

# *Primordial Black Holes*



*as*

# *Dark Matter*

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**Florian Kühnel**

work in particular with

Bernard Carr

Katherine Freese

Pavel Naselsky

Tommy Ohlsson

Glenn Starkman

Talk at *Particle and Astroparticle Theory Seminar*

Max Planck Institute for Nuclear Physics

Heidelberg, November 20th, 2017

★ Black-hole (BH) formation for  $R < R_S$ .

★ Astrophysical: From  $10^9 M_\odot$  down to  $M_\odot$  but **not lower**.

★ Have a look at the density  $\rho_S = 10^{18} \left( \frac{M}{M_\odot} \right)^{-2} \frac{\text{g}}{\text{cm}^3}$

→ To form smaller black holes we need higher density

→ Compare to **cosmological density**  $\rho_C = 10^6 \left( \frac{t}{\text{s}} \right)^{-2} \frac{\text{g}}{\text{cm}^3}$

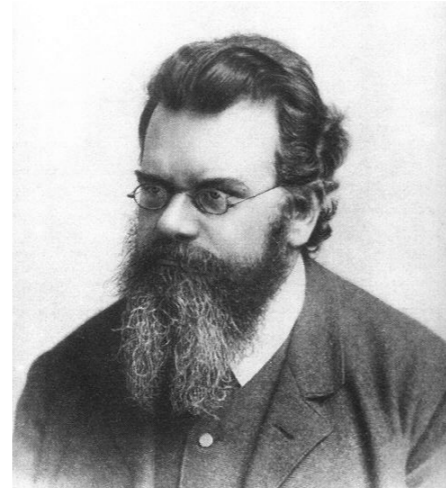
→ Formation at early times; **primordial black holes (PBHs)**

★ Masses of primordial black holes:

$$M(t = 10^{-23} \text{ s}) = 10^{15} \text{ g}, \quad M(t = 10^{-6} \text{ s}) = M_\odot$$



Thermodynamics



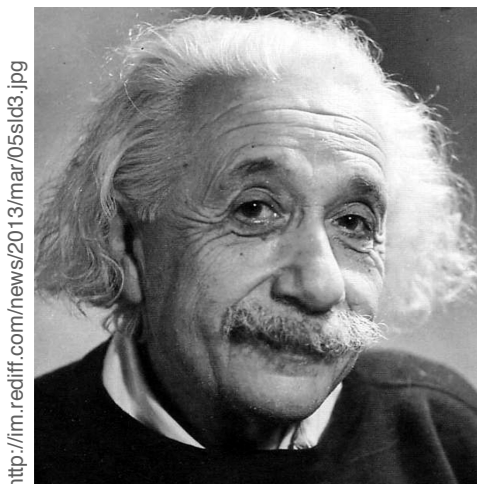
## ★ Black-hole radiation

[Hawking 1974]

$$t_{\text{evaporation}} [\text{s}] = 10^{71} \left( \frac{M}{M_{\odot}} \right)^3$$

Quantum Mechanics

$$T_{BH} [\text{K}] = 10^{-7} \frac{M_{\odot}}{M}$$



General Relativity

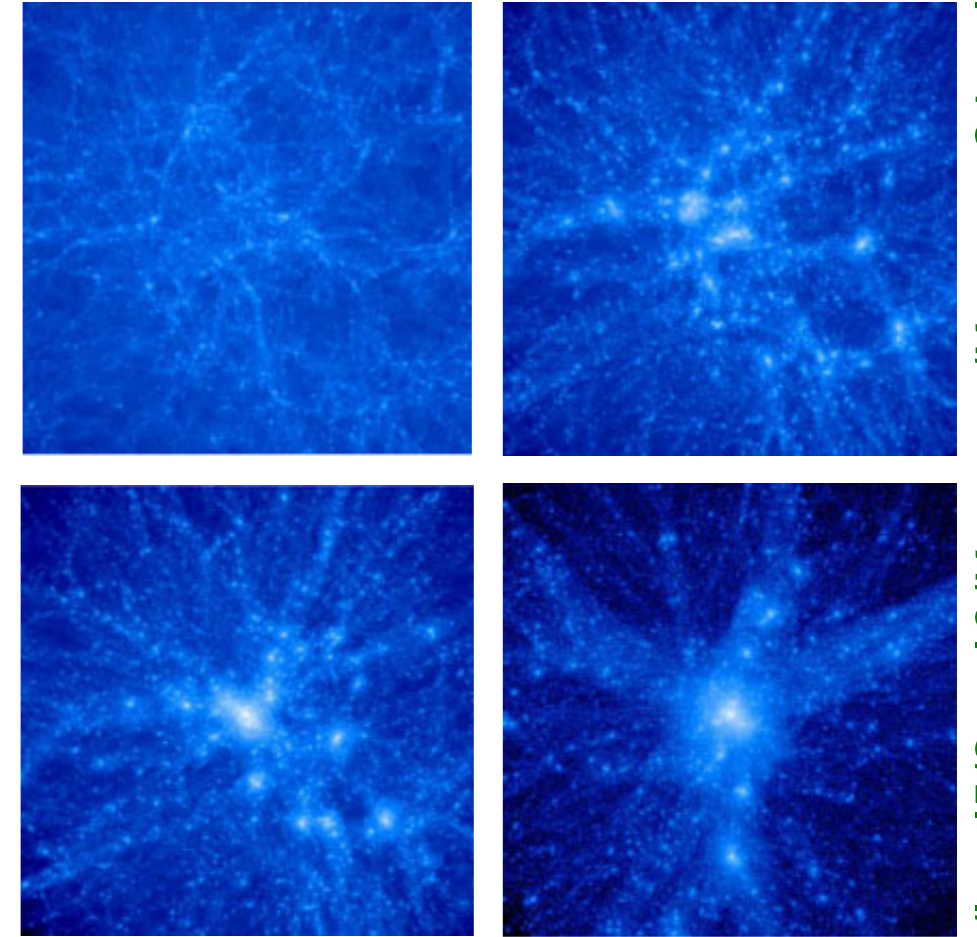


$$\frac{dM}{dt} \sim -M^{-2}$$

# PBH Formation Mechanisms

★ **Formation** of primordial black holes by

- ★ Cosmic string loops
- ★ Bubble collisions
- ★ Pressure reduction
- ★ Large density perturbations



➔ Simple estimate:

[Carr 1975]

$$R > R_J$$

$\Rightarrow$

$$\delta_H > w$$

, for  $p = w \rho$

scale of the over density

Jeans length

# PBH — Probes of Scales

★ Probe a huge range of scales:

$M \sim 10^{-5} \text{g}$  **Quantum Gravity:**

Planck relics, Extra dimensions and higher-dimensional black holes, ...

$M \lesssim 10^{15} \text{g}$  **Early Universe:**

Baryogenesis, Nucleosynthesis, Reionisation, ...

$M \sim 10^{15} \text{g}$  **High-Energy Physics:**

Cosmological and galactic gamma-rays, ...

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$M \gtrsim 10^{15} \text{g}$  **Gravity:**

Critical phenomena,  
Cold dark matter,  
Dynamical effects, Lensing effects,  
Gravitational waves,  
Black holes in galactic nuclei, ...

# PBH — Some Numbers

★ Consider an **example** of primordial black holes constituting all of the **dark matter**:

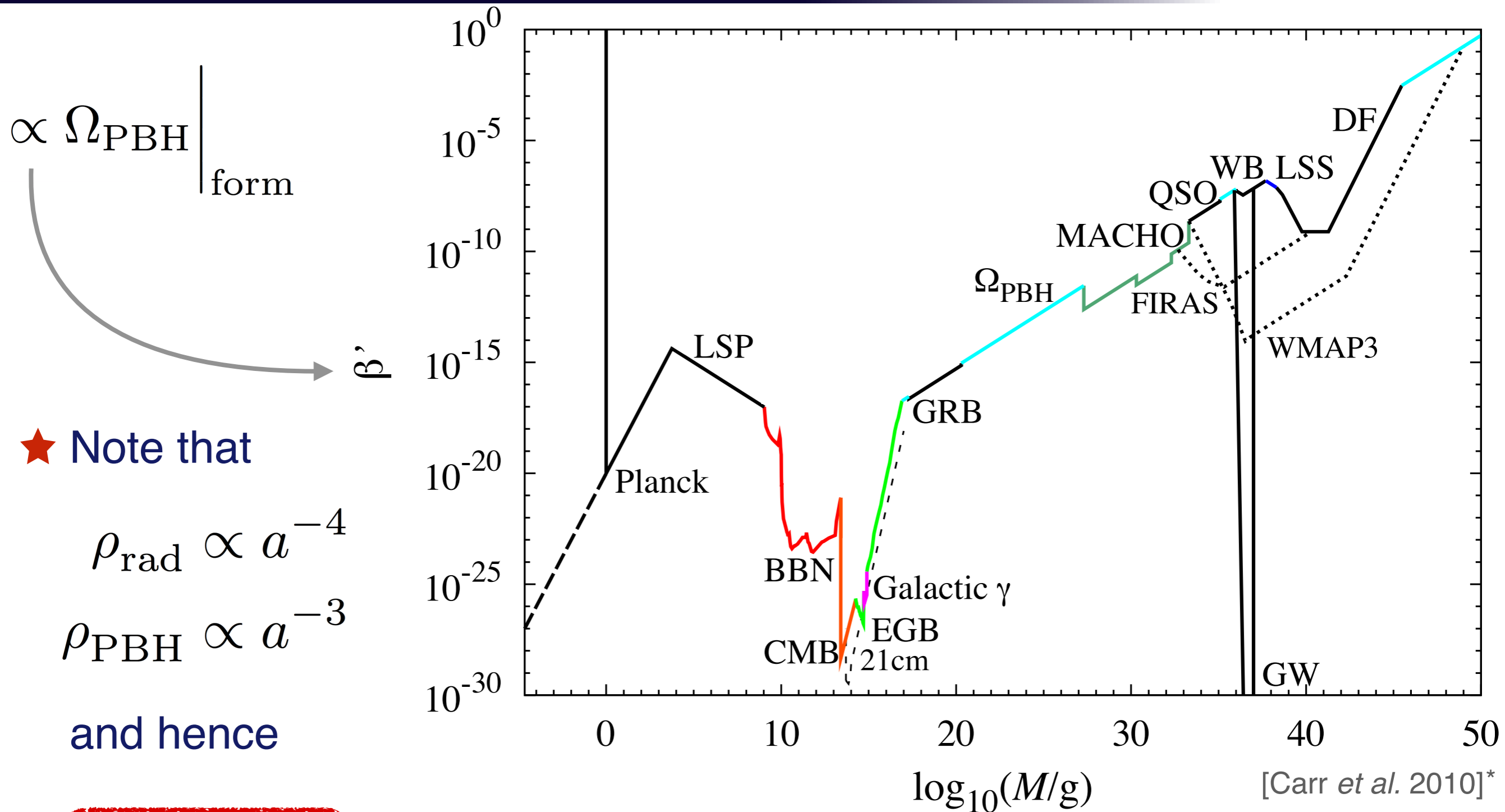
★ Mass range:  $10^{20}$  g

★ Size:  $10^{-8}$  cm

★ Number in our Galaxy:  $10^{25}$

★ Distance: 10 AU

# PBH Constraints at Formation



\*(more recent) constraints  
 for the presently allowed  
 dark-matter fraction later...

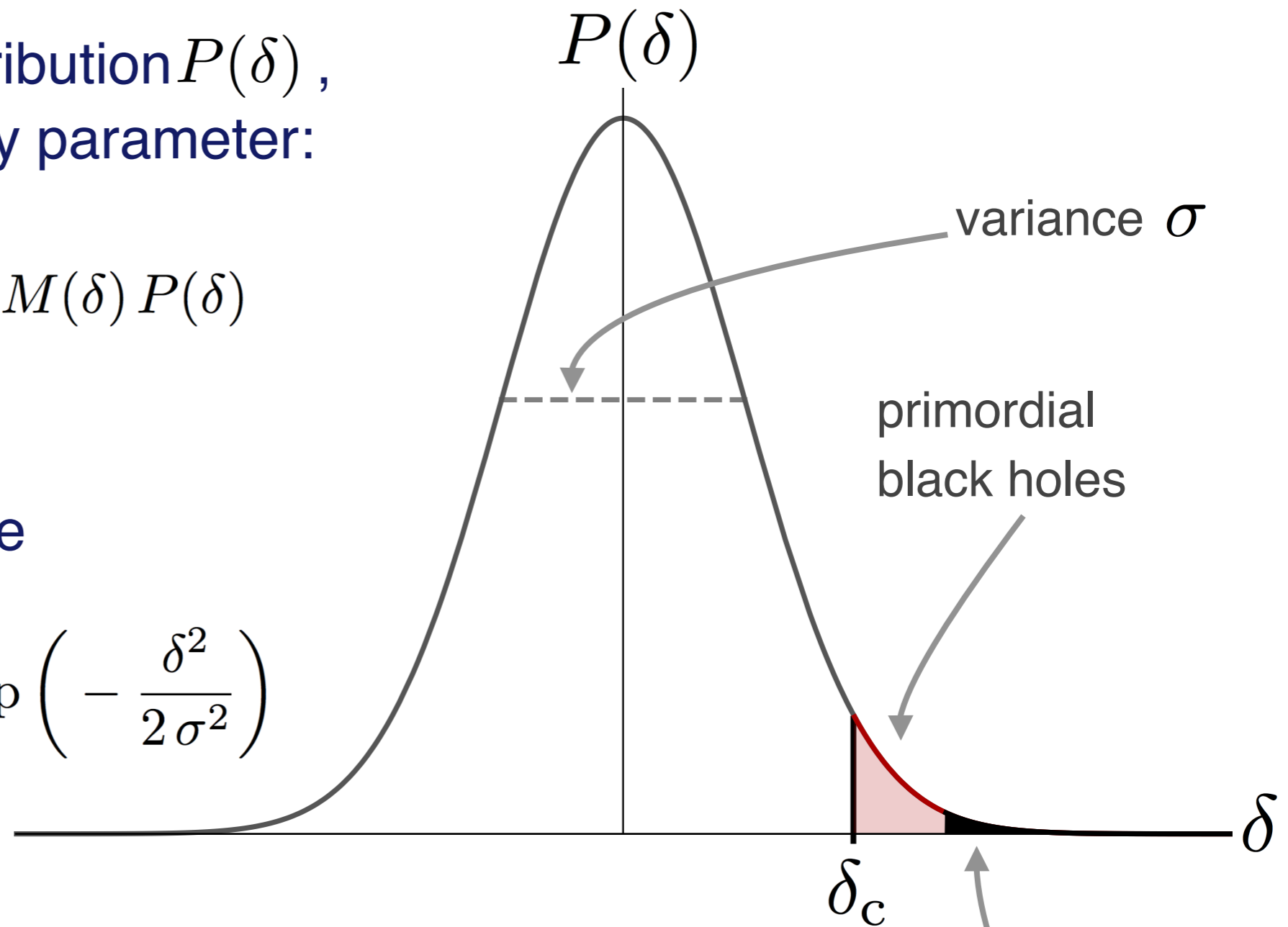
# More on PBH Formation

- ★ Given the density distribution  $P(\delta)$ , derive the PBH density parameter:

$$\Omega_{\text{PBH}} = M_H^{-1} \int_{\delta_c} d\delta M(\delta) P(\delta)$$

- ★ In the Gaußian case

$$P(\delta) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left(-\frac{\delta^2}{2\sigma^2}\right)$$



