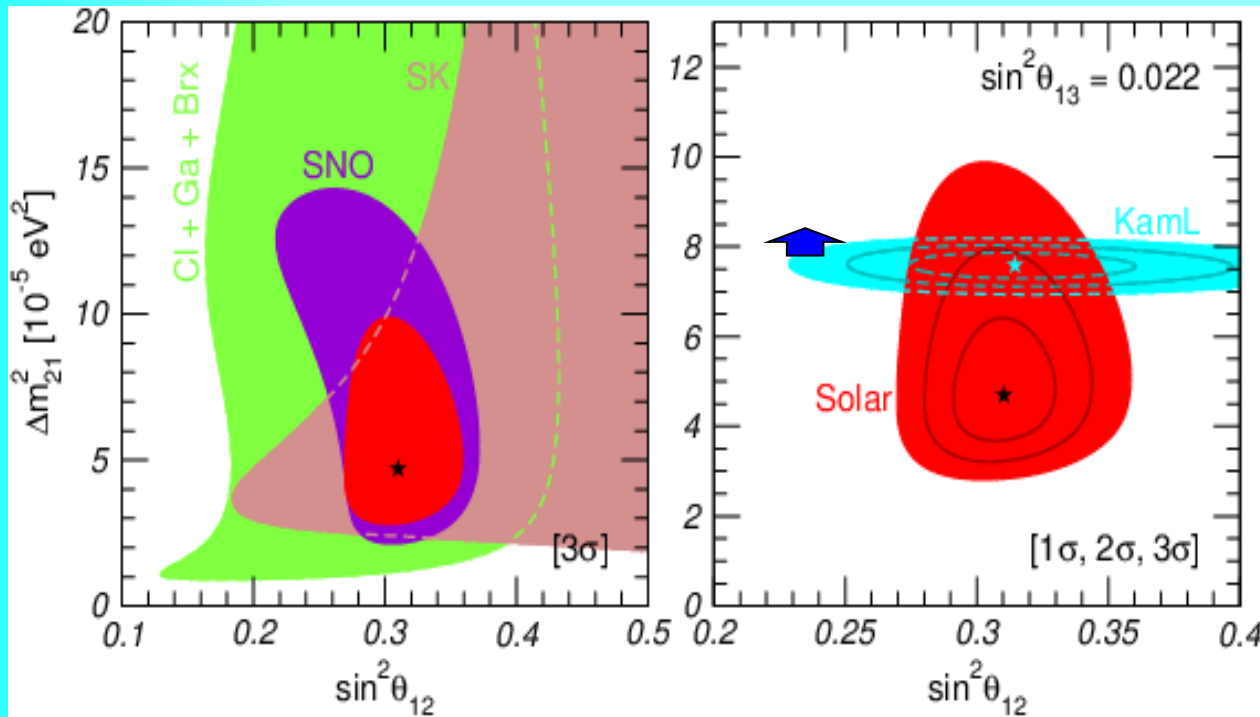
*Phys.Rev.Lett. 112 (2014) 091805*

*arXiv:1312.5176*



# Neutrino parameters

Solar neutrinos  
Vs. KamLAND



*M. Maltoni, A.Y.S.  
to appear*

$\Delta m^2_{21}$ : about  
 $2\sigma$  discrepancy  
of the KL and  
solar values

Red regions: all solar neutrino data  
also restrictions from  
individual experiments  
 $\sin^2 \theta_{13}$  as fit parameter  
then marginalized

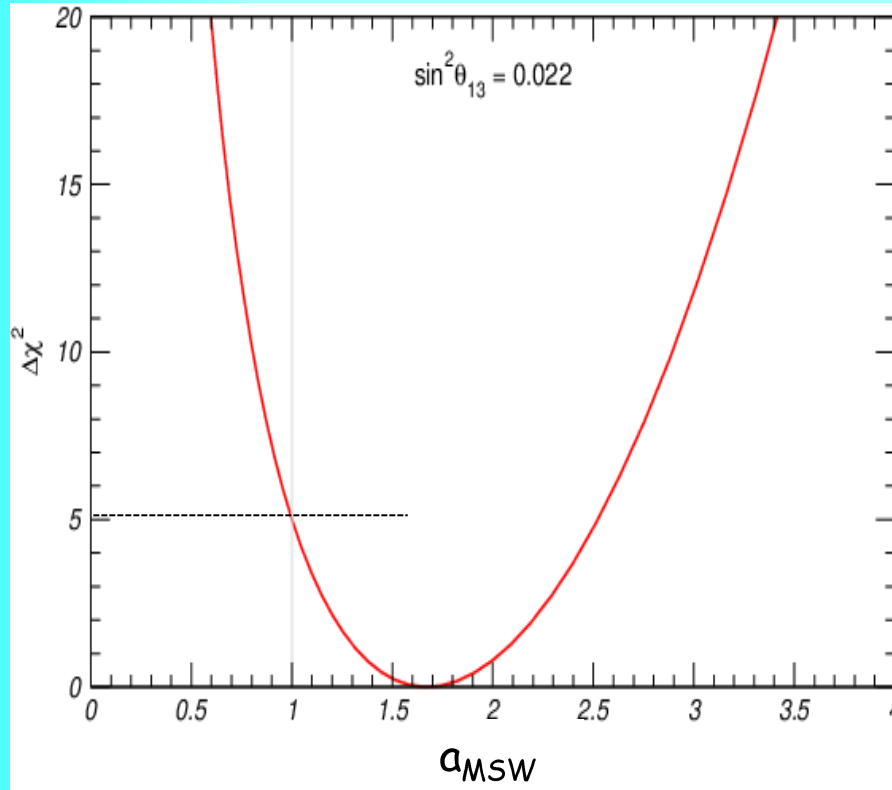
$\sin^2 \theta_{13}$  fixed  
by reactor  
experiments

KamLAND data  
reanalyzed in view of  
reactor anomaly (no  
front detector)  
bump at 4 -6 MeV

$\Delta m^2_{21}$  increases  
by  $0.5 \cdot 10^{-5} \text{ eV}^2$

b.f.:  $\sin^2 \theta_{13} = 0.017$

# Matter potential



Determination of the matter potential from the solar plus KamLAND data using  $a_{\text{MSW}}$  as free parameter

*G. L Fogli et al hep-ph/0309100*

*C. Pena-Garay, H. Minakata, hep-ph 1009.4869 [hep-ph]*

*M. Maltoni, A.Y.S. to appear*

$$V = a_{\text{MSW}} V_{\text{stand}}$$

$a_{\text{MSW}} = 0$  is disfavoured by  $> 15 \sigma$

the best fit value  $a_{\text{MSW}} = 1.66$

$a_{\text{MSW}} = 1.0$  is disfavoured by  $> 2 \sigma$

related to discrepancy of  $\Delta m^2_{21}$  from solar and KamLAND:

$$\frac{\Delta m^2_{21} (\text{KL})}{\Delta m^2_{21} (\text{Sun})} = 1.6$$

Potential enters the probability in combination

$$\frac{V}{\Delta m^2_{21}}$$

# Open issues

at about  
 $3\sigma$  - level

Absence of upturn of the spectrum

Large D-N asymmetry

Difference of values of  $\Delta m^2_{21}$  extracted  
from solar and KamLAND data

Large value of matter potential  
extracted from global fit

## KamLAND

another reactor anomaly?

Solar data alone have very  
good and consistent  
description at small  $\Delta m^2_{21}$

Reactor anomaly should  
affect KamLAND result

## New physics

in solar neutrinos?

