

# Thermalisation of Sterile Neutrinos

Thomas Tram  
LPPC/ITP EPFL

# Outline

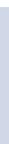
- Introduction to eV sterile neutrinos.
- Bounds from Cosmology.
- Standard sterile neutrino thermalisation.
- Thermalisation suppression by a large lepton asymmetry.
- Thermalisation suppression by a secret sterile neutrino interaction.
- Conclusions.

# What is a sterile neutrino?

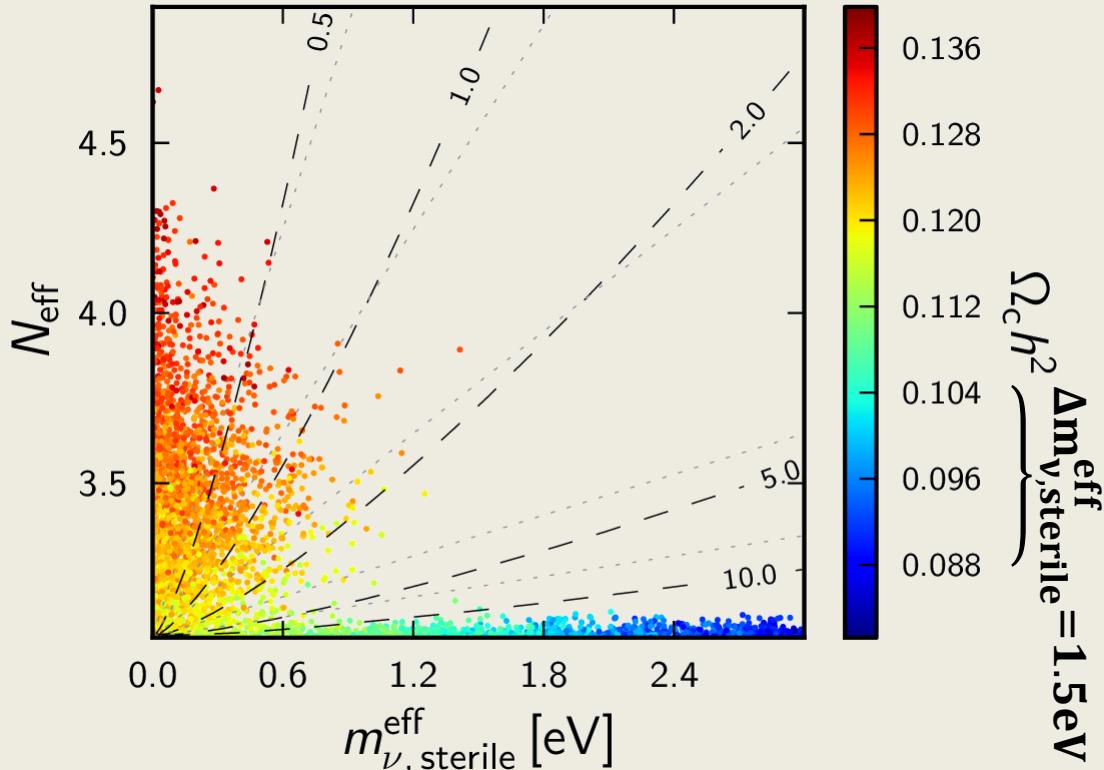
ELECTRON NEUTRINO	MUON NEUTRINO	TAU NEUTRINO	STERILE NEUTRINO
$\nu_e$	$\nu_\mu$	$\nu_\tau$	$\nu_s$
MASS	< 1 electronvolt		> 1 electronvolt
FORCES THEY RESPOND TO	Weak force Gravity		Gravity

- Not charged under the SM gauge group
- Non-zero mixing angle with active neutrinos

# Motivation: Neutrino anomalies

Experiment	What do they measure?	Estimate of significance
<b>Nuclear reactors</b> (ILL, Bugey, Gösgen...)	A small deficit in the $\bar{\nu}_e$ flux from $^{235}\text{U}$ , $^{238}\text{U}$ , $^{239}\text{Pu}$ and $^{241}\text{Pu}$ fission.	$2.5\sigma$  1309.4146
<b>Galium detectors</b> (SAGE and GALLEX)	A small deficit in the $\nu_e$ -flux from $^{51}\text{Cr}$ and $^{37}\text{Ar}$ decay.	$3.0\sigma$ 1006.3244
<b>Short baseline oscillation experiments</b> (LSND and MiniBooNE)	$\bar{\nu}_\mu - \bar{\nu}_e$ and $\nu_\mu - \nu_e$ oscillations.	$3.8\sigma + 0\sigma + 3.0\sigma$ (1007.1150 + ...)
<b>Big Bang Nucleosynthesis (BBN)</b>	Amount of radiation at T=1 MeV	<b>Consistent</b> with 0 or 1 fully thermalised neutrino.
<b>Cosmic Microwave Background</b> (WMAP + ACT/SPT + BAO + $H_0$ ) MPI für Kernphysik Heidelberg, 25th of November 2013	Amount of radiation at recombination + other effects	$1.5\sigma - 2.5\sigma$ (1009.0866 + ...)  Planck

# Planck bounds



$$m_{\nu, \text{sterile}}^{\text{eff}} = \begin{cases} (\Delta N_{\text{eff}})^{3/4} m_{\text{sterile}}^{\text{thermal}} \\ \Delta N_{\text{eff}} m_{\text{sterile}}^{\text{DW}} \end{cases}$$

- Likelihood represented by density of points.
- Non-trivial that DW and thermal are equivalent.
- Large  $N_{\text{eff}}$  models corresponds to more CDM.

$$\Omega_{\nu} h^2 = \frac{m_{\nu, \text{sterile}}^{\text{eff}}}{94.1 \text{ eV}}$$

# Planck (neutrino?) anomalies

## Planck+WP for $\Lambda$ CDM model

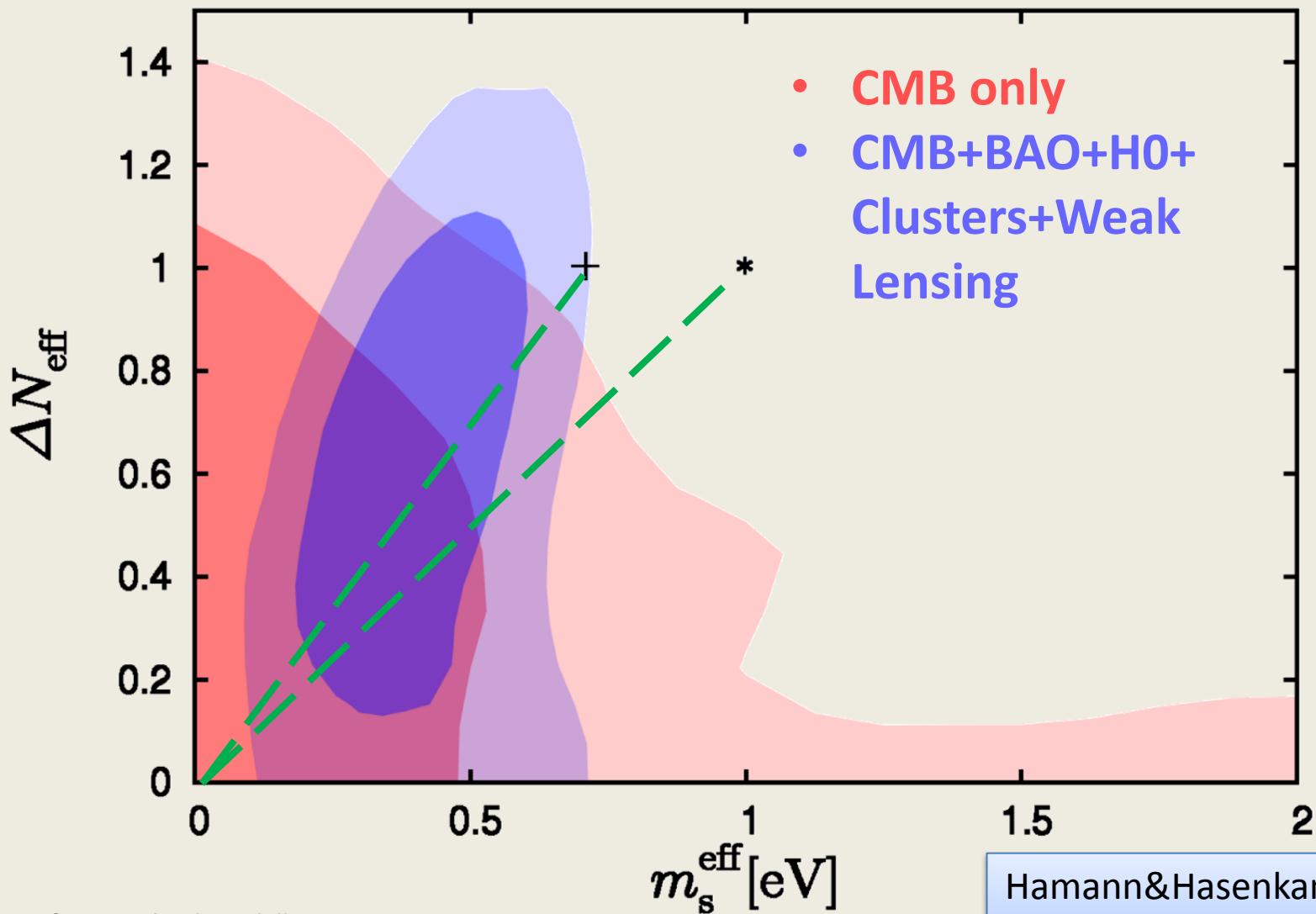
Parameter	68% limits
$\Omega_m$	$0.316 \pm 0.017$
$\sigma_8$	$0.829 \pm 0.012$
$H_0$ in $\frac{\text{km}}{\text{s}\cdot\text{Mpc}}$	$67.3 \pm 1.2$
$\left(\frac{\sigma_8 \Omega_m}{0.27}\right)^{0.3}$	$0.869 \pm 0.023$
$\left(\frac{\sigma_8 \Omega_m}{0.27}\right)^{0.46}$	$0.891 \pm 0.031$

## Other datasets

- Local  $H_0$  measurement:  
 $H_0 = 73.8 \pm 2.4 \frac{\text{km}}{\text{s}\cdot\text{Mpc}}$
- Cluster counts:  
$$\left(\frac{\sigma_8 \Omega_m}{0.27}\right)^{0.3} = 0.782 \pm 0.010$$
- Weak lensing:  
$$\left(\frac{\sigma_8 \Omega_m}{0.27}\right)^{0.46} = 0.774 \pm 0.04$$