- ★ If furthermore  $M \sim M_H$  we find that the **fraction of collapsed universes** becomes

$$\beta \sim \text{erfc}\left(\frac{\delta_c}{2\sigma}\right)$$

separate universes



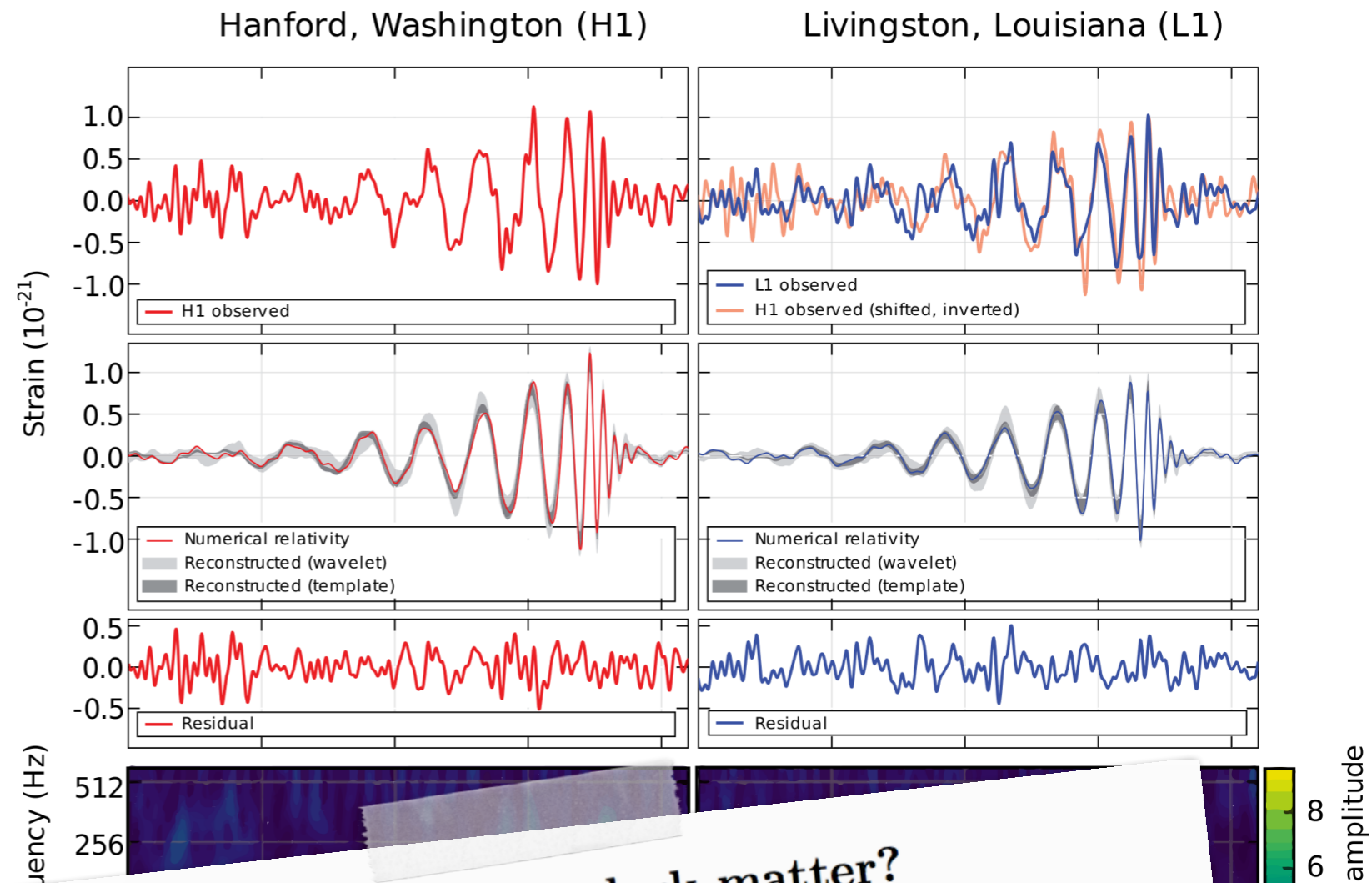
# Primordial Black Holes — Observed?

## ★ Milestone detection of gravitational waves by LIGO

★ Confirmation of two merging black holes (GW150914, GW151226, ...)

★ Masses for all BHs:  
 $\mathcal{O}(10) M_{\odot}$

➔ Could be PBHs!  
[Bird *et al.* 2016]



## Did LIGO detect dark matter?

Simeon Bird,<sup>\*</sup> Ilias Cholis, Julian B. Muñoz, Yacine Ali-Haïmoud, Marc Kamionkowski, Ely D. Kovetz, Alvise Raccanelli, and Adam G. Riess<sup>1</sup>

<sup>1</sup>Department of Physics and Astronomy, Johns Hopkins University,  
3400 N. Charles St., Baltimore, MD 21218, USA

★ Usually: Assume

$$M_{BH} \propto M_H$$

↑  
horizon mass

★ Critical scaling:

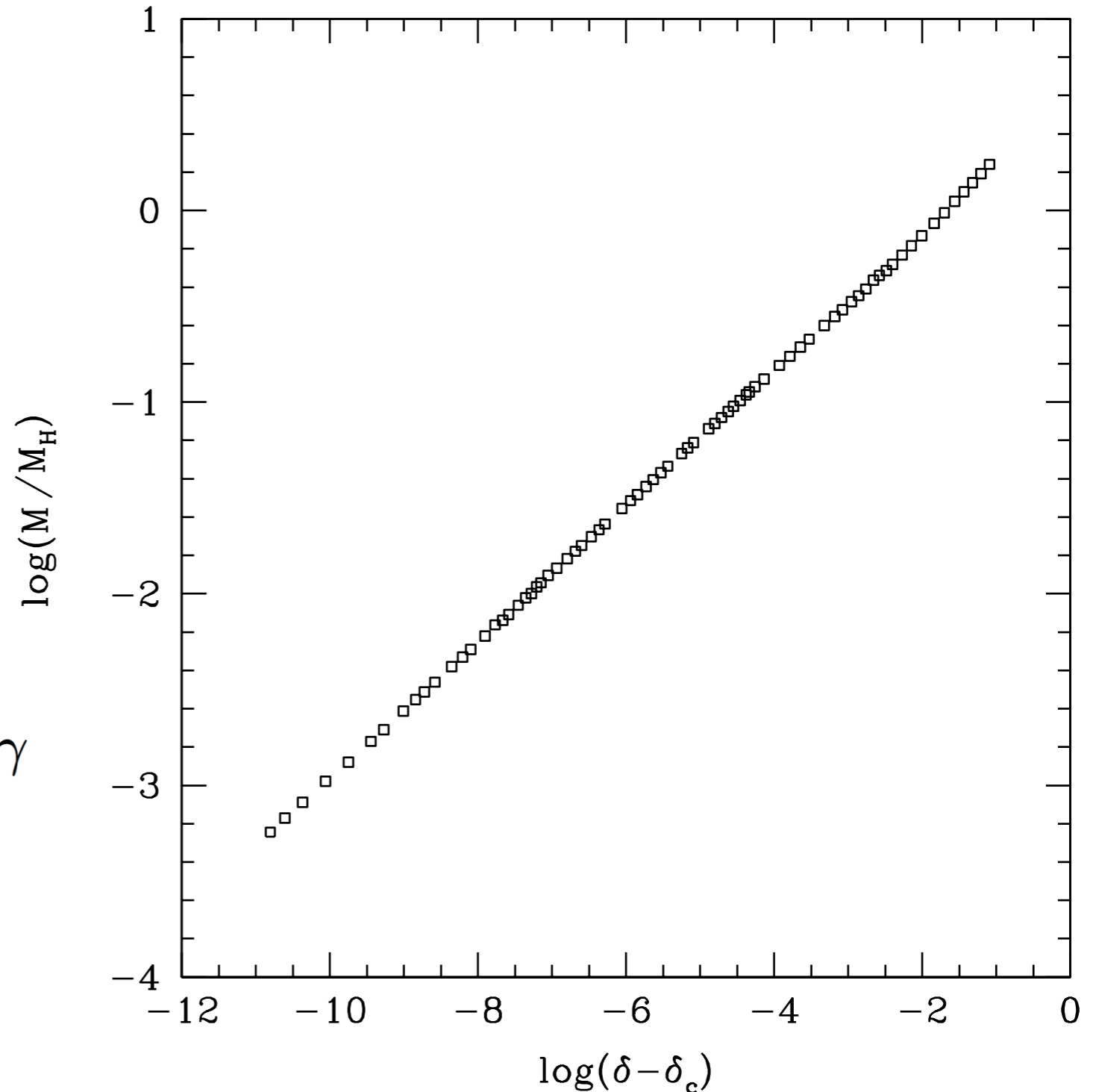
[Choptuik '93]

$$M_{BH} = k M_H (\delta - \delta_c)^\gamma$$

↑  
density contrast

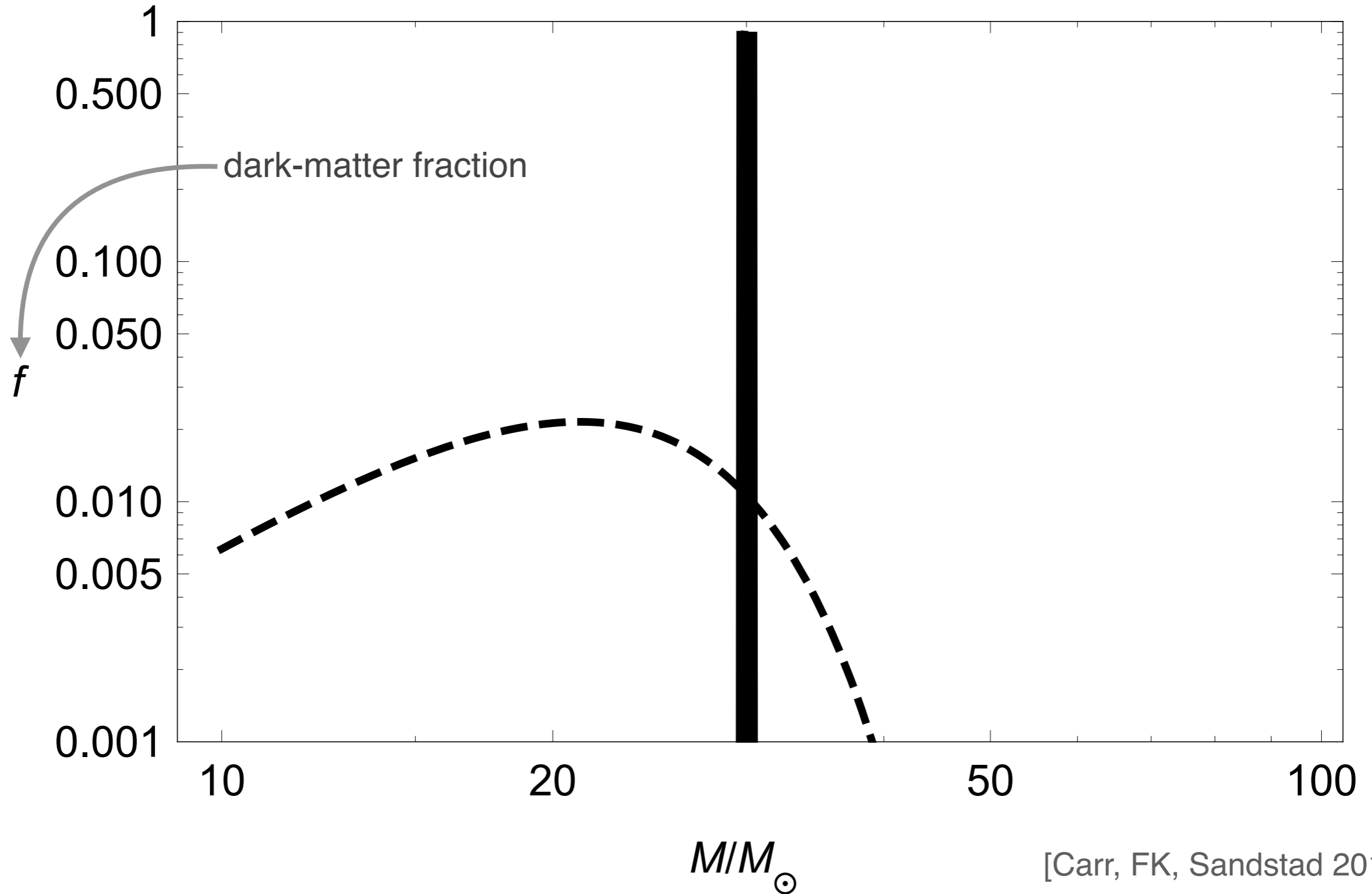
★ Radiation domination:

$$k \approx 3.3, \quad \delta_c \approx 0.45, \quad \gamma \approx 0.36$$

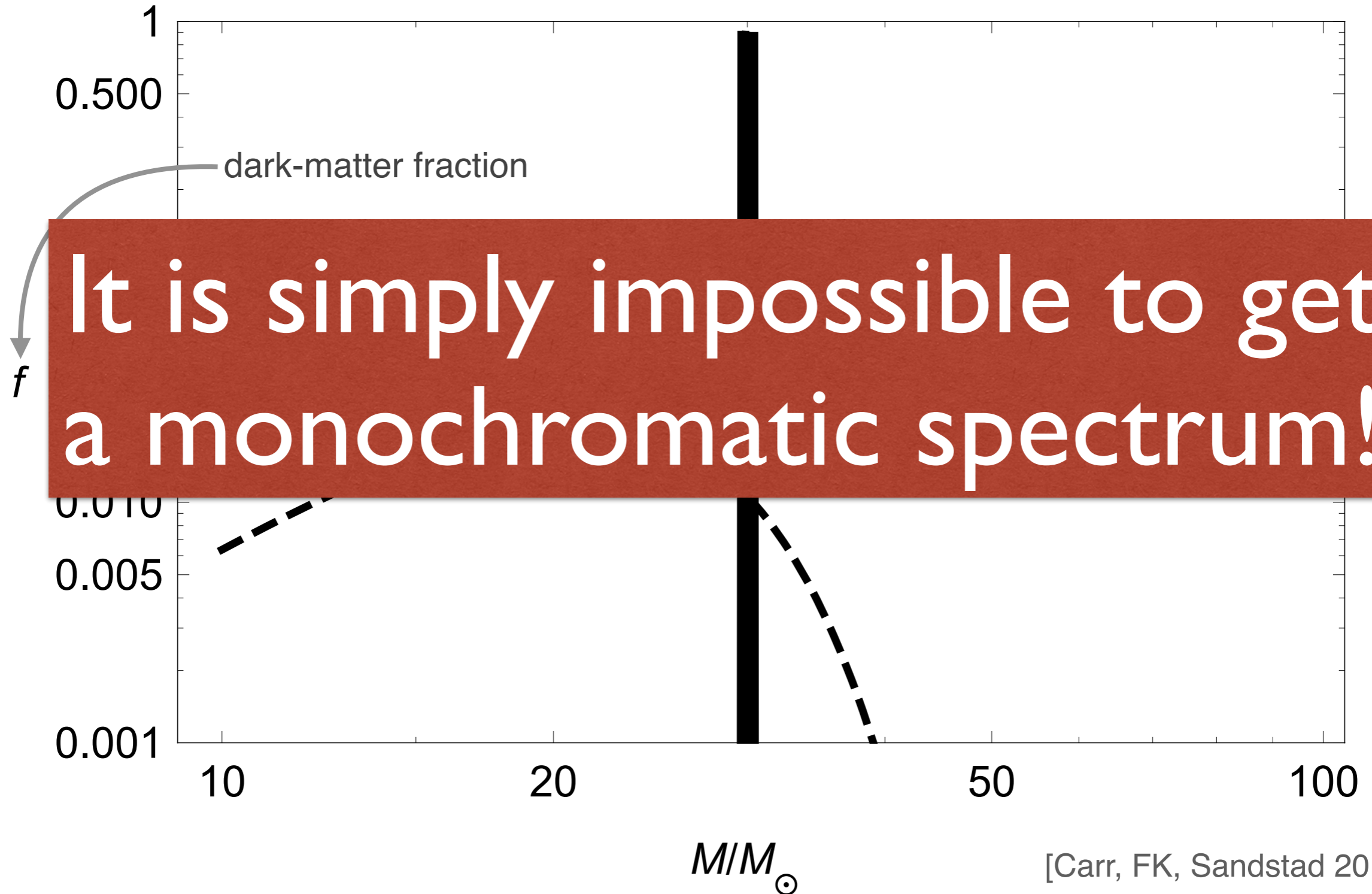


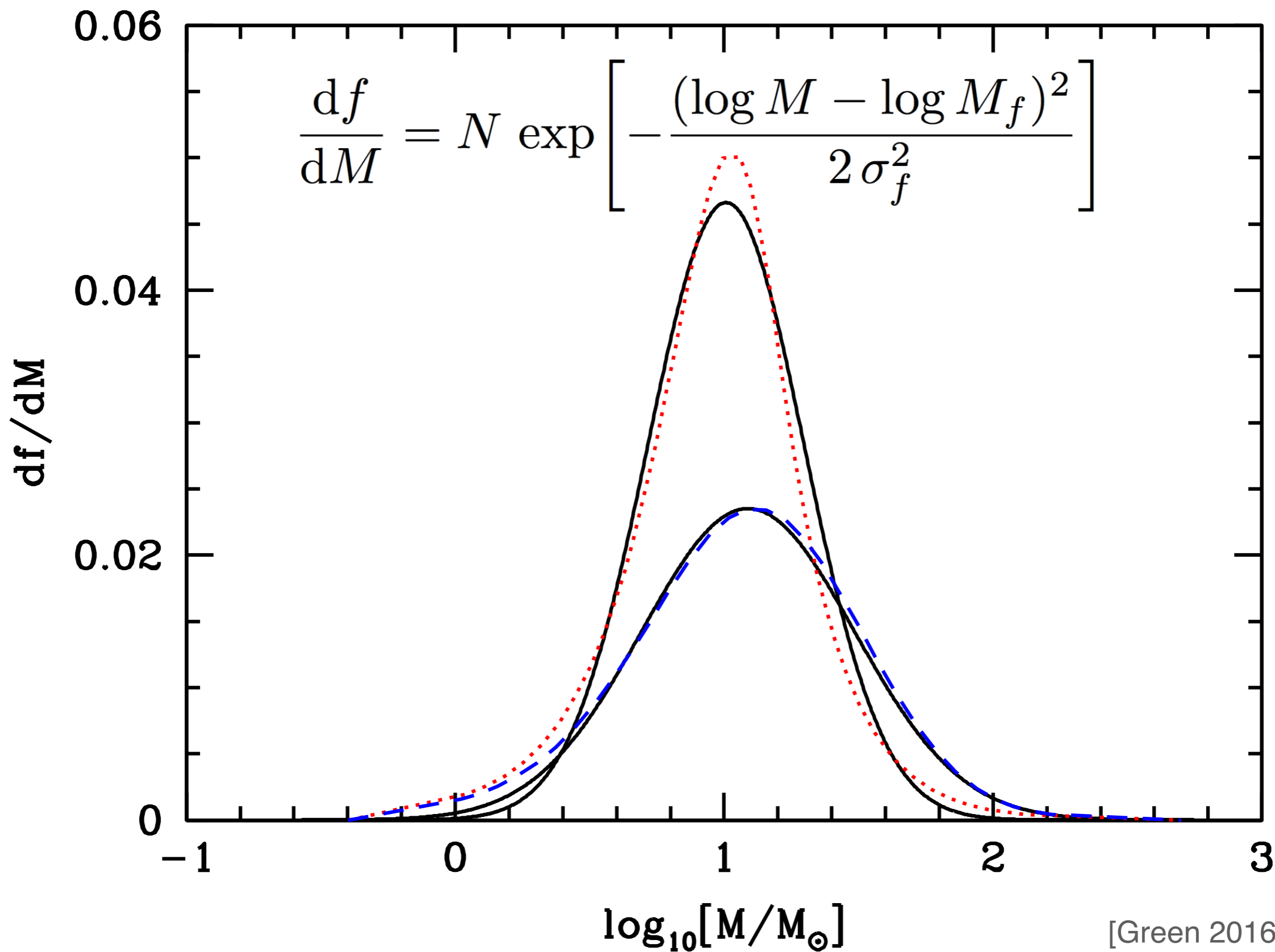
[Miller *et al.* 2004]

★ How would this look for **monochromatic** mass function?



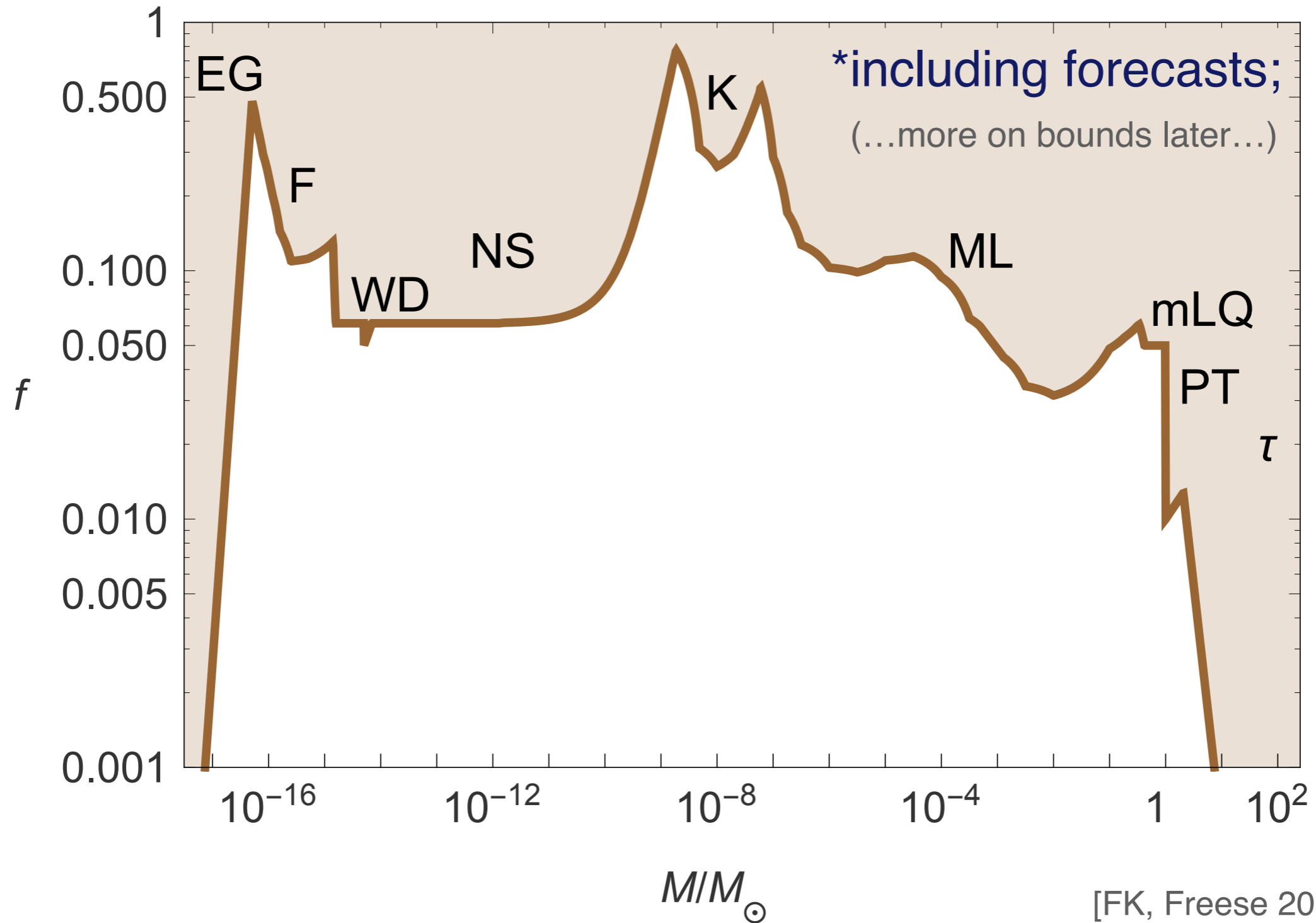
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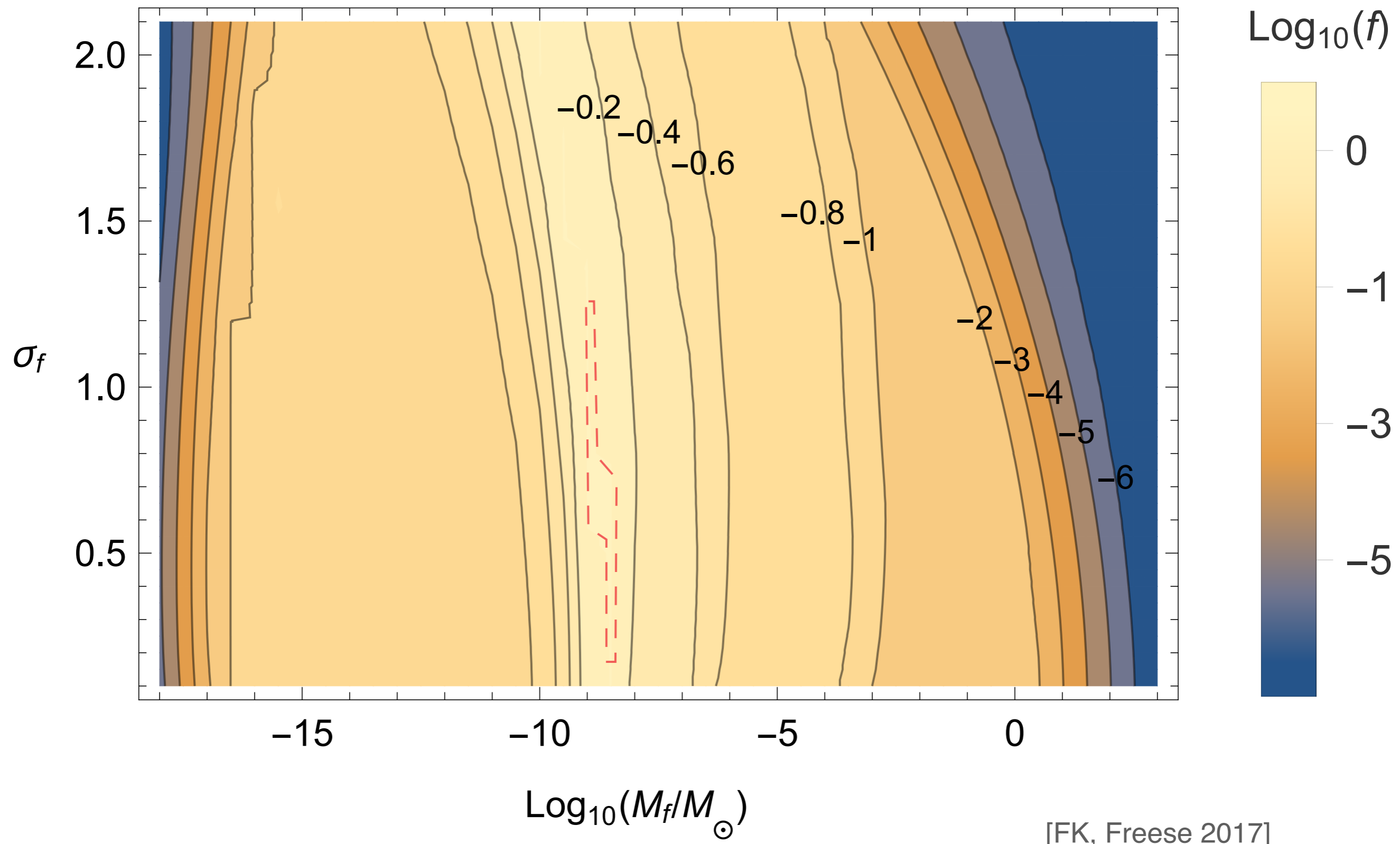


# Extended Mass Spectra and Constraints

★ We applied this extended mass function to **this constraint “curtain”**:\*



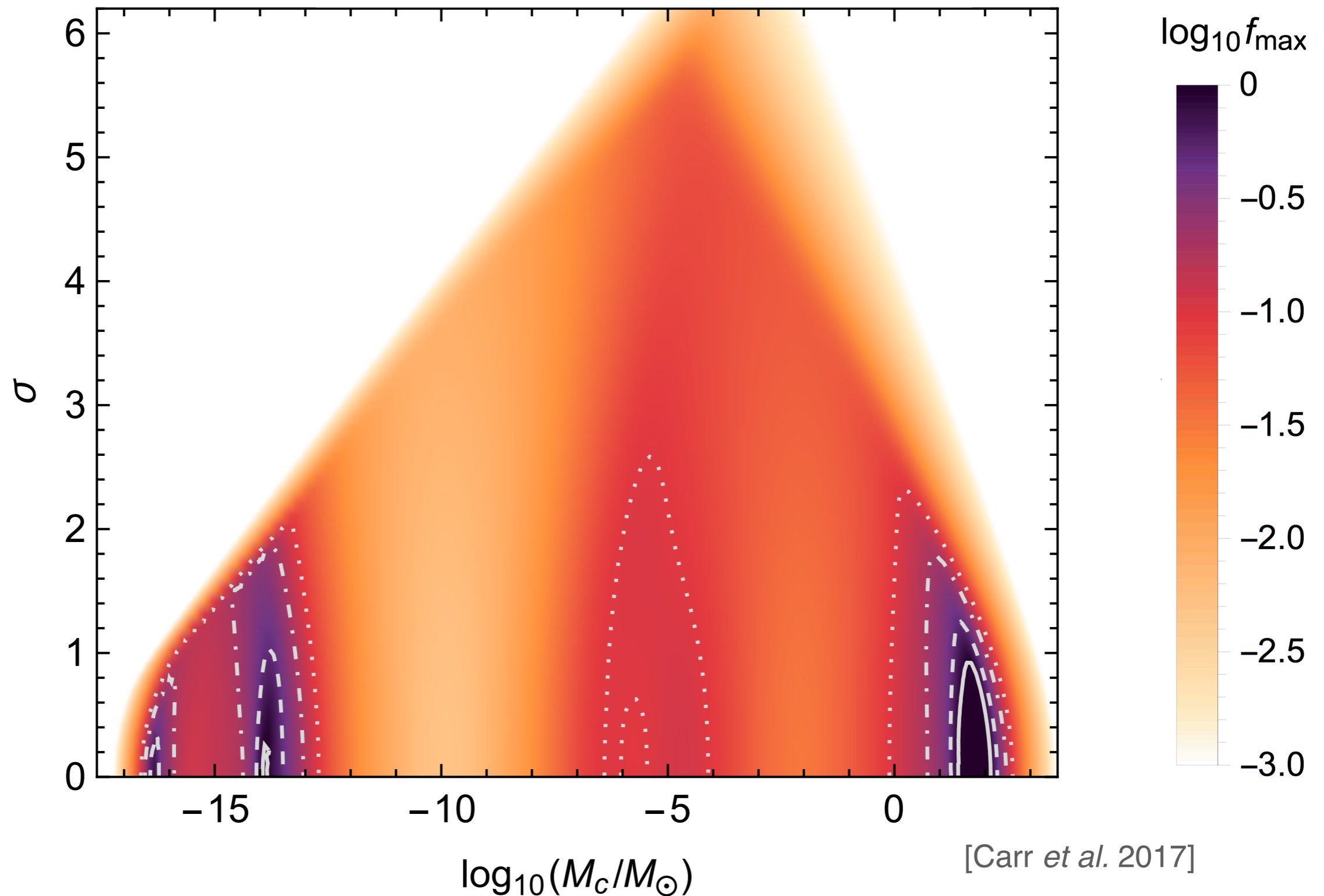
# More Systematic Study — Results



[FK, Freese 2017]

# More Systematic Study — Other's Results

★ With partly different constraints:





1) A good understanding of the physics of the constraints is extremely important!

