

# Patterns of Remnant Discrete Symmetries

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in collaboration with

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based on JHEP 0908:111 (2009)

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## 1 Abelian discrete symmetries

## 2 Anomalies

## 3 Applications

## 4 Summary

# General considerations

- A general finite, abelian group is always of the form  
(fundamental theorem of finite abelian groups)

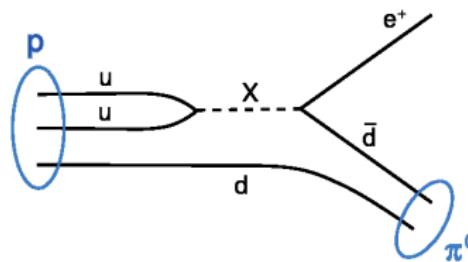
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- Main use of abelian, discrete symmetries: **Forbid couplings**
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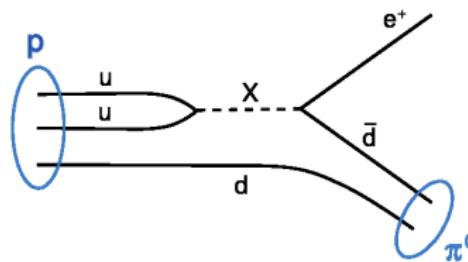


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- abelian, discrete groups have only **one-dimensional** irreducible representations
  - ↪ cannot explain mixing angles, etc.

# Origin of abelian discrete symmetries

Arguments against imposing discrete symmetries:

- their breaking leads to **domain walls**

Zeldovich et.al (1974)

↷ solutions:

- symmetry is not exact
- break before inflation
- embed in **gauge symmetry**
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- **quantum gravity** is expected to violate global symmetries  
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- in **string theory** all symmetries must be **gauged**

Polchinski

# Review: $U(1) \rightarrow \mathbb{Z}_N$

$$\begin{array}{c} \overline{\phi & \psi} \\ \hline U(1) & \textcolor{red}{3} & \textcolor{blue}{1} \\ \hline \end{array}$$

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## Calculation

- ① usual ansatz:

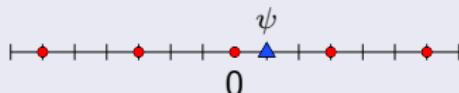
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$$\Rightarrow \alpha = 2\pi \frac{n}{3}$$

- ② transformation of  $\psi$ :

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## Visualization

- ①  $\phi$  defines a lattice



- ② A coupling  $\psi^M$  is allowed if  $M q(\psi)$  lies on the lattice.

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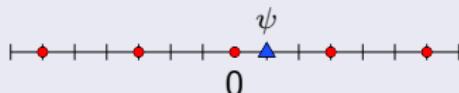
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Unbroken symmetry:  $\mathbb{Z}_3$

# The general case: Breaking $U(1)^N$

- Notation: VEV fields  $\phi^{(i)}$  with  $U(1)_j$  charge  $q_j(\phi^{(i)})$  and other fields  $\psi^{(k)}$