Hamann&Hasenkamp  
arXiv:1308.3255

# Sterile neutrino resurrection?



# Producing sterile neutrinos

- Freeze-Out at high temperature:
  - Initial population is completely diluted.
- Propagation and measurement!
  - Rate:  $\Gamma_t \sim \sin^2(2\theta_m) \Gamma_\nu$
- Mixing angle depends on medium
- Quantum Zeno effect



# 1+1 approximation

- 2 level system  $\Rightarrow$  2x2 density matrix

$$\rho = \begin{bmatrix} \text{active } \nu & \text{entanglement} \\ \text{entanglement} & \text{sterile } \nu \end{bmatrix}$$

- Hermitian and unitary  $\Rightarrow$  Expansion in Pauli matrices:

$$\rho = \frac{1}{2} f_0 (P_0 \mathbb{I} + \mathbf{P} \cdot \boldsymbol{\sigma})$$

$$\bar{\rho} = \frac{1}{2} f_0 (\overline{P}_0 \mathbb{I} + \overline{\mathbf{P}} \cdot \boldsymbol{\sigma})$$

$$\boxed{\begin{aligned}\mathbb{I} &\equiv \begin{bmatrix} 1 & & \\ & 1 & \\ & & 1 \end{bmatrix} \\ \sigma_x &\equiv \begin{bmatrix} 0 & 1 & \\ 1 & 0 & \\ 0 & & 0 \end{bmatrix} \\ \sigma_y &\equiv \begin{bmatrix} 0 & & -i \\ i & 0 & \\ 0 & & 0 \end{bmatrix} \\ \sigma_z &\equiv \begin{bmatrix} 1 & & \\ & 1 & \\ & & -1 \end{bmatrix}\end{aligned}}$$

$$\rho = \begin{bmatrix} v_a & \text{ent.} \\ \text{ent.} & v_s \end{bmatrix}$$

# Change of variables

We do the change of variables:

$$P_a^\pm = P_0 + P_z \pm (\bar{P}_0 + \bar{P}_z)$$

$$P_s^\pm = P_0 - P_z \pm (\bar{P}_0 - \bar{P}_z)$$

$$P_x^\pm = P_x \pm \bar{P}_x$$

$$P_y^\pm = P_y \pm \bar{P}_y$$

Note that:

$$P_a^\pm = \frac{2}{f_0}(\rho_{aa} \pm \bar{\rho}_{aa})$$

$$P_s^\pm = \frac{2}{f_0}(\rho_{ss} \pm \bar{\rho}_{ss})$$

$\mathbb{I} \equiv$	$\begin{bmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{bmatrix}$
$\sigma_x \equiv$	$\begin{bmatrix} 1 & & & \\ & 1 & & \\ & & -\mathbb{i} & \\ & \mathbb{i} & & \end{bmatrix}$
$\sigma_y \equiv$	$\begin{bmatrix} 1 & & & \\ & 1 & & \\ & & -1 & \\ & & & -1 \end{bmatrix}$
$\sigma_z \equiv$	$\begin{bmatrix} 1 & & & \\ & 1 & & \\ & & -1 & \\ & & & -1 \end{bmatrix}$

$$\rho = \begin{bmatrix} v_a & \text{ent.} \\ \text{ent.} & v_s \end{bmatrix}$$

# Quantum Kinetic Equations

The equations of motion become:

$$\dot{P}_a^\pm = V_x P_y^\pm + \Gamma_a [2 f_{a,\text{eq}}^\pm / f_0 - P_a^\pm]$$

$$\dot{P}_s^\pm = -V_x P_y^\pm + \Gamma_s [2 f_{s,\text{eq}}^\pm / f_0 - P_s^\pm]$$

$$\dot{P}_x^\pm = -(V_z P_y^\pm + V_L P_y^\mp) - D P_x^\pm$$

$$\dot{P}_y^\pm = +(V_z P_x^\pm + V_L P_x^\mp) - D P_y^\pm$$

$$-\frac{1}{2} V_x (P_a^\pm - P_s^\pm)$$

$$\begin{aligned}\dot{P}_a^\pm &= V_x P_y^\pm + \Gamma_a [2 f_{a,\text{eq}}^\pm / f_0 - P_a^\pm] \\ \dot{P}_s^\pm &= -V_x P_y^\pm + \Gamma_s [2 f_{s,\text{eq}}^\pm / f_0 - P_s^\pm] \\ \dot{P}_x^\pm &= -(V_z P_y^\pm + V_L P_y^\mp) - D P_x^\pm \\ \dot{P}_y^\pm &= +(V_z P_x^\pm + V_L P_x^\mp) - D P_y^\pm \\ &\quad - \frac{1}{2} V_x (P_a^\pm - P_s^\pm)\end{aligned}$$

$$\rho = \begin{bmatrix} v_a & \text{ent.} \\ \text{ent.} & v_s \end{bmatrix}$$

- Effective scattering terms  $\Gamma_a[\dots]$  and  $\Gamma_s[\dots]$
- Coherence damping  $D \simeq \frac{1}{2}[\Gamma_a + \Gamma_s]$
- Vacuum and matter potentials:

$$V_x = \frac{\delta m_s^2}{2p_\nu} \sin 2\theta_s, V_L \sim G_F T^3 L, V_z = V_a + V_s - V_x$$

$$V_a \sim \frac{G_F}{M_Z^2} p_\nu T^4 n_{\nu a}^+, V_s \sim \frac{G_X}{M_X^2} p_\nu u_{\nu s}^+$$

# the code: LASAGNA

- Solves the QKEs numerically.
- Adaptive grid follows  $N$  resonances.
- Written in C, data analysis in MATLAB.
- Stiff ODE solvers: RADAU5 and ndf15.
- Linear Algebra solvers: dense, sparse, SuperLU.
- <http://users-phys.au.dk/steen/codes.html>



$$\rho = \begin{bmatrix} v_a \\ \text{ent.} \\ v_s \end{bmatrix}$$

# Scenario 1: Lepton asymmetry

The equations of motion become:

$$\dot{P}_a^\pm = V_x P_y^\pm + \Gamma_a [2 f_{a,\text{eq}}^\pm / f_0 - P_a^\pm]$$

$$\dot{P}_s^\pm = -V_x P_y^\pm + \Gamma_s [2 f_{s,\text{eq}}^\pm / f_0 - P_s^\pm]$$

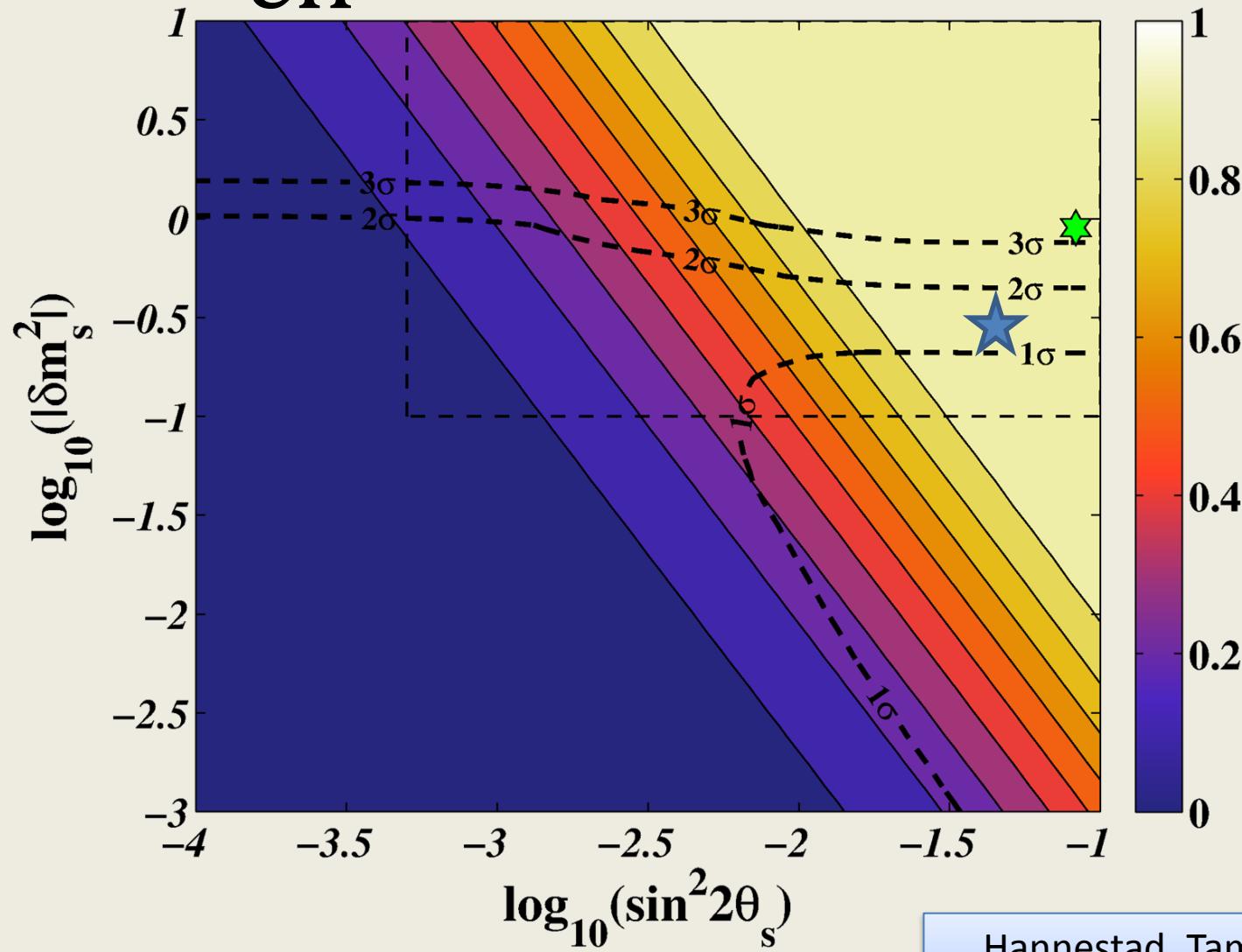
$$\dot{P}_x^\pm = -(V_z P_y^\pm + V_L P_y^\mp) - D P_x^\pm$$

