

# Polynomial Inflation

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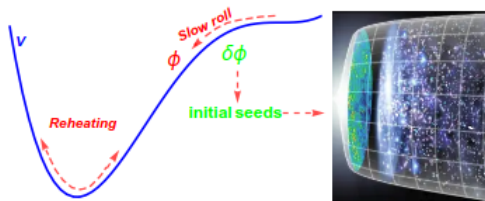


# Outline

1. Polynomial Inflation and Predictions
2. (P)reheating
3. Dark Matter Production
4. Leptogenesis
5. Summary

## Inflation: a mini review

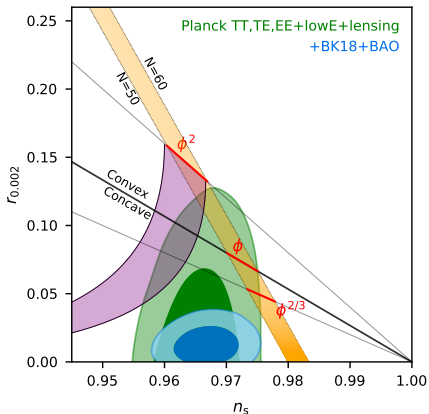
- An exponential expansion in the time  $\sim 10^{-35} - 10^{-32}$  s after big bang
- It resolves: Horizon, Flatness, Monopole Problems



- A notable prediction:  $\delta\phi \Rightarrow \delta T_{\mu\nu} \Rightarrow \delta g_{\mu\nu} \Rightarrow$  gravitational wave

$$r = 16\epsilon = 16 \cdot \frac{1}{2} \left( \frac{V'}{V} \right)^2$$

# Current Status of Monomial Inflation



- Monomial:  $V(\phi) \sim \phi^p$ , tensor-to-scalar ratio

$$r \sim \left( \frac{V'}{V} \right)^2 \sim \frac{4p}{N}, \quad N = \int_{t_*}^{t_e} H dt$$

- BK18:  $r < 0.035 \Rightarrow p \lesssim 0.5$

# Polynomial Inflation

- Alternative to monomial scenario

$$V(\phi) = \sum_{n=0}^4 \alpha_n \phi^n$$

- Avoid trans-Planckian  $\Rightarrow \phi < M_p \Rightarrow$  Small Field
- Reasonable to insist on renormalizability
- $V(\phi)$ : most general renormalizable inflaton potential
- Question:

Can  $V(\phi)$  flat enough to match the CMB data?









# Polynomial Inflation Analysis

$$V(\phi) = \cancel{a} + d\phi^4 + c\phi^3 + b\phi^2 + e\phi$$

negligible shifted away

- Large  $\phi$ :  $V \sim \phi^4$ , Small  $\phi$ :  $V \sim \phi^2 \Rightarrow$  Too steep ☹
- Intermediate  $\phi$ :  $V$  flat  $\leftarrow$  negative  $\phi^3$  term ☺
- In particular  $b = \frac{9c^2}{32d} \Rightarrow$  inflection-point  $\phi_0 = -\frac{3c}{8d}$
- Three parameters ( $d, A, \beta$ ) reparametrization:

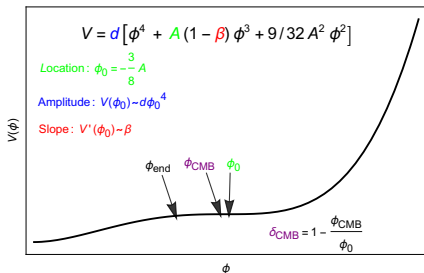
$$\begin{aligned} V(\phi) &= d \left[ \phi^4 + \frac{c}{d} (1 - \beta) \phi^3 + \frac{9}{32} \left( \frac{c}{d} \right)^2 \phi^2 \right] \\ &\equiv d \left[ \phi^4 + A(1 - \beta) \phi^3 + \frac{9}{32} A^2 \phi^2 \right] \end{aligned}$$

- $A \equiv c/d = -8/3\phi_0 \leftrightarrow$  location  $\phi_0$
- $\beta > 0$ :  $\leftrightarrow$  flatness  $V(\phi_0)$
- $d$ :  $\leftrightarrow$  amplitude (power spectrum)