2) It is crucial to re-derive the constraints for (the realistic(!) case) of extended mass functions!

-15

-10

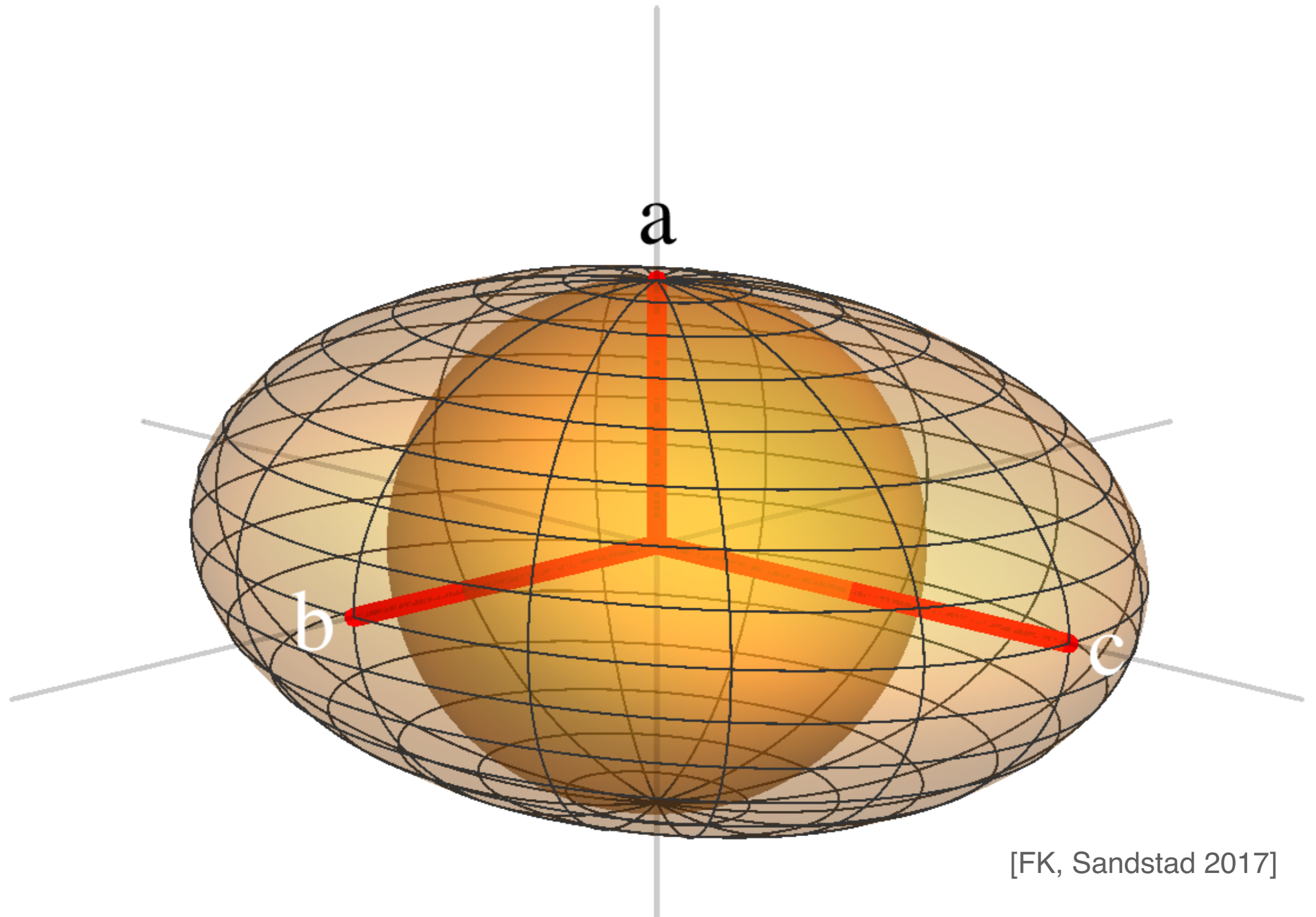
-5

0

$\log_{10}(M_c/M_\odot)$

[Carr *et al.* 2017]

# Non-Spherical Effects



## ★ Non-Sphericity

[FK, Sandstad 2016]

ellipsoidal threshold

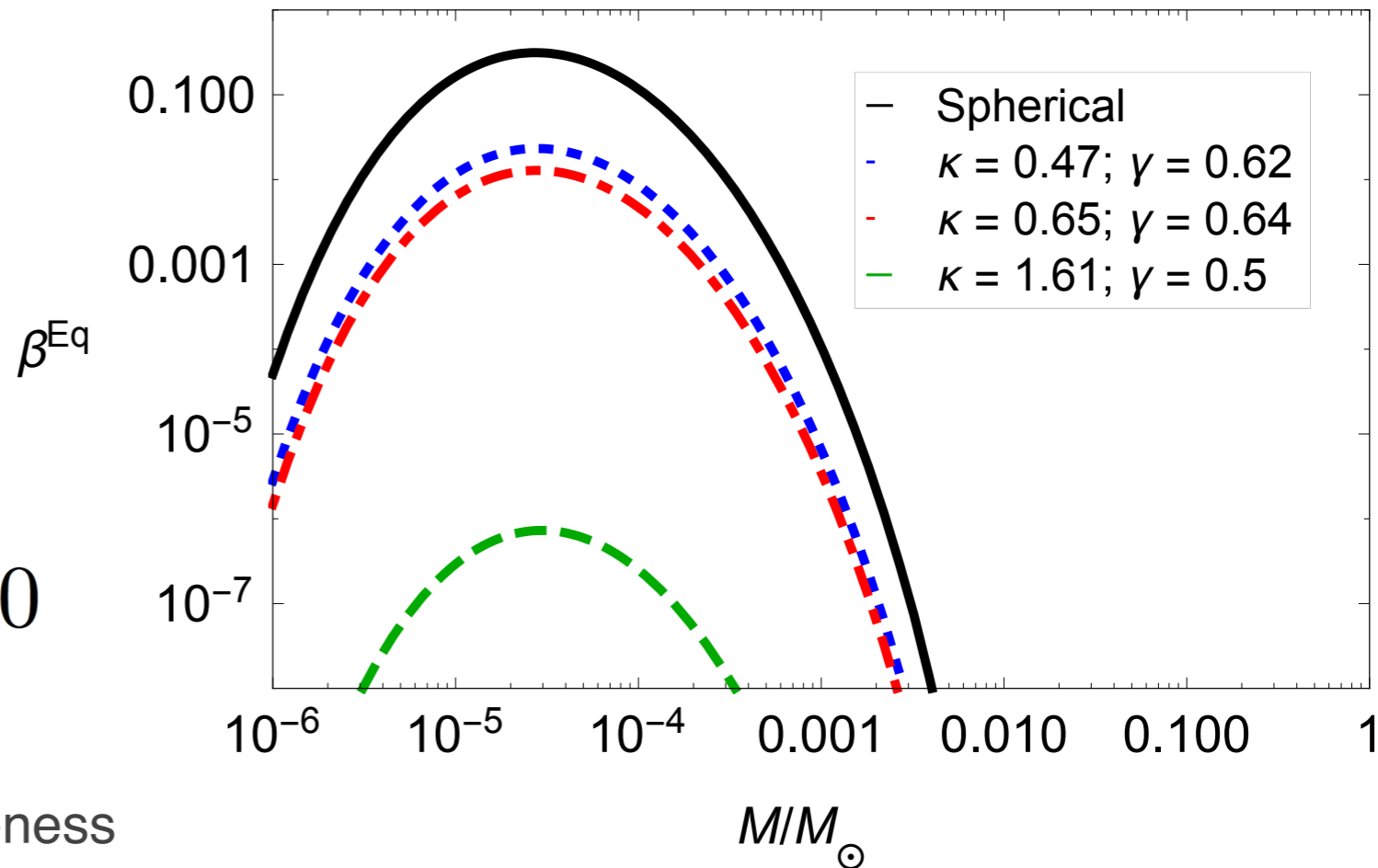
$$\frac{\delta_{ec}}{\delta_c} \simeq 1 + \kappa \left( \frac{\sigma^2}{\delta_c^2} \right)^\gamma$$

spherical threshold

$$\langle e \rangle = \frac{3\sigma}{\sqrt{10\pi}\delta}, \quad \langle p \rangle = 0$$

ellipticity

prolateness



- ★ Simple estimate: As the collapse starts along shortest axis first,  
 → consider collapse of largest enclosed sphere (green curve):

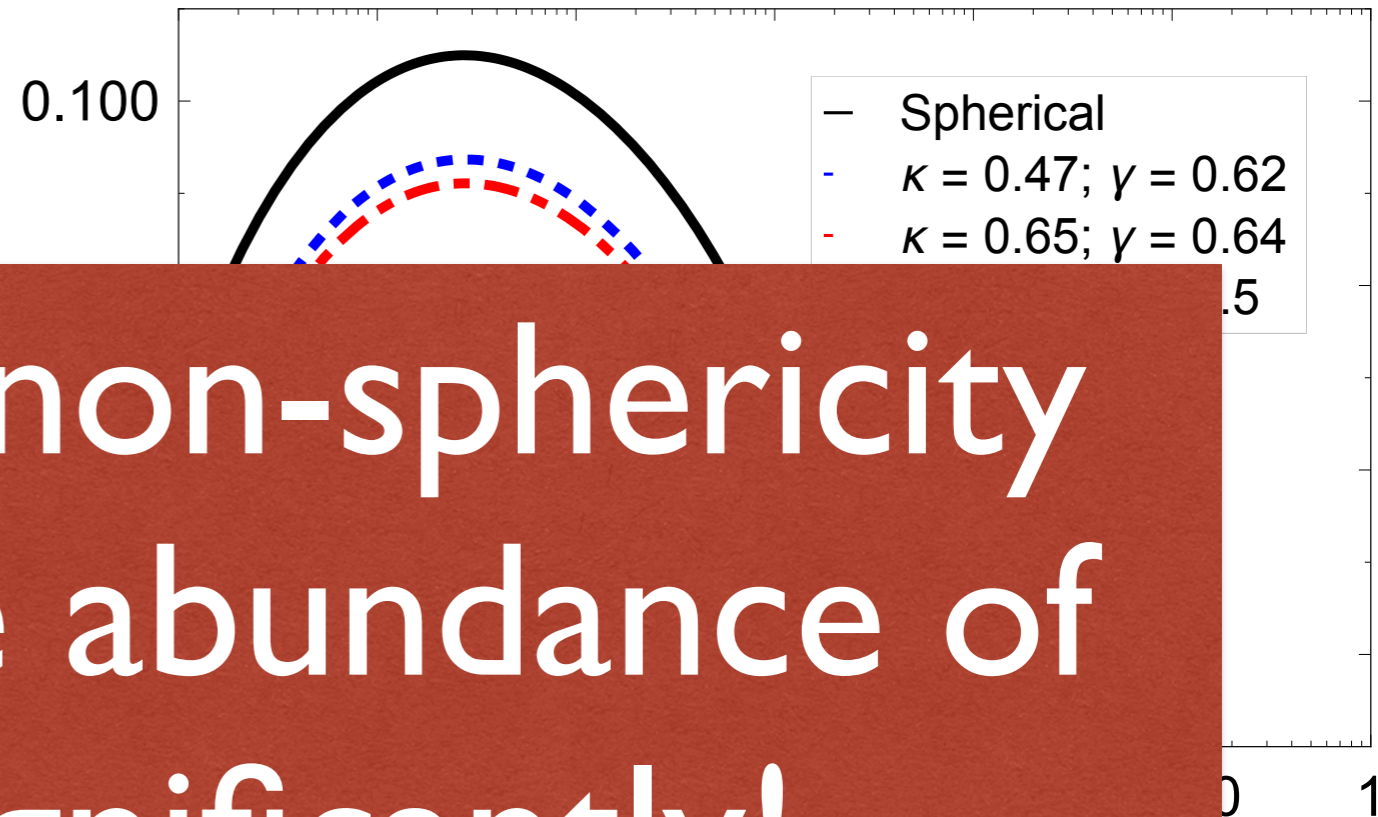
$$\frac{\delta_{ec}}{\delta_c} \simeq (1 + 3e) = 1 + \frac{9}{\sqrt{10\pi}} \left( \frac{\sigma^2}{\delta_c^2} \right)^{1/2}$$

## ★ Non-Sphericity

[FK, Sandstad 2016]

ellipsoidal threshold

$$\frac{\delta_{ec}}{\delta_c} \sim 1 + \kappa \left( \frac{\sigma^2}{\delta_c^2} \right)^\gamma$$



Even slight non-sphericity  
reduces the abundance of  
PBHs significantly!

- ★ Simple estimate: As the collapse starts along shortest axis first,
  - consider collapse of largest enclosed sphere (green curve):

$$\frac{\delta_{ec}}{\delta_c} \simeq (1 + 3e) = 1 + \frac{9}{\sqrt{10}\pi} \left( \frac{\sigma^2}{\delta_c^2} \right)^{1/2}$$

# Gravitational Waves from PBHs

★ Like ordinary black holes, PBHs can emit **gravitational waves**.  
Roughly, there are two types of signals:

1) From **early** formation of PBH binaries (**three-body** process!).

★ Starts being significant in the **formation of first caustics**.

