

# *Old and recent puzzles in Flavor Physics*

Gino Isidori

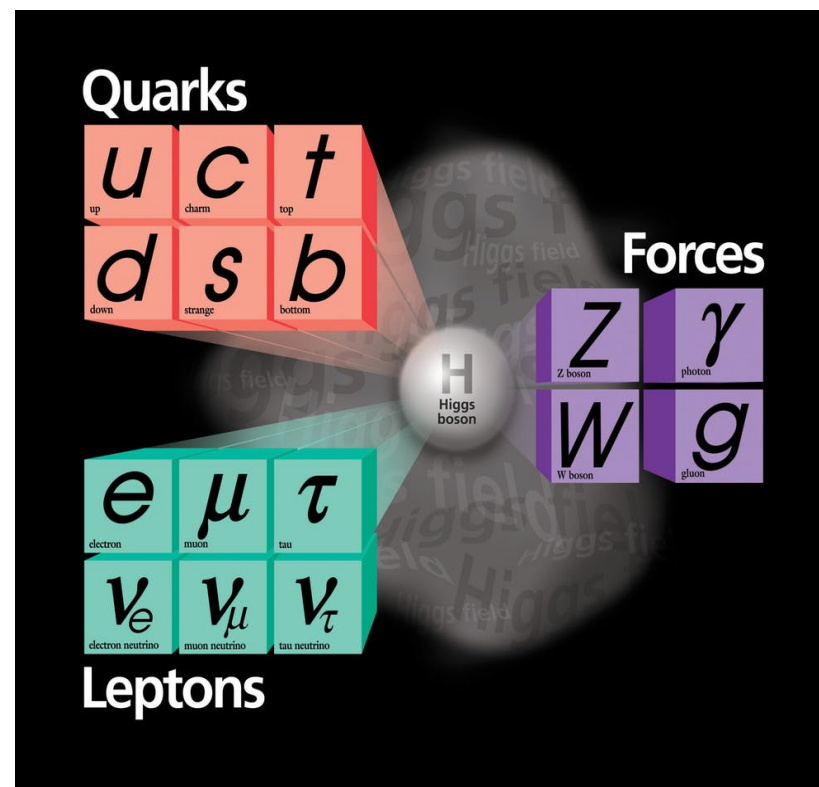
[ *University of Zürich* ]

- ▶ Introduction [*Open problems, common lore, recent hopes*]
- ▶ On the recent “anomalies” in B-physics
- ▶ Bottom-up approaches to describe the anomalies
- ▶ Speculations on UV completions
- ▶ Possible future implications
- ▶ Conclusions

## ► Introduction

All microscopic phenomena seems to be well described by a remarkably simple Theory (*that we continue to call “model” only for historical reasons...*):

$$\mathcal{L}_{\text{Standard Model}} = \mathcal{L}_{\text{gauge}}(\psi_i, A_a) + \mathcal{L}_{\text{Higgs}}(H, A_a, \psi_i)$$



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All microscopic phenomena seems to be well described by a remarkably simple Theory (*that we continue to call “model” only for historical reasons...*).

However, this Theory has some deep unsolved problems:

Electroweak hierarchy  
problem

Flavor puzzle  
Neutrino masses  
U(1) charges

Dark-matter  
Dark-energy  
Inflation

Quantum gravity



The Standard Model (SM) should be regarded as an effective theory

i.e. the limit (*in the range of energies and effective couplings so far probed*)  
of a more fundamental theory  
with new degrees of freedom

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However, this Theory has some deep unsolved problems:

	<i>problem due to...</i>	<i>...indicating</i>
Electroweak hierarchy problem	→ <u>Instability of the Higgs mass term</u>	→ <u>New dynamics close to the Fermi scale</u> ( $\sim 1 \text{ TeV}$ )
Flavor puzzle	→ <u>Ad hoc tuning in the model parameters</u>	] <u>No well-defined energy scale</u>
Neutrino masses		
U(1) charges		
Dark-matter	→ <u>Cosmological implementation of the SM</u>	
Dark-energy		
Inflation		
Quantum gravity	→ <u>General problem of any QFT</u>	

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“Common lore” (I) :

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$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}}(A_a, \psi_i) + \mathcal{L}_{\text{Higgs}}(H, A_a, \psi_i)$$



Understanding what **stabilizes the Higgs sector**  
(*EW hierarchy problem*) is the natural  
“main avenue” to discover New Physics

## ► Introduction

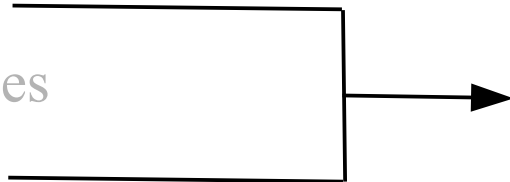
This “main avenue” has led to very appealing BMS constructions that, however, so far do not find experimental confirmation (*making these theories less and less appealing...*) → worth to explore new directions.

