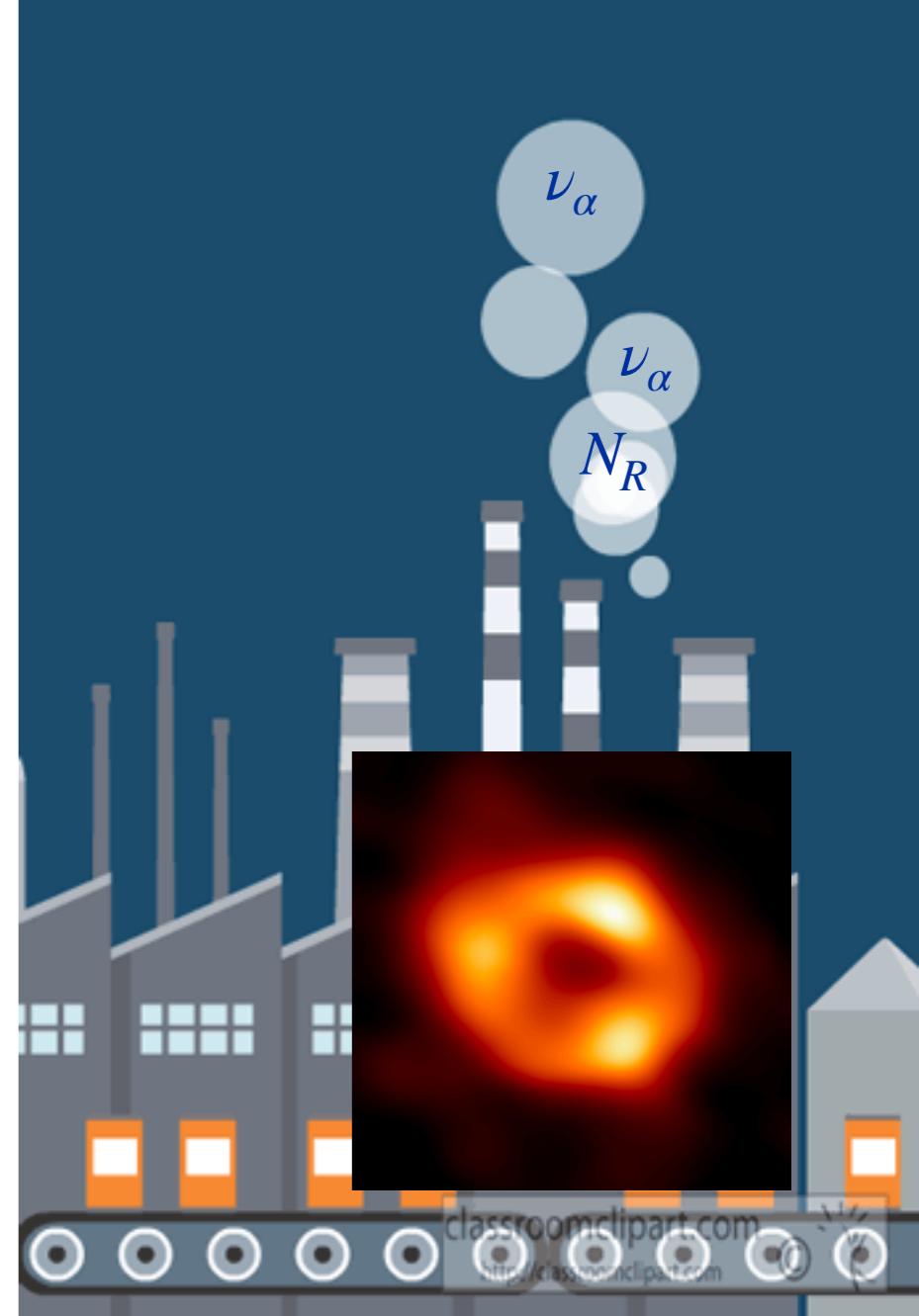


# Primordial Black Holes as Particle Factories and Implications for the Early Universe

Yuber F. Perez-Gonzalez

Particle and Astroparticle Theory Seminar  
Max-Planck-Institute für Kernphysik  
June 26th, 2023



EHT Collaboration



*What's the impact of having  
evaporating Primordial Black Holes  
in the Early Universe?*

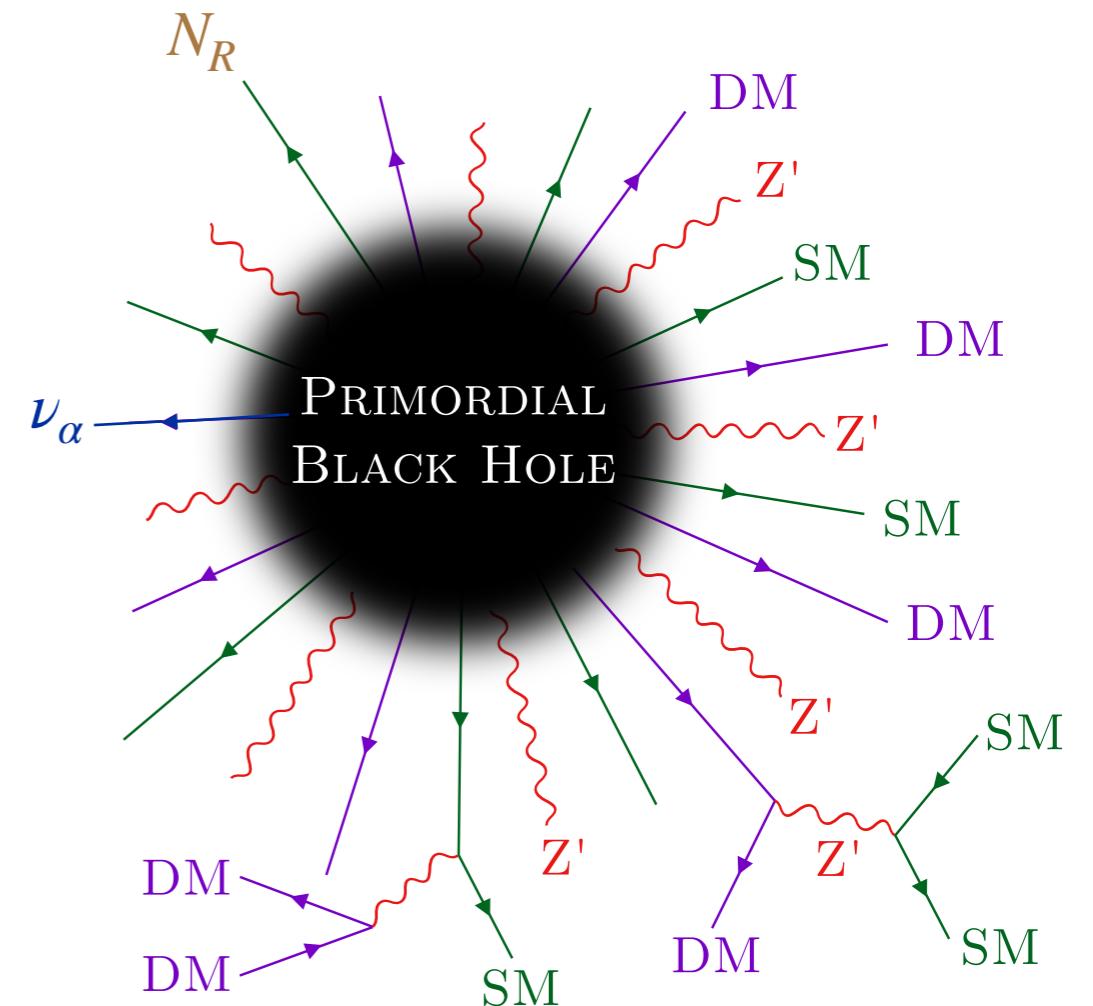
❖ *Introduction:*

- PBHs — Formation/Evaporation

❖ *First Act: Neutrinos and Leptogenesis*

❖ *Second Act: Dark Matter*

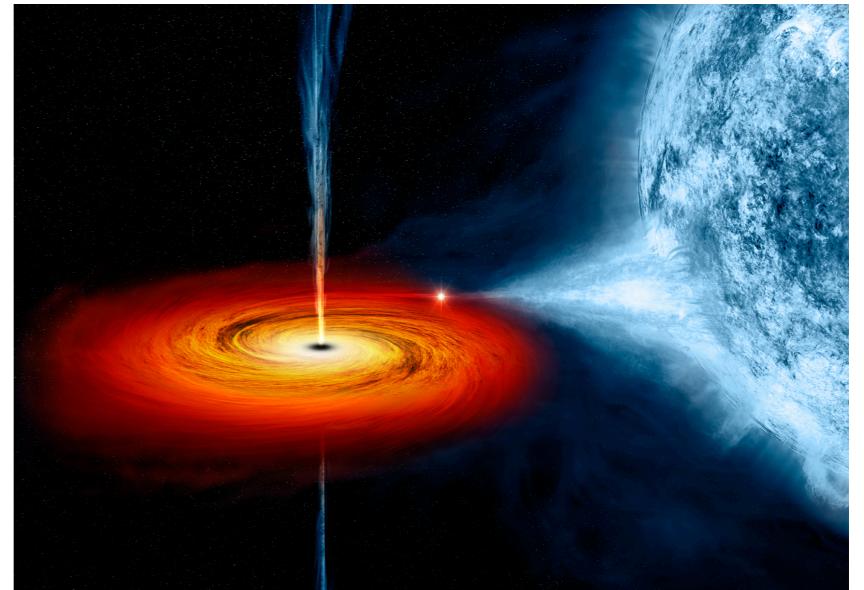
❖ *Third Act: High energy Neutrinos and evaporating PBHs*



# Primordial Black Holes (PBH)

Astrophysical Black Holes

$$M \gtrsim 3M_{\odot}$$



Formation

Lighter Black Holes



Large densities

$$r_S = 2GM$$

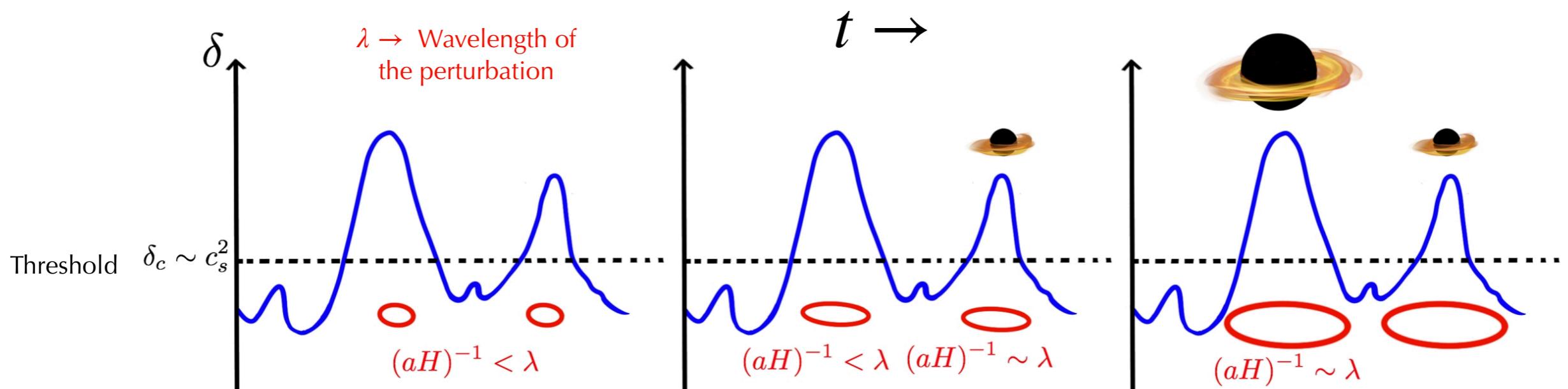
$$M_i \sim \frac{t}{G} \sim 10^{15} \left( \frac{t}{10^{-23} \text{ s}} \right) \text{ g}$$

# Formation

- Bubble collisions
- Pressure reduction
- Collapse of density fluctuations

$$M_{\text{BH},i} \propto \underbrace{\frac{4\pi}{3}\rho \frac{1}{H^3}}_{\text{Mass contained in the horizon}}$$

$$\delta = \frac{\rho - \bar{\rho}}{\bar{\rho}}$$



Taken from Villanueva-Domingo,  
Mena, Palomares-Ruiz  
2103.12087

Fraction of the total energy in PBH

$$\beta = \frac{\rho_{\text{PBH}}}{\rho_{\text{tot}}}$$

Assume a monochromatic mass distribution

All PBHs with the same mass

PBH form when the density perturbation enters the Hubble horizon

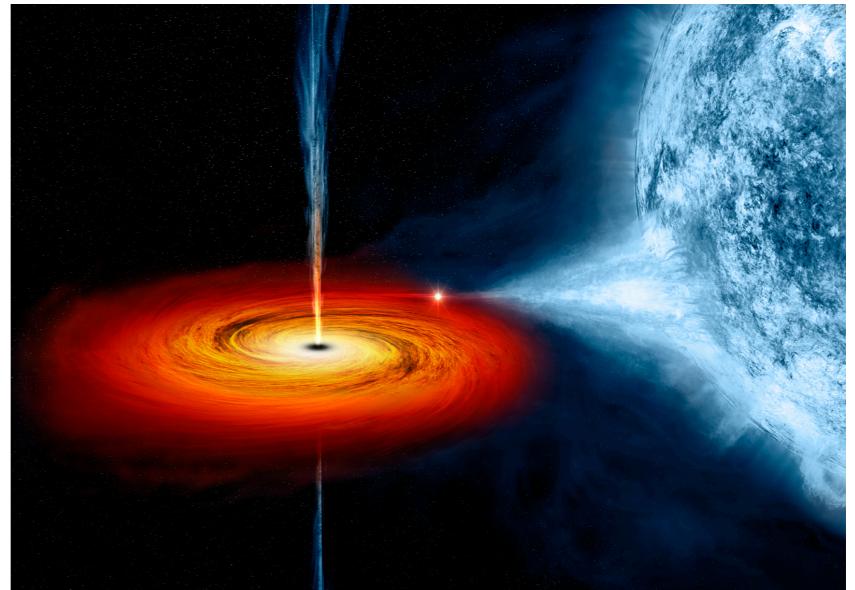
$$M_{\text{BH},i}, \beta$$

Carr et al. 2002.12778

# Primordial Black Holes (PBH)

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$$M_i \sim \frac{t}{G} \sim 10^{15} \left( \frac{t}{10^{-23} \text{ s}} \right) \text{ g}$$

$$M_{\text{BH},i}, \beta$$

Evaporation

Quantum effects are important

$$r_s \sim \lambda_c$$

$$r_s \sim 0.015 \text{ fm} \left( \frac{M}{10^{13} \text{ g}} \right)$$

Black Holes evaporate by thermal emission

Hawking, 1975

All degrees of freedom!

# Evaporation — Schwarzschild BHs

Described by  $M_{\text{BH}}$

Hawking  
Instantaneous  
Spectrum

$$\frac{d^2 N_i}{d\omega dt} = \frac{g_i}{2\pi^2} \frac{s_i \Gamma(M, \omega, \mu_i)}{\exp[\omega/T] - (-1)^{2s_i}}$$

Absorption  
probability

BH Temperature

$$T = \frac{\hbar c^3}{8\pi G M k}$$

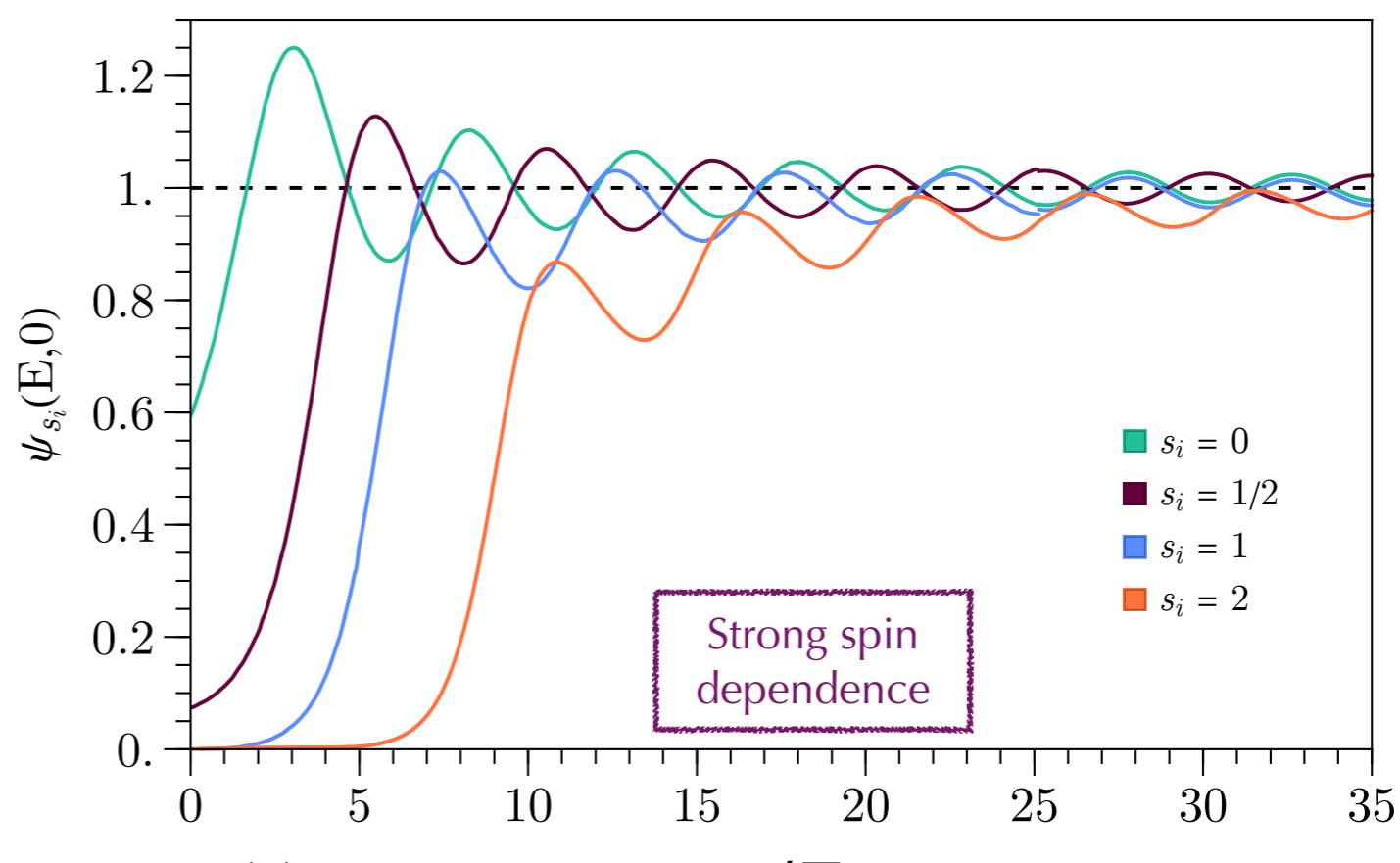
$$\sim 1 \text{ GeV} \left( \frac{10^{13} \text{ g}}{M} \right)$$



\*Hic depositum est, quod mortale fuit Isaaci Newtoni

$$s_i \Gamma(M, \omega, \mu_i) = \frac{1}{\pi} \sigma_{s_i}(M, \omega, \mu_i) \omega^2$$

Reduced Absorption Cross Section



$$\psi_{s_i}(\omega) \equiv \frac{\sigma_{s_i}(\omega)}{27\pi G^2 M_{\text{BH}}^2}$$

$\omega/T$

Only  $\ell \geq s_i$  modes

# Evaporation — Kerr BHs

Described by  $M_{\text{BH}}$ ,  $a_* = JM_p^2/M_{\text{BH}}^2 \in [0,1]$

Axisymmetric case

$$\frac{d^2N_i}{d\omega dt} = \frac{g_i}{2\pi} \sum_{l=s_i} \sum_{m=-l}^l \frac{s_i \Gamma_{lm}}{\exp(\varpi_m/T_{\text{BH}}) - (-1)^{2s_i}}$$

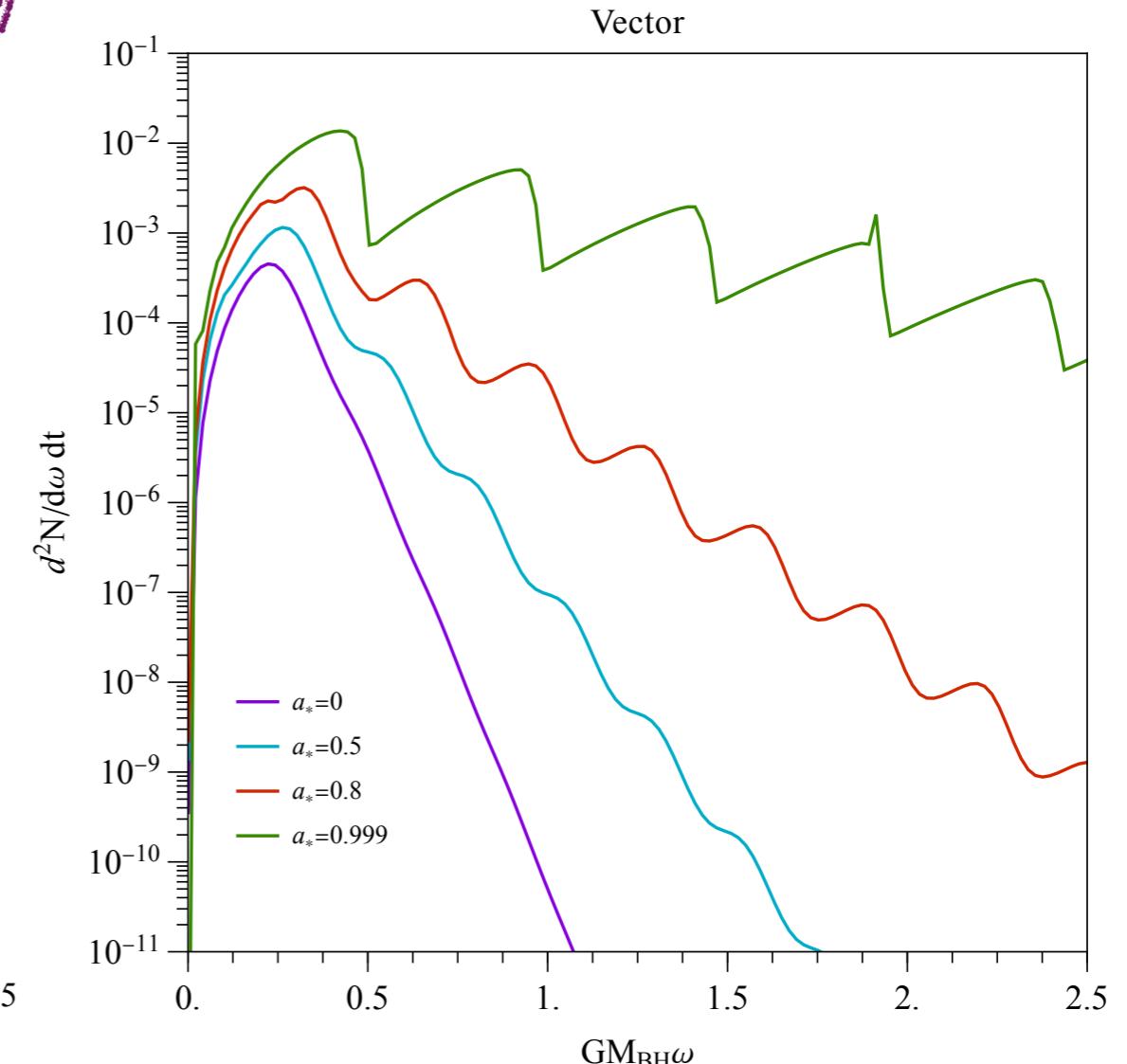
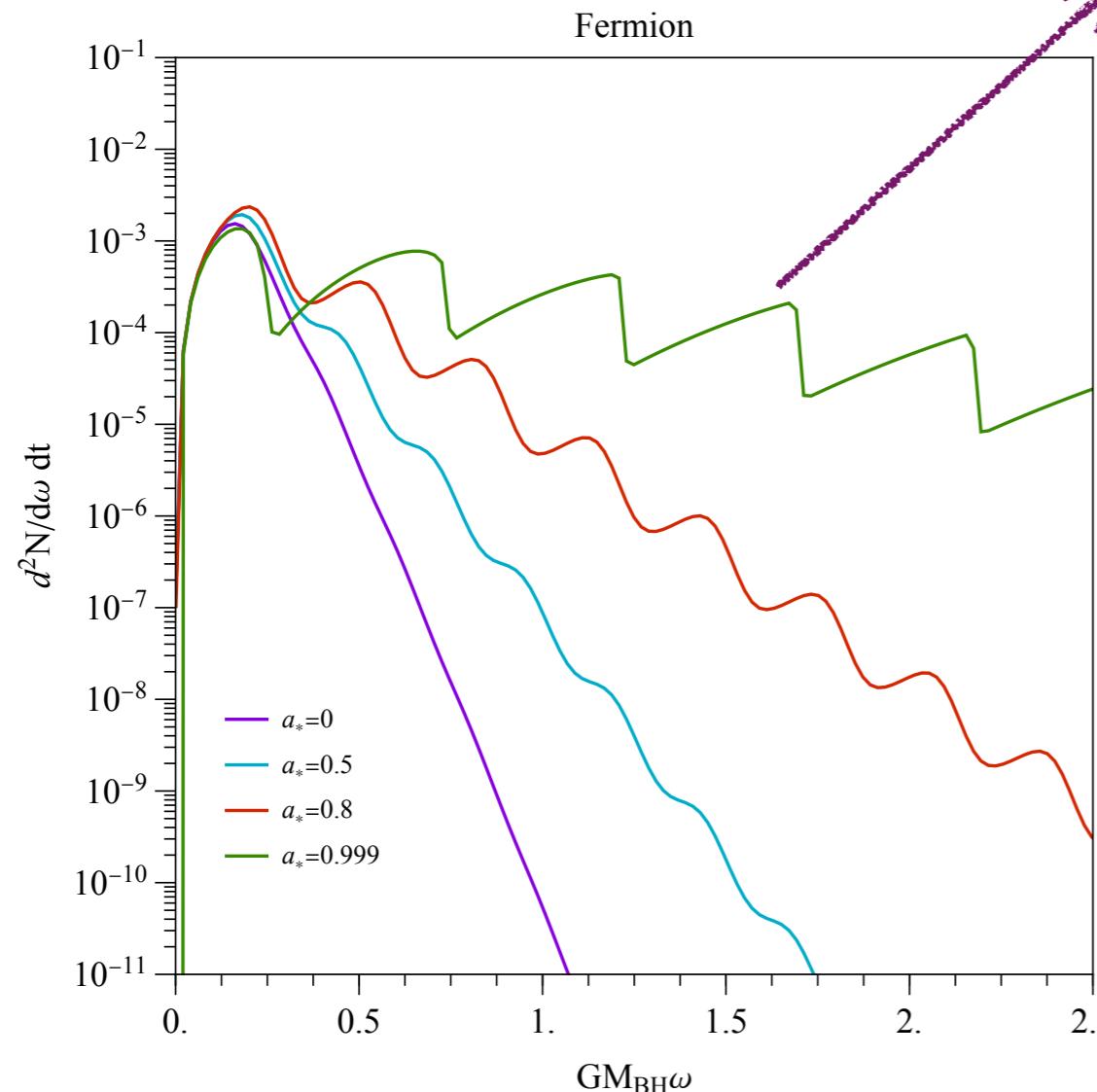
BH Temperature

