

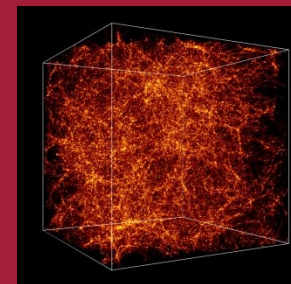
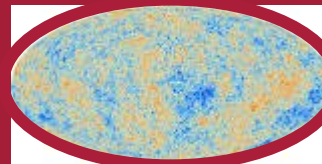


Measuring Neutrino Mass

$m_\nu \ll$ mass of other fermions

m_ν crucial for understanding masses in general

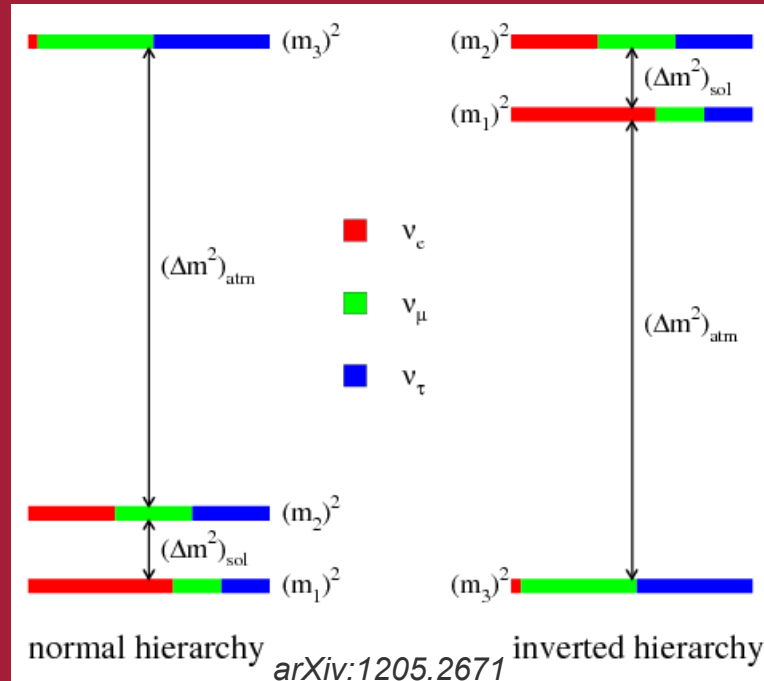
\Rightarrow we would like to know it





ν_e - Oscillations

$$\Delta m_{23}^2 = 2,4 \cdot 10^{-3} \text{ eV}^2$$



$$\Delta m_{12}^2 = 8 \cdot 10^{-5} \text{ eV}^2$$

we know the sum of the three masses is nonzero

$$\Sigma m_i > 67 \text{ meV} / 92 \text{ meV (nh/ih)}$$

but we do not know the mass scale / the value of the lightest mass



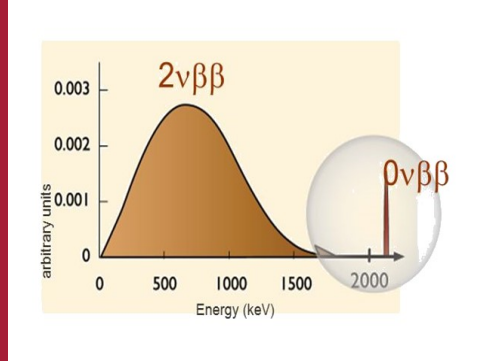
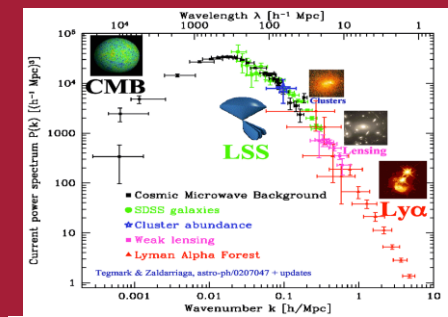
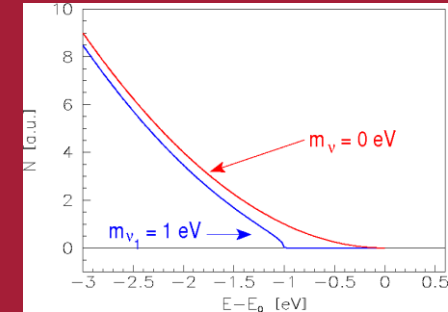
Measuring Neutrino Mass

β -endpoint spectroscopy
- influence on decay kinematics

Cosmology
- influence on structure formation

$0\nu 2\beta$ – decay
- influence on decay rate

SN time of flight ...



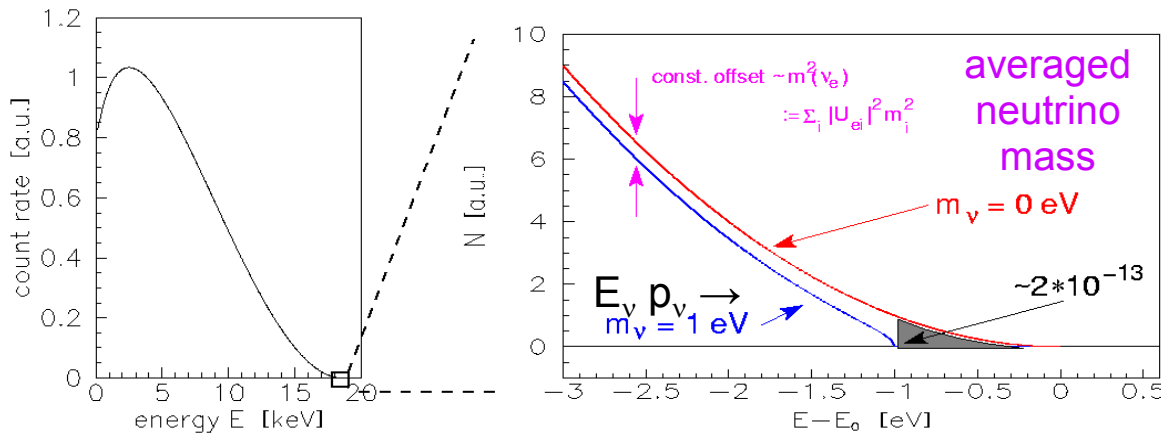


Determination of m_ν from β Decay

β decay: $(A,Z) \rightarrow (A,Z+1)^+ + e^- + \nu_e$

$$dN/dE_e = K \underbrace{F(E,Z+1)}_{\text{phase space: } p_e} \underbrace{p(E_e + m_e)}_{E_{e,\text{tot}}} \underbrace{(E_0 - E_e)}_{E_\nu} \underbrace{\sqrt{(E_0 - E_e)^2 - m^2(\nu_e)}}_{p_\nu}$$

modified by electronic final states, recoil corrections, radiative corrections)



Need:

low endpoint energy

Tritium ${}^3\text{H}$, (${}^{187}\text{Re}$)

very high energy resolution
very high luminosity
very low background



MAC-E-Filter
or bolometer
or new idea



Determination of m_ν from β Decay

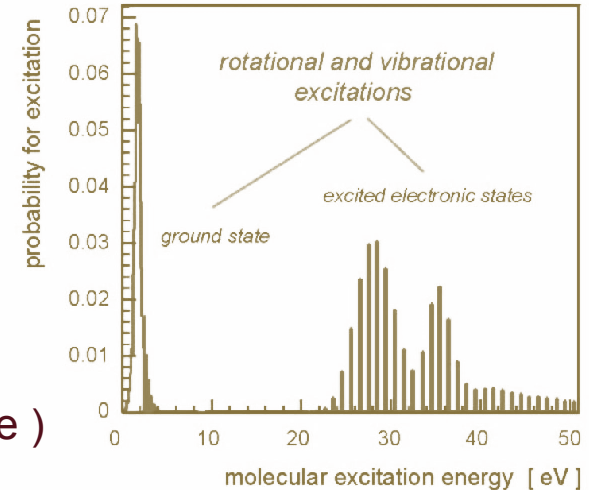
electronic final state excited states have to be included:

- excitation energy V_j
- probability W_j

$$dN/dE_e = K F(E, Z+1) p(E_e + m_e)$$

$$\cdot \sum_j W_j (E_0 - E_e - V_j) \sqrt{(E_0 - E_e - V_j)^2 - m^2(\nu_e)}$$

- V_j not seen in MAC-E filter (+ scattering in the source)
- modifies phase space for all types of measurement

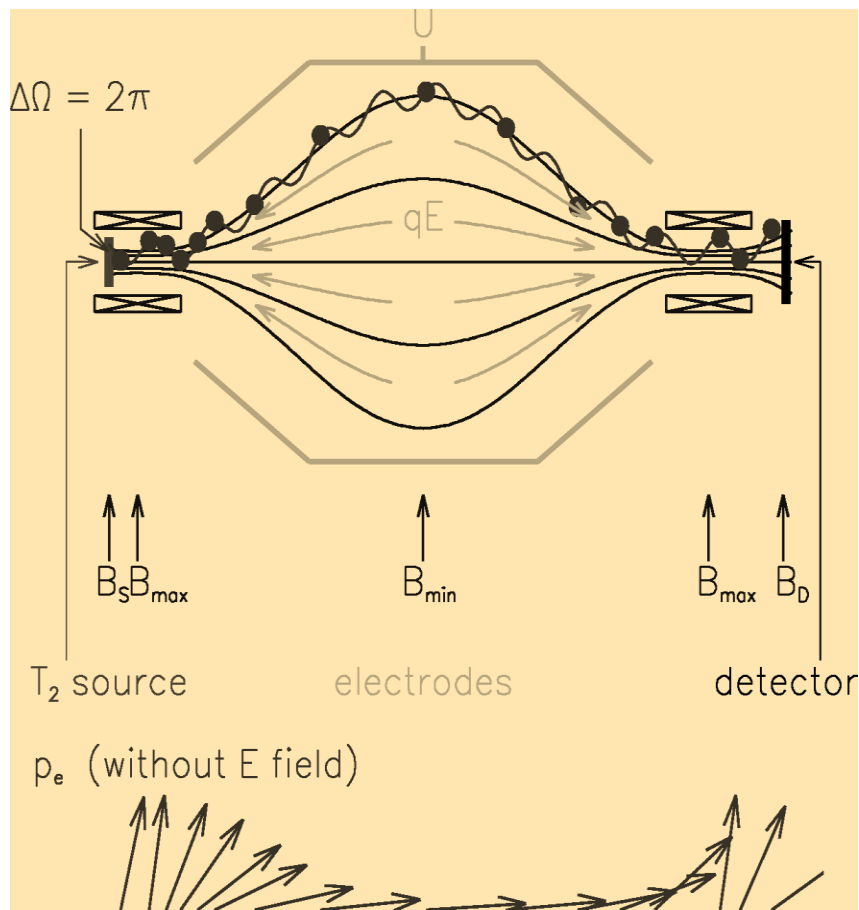


ν mixing:

$$dN/dE_e = K F(E, Z+1) p(E_e + m_e)$$

$$\cdot \sum_j W_j (E_0 - E_e - V_j) \cdot \left(\sum |U_{ei}|^2 \cdot \sqrt{(E_0 - E_e - V_j)^2 - m^2(\nu_i)} \right)$$

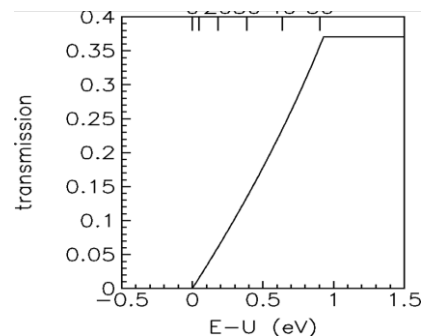
=> β endpoint measures effective ν mass: $m_\beta = \sum |U_{ei}|^2 \cdot m^2(\nu_i)$



- magnetic guiding field
- adiabatic transformation:
 $\mu = E_{\perp} / B = \text{const.}$
 \Rightarrow parallel e^{-} beam
- energy analysis by electrostat. retarding field