$$\dot{P}_y^\pm = +(V_z P_x^\pm + V_L P_x^\mp) - D P_y^\pm$$

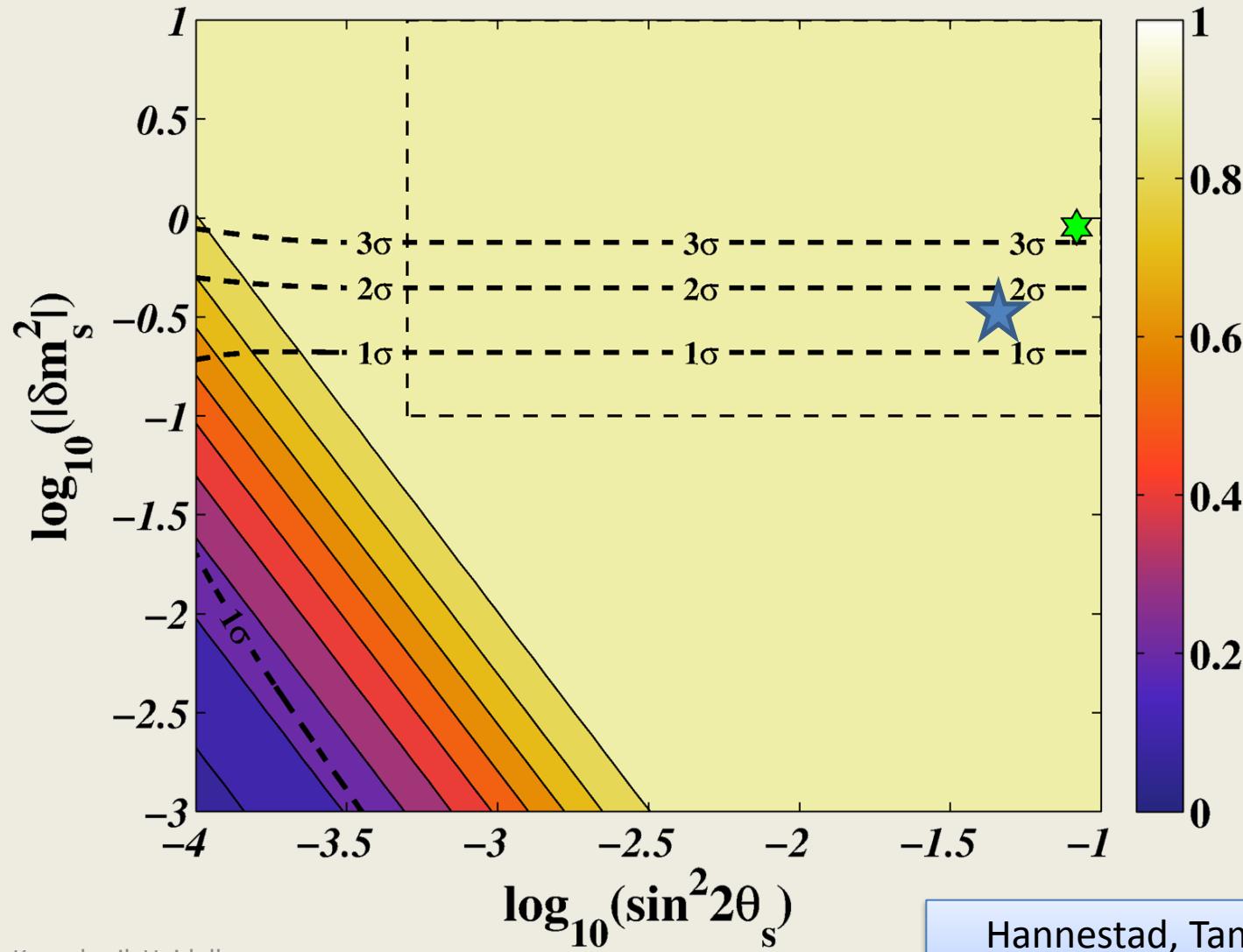
$$-\frac{1}{2} V_x (P_a^\pm - P_s^\pm)$$

$$V_z = V_a + V_s - V_x$$

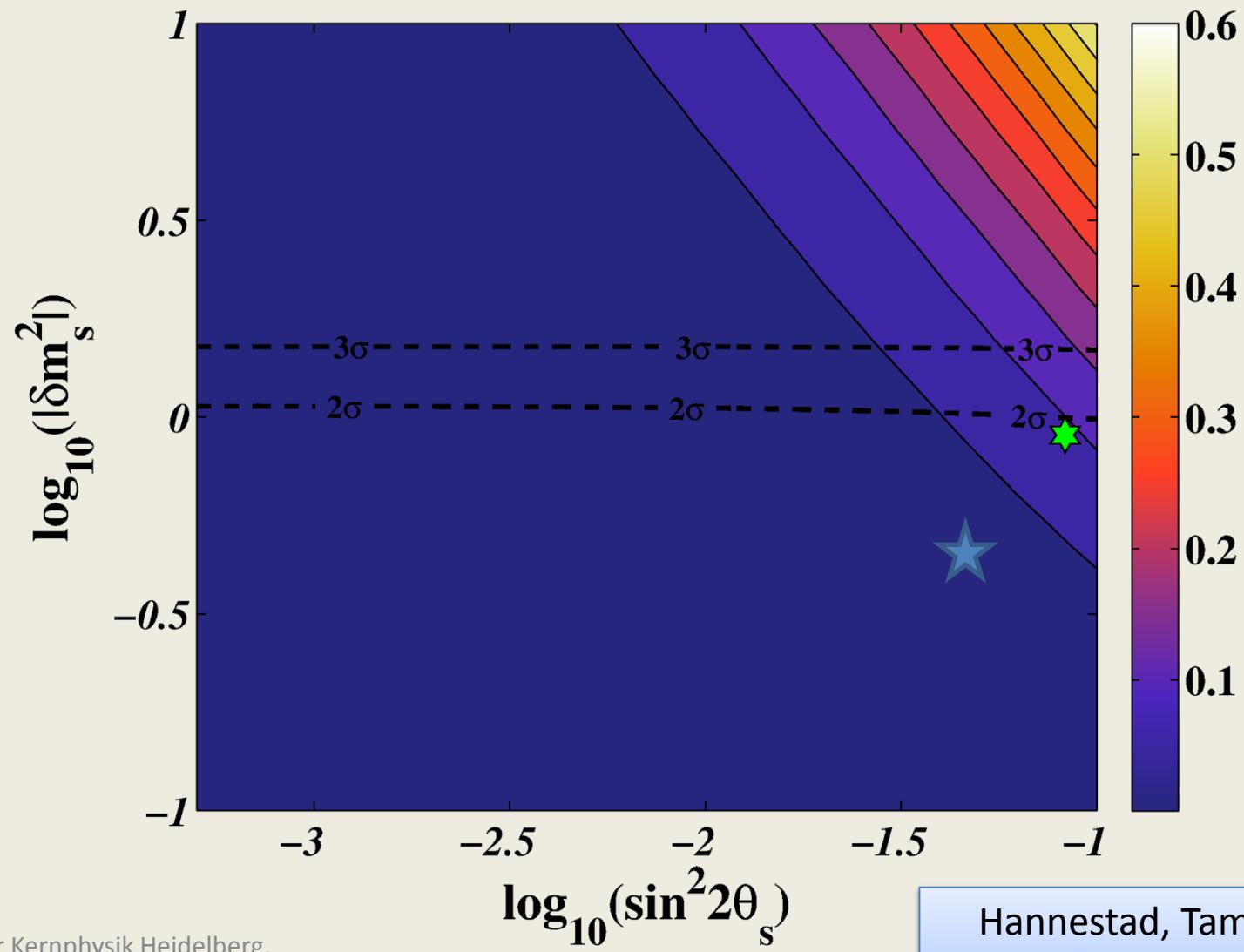
# $\delta N_{\text{eff}}$ for $\delta m_s^2 > 0, L = 0$



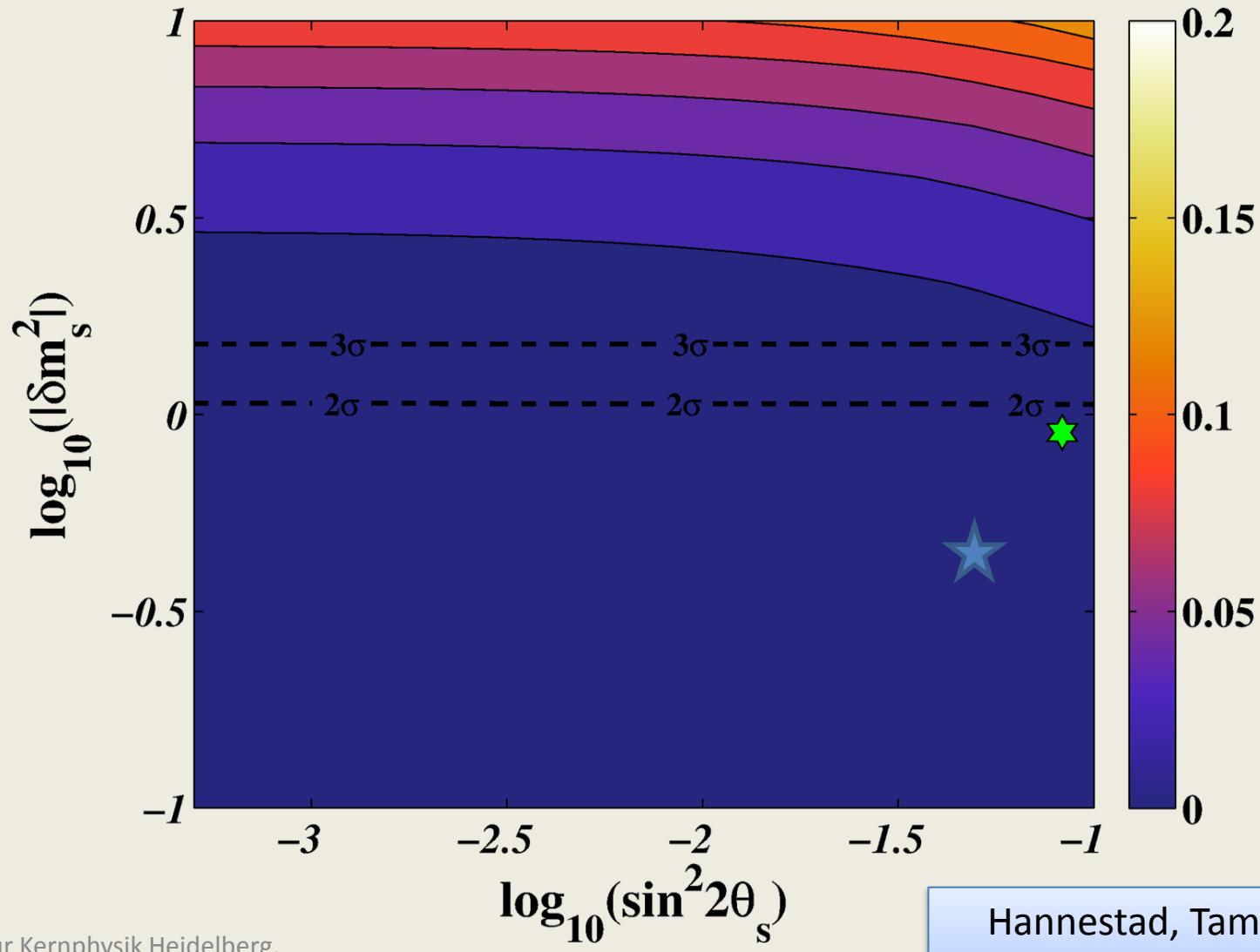
# $\delta N_{\text{eff}}$ for $\delta m_s^2 < 0, L = 0$