# Slow-Roll Predictions

- Results:

- $n_s \simeq 1 - 48\delta_{\text{CMB}}/\phi_0^2$



- Need  $\phi_{\text{CMB}} \Rightarrow$  introduce

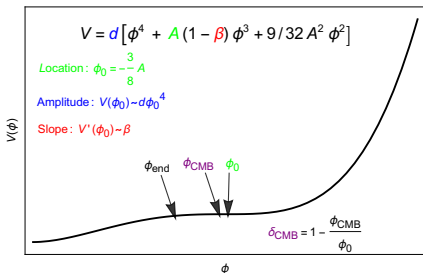
$\delta: \phi = \phi_0(1 - \delta) \Rightarrow$

$\delta_{\text{CMB}} = 1 - \phi_{\text{CMB}}/\phi_0$

# Slow-Roll Predictions

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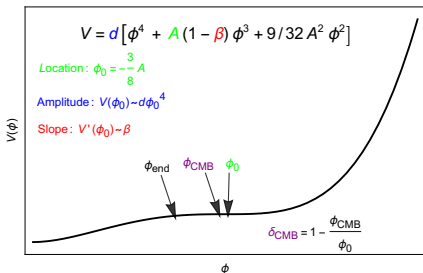


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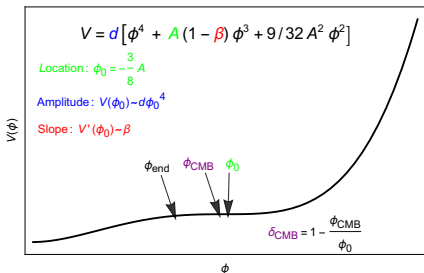
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- $\alpha \simeq -576(2\beta + \delta^2)/\phi_0^4$
- $\mathcal{P}_\zeta \simeq d\phi_0^6/(\delta^2 + 2\beta)^2$

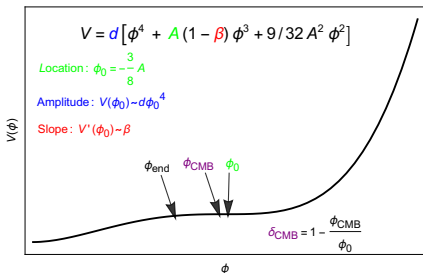
- $n_s = 0.9649$ ,  $N_{\text{CMB}} = 65$ ,  
 $\mathcal{P}_\zeta = 2.1 \cdot 10^{-9} \Rightarrow$  fix parameters:

$$\delta_{\text{CMB}} = 7.31 \times 10^{-4} \phi_0^2$$

$$\beta = 9.73 \times 10^{-7} \phi_0^4$$

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- ☹  $r$  not detectable

$$\frac{r}{7.09 \times 10^{-9} \phi_0^6} = 1 - 3.9 \cdot 10^{-2} (65 - N_{\text{CMB}}) + 15.0 (0.9649 - n_s) + 175 (0.9649 - n_s)^2$$



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$$\alpha = -1.43 \cdot 10^{-3} - 5.56 \cdot 10^{-5} (65 - N_{\text{CMB}}) + 0.02 (0.9649 - n_s) - 0.25 (0.9649 - n_s)^2$$

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- Inflaton mass and Inflationary scale:

$$m_\phi^2 = \left. \frac{\partial^2 V}{\partial \phi^2} \right|_{\phi=0} \simeq 4d\phi_0^2; H_{\text{inf}} = \sqrt{\frac{V(\phi_0)}{3}} \simeq 8.6 \cdot 10^{-9} \phi_0^3$$

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- Question: What's the lower bound for  $\phi_0$ ?