★ Need **N-body simulations!**

[FK, Mohayaee, Naselsky, von Hausegger; to appear soon]

→ Characteristic **stochastic** gravitational-wave background

$$\Omega_{\text{gw}} \approx \frac{1}{\rho_c c^2} \int dz \frac{N(z)}{1+z} \left( \nu_r \frac{E_{\text{gw}}}{d\nu_r} \right) \Big|_{\nu_r = \nu(1+z)}$$

critical density

number of events

gravitational-wave energy per event

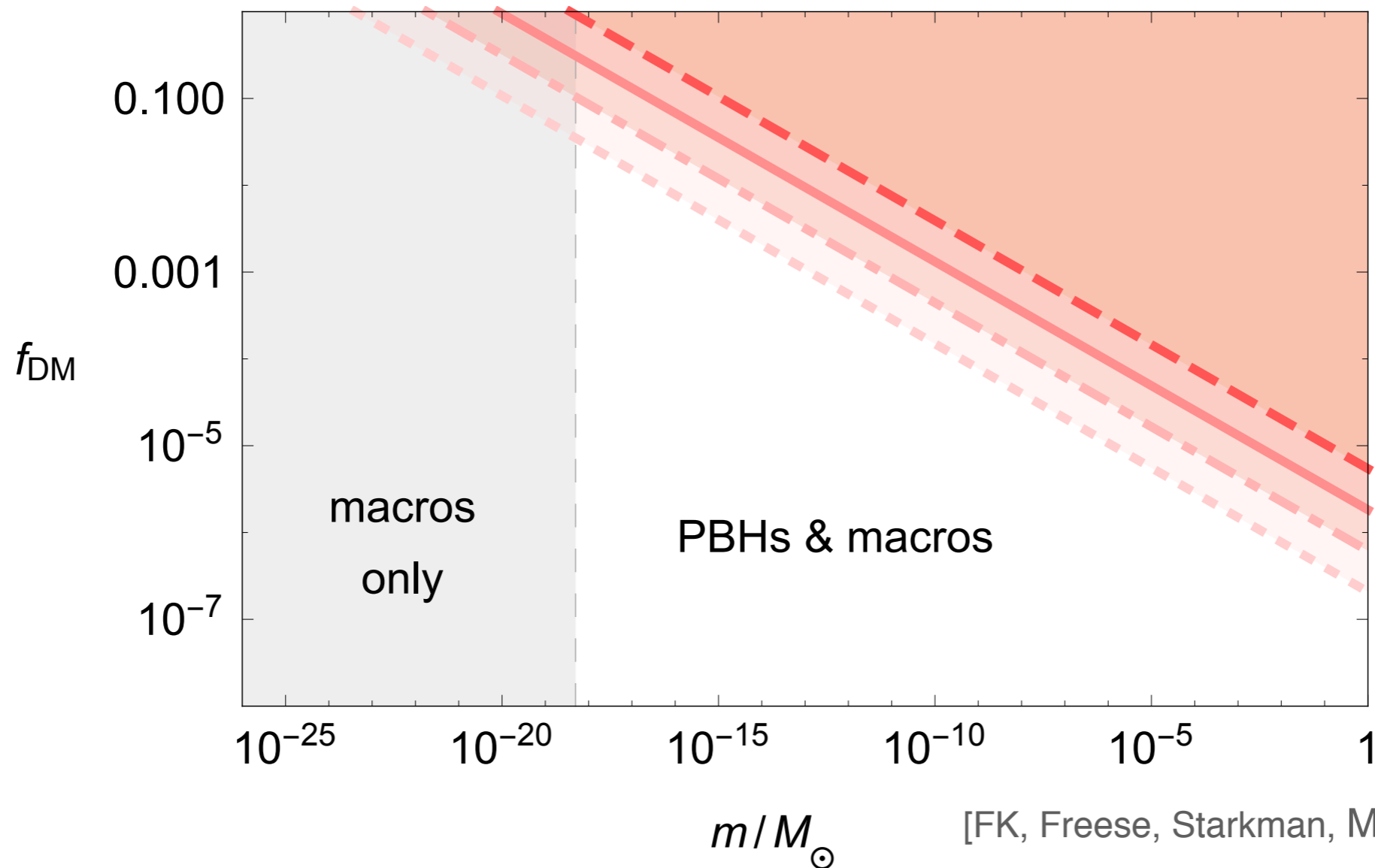
[Phinney 2001]

2) From **late** formation of PBH binaries.

# Gravitational Waves from PBHs

- ★ If PBHs constitute a significant fraction of the dark matter, at the center of our Galaxy one would have a very large number of **PBH inspiralling into SgrA\***.

➔ Stochastic enhancement; Detection forecasts for **LISA**:

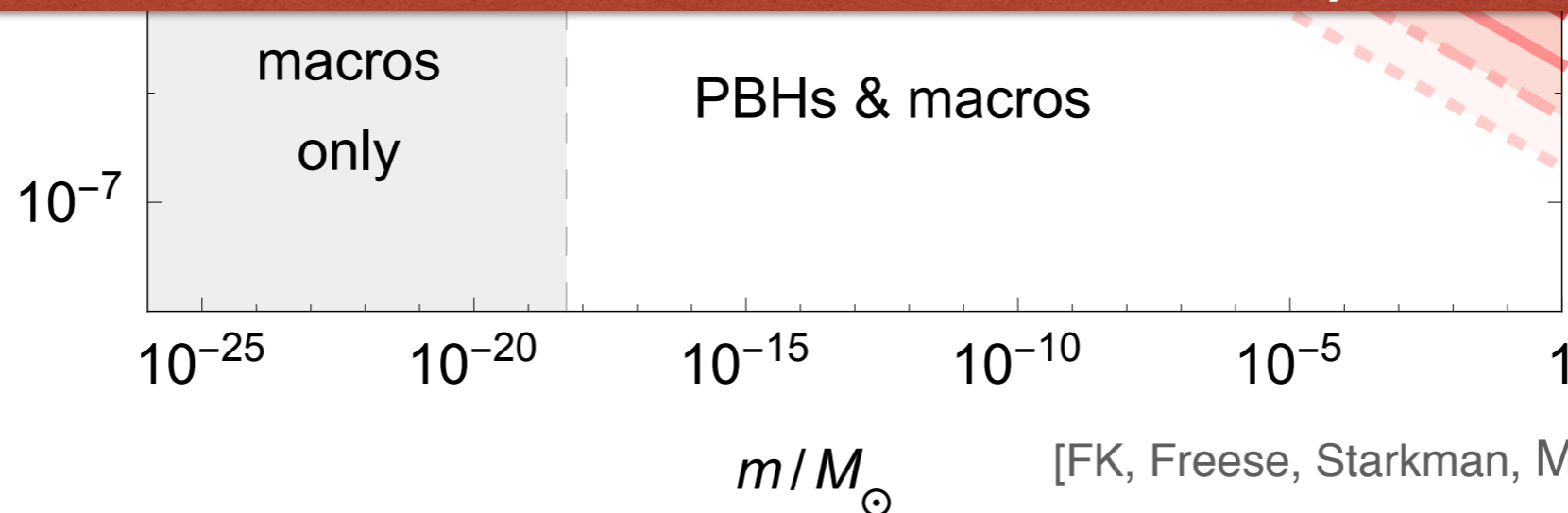


- ★ If PBHs constitute a significant fraction of the dark matter, at the center of our Galaxy one would have a very large number of **PBH inspiralling into SgrA\***.

→ Stochastic enhancement; Detection forecasts for **LISA**:

LISA will be a splendid PBH dark-matter detection machine!\*

\*If there is a substantial fraction of macroscopic dark matter.



★ Study a **combined** scenario: **DM = PBHs + Particles**

→ The latter will be **accreted** by the former.

★ As an example, focus on **sterile neutrinos**:

→ **Decays** with rates:

$$\Gamma_{\nu_s \rightarrow 3\nu} \approx 8.7 \cdot 10^{-31} \left( \frac{\sin^2(2\Theta)}{10^{-10}} \right) \left( \frac{m_{\nu_s}}{10 \text{ keV}} \right)^5 \text{ s}^{-1} \quad \Gamma_{\nu_s \rightarrow \nu\gamma} \simeq \frac{1}{128} \Gamma_{\nu_s \rightarrow 3\nu}$$

\*works also  
for **UCMHs**

★ The **fluxes** are quite significant with small average **distances**:

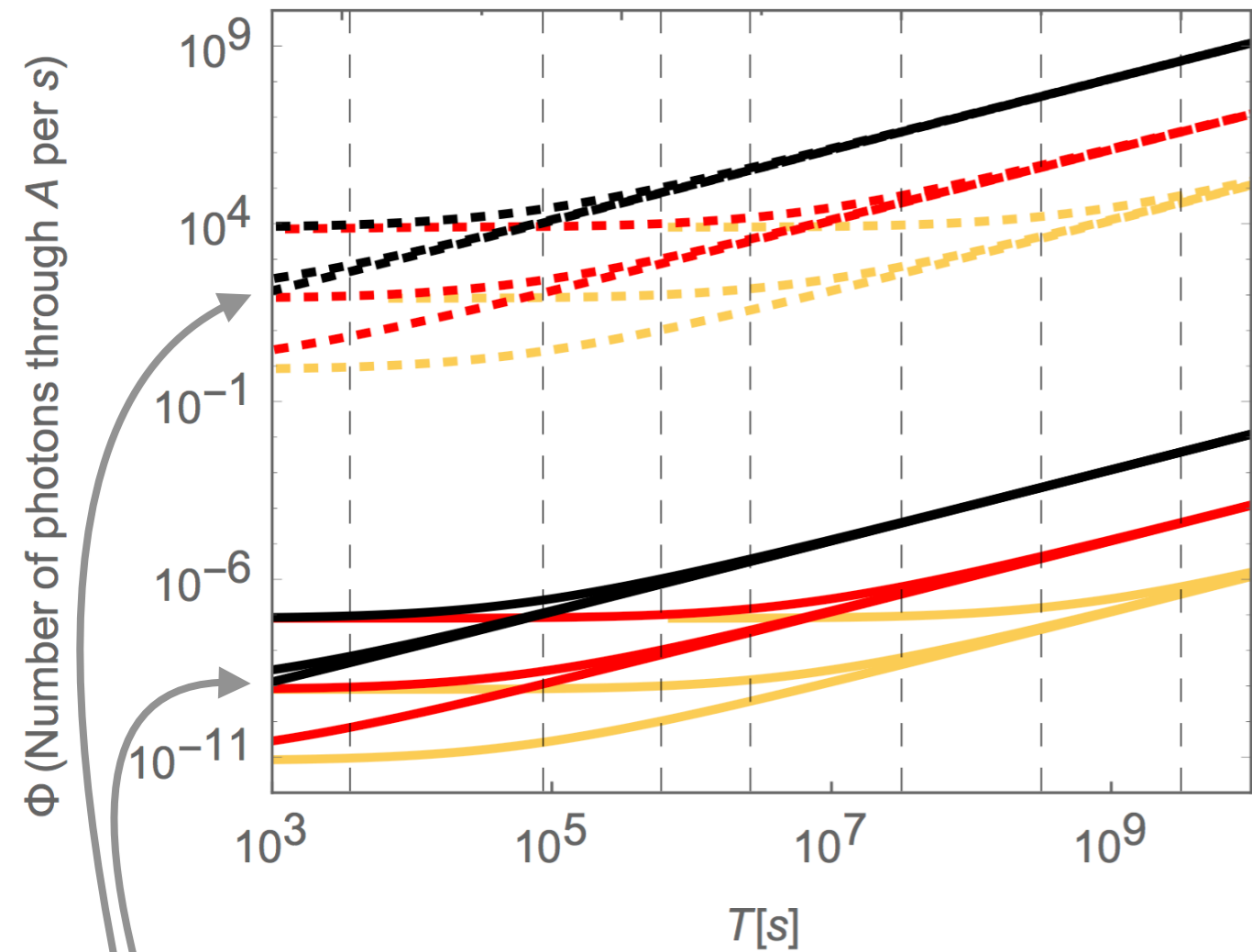
$M / \text{g}$	$N$	$d / \text{AU}$	$\Gamma_{\nu_s \rightarrow \nu\gamma}^{\text{total}} / \text{s}$	$\Gamma_{\nu_s \rightarrow 3\nu}^{\text{total}} / \text{s}$
$10^{-3}$	$6 \cdot 10^{25}$	$8 \cdot 10^{-7}$	$4 \cdot 10^{-6}$	$5 \cdot 10^{-4}$
1	$6 \cdot 10^{28}$	$8 \cdot 10^{-6}$	4	500
$10^3$	$6 \cdot 10^{31}$	$8 \cdot 10^{-5}$	$4 \cdot 10^6$	$5 \cdot 10^8$
$10^6$	$6 \cdot 10^{34}$	$8 \cdot 10^{-4}$	$4 \cdot 10^{12}$	$5 \cdot 10^{14}$
$10^9$	$6 \cdot 10^{37}$	$8 \cdot 10^{-3}$	$4 \cdot 10^{18}$	$5 \cdot 10^{20}$
$10^{12}$	$6 \cdot 10^{40}$	0.08	$4 \cdot 10^{24}$	$5 \cdot 10^{26}$
$10^{15}$	$6 \cdot 10^{43}$	0.8	$4 \cdot 10^{30}$	$5 \cdot 10^{32}$
$10^{18}$	$6 \cdot 10^{46}$	8	$4 \cdot 10^{36}$	$5 \cdot 10^{38}$
$10^{21}$	$6 \cdot 10^{49}$	80	$4 \cdot 10^{42}$	$5 \cdot 10^{44}$
$10^{24}$	$6 \cdot 10^{52}$	800	$4 \cdot 10^{48}$	$5 \cdot 10^{50}$

[FK, Ohlsson 2017\*]

(\*accepted for publication in PRD)



★ Hence, these objects possibly pass **close by** a detector.



$$v_{\text{RMS}} \in \{2, 200, 2 \cdot 10^4\} \text{ km/s}, \quad A = 10^5 \text{ cm}^2$$

★  $m_{\nu_s} = 10 \text{ keV}$  ,  $\sin^2(2\Theta) = 10^{-11}$

★  $m_{\nu_s} = 100 \text{ MeV}$ ,  $\sin^2(2\Theta) = 10^{-16}$

Telescope		Decay Rate		$\Gamma^{\text{total}} / \tilde{\Gamma}^{\text{total}}$			
		$E / \text{eV}$	0.01	0.1	1	10	100
		Threshold masses $M_{\text{th}}/\text{g}$					
<i>Suzaku</i>	$10^3$	$10^{36}$	$10^{30}$	$10^{24}$	$10^{18}$	$10^{12}$	
<i>NuSTAR</i>	$10^4$	$10^{33}$	$10^{27}$	$10^{21}$	$10^{15}$	$10^9$	
<i>PoGO+</i>	$10^5$	$10^{39}$	$10^{33}$	$10^{27}$	$10^{21}$	$10^{15}$	
<i>ACT</i>	$10^6$	$10^{30}$	$10^{24}$	$10^{18}$	$10^{12}$	$10^6$	
<i>AdEPT</i>	$10^7$	$10^{33}$	$10^{27}$	$10^{21}$	$10^{15}$	$10^9$	
	$10^8$	$10^{29}$	$10^{23}$	$10^{17}$	$10^{11}$	$10^5$	
<i>Fermi</i>	$10^9$	$10^{26}$	$10^{20}$	$10^{14}$	$10^8$	100	
	$10^{10}$	$10^{22}$	$10^{16}$	$10^{10}$	$10^4$	0.01	
	$10^{11}$	$10^{20}$	$10^{14}$	$10^8$	100	$10^{-4}$	
	$10^{12}$	$10^{20}$	$10^{14}$	$10^8$	100	$10^{-4}$	
<i>IceCube</i>	$10^{13}$	$10^{10}$	$10^4$	0.01	—	—	
	$10^{14}$	$10^6$	1	—	—	—	
	$10^{15}$	$10^3$	$10^{-3}$	—	—	—	
	$10^{16}$	1	—	—	—	—	

[FK, Ohlsson 2017\*]