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# A two-dimensional example

$\overline{U(1)_1 U(1)_2}$		
$\phi^{(1)}$	8	-2
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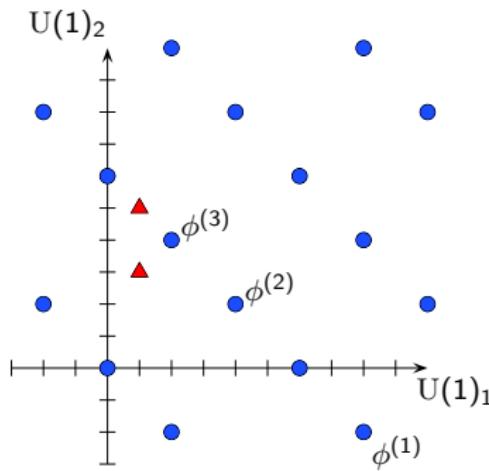
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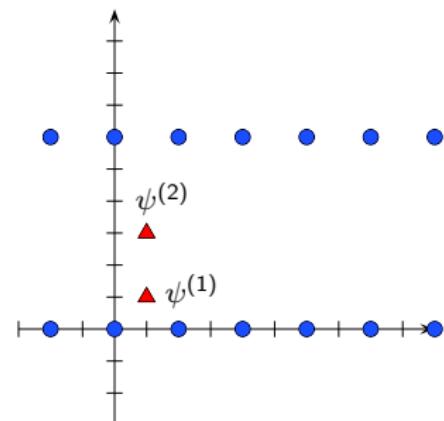
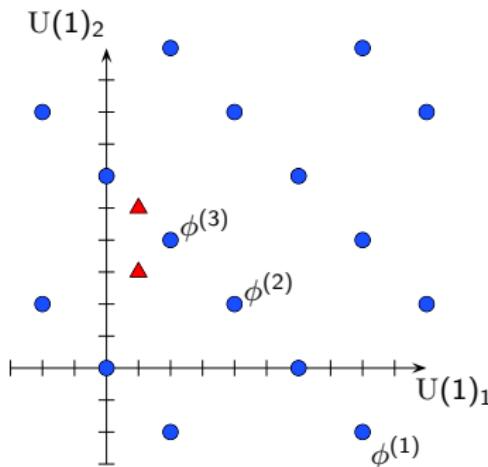
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# Redundancies

## A trivial example

- $\mathbb{Z}_6$  and a field  $\psi$  having charge 4:

$$\psi \rightarrow e^{2\pi i 4 \frac{n}{6}} \psi = e^{2\pi i 2 \frac{n}{3}} \psi$$

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For a general group  $\mathbb{Z}_{d_1} \times \dots \times \mathbb{Z}_{d_N}$  there are two cases:

- ➊ In one factor,  $d_i$  and all charges have a greatest common divisor  $> 1$
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## Complication

for a general group there are very many equivalent charge assignments  
(corresponds to the automorphisms of the group)  
e.g.  $\mathbb{Z}_2 \times \mathbb{Z}_4 \times \mathbb{Z}_4$  has 1536 equivalent charge assignments

# Two Examples

Case 1

	$\mathbb{Z}_4$	$\mathbb{Z}_8$
$\psi^{(1)}$	2	4
$\psi^{(2)}$	3	3

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All three tables show physically equivalent symmetry groups

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## Case 2

	$\mathbb{Z}_2$	$\mathbb{Z}_6$
$\psi^{(1)}$	1	1
$\psi^{(2)}$	1	3

	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_3$
$\psi^{(1)}$	1	1	2
$\psi^{(2)}$	1	1	0

	$\mathbb{Z}_6$
$\psi^{(1)}$	1
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# Generalization

So far:  $U(1)^N \rightarrow \mathbb{Z}_{d_1} \times \dots \times \mathbb{Z}_{d_N}$

- Mixed cases:  $\mathbb{Z}_d \times U(1) \rightarrow \mathbb{Z}_{d_1} \times \mathbb{Z}_{d_2}$   
Write as  $U(1) \times U(1)$  and introduce dummy field  $\phi$  with charge  $(d, 0)$
- $R$ -symmetry breaking: Introduce dummy field  $\Omega$  which has the same charge as the superpotential

# Discrete Anomalies

# $\mathbb{Z}_N$ anomalies

## The setup

- gauge theory with simple gauge group  $G_{\text{gauge}}$
- a  $\mathbb{Z}_N$  symmetry
- fields  $\psi^{(i)}$  in irreducible representation  $\mathbf{r}^{(i)}$  of  $G_{\text{gauge}}$ ;  $\mathbb{Z}_N$  charge  $q^{(i)}$

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## Under a $\mathbb{Z}_N$ transformation

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$$n_{\text{gauge}} \in \mathbb{Z}$$