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- Decay rate ( $m_\phi \sim \phi_0^2$ ):

$$\Gamma_\phi \simeq \frac{g^2}{8\pi m_\phi}; \frac{y^2}{8\pi} m_\phi$$

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$$y\phi_0 \gtrsim 4.7 \times 10^{-17}; \quad \frac{g}{\phi_0} \gtrsim 2.4 \times 10^{-24}$$

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- Remarks: Preheating negligible

- EoM for  $\phi'$ :

$$\ddot{\phi}'(\mathbf{k}, t) + (k^2/a^2 + g\phi)\phi'(\mathbf{k}, t) = 0$$

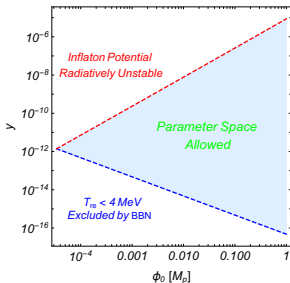
- Though  $m_{\phi'}^2 \sim g\phi \Rightarrow$  tachyonic resonance, still ok here, due to (sizeable) self-coupling  $\lambda\phi'^4$  ( $\Rightarrow$  back-reaction  $m_{\phi'}^2 \sim \lambda\langle\phi'^2\rangle$ )
- Pauli blocking for  $\chi \Rightarrow$  Preheating not efficient here
- Question: What are the upper bounds for the couplings?  $\Rightarrow$  Radiative stability

# Radiative Stability $\Rightarrow$ Upper Bound

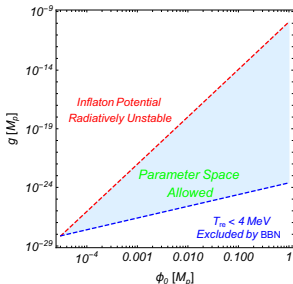
- Require:  $\Delta V(\phi_0) \ll V(\phi_0)$ ;  $\Delta V'(\phi_0) \ll V'(\phi_0)$ ;  $\Delta V''(\phi_0) \ll V''(\phi_0)$ ,

$$\Delta V = \frac{1}{64\pi^2} \sum_{\psi=\phi', \chi} (-1)^{2s_\psi} g_\psi \tilde{m}_\psi(\phi)^4 \left( \ln \left( \frac{\tilde{m}_\psi(\phi)^2}{Q_0^2} \right) - \frac{3}{2} \right)$$

- Upper bound (coupling  $y\phi\bar{\chi}\chi$ ):



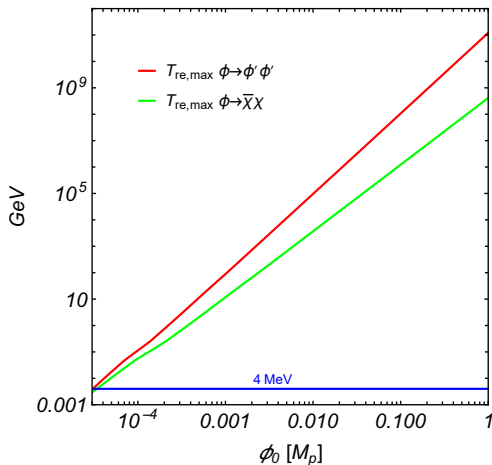
- Upper bound (coupling  $g\phi|\phi'|^2$ ):



- Radiative Stability + Reheating  $\Rightarrow$  Lower bound  $\phi_0 > 3 \cdot 10^{-5} M_p$



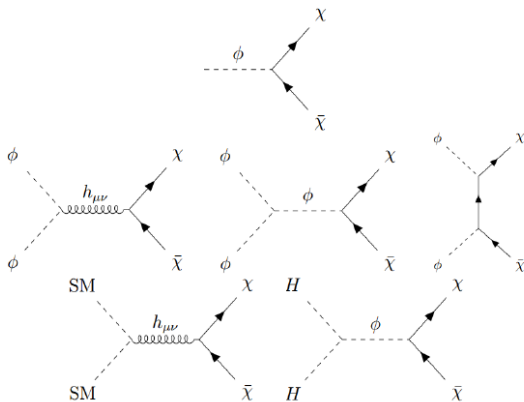
# Reheating Temperature



- Bosonic:  $4 \text{ MeV} \lesssim T_{\text{rh}} \lesssim 10^{11} \text{ GeV}$
- Fermionic:  $4 \text{ MeV} \lesssim T_{\text{rh}} \lesssim 10^8 \text{ GeV}$