$$T = \frac{1}{4\pi GM} \frac{\sqrt{1-a_*^2}}{1+\sqrt{1-a_*^2}}$$

Enhanced emission of  
 $l = m$  modes

$$\varpi_m = \omega - m\Omega$$

Explicit dependence on  $m$   
 $\Omega \rightarrow$  angular velocity



# Evaporation

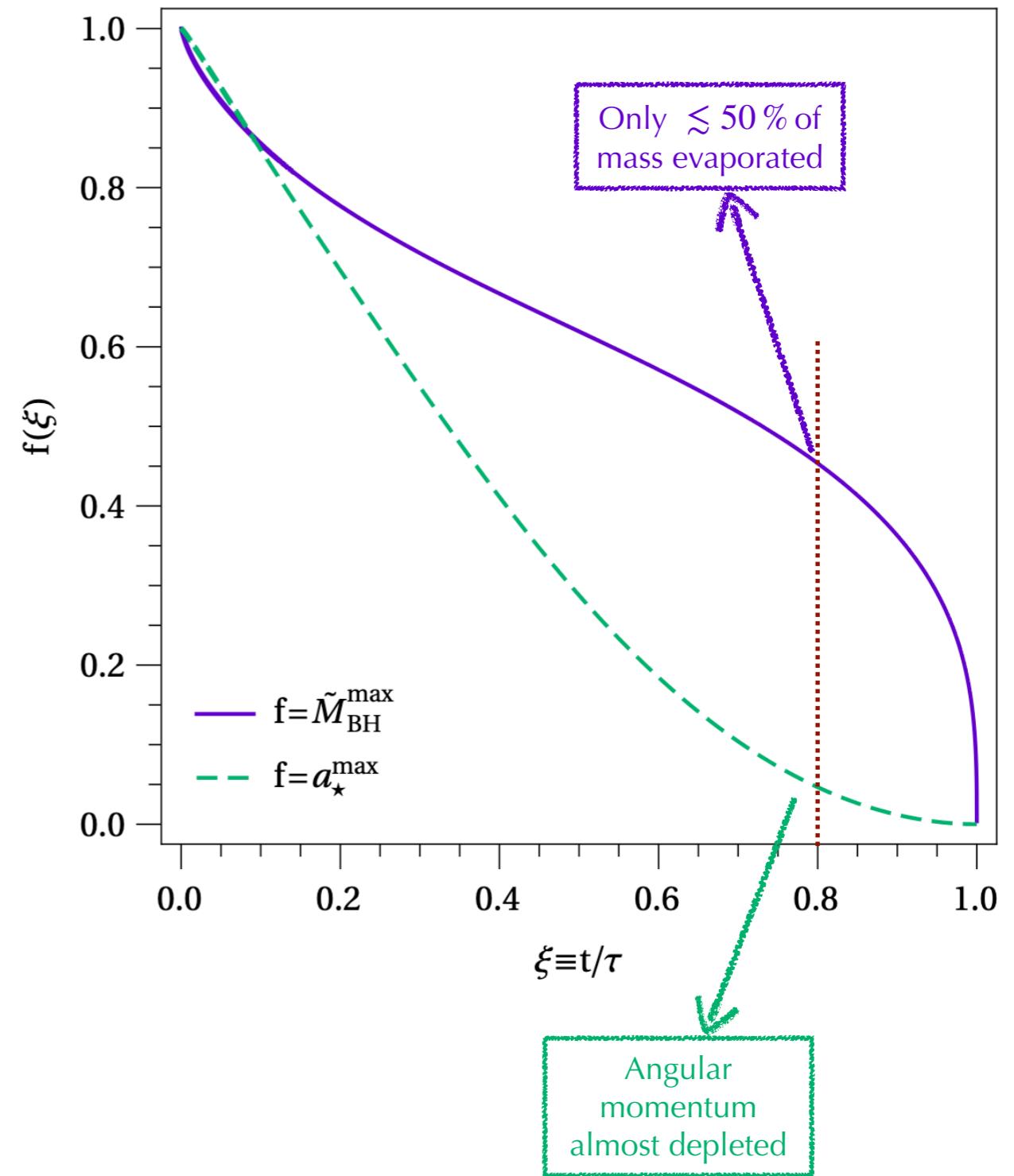
BHs lose mass over time

$$\frac{dM_{\text{BH}}}{dt} = - \underbrace{\varepsilon(M_{\text{BH}}, a_{\star})}_{\text{Evaporation function}} \frac{M_P^4}{M_{\text{BH}}^2}$$

$$\frac{da_{\star}}{dt} = - a_{\star} [\gamma(M_{\text{BH}}, a_{\star}) - 2\varepsilon(M_{\text{BH}}, a_{\star})] \frac{M_P^4}{M_{\text{BH}}^3}$$

Angular momentum depleted faster than mass

If there are some PBH still around they ***might*** have a small angular momentum



# Evaporation

BHs lose mass over time

Depends on the set of **all** existing dofs

J. MacGibbon, 1991

$$\frac{dM_{\text{BH}}}{dt} = - \varepsilon(M_{\text{BH}}, a_{\star}) \frac{M_P^4}{M_{\text{BH}}^2}$$

$$\frac{da_{\star}}{dt} = - a_{\star} [\gamma(M_{\text{BH}}, a_{\star}) - 2\varepsilon(M_{\text{BH}}, a_{\star})] \frac{M_P^4}{M_{\text{BH}}^3}$$

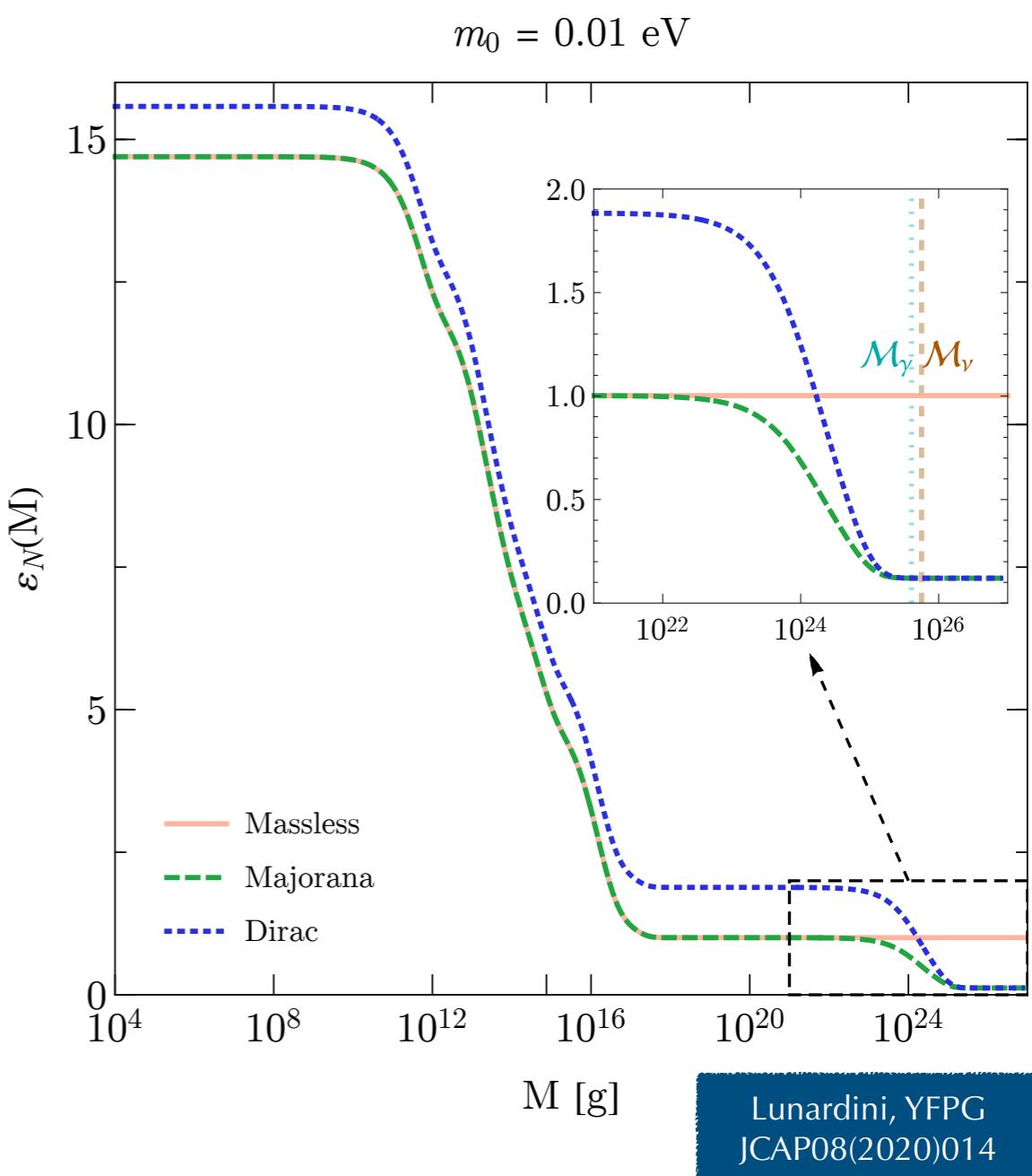
$$\varepsilon = \sum_{i=\text{all}} \frac{g_i}{2\pi^2} \int_0^\infty \sum_{l=s_i}^\infty \sum_{m=-l}^l \frac{d^2 \mathcal{N}_{ilm}}{d\omega dt} \omega d\omega$$

$$\gamma = \sum_{i=\text{all}} \frac{g_i}{2\pi^2} \int_0^\infty \sum_{l=s_i}^\infty \sum_{m=-l}^l \frac{d^2 \mathcal{N}_{ilm}}{d\omega dt} m d\omega$$

BH lifetime for a Schwarzschild BH

$$\tau = \frac{1}{M_P^4} \int_{M_P}^{M_i} \frac{M^2 dM}{\varepsilon(M)}$$

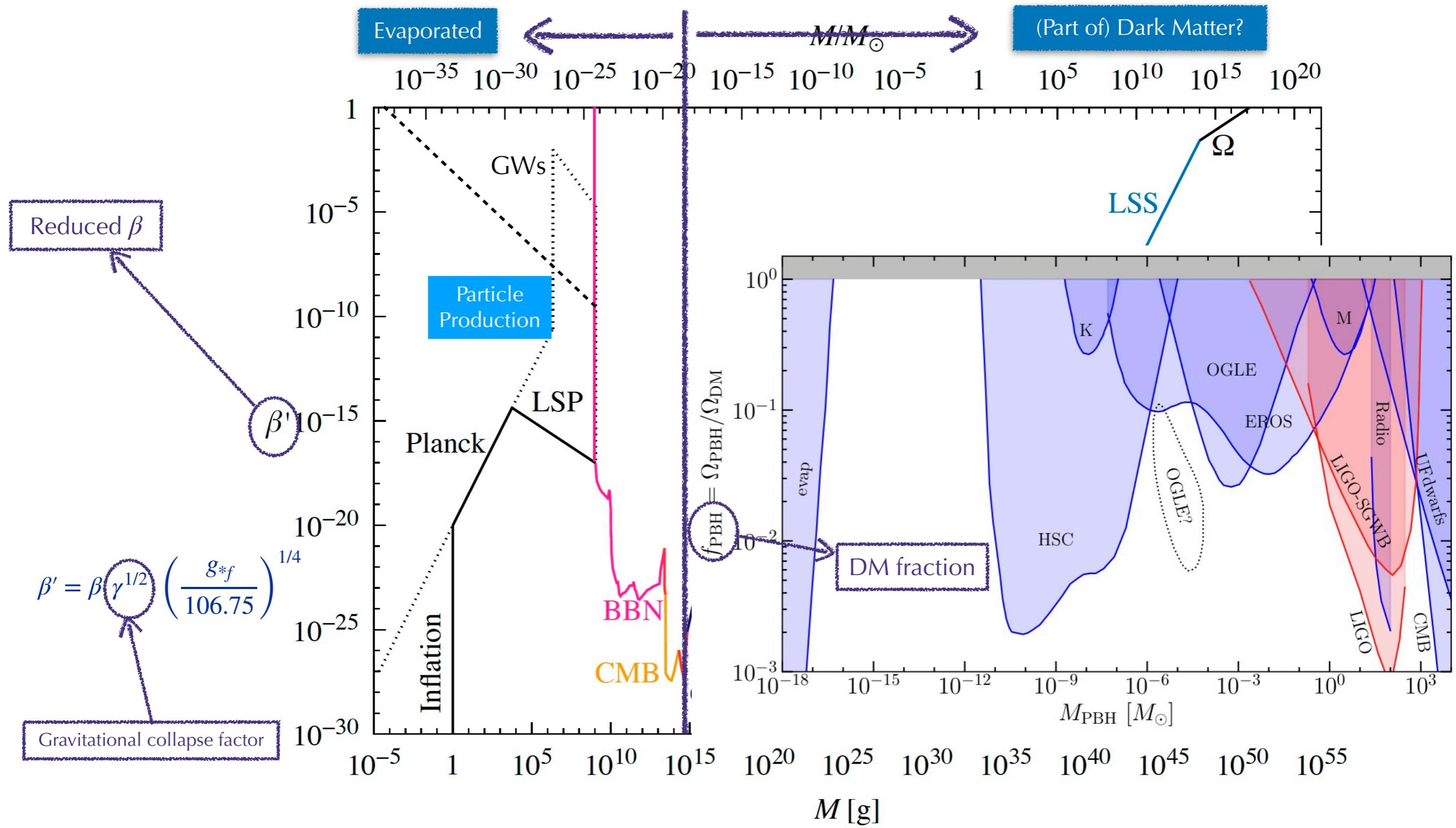
Planck mass



BH with  $M_i = M_{\odot}$ :

$\tau \sim 10^{67} \text{ years}$

$\sim 10^{57} * \text{age of the Universe}$



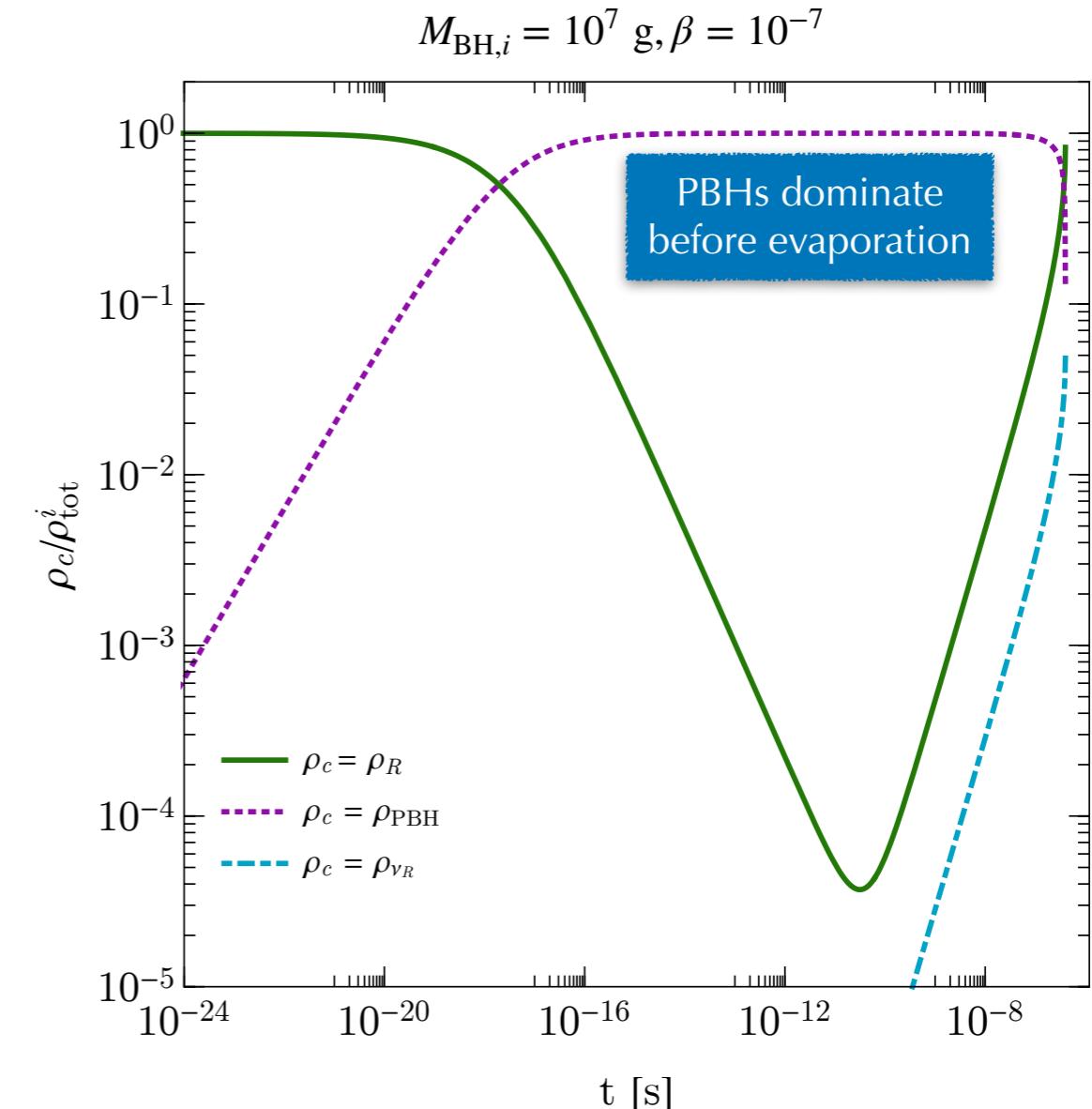
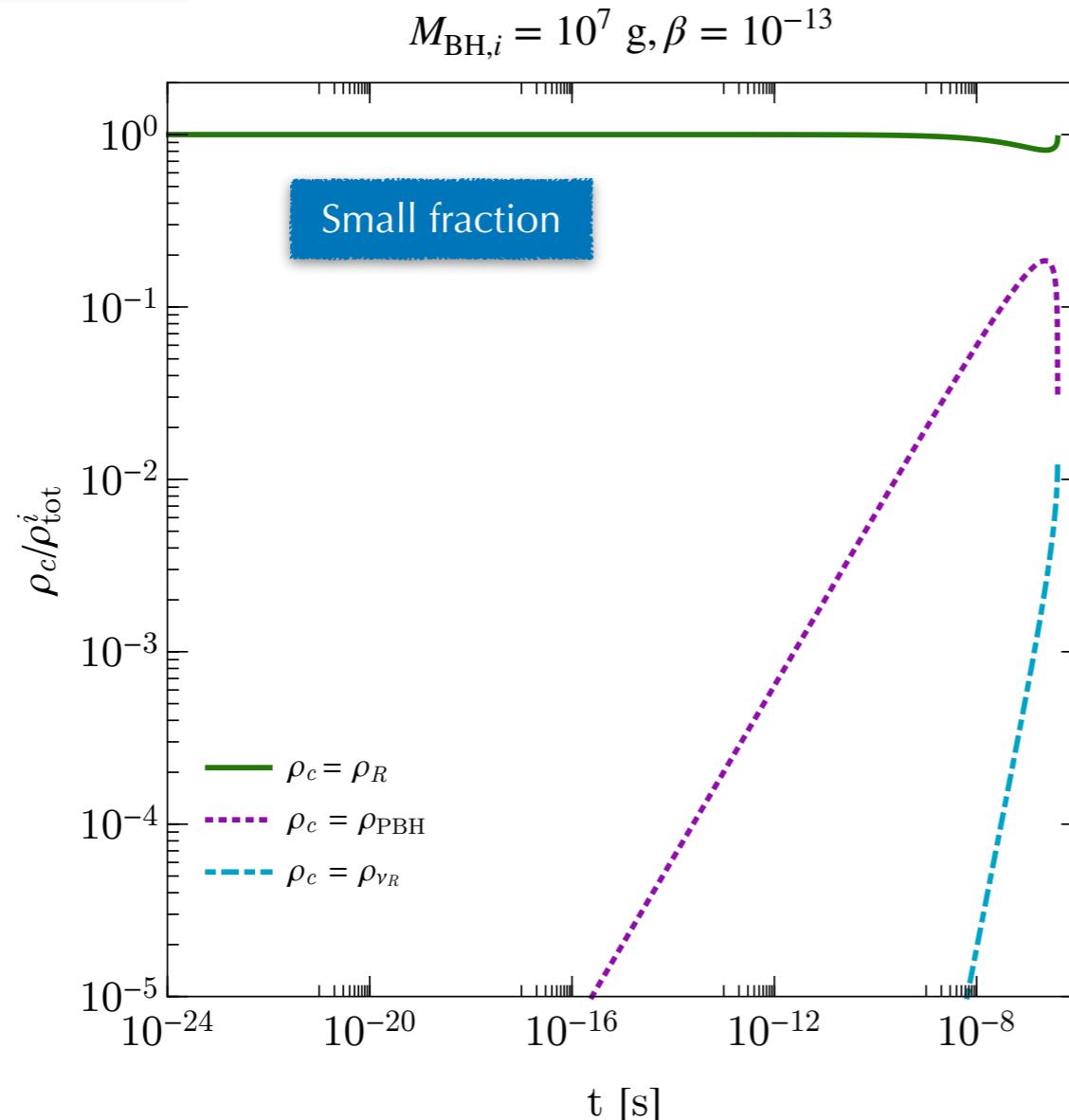
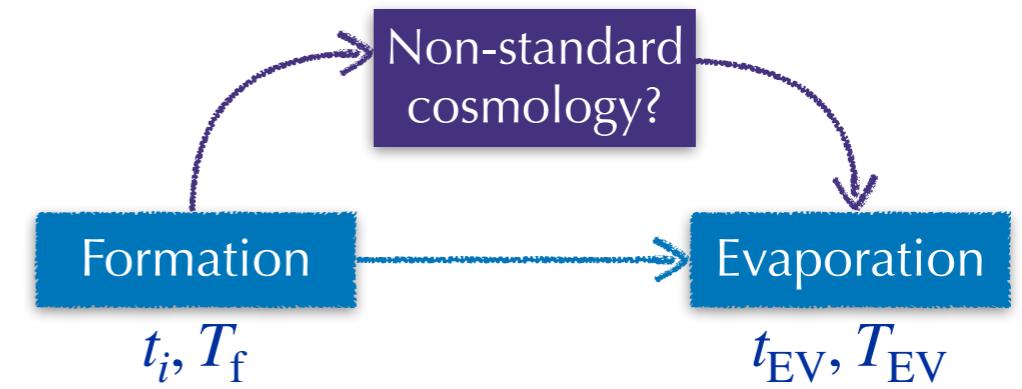
We focus on the region  $M_{\text{BH},i} \leq 10^9$  g