Note:  $n_{\text{gauge}} = 0$  for  $G_{\text{gauge}} = U(1)$

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Dynkin index

factor 2 comes from normalization  $\ell(\mathbf{M}) = \frac{1}{2}$  for  $SU(M)$

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Anomaly condition:  $\sum_i q^{(i)} \ell(\mathbf{r}^{(i)}) = 0 \pmod{\frac{N}{2}}$

# Consequences of discrete anomalies

instantons induce an effective term in the Lagrangian

$$\mathcal{L} \supset e^{-\frac{8\pi^2}{g^2} k} \prod_{i=1} \left( \psi^{(i)} \right)^{2\ell(\mathbf{r}^{(i)})}$$

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## example

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$SU(M)$	$\mathbf{M}$	$\overline{\mathbf{M}}$
$\mathbb{Z}_6$	3	1

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anomaly

	$\psi^{(1)}$	$\psi^{(2)}$	
SU( $M$ )	$\mathbf{M}$	$\overline{\mathbf{M}}$	
$\mathbb{Z}_2$	1	1	

$\boxed{\psi^{(1)} \psi^{(2)}}$

# An SO(10) SUSY GUT example

# The model

R. Mohapatra, M. Ratz (2007)

	$\psi_m$	$H$	$H'$	$\psi_H$	$\bar{\psi}_H$	$A$	$S$
SO(10)	<b>16</b>	<b>10</b>	<b>10</b>	<b>16</b>	<b>16</b>	<b>45</b>	<b>54</b>
$\mathbb{Z}_6$	1	4	2	4	2	0	0

- $\psi_m$ ,  $H$  and  $H'$  are SM matter and Higgses
- $\psi_H$ ,  $\bar{\psi}_H$ ,  $A$  and  $S$  break  $\text{SO}(10) \rightarrow G_{\text{SM}}$

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- $\psi_H$ ,  $\bar{\psi}_H$ ,  $A$  and  $S$  break  $SO(10) \rightarrow G_{SM}$
- non-anomalous  $\mathbb{Z}_6$  suppresses proton decay
- There is an additional  $U(1)_X$

$$SO(10) \rightarrow SU(5) \times U(1)_X$$

$$\mathbf{16} \rightarrow \mathbf{10}_{-1} + \overline{\mathbf{5}}_3 + \mathbf{1}_{-5}$$

- Singlet obtains a VEV

$U(1)_X \times \mathbb{Z}_6$  broken by fields with charge  $(\pm 5, \pm 2)$

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	$Q$	$\bar{U}$	$\bar{D}$	$L$	$\bar{E}$	$H_U$	$H_D$
$\mathbb{Z}_{30}$	23	23	1	1	23	14	6
$\mathbb{Z}_5 \times \mathbb{Z}_6$	(3,1)	(3,1)	(1,5)	(1,5)	(3,1)	(4,4)	(1,0)

- This field content is **anomalous!**
- Either more light states are present or the  $\mathbb{Z}_6$  is not exact.

# A string theory example

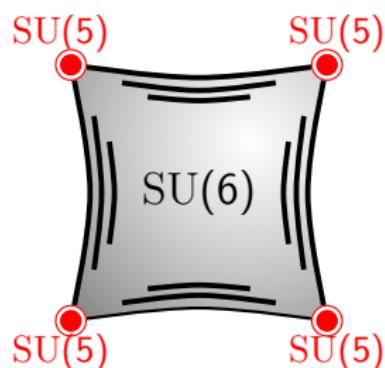
# The model

M. Blaszczyk, S. Groot Nibbelink, M. Ratz, F. Ruehle, M. Trapletti, P. Vaudrevange (2009)

- $\mathbb{Z}_2 \times \mathbb{Z}_2$  orbifold compactification of the heterotic string
- massless spectrum:  $3 \times$  generations + vector-like
- (local) SU(5) GUT structure
- 4D gauge group

$$SU(3)_C \times SU(2)_L \times U(1)_Y \times [SU(3) \times SU(2)^2 \times U(1)^8]$$