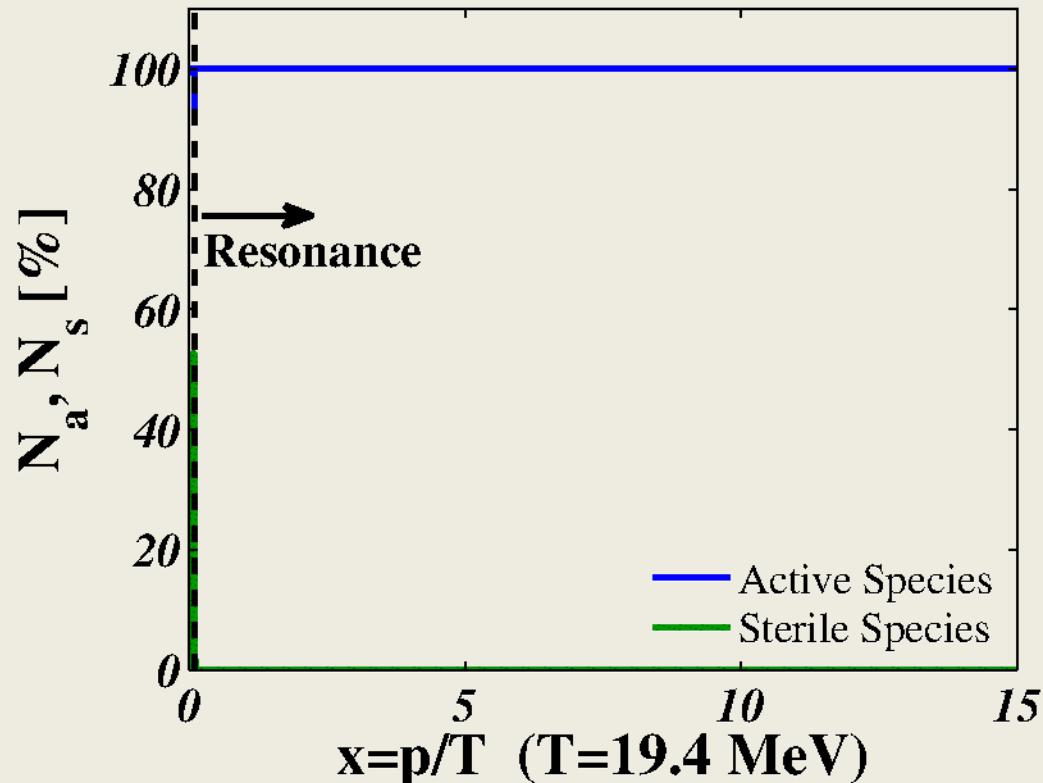
$\delta N_{\text{eff}}$  for  $\delta m_s^2 > 0, L = 10^{-2}$



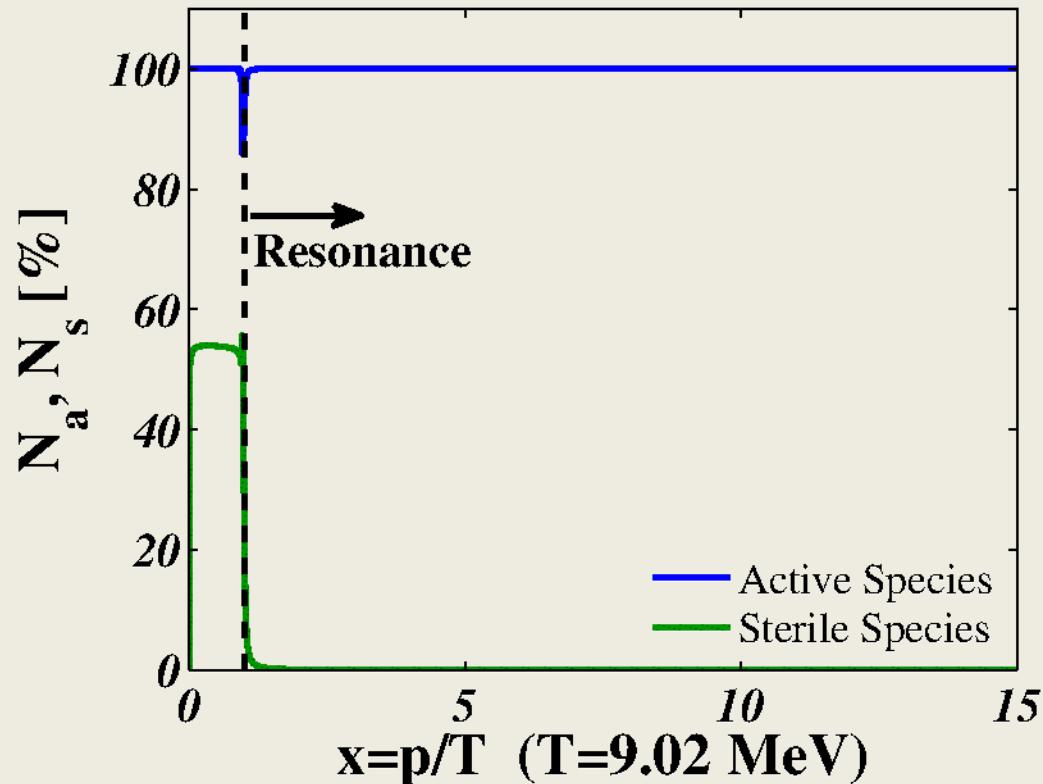
$\delta N_{\text{eff}}$  for  $\delta m_s^2 < 0, L = 10^{-2}$