Carr et al. 2002.12778  
Domènech et al. 2012.08151

B. Kavanagh  
[10.5281/zenodo.3538999](https://doi.org/10.5281/zenodo.3538999)

# PBHS: Rise and Fall

PBHS behave  
(almost) as  
matter

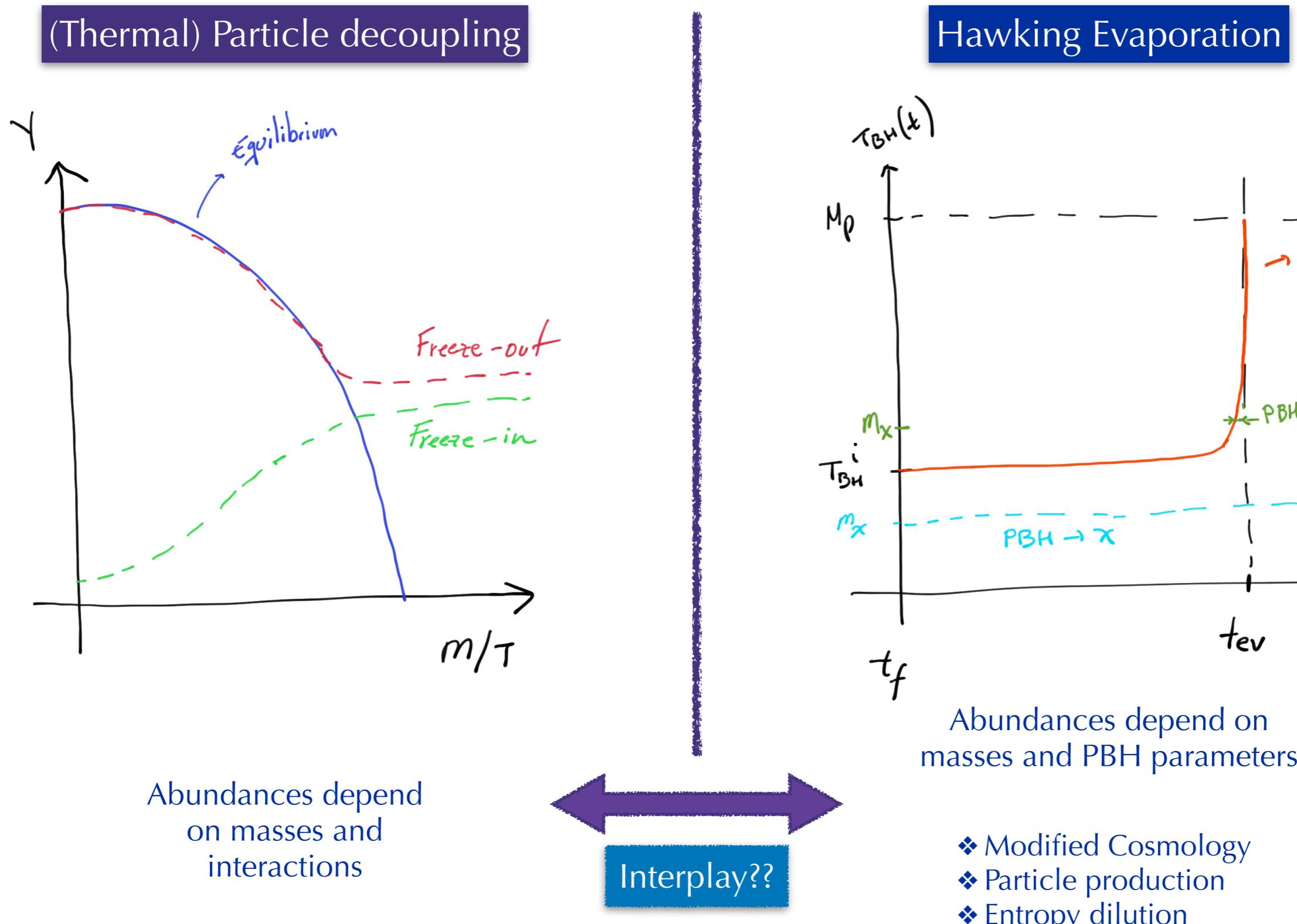


Initial fraction

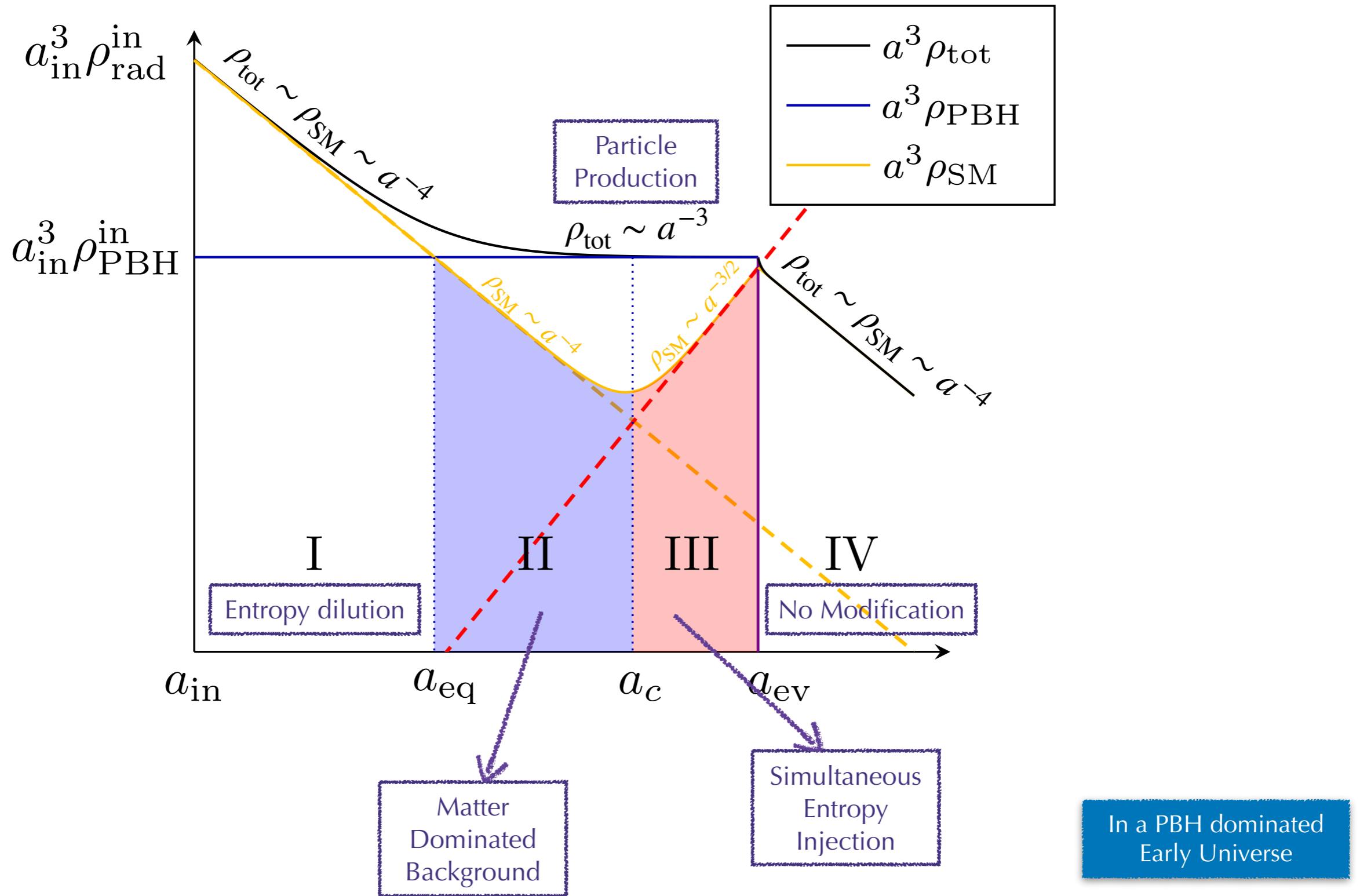
$$\beta \gtrsim 2.5 \times 10^{-14} \left( \frac{g_*(T_f)}{106.75} \right)^{-\frac{1}{4}} \left( \frac{M_i}{10^8 \text{ g}} \right)^{-1} \left( \frac{\epsilon_D(M_i)}{15.35} \right)^{\frac{1}{2}}$$

Hooper et al,  
1905.01301

# Why is interesting the particle production via evaporation?



# Why is interesting the particle production via evaporation?



# Why is interesting the particle production via evaporation?

DM production  
(Besides PBH-DM)

- ❖ Purely Gravitationally interacting DM
- ❖ Modify Freeze-In/Freeze-out mechanisms

Fujita et al, 1401.1909  
Morrison et al, 1812.10606  
Baldes et al, 2004.14773  
Masina, 2004.04740, 2103.13825  
*Cheek, Heurtier, YFPG, Turner*  
[2107.00013](#), [2107.00016](#),  
[2212.03878](#)



Baryon Asymmetry

- ❖ Modifying Baryogenesis scenarios
- ❖ Leptogenesis scenarios
- ❖ Producing a local asymmetry at PBH formation
- ❖ Connections with PBH-DM

Baumann, Steinhadt, Turok, 0703250  
Yamada and Iso, 1610.02586  
Fujita et al, 1401.1909  
Morrison et al, 1812.10606  
García-Bellido, Carr, Clesse, 1904.11482  
Hooper and Krnjaic, 2010.01134



Dark Radiation

- ❖ Production of hot gravitons
- ❖ Testable from future measurements on  $\Delta N_{\text{eff}}$

Hooper, Krnjaic, McDermott, 1905.01301  
*Lunardini, YFPG, 1910.07864*  
Masina, 2004.04740, 2103.13825  
*Cheek, Heurtier, YFPG, Turner, 2207.09462*

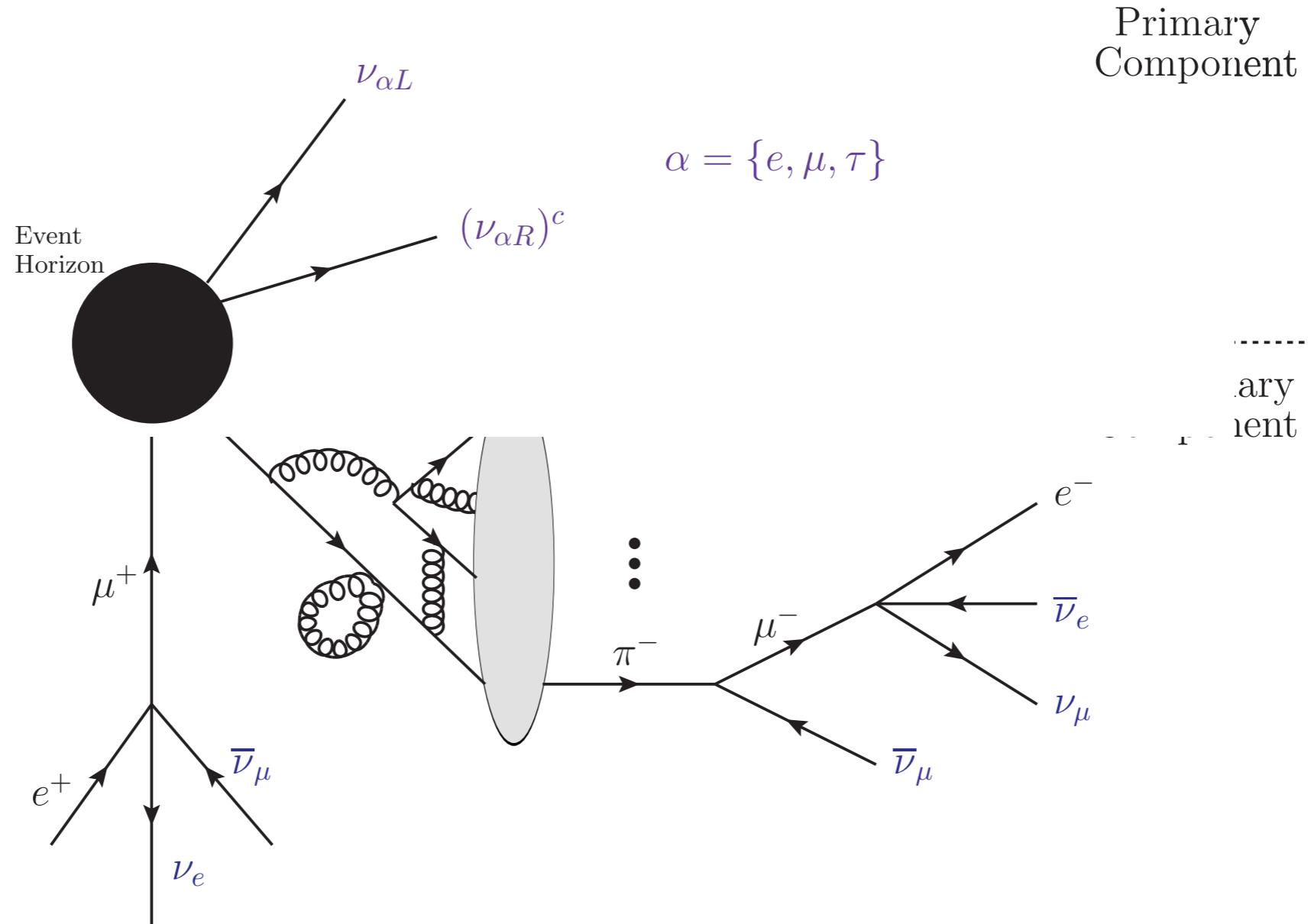
# First Act

# Neutrinos and Leptogenesis

Based on:  
YFPG and Turner: 2010.03565  
Bernal, Fong, YFPG, Turner 2203.08823

# What about neutrino emission?

In the SM...



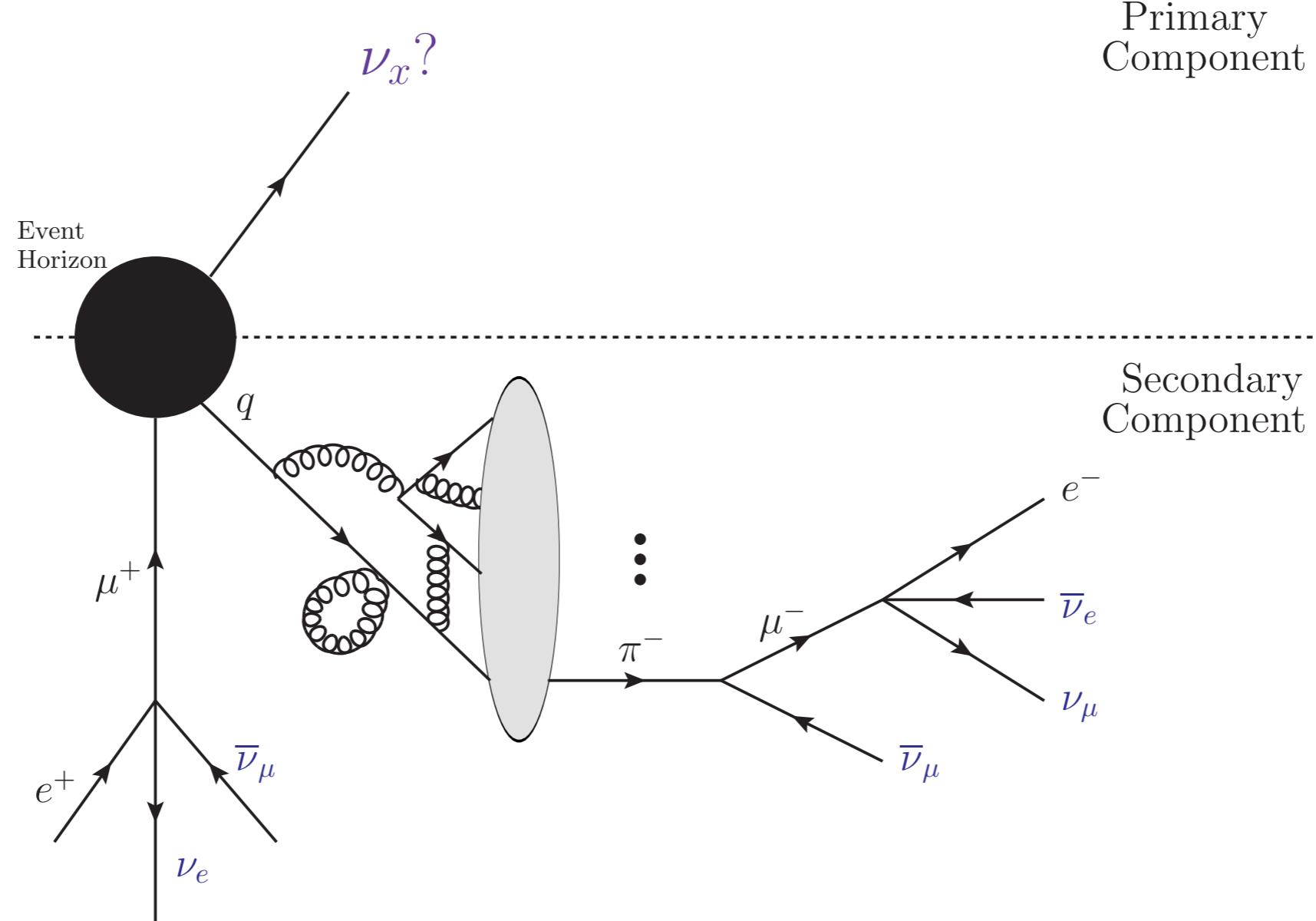
Constraints on the  
diffuse neutrino flux

B. Carr, 1976

J. MacGibbon, 1991  
F. Halzen, 9502268  
Bugaev, 0005295

# What is the state of the emitted neutrino?

Neutrinos are massive



Weak Interaction

# What is the state of the emitted neutrino?

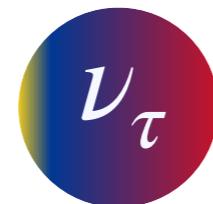
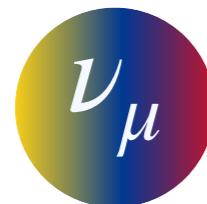
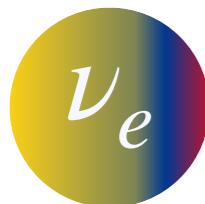
Weak interactions

$$n \rightarrow p^+ + e^- + \bar{\nu}_e$$

Interaction mediated by  
a gauge boson

Associated with a  
charged lepton

Flavor eigenstate



Hawking Effect

$$\langle 0_- | b_i^\dagger b_i | 0_- \rangle = \Gamma_{lm} \left[ \exp \left( E_a / T_{\text{BH}} + 1 \right) \right]^{-1}$$

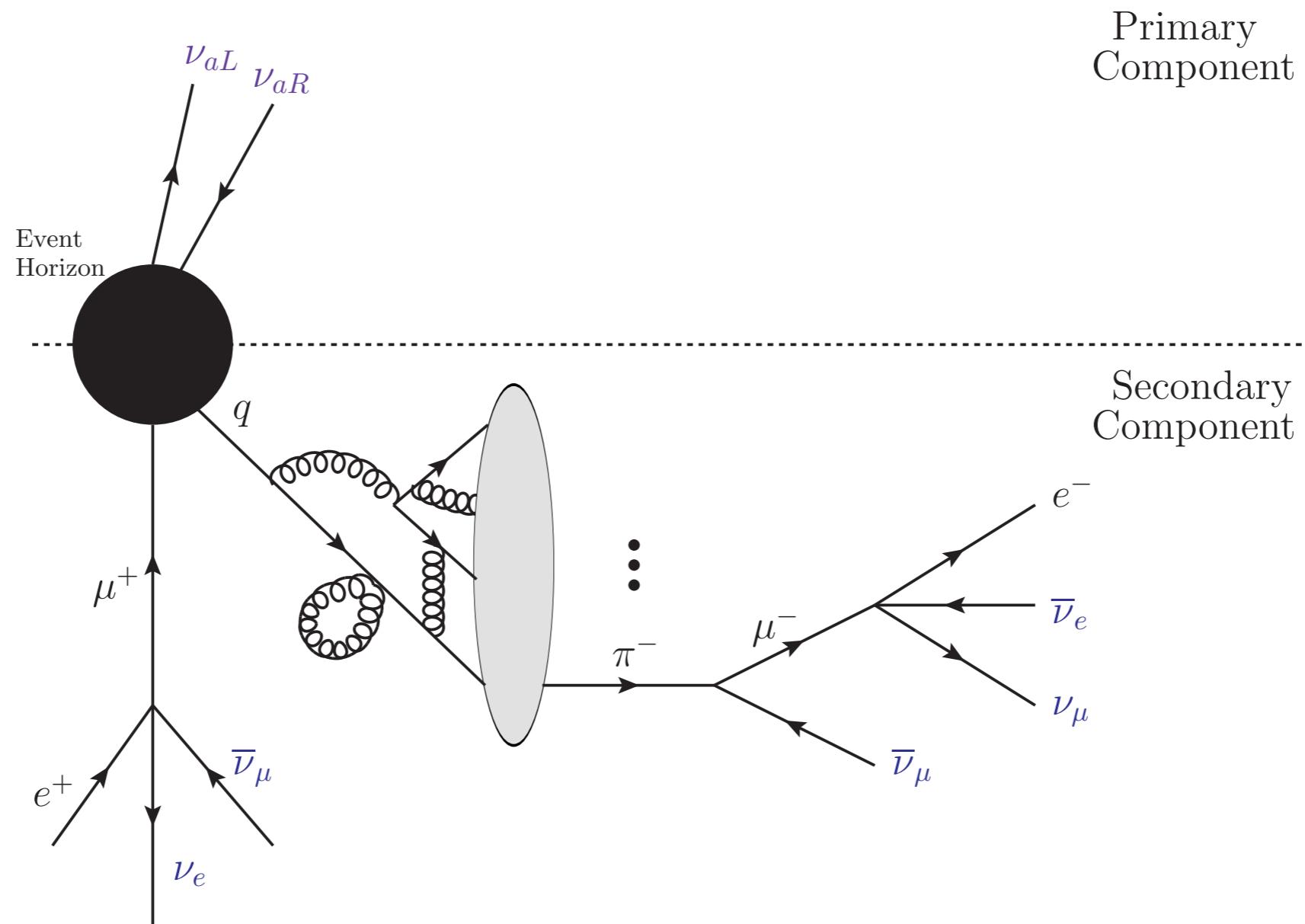
Particle definition in a curved  
spacetime is observer dependent

Particles with well-  
defined kinematical  
properties

**Mass** eigenstate



# Dirac vs Majorana



Majorana neutrinos

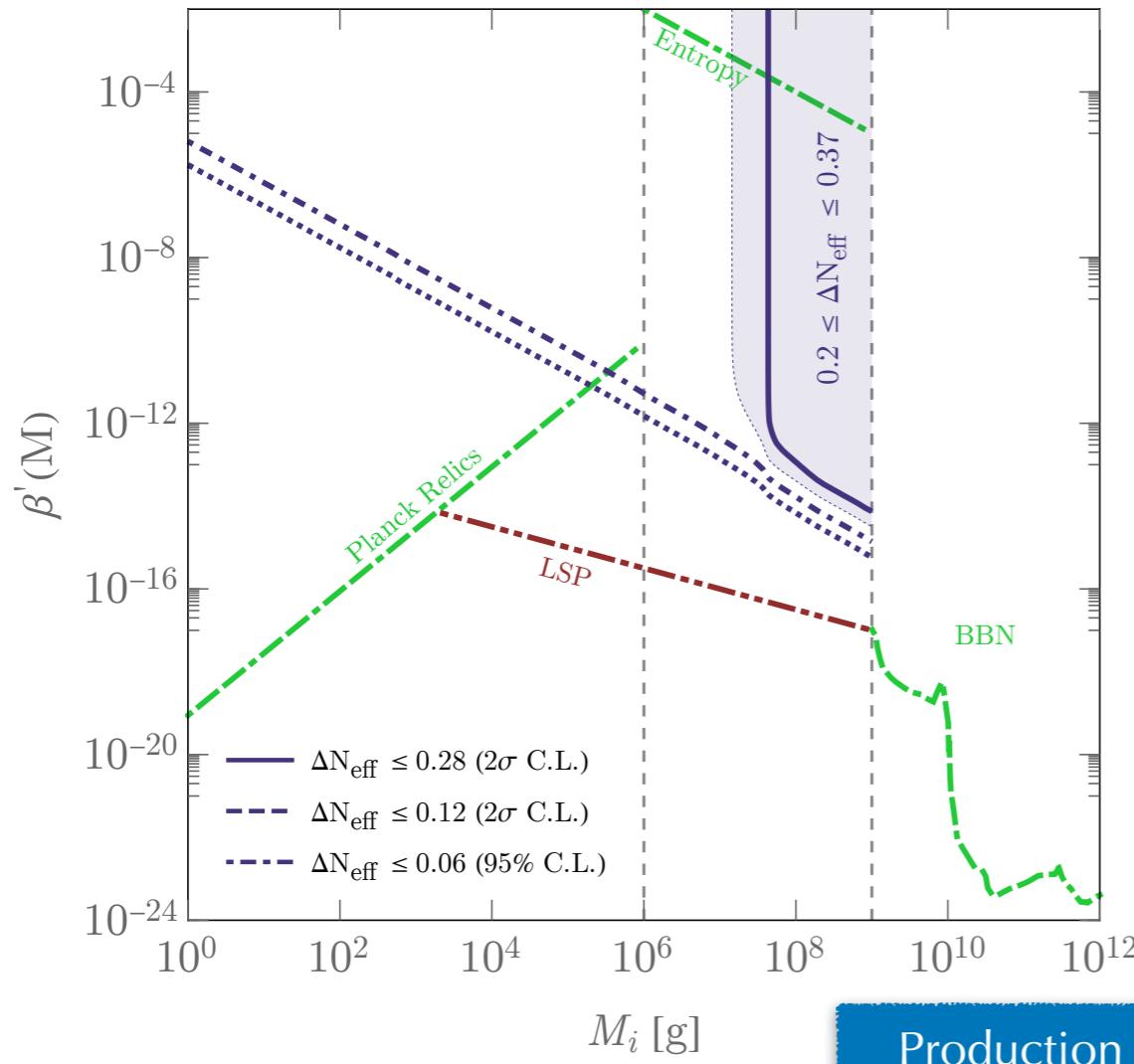
Phenomenological  
consequences?