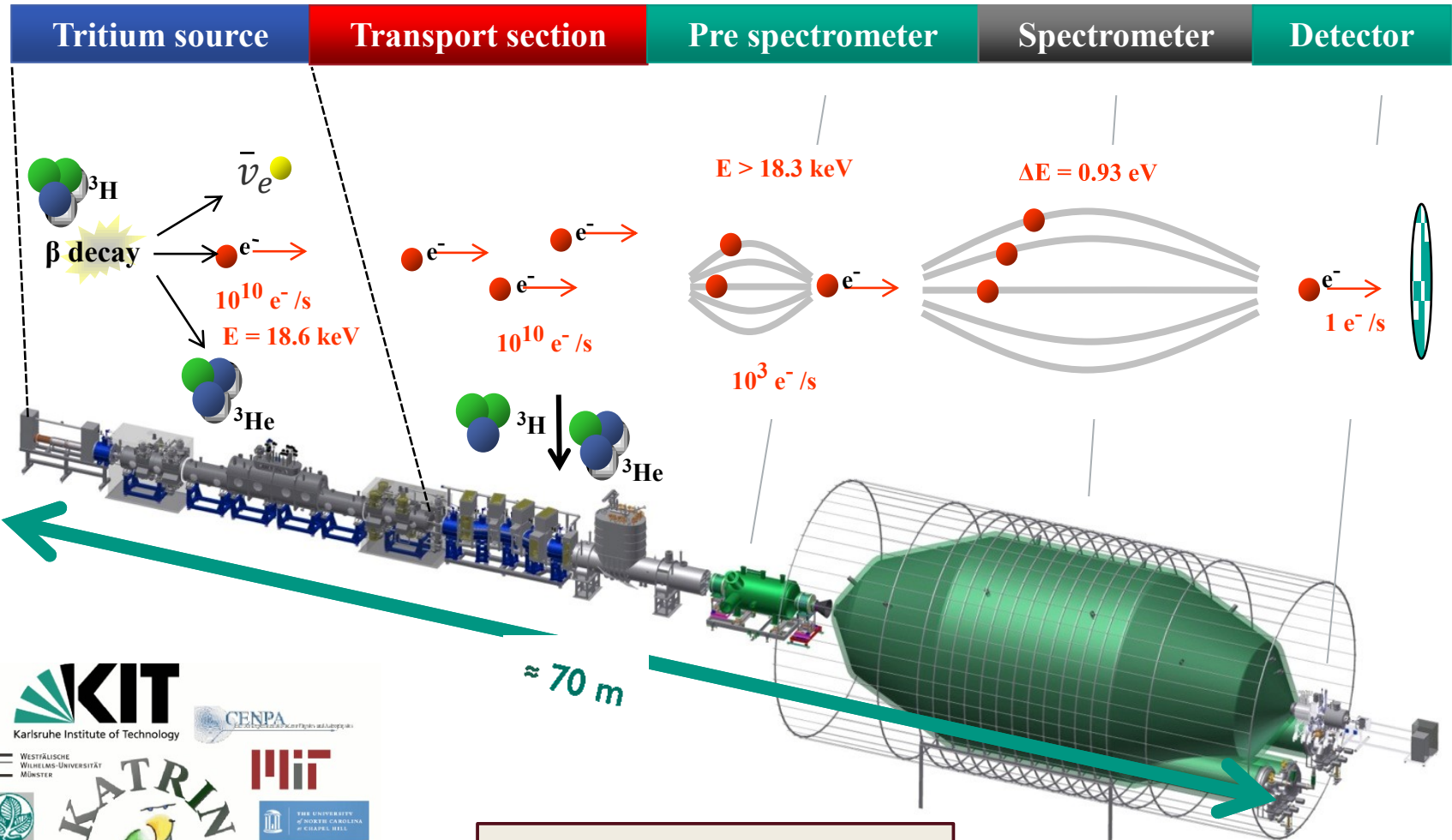
$$\Delta E = E \cdot B_{\min} / B_{\max}$$

$$= 0.93 \text{ eV (KATRIN)}$$
 \Rightarrow sharp integrating transmission function



Magnetic Adiabatic Collimation + Electrostatic Filter
 (A. Picard et al., Nucl. Instr. Meth. 63 (1992) 345)

$\Delta E \sim 1 / \text{spectrometer } \emptyset$

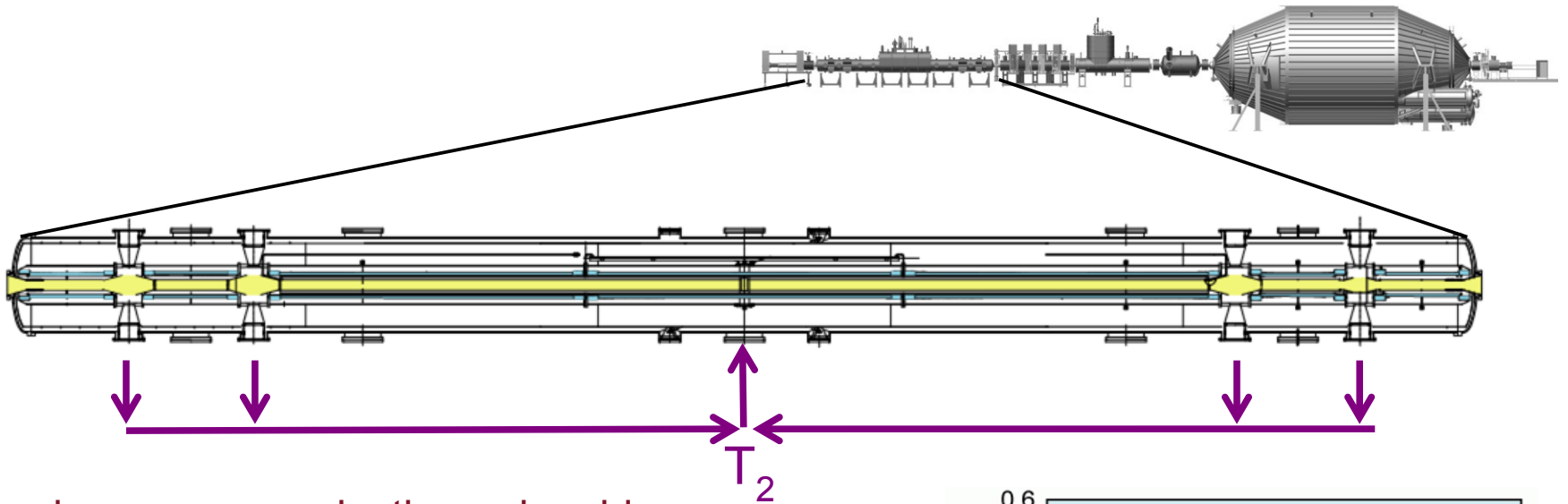


Sensitivity on $m(\nu_e)$:
 $2 \text{ eV}/c^2 \rightarrow 200 \text{ meV}/c^2$





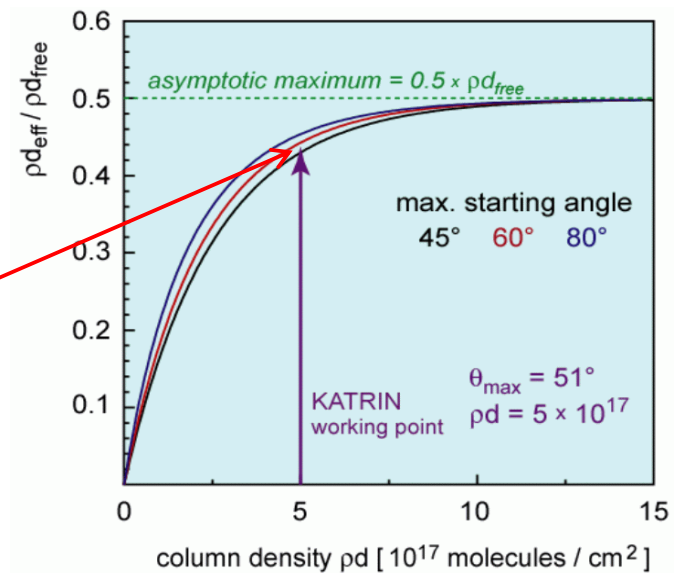
Molecular Windowless Gaseous Tritium Source



long superconducting solenoids
 \varnothing 9cm, length: 10m, $T = 30$ K

Tritium recirculation (and purification)
 $p_{inj} = 0.003$ mbar, $q_{inj} = 4.7$ Ci/s

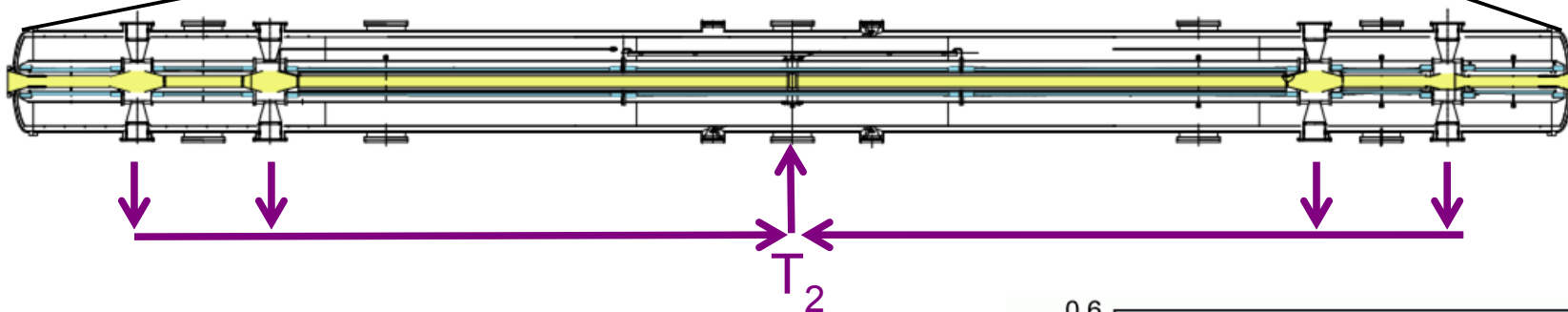
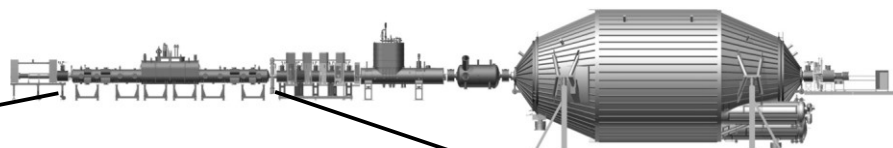
allows to measure with near to
 maximum count rate using
 $\rho d = 5 \cdot 10^{17} / \text{cm}^2$





KATRIN

the ultimate MAC-E type spectrometer



column density at the limit

more intensive source

⇒ increase source- \emptyset

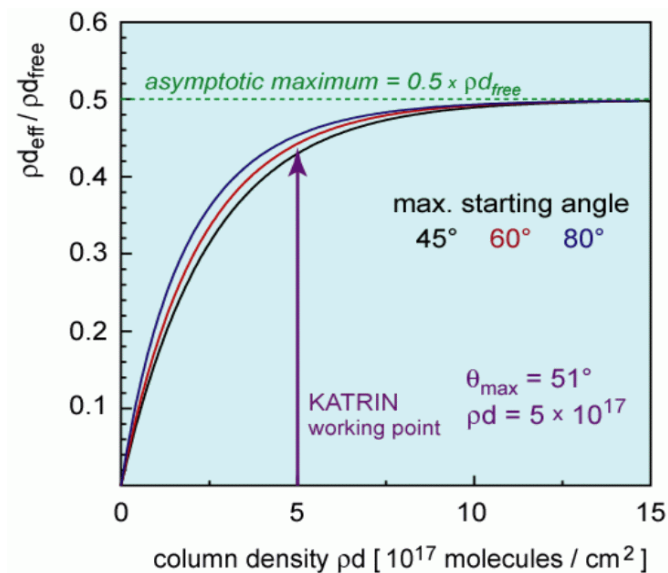
⇒ needs larger spectrometer \emptyset

to keep sensitivity

$$\Delta E/E = B_{\min}/B_{\max}$$

improve sensitivity ΔE

⇒ even larger spectrometer \emptyset





KATRIN some News

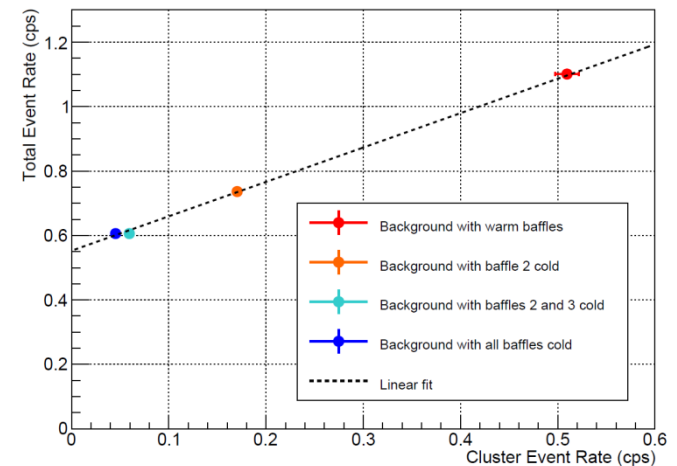
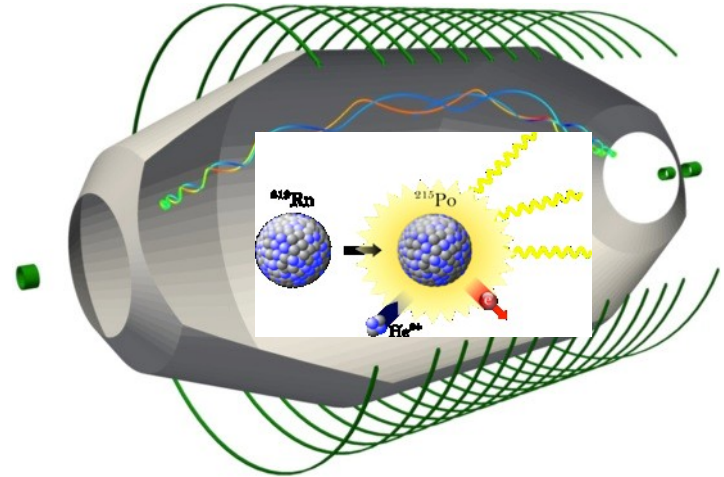
spectrometer
transmission function (E, θ)

