

# Multi-phase Criticality of Dynamical Symmetry Breaking

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## 2 Dynamical Symmetry Breaking

- Scale-invariant models – a possible solution to the hierarchy problem, interesting for inflation, cosmological gravitational wave background
- Coleman-Weinberg mechanism: spontaneous symmetry breaking by quantum corrections

Coleman & Weinberg, *Phys. Rev. D*7, 1888 (1973)

- Gildener-Weinberg approach to multi-field models: effective potential generated by quantum corrections in the flat direction

Gildener & Weinberg, *Phys. Rev. D*13, 3333 (1976)

### 3 Dynamical Symmetry Breaking

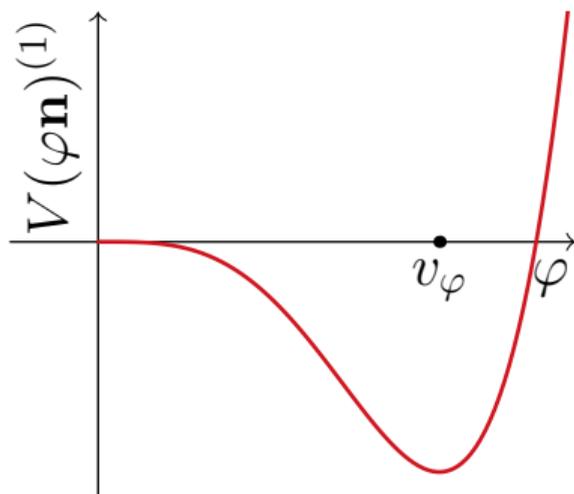
- Action classically scale invariant  
(zero mass and cubic terms)  
See e.g. Alexander-Nunneley & Pilaftsis,  
JHEP 09 (2010) 021 [arXiv:1006.5916]
- VEVs & masses generated  
via quantum corrections
- Does not work in the Standard Model  
due to a large top Yukawa coupling
- Needs new physics: e.g. a new scalar singlet

## 4 Dynamical Symmetry Breaking

- At one-loop level, the potential is

$$V(\Phi) = V^{(0)} + V^{(1)}$$

- In a flat direction  $\Phi = \varphi \mathbf{n}$ , we have  $V(\Phi) = 0$
- $V^{(1)}$  dominates in that direction



## 5 Our Work

- K.K., Marzola, Raidal, Strumia, *Light Higgs boson from multi-phase criticality in dynamical symmetry breaking*, Phys.Lett.B 816 (2021) 136241 [arXiv:2102.01084]
- K.K., Loos, Marzola, *Minima of classically scale-invariant potentials*, JHEP 06 (2021) 128 [arXiv:2011.12304]
- K.K., Kubarski, Marzola, *Geometry of Flat Directions in Scale-Invariant Potentials*, Phys.Rev.D 99 (2019) 11, 115034 [arXiv:1904.07867]

## 6 Field Content & Potential

- Vector of  $n$  real scalar fields

$$\Phi = \begin{pmatrix} \phi_1 \\ \phi_2 \\ \vdots \\ \phi_n \end{pmatrix}$$

- Tree-level potential  $V^{(0)}$
- Fermions with Yukawa couplings to  $\Phi$
- Gauge bosons coupled to  $\Phi$   
via its covariant derivative

## 7 Biquadratic Potential

$$V^{(0)} = \sum_{i,j} \phi_i^2 \lambda_{ij} \phi_j^2 = (\Phi^{\circ 2})^T \Lambda \Phi^{\circ 2}$$

- Hadamard product  $(\mathbf{A} \circ \mathbf{B})_{ij} = A_{ij} B_{ij}$
- Hadamard power  $(\mathbf{A}^{\circ n})_{ij} = A_{ij}^n$ ,  
e.g.  $(\Phi^{\circ 2})_i = (\Phi \circ \Phi)_i = \phi_i^2$
- Potential symmetry is

$$\mathbb{Z}_2^n = (\mathbb{Z}_2)_1 \times \cdots \times (\mathbb{Z}_2)_n,$$

under which  $\phi_i \rightarrow -\phi_i$

## 8 Hadamard Product Example

$$\begin{aligned}(\nabla_{\Phi} V^{(0)})_k &= \frac{\partial}{\partial \phi_k} \sum_{i,j} \phi_i^2 \lambda_{ij} \phi_j^2 \\ &= 4\phi_k \sum_j \lambda_{kj} \phi_j^2\end{aligned}$$