## Dirac neutrinos

$$\sigma_{\text{abs}}^{\nu}(+1/2) = \sigma_{\text{abs}}^{\nu}(-1/2)$$

Unruh, 1976

No helicity suppression



Cecilia Lunardini, YFPG  
JCAP08(2020)014

## VS

## Majorana neutrinos

Heavy RH neutrinos

PBH-induced Leptogenesis

Baumann, Steinhadt, Turok, 0703250  
Fujita et al, 1401.1909  
Morrison et al, 1812.10606  
Hooper and Krnjaic, 2010.01134

YFPG and Jessica Turner,  
PRD 104(2021) 103021  
Bernal, Fong, YFPG, Turner  
2203.08823

# Thermal Leptogenesis in a nutshell

Baryon asymmetry

$$\eta_{\text{CMB}} = (6.23 \pm 0.17) \times 10^{-10}$$

$$\eta_{\text{BBN}} = (6.08 \pm 0.06) \times 10^{-10}$$

Sakharov Conditions

- ⊕ Baryon and Lepton number violation
- ⊕ CP violation
- ⊕ Departure from thermal equilibrium

Type I seesaw:

$$-\mathcal{L} \supset \frac{1}{2} M_{N_i} \overline{N}_i^c N_i + \overline{\ell}_\alpha H^* Y_{\alpha i} N_i + \text{H. c.}$$

$$m_\nu \sim \frac{Y_\nu^2 v^2}{M_N} \sim \mathcal{O}(0.1 \text{ eV})$$

$$Y_\nu = \frac{1}{v} U_{\text{PMNS}} \sqrt{m_\nu} R^T M_N^T$$

Casas, Ibarra, 2001

Boltzmann  
Equations

$$\frac{dn_{N_i}}{dz} = D_i(n_{N_i} - n_{N_i}^{\text{eq}})$$

$$\frac{dn_{\text{B-L}}}{dz} = \sum_i \epsilon_i D_i(n_{N_i} - n_{N_i}^{\text{eq}}) - \boxed{\mathcal{W}_i n_{\text{B-L}}}$$

Washout

$$LH \leftrightarrow \overline{L}H$$
$$\Delta L = 2$$

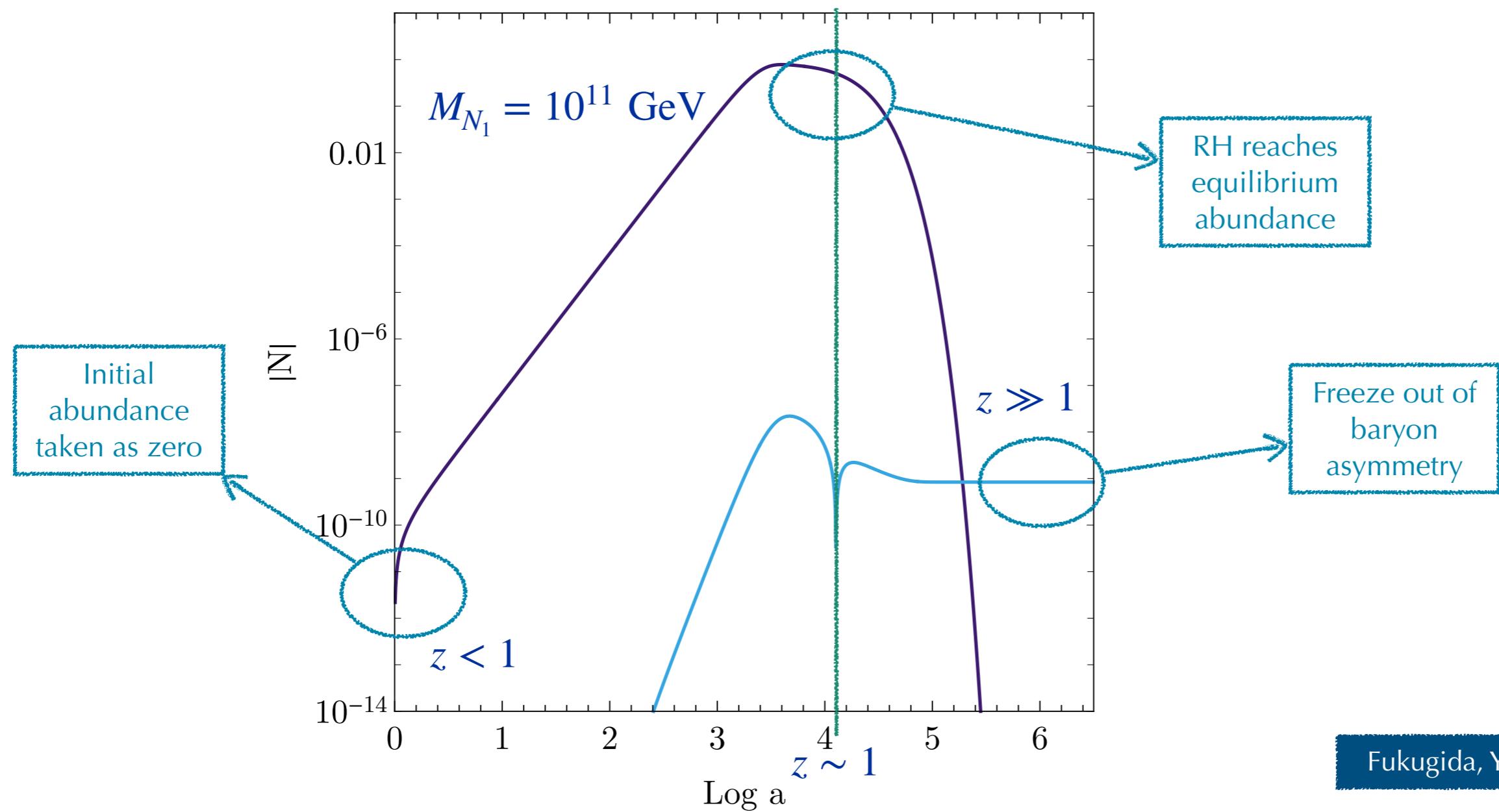
Fukugida, Yanagida, '86

# Thermal Leptogenesis in a nutshell

$$z \equiv \frac{M_{N_1}}{T}$$



$LH \rightarrow N$

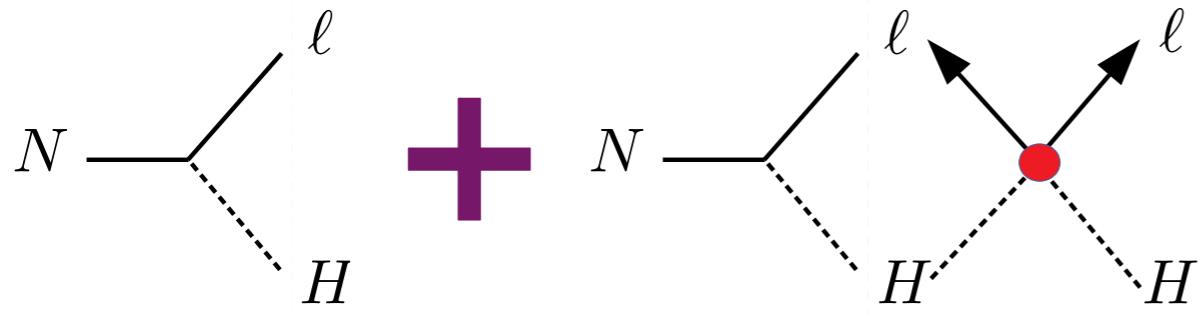


# High Scale Leptogenesis

$M_N \gtrsim 10^{12}$  GeV

$M_{N_1} < M_{N_2} < M_{N_3}$

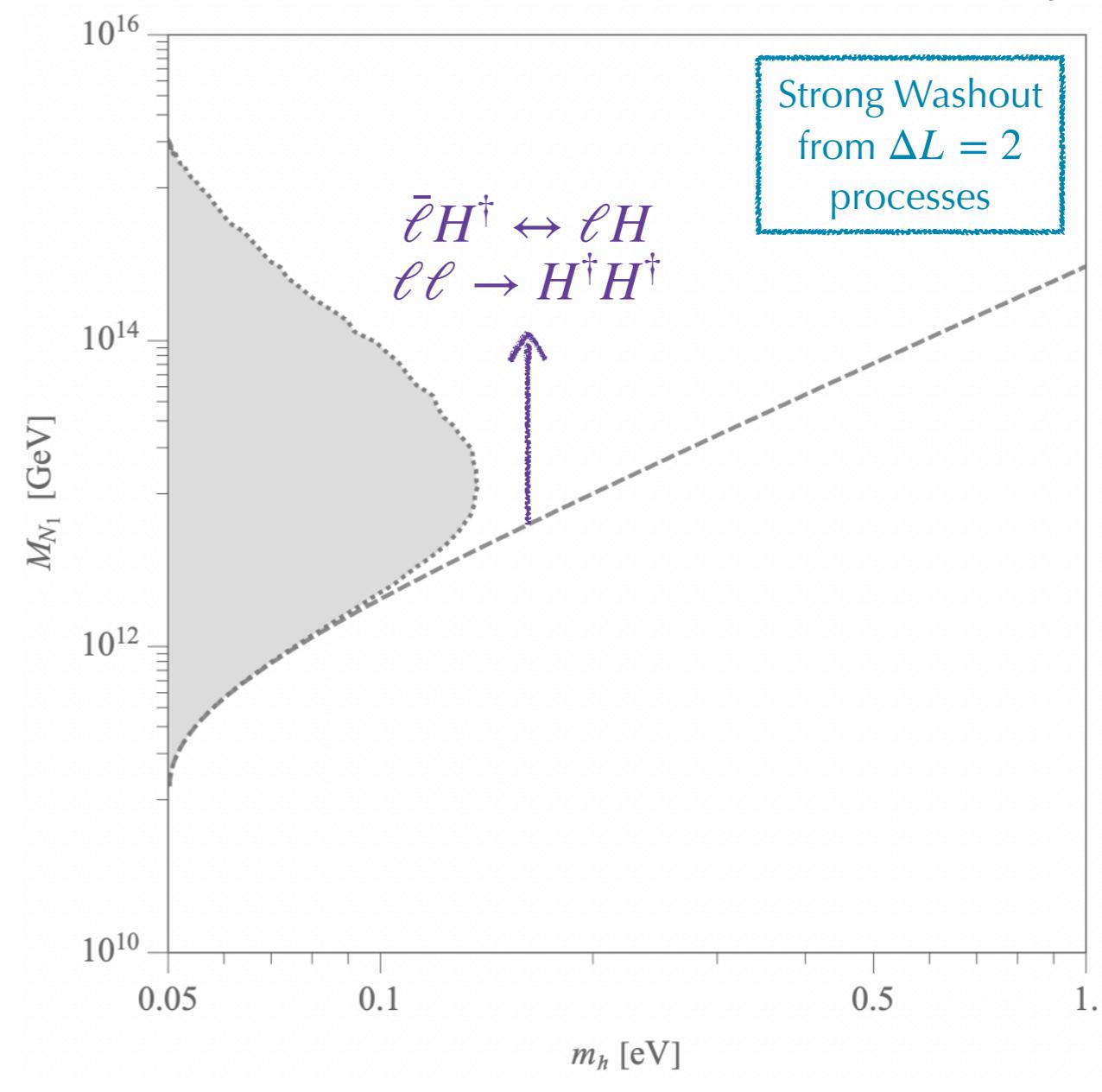
CP violation from  
interference



$$\frac{\epsilon}{M_N} \propto \frac{m_\nu}{v^2} \propto \sqrt{\sigma_{\Delta L=2}}$$

$\Delta L = 2$   
interactions  
would be in  
equilibrium if

$$MT \gtrsim 4 \left( \frac{0.1 \text{ eV}}{m_\nu} \right) 10^{12} \text{ GeV}$$



Maximizing over  
Yukawa parameters

How to save HSL?

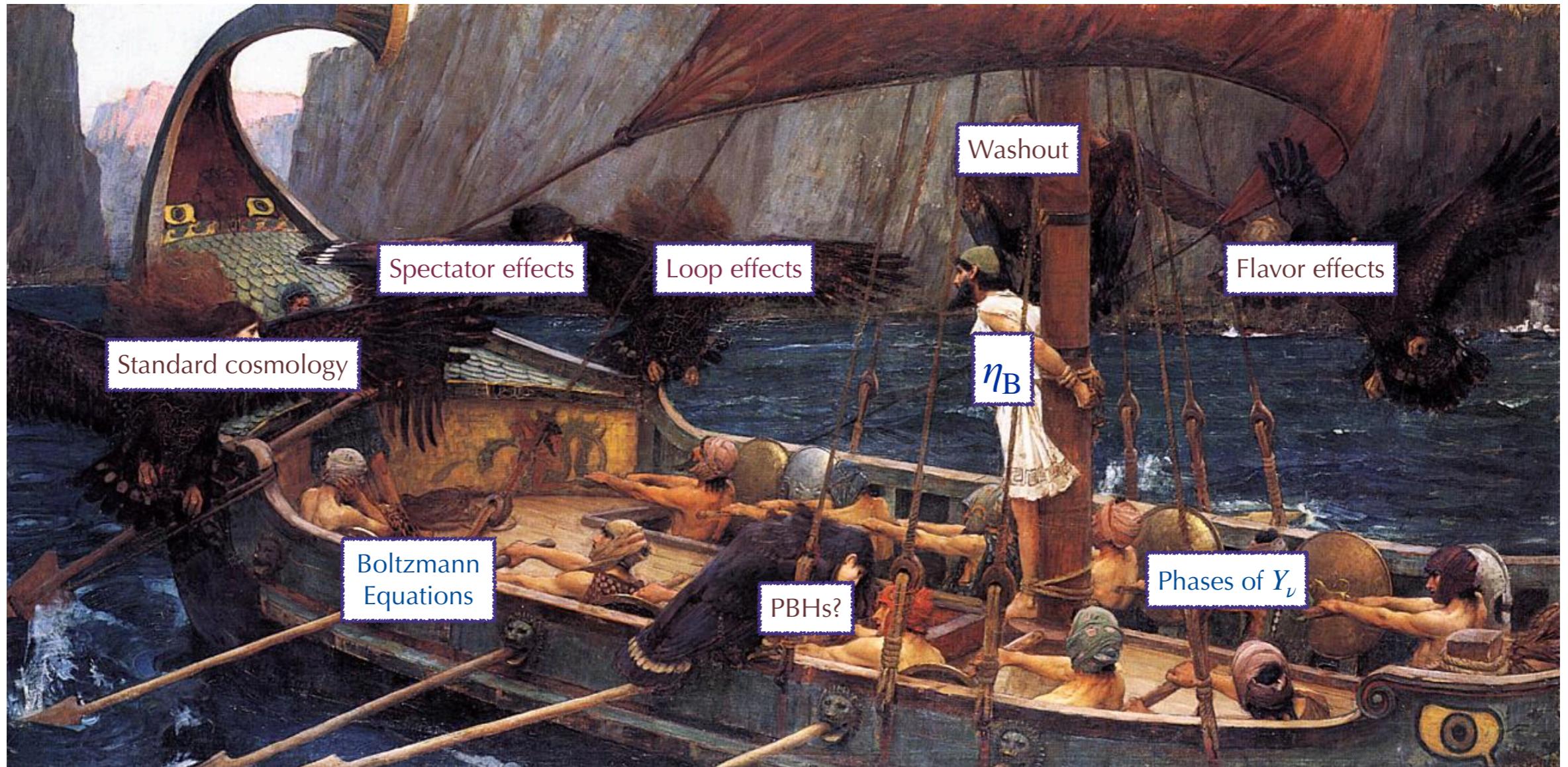
Produce RHNs  
after washout  
process have  
frozen out?

PBHs!

Giudice et al., 2004  
Buchmuller et al., 2005

# Intermezzo.

# Universal LeptogeneSiS Equation Solver (ULYSSES)



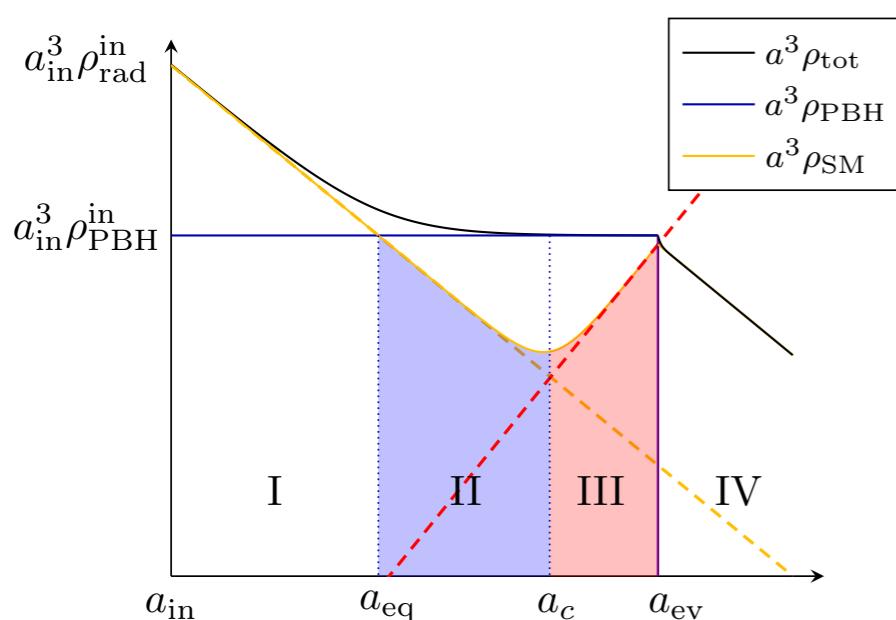
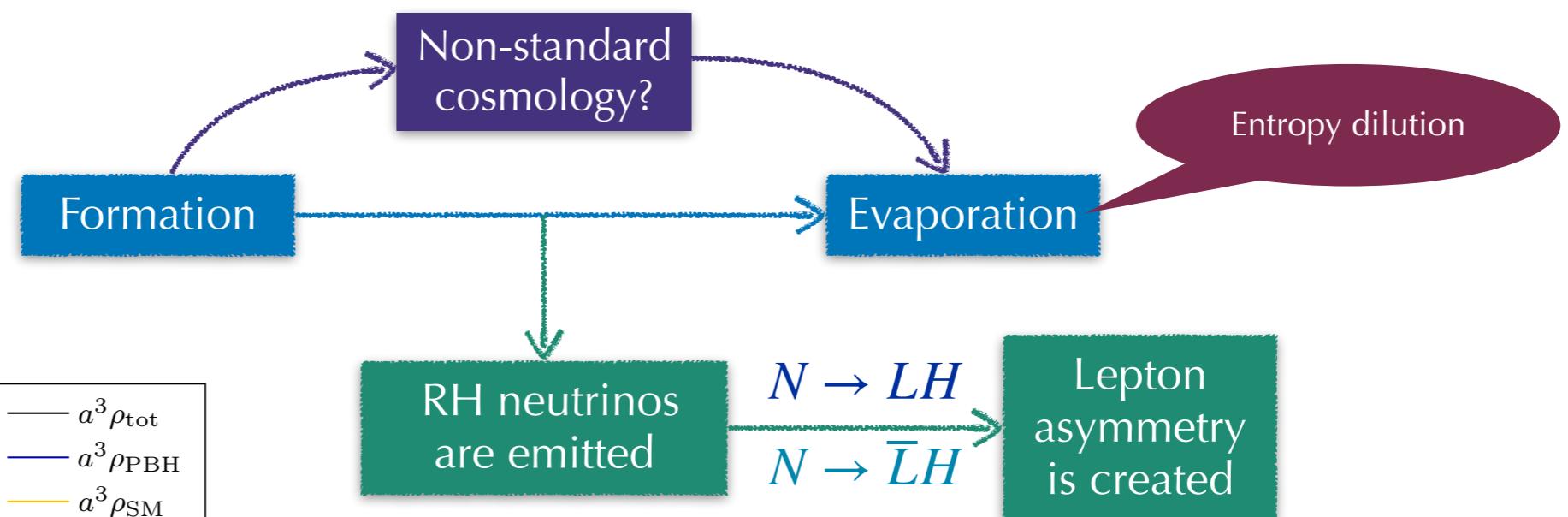
A Granelli, K Moffat, YFPG,  
H Schulz and J Turner,  
arXiv: 2007.09150  
arXiv:2301.05722

- ❖ Leptogenesis via decays and resonant leptogenesis
- ❖ ARS Leptogenesis
- ❖ Easy parallelization
- ❖ Rapid evaluation
- ❖ Multidimensional scan of the parameter space