- characterized with e-beam
- well understood

so far dominating background:

Rn emanation in getter pumps

- completely removed by cryogenic baffles





contributions with $\delta m_{\nu}^2 \leq 0.007 \text{ eV}^2$ (80meV) each:

1. inelastic scatterings of β 's inside WGTS
 - **dedicated e-gun measurements**, unfolding of response fct.
 2. fluctuations of WGTS column density (required $< 0.1\%$)
 - rear detector, Laser-Raman spectroscopy, T=30K stabilisation, **e-gun measurements**
 3. WGTS charging due to remaining ions (MC: $< 20\text{mV}$)
 - **monocrystalline rear plate short-cuts potential differences**
 4. final state distribution
 - **reliable quantum chem. Calculations**
 5. transmission function
 - detailed simulations, **angular-selective e-gun measurements**
 6. HV stability of retarding potential on $\sim 3\text{ppm}$ level required
 - **precision HV divider (with PTB), monitor spectrometer beamline**
- } tritium source
- } spectrometer
-



Systematic Uncertainties

contributions with

1. inelastic scatterings
- **dedicated**
2. fluctuations of WGT
- rear detect
e
3. WGTS charging dur
- **monocrys**
4. final state distributi
- **reliable qu**
5. transmission functio
- detailed s
6. HV stability of retar
- **precision HV divi**

sensitivity:

$$m_\nu < 0.2\text{eV}$$

(90%CL)

discovery potential:

$$m_\nu = 0.3\text{eV}$$

(3 σ)

$$m_\nu = 0.35\text{eV}$$

(5 σ)

start 2016/17

5y data taking

response fact.

calibration,

uncertainties

tritium
source

measurements

beamline

spectrometer



neutrino is most abundant particle (after photon)

as higher Σm_ν

as higher neutrino contribution
to energy density in the Universe

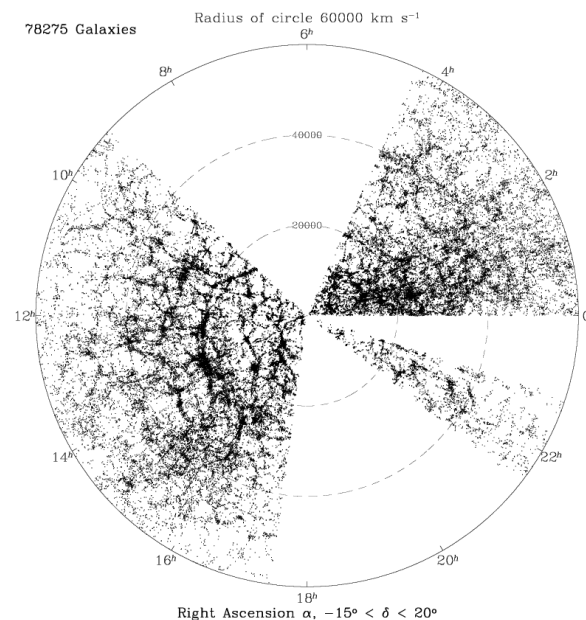
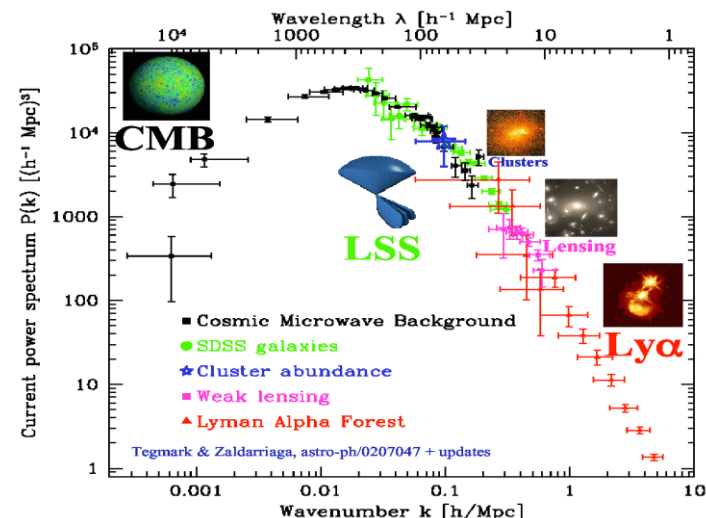
neutrinos are hot dark matter

=> damp structure growth at smaller scales

studying structure at different scales and z
is very sensitive to Σm_ν

CMB, galaxy distribution, Lyman α , ...

very sensitive, but model dependent





depending on the data sets included
limits on $\Sigma m_\nu < 0.17 \dots 0.72 \text{ eV}$

We take the combined constraint further including BAO, JLA, and H_0 ("ext") as our best limit

$$\left. \begin{array}{l} \Sigma m_\nu < 0.23 \text{ eV} \\ \Omega_\nu h^2 < 0.0025 \end{array} \right\} 95\%, \text{ Planck TT+lowP+lensing+ext.} \quad (57)$$

Planck best limit

$$\Sigma m_\nu < 0.23 \text{ eV}$$

EUCLID: launch 2020

microlensing (galaxy shapes)

as function of redshift z (spectroscopy)

⇒ 3D structure of a large part of visible Universe

⇒ can get as low as $\Sigma m_\nu < 10 \text{ meV}$

⇒ must see the effect of neutrino-mass

$$\Sigma m_\nu < 0.72 \text{ eV} \quad \text{Planck TT+lowP}; \quad (54a)$$

$$\Sigma m_\nu < 0.21 \text{ eV} \quad \text{Planck TT+lowP+BAO}; \quad (54b)$$

$$\Sigma m_\nu < 0.49 \text{ eV} \quad \text{Planck TT, TE, EE+lowP}; \quad (54c)$$

$$\Sigma m_\nu < 0.17 \text{ eV} \quad \text{Planck TT, TE, EE+lowP+BAO}. \quad (54d)$$

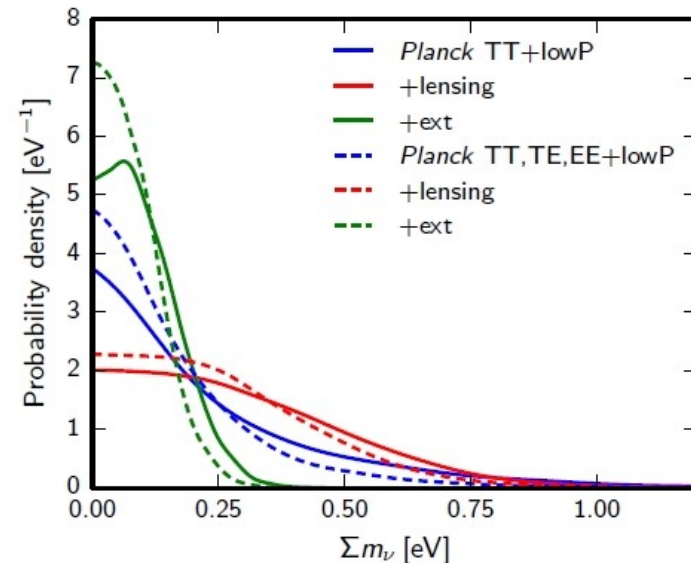


Fig. 30. Constraints on Σm_ν for various data combinations.



β Decay vs Cosmology

Planck result

$$\Sigma m_i < 230 \text{ meV}$$

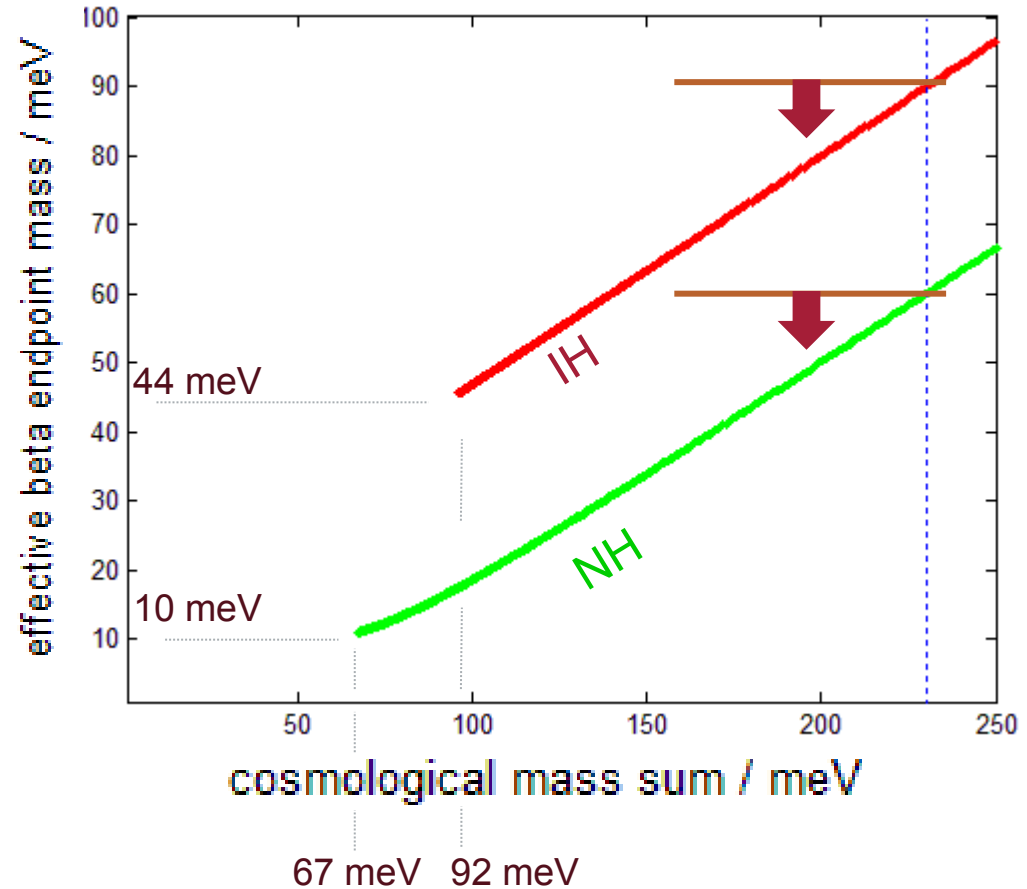
corresponds to β limits of

$$m_\beta < 60 \text{ meV NH}$$

$$m_\beta < 90 \text{ meV IH}$$

if KATRIN discovers sth, larger than this (sensitivity $> 200\text{meV}$)

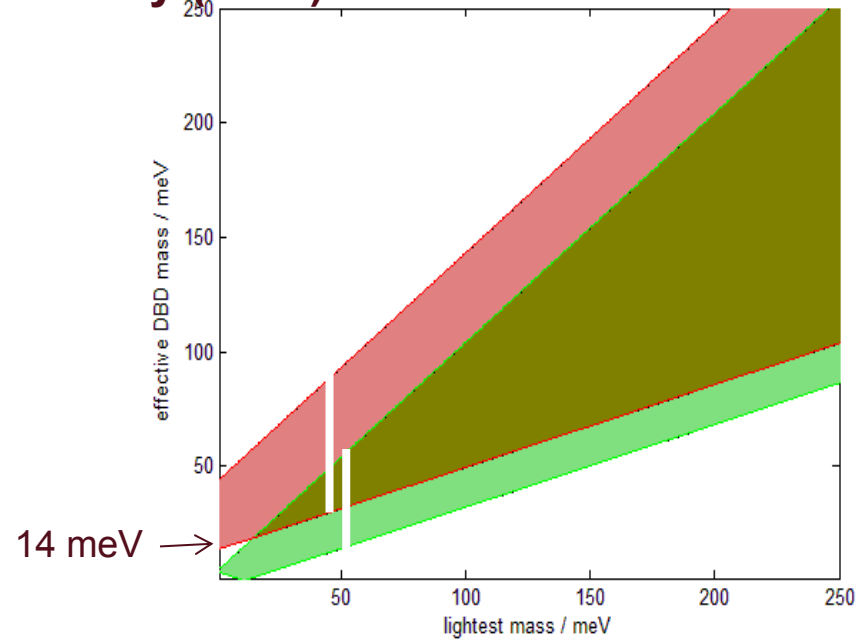
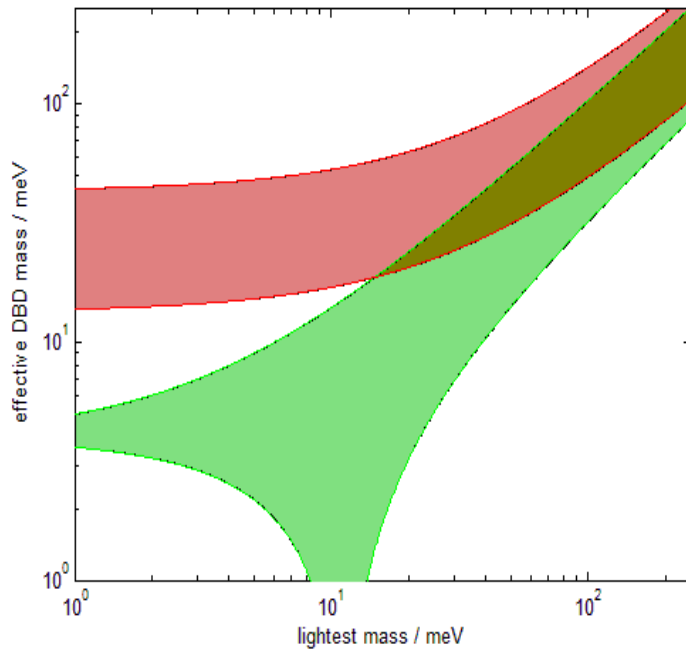
\Rightarrow conflict / tension with cosmology