## DM Production: After Polynomial Inflation

- Consider e.g. Fermionic DM  $\mathcal{L}_\chi \supset y_\chi \phi \bar{\chi} \chi \Rightarrow 6$  possible channels:

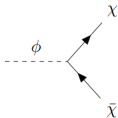


- Boltzmann equation (BEQ) :

$$\frac{dn}{dt} + 3Hn = \gamma$$

$\gamma$  denotes interaction rate density  $\sim (\sigma v)n^2$

## Inflaton direct decay



- Interaction rate density:

$$\gamma = 2 \text{Br} \Gamma \frac{\rho_\phi}{m_\phi}$$

- Branching ratio ( $\text{Br} \ll 1$ ):

$$\text{Br} \propto \frac{y_\chi^2 m_\phi^2}{\lambda_{12}^2} \propto \frac{y_\chi^2 m_\phi M_P}{T_{\text{rh}}^2}$$

- During reheating  
( $T_{\text{rh}} < T < T_{\text{max}}$ ):

$$\rho_\phi(T) \propto \frac{T^8}{T_{\text{rh}}^4}; H(T) \propto \frac{T^4}{M_P T_{\text{rh}}^2}$$

- Convenient to use  $N = n a^3$ , rewrite BEQ:

$$\frac{dN}{dT} \sim -\frac{M_P T_{\text{rh}}^{10}}{T^{13}} a^3(T_{\text{rh}}) \gamma$$

- DM yield  $Y \equiv n/s$ :

$$Y_0 = \frac{\mathcal{N}(T_{\text{rh}})}{s(T_{\text{rh}}) a^3(T_{\text{rh}})} \propto \frac{M_P T_{\text{rh}}^{2/M_P}}{m_\phi T_{\text{rh}}} \text{Br} \propto \frac{T_{\text{rh}} \text{Br}}{m_\phi}$$

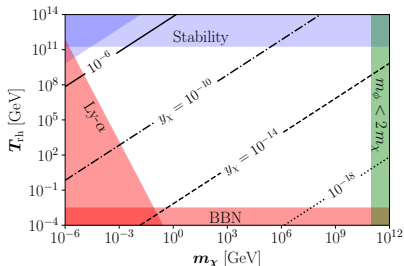
- To match the DM relics :

$$m_\chi Y_0 = \Omega_\chi h^2 \frac{1}{s_0} \frac{\rho_c}{h^2} \simeq 4.3 \times 10^{-10} \text{ GeV}$$

$$y_\chi \simeq 1.2 \times 10^{-13} \sqrt{\frac{T_{\text{rh}}}{m_\chi}}$$

# Inflaton direct decay

- Parameter space (white region):  $y_\chi \simeq 1.2 \times 10^{-13} \sqrt{\frac{T_{\text{rh}}}{m_\chi}}$

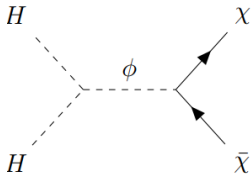


- Bounds:
  - Radiative stability:  $T_{\text{rh}} < 1.2 \times 10^{11}$  GeV (Higgs loop),  $y_\chi < 10^{-5}$  (DM loop)
  - BBN:  $T_{\text{rh}} \gtrsim 4$  MeV
  - Ly $\alpha$  on cold DM:  $v_\chi = \frac{p_0}{m_\chi} \lesssim 10^{-8} c \Leftrightarrow \frac{m_\chi}{\text{keV}} \gtrsim 2 \frac{m_\phi}{T_{\text{rh}}}$

$$p_0 = \frac{a_{\text{in}}}{a_0} p_{\text{in}} = \frac{a_{\text{in}}}{a_{\text{eq}}} \frac{\Omega_R}{\Omega_m} \frac{m_\phi}{2} \simeq 3 \times 10^{-14} \frac{m_\phi}{T_{\text{rh}}} \text{ GeV},$$

- DM mass:  $\mathcal{O}(10^{-5})$  GeV  $\lesssim m_\chi \lesssim \mathcal{O}(10^{11})$  GeV