Non-standard  
Neutrino  
interaction

Very light  
Sterile  
neutrinos

New sub-leading effects

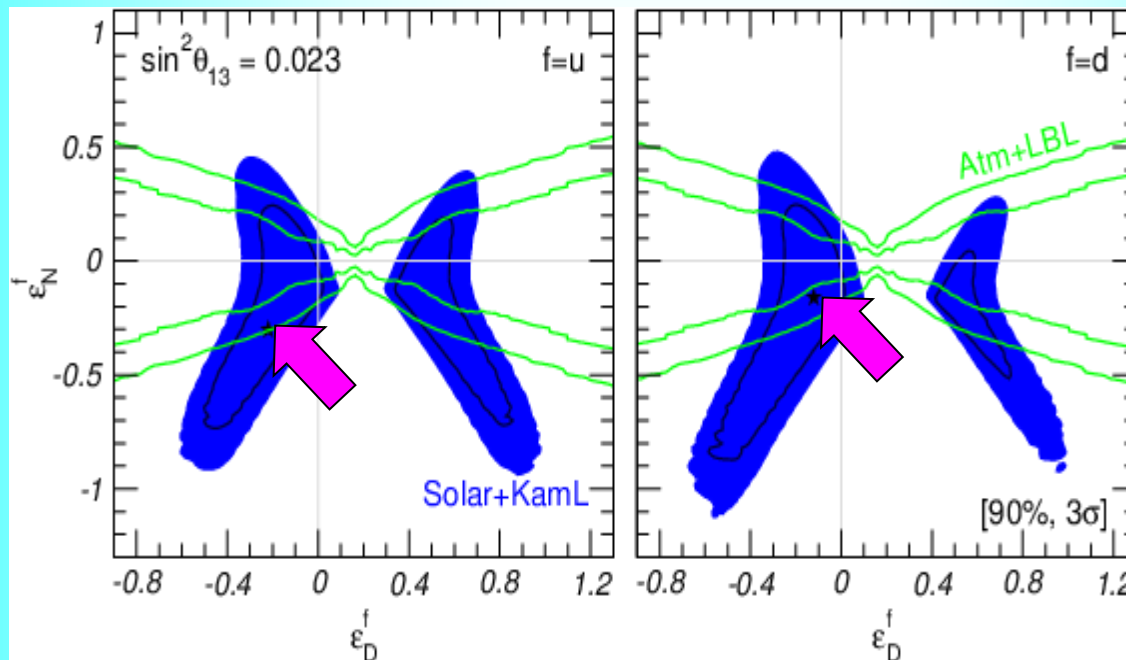


# Non-standard interactions

Additional contribution  
to the matrix of potentials  
in the Hamiltonian

$$V_{\text{NSI}} = \sqrt{2} G_F n_f \begin{pmatrix} \varepsilon_D^f & \varepsilon_N^f \\ \varepsilon_N^f & \varepsilon_D^f \end{pmatrix} \quad f = e, u, d$$

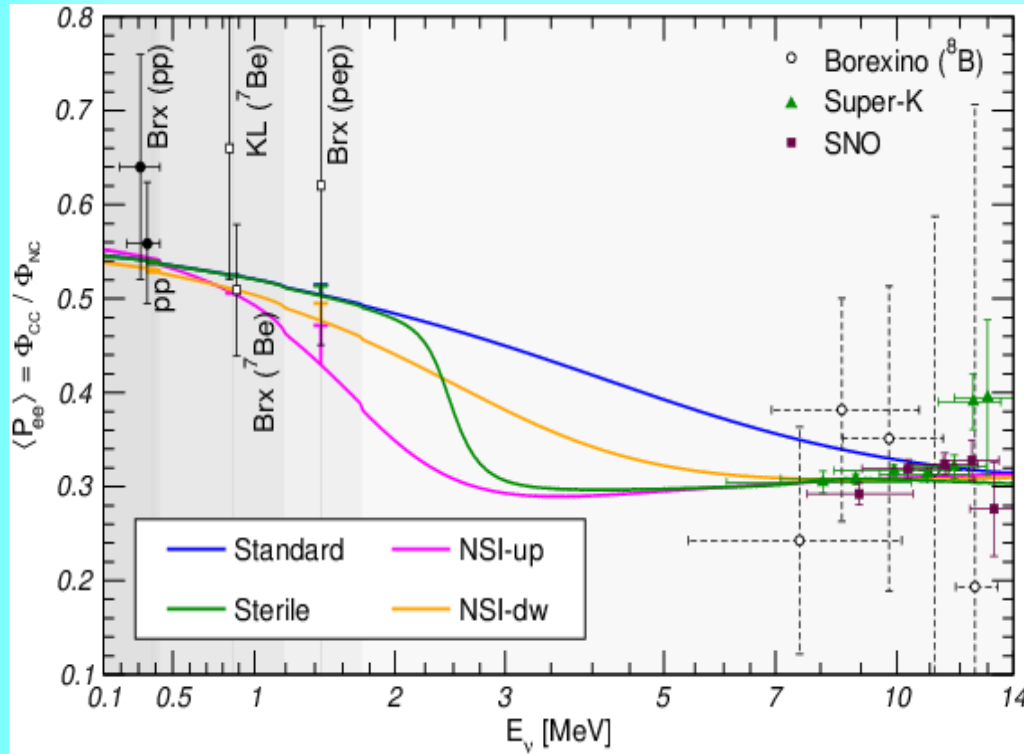
*M. C. Gonzalez-Garcia ,  
M. Maltoni  
arXiv 1307.3092*



In the best fit  
points the D-N  
asymmetry is 5%

Allowed regions of parameters of NSI

# New physics effects



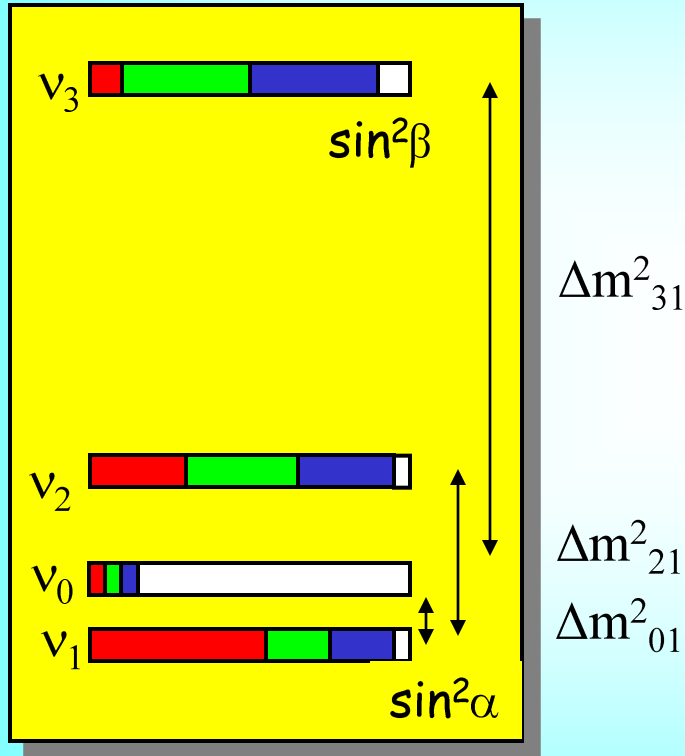
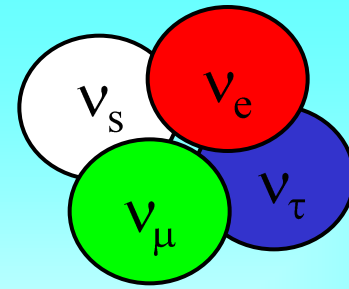
*M. Maltoni, A.Y.S.  
to appear*

Extra sterile neutrino with  
 $\Delta m_{01}^2 = 1.2 \times 10^{-5} \text{ eV}^2$ , and  
 $\sin^2 2\alpha = 0.005$

Non-standard interactions with  
 $\varepsilon_D^u = -0.22, \varepsilon_N^u = -0.30$   
 $\varepsilon_D^d = -0.12, \varepsilon_N^d = -0.16$

# meV physics

sterile neutrino  $m_0 \sim 0.003 \text{ eV}$



Adiabatic conversion  
for small mixing angle  
Adiabaticity violation

- additional radiation  
in the Universe if mixed in  $\nu_3$

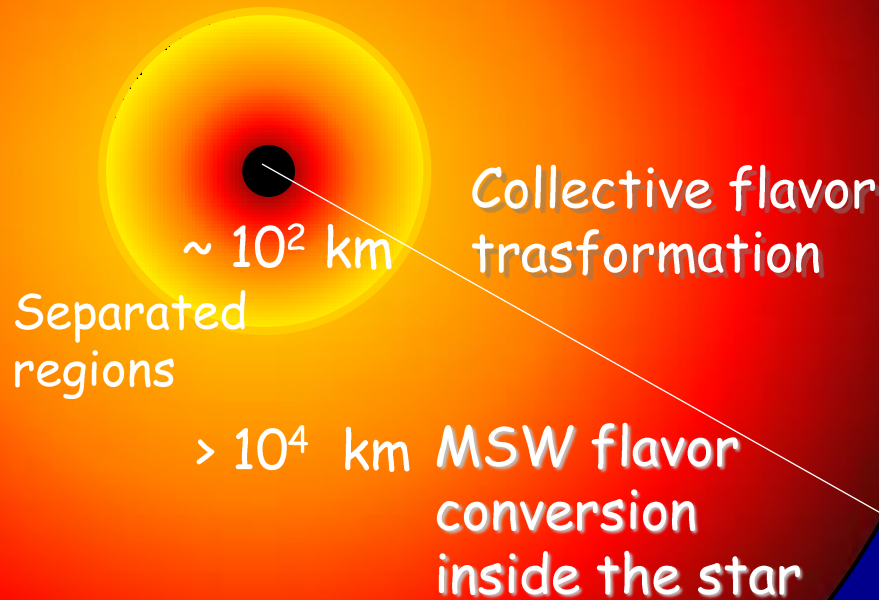
For solar nu:  $\sin^2 2\alpha \sim 10^{-3}$