can we get m_{light}

double beta decay (DBD)



almost no information on m_{light} (may be $m_{\text{light}} > 0$)
could tell hierarchy only if

normal hierarchy and effective DBD mass $< 14\text{meV}$
but: errors in matrix elements, we don't know if ν is majorana

not really a mass
measurement

DBD is about
lepton number violation



β Decay vs Cosmology

If we had a

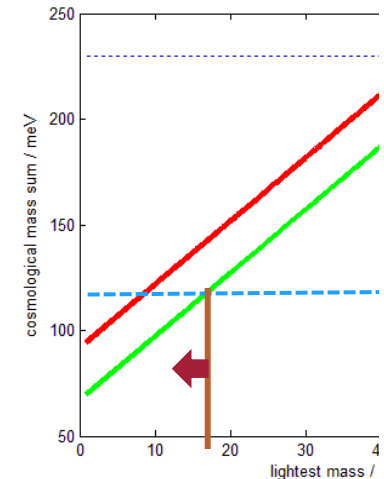
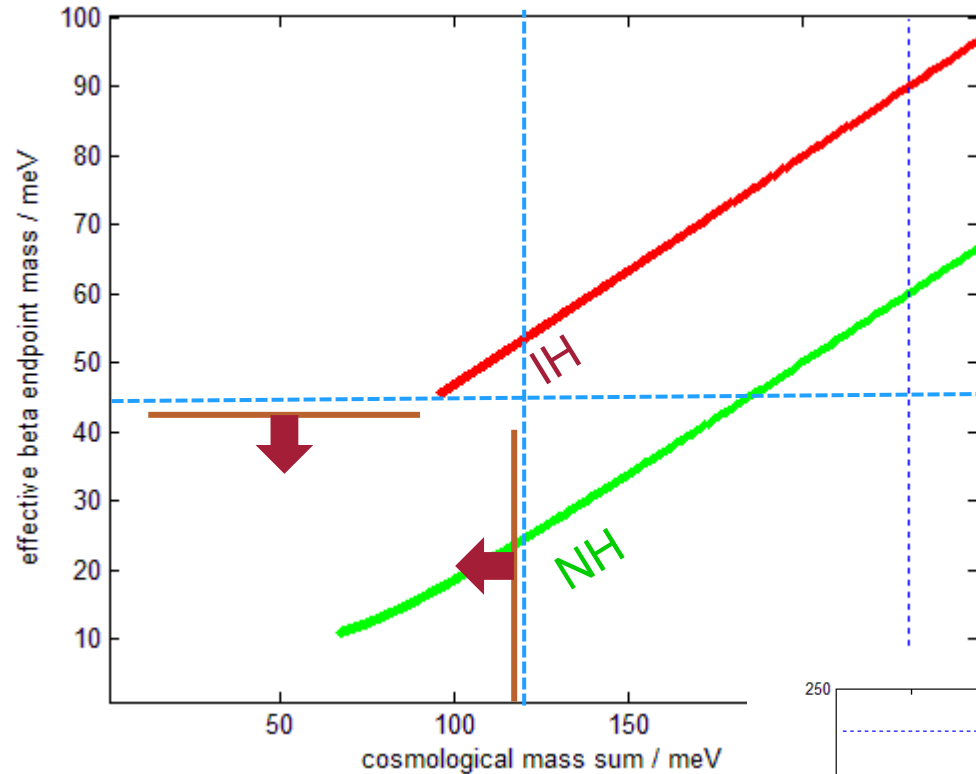
~ (4-5) x better KATRIN (44 meV)

and an improved
sensitivity from cosmology

$$\Sigma m_i < 120 \text{ meV}$$

cosmol.	β -endpoint
nothing	nothing

=> NH, $m_{\text{light}} < 20\text{meV}$



hierarchy	yes
$m_{\text{light}} > 0$	no



β Decay vs Cosmology

If we had a

~ (4-5) x better KATRIN (44 meV)

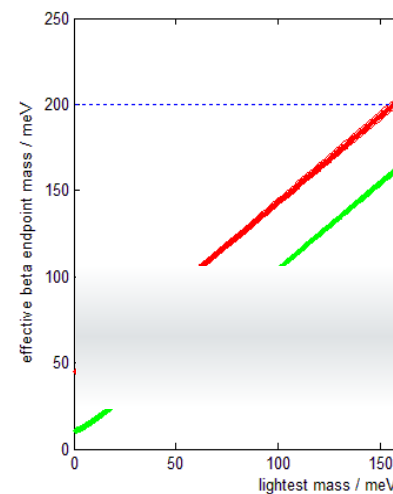
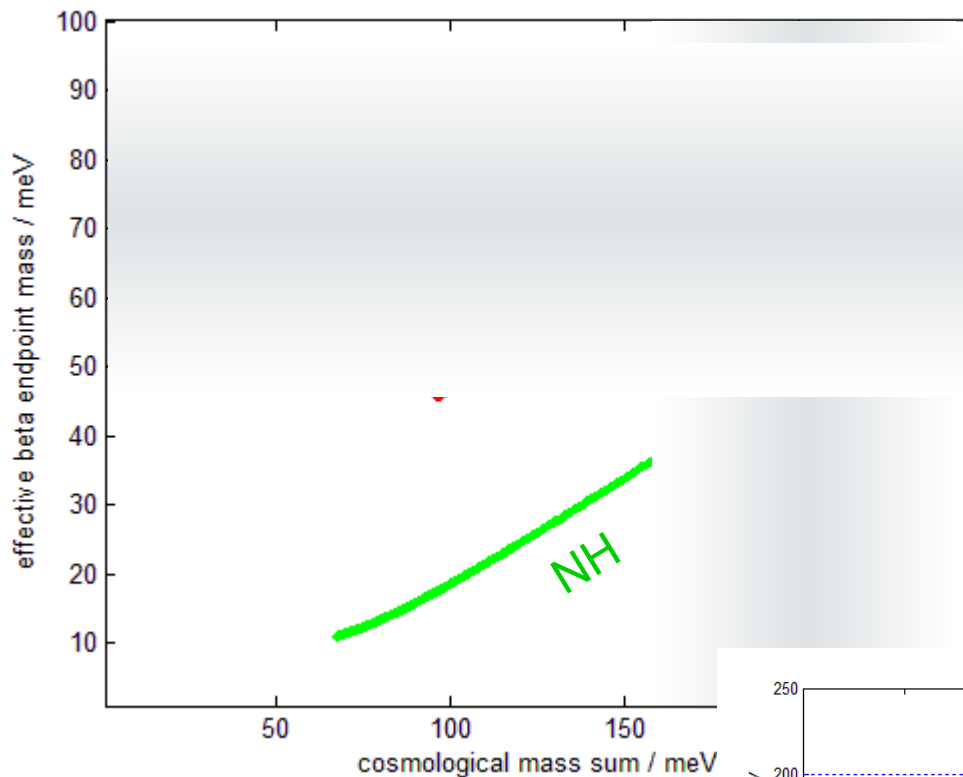
and an improved sensitivity from cosmology

$$\Sigma m_i < 120 \text{ meV}$$

cosmol.	β -endpoint
yes	yes

=> hierarchy, if errors small enough (+-15meV)

=> possibly $m_{\text{light}} > 0$ (relies on cosmology JUNO, ORCA, PINGU can help, NH easier)



hierarchy
 $m_{\text{light}} > 0$

possibly
yes



β Decay vs Cosmology

If we had a

~ (4-5) x better KATRIN (44 meV)

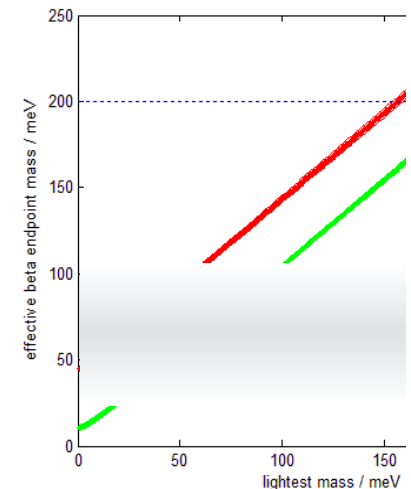
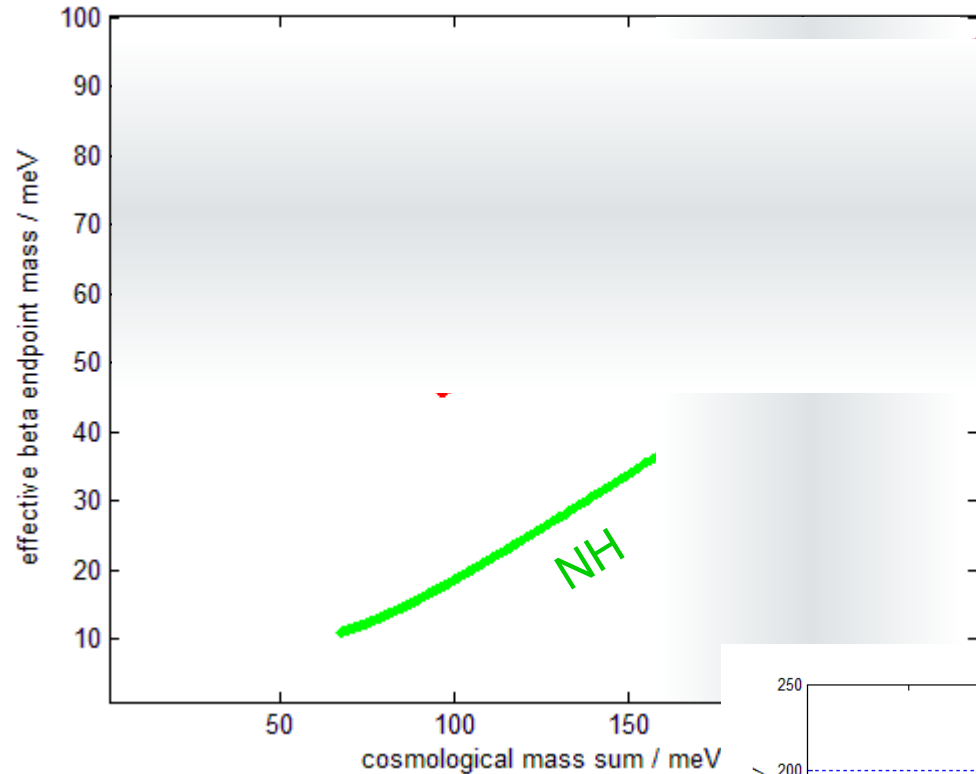
and an improved
sensitivity from cosmology

$$\Sigma m_i < 120 \text{ meV}$$

**It is worth the effort to look
for techniques beyond KATRIN**

- **together with cosmology
can get hierarchy**

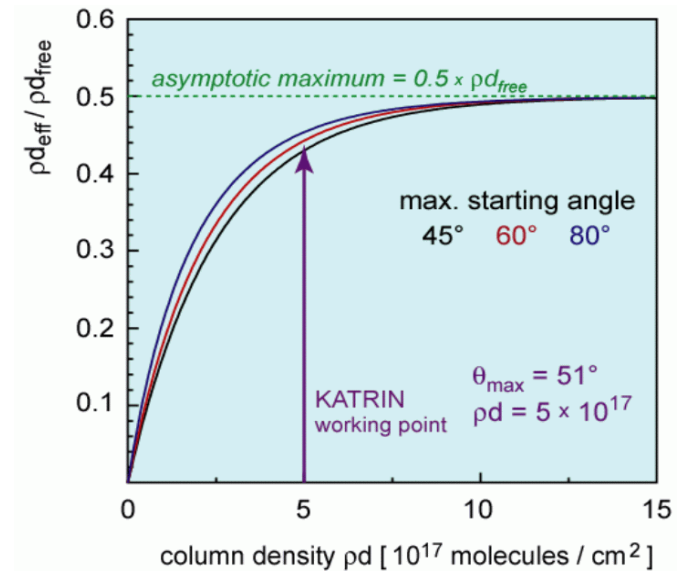
to get $m_{\text{light}} > 0$ might be possible if large enough