Electroweak hierarchy  
problem

Flavor puzzle

Neutrino masses

U(1) charges



A direction which seems to be suggested by recent low-energy data (*“flavor anomalies” ...*)

Dark-matter

Dark-energy

Inflation

*If correct...* → very important implications for addressing also the other problems

Quantum gravity

# Introduction [the flavor structure of the SM]

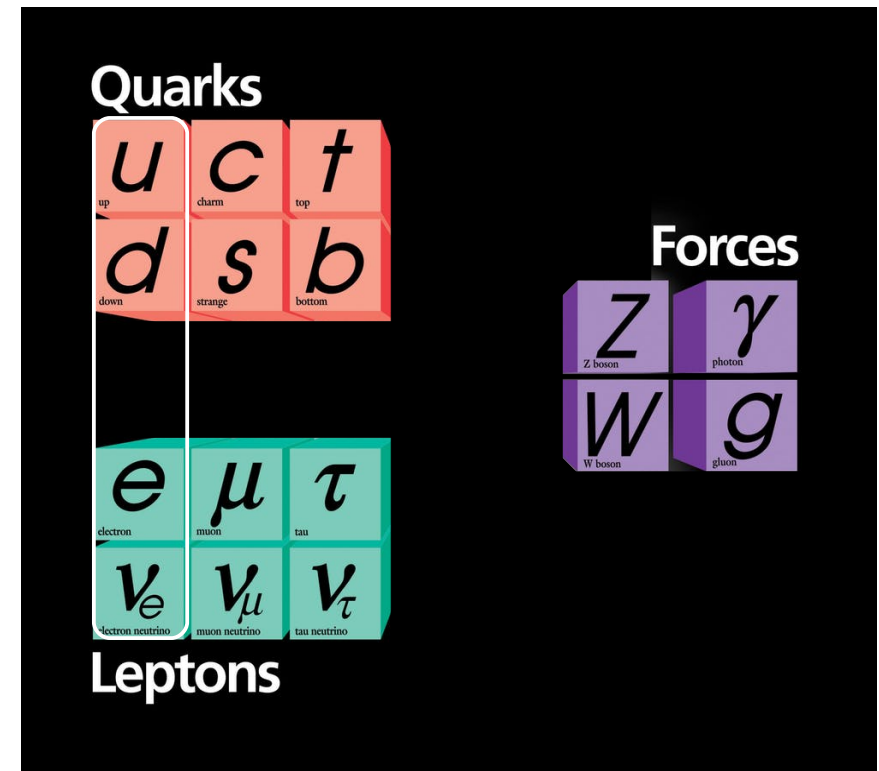
$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}}(A_a, \psi_i) + \mathcal{L}_{\text{Higgs}}(H, A_a, \psi_i)$$

3 identical replica of the basic fermion family

$[\psi = Q_L, u_R, d_R, L_L, e_R] \Rightarrow$  huge flavor-degeneracy [ $U(3)^5$  symmetry]

$$Q_L = \begin{bmatrix} u_L \\ d_L \end{bmatrix} \quad u_R \quad d_R \quad L_L = \begin{bmatrix} \nu_L \\ e_L \end{bmatrix} \quad e_R$$

$$\mathcal{L}_{\text{gauge}} = \sum_a -\frac{1}{4g_a^2} (F_{\mu\nu}^a)^2 + \sum_\psi \sum_i \bar{\psi}_i i \not{D} \psi_i$$