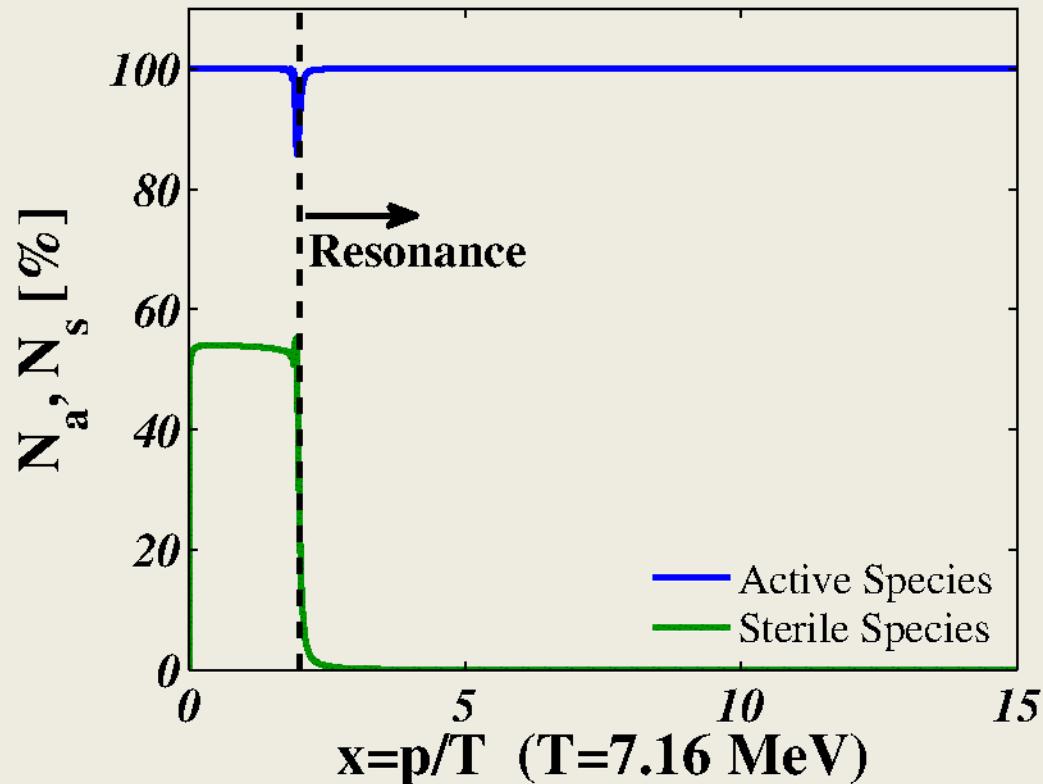
# Thermalisation cartoon



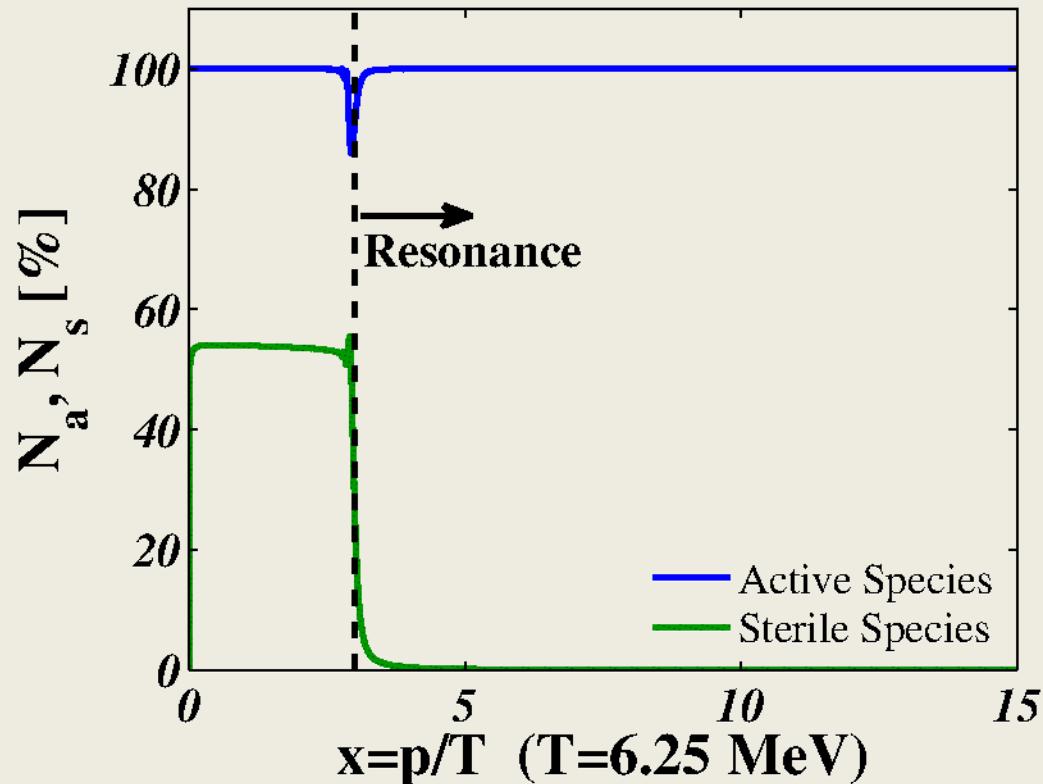
# Thermalisation cartoon



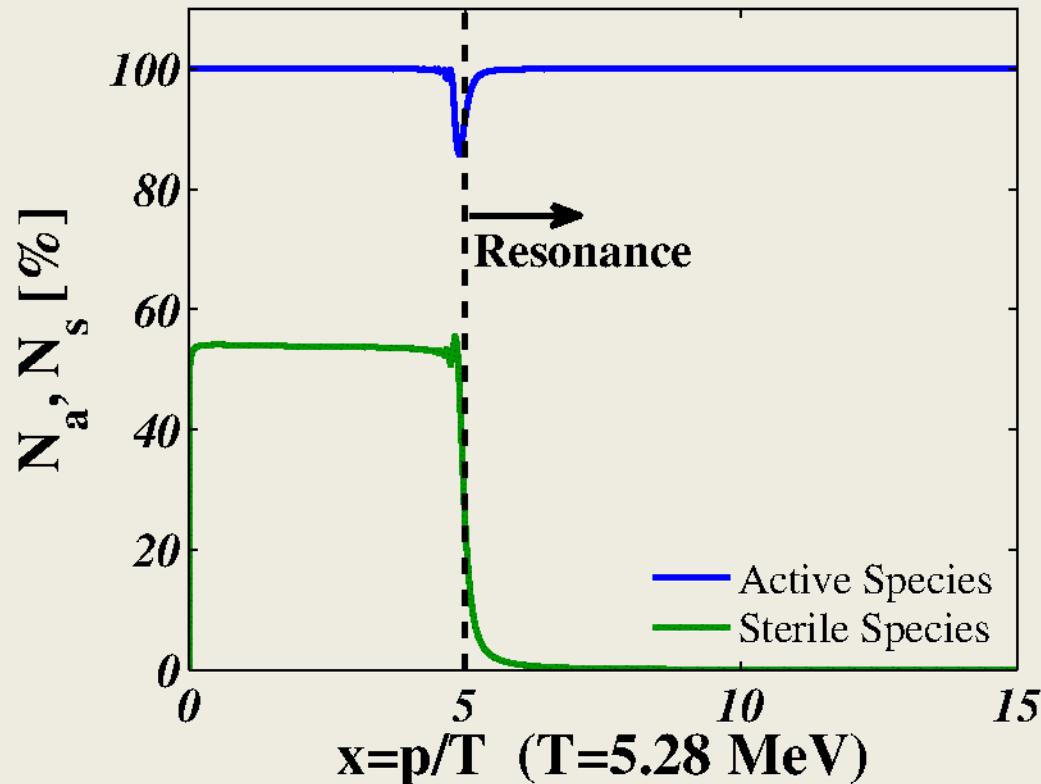
# Thermalisation cartoon



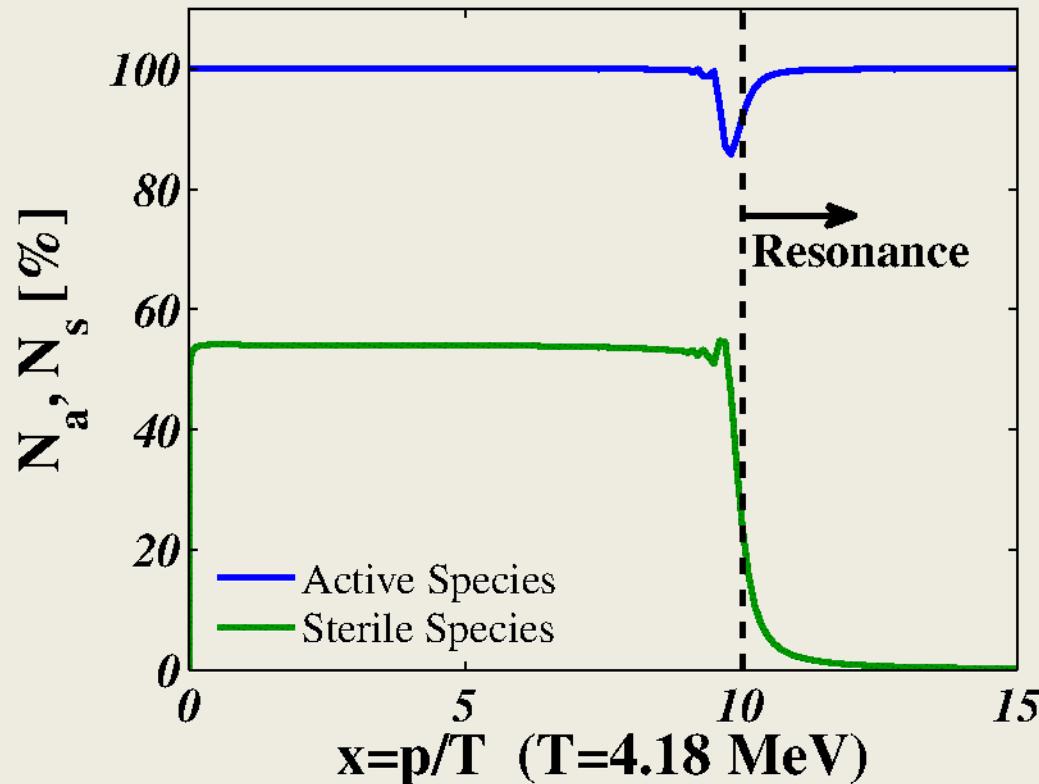
# Thermalisation cartoon



# Thermalisation cartoon



# Thermalisation cartoon



# Scenario 1 discussion

- ✓ It is possible to suppress thermalisation for interesting values of the mixing parameters.
- ✓ A lepton asymmetry of this size is not ruled out by any data.
- Generating a sufficiently large lepton asymmetry is non-trivial.
- Partial thermalisation is not natural.

# Scenario 2: Secret $\nu_s$ interactions

- Basic idea is the quantum Zeno effect: Rapid scatterings keep the density matrix diagonal.
- We assume a new massive gauge boson in the sterile sector and integrate it out.

# Scenario 2: Secret $\nu_s$ interactions

The equations of motion become:

$$\dot{P}_a^\pm = V_x P_y^\pm + \Gamma_a [2 f_{a,\text{eq}}^\pm / f_0 - P_a^\pm]$$

$$\dot{P}_s^\pm = -V_x P_y^\pm + \Gamma_s [2 f_{s,\text{eq}}^\pm / f_0 - P_s^\pm]$$

$$\dot{P}_x^\pm = -(V_z P_y^\pm + V_L P_y^\mp) - D P_x^\pm$$

$$\dot{P}_y^\pm = +(V_z P_x^\pm + V_L P_x^\mp) - D P_y^\pm$$

$$-\frac{1}{2} V_x (P_a^\pm - P_s^\pm)$$

$$V_z = V_a + V_s - V_x$$

$L = 0 \Rightarrow P^+$  and  $P^-$  decouple.

$$\rho = \begin{bmatrix} \nu_a & \text{ent.} \\ \text{ent.} & \nu_s \end{bmatrix}$$