For dark radiation  $\sin^2 2\beta \sim 10^{-3}$  (NH)

$\sin^2 2\beta \sim 10^{-1}$  (IH)

no problem with LSS  
bound on neutrino mass

# Supernova neutrinos



$$S_{\text{tot}} = S_{\text{MSW}} S_{\text{coll}}$$

$$F_e = F_e^0 + p (F_x^0 - F_e^0)$$

$F_i^0$  - fluxes after collective transformations

$p$  - transition probability in MSW region

Propagation in vacuum

Oscillations inside the Earth

Effects  $\sim \Delta F = (10 - 20)\%$  for anti- $\nu$   
SN1987A: 19 events, anti- $\nu$

# Effects

Flavor evolution of neutrino states  
In the MSW region is highly adiabatic

Strong suppression of the neutronization peak:

$$\text{NH} \\ \nu_e \rightarrow \nu_3$$

Permutation of the electron and non-electron neutrino spectra

Earth matter effects

Shock wave effect

Adiabaticity is broken in shock front if the relative width of the front:

$$\Delta R/R < 10^{-4} \rightarrow 10 \text{ km}$$

Normal mass hierarchy:  
in the antineutrino channel only

Inverted mass hierarchy:  
in the neutrino channel only

This does not depend on presence of collective effects

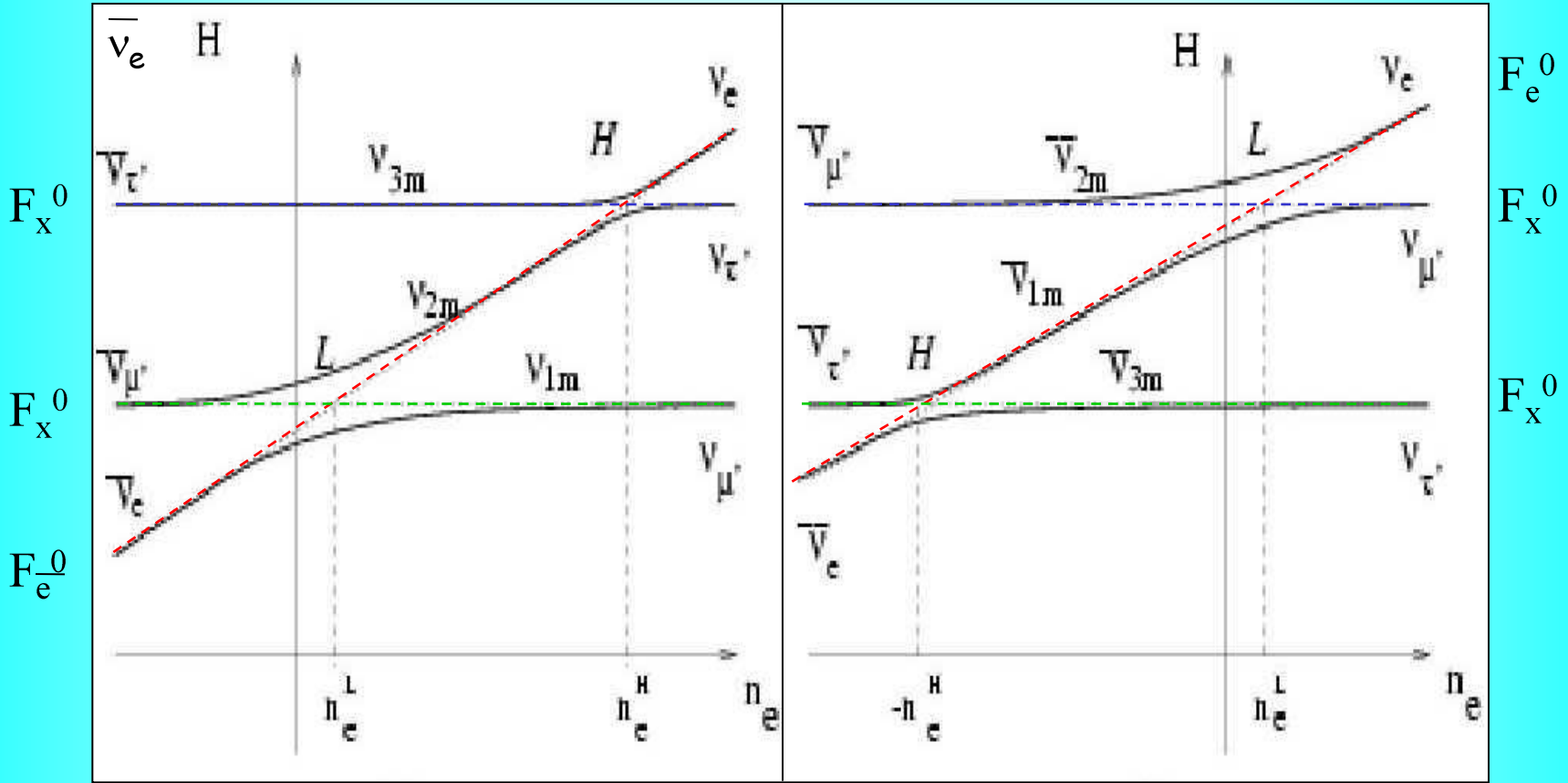
# Level crossing scheme

Two resonances

and even  $\nu_\mu - \nu_\tau$  resonance

Normal hierarchy

Inverted hierarchy



Both resonances are in the neutrino channel

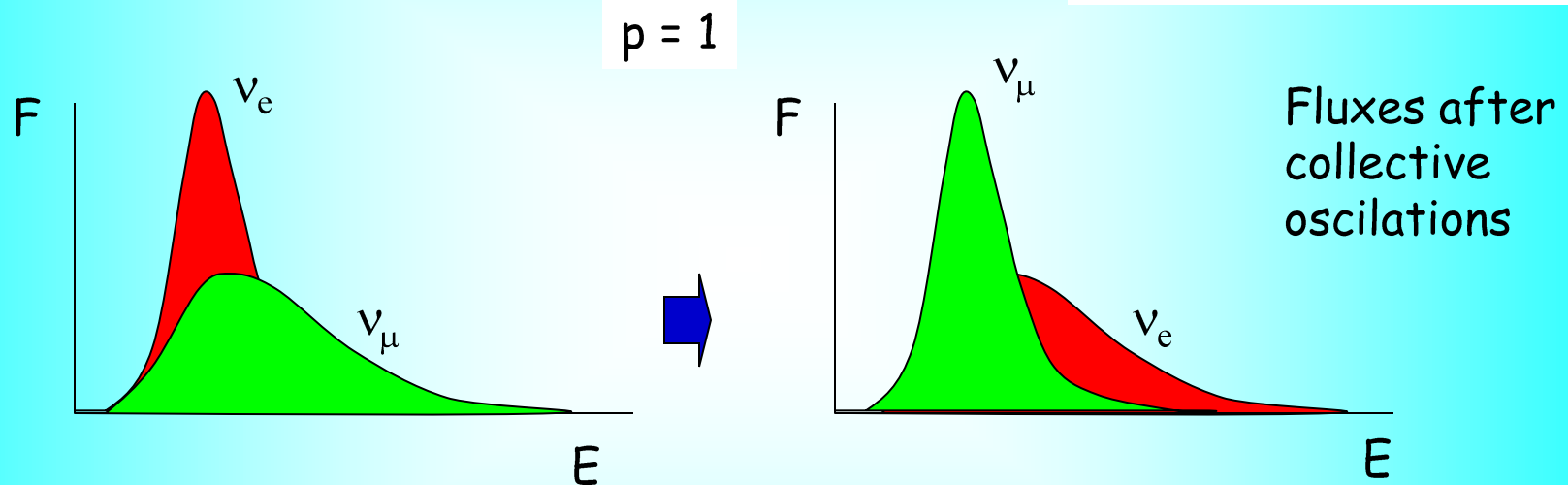
1-3 resonance is in the antineutrino channel