# PBH + Leptogenesis



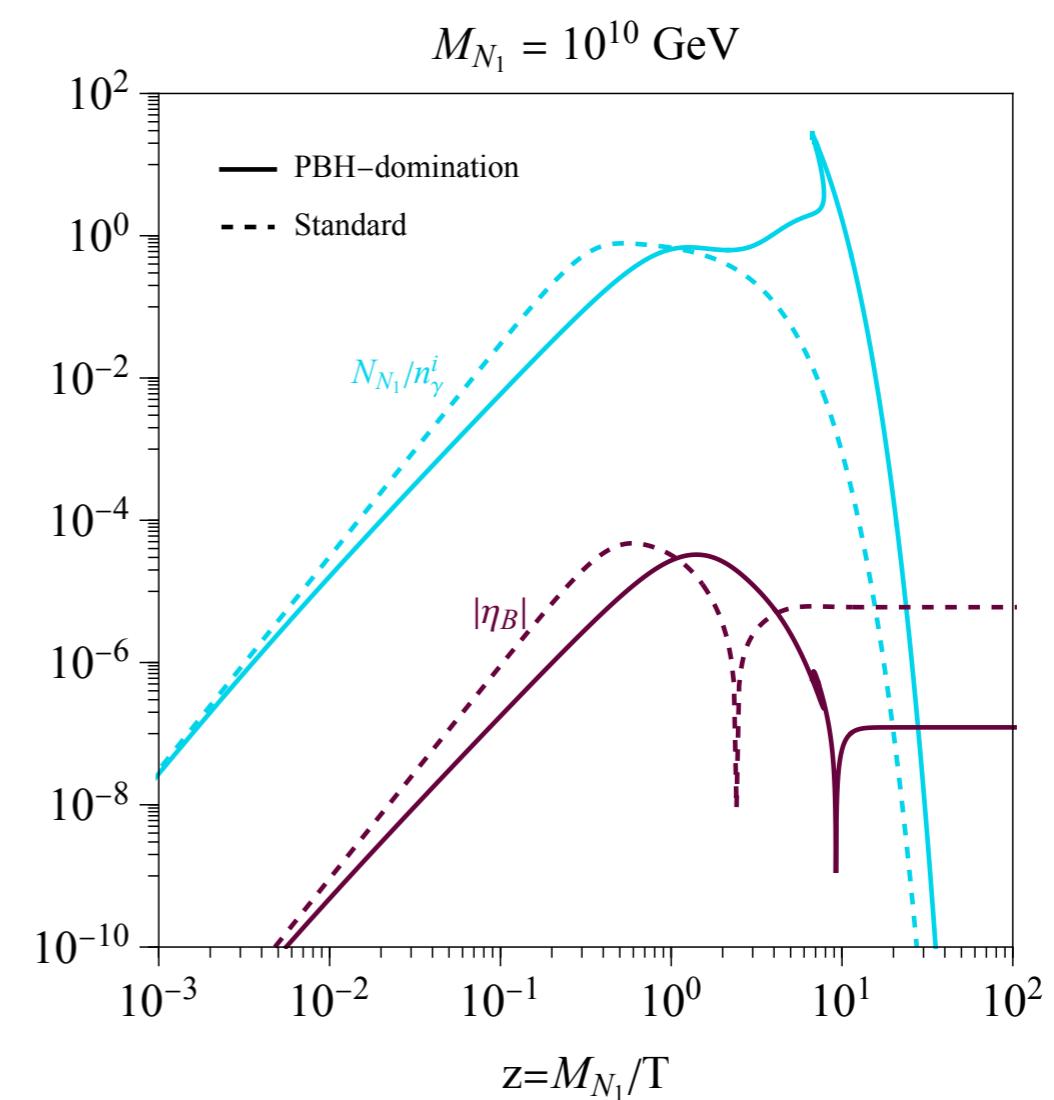
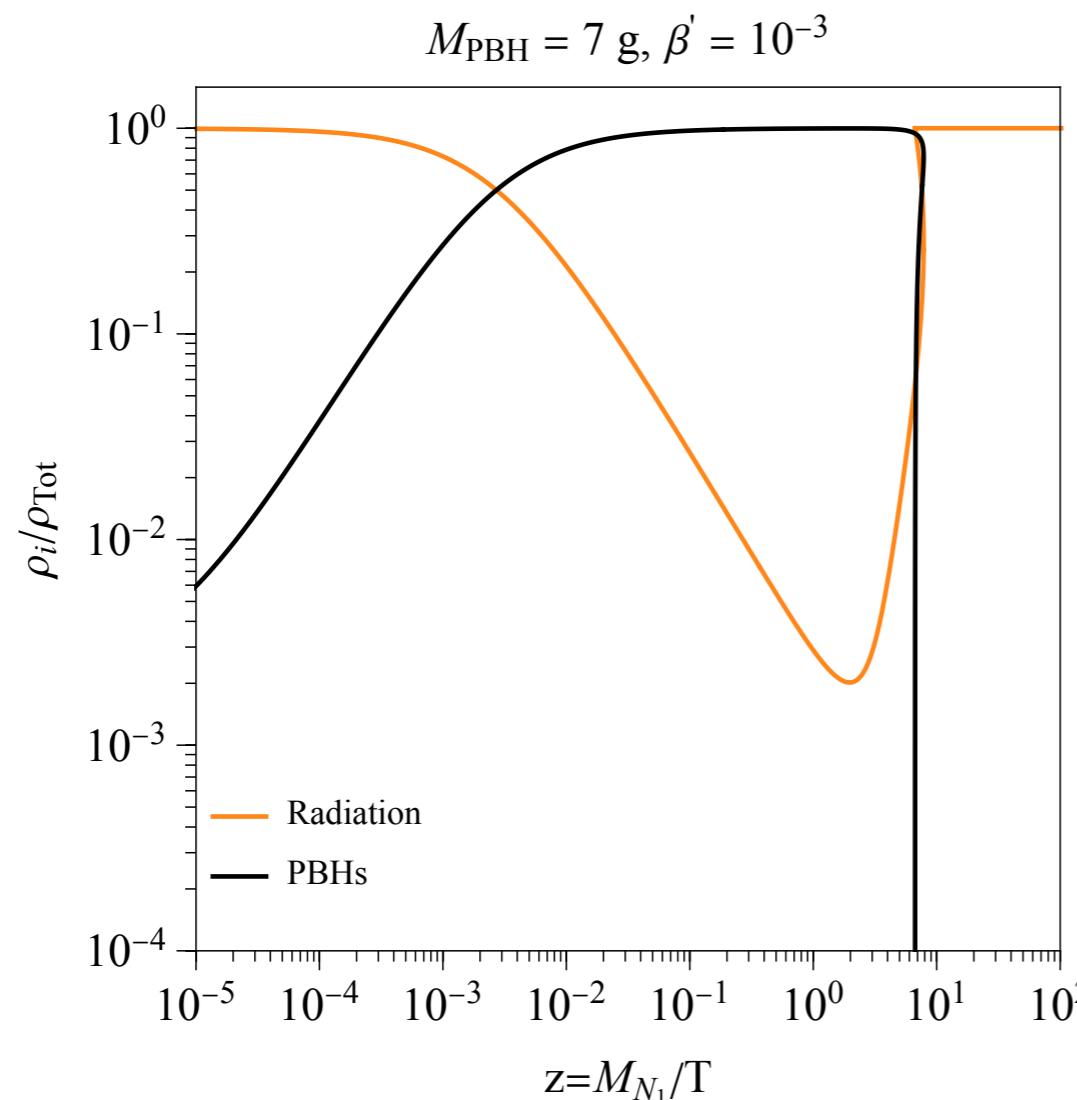
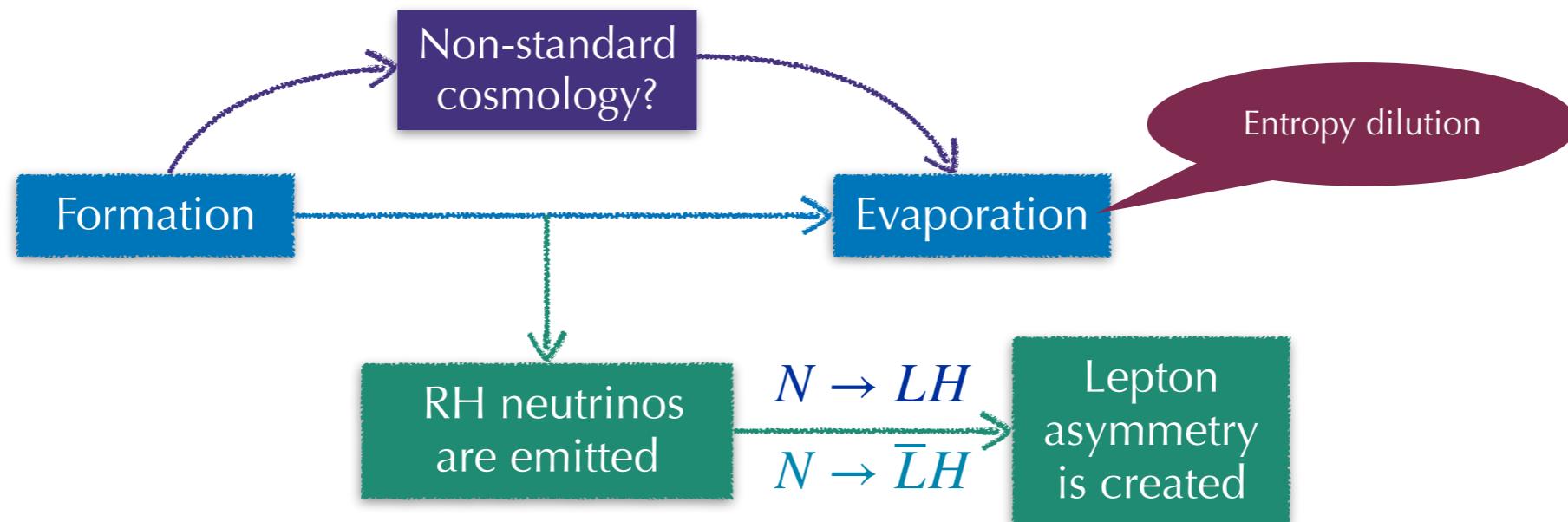
$LH \rightarrow N$



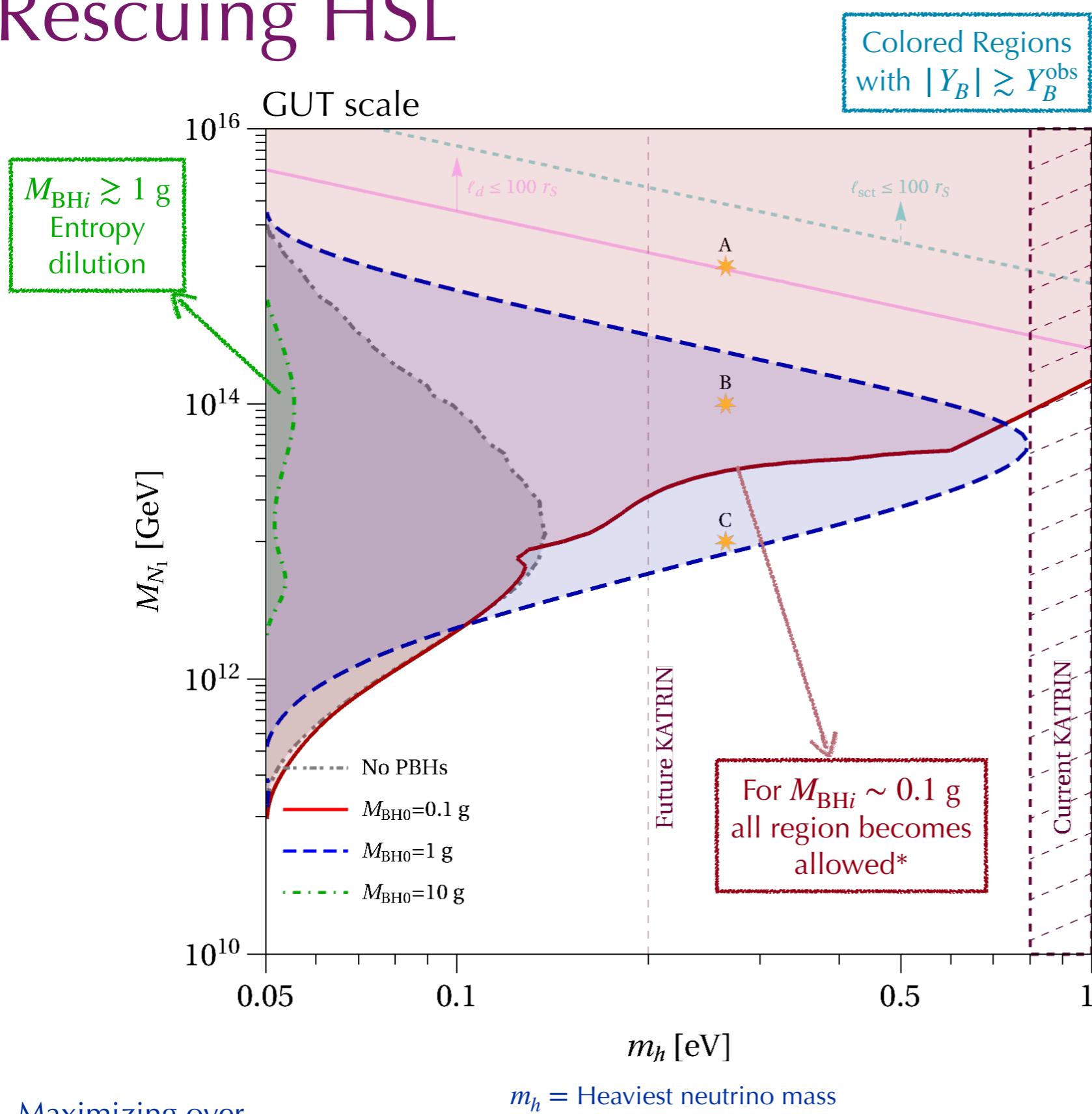
$$z_{\text{BH}} \equiv \frac{M_{N_1}}{T_{\text{BH}}}$$

# PBH + Leptogenesis

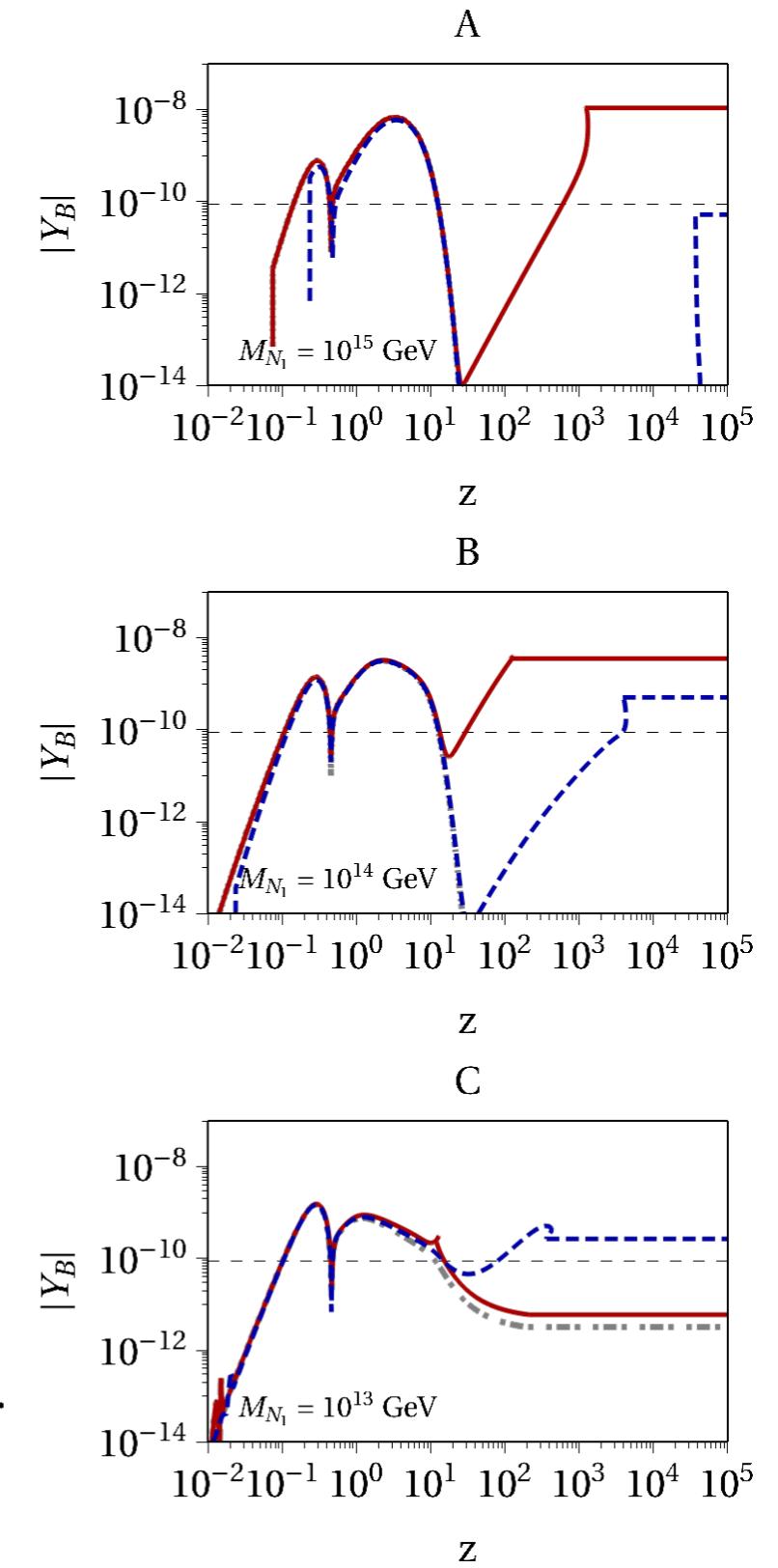
$$z_{\text{BH}} \equiv \frac{M_{N_1}}{T_{\text{BH}}}$$



# Rescuing HSL

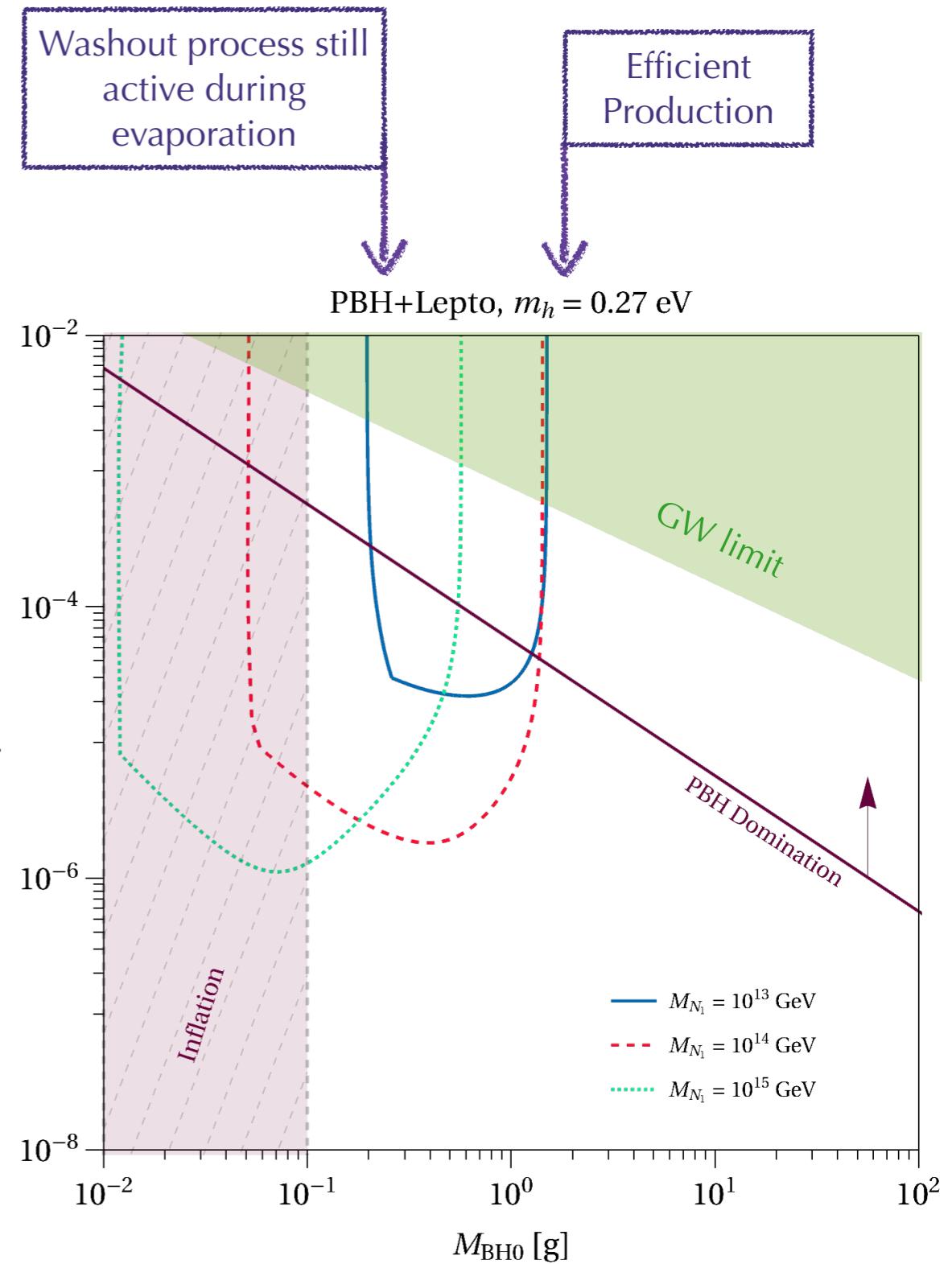
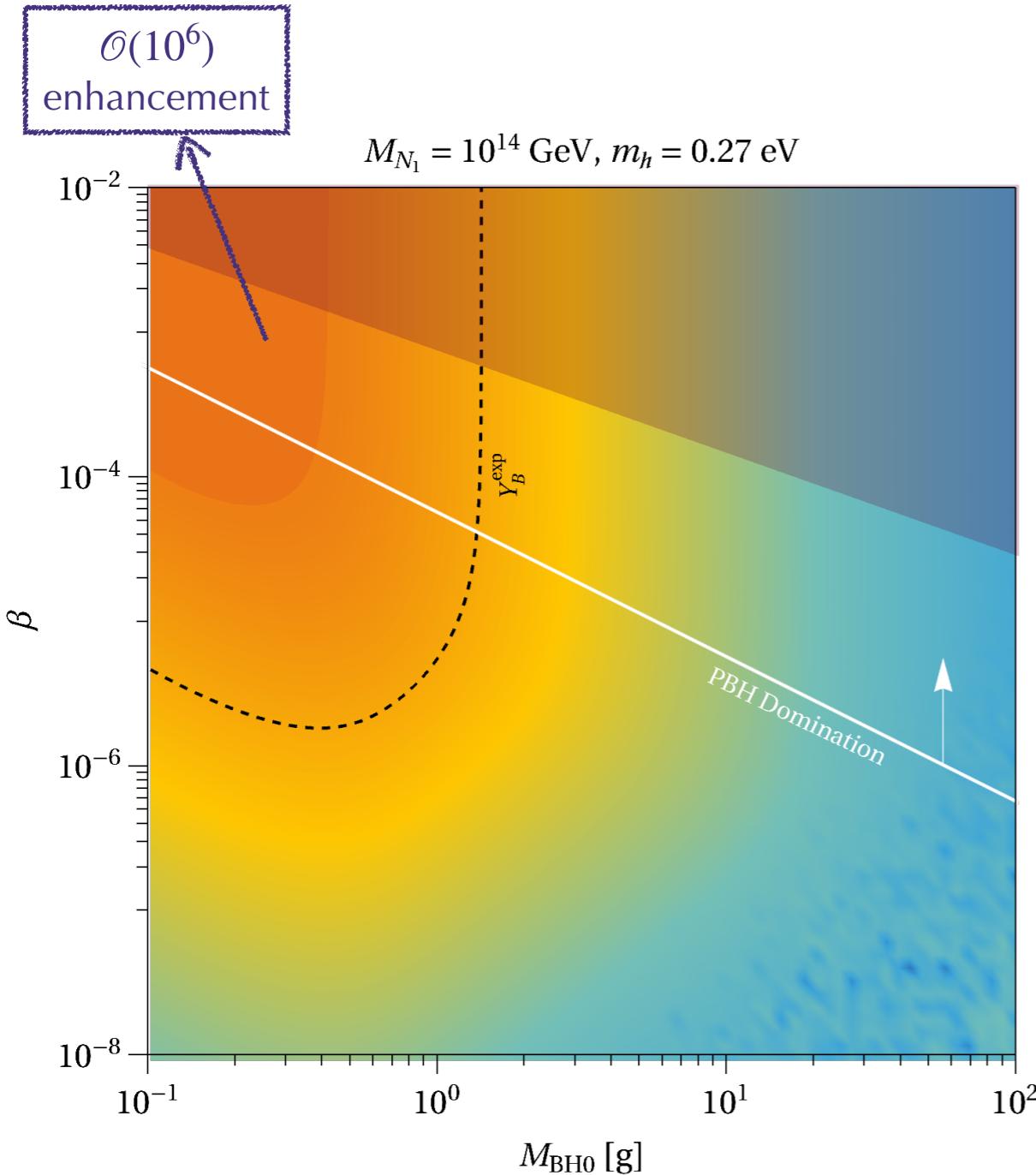


Maximizing over  
Yukawa parameters



\*Up to perturbativity

# Rescuing HSL



# Mass Distributions

Monochromatic approximation too approximated?