# Scenario 2: Secret $\nu_s$ interactions

Assuming  $L = 0$  leads to

$$\dot{P}_a^+ = V_x P_y^+ + \Gamma_a [2 f_{a,\text{eq}}^+ / f_0 - P_a^+]$$

$$\dot{P}_s^+ = -V_x P_y^+ + \Gamma_s [2 f_{s,\text{eq}}^+ / f_0 - P_s^+]$$

$$\dot{P}_x^+ = -V_z P_y^+ - D P_x^+$$

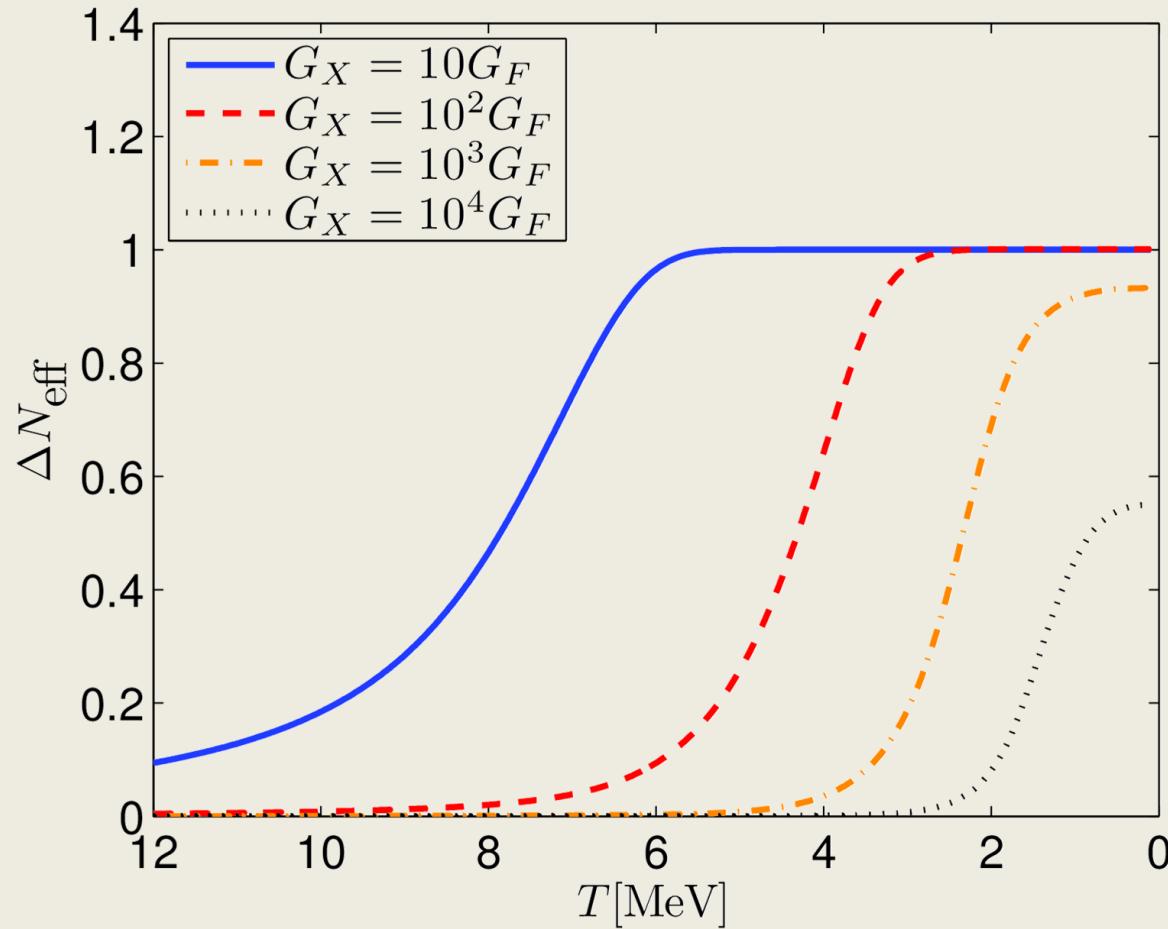
$$\dot{P}_y^+ = +V_z P_x^+ - D P_y^+ - \frac{1}{2} V_x (P_a^+ - P_s^+)$$

$$V_z = V_a + V_s - V_x$$

$$\rho = \begin{bmatrix} \nu_a & \text{ent.} \\ \text{ent.} & \nu_s \end{bmatrix}$$

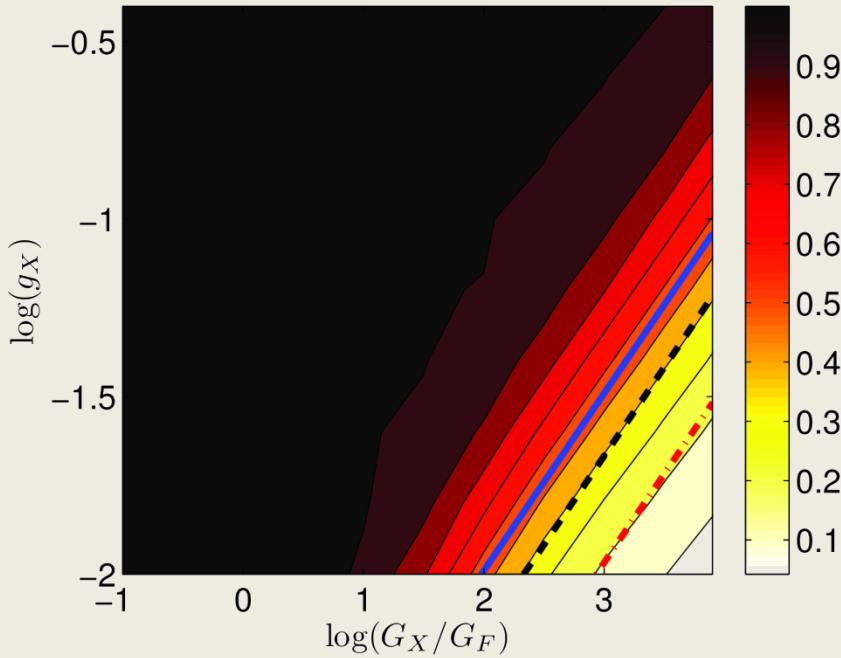
$$G_x = \frac{g_x^2}{M_x^2}$$

# $\Delta N_{\text{eff}}$ evolution



$$G_x = \frac{g_x^2}{M_x^2}$$

# Thermalisation



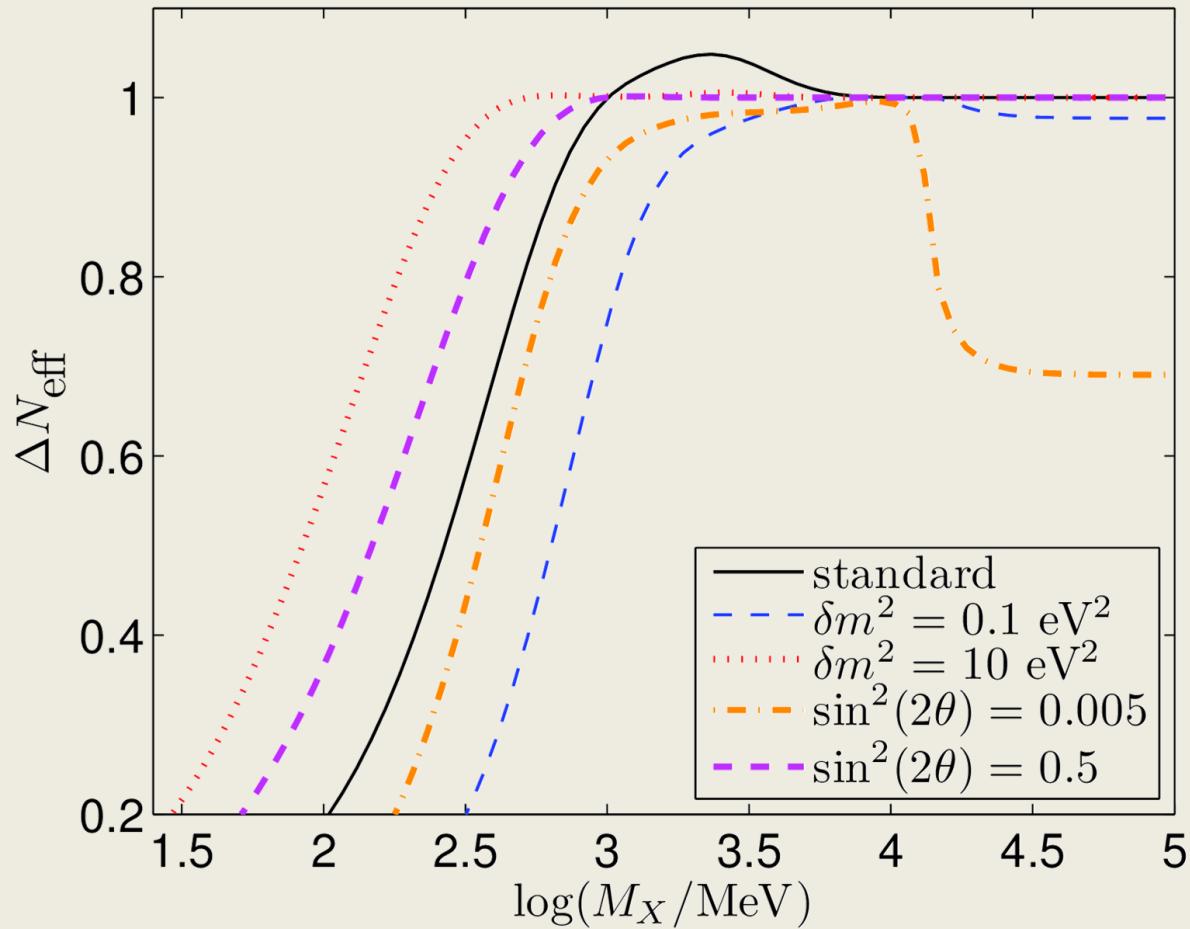
$M_x = 100\text{MeV}$  —————  
 $M_x = 200\text{MeV}$  - - -  
 $M_x = 300\text{MeV}$  · · · ·

- Oscillation parameters  
 $\delta m_s^2 = 1\text{eV}^2$   
 $\sin^2 2\theta_s = 0.05$
- Thermalisation depends almost entirely on  $M_x$ :  
 $\Gamma \propto G_x^2$