# Permutation of spectra

$$F_e = (1 - p) F_e^0 + p F_x^0$$

$$p = 1 - P_{ee}$$

Permutation parameter



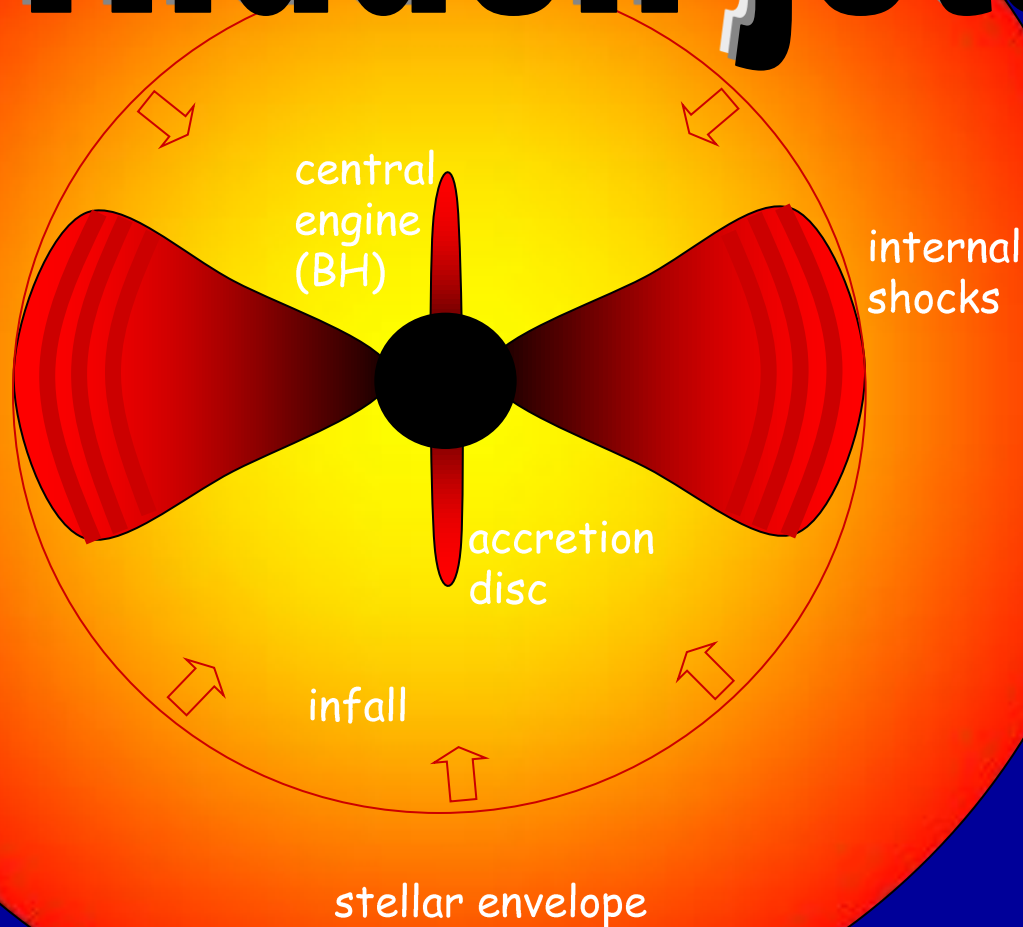
Partial permutation  $\rightarrow$  composite (mixed) spectra

H - hard spectrum

MS - mixed-soft spectrum: 2/3 of the original spectrum

MH - mixed-hard spectrum: with 1/3 part of original spectrum

# Hidden jets



Type Ib/c , II SNe  
 $M_* < 30 M_{\text{sun}}$   
Helium ( $r < 10^{11}$  cm ) and  
Hydrogen envelope  
 $R_* = 3 \cdot 10^{12}$  cm

## parameters of jet:

bulk jet Lorentz factor:  
 $\Gamma_b \sim 3 - 10$

jet duration:  $t \sim 10$  sec

$r_{\text{jet}} = 6 \cdot 10^{10}$  cm

half-angle of jet:  $\sim 1/\Gamma_b$

$n = 3 \cdot 10^{20}$  cm $^{-3}$

## shocks:

Variability time scale: 0.1 sec

$\sim 50$  internal shocks

$B \sim 10^8$  Gauss

*S. Razaque, P. Meszaros, E. Waxman*

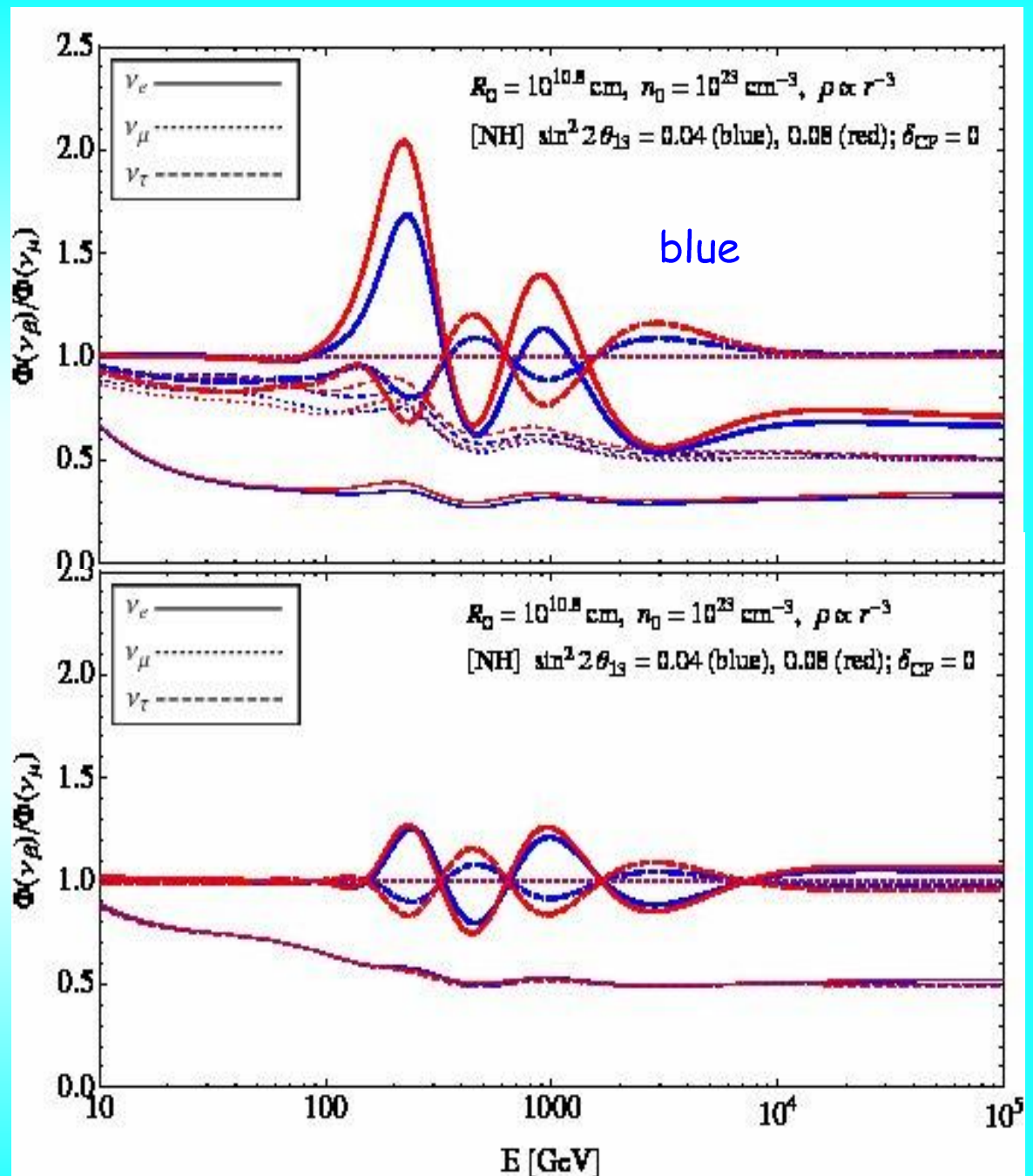
Slow jets which do not break  
through the envelope



# Flavor ratios

Probabilities as functions of neutrino energy for different values of 1-3 mixing and two different initial flavor contents:  
 $\varepsilon : 1 : 0$  (upper panel)  
 $1 : 2 : 0$  (bottom panel)