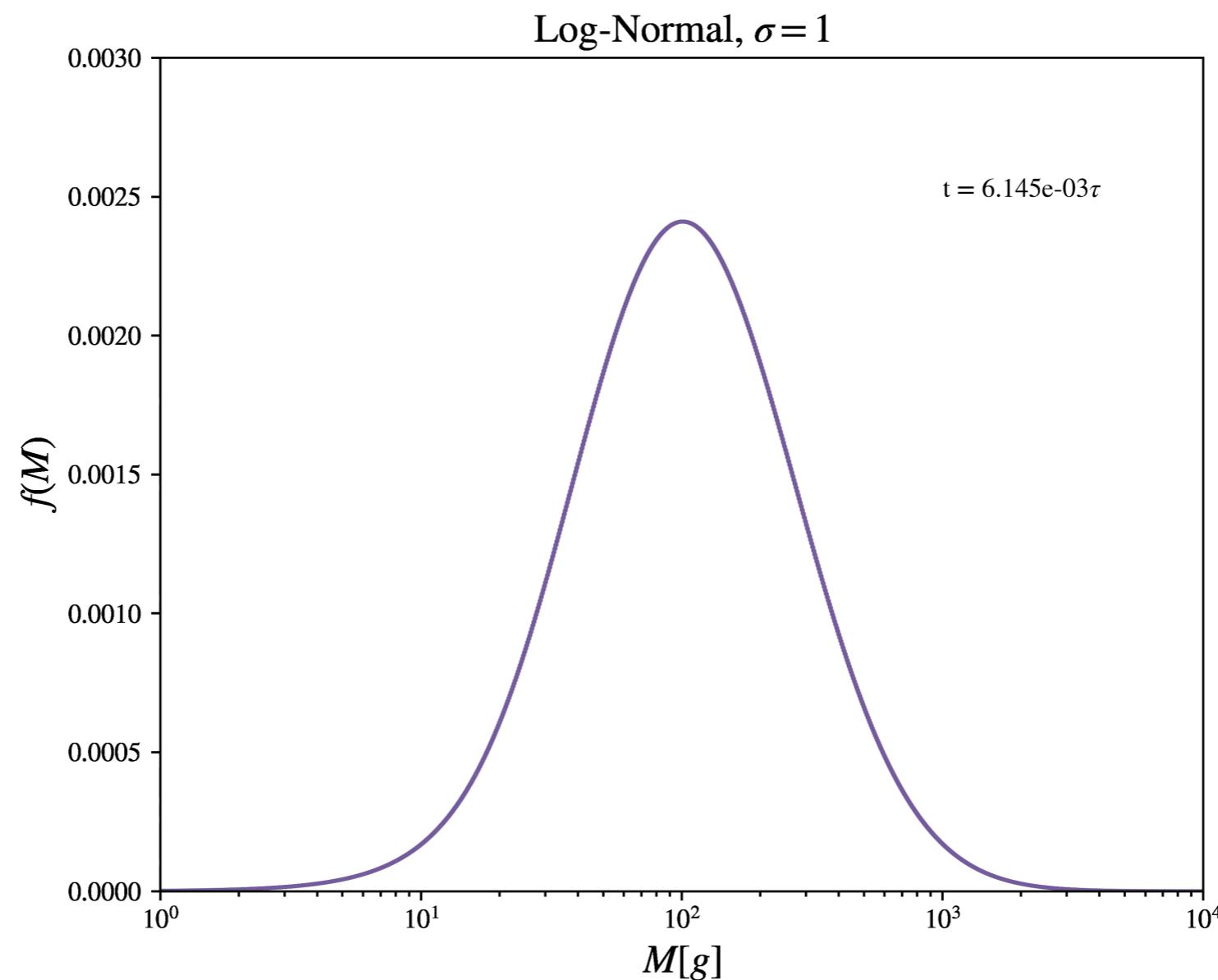
$$n_{\text{PBH}} = \int dM f(M) \quad f(M) = \frac{n_{\text{BH}}}{\sqrt{2\pi}\sigma M} \exp\left(-\frac{\log^2(M/M_c)}{2\sigma^2}\right)$$

Log-normal distribution

Dolgov, 93  
Green, 2016  
Kannike, 2017

Connection with different formation mechanisms?

Having PBHs with different masses could have a distinct impact on the previous results



# Mass Distributions

Dolgov, 93  
Green, 2016  
Kannike, 2017

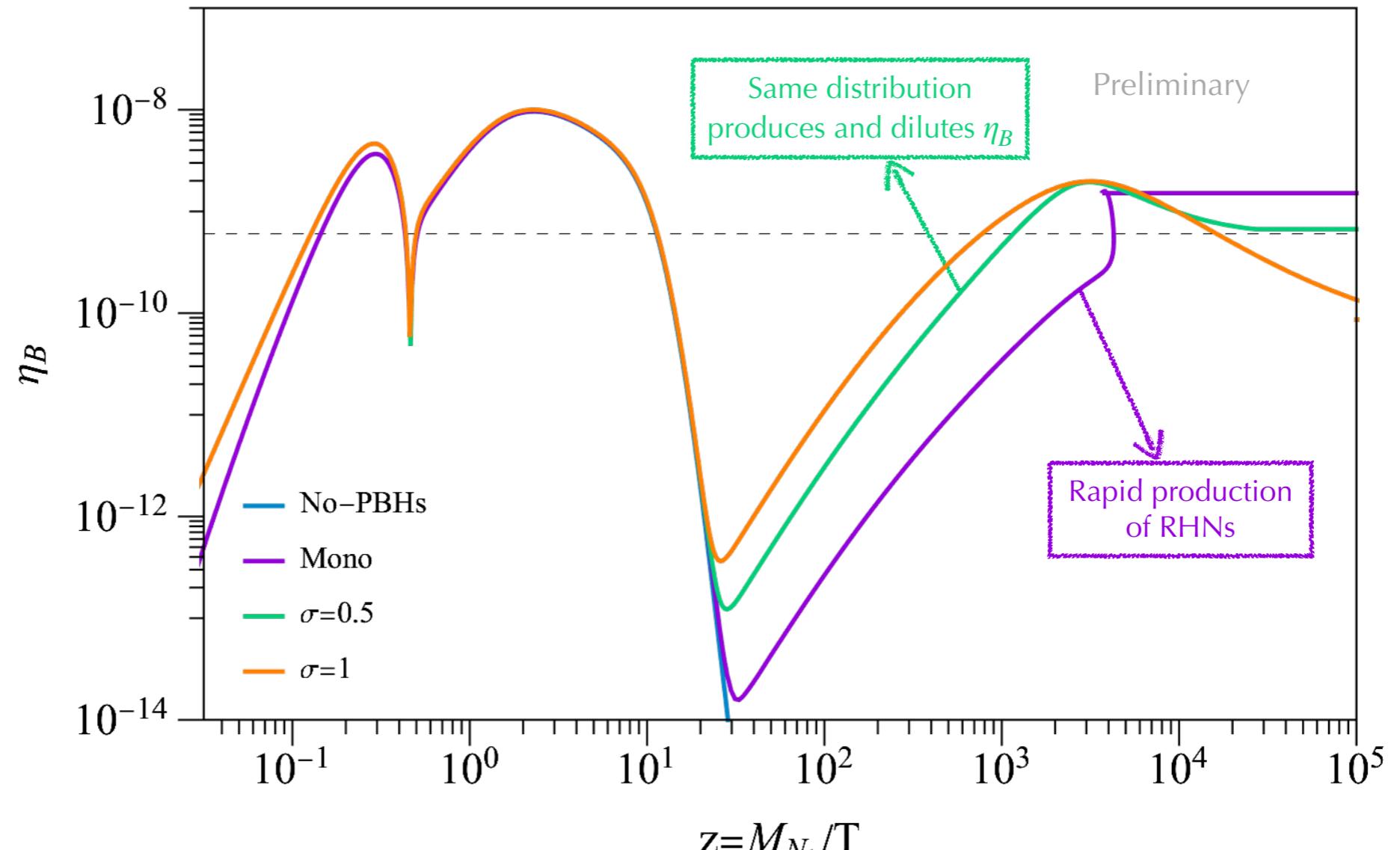
Monochromatic approximation too approximated?

$$n_{\text{PBH}} = \int dM f(M) \quad f(M) = \frac{n_{\text{BH}}}{\sqrt{2\pi}\sigma M} \exp\left(-\frac{\log^2(M/M_c)}{2\sigma^2}\right)$$

Log-normal distribution

$$M_c = 1 \text{ g}$$

Connection with different formation mechanisms?



Having PBHs with different masses could have a distinct impact on the previous results

# Why is interesting the particle production via evaporation?

DM production  
(Besides PBH-DM)

- ❖ Purely Gravitationally interacting DM
- ❖ Modify Freeze-In/Freeze-out mechanisms



Baryon Asymmetry

- ❖ Modifying Baryogenesis scenarios
- ❖ Leptogenesis scenarios
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Dark Radiation

- ❖ Production of hot gravitons
- ❖ Testable from future measurements on  $\Delta N_{\text{eff}}$

Fujita et al, 1401.1909  
Morrison et al, 1812.10606  
Baldes et al, 2004.14773  
Masina, 2004.04740, 2103.13825  
Cheek, Heurtier, YFPG, Turner  
2107.00013, 2107.00016,  
[2212.03878](#)  
:  
:

Baumann, Steinhadt, Turok, 0703250  
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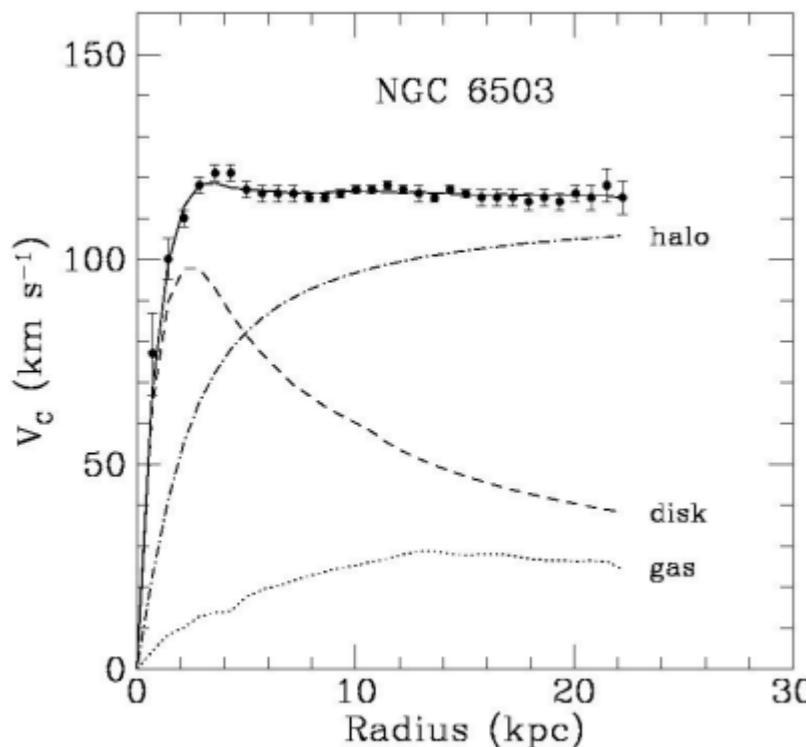
Hooper, Krnjaic, McDermott, 1905.01301  
Lunardini, YFPG, 1910.07864  
Masina, 2004.04740, 2103.13825  
Cheek, Heurtier, YFPG, Turner, [2207.09462](#)  
:  
:

# Second Act Dark Matter

Based on:  
Cheek, Heurtier, YFPG, Turner [2107.00013](#),  
[2107.00016](#), [2212.03878](#)  
Cheek, Heurtier, YFPG, Turner, [2207.09462](#)

# Only Gravitational Interacting DM

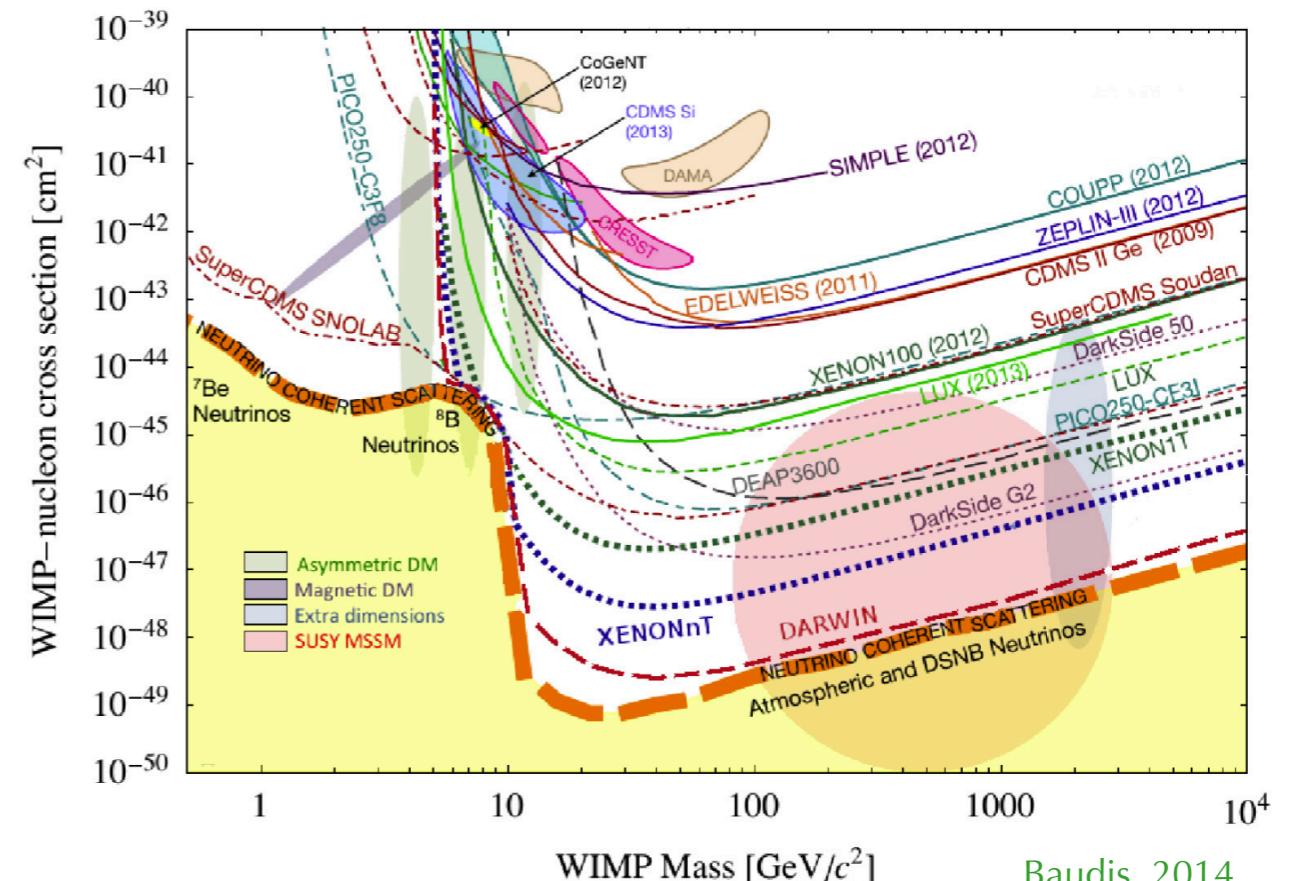
Plenty of evidence pointing to the existence of Dark Matter



Particle DM models **often** rely on BSM interactions to produce the relic abundance

Could the DM only interact gravitationally??

We're searching for it in terrestrial experiments



Baudis, 2014

How to produce it in the Early Universe?

PBHs!

Gondolo *et al.*, 2020  
Bernal *et al.*, 2020  
Baldes *et al.*, 2020

# Only Gravitational Interacting DM

Boltzmann  
Equations

Evaporation

$$\dot{n}_{\text{DM}} + 3Hn_{\text{DM}} = g_i \int_{\text{PBH}} \frac{\partial f_i}{\partial t} \Bigg|_{\text{PBH}} \frac{p^2 dp}{2\pi^2}$$

$$= n_{\text{PBH}} \Gamma_{\text{BH} \rightarrow i}(M_{\text{BH}})$$

$$\dot{\rho}_{\text{SM}} + 4H\rho_{\text{SM}} = - \frac{d \log M}{dt} \Bigg|_{\text{SM}} \rho_{\text{PBH}}$$

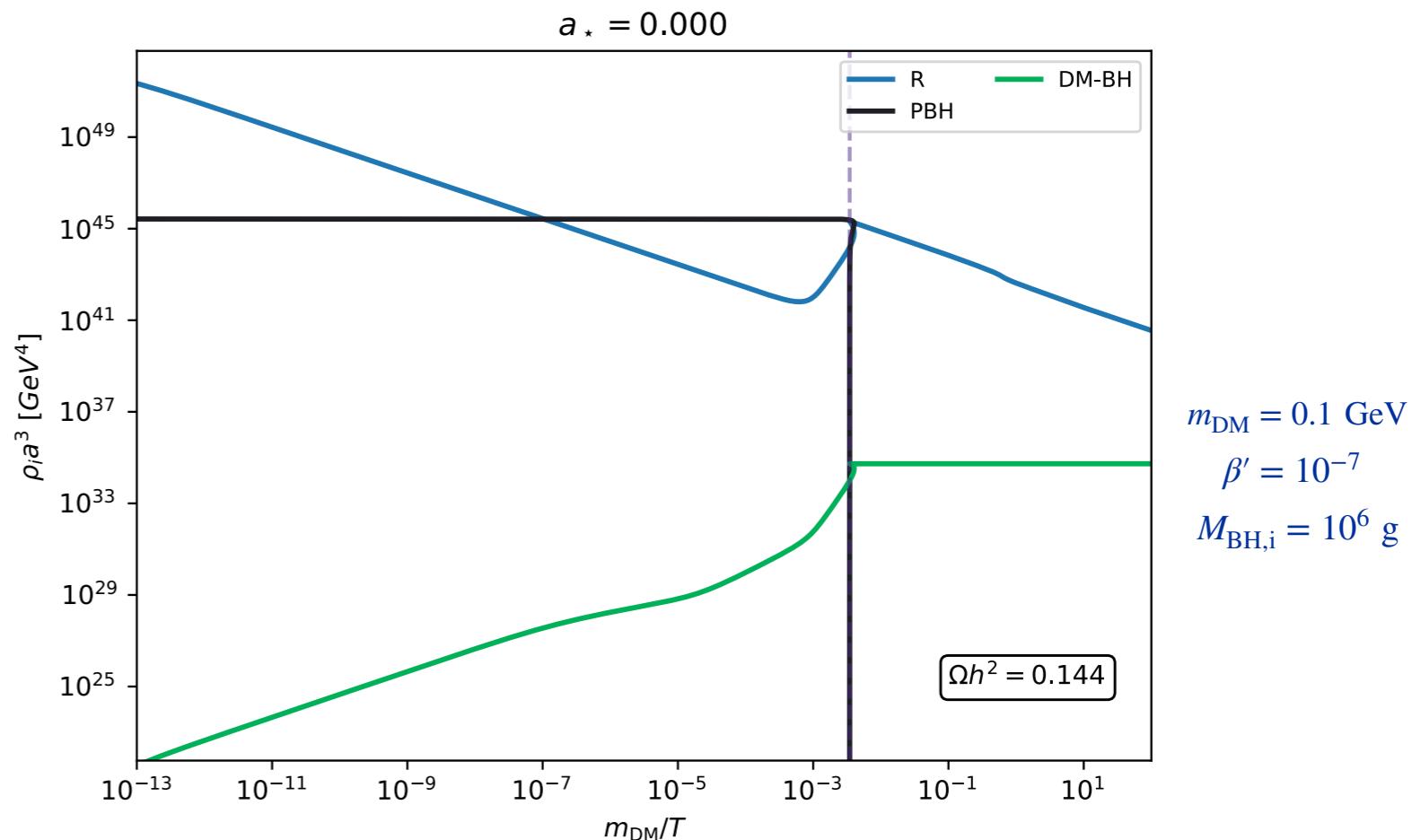
$$\dot{\rho}_{\text{PBH}} + 3H\rho_{\text{PBH}} = \frac{d \log M_{\text{BH}}}{dt} \rho_{\text{PBH}}$$

Particle emission rate

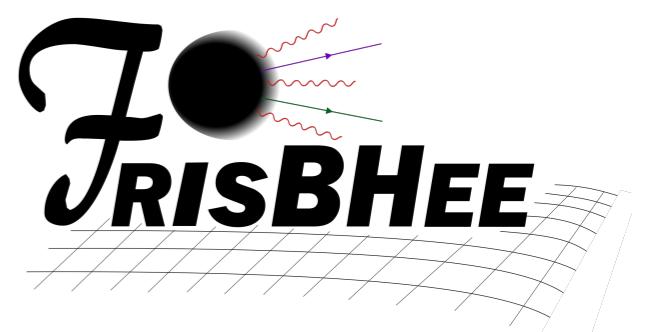
$$\Gamma_{\text{BH} \rightarrow i}(M_{\text{BH}}) = \int dp \frac{d^2 \mathcal{N}_i}{dp dt}$$

$$\frac{3H^2 M_p^2}{8\pi} = \rho_{\text{SM}} + \rho_{\text{DS}} + \rho_{\text{PBH}}$$

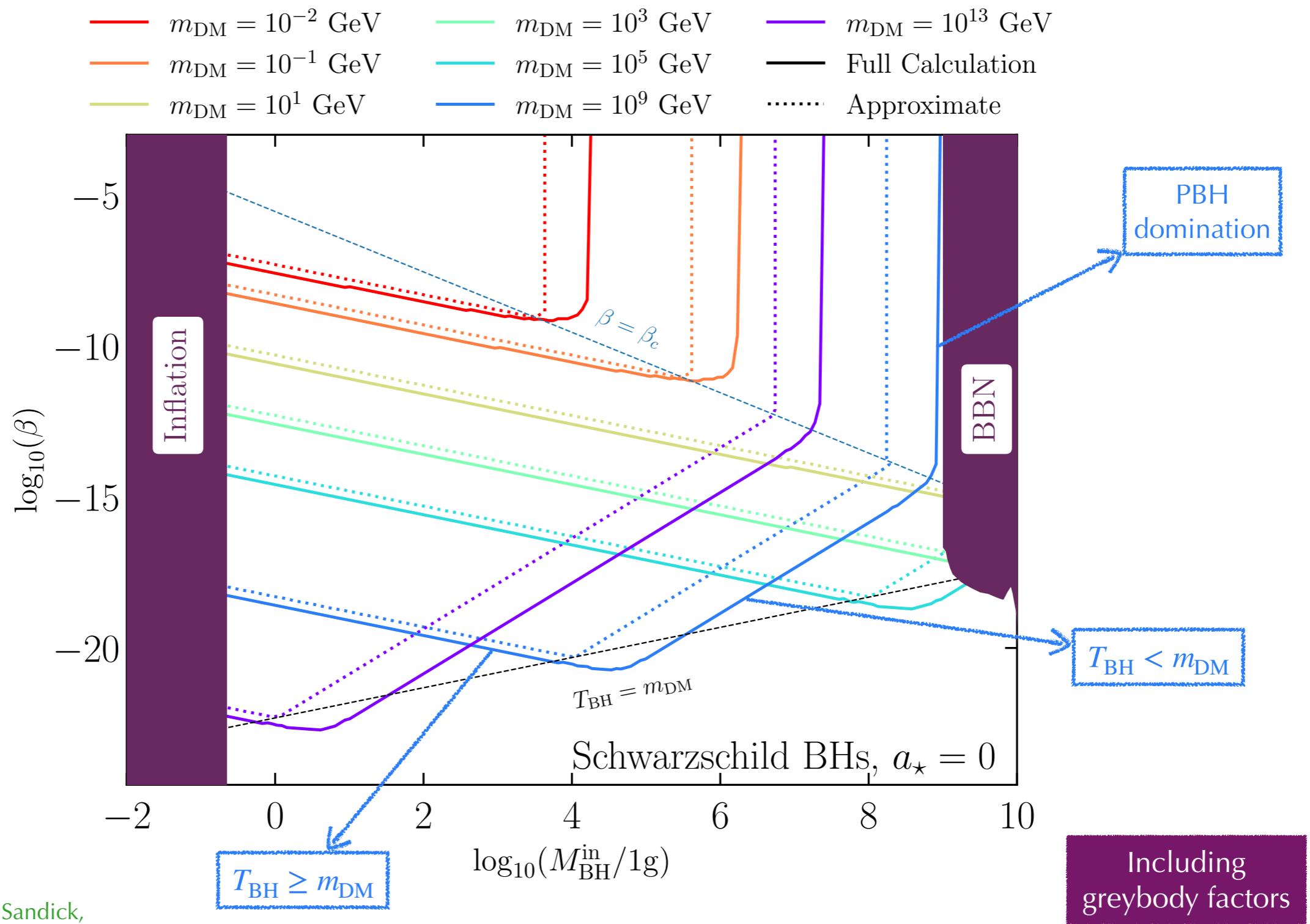
Consider equations for DM  
number densities