## Higgs scattering and freeze-in



- Interaction rate density:

$$\gamma \equiv \frac{T}{8\pi^4} \int_{4m_\chi^2}^{\infty} d\mathfrak{s} \mathfrak{s}^{3/2} \sigma(\mathfrak{s}) K_1\left(\frac{\sqrt{\mathfrak{s}}}{T}\right) \propto y_\chi^2 \lambda_{12}^2 \frac{T^6}{m_\phi^4}$$

- $\gamma$  too small compared to Hubble rate  $\Rightarrow$  freeze-in
- BEQ

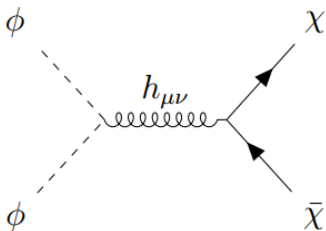
$$\frac{dY}{dT} = -\frac{135}{2\pi^3 g_{*s}} \sqrt{\frac{10}{g_*}} \frac{M_P}{T^6} \gamma$$

- DM yield:

$$Y_0 \propto y_\chi^2 \lambda_{12}^2 \frac{M_P T_{rh}}{m_\phi^4}$$

- However:  $Y_0 \ll Y_0^{\text{decay}}$  due to the bounds on couplings

## Gravitational channel



- Unavoidable due to the coupling  $\sim T^{\mu\nu} g_{\mu\nu}$
- Interaction rate density: (note:  $n_\phi = \rho_\phi/m_\phi \sim T^8/(T_{\text{rh}}^4 m_\phi)$ )

$$\gamma \propto \left(\frac{1}{M_P^2}\right)^2 \left(\frac{T^8}{T_{\text{rh}}^4} \frac{1}{m_\phi}\right)^2 m_\chi^2$$

- DM yield:

$$Y_0 \propto \frac{T_{\text{rh}} m_\chi^2}{M_P^{5/2} m_\phi^{1/2}}$$

- Radiative upper bounds:  $T_{\text{rh}} \lesssim 10^{11} \text{ GeV}$

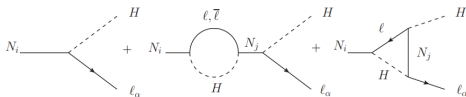
$$\Rightarrow Y_0^{\text{gra}} \ll Y_0^{\text{required}}$$

# Baryogenesis via Leptogenesis

1. Baryon (lepton) number violation
2. CP violation
3. Out of equilibrium

A simple and attractive scenario: Leptogenesis [Fukugita, Yanagida 1986]

$$\mathcal{L}_N \supset - \left( \frac{1}{2} M_N \overline{N}_i^c N_i + h.c. \right) - (Y_{\alpha i} \bar{L}_\alpha \tilde{H} N_i + h.c.)$$



- CP asymmetry parameter ee e.g. [1301.3062]:

$$\epsilon_{i\alpha} = \frac{\gamma(N_i \rightarrow \ell_\alpha H) - \gamma(N_i \rightarrow \bar{\ell}_\alpha H^*)}{\sum_\alpha \gamma(N_i \rightarrow \ell_\alpha H) + \gamma(N_i \rightarrow \bar{\ell}_\alpha H^*)}$$

$$\epsilon_i \equiv \sum_\alpha \epsilon_{i\alpha} = \frac{1}{8\pi} \frac{1}{(Y^\dagger Y)_{ii}} \sum_{j \neq i} \text{Im} \left[ (Y^\dagger Y)_{ji}^2 \right] g \left( \frac{M_j^2}{M_i^2} \right)$$

- Focus on the minimal case with  $i = 1, 2$ , and assume  $M_2 \gg M_1$

# Thermal Leptogenesis

- Neutrino Mass (after integrating out N)

$$\tilde{m}_\nu = -v^2 Y M^{-1} Y^T$$

- CP asymmetry parameter (rewrite  $Y$  with  $m_\nu$ ):

$$\epsilon_1 \sim 10^{-5} \left( \frac{M_1}{10^{11} \text{GeV}} \right)$$

- Lower bound on  $M_1$  [Davidson Ibarra '02, Buchmuller, Bari, Plumacher '04]

$$Y_{B-L} \sim 10^{-2} \epsilon_1 \kappa_f \lesssim \epsilon_1 10^{-4}$$

where  $\kappa_f$  efficiency parameter (due to wash-out effect)

- Need

$$Y_B \sim \frac{28}{79} Y_{B-L} \sim \frac{28}{79} \epsilon_1 10^{-4} \gtrsim 10^{-10} \Rightarrow M_1 \gtrsim 10^{10} \text{ GeV}$$

- Recall  $T_{\text{rh}} \lesssim 10^{11} \text{ GeV} \Rightarrow$  Thermal leptogenesis works (with high  $T_{\text{rh}}$ )
- **Question**

Can one has leptogenesis with lower  $T_{\text{rh}}$ ?