*S. Razzaque, A.S.*



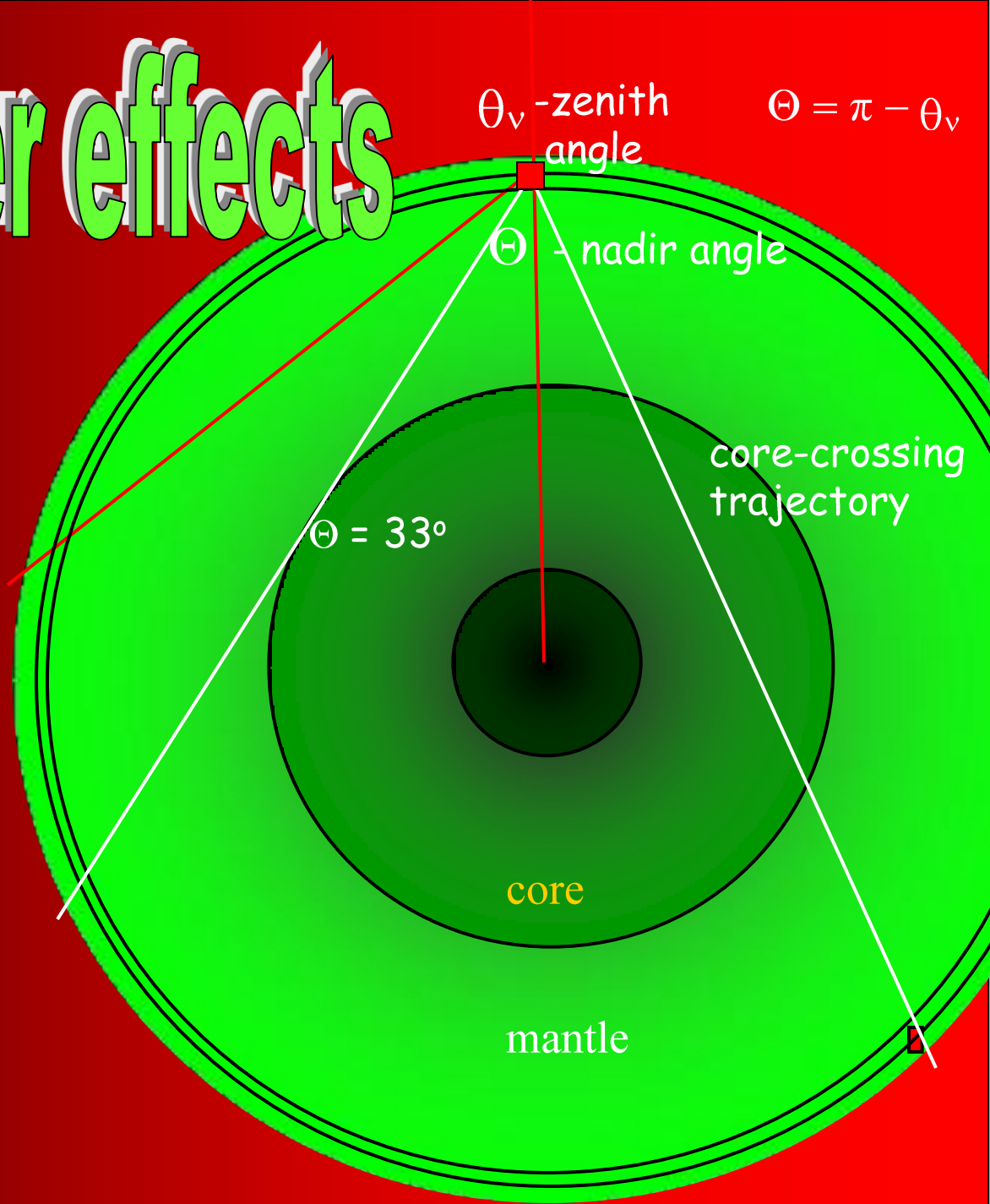
# Earth matter effects

Oscillations in multilayer medium

Applications:

flavor-to-flavor transitions

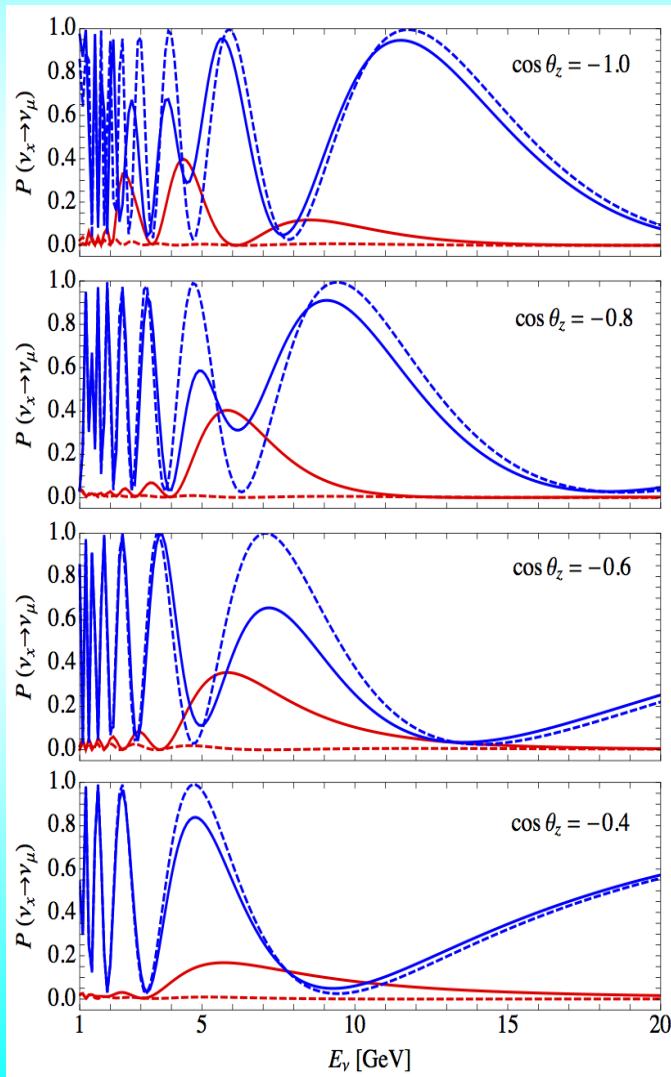
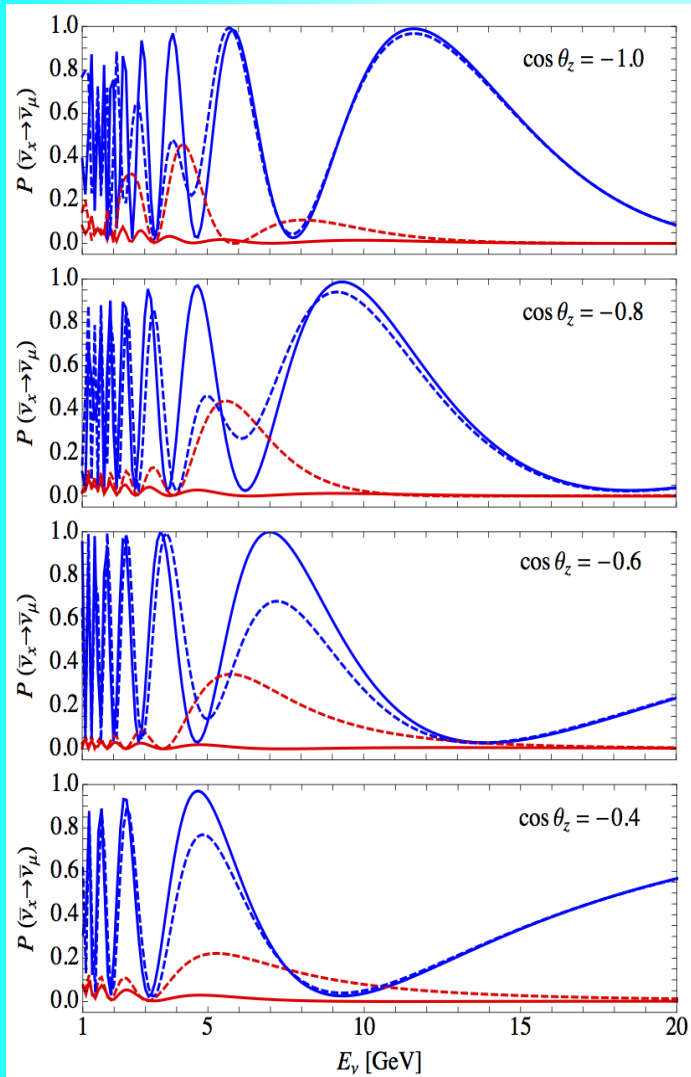
- accelerator
- atmospheric
- cosmic neutrinos