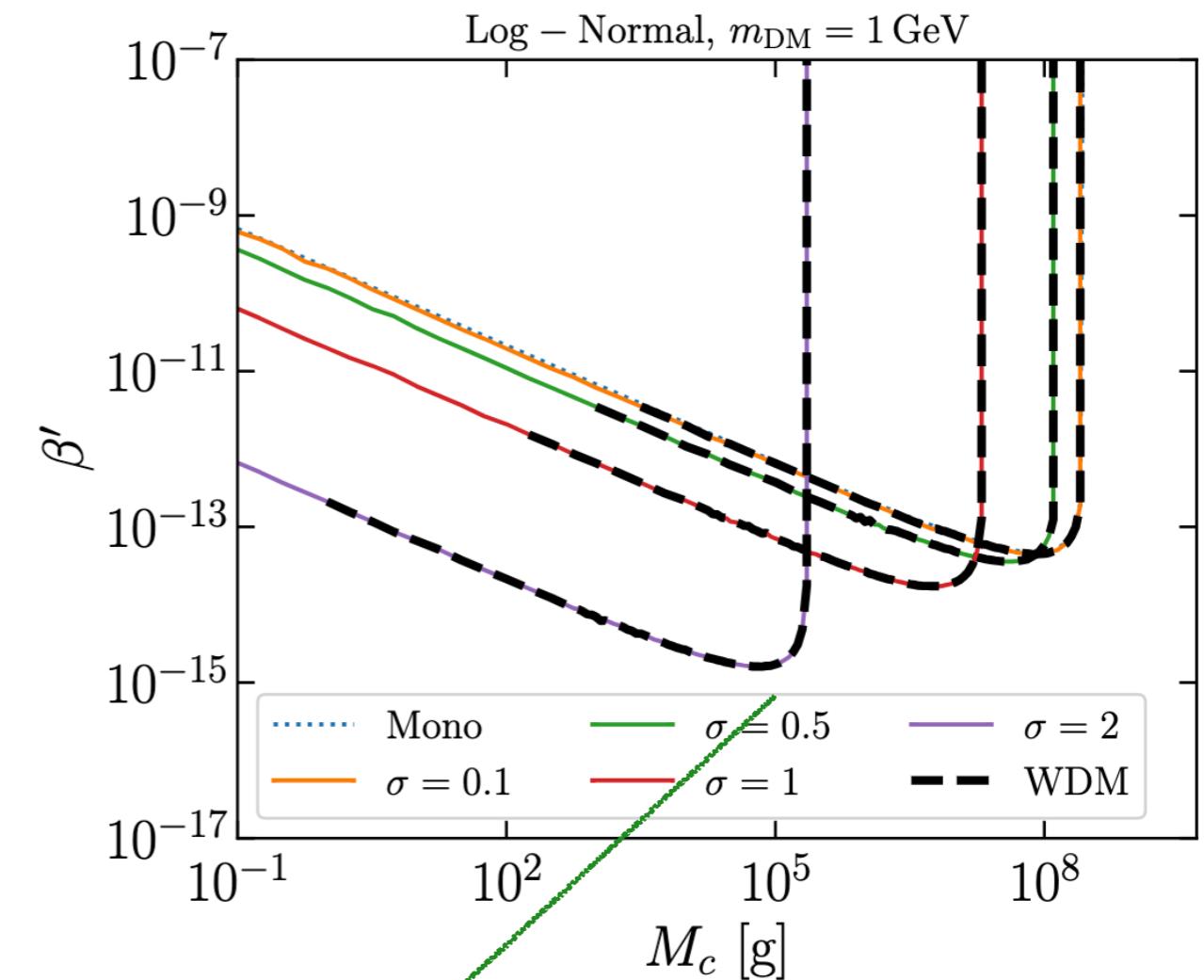
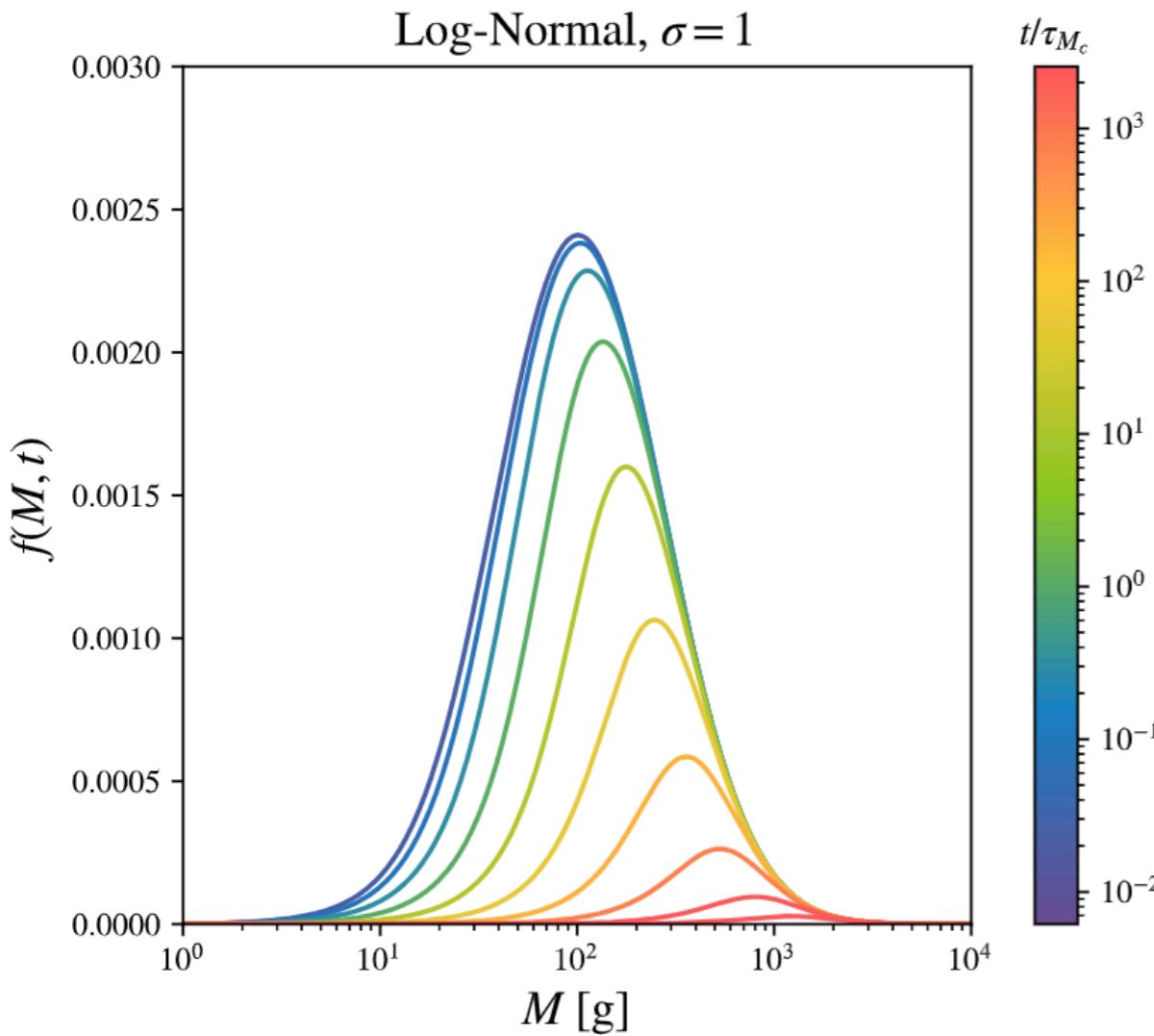
Our code is publicly  
available in



# Only Gravitational Interacting DM



# Mass distributions



$$N_{\text{DM}} \propto \begin{cases} \frac{M_{\text{BH}}^2}{M_p^2} & T_{\text{in}} > m_{\text{DM}} \\ \frac{M_p^2}{m_{\text{DM}}^2} & T_{\text{in}} < m_{\text{DM}} \end{cases}$$

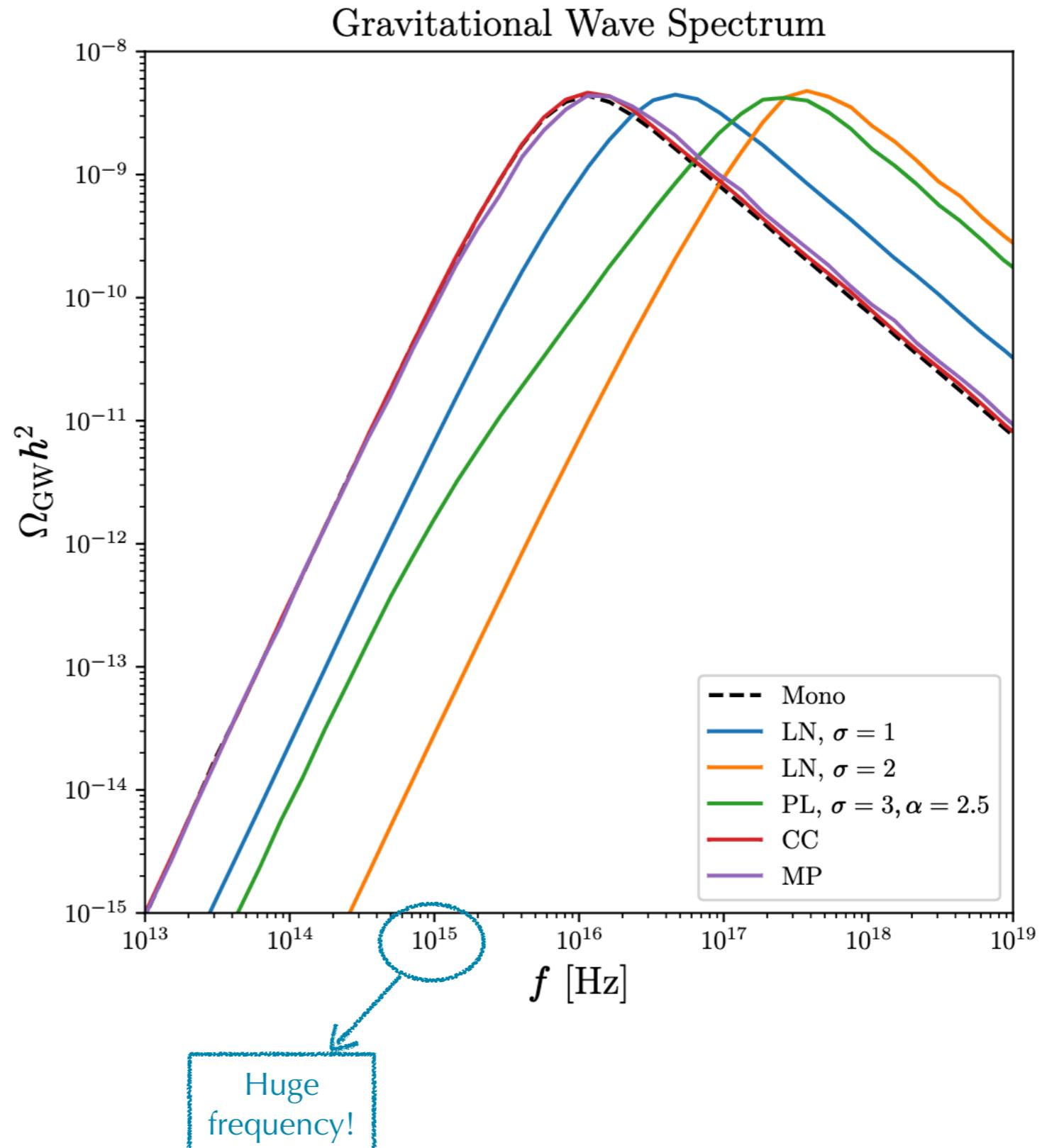
Heavier PBHs  
produce more  
light DM

# Testing these scenarios?

# Gravitational Waves?

How to prove that  
the early Universe  
had a PBH-  
dominated era?

Example: GW  
produced by the  
evaporation



Arvanitaki, Geraci, 2013

Ito et al, 2020

Chen et al, 2020

# Gravitational Waves?

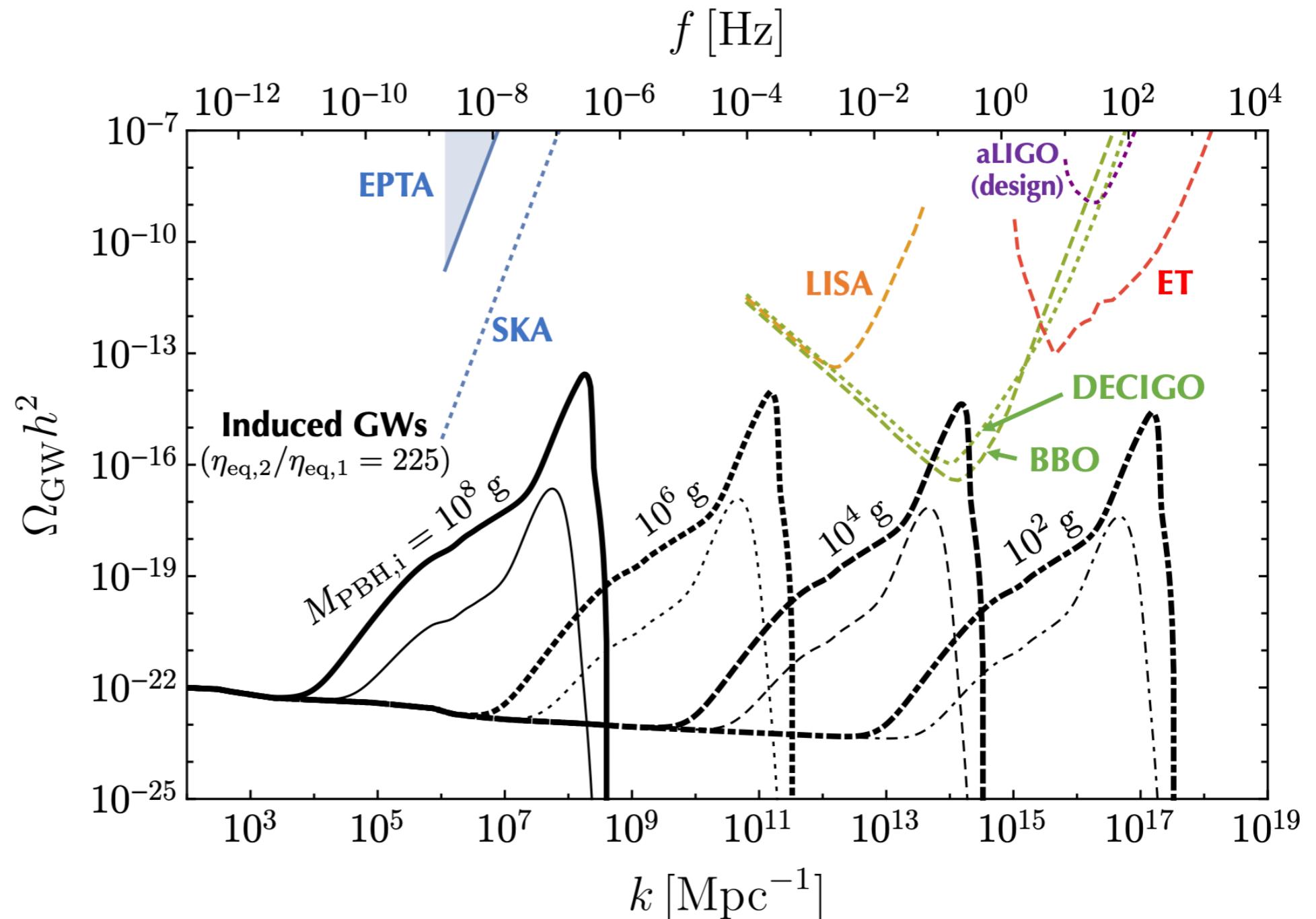
How to prove that  
the early Universe  
had a PBH-  
dominated era?

GWs are induced after  
the sudden transition  
from a matter to  
radiation dominated U

Inomata et al, 2003.10455

See also: 2205.06260

Poltergeist  
Mechanism

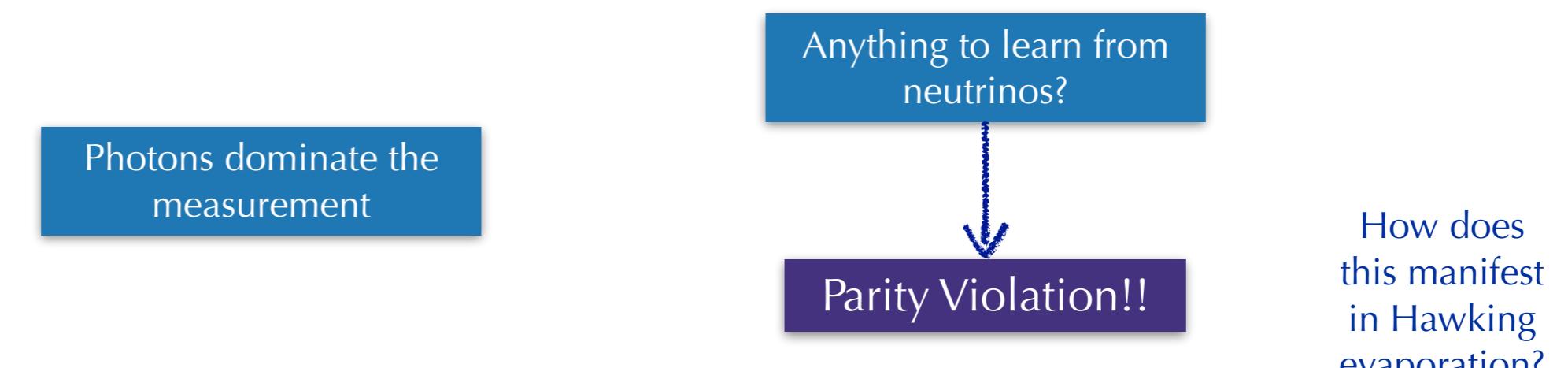


# Third Act: High energy Neutrinos and Evaporating PBHs

Based on:  
YFPG, 230X.XXXXX

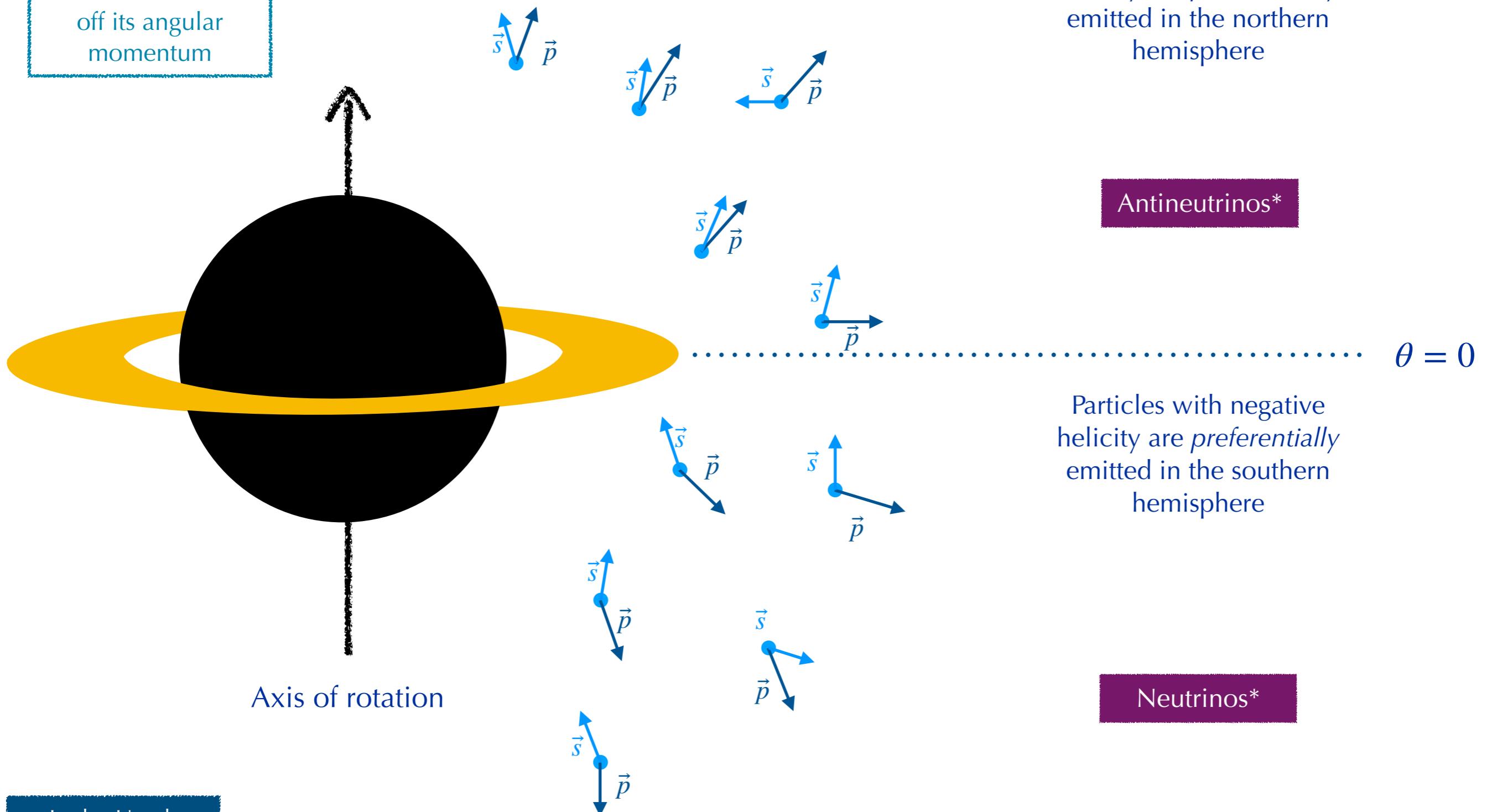
# Evaporating PBHs (EPBH)

- ✿ Perhaps some PBHs are evaporating today  $M_{\text{BH}}^{\text{in}} \sim 10^{15} \text{ g}$
- ✿ If this occurs close to Earth, we could see  $\gamma$ ,  $\nu$ 's,  $e^\pm$
- ✿ Test BSM??  
Baker, Thamm 2105.10506,  
[2210.02805](#)
- ✿ How to measure PBH properties during evaporation?  
Capanema et al, [2110.05637](#)  
Calzà, Rosa, [2210.06500](#)
- ✿ Kerr EPBHs → Incorrect assumptions on Hawking spectrum



# Neutrino Emission Asymmetry

BH “wants” to shed off its angular momentum



Particles with positive helicity are *preferentially* emitted in the northern hemisphere

Antineutrinos\*

Particles with negative helicity are *preferentially* emitted in the southern hemisphere

Neutrinos\*

Leahy, Unruh  
PRD 19(1979)3509

\*in the ultrarelativistic limit

# Neutrino Emission Asymmetry

BH "wants" to shed off its angular momentum

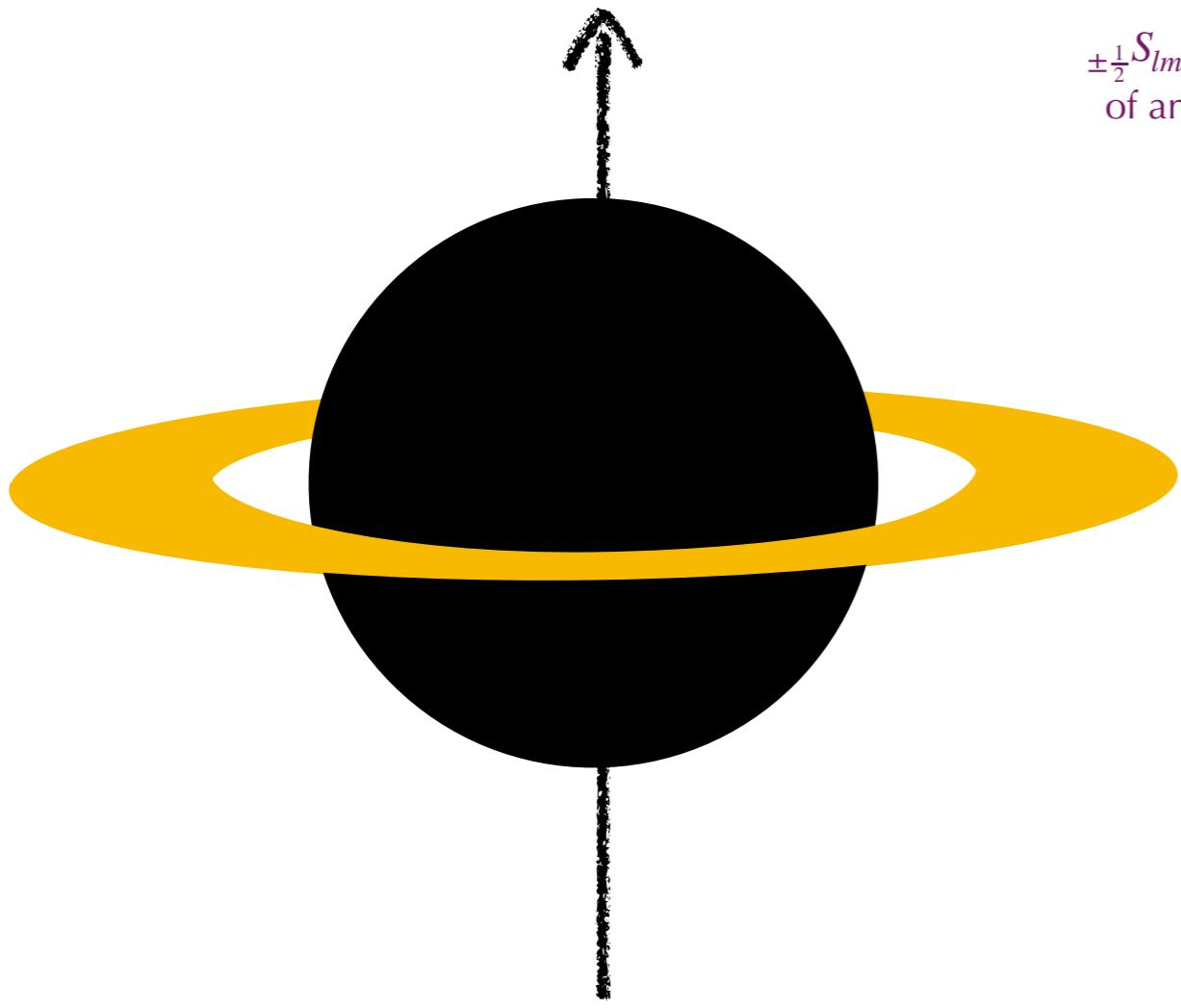
$$\mathcal{A} \equiv N_\nu - N_{\bar{\nu}}$$

$$\frac{d^3\mathcal{A}}{d\omega dt d\Omega} = \frac{1}{4\pi} \sum_{l=1/2} \sum_{m=-l}^l \frac{s\Gamma_{lm}}{\exp(\varpi/T) + 1} \left\{ \left| {}_{-\frac{1}{2}} S_{lm}(\theta) \right|^2 - \left| {}_{+\frac{1}{2}} S_{lm}(\theta) \right|^2 \right\}$$