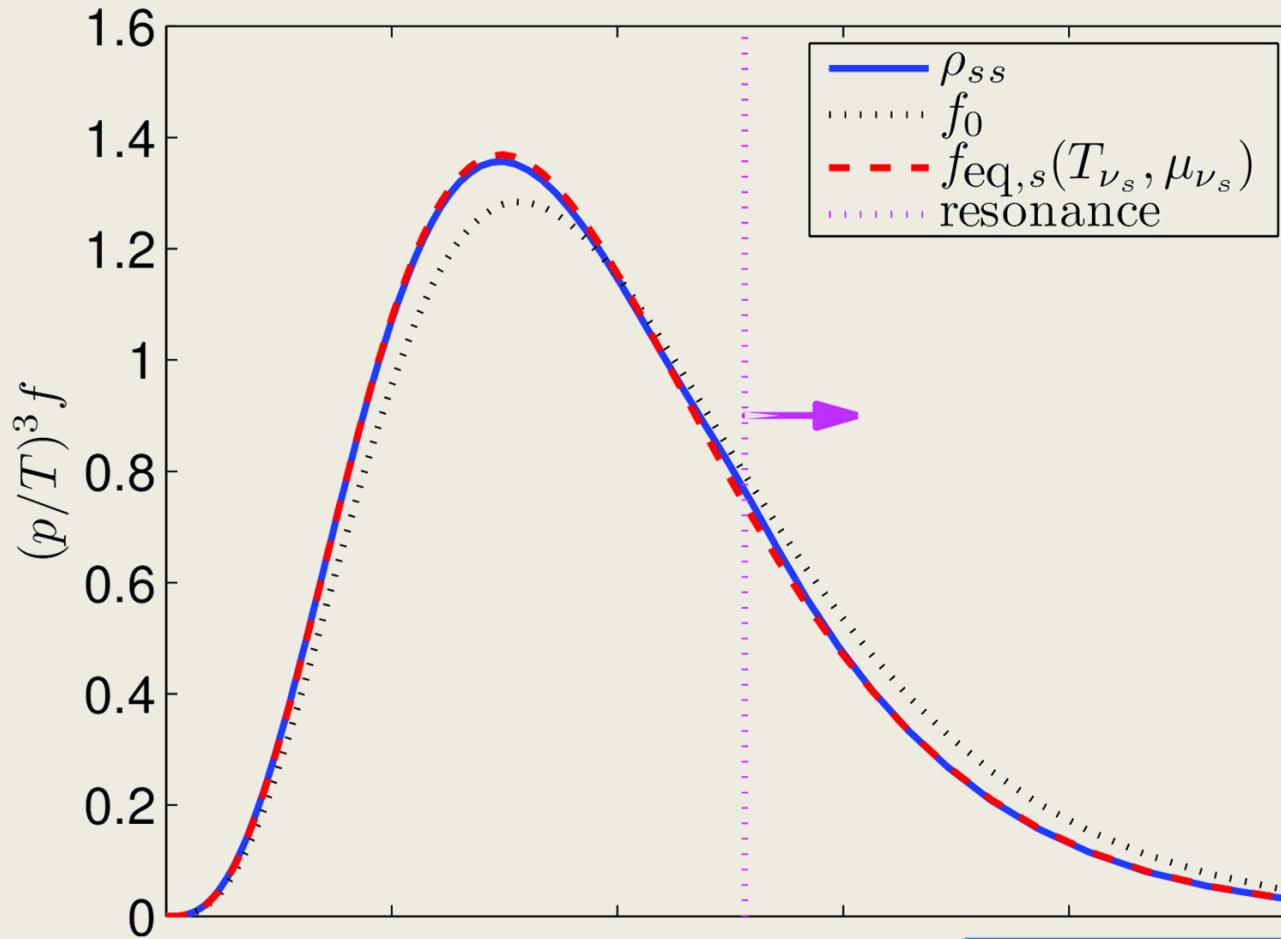
$$\sin^2 2\theta_m \propto \frac{1}{V_s^2} \propto \frac{M_x^4}{G_x^2}$$

$$\Gamma_t \sim \Gamma \sin^2 2\theta_m \propto M_x^4$$

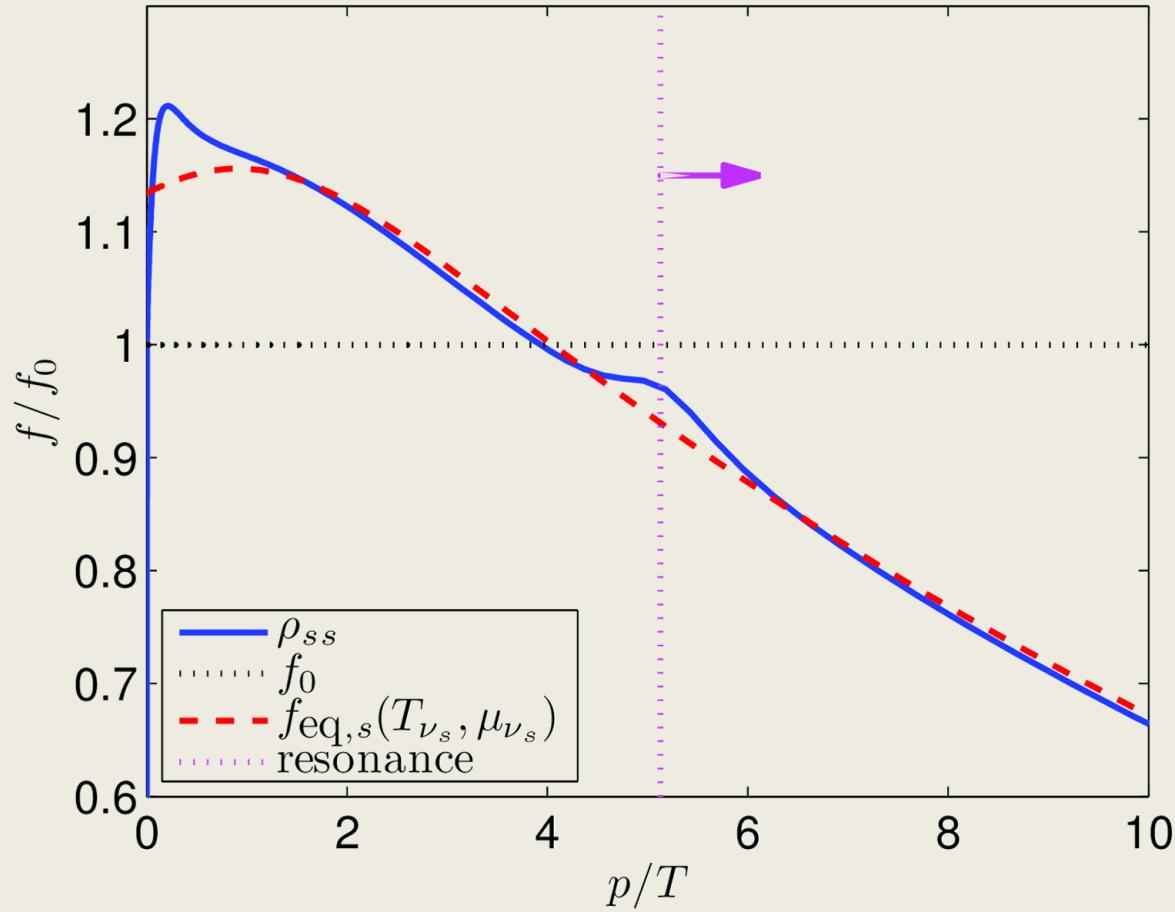
# $\Delta N_{\text{eff}}$ as a function of $M_\chi$



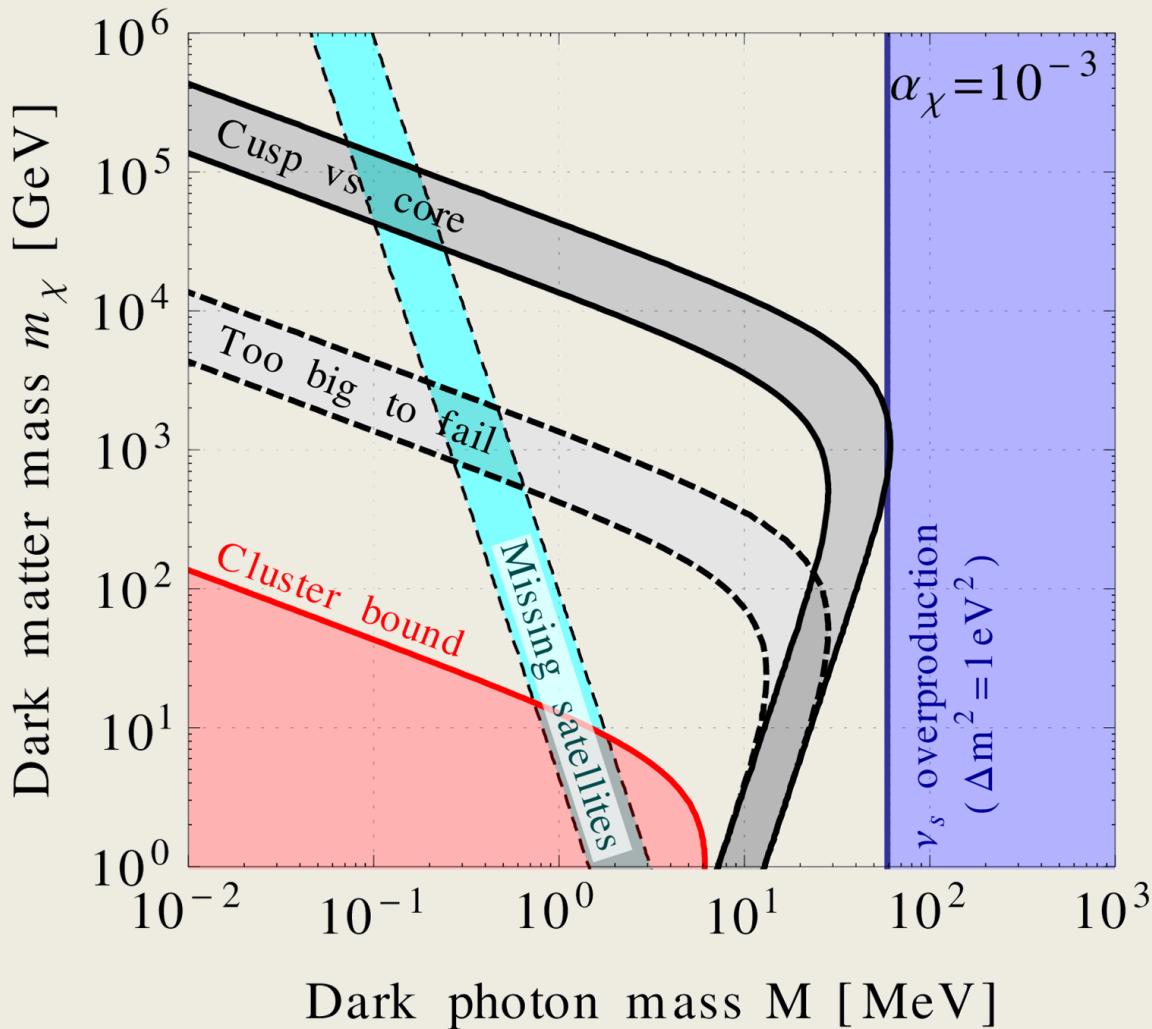
# $\Delta N_{\text{eff}} > 1$ ?