# Probabilities

neutrinos

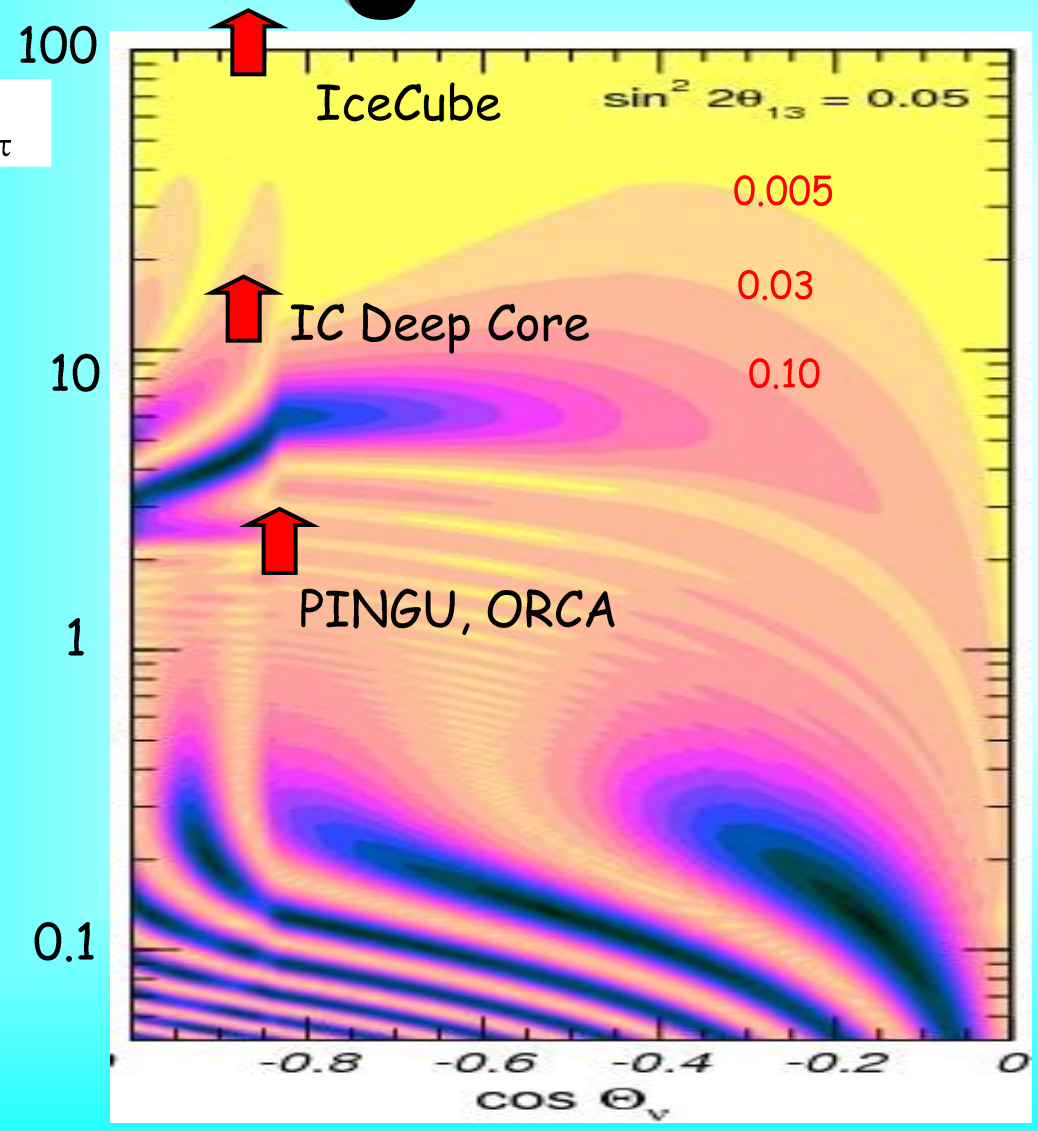
antineutrinos



NH - solid  
IH - dashed  
 $x = \mu$  - blue  
 $x = e$  - red

# Oscillograms

$\nu_e \rightarrow \nu_\mu, \nu_\tau$



1-3 resonance

Determination of mass hierarchy with atmospheric neutrinos

**MSW  
and  
Physics BSM**

# Other realizations

eV scale  
sterile  
neutrinos

Resonance  
production in the  
Early Universe

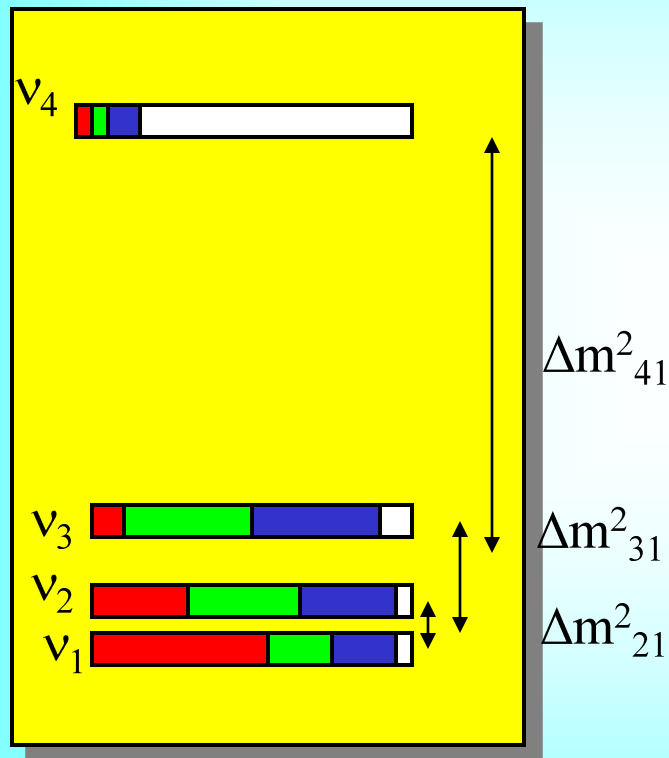
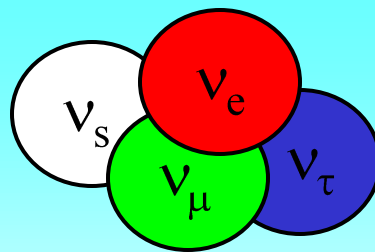
Conversion in SN  
Resonances due  
to change of  $Y_e$

Resonance enhancement  
of  $\nu_\mu \rightarrow \nu_s$  oscillation  
in the Earth

Conversion of GeV scale neutrinos  
from annihilation of DM particles  
in the Matter of the Sun

Resonance production  
of the 7 - 10 keV  
neutrinos in the Early  
Universe in presence  
of large lepton  
asymmetry

# (3 + 1) scheme



Resonance in the Earth at ...