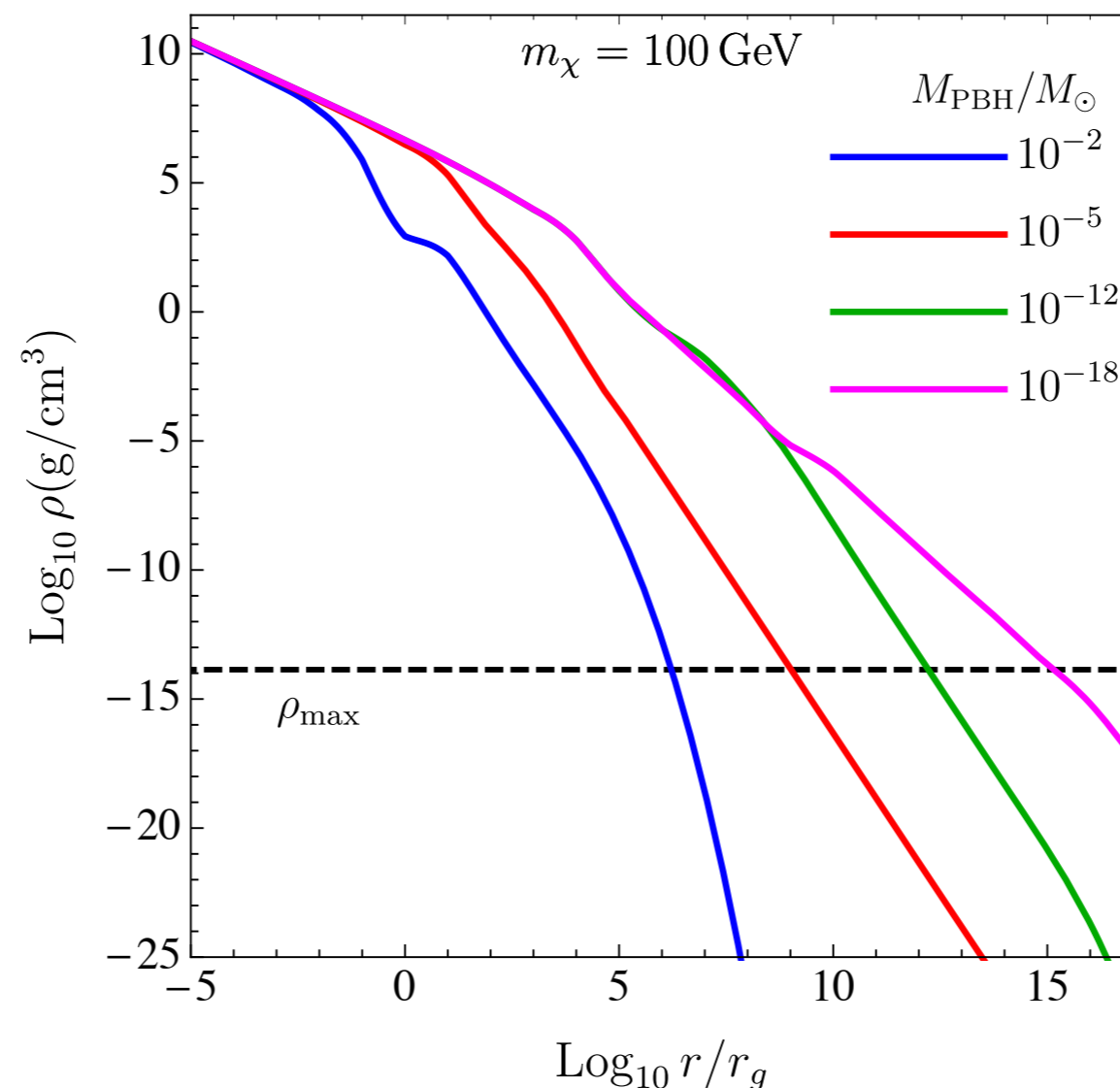
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★ Let us now study WIMP **annihilations** in PBH halos:

★ The annihilation rate  $\Gamma \propto n^2$ .

➔ Halo profile does matter; **enhancement** of  $\Gamma$  in density spikes.

★ 1) We **derive** the density profile of the captured WIMPs



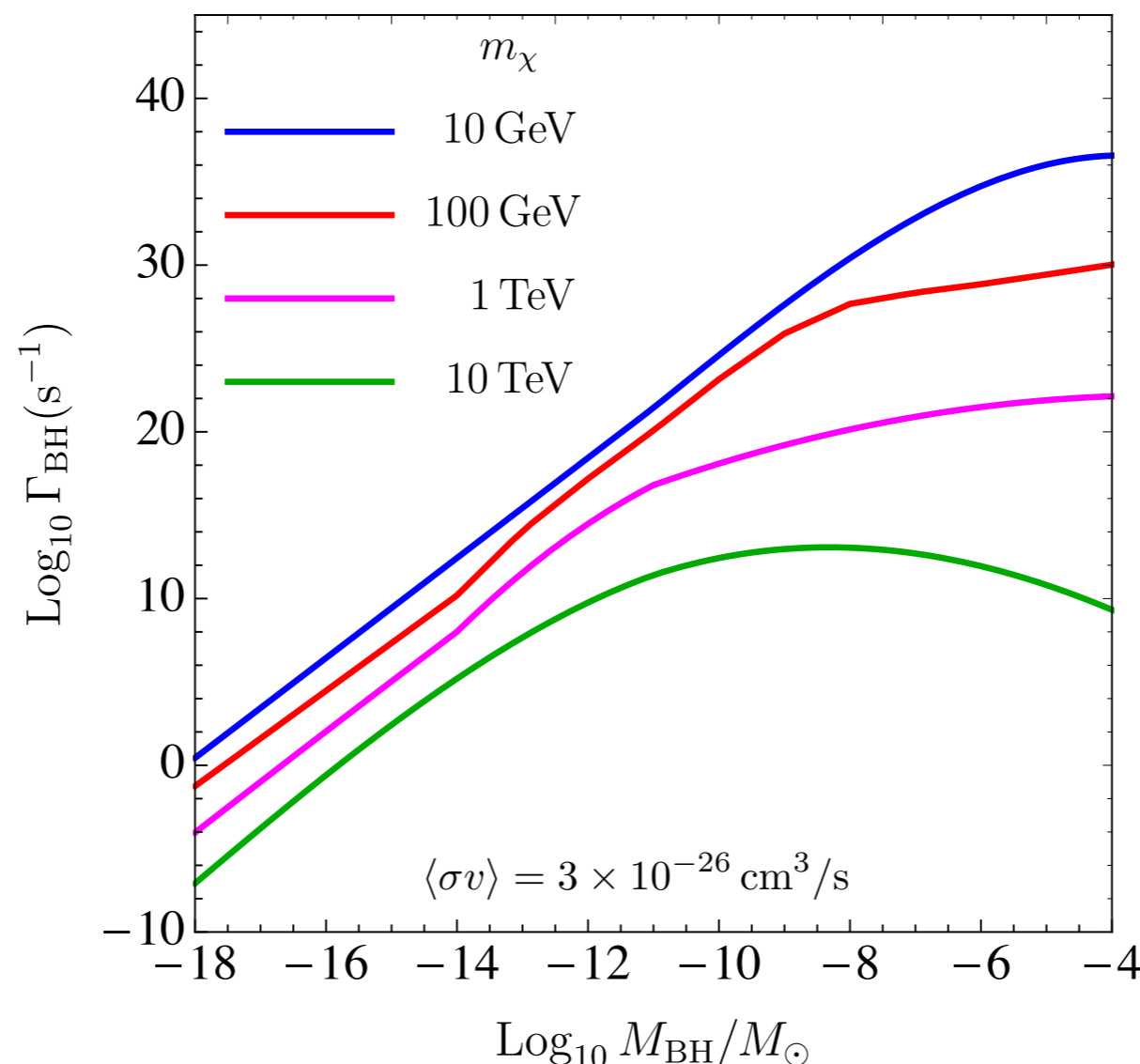
[Boucenna, FK, Ohlsson, Visinelli; to appear *very soon*]

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- 2) **calculate** the annihilation rate



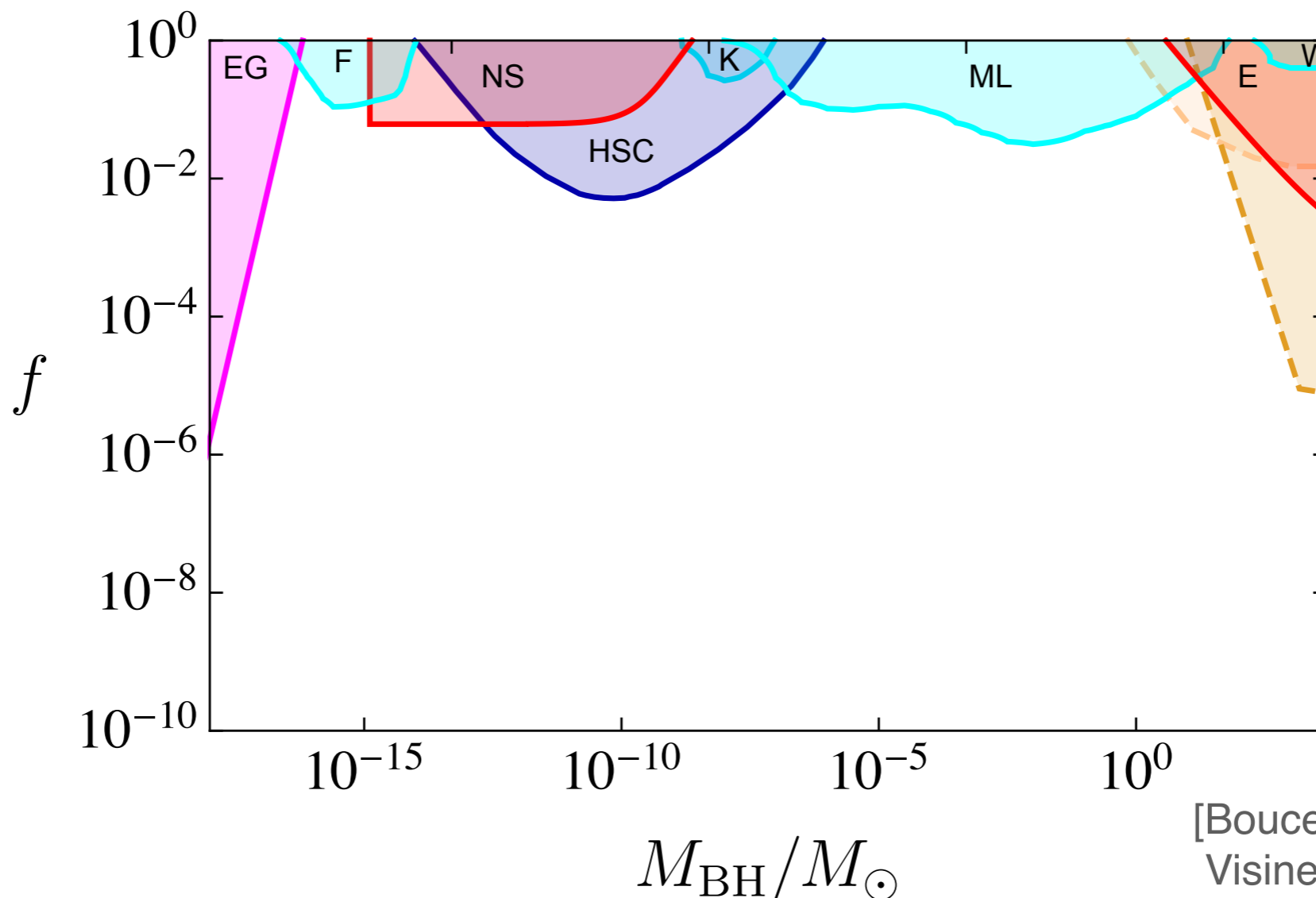
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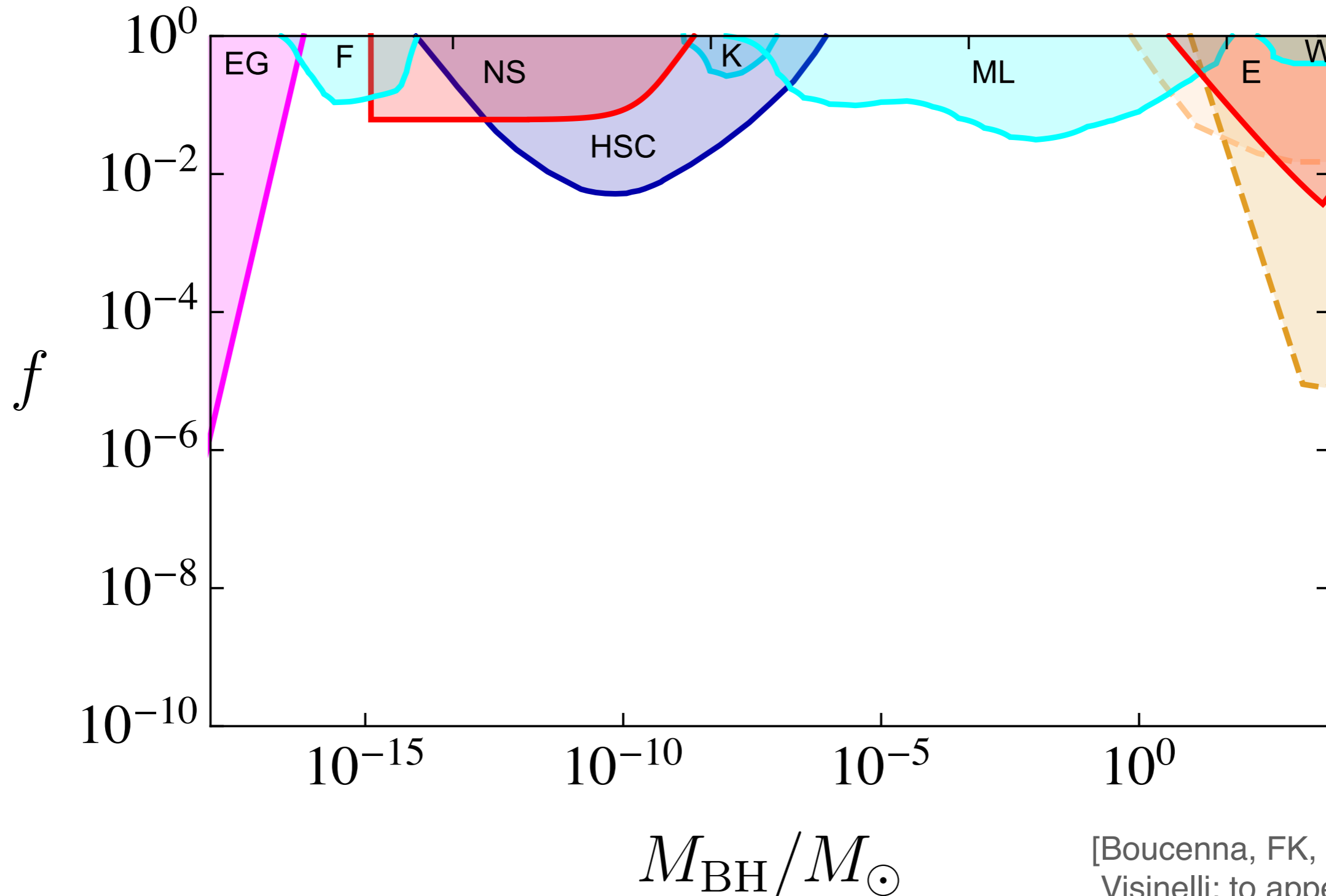
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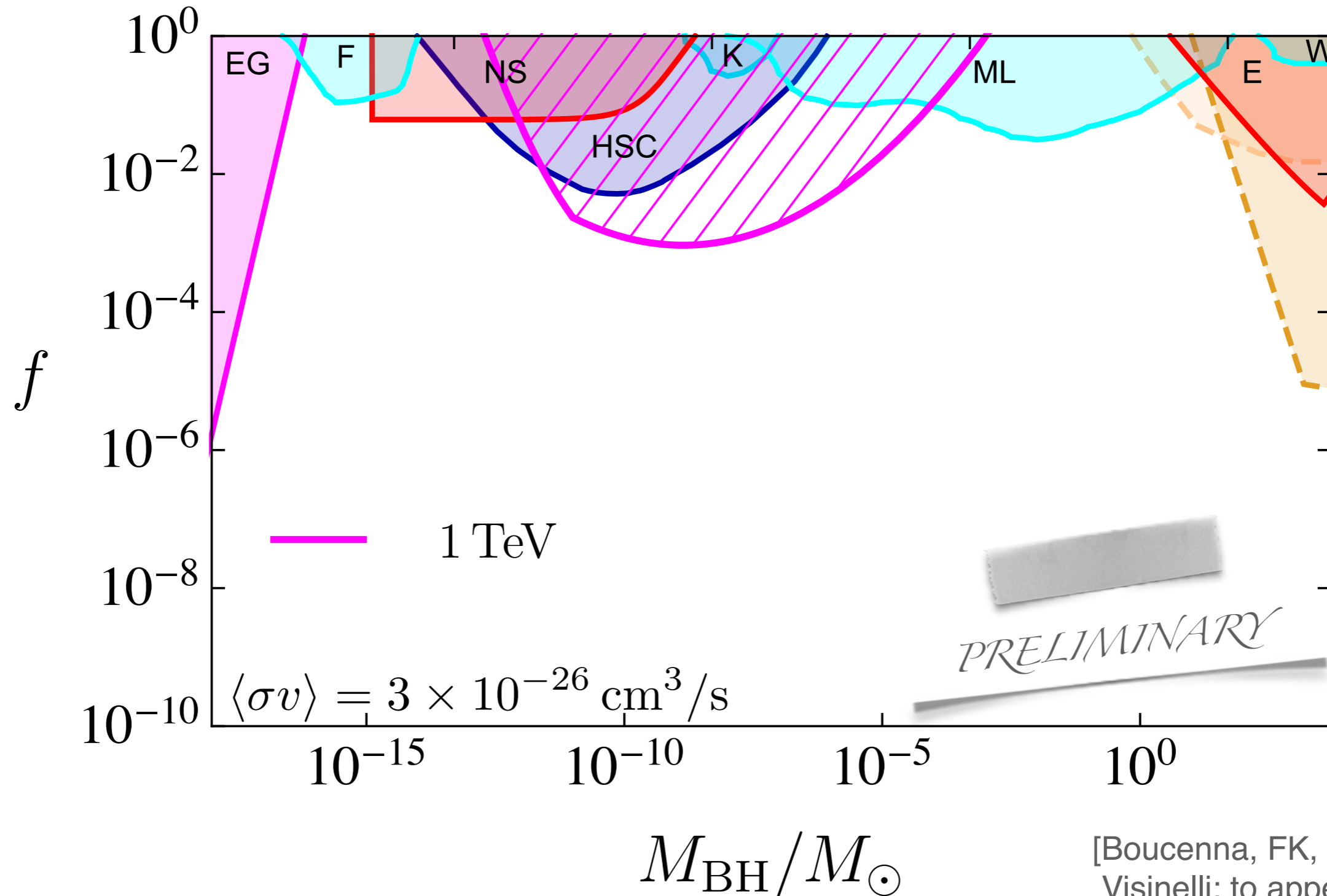
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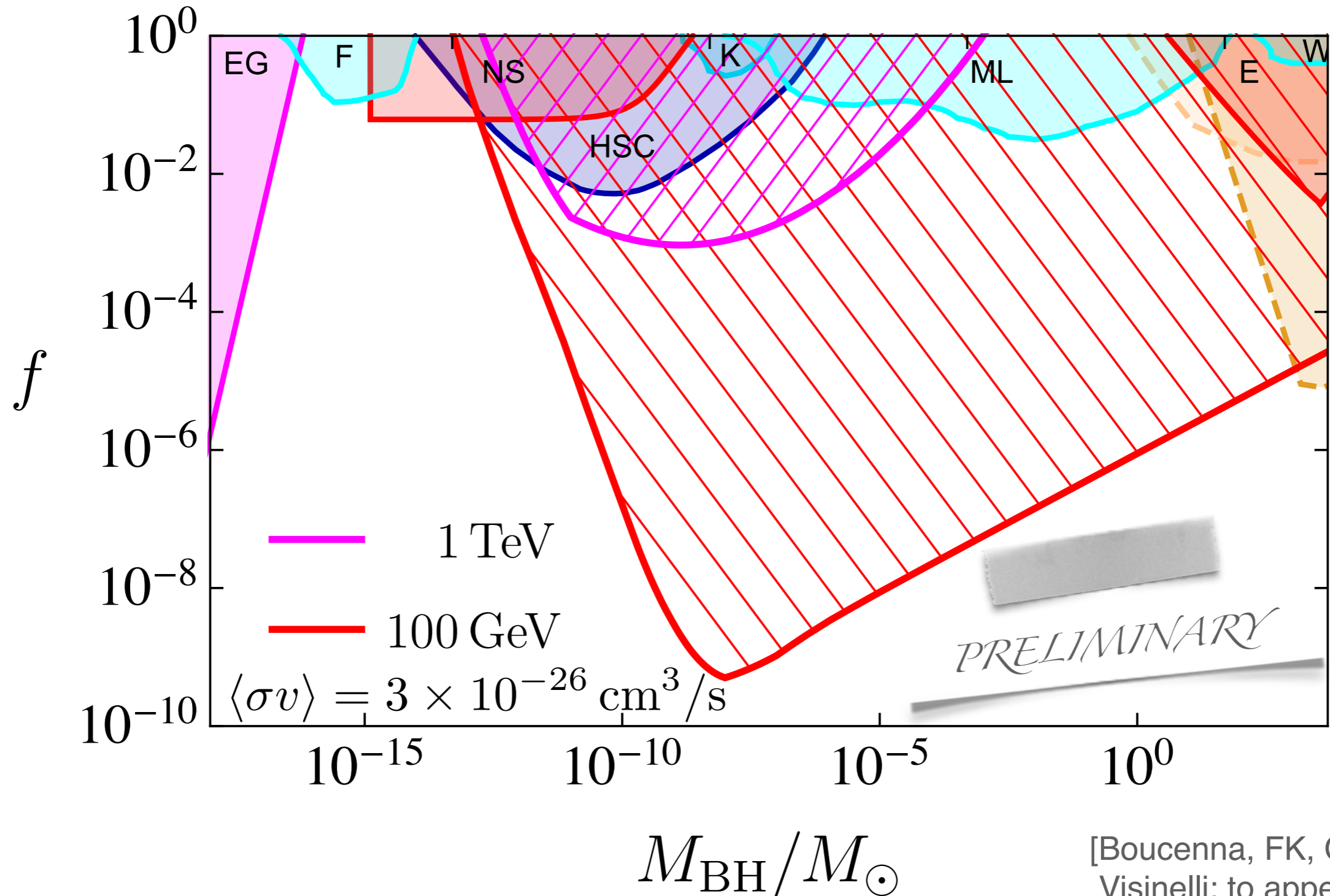