- discrete  $R$ -symmetries  
 $\mathbb{Z}_2^R \times \mathbb{Z}_2^R \times \mathbb{Z}_2^R$



# The spectrum

massless spectrum:

#	representation	label	#	representation	label
3	(3, 2; 1, 1, 1) $\frac{1}{6}$	$q$	3	(3, 1; 1, 1, 1) $-\frac{2}{3}$	$\bar{u}$
3 + 1	(3, 1; 1, 1, 1) $\frac{1}{3}$	$\bar{d}$	1	(3, 1; 1, 1, 1) $-\frac{1}{3}$	$d$
3 + 1	(1, 2; 1, 1, 1) $-\frac{1}{2}$	$\ell$	1	(1, 2; 1, 1, 1) $\frac{1}{2}$	$\bar{\ell}$
3	(1, 1; 1, 1, 1) $_1$	$\bar{e}$	33	(1, 1; 1, 1, 1) $_0$	$s$
3	(1, 2; 1, 1, 1) $-\frac{1}{2}$	$h$	3	(1, 2; 1, 1, 1) $\frac{1}{2}$	$\bar{h}$
4	(3, 1; 1, 1, 1) $\frac{1}{3}$	$\bar{\delta}$	4	(3, 1; 1, 1, 1) $-\frac{1}{3}$	$\delta$
5	(1, 1; 3, 1, 1) $_0$	$x$	5	(1, 1; 3, 1, 1) $_0$	$\bar{x}$
6	(1, 1; 1, 1, 2) $_0$	$y$	6	(1, 1; 1, 2, 1) $_0$	$z$

- some SM singlets get VEV
- many choices (string landscape)

# Search for a good vacuum

We look for vacua with the following properties:

- all exotics decouple
- non-trivial Yukawa couplings
- matter parity

Our vacuum has the following properties:

- 21 fields  $\tilde{\phi}$  which get a VEV
- the  $\tilde{\phi}$  break  $U(1)^8 \times \mathbb{Z}_2^R \times \mathbb{Z}_2^R \times \mathbb{Z}_2^R \rightarrow \mathbb{Z}_2^M \times \mathbb{Z}_4^R$

$\mathbb{Z}_2^M \times \mathbb{Z}_4^R$  quantum numbers & implications

quarks and leptons						Higgs and exotics					
$q_1$	1	2	$\bar{u}_1$	1	2	$\bar{h}_1$	0	2	$h_1$	0	2
$q_2$	1	2	$\bar{u}_2$	1	2	$\bar{h}_2$	0	0	$h_2$	0	2
$q_3$	1	0	$\bar{u}_3$	1	0	$\bar{h}_3$	0	0	$h_3$	0	2
$\bar{d}_1$	1	2	$\ell_1$	1	2	$\bar{\delta}_1$	0	2	$\delta_1$	0	2
$\bar{d}_2$	1	2	$\ell_2$	1	2	$\bar{\delta}_2$	0	0	$\delta_2$	0	0
$\bar{d}_3$	1	0	$\ell_3$	1	0	$\bar{\delta}_3$	0	2	$\delta_3$	0	0
$\bar{d}_4$	1	0	$\ell_4$	1	0	$\bar{\delta}_4$	0	2	$\delta_4$	0	0
$d_1$	1	2	$\bar{\ell}_1$	1	2						
$\bar{e}_1$	1	2									
$\bar{e}_2$	1	2									
$\bar{e}_3$	1	0									

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$q_3$	1	0	$\bar{u}_3$	1	0	$\bar{h}_3$	0	0
$\bar{d}_1$	1	2	$\ell_1$	1	2	$\bar{\delta}_1$	0	2
$\bar{d}_2$	1	2	$\ell_2$	1	2	$\bar{\delta}_2$	0	0
$\bar{d}_3$	1	0	$\ell_3$	1	0	$\bar{\delta}_3$	0	2
$\bar{d}_4$	1	0	$\ell_4$	1	0	$\bar{\delta}_4$	0	2
$d_1$	1	2	$\bar{\ell}_1$	1	2			
$\bar{e}_1$	1	2						
$\bar{e}_2$	1	2						
$\bar{e}_3$	1	0						