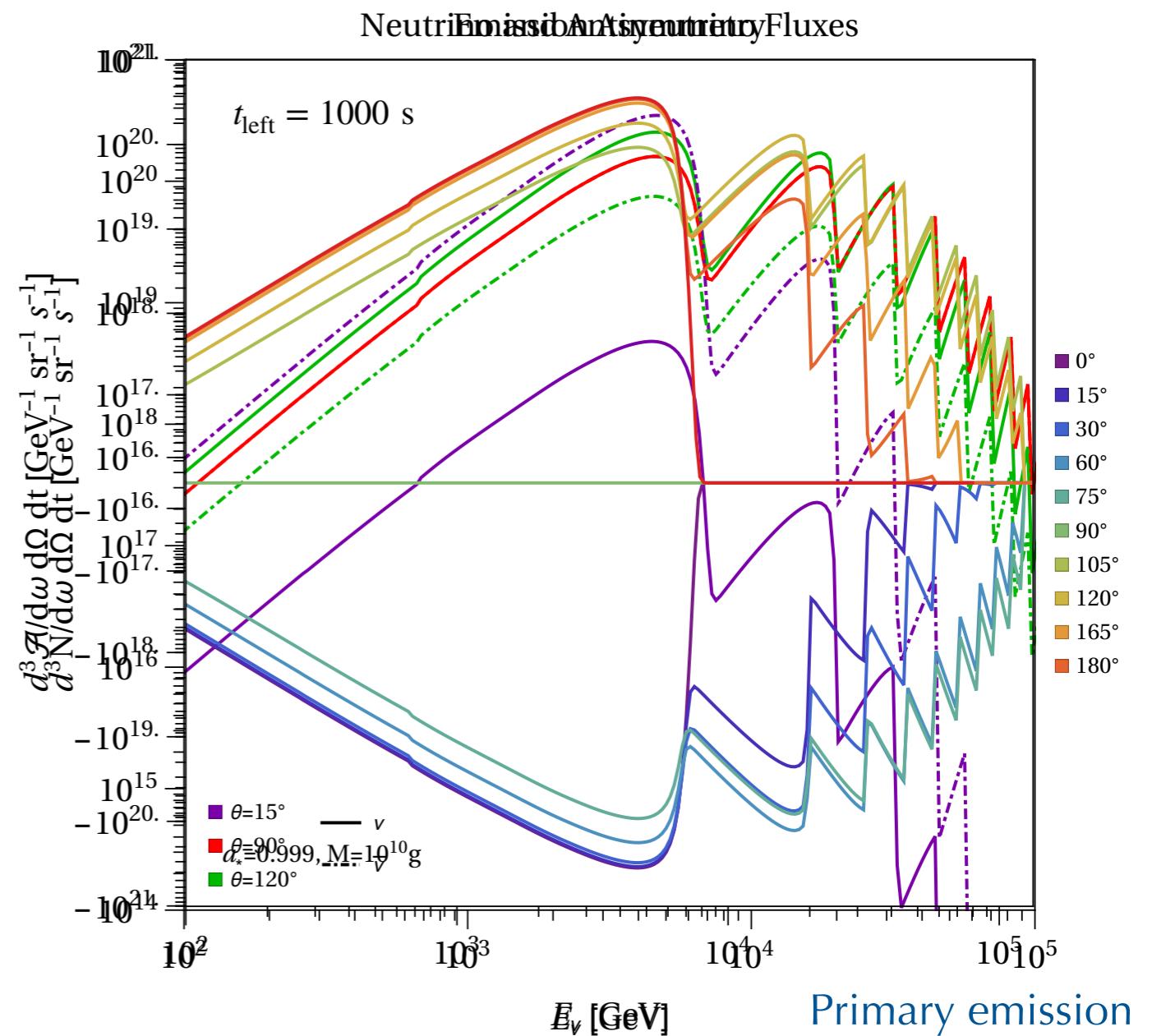
$\pm \frac{1}{2} S_{lm}(\theta)$  → solutions of angular equation

"Neutrinos"

"Antineutrinos"



Could neutrinos tell us the spin of a PBH?



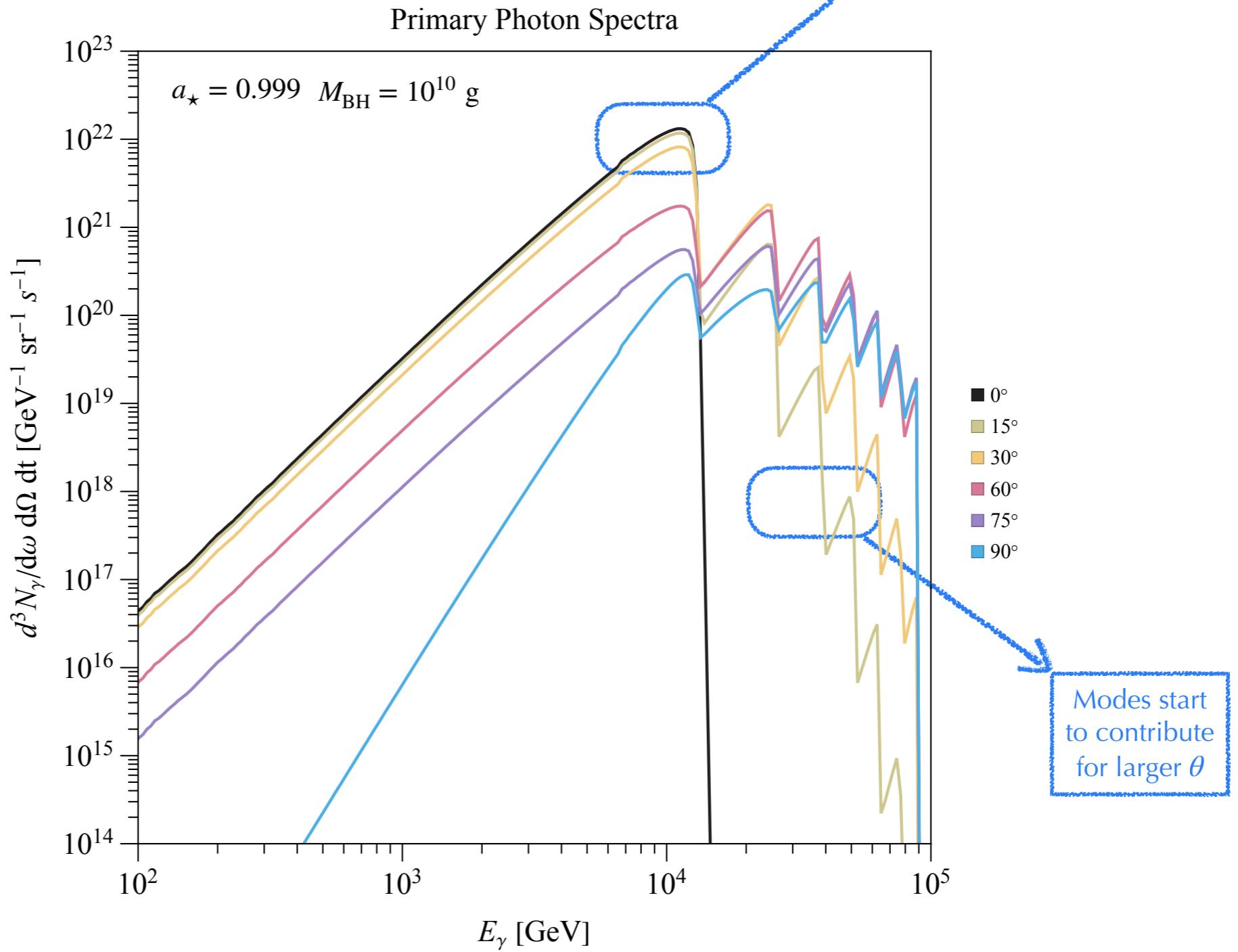
# Photons?

BH “wants” to shed off its angular momentum

Emission of higher spin particles is enhanced

In the poles only  $l = 1$  contribute

Also dependent on the polar angle

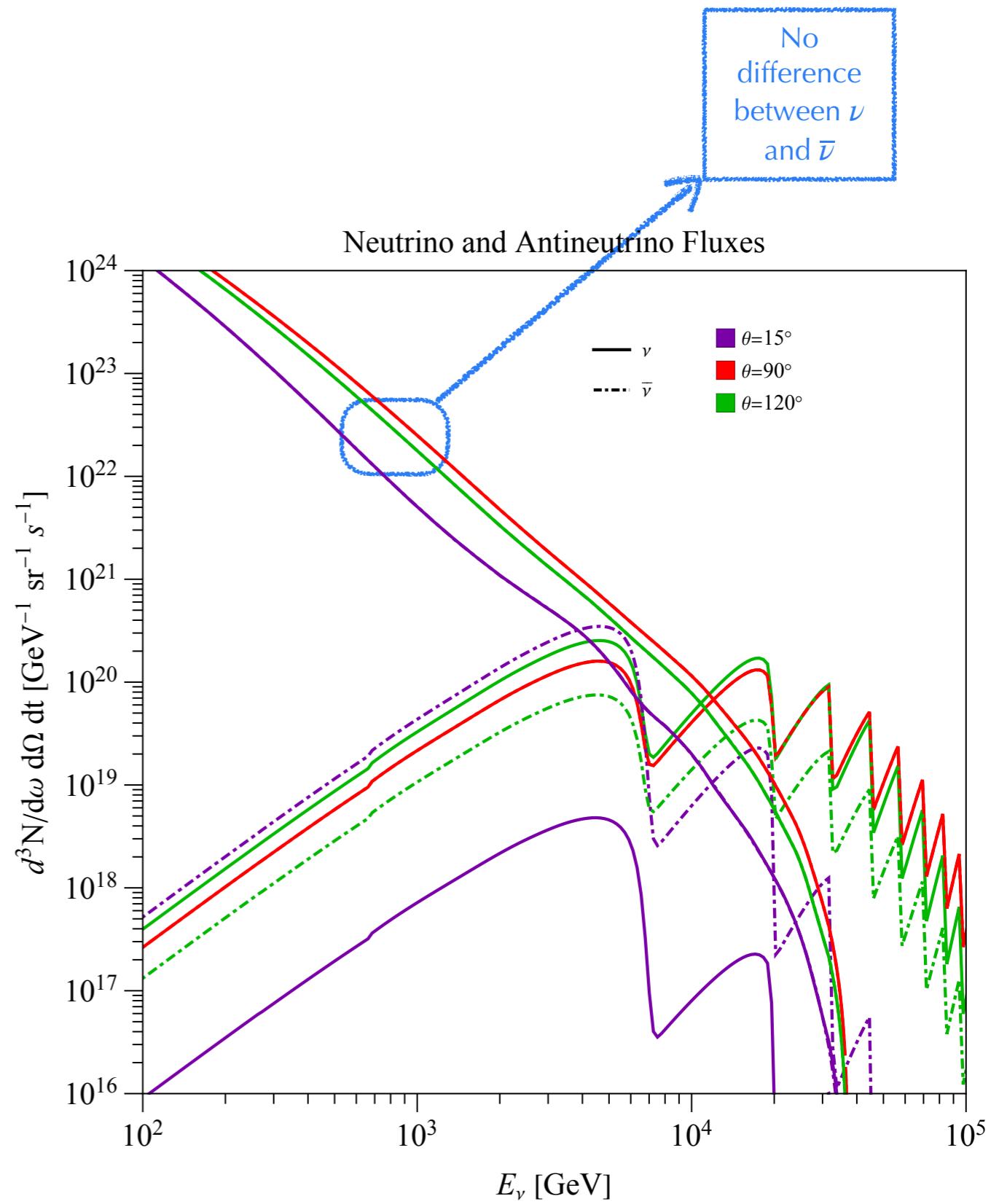
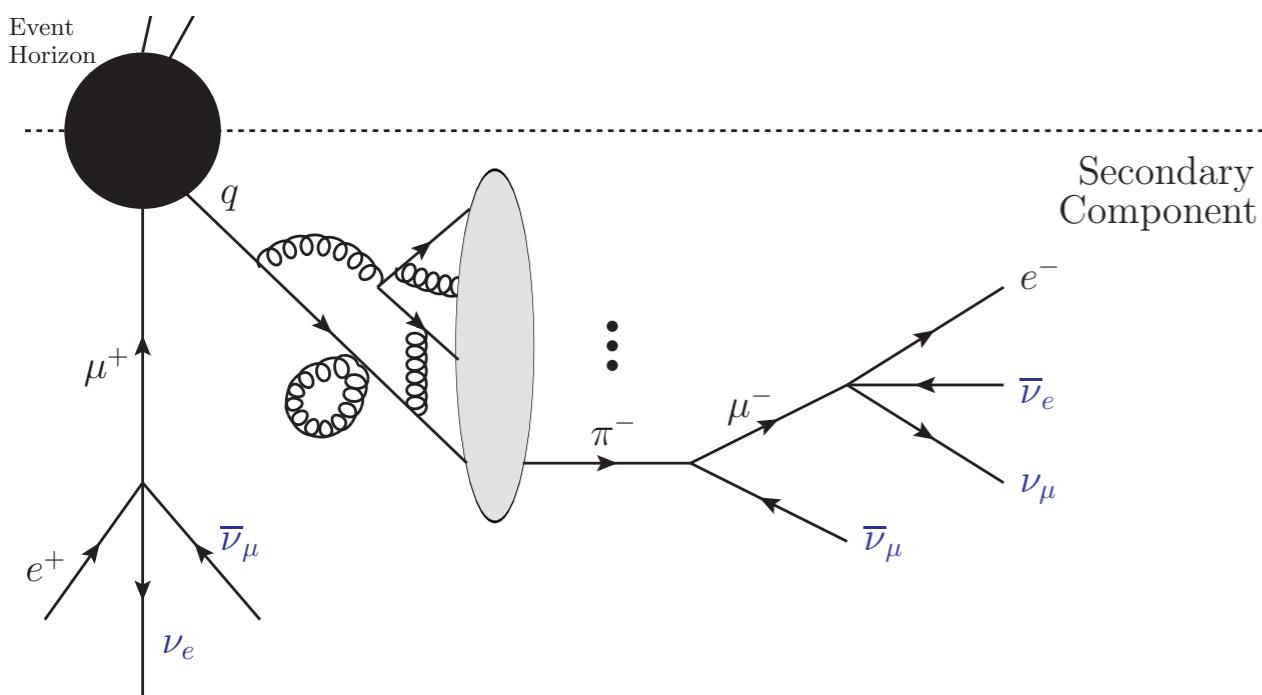


# Secondaries?

$$\frac{d^3N_{\nu(\gamma)}^{\text{sec}}}{d\omega dt d\Omega} = \int_0^\infty d\omega' \int d\Omega' \sum_i \frac{d^3N_i}{d\omega' dt d\Omega'} \frac{d^2n_{i \rightarrow \nu(\gamma)}}{d\omega d\Omega}$$

$\frac{d^2n_{i \rightarrow \nu(\gamma)}}{d\omega d\Omega}$  → energy and angular distribution of neutrinos/photons

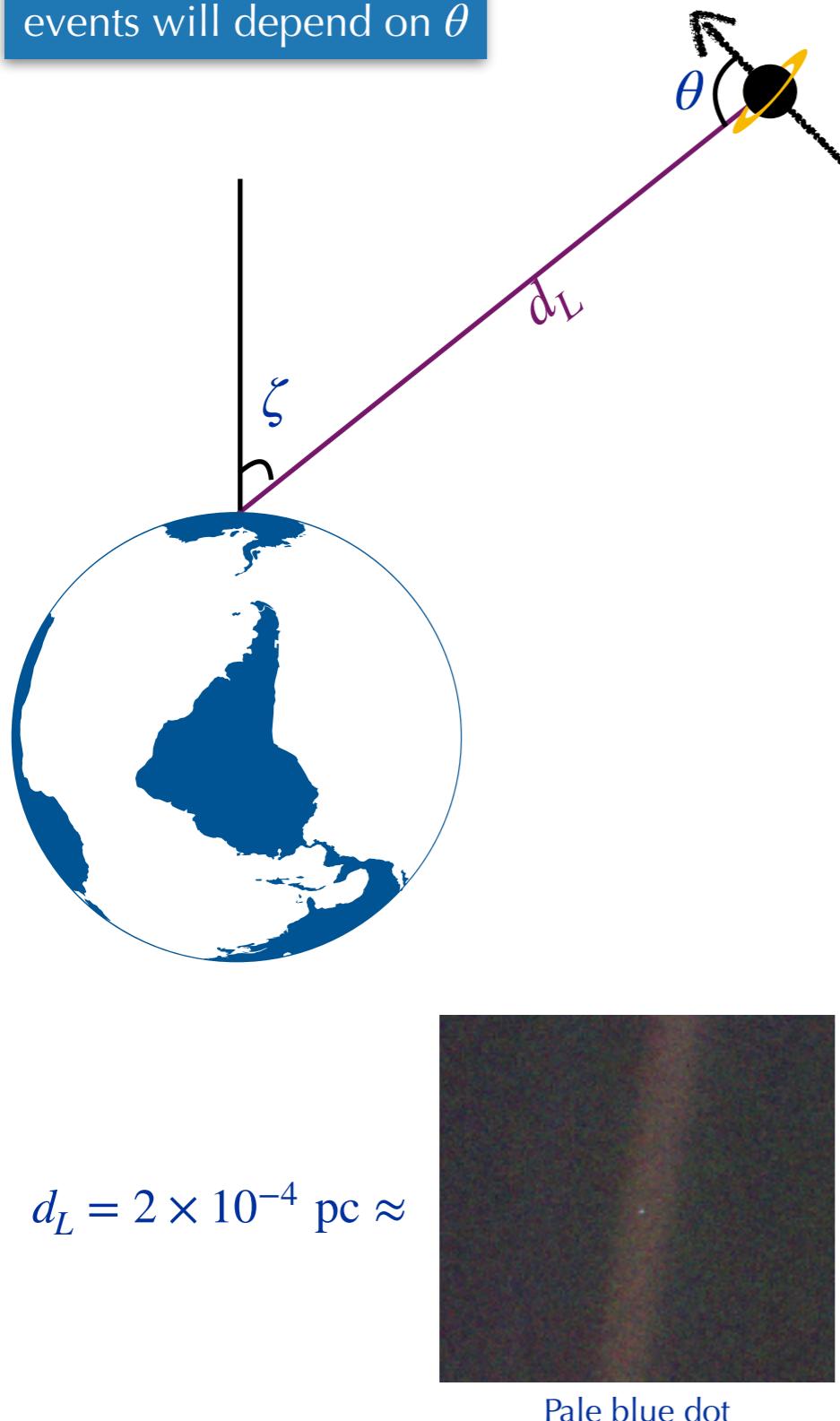
→ Pythia



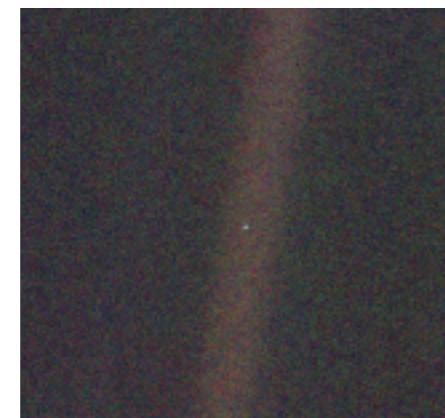
# Determining the angular momentum

Previous works  
ignored the  
dependence on  $\theta$

Neutrino - antineutrino  
events will depend on  $\theta$



$$d_L = 2 \times 10^{-4} \text{ pc} \approx$$



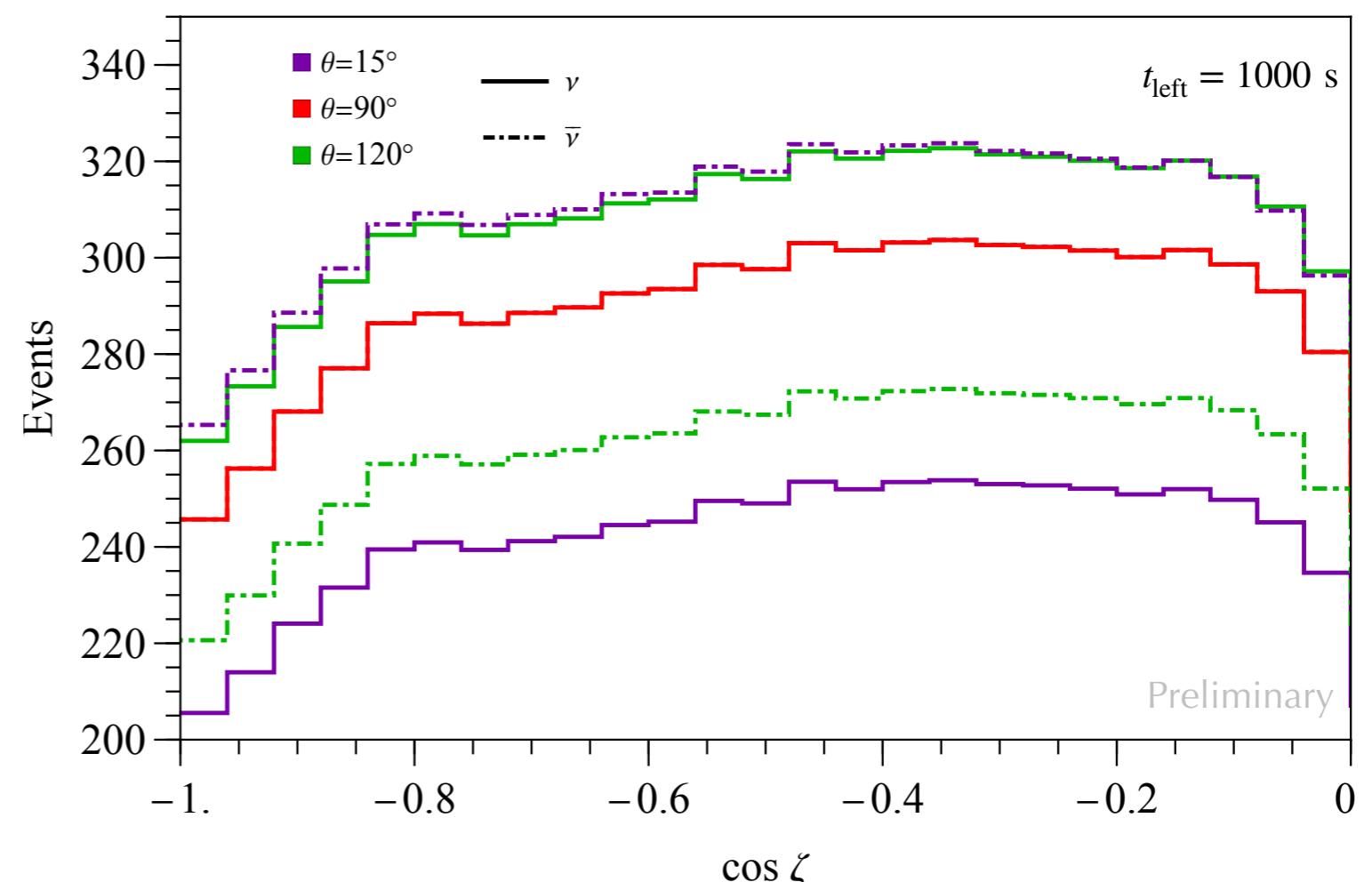
Pale blue dot

$$N_X(\theta) = \frac{1}{d_L^2} \int_{\omega_{\min}}^{\omega_{\max}} \int_0^\tau dt \frac{d^3 N_X}{d\omega dt d\Omega} A_{\text{eff}}(\omega, \zeta) d\omega$$

IceCube/HAWK  
effective area

$X = \nu_\mu, \bar{\nu}_\mu, \gamma$

$$a_* = 0.999, d_L = 2 \times 10^{-4} \text{ pc}$$



# Conclusions

- ❖ PBH evaporation offers a unique mechanism to produce particles in the Early Universe
- ❖ The effects are threefold:
  - Universal particle emission
  - Modifying the Cosmological Background
  - Entropy dilution
- ❖ We explored the effects on leptogenesis assuming the existence of a PBH population
- ❖ Future directions:
  - Relating to “more realistic” PBH formation mechanisms (connected to PBH-DM?)
  - Low scale leptogenesis? Sphalerons around PBHs after EWPT?
  - Kerr PBH → Additional interesting properties!
  - Anything else to learn by measuring neutrinos — antineutrinos in IC for and EPBH?

# Thank you!