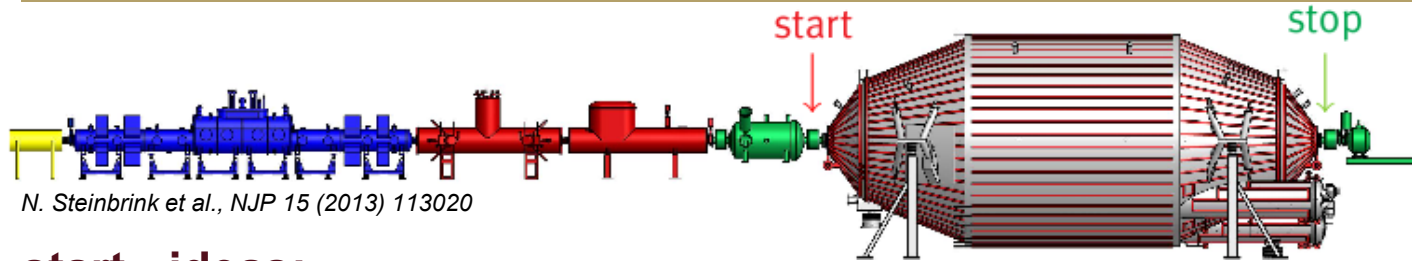




the source is opaque

- ⇒ need to increase source \emptyset
magnetic flux conservation
requests larger spectrometer too,
but a $\emptyset 100\text{m}$ spectrometer is not feasible
 - ⇒ make better use of electrons by **TOF**
(corresponds to higher statistics)
 - ⇒ use synchrotron radiation from source
- ### Project 8

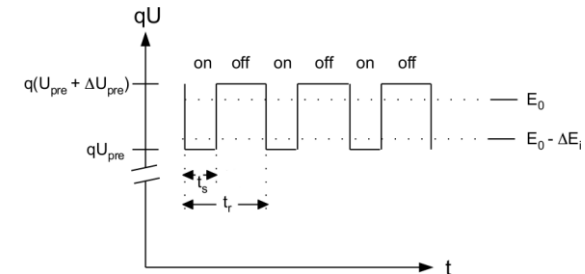




N. Steinbrink et al., NJP 15 (2013) 113020

start - ideas:

- e⁻ tagger: needs to measure electron without disturbing it => ~ 10meV threshold ??
- use pre-spectrometer as gate



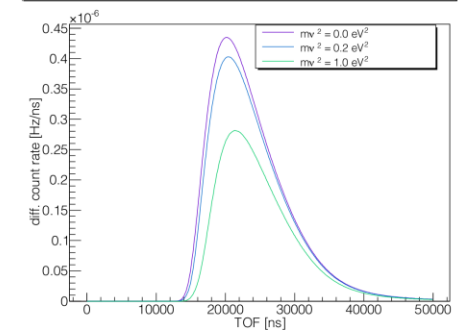
stop:

- time of arrival with present detector $\Delta t = 50 \text{ ns} \rightarrow \text{ok}$

advantage:

- measure many electron energies at one retarding potential
- corresponds to many spectrometer settings at once
- increase of statistics

Comparison of TOF spectra for different neutrino masses for $E_e = 18574.0 \text{ eV}$, $U_{pre} = 18570.0 \text{ eV}$



**factor 5 in mass
sensitivity under
ideal conditions**



KATRIN type tritium source
in uniform B field

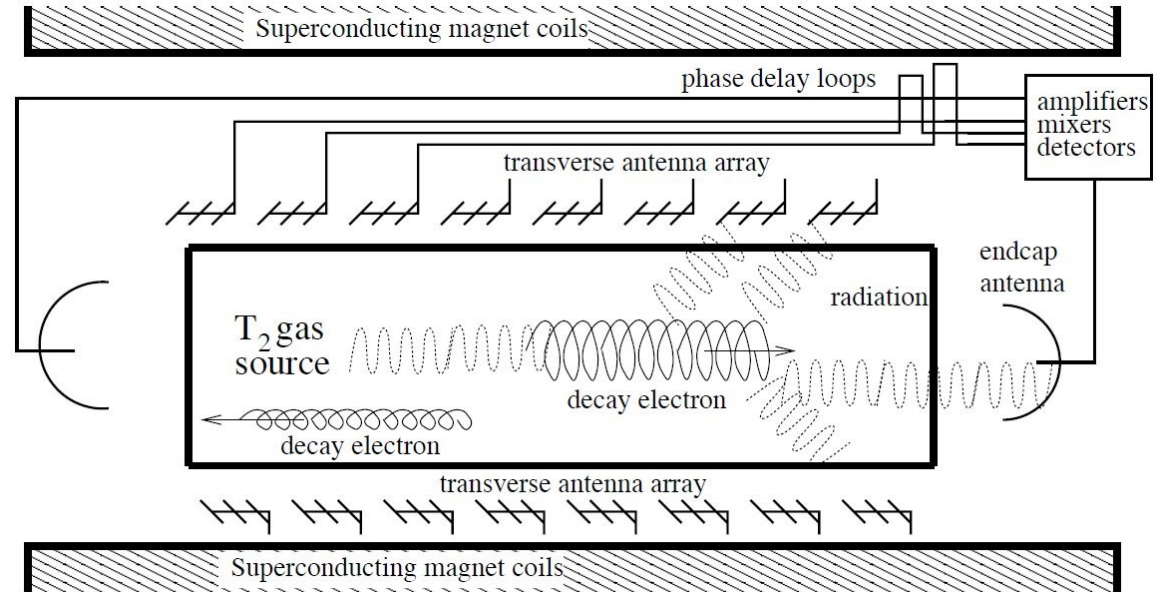
B. Monreal and J. Formaggio, PRD 80 (2009) 051301

**electron radiates
coherent cyclotron
radiation**

$$\omega(\gamma) = \frac{\omega_0}{\gamma} = \frac{eB}{K + m_e}$$

antenna array for cyclotron
radiation detection

radiation can leave the source
and carries the information
of the β -electron energy

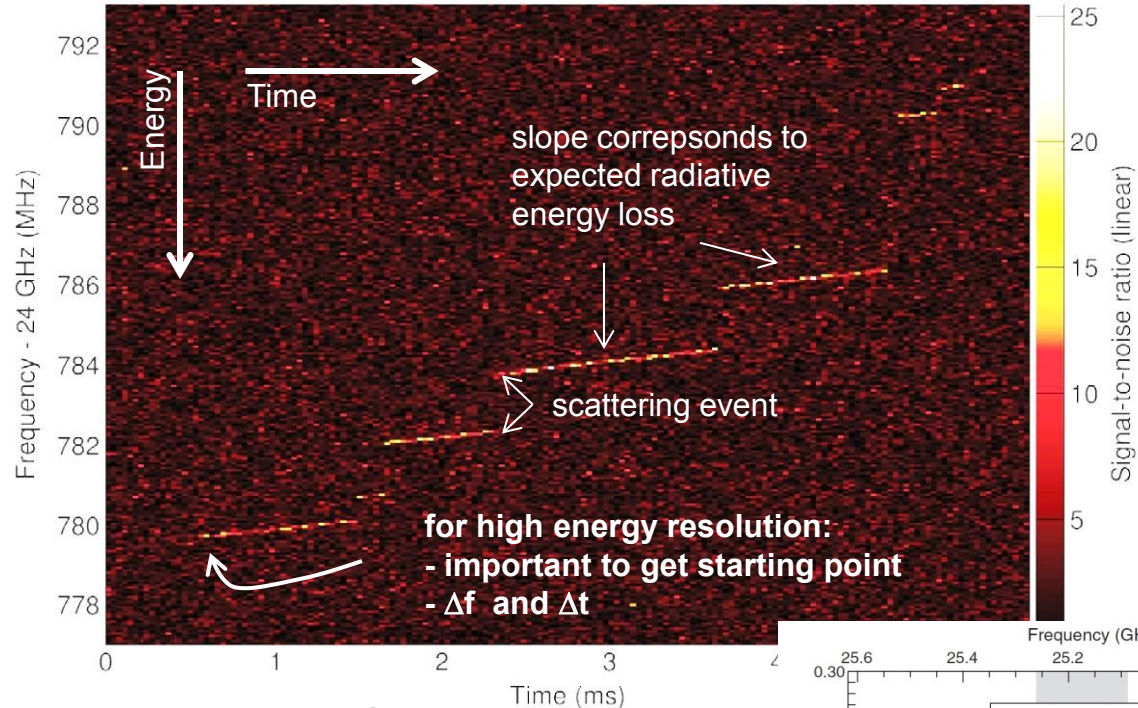
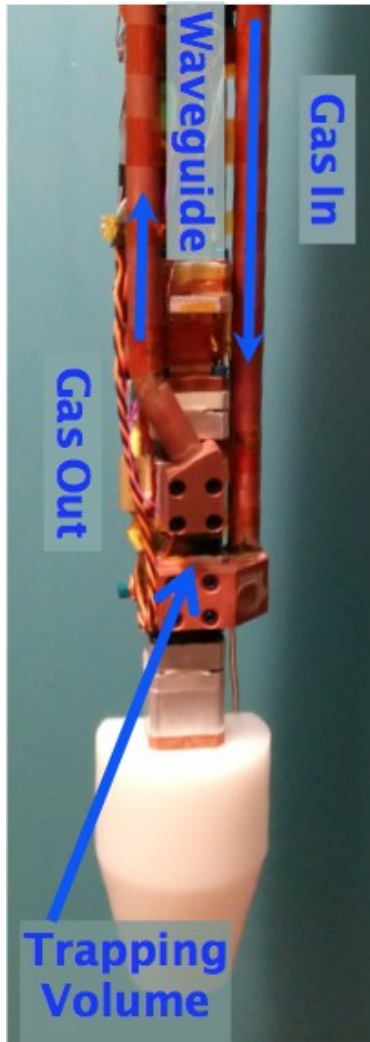


overcomes size limit to tritium source



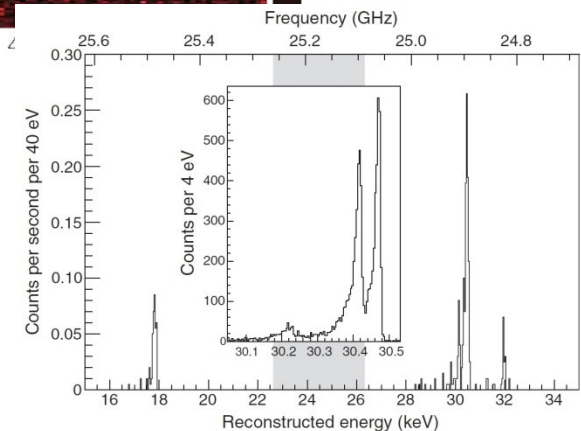
Project 8: first single Electron Measurement

D. M. Asner et al., arXiv:1408.5362



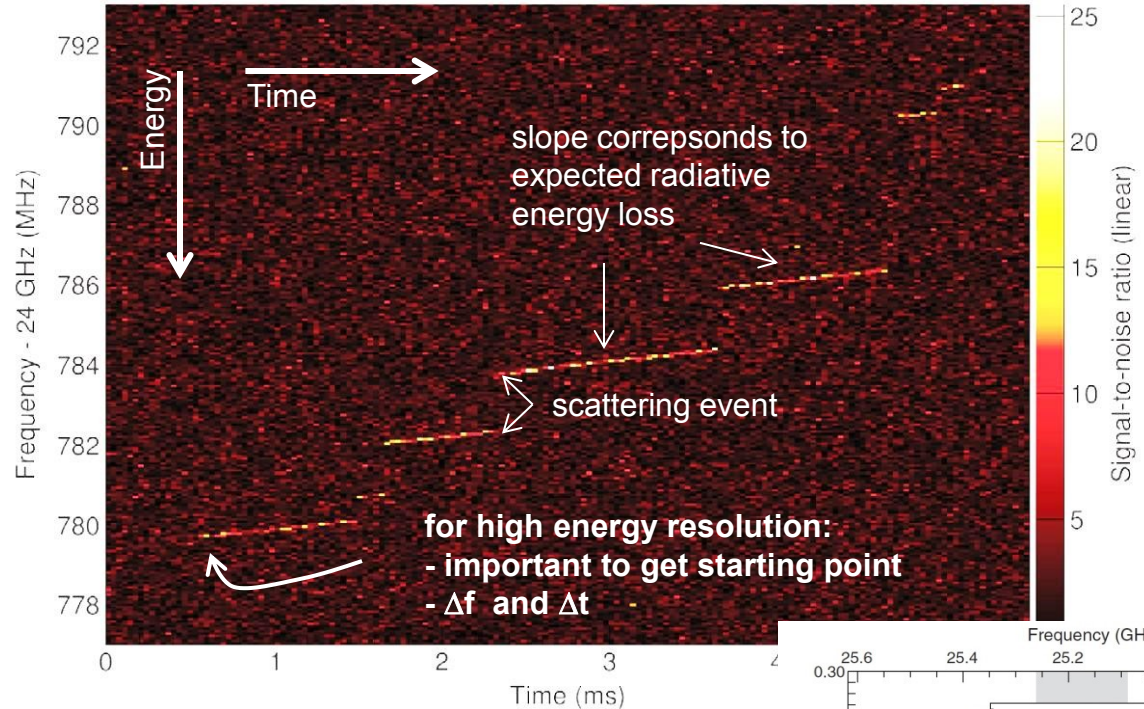
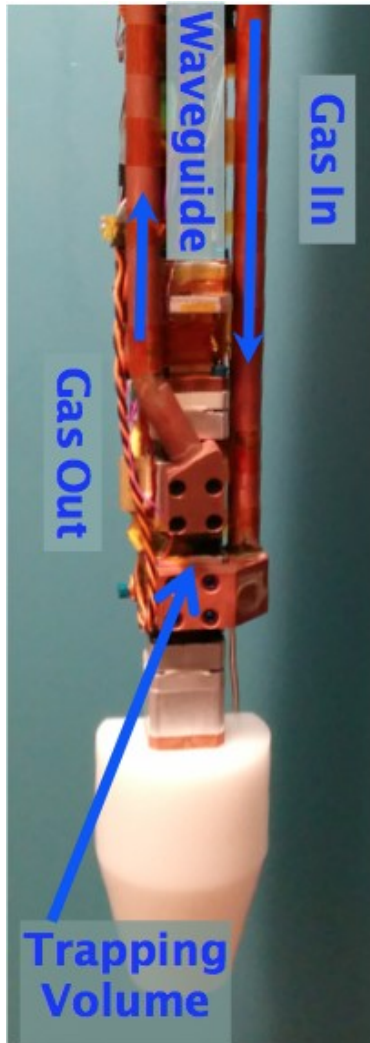
observed lines from Kr source