# Non-thermal Leptogenesis (preliminary)

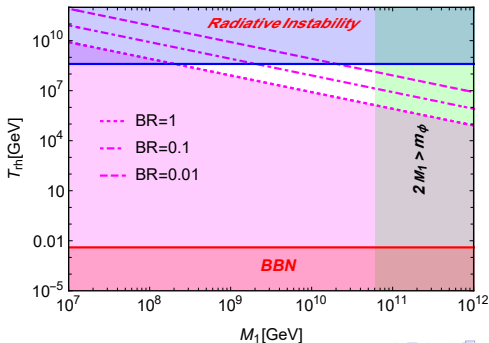
- Inflaton couples to RHN

$$\mathcal{L}_N \supset - (y_I \phi \overline{N}_I^c N_I + h.c.)$$

- Lepton yield

$$Y_{B-L} = \frac{n_{B-L}}{s} = \left[ \frac{3}{2} \frac{T_{\text{rh}}}{m_\phi} \cdot \text{BR}(\phi \rightarrow NN) \right] \cdot \epsilon_I$$

- Baryon number yield  $Y_B = \frac{28}{79} Y_{B-L} \sim 10^{-10}$



# Summary

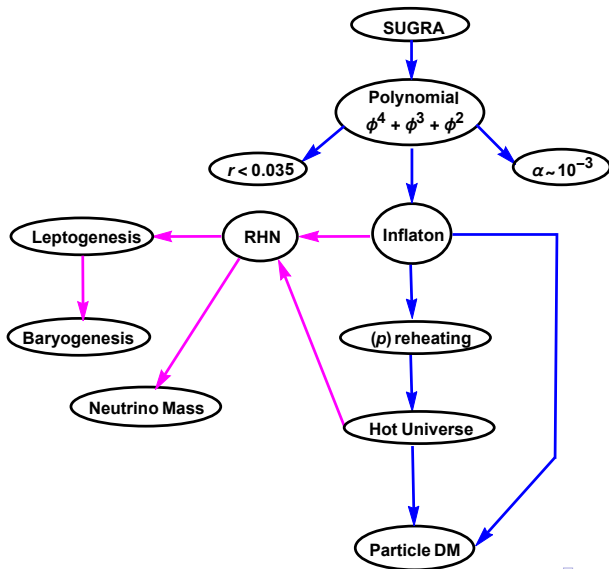
- A simple polynomial model fits data very well:

$$V \equiv d \left[ \phi^4 + A(1 - \beta) \phi^3 + 9/32 A^2 \phi^2 \right]$$

with  $A = -8/3\phi_0$ ;  $\beta = 9.73 \times 10^{-7} \phi_0^4/M_p^4$ ;  $d = 6.61 \times 10^{-16} \phi_0^2/M_p^2$ .

- Parameter space: Reheating + Radiative Stability  $\Rightarrow \phi_0 > 3 \cdot 10^{-5} M_p$
- Predictions:
  1.  $r \simeq 7.1 \cdot 10^{-9} \phi_0^6/M_p^6$  ☹️
  2.  $\alpha \simeq -1.43 \cdot 10^{-3} \Rightarrow$  testable in future [S4 CMB] 😊
- Implications:
  1. Inflationary scale:  $H_{\text{inf}} \simeq 8.6 \cdot 10^{-9} \phi_0^3/M_p^2 \Rightarrow H_{\text{inf}}$  as low as 1 MeV!
  2. Reheating Tem:  $T_{\text{re}} \in [4 \text{ MeV}, 10^{11} \text{ GeV}]$
- Dark Matter:  $\mathcal{O}(10^{-5}) \text{ GeV} \lesssim m_\chi \lesssim \mathcal{O}(10^{11}) \text{ GeV}$
- Leptogenesis:
  1. thermal:  $\mathcal{O}(10^{10}) \text{ GeV} \lesssim M_1 \lesssim \mathcal{O}(10^{11}) \text{ GeV}$
  2. non-thermal:  $\mathcal{O}(10^8) \text{ GeV} \lesssim M_1 \lesssim \mathcal{O}(10^{10}) \text{ GeV}$