LSND/MiniBooNE: vacuum oscillations

$$P \sim 4 |U_{e4}|^2 |U_{\mu 4}|^2$$

restricted by short baseline exp.  
BUGEY, CHOOZ, CDHS, NOMAD

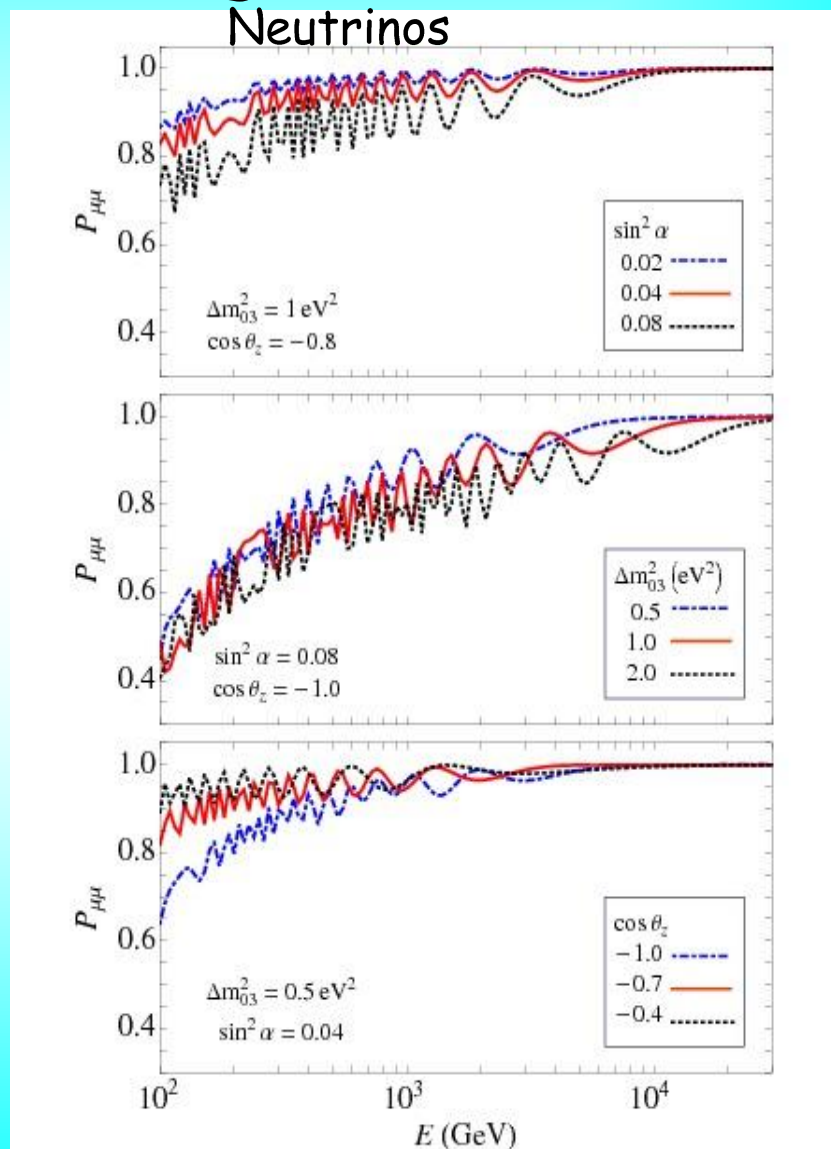
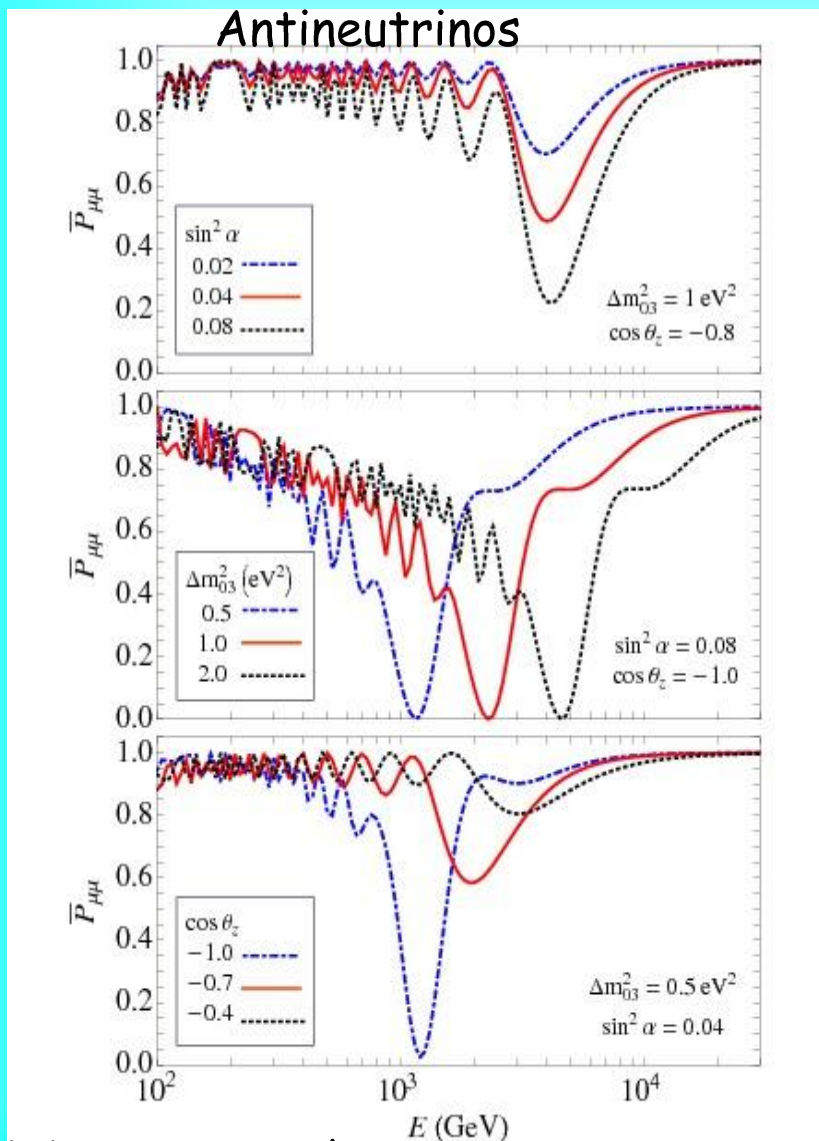
For reactor and source experiments

$$P \sim 4 |U_{e4}|^2 (1 - |U_{e4}|^2)$$

1-4 resonance in the matter  
of the Earth

*O. Yasuda,  
O. Peres et al  
S. Razzaque, A.S.....*

# Survival probability



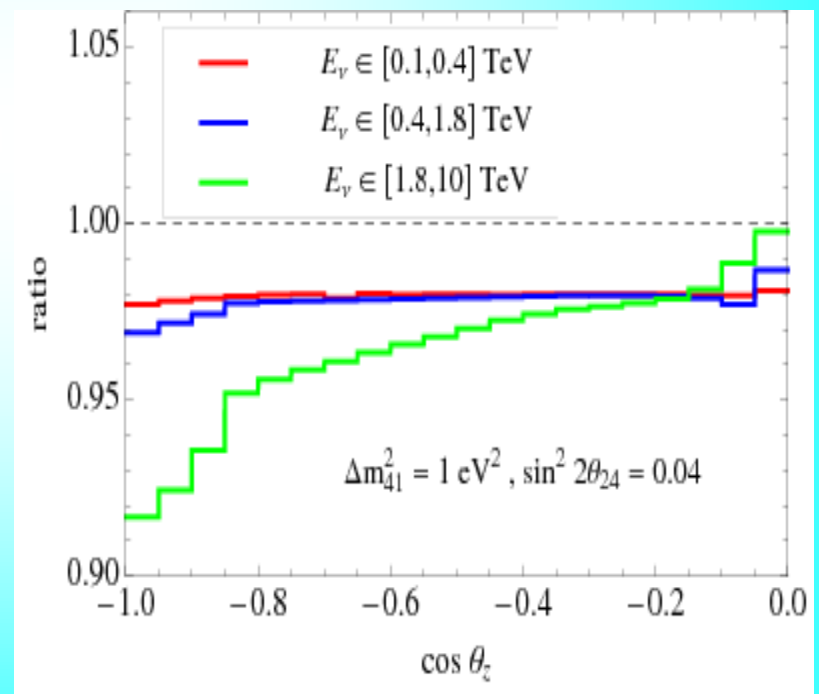
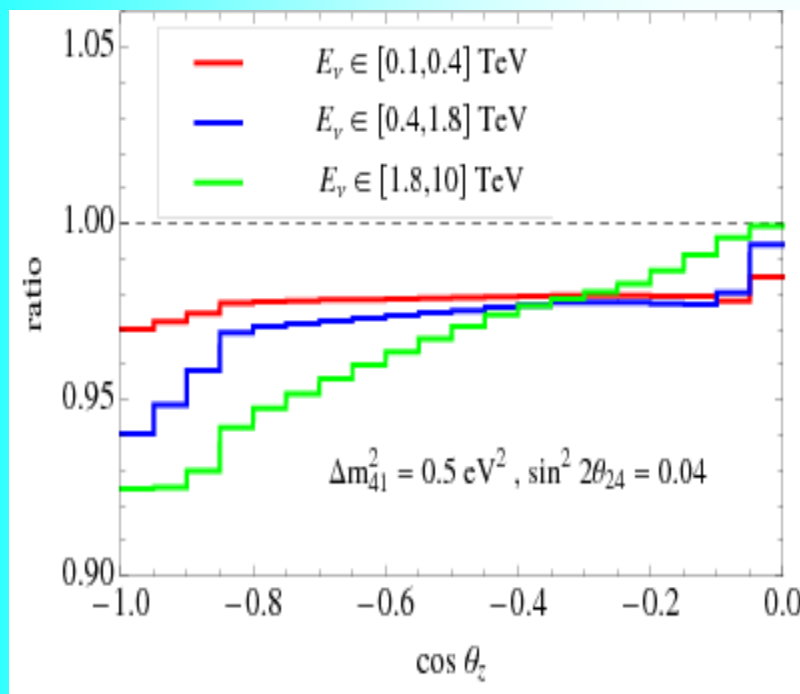
MSW resonance dip



# Zenith angle distributions

Substantial improvement of sensitivity if energy information is used

*A Esmaili, A Y S,  
arXiv: 1307.6824 [hep-ph]*





# Outlook

# Summary

Solar neutrinos: the only place where existence of the MSW conversion was experimentally confirmed