$\Delta E \sim 30\text{eV}$, dominated
by inhom. trapping field
 \Rightarrow should be much better
in large source



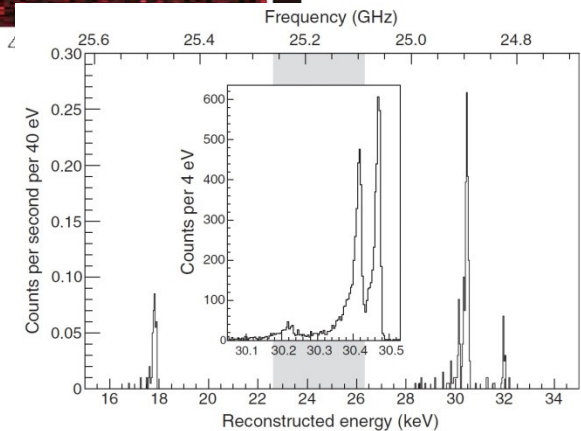


Project 8: first single Electron Measurement



proof of principle

**needs to be developed into
a large scale experiment**



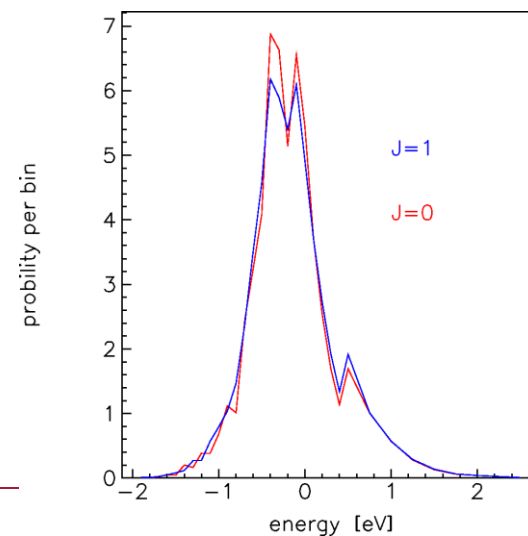
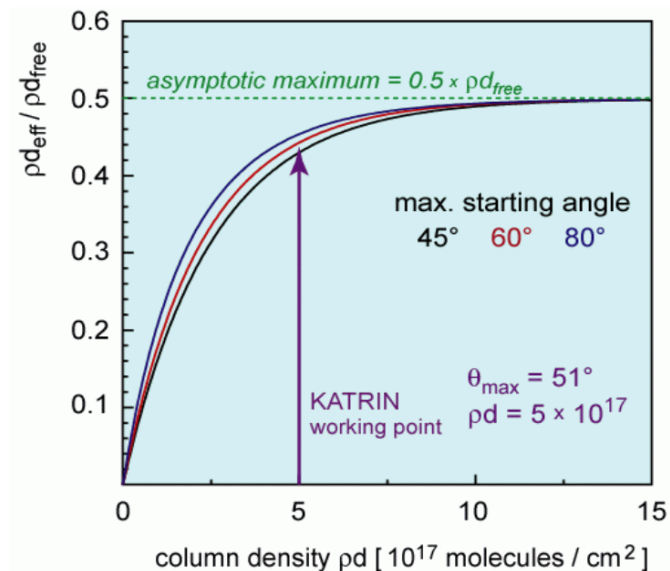


the source is opaque

- ⇒ need to increase source \emptyset
magnetic flux tube conservation
requests larger spectrometer too
but a $\emptyset 100\text{m}$ spectrometer is not feasible
- ⇒ make better use of electrons by **TOF**
(corresponds to higher statistics)
- ⇒ use synchrotron radiation from source
Project 8

**resolution with molecular Tritium
is limited to $\sigma = 0.34 \text{ eV}$ ($m_\beta \sim 80\text{meV}$)**
by the excitation of vibrational states
in the final state

- ⇒ **use atomic Tritium?** (Project 8)
- ⇒ calorimetry: source = detector
ECHO, HOLMES, NuMECS





Cryogenic Calorimeters

$$\Delta T = \Delta E / C$$

$C \sim T^3$ insulator; $\sim T$ metall

measures full absorbed energy
by temperature rise

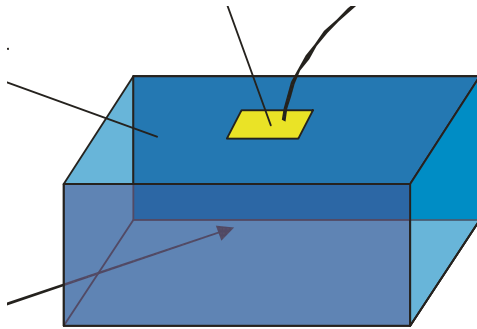
high energy resolution ΔE

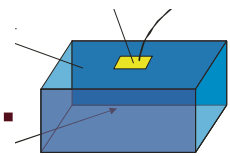
**all energy except
energy carried away by ν**

single final state experiment
 \Rightarrow no problems with

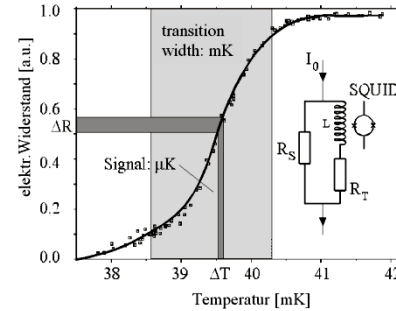
- inelastic scattering
- excited states
- backscattering ...

but still, **phase space might be deformed** by
excited states, or multiple de-excitation pathways





different types cryogenic calorimeters
differ in the way the temperature is measured

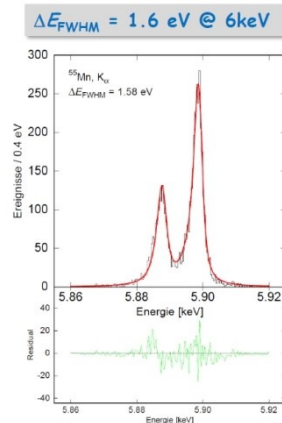
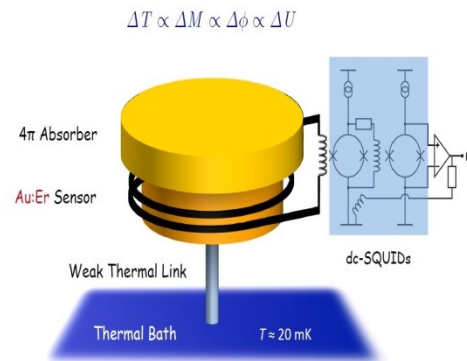


TES Superconducting Transition Edge Sensors:
MMC Magnetic Micro Calorimeters:
NTD semiconductor thermistors:

$$\left. \begin{array}{l} \Delta T \Rightarrow \Delta R \\ \Delta T \Rightarrow \Delta M \end{array} \right\} \text{SQUID} \Rightarrow \Delta U$$

$$\Delta T \Rightarrow \Delta R \Rightarrow \Delta U$$

Metallic Magnetic Calorimeter MMC



no energy filter

- ⇒ has to cope with entire spectrum
- ⇒ as low as possible Q-value (Re, Ho)
- ⇒ pile up problem
- ⇒ **needs many detectors**
- ⇒ **needs fast detectors**

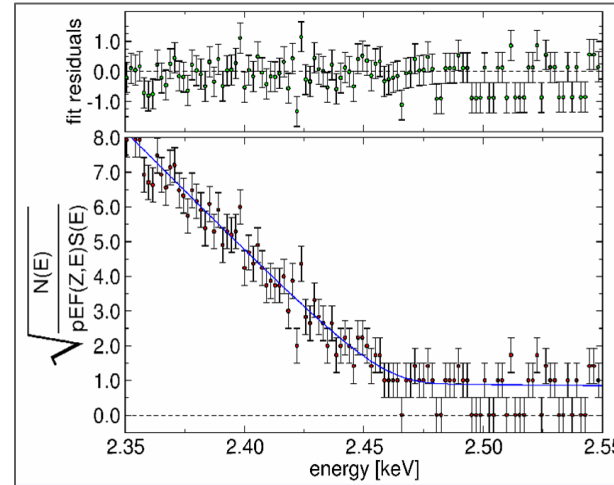


MARE Neutrino Mass Project: ^{187}Re β -Decay with Cryogenic Detectors

Q value: 2.465 keV

MARE (Mibeta, Manu)

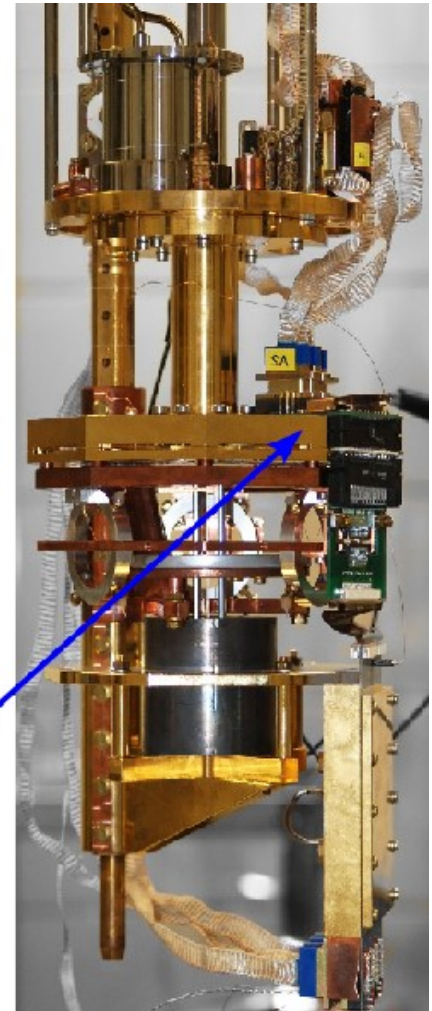
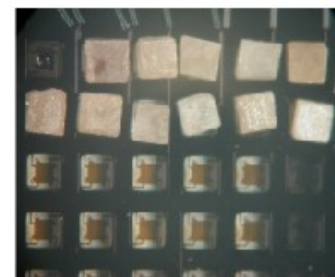
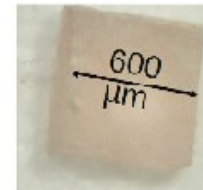
- Italy, Germany, USA
- Si NTD Thermistors and TES
- 0.5 mg AgReO_4 crystals
- $\Delta E \sim 28\text{eV}$
- goal $10^4 - 10^5$ detectors



severe problems

- to build high resolution and fast detectors with Re-absorbers
- very large amount of Re were needed

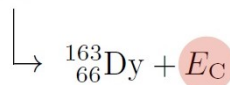
- ⇒ stopped
- ⇒ continue with **EC capture in ^{163}Ho**
- ⇒ HOLMES, ECHO, NuMECS,