# Polynomial Inflation and Its Aftermath



Thank  
you  
for  
your  
attention  
!

# Backup: Realization of Polynomial Inflation in Supergravity

- Scalar Potential

$$V = e^K \left( (D_i W) K_{i\bar{j}}^{-1} (D_{\bar{j}} \bar{W}) - 3|W|^2 \right),$$

with  $D_i W = \frac{\partial W}{\partial \Phi_i} + \frac{\partial K}{\partial \Phi_i} W$  and  $K_{i\bar{j}} = \frac{\partial^2 K}{\partial \Phi_i \partial \bar{\Phi}_j}$

- $\eta$  problem see, e.g. [1101.2488]

$$V \sim (1 + |\Phi_i|^2) \left| \frac{\partial W}{\partial \Phi_i} \right|^2 = V^{\text{global}} + |\Phi_i|^2 V^{\text{global}} \Rightarrow \eta = V''/V \sim 1$$

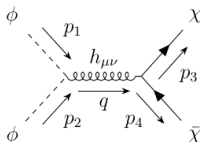
- Consider e.g. a Superpotential and Kähler potential [Nakayama, Takahashi and Yanagida '13]:

$$W = X(\alpha_1 \Phi + \alpha_2 \Phi^2); K = \frac{1}{2}(\Phi + \Phi^\dagger)^2 + |X|^2$$

- Kähler potential admits a shift symmetry:  $\Phi \rightarrow \Phi + iC$  [Kawasaki, Yamaguchi and Yanagida '00]
- $\phi \equiv \text{Im}(\Phi)$  not appear in  $K \Rightarrow$  free from  $\eta$  problem
- Reproduce the (single field) polynomial inflaton potential:

$$v(\phi) = \left( 1 + \frac{1}{2}(\phi^2 + \phi^{\dagger 2} + 2\phi\phi^\dagger) \right) (\alpha_1 \Phi + \alpha_2 \Phi^2) (\alpha_1^* \Phi^\dagger + \alpha_2^* \Phi^{\dagger 2}) \supset \left( \frac{|\alpha_1|^2}{2} \phi^2 - \frac{\sqrt{2}|\alpha_1||\alpha_2|\sin\theta}{2} \phi^3 + \frac{|\alpha_2|^2}{4} \phi^4 \right)$$

# Backup: Gravitational Inflaton Annihilation [2102.06214]



- $\phi\phi h_{\mu\nu}$  vertex

$$-\frac{i}{2M_P} \left[ p_{1\mu} p_{2\nu} + p_{1\nu} p_{2\mu} - \eta_{\mu\nu} (p_1 \cdot p_2 + m_\phi^2) \right]$$

- $\bar{\chi}\chi h_{\mu\nu}$  vertex

$$-\frac{i}{4M_P} \left[ (p_3 - p_4)_\mu \gamma_\nu + (p_3 - p_4)_\nu \gamma_\mu - 2\eta_{\mu\nu} (\not{p}_3 - \not{p}_4 - 2m_\chi) \right]$$

- the amplitude:

$$\mathcal{M}^{\phi\chi} \propto \mathcal{M}_\phi^{\mu\nu} \Pi^{\mu\nu\rho\sigma} \mathcal{M}_{\rho\sigma}^\chi$$

where the propagator is:

$$\Pi^{\mu\nu\rho\sigma} = \frac{1}{2q^2} (\eta^{\rho\nu} \eta^{\sigma\mu} + \eta^{\rho\mu} \eta^{\sigma\nu} - \eta^{\rho\sigma} \eta^{\mu\nu})$$