$$\nabla_{\Phi} V^{(0)} = 4\Phi \circ \Lambda \Phi^{\circ 2}$$

## 9 Biquadratic Potential

$$\begin{aligned}V &= \lambda_H |H|^4 + \lambda_{HS} |H|^2 \frac{s^2}{2} + \lambda_S \frac{s^4}{4} \\&= \frac{1}{4} \lambda_H h^4 + \frac{1}{4} \lambda_{HS} h^2 s^2 + \frac{1}{4} \lambda_S s^4 \\&= (\Phi^{\circ 2})^T \Lambda \Phi^{\circ 2}\end{aligned}$$

where

$$\Phi = \begin{pmatrix} h \\ s \end{pmatrix}, \quad \Lambda = \frac{1}{4} \begin{pmatrix} \lambda_H & \frac{1}{2} \lambda_{HS} \\ \frac{1}{2} \lambda_{HS} & \lambda_S \end{pmatrix}$$

## IO Biquadratic Potential

$$\begin{aligned}V &\approx \frac{1}{4}\lambda_H(t)h^4 + \frac{1}{4}\lambda_{HS}(t)h^2s^2 + \frac{1}{4}\lambda_S(t)s^4 \\ &= (\Phi^{\circ 2})^T \Lambda(t) \Phi^{\circ 2}\end{aligned}$$

where

$$\Phi = \begin{pmatrix} h \\ s \end{pmatrix}, \quad \Lambda(t) = \frac{1}{4} \begin{pmatrix} \lambda_H(t) & \frac{1}{2}\lambda_{HS}(t) \\ \frac{1}{2}\lambda_{HS}(t) & \lambda_S(t) \end{pmatrix}$$

■ Couplings run as

$$\frac{d\lambda_i}{dt} = \beta_{\lambda_i}$$

## II Vacuum Stability

- In order to have a finite minimum, the potential must be bounded from below
- $V > 0$  if  $\Lambda$  is copositive, i.e.  $(\Phi^{02})^T \Lambda \Phi^{02}$  for  $\Phi^{02} \geq 0$   
K. Kannike, Eur. Phys. J. C72, 2093 (2012) [arXiv:1205.3781]
- Potential bounded from below at large scales is a necessary condition for a finite minimum to exist
- In a finite range, the running  $\Lambda(t)$  must *violate* the conditions to have a radiative minimum

## I2 Flat Direction(s)

- In the minimum  $V < 0$
- For large fields  $V > 0$
- Somewhere in between,  $V = 0$
- *Each* minimum has a related flat direction

## I3 Vacuum Stability Conditions

Cottle-Habetler-Lemke theorem:

Suppose that the order  $n - 1$  principal submatrices of a real symmetric matrix  $\mathbf{A}$  of order  $n$  are copositive.

Then  $\mathbf{A}$  is copositive if and only if

$$\det(\mathbf{A}) \geq 0 \quad \vee \quad \text{some element(s) of } \text{adj}(\mathbf{A}) < 0.$$

- The adjugate  $\text{adj}(\mathbf{A})$  defined by  $\mathbf{A} \text{adj}(\mathbf{A}) = \det(\mathbf{A}) \mathbf{I}$
- $\mathbf{A}^{-1} = \frac{\text{adj}(\mathbf{A})}{\det(\mathbf{A})}$  if  $\det(\mathbf{A}) \neq 0$

## I4 Vacuum Stability

$$\Lambda = \begin{pmatrix} \lambda_H & \frac{1}{2}\lambda_{HS} \\ \frac{1}{2}\lambda_{HS} & \lambda_S \end{pmatrix}$$

- Self-couplings  $\lambda_H \geq 0$  and  $\lambda_S \geq 0$
- We have

$$\det(\Lambda) = \lambda_H \lambda_S - \frac{1}{4} \lambda_{HS}^2, \quad \text{adj}(\Lambda) = \begin{pmatrix} \lambda_H & -\frac{1}{2}\lambda_{HS} \\ -\frac{1}{2}\lambda_{HS} & \lambda_S \end{pmatrix}$$

- Therefore

$$\det(\Lambda) \geq 0 \quad \vee \quad -\lambda_{HS} < 0,$$

equivalent to

$$\lambda_{HS} + 2\sqrt{\lambda_H \lambda_S} \geq 0$$

## I5 Phases

s)  $s \neq 0$  and  $h = 0$  arises when the critical boundary

$$\lambda_S = 0, \quad \beta_{\lambda_S} > 0$$

is crossed ( $\lambda_{HS} > 0$  to give  $m_h^2 > 0$ )

h)  $h \neq 0$  and  $s = 0$  arises when

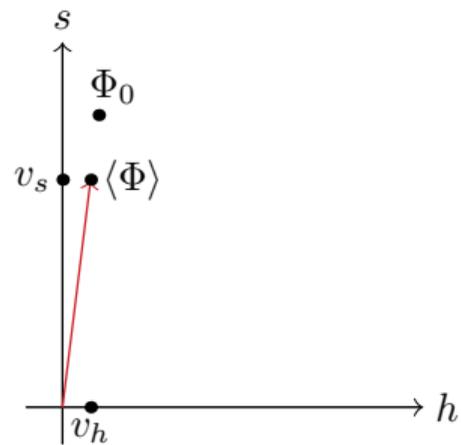
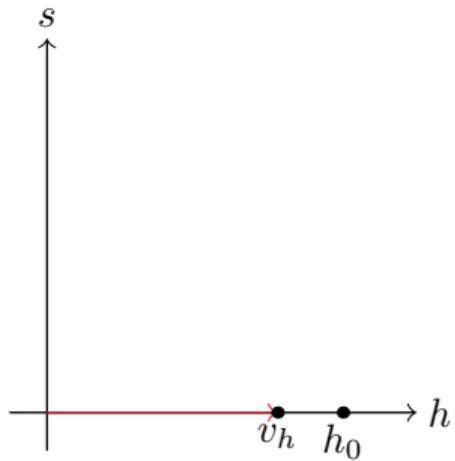
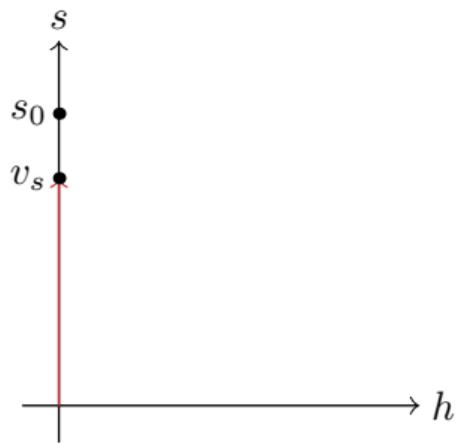
$$\lambda_H = 0, \quad \beta_{\lambda_H} > 0$$

sh)  $s, h \neq 0$  arises when

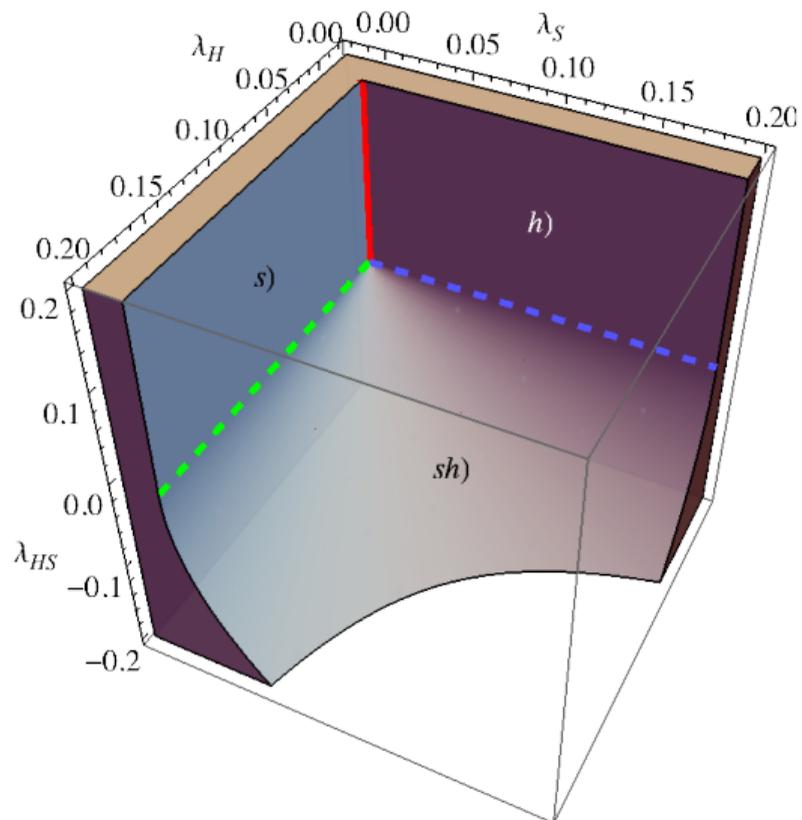
$$2\sqrt{\lambda_H \lambda_S} + \lambda_{HS} = 0, \quad \lambda_S \beta_{\lambda_H} + \lambda_H \beta_{\lambda_S} - \frac{1}{2} \lambda_{HS} \beta_{\lambda_{HS}} > 0$$

is crossed; flat direction given by  $s/h = (\lambda_H/\lambda_S)^{1/4}$

# I6 Phases

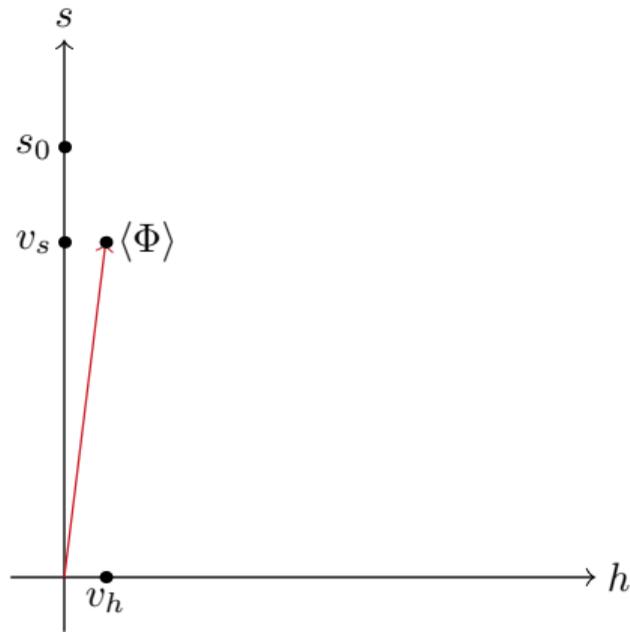


# I7 Phases



## I8 Multi-phase criticality

- $\lambda_s(t_0) = \lambda_{HS}(t_0) = 0$
- The s and sh flat directions coincide on the s-axis: a 'double' flat direction
- Minimum is *off* axis



## I9 Effective Potential

At one-loop level,

$$V = V^{(0)} + V^{(1)},$$

where

$$\begin{aligned} V^{(1)} = & \frac{1}{64\pi^2} \left\{ \text{tr} \left[ \mathbf{m}_S^4 \left( \ln \frac{\mathbf{m}_S^2}{\mu^2} - \frac{3}{2} \right) \right] \right. \\ & + 3 \text{tr} \left[ \mathbf{m}_V^4 \left( \ln \frac{\mathbf{m}_V^2}{\mu^2} - \frac{5}{6} \right) \right] \\ & \left. - 4 \text{tr} \left[ \mathbf{m}_F^4 \left( \ln \frac{\mathbf{m}_F^2}{\mu^2} - \frac{3}{2} \right) \right] \right\} \end{aligned}$$

with dimensional regularisation in the  $\overline{\text{MS}}$  scheme

## 20 Effective Potential

The one-loop potential can be written as

$$V^{(1)} = \frac{1}{64\pi^2} \text{Str } m^4 \left( \ln \frac{m^2}{\mu^2} - c \right) = \mathbb{A} + \mathbb{B} \ln \frac{\mathcal{M}^2}{\mu^2},$$

where

$$\mathbb{A} = \frac{1}{64\pi^2} \text{Str } M^4 \left( \ln \frac{M^2}{\mathcal{M}^2} - c \right),$$
$$\mathbb{B} = \frac{1}{64\pi^2} \text{Str } M^4$$

## 2I One-Loop Potential

- $\mathcal{M}$  is a ‘pivot scale’ with the dimension of mass  
Chataignier, Prokopec, Schmidt & Świeżewska,  
JHEP 08 (2018) 083 [arXiv:1805.09292]
- We choose  $\mathcal{M}^2 = \mathbf{e}_{\mathcal{M}}^T \Phi^{\circ 2}$ ,  
where  $\mathbf{e}_{\mathcal{M}}$  is a constant vector
- Radial coordinate  $\varphi^2 \equiv \Phi^T \Phi = \mathbf{e}^T \Phi^{\circ 2}$ ,  
where vector  $\mathbf{e} = (1 \ \dots \ 1)^T$

## 22 Running

Callan-Symanzik equation tells that

$$\frac{dV^{(0)}}{dt} = (4\pi)^2 \mathbb{B} = (\Phi^{\circ 2})^T \beta \Phi^{\circ 2} - \Phi^T \gamma \nabla_{\Phi} V^{(0)}$$

where

$$\beta = \frac{d\Lambda}{dt}, \quad t = \frac{\ln(\mu^2 / \mu_0^2)}{(4\pi)^2}$$

## 23 Running

- Set  $\mu = \mathcal{M}$ , so that  $\mathcal{M}_0 = \mu_0$
- The effective potential becomes

$$V(t) = V^{(0)}(t) + \mathbb{A}$$

- The running parameter is now also  $\mathcal{M}$ -dependent:

$$t = \frac{1}{(4\pi)^2} \ln \frac{\mathcal{M}^2}{\mathcal{M}_0^2}$$

## 24 Minimisation Equation

The stationary point equation is

$$0 = \nabla_{\Phi} V = 4\Phi \circ \Lambda(t)\Phi^{\circ 2} + \nabla_{\Phi} \mathbb{A} + \frac{dV}{dt} \nabla_{\Phi} t$$

We have  $\nabla_{\Phi} \mathcal{M}^2 = \nabla_{\Phi} e_{\mathcal{M}}^T \Phi^{\circ 2} = 2e_{\mathcal{M}} \circ \Phi$  and consequently

$$\nabla_{\Phi} t = \frac{2}{(4\pi)^2} \frac{1}{\mathcal{M}^2} e_{\mathcal{M}} \circ \Phi$$

## 25 Radial Minimisation Equation

Project along the field vector:

$$\begin{aligned}0 &= \Phi^T \nabla_{\Phi} V \\ &= 4V^{(0)}(\mathbf{t}) + 4\mathbb{A} + 2\mathbb{B} \\ &= 4V + 2\mathbb{B},\end{aligned}$$

where we used  $\Phi^T \nabla_{\Phi} \mathbb{A} = 4\mathbb{A}$ , which holds because  $\mathbb{A}$  is a homogenous function of order four.

## 26 Radial Minimisation Equation

- Let  $V(t_0) = 0$  at a scale  $t_0$
- $\mathbb{B} > 0$  so the potential is bounded from below at higher scales
- Expanding to linear order in  $t$ ,

$$0 \approx 4 \left( V(t_0) + \frac{dV^{(0)}}{dt} t \right) + 2\mathbb{B}$$

at the minimum

- Using  $\frac{dV^{(0)}}{dt} = (4\pi)^2 \mathbb{B}$ , we recover the GW relation  $\mathcal{M}^2 = e^{-1/2} \mathcal{M}_0^2$ ,  
so  $t = t_0 - 1/[2(4\pi)^2]$

## 27 Minimisation Equation

$$0 = \Phi \circ \left( 4\Lambda(t)\Phi^{\circ 2} + 2\mathbb{B}\frac{\mathbf{e}_{\mathcal{M}}}{\mathcal{M}^2} \right),$$

$$\Lambda(t)\Phi^{\circ 2} = \frac{V}{\mathcal{M}^2}\mathbf{e}_{\mathcal{M}},$$

solved by

$$\Phi^{\circ 2} = \frac{1}{\det(\Lambda(t))} \frac{V}{\mathcal{M}^2} \text{adj}(\Lambda(t))\mathbf{e}_{\mathcal{M}}.$$

Approximating  $V$  by  $V^{(0)}$  this becomes

$$\Phi^{\circ 2} = \frac{\mathcal{M}^2}{\mathbf{e}_{\mathcal{M}}^T \text{adj}(\Lambda(t))\mathbf{e}_{\mathcal{M}}} \text{adj}(\Lambda(t))\mathbf{e}_{\mathcal{M}}.$$

## 28 Mass Matrix

The mass matrix around the minimum is then given by

$$\begin{aligned} m_S^2 &= \nabla_\Phi \nabla_\Phi^T V \\ &= M_S^2 + (4\pi)^2 \nabla_\Phi \mathbb{B} \nabla_\Phi^T t + (4\pi)^2 \nabla_\Phi t \nabla_\Phi^T \mathbb{B} \\ &\quad + (4\pi)^2 \mathbb{B} \nabla_\Phi \nabla_\Phi^T t + \nabla_\Phi \nabla_\Phi^T \mathbb{A}, \end{aligned}$$

where  $M_S^2$  is the tree-level scalar mass matrix

- The term proportional to  $\mathbb{B}$  is canceled by a similar term resulting from  $\nabla_\Phi \nabla_\Phi^T \mathbb{A}$

## 29 Calculation of the Potential Minimum

- Choose  $\mathcal{M} = s$  along the flat direction

$$e_{\mathcal{M}} = e_s = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

- Then  $t = \ln(s^2/s_0^2)/(4\pi)^2$
- Tree-level flat direction  $V = 0$  at  $s_0$
- Minimum given by the usual Gildener-Weinberg relation

$$s^2 = e^{-\frac{1}{2}} s_0^2$$

## 30 Calculation of the Potential Minimum

- Expand  $\mathbb{A}$  in  $\hbar^2/s^2$ , giving  $\Lambda(t) \rightarrow \Lambda(t) + \Delta\Lambda$
- Define  $t_s = t(s = s_s)$  and  $t_{HS} = t(s = s_{HS})$ , the expansion can be absorbed in the field-dependent couplings, yielding

$$\begin{aligned}\lambda_s(t) &= \beta_s(t - t_s), \\ \lambda_{HS}(t) &= \beta_{HS}(t - t_{HS}),\end{aligned}$$

- Flat direction given by

$$\begin{aligned}0 &= \det(\Lambda(t_0)) \\ &= \beta_{HS}^2 t_0^2 - (4\lambda_H \beta_S + 2\beta_{HS}^2 t_{HS}) t_0 + 4\lambda_H \beta_S t_s\end{aligned}$$

### 3I Calculation of the Potential Minimum

- Ignore terms proportional to  $\beta_{\text{HS}}^2$  (irrelevant unless  $|\mathbf{t}_{\text{HS}} - \mathbf{t}_s|$  is large)
- We get  $\lambda_s(\mathbf{t}_0) \approx 0$  and  $s_0 \approx s_s$
- Minimum direction

$$\Phi^{\circ 2} = e^{-\frac{1}{2}s_0^2} \frac{\text{adj}(\Lambda(\mathbf{t}))\mathbf{e}_s}{\mathbf{e}_s^T \text{adj}(\Lambda(\mathbf{t}))\mathbf{e}_s},$$

where

$$\text{adj}(\Lambda)(\mathbf{t}) = \begin{pmatrix} \lambda_H(\mathbf{t}) & -\frac{1}{2}\lambda_{\text{HS}}(\mathbf{t}) \\ -\frac{1}{2}\lambda_{\text{HS}}(\mathbf{t}) & \lambda_s(\mathbf{t}) \end{pmatrix}$$

## 32 Calculation of the Potential Minimum

- We have that  $t = t_0 - (1/2)/(4\pi)^2 \approx t_S - (1/2)/(4\pi)^2$  at the minimum
- Define  $\ln R \approx (4\pi)^2(t - t_{HS})$  or  $R = e^{-1/2 \frac{s_S^2}{s_{HS}^2}}$
- Expressing  $t_{HS}$  in terms of  $\ln R$ , the potential minimum is at

$$\Phi^{o2} \approx e^{-\frac{1}{2} s_S^2} \begin{pmatrix} -\beta_{\lambda_{HS}} \ln R / 2\lambda_H (4\pi)^2 \\ 1 \end{pmatrix}$$

### 33 Calculation of the Potential Minimum

- Mixing angle

$$\theta \approx \frac{(m_S^2)_{hs}}{m_S^2 - m_h^2} \approx \frac{\beta_{HS}(1 + \ln R)}{2\beta_S + \beta_{HS} \ln R} \times \frac{h}{s}$$

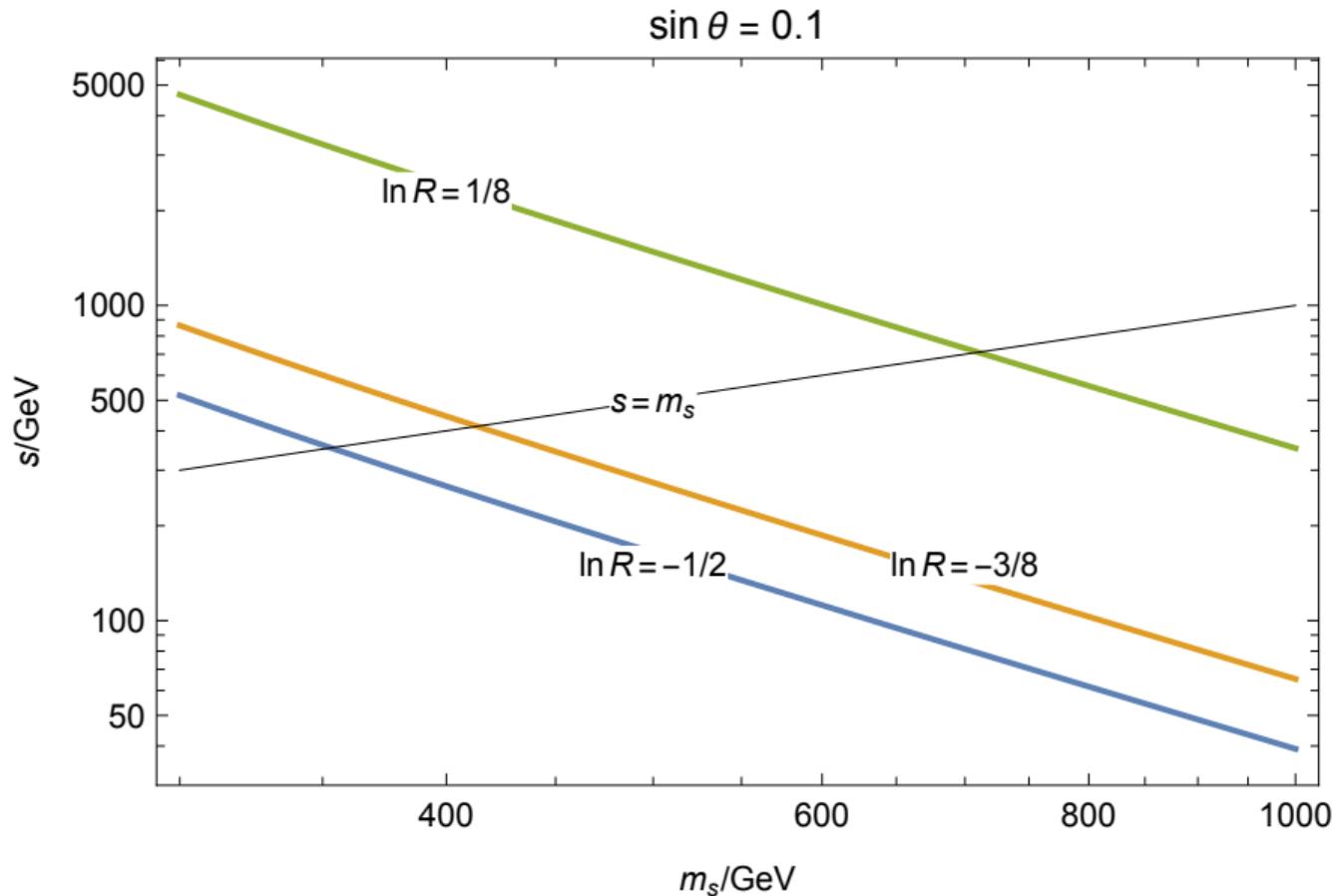
- Higgs mass (since  $\nabla_\Phi t \propto e_s$ )

$$\begin{aligned} m_h^2 &= 3\lambda_H(t)h^2 + \frac{1}{2}\lambda_{HS}(t)s^2 \\ &\approx \frac{-s^2\beta_{\lambda_{HS}} \ln R}{(4\pi)^2} = 2\lambda_H h^2 \end{aligned}$$

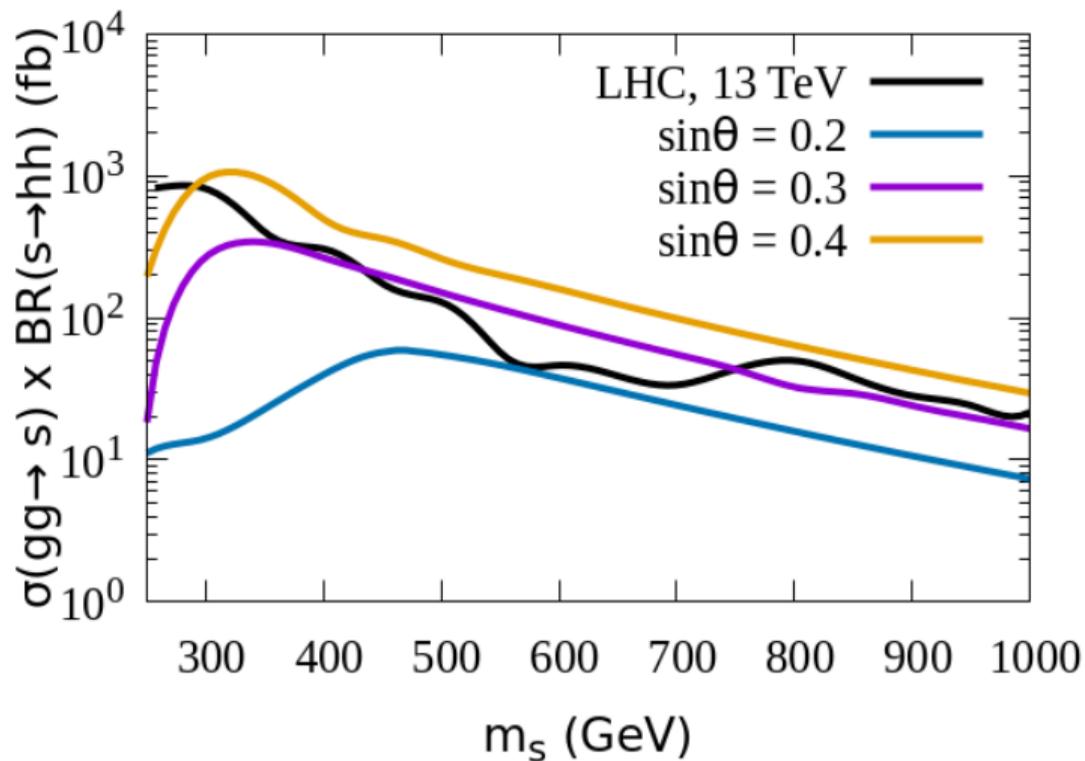
- Since  $\Phi^T(M_S^2 + \nabla_\Phi \nabla_\Phi^T \mathbb{A})\Phi = 12V$ , the scalon mass is

$$m_s^2 \approx \frac{1}{s^2} \Phi^T m^2 \Phi \approx \frac{\beta_{\lambda_s}}{(4\pi)^2} 2s^2$$

# 34 Numerical Results



## 35 Numerical Results



## 36 Conclusions

- Matrix formalism  
with Hadamard product:  
compact ‘prepackaged’ expressions
- Multi-phase criticality:  
loop-suppressed mass both  
for scalon and the Higgs boson

## 37 Lowest Order Solution

- Approximating

$\Lambda \approx \Lambda_{\min} + \beta \ln \frac{\varphi^2}{v_\varphi^2}$ , we have

$$V(\varphi) = \mathbb{B}(\mathbf{n})v_\varphi^4 \left( \ln \frac{\varphi^2}{v_\varphi^2} - \frac{1}{2} \right)$$

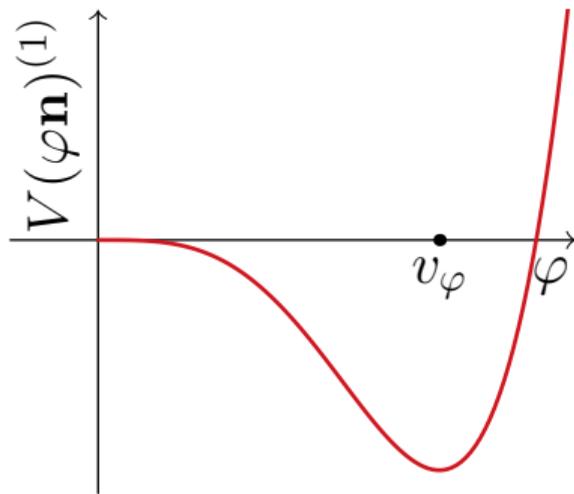
Sher, Phys. Rept. 179 (1989) 273

- The field  $\varphi$  along the flat direction obtains a mass

$$m_\varphi^2 = 8\mathbb{B}(\mathbf{n})v_\varphi^2$$

Gildener & Weinberg,

Phys. Rev. D13, 3333 (1976)



## 38 Anomalous Dimensions

- Fields must be scaled with anomalous dimensions as  $\Phi(t) = \exp(\Gamma(t))\Phi(t_0)$ , where  $\Gamma(t) = -\int_{t_0}^t \gamma(s)ds$
- Denote  $\Phi \equiv \Phi(t_0)$  and take the anomalous dimensions into account by scaling  $\Lambda \rightarrow \exp(2\Gamma(t))\Lambda \exp(2\Gamma(t))$  and  $\beta \rightarrow \exp(2\Gamma(t))\beta \exp(2\Gamma(t))$