[Boucenna, FK, Ohlsson, Visinelli; to appear *very soon*]

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# *Sad or Happy?*

★ Are these **sad** prospects for a PBH-WIMP “coalition”?



[<https://www.welt.de/img/politik/deutschland/mobile170761324/5932500847-ci102l-w1024/Scheitern-der-Jamaika-Sondierungen.jpg>]



*Sad or Happy?*

★ ... or should we be **happy**?



<https://www.welt.de/img/politik/deutschland/mobile170766403/4302507967-ci102l-w1024/Christian-Lindner-head-of-the-Free.jpg>

*Sad or Happy?*

★ ... or should we be **happy**?



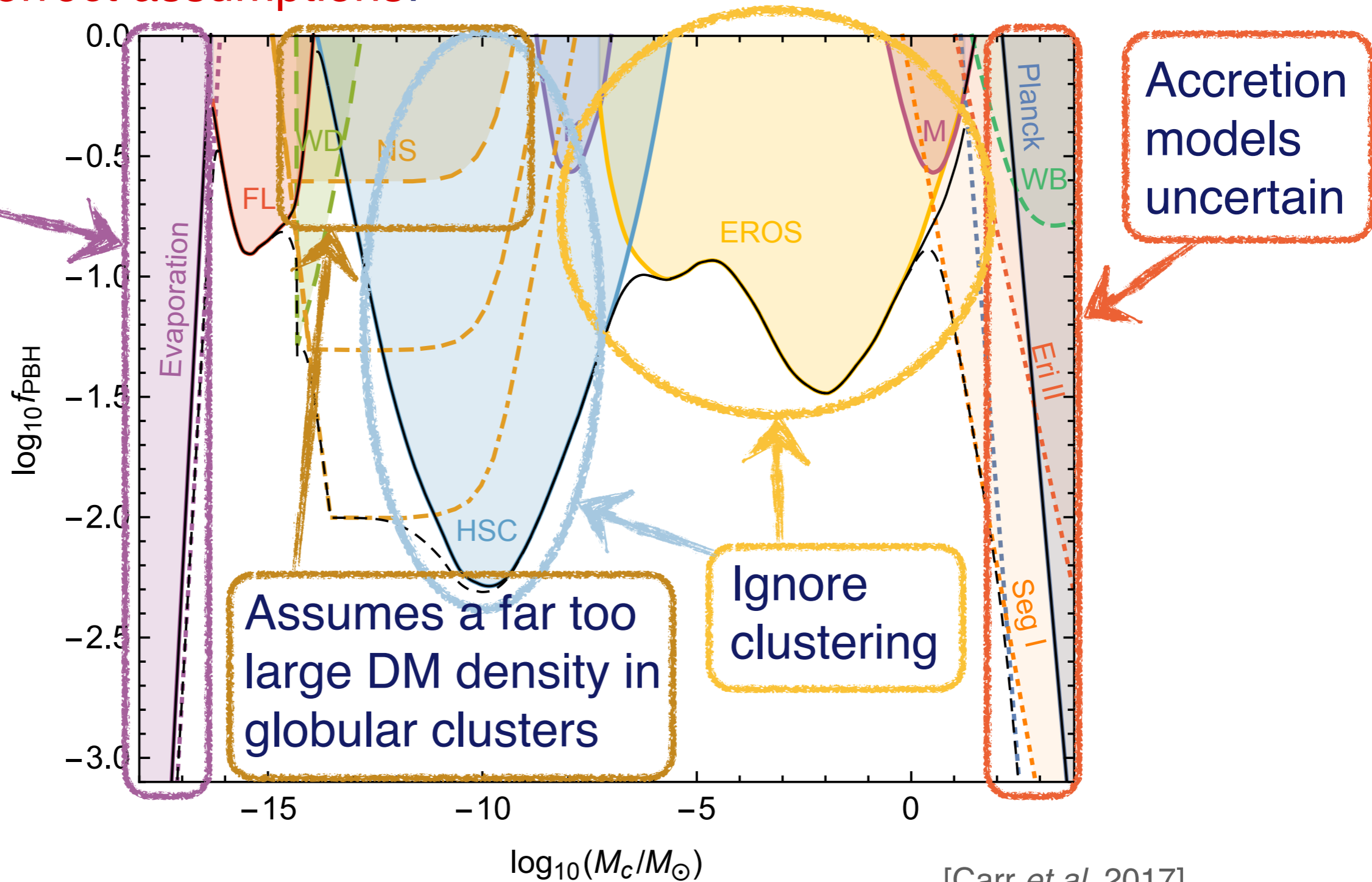
Keep smiling, by choosing well  
your coalition partner.

3/4302507967-

<https://www.welt.de/img/politik/deutschland/2024-01-10/Christian-Lindner-heitert-1021-w1024/>

# Constraints — Words of Caution

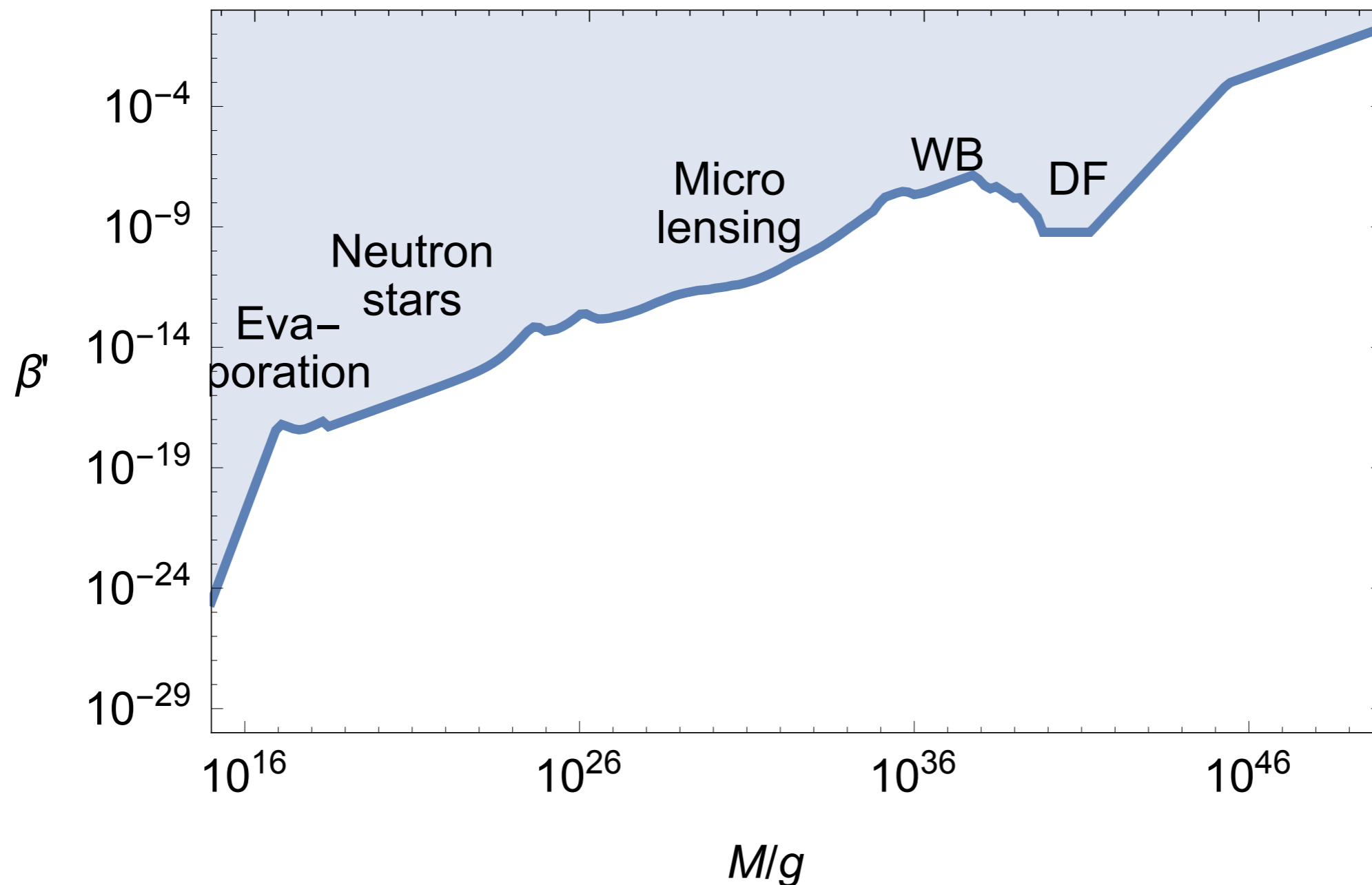
- ★ May constraints rely on rather on **uncertain**, **restrictive**, **simplistic** or even **incorrect assumptions!**