One massless Higgs pair:  $\mathcal{M}_h = \begin{pmatrix} 0 & 0 & 0 \\ * & * & * \\ * & * & * \end{pmatrix}$

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$d_1$	1	2	$\bar{\ell}_1$	1	2			
$\bar{e}_1$	1	2						
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SU(3)<sub>C</sub> exotics  $\delta$  decouple

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$q_1$	1	2		$\bar{u}_1$	1	2	
$q_2$	1	2		$\bar{u}_2$	1	2	
$q_3$	1	0		$\bar{u}_3$	1	0	
$\bar{d}_1$	1	2		$\ell_1$	1	2	
$\bar{d}_2$	1	2		$\ell_2$	1	2	
$\bar{d}_3$	1	0		$\ell_3$	1	0	
$\bar{d}_4$	1	0		$\ell_4$	1	0	
$d_1$	1	2		$\bar{\ell}_1$	1	2	
$\bar{e}_1$	1	2					
$\bar{e}_2$	1	2					
$\bar{e}_3$	1	0					

Yukawas have realistic structure

$$Y_u = \begin{pmatrix} * & * & 0 \\ * & * & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

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$q_3$	1	0	$\bar{u}_3$	1	0	$\bar{h}_3$	0	0	$h_3$	0	2
$\bar{d}_1$	1	2	$\ell_1$	1	2	$\bar{\delta}_1$	0	2	$\delta_1$	0	2
$\bar{d}_2$	1	2	$\ell_2$	1	2	$\bar{\delta}_2$	0	0	$\delta_2$	0	0
$\bar{d}_3$	1	0	$\ell_3$	1	0	$\bar{\delta}_3$	0	2	$\delta_3$	0	0
$\bar{d}_4$	1	0	$\ell_4$	1	0	$\bar{\delta}_4$	0	2	$\delta_4$	0	0
$d_1$	1	2	$\bar{\ell}_1$	1	2						
$\bar{e}_1$	1	2									
$\bar{e}_2$	1	2									
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SU(5) relations  $Y_e = Y_d$  : good for 3<sup>rd</sup> generation but bad for 1<sup>st</sup> & 2<sup>nd</sup>

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$d_2$	1	2	$\ell_2$	1	2	$\bar{\delta}_2$	0	0
$d_3$	1	0	$\ell_3$	1	0	$\bar{\delta}_3$	0	2
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$d_1$	1	2	$\bar{\ell}_1$	1	2			
$\bar{e}_1$	1	2						
$\bar{e}_2$	1	2						
$\bar{e}_3$	1	0						

 $q_i q_j q_k \ell_m$ 

proton decay operators involve always 3<sup>rd</sup> generation field

↪ proton stable at this level

# $\mathbb{Z}_4^R$ anomaly

$\mathbb{Z}_4^R$  is anomalous (partly descends from the so-called ‘anomalous U(1)’)

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Extra terms at the non-perturbative level:

- proton decay operators

$$[q \bar{q} q \ell]_{\text{light generations}} \sim \tilde{\phi}^{15} e^{-aS}$$

- mixing between first two and third generations

$$(Y_u)_{13} \sim \tilde{\phi}^4 e^{-aS}$$

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‘Anomalous’  $\mathbb{Z}_4^R$  explains suppressed  $\mu$  term and relates the suppression of proton decay operators to mixing between first two and third generations  
Many similar configurations...

# Summary

## We have shown

- how to obtain any **discrete, abelian symmetry** by spontaneous breaking  $U(1)^N$   
There are of course other ways, e.g. extra dimensions
- how to eliminate **redundancies** for discrete, abelian symmetries
- how discrete **anomalies** influence a model
- how all this can be applied in **model building**

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# Thank You!