# $\Delta N_{\text{eff}} > 1$ ?



# Extension: Self-Interacting DM



- Missing satellites problem
- Cusp vs. core problem
- Too big to fail

Dasgupta&Kopp  
arXiv:1310.6337

# Conclusions

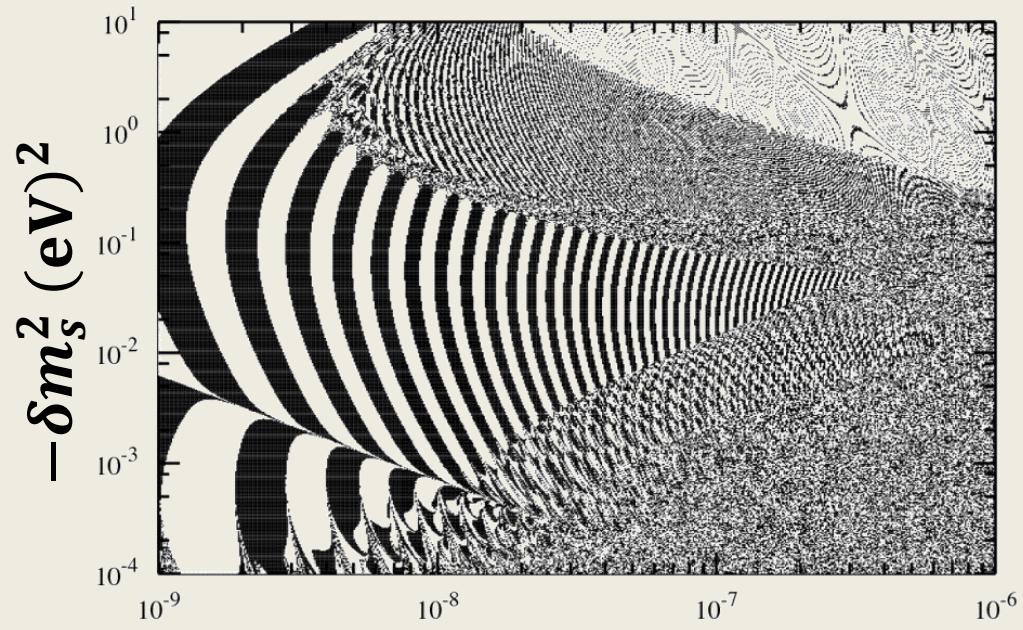
- eV-scale sterile neutrinos in conflict with LSS and Planck if fully thermalised.
  - But viable/preferred if partly thermalised
- Thermalisation can be suppressed by
  - Potential from large lepton asymmetry
  - Potential from sterile neutrino self-interactions
- Second scenario can be naturally extended to self interacting Dark Matter.

# Small bonus: Open questions

- Assuming that terrestrial evidence grows significantly!
- How is BBN (through  $\nu_e$ -distribution) affected?
- What happens after decoupling? How much equilibration between active and sterile?
- Extending LASAGNA:
  - More than 2 species
  - Actual SM scattering kernels

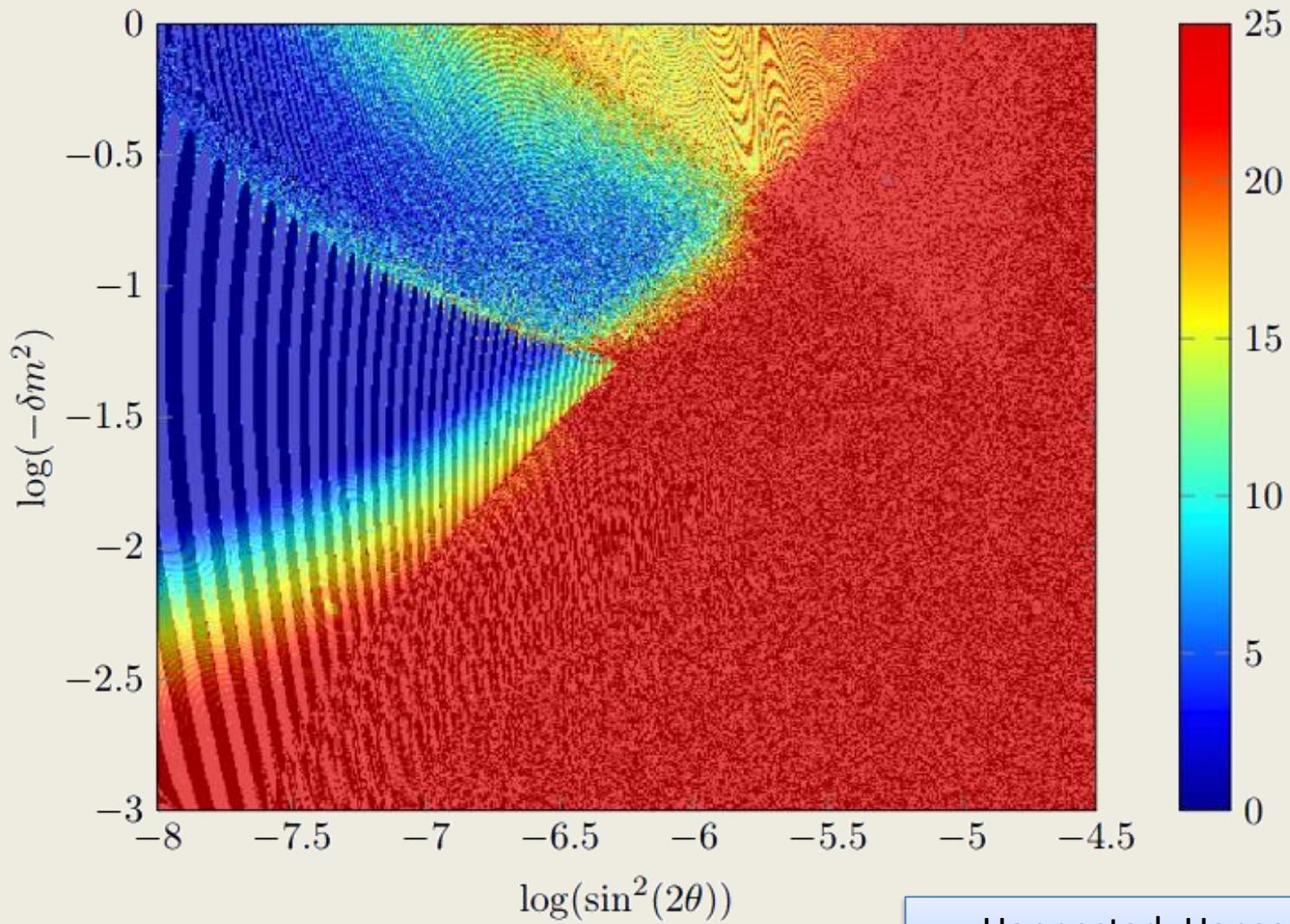
# Large bonus: Chaoticity

- In the inverted hierarchy  $\delta m_s^2 < 0$ , a small initial lepton asymmetry can grow exponentially
- The final sign of the lepton asymmetry depends chaotically on the initial sign in QRE.

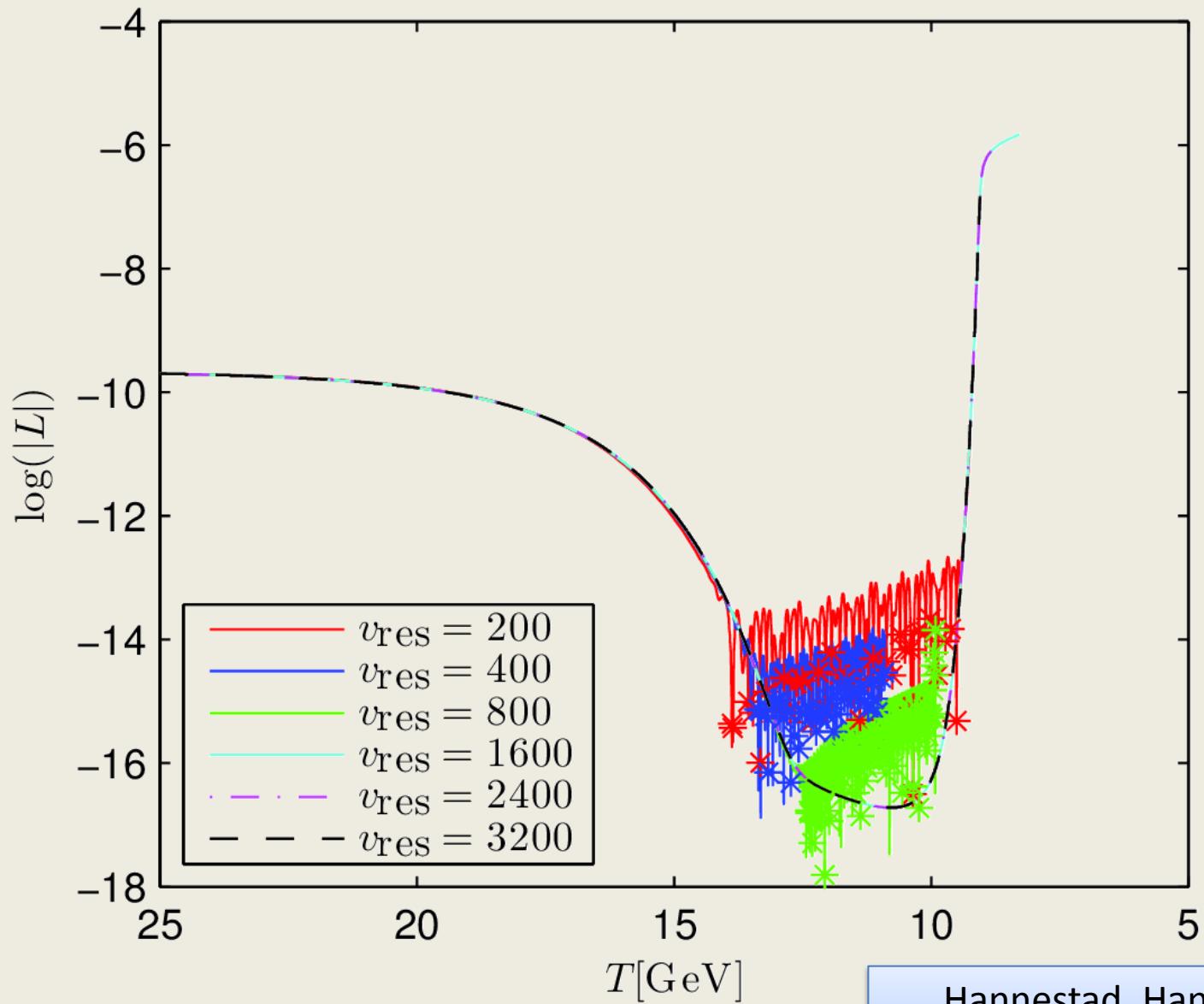


Enqvist, Kainulainen&Sorri  
hep-ph/9906452  
Abazajian&Agrawal  
arXiv:0807.0456

# Chaos in QRE confirmed



$$\delta m^2 = -10^{-2} \text{ eV}^2, \sin^2(2\theta) = 10^{-7}$$



# Chaos disappears in QKE!

$$\delta m^2 = -10^{-2} \text{ eV}^2, \sin^2(2\theta) = 10^{-6}$$