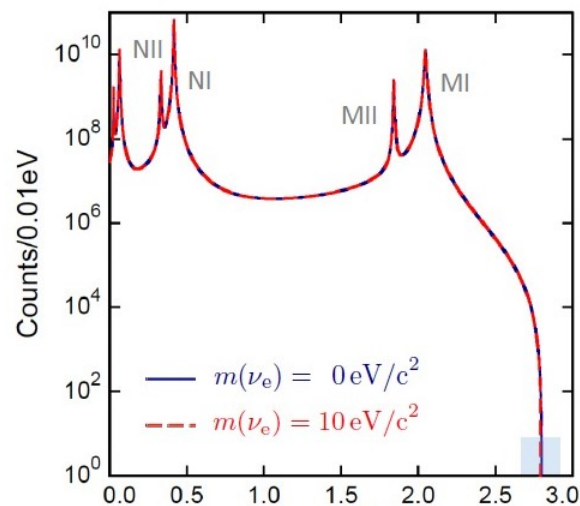
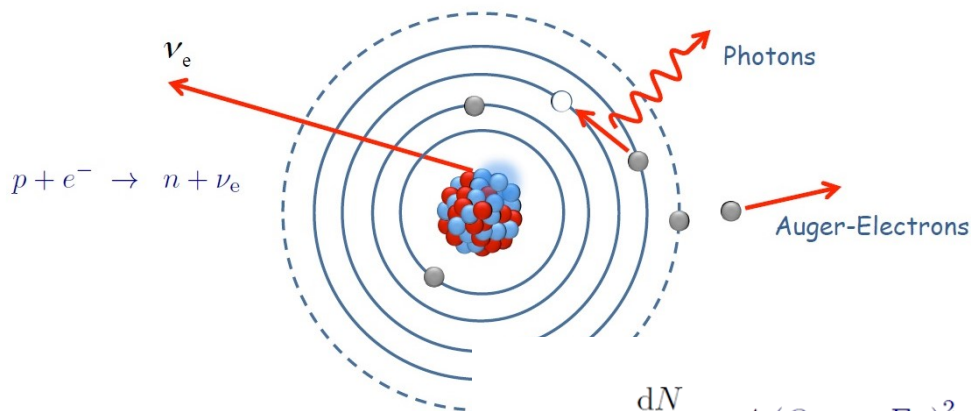
Angelo Nucciotti, Meudon 2011



A. De Rujula, M. Lusignoli,
Phys. Lett. B 118 (1982) 429



Q value: (2.5-2.8) keV



$$\frac{dN}{dE_C} = A (Q_{\text{EC}} - E_C)^2 \sqrt{1 - \frac{m_\nu^2}{(Q_{\text{EC}} - E_C)^2}} \sum_j C_j n_j B_j \phi_j^2(0) \frac{\Gamma_j/2\pi}{(E_C - E_j)^2 + \Gamma_j^2/4}$$

HOLMES – TES sensor:

INFN (Milano, Genova, LNGS...), NIST, CITech, PSI, ILL ...

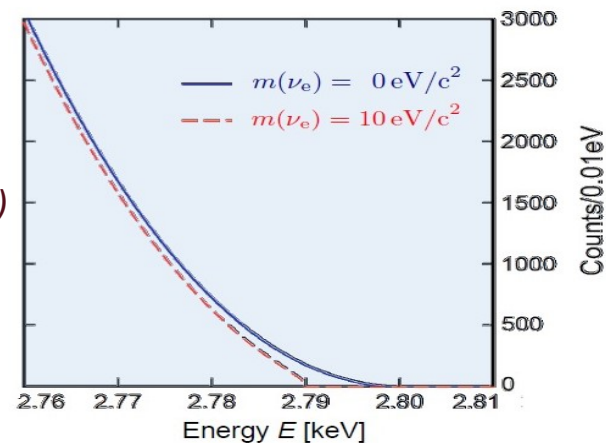
ECHO – MMC sensors

Germany (Heidelberg, Mainz, Dresden, Tübingen, Frankfurt, Berlin)

France, Slovakia, Russia, India

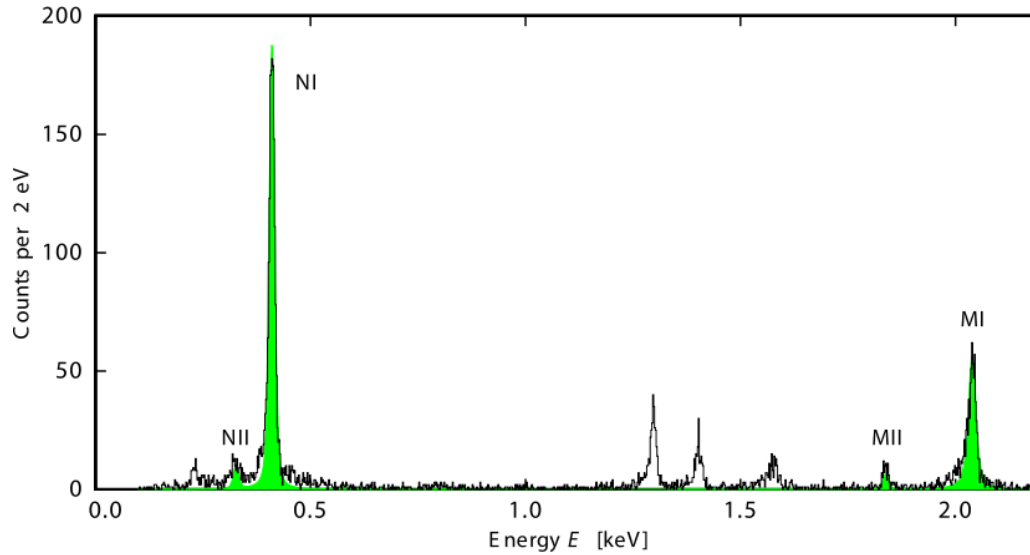
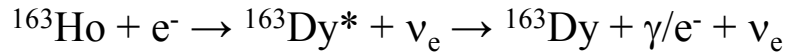
NuMECS

USA

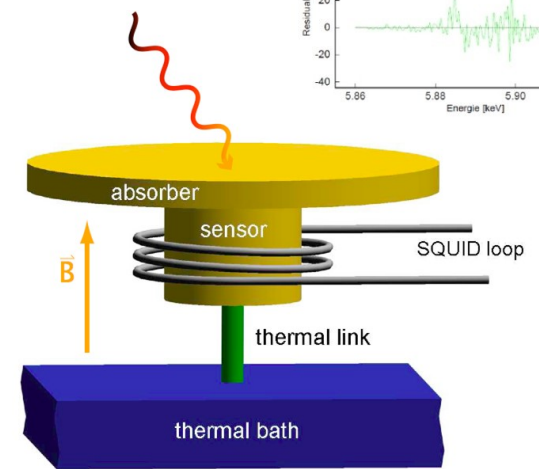
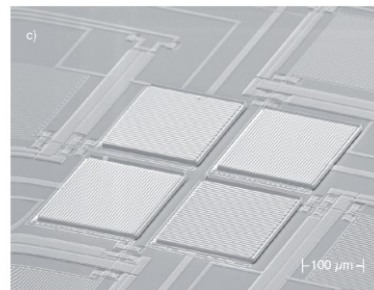
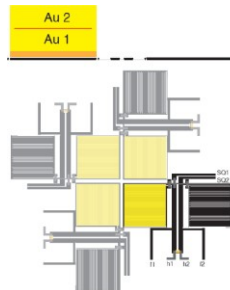
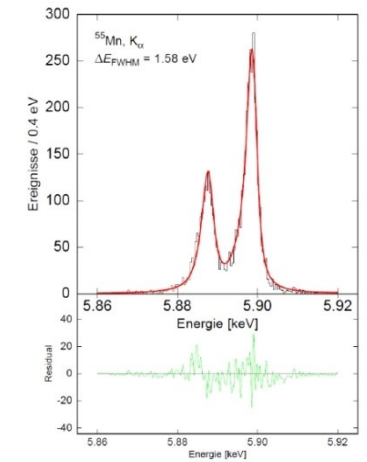




high energy resolution



$\Delta E_{FWHM} = 1.6 \text{ eV @ } 6 \text{ keV}$



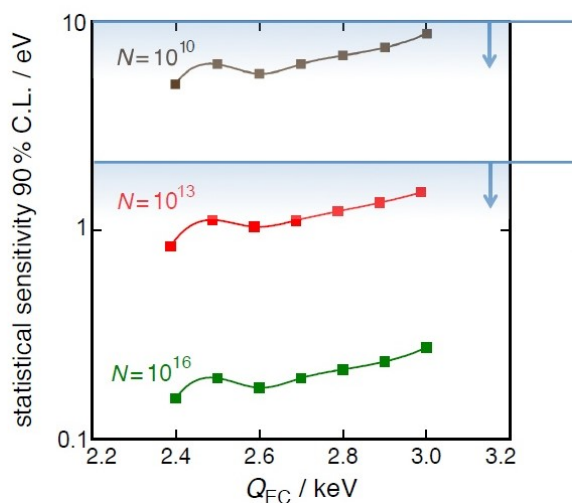
courtesy L. Gastaldo



Technology for large Number of Pixels

$$\Delta E_{\text{FWHM}} = 3 \text{ eV}, \quad f_{\text{pu}} = A \tau_{\text{R}} = 10^{-6}$$

no filter for events far from endpoint
 \Rightarrow needs large number of counts
 \Rightarrow needs large number of pixels



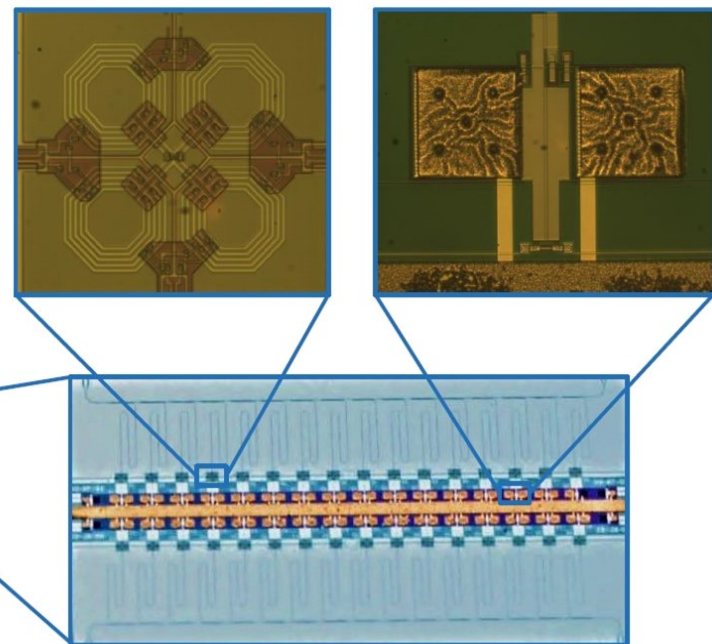
ECHO-1k
 2 x 50 pixel x 10 Bq
 4 months $\rightarrow 10^{10}$ events

ECHO-1M
 50 x 2000 pixel x 10 Bq
 24 months $\rightarrow 6 \times 10^{13}$ events

needs

$\sim 10^{10}$ counts for $m \sim 10$ eV

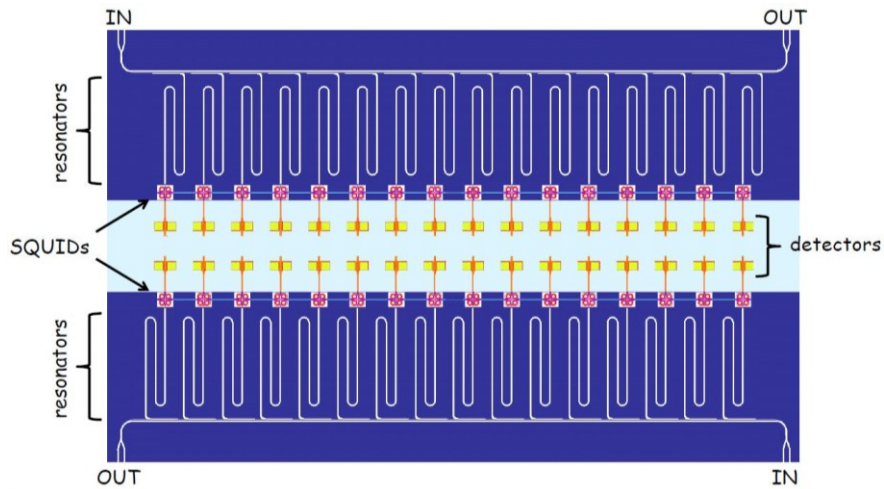
$\sim 10^{13}$ counts for $m \sim \text{sub } 1$ eV



technology to read out such large pixel numbers is available and proven

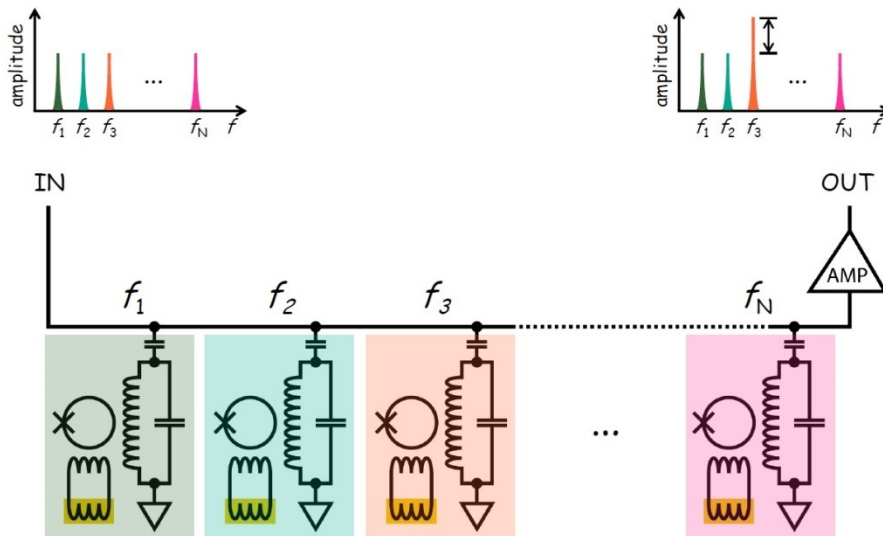


Technology for large Number of Pixels



no filter for events far from endpoint
 \Rightarrow needs large number of counts
 \Rightarrow needs large number of pixels