D-N effect is the first evidence of the matter effect in oscillations

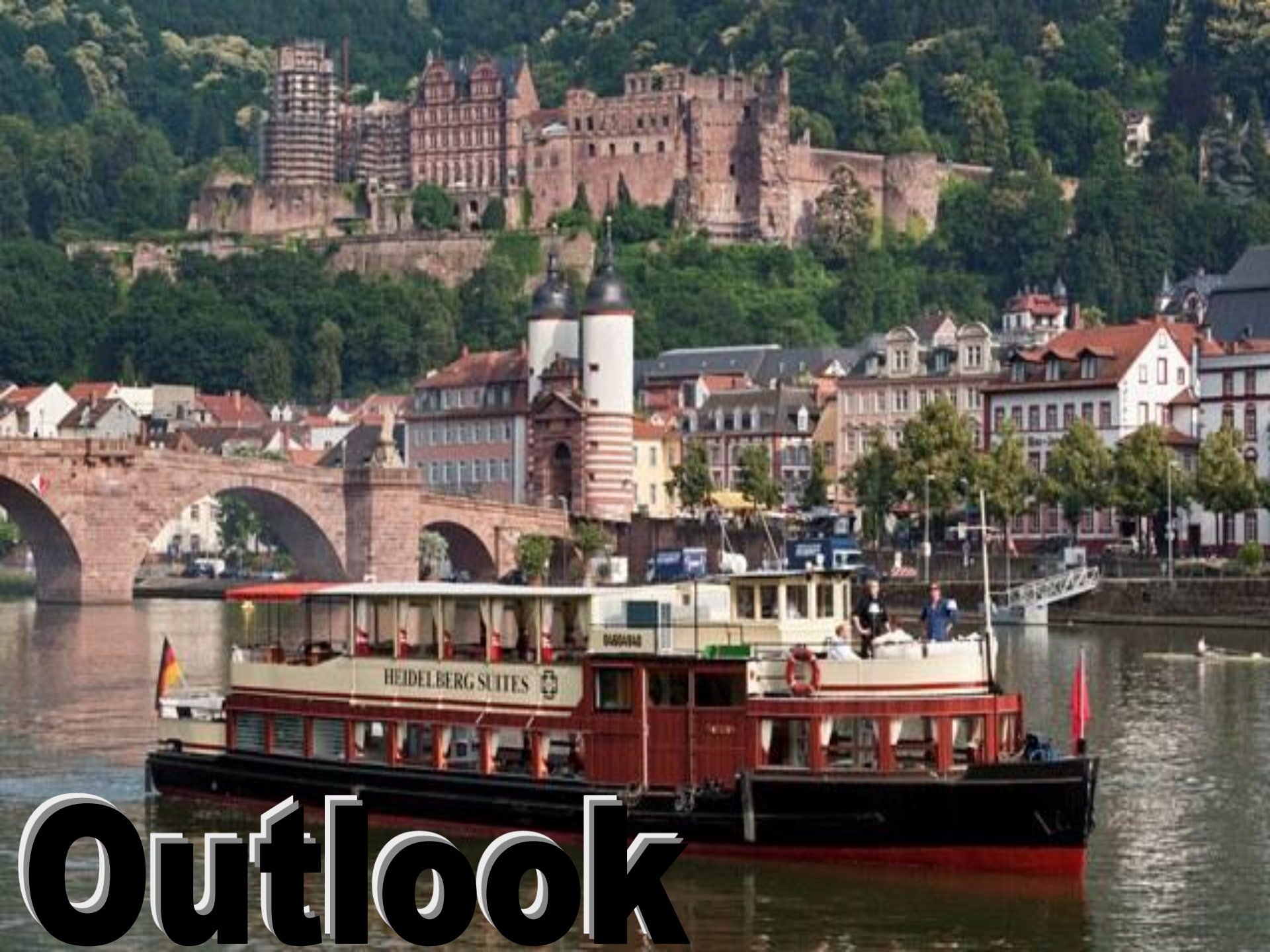
Weak manifestations of the matter effects in sub-GeV atmospheric neutrino events

Supernova neutrinos: realization of both 1-3 and 1-2 resonances  
adiabatic conversion in complete 3 $\nu$  scheme

Resonance enhancement in the mantle of Earth at  $\sim$  GeV

PINGU, ORCA should see 1-3 resonance in the matter of the Earth using atmospheric neutrinos  $\rightarrow$  establishing mass hierarchy

Various other realizations tool to look for sterile neutrinos NSI, etc



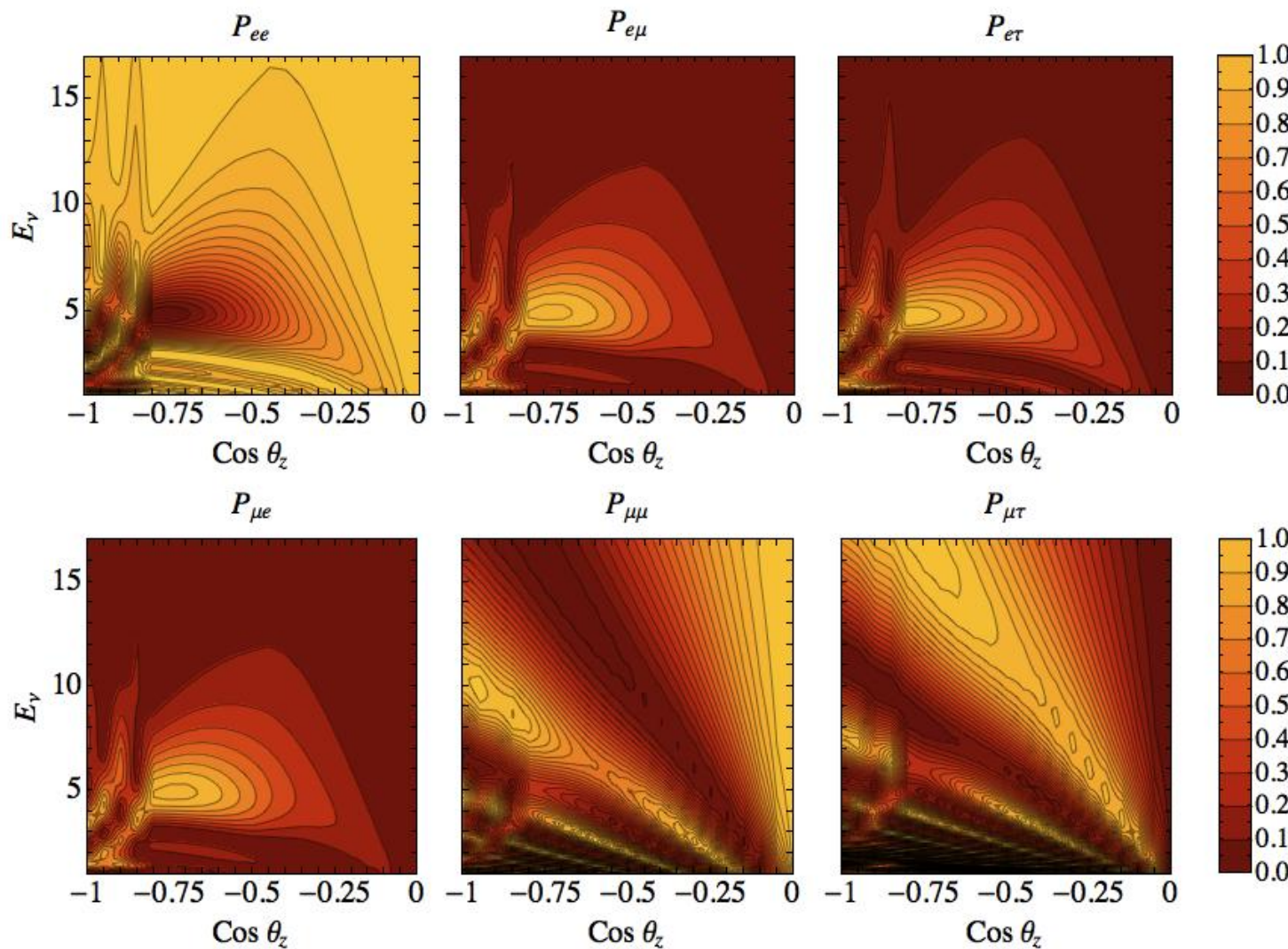
# Outlook

# Conclusion:

Adiabatic conversion is effect of change of mixing angle in matter in medium with slowly enough density change on the way of neutrino propagation

Resonance enhancement of oscillations occurs in certain energy range in matter with constant density  
nearly constant

# Oscillograms of the Earth



*E. Kh. Akhmedov,  
S. Razzaque,  
A.S.*