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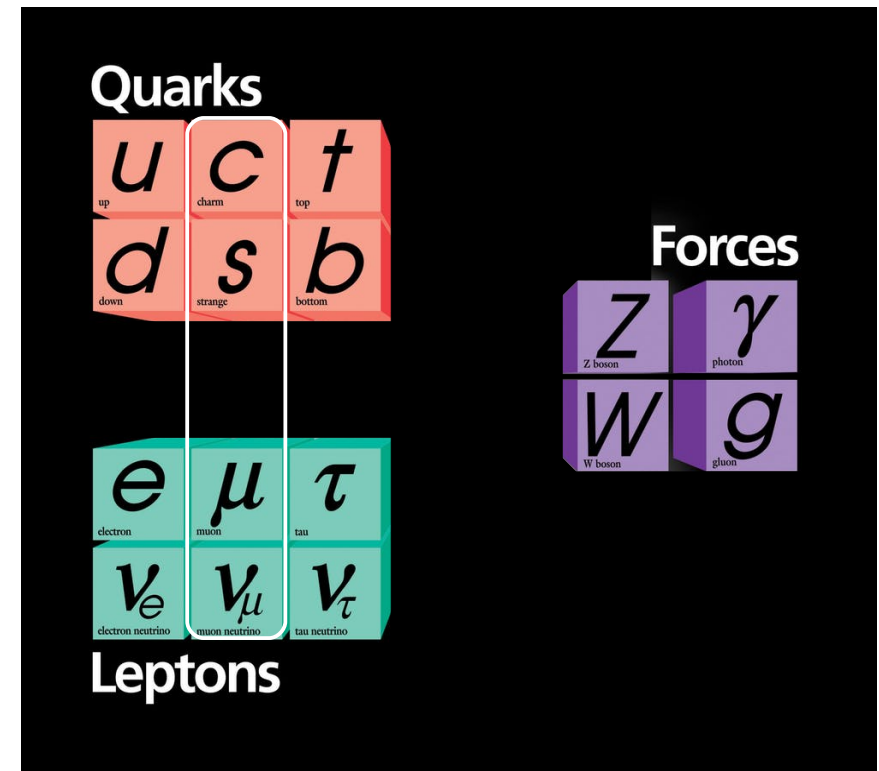
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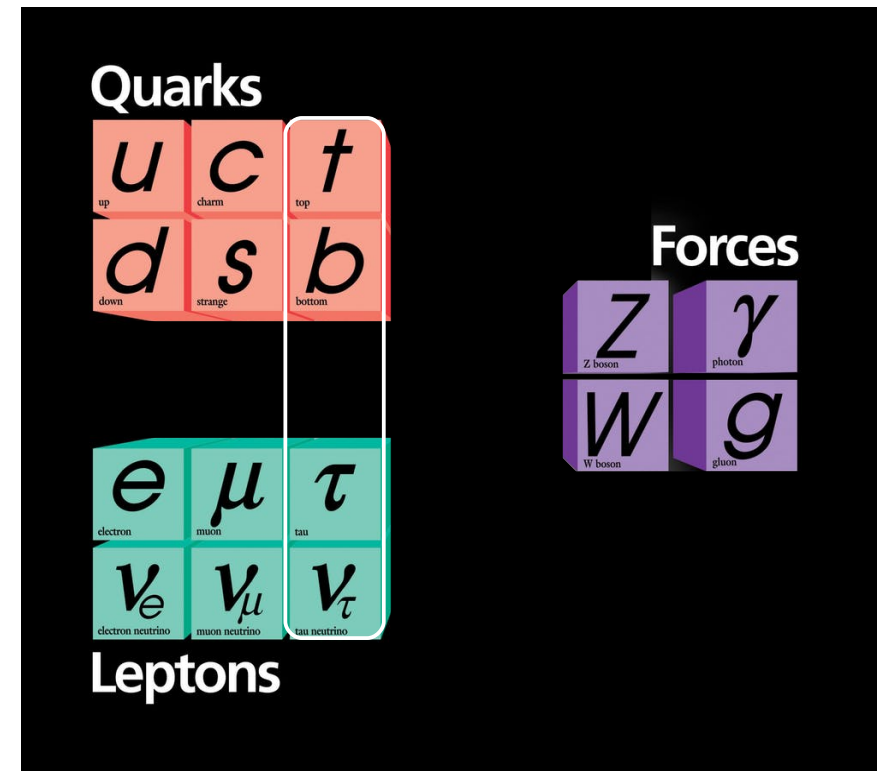
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