technology is available and proven

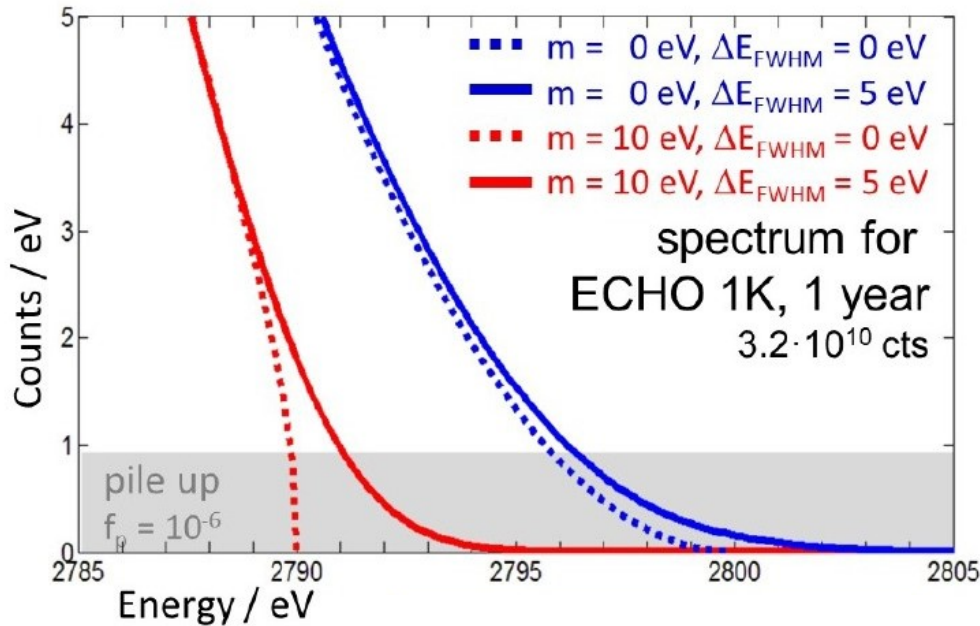
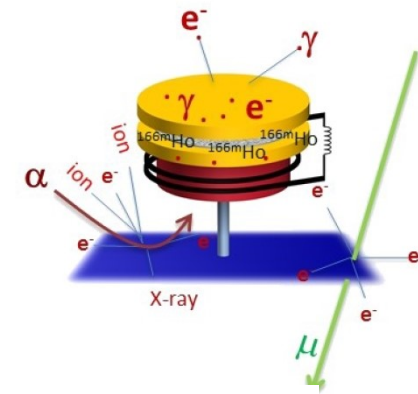


frequency multiplexing

\Rightarrow one line, one amplifier
 for many pixels



Backgrounds



counts in 10eV below Q-value

expected signal $m_\nu = 0\text{eV} / 10\text{eV}$	30 / 5
---	--------

pile up	10
---------	----

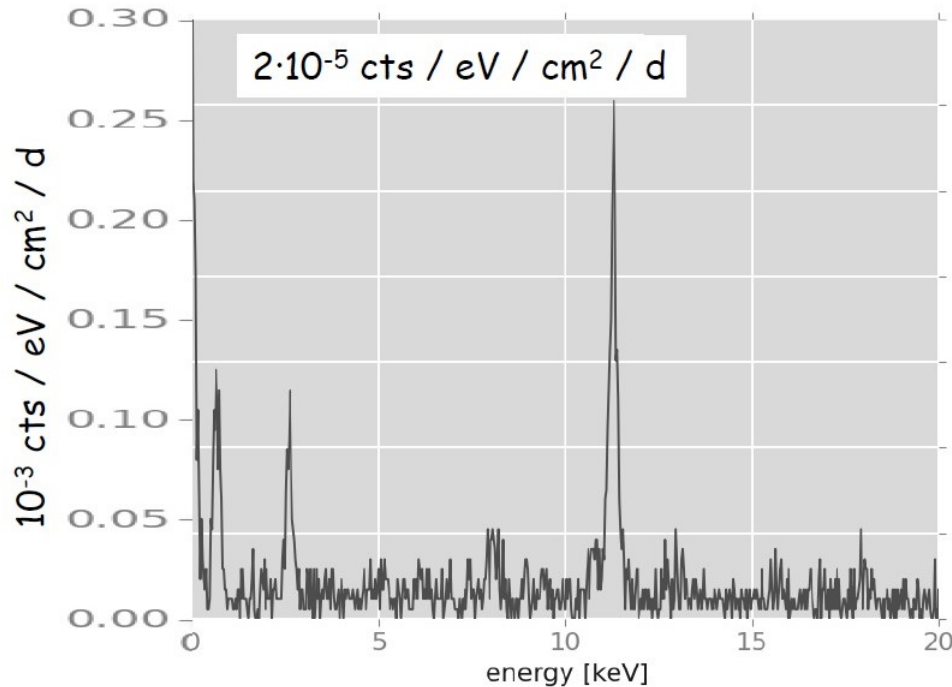
background 10^{-5} cts/ev/d/det	5
--------------------------------------	---

sum	$45 \pm 10 / 20 \pm 7$
-----	------------------------

background level of 10^{-5} cts/eV/d/det or better should be possible



Backgrounds: scaling from CRESST



scaled

by mass

$\sim 10^{-11}$ cts / eV/det/d

by volume

$\sim 4 \cdot 10^{-12}$ cts / eV/det/d

by surface

$\sim 10^{-8}$ cts / eV/det/d



mass $4 \cdot 10^{-6}$ g / det

volume $2 \cdot 10^{-7}$ cm³ / det

surface $4 \cdot 10^{-4}$ cm² / det

background level of 10^{-5} cts/eV/d/det or better should be possible

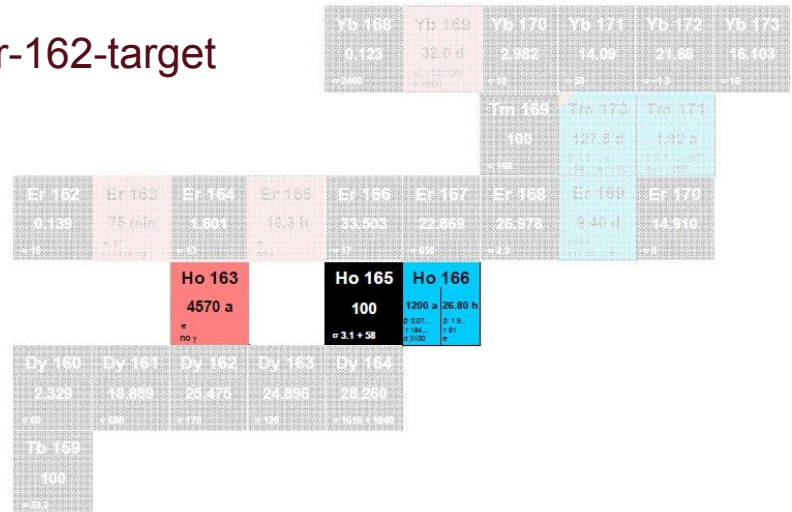
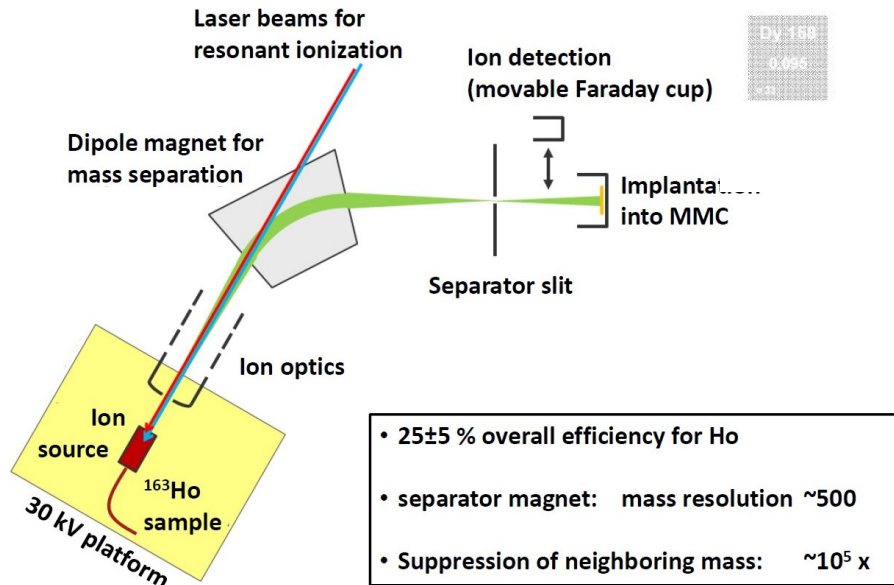


Backgrounds: ^{166}Ho in ^{163}Ho -Source

Production of Ho-163 source via n-activation of Er-162-target

chemical purification before and after irradiation

⇒ but still co-production of Ho-166m



⇒ mass separation before implantation into detector pixels

background level of 10⁻⁵ cts/eV/d/det or better should be possible



Pile up: fast Detectors are very important

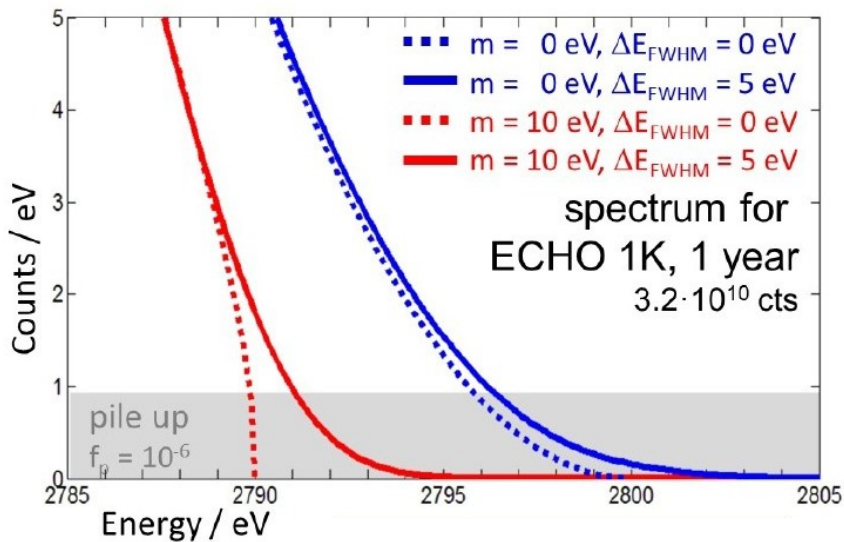
number of pile up events = number of collected events * pile up fraction f_{pp}

$$f_{pp} = \tau_{rise} * A_{det}$$

τ_{rise} rise time / time resolution to recognize pile up
 A_{det} activity in each detector pixe

fraction of pile up events in last eV: $\sim f_{pp} * 10^{-6}$
fraction of signal counts in last eV: $\sim * 10^{-12}$

maximal tolerable $f_{pp} \sim 10^{-6} \Rightarrow \tau_{rise} < 1 \mu s$; A_{det} not larger than 10 Bq
 \Rightarrow needs large number of detector pixels



counts in 10eV below Q-value

expected signal	
$m_v = 0eV / 10eV$	30 / 5

pile up	10
---------	----

background	
10^{-5} cts/ev/d/det	5

sum	$45 \pm 10 / 20 \pm 7$
-----	------------------------



Calorimeters – next Steps

ECHO – 1K / funded
100 detectors / 10Bq

better than 10eV
within 3 years

ECHO – 1M
100000 detectors / 10Bq

better than 1eV
within another 3 years

HOLMES / funded 5y (ERC)
1000 detectors / 300 Bq

reach 1 eV sensitivity
within 5 years

demonstrate scalability

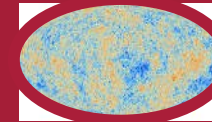
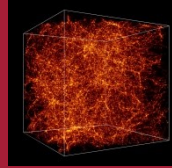
⇒ **sub-eV sensitivity**

- scalability
 - stability of a large number of pixels
 - backgrounds
 - pile up
 - understanding the spectrum
 - can one really get ‘beyond KATRIN’
-



Measuring Neutrino Mass

- we know $\sum m_\nu$ is nonzero
- KATRIN will measure $m_\beta = \sqrt{\sum |U_{ei}|^2 m^2(\nu_i)}$ with 200meV sensitivity
- measurements from cosmology tell $\sum m_\nu < 230 \text{ meV} \dots 700 \text{ meV}$ will get even better



- we would like to have $m_\beta \sim 45\text{meV}$ sensitivity or better

=> several techniques:

TOF, cyclotron radiation, calorimeters



- in ~ 10 years: we will know hierarchy and may be even m_{light}
- possible to look for sterile neutrinos