# More Words of Caution

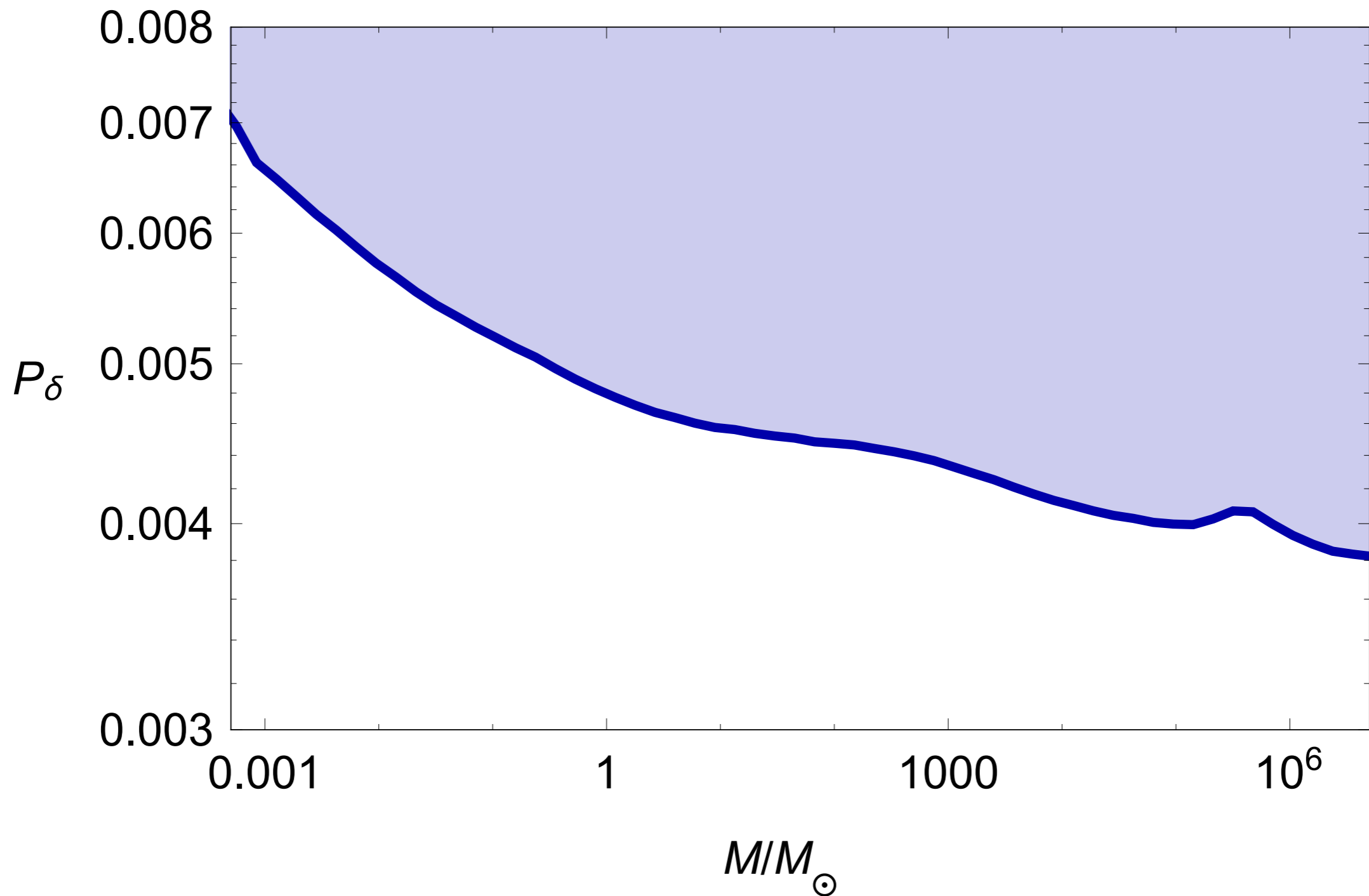
★ One may wonder how the constraints on the PBH dark-matter fraction **constrain the primordial power spectrum.**

★ Go back to the constraints at the **time of formation:**

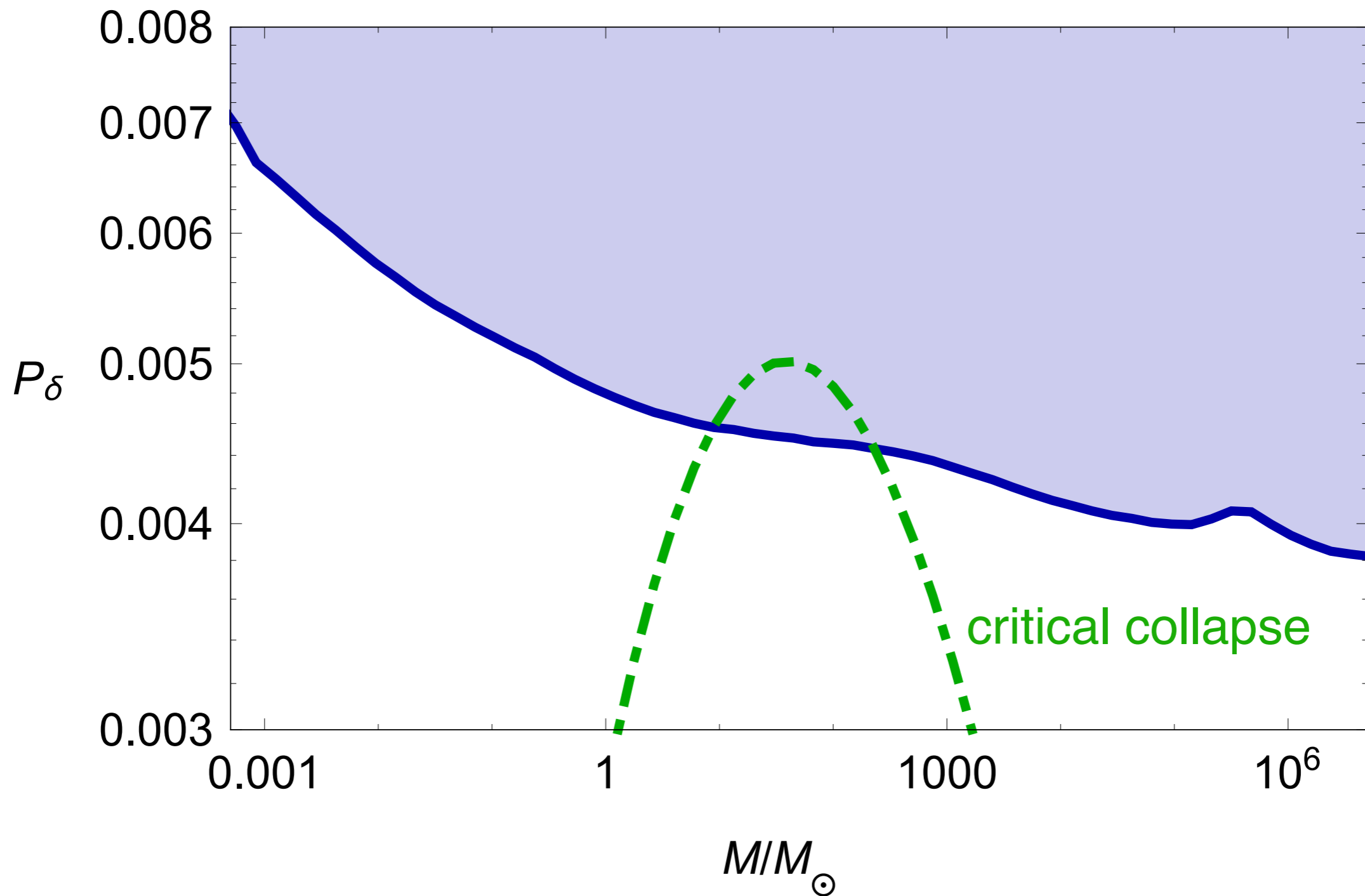


# More Words of Caution

★ These constraints **naïvely** translate to:

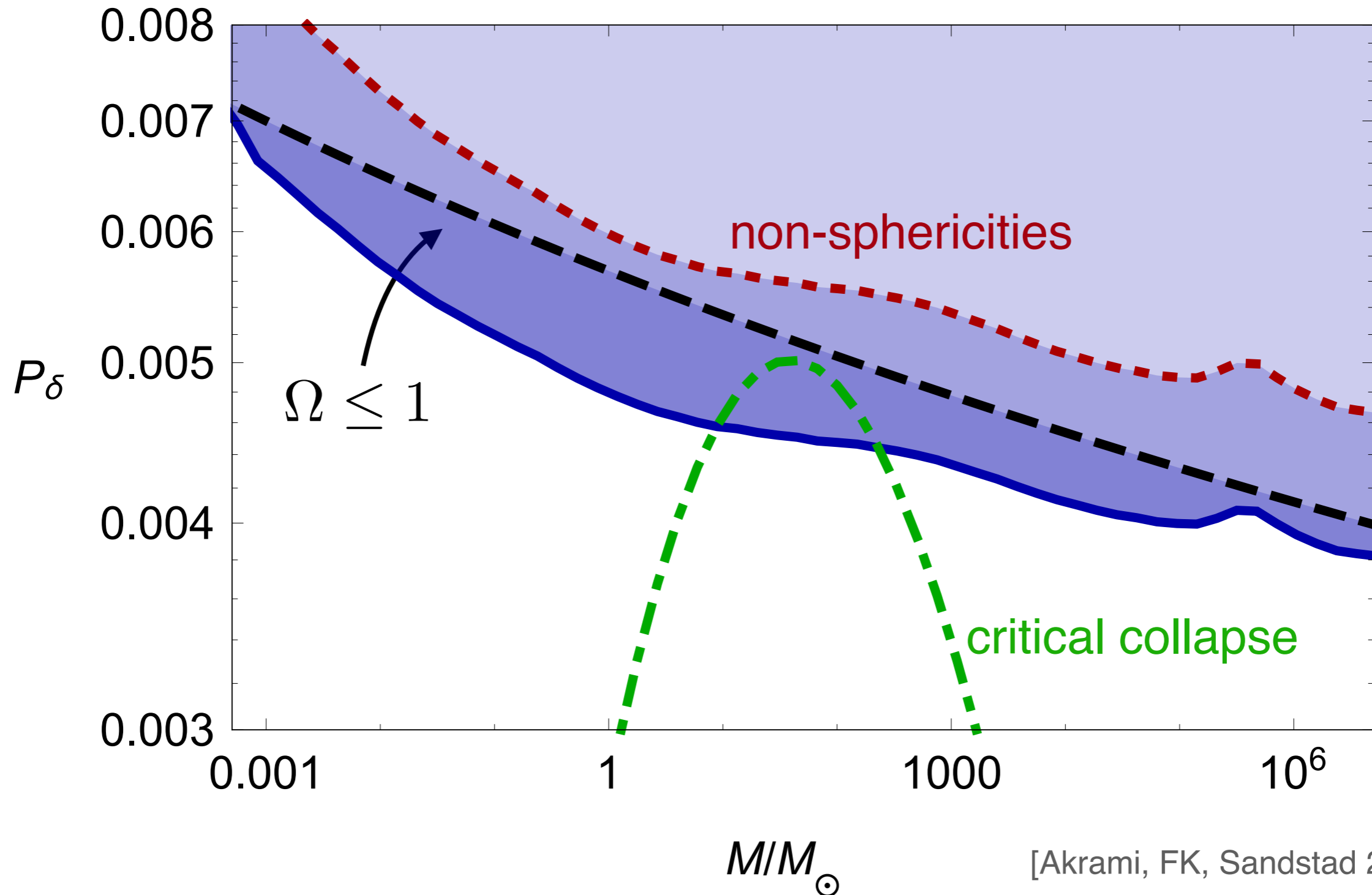


- ★ ... drawing in the power spectrum of a running-mass model, which is **perfectly d'accord** with the mentioned constraints:



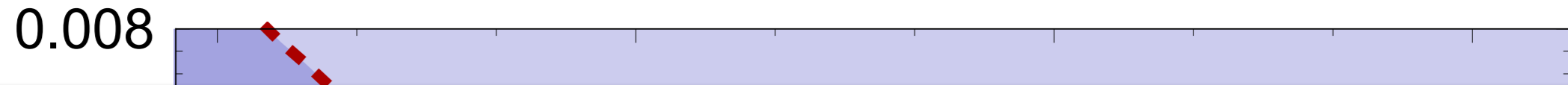
# Constraints on the Primordial Power Spectrum?

★ Moreover, take the **uncertainty due to non-sphericities** into account:

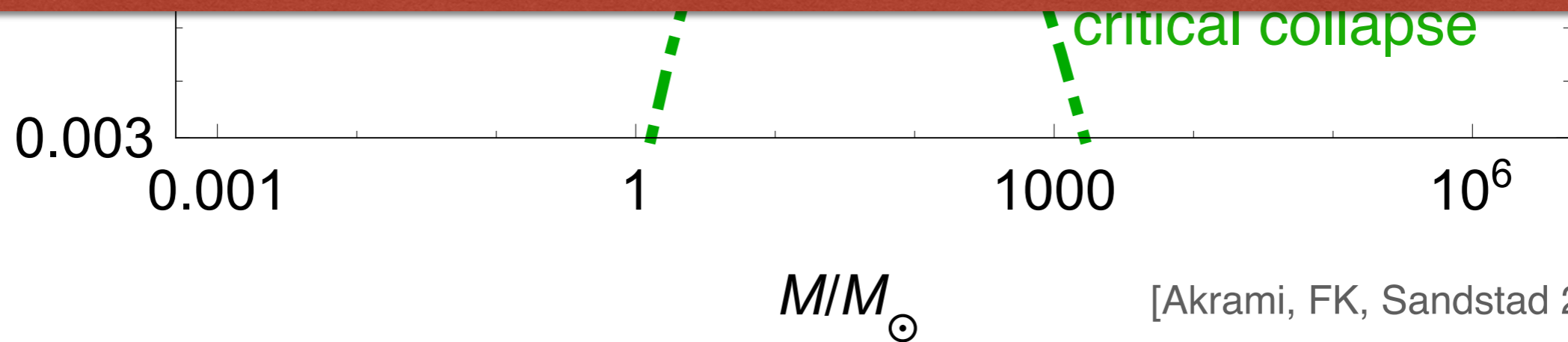


# Constraints on the Primordial Power Spectrum?

- ★ Moreover, take the **uncertainty due to non-sphericities** into account:



The primordial power spectrum is essentially not constrained from current constraints on the PBH abundance!





- ★ Primordial black holes are very **interesting!**
  - ★ They are **unique probes** of their formation scenarios.
  - ★ They could **provide the entire dark matter.**
  - ★ A detailed understanding their **formation** is crucial.
  - ★ **Extended** mass spectra require special care when **comparing to constraints.**
  - ★ Most these constraints rely on rather **unconfirmed assumptions.**
  - ★ **LISA** might detect PBHs!
  - ★ Also, **combined dark-matter scenarios** (PBHs + WIMPs or sterile neutrinos) might be well constraint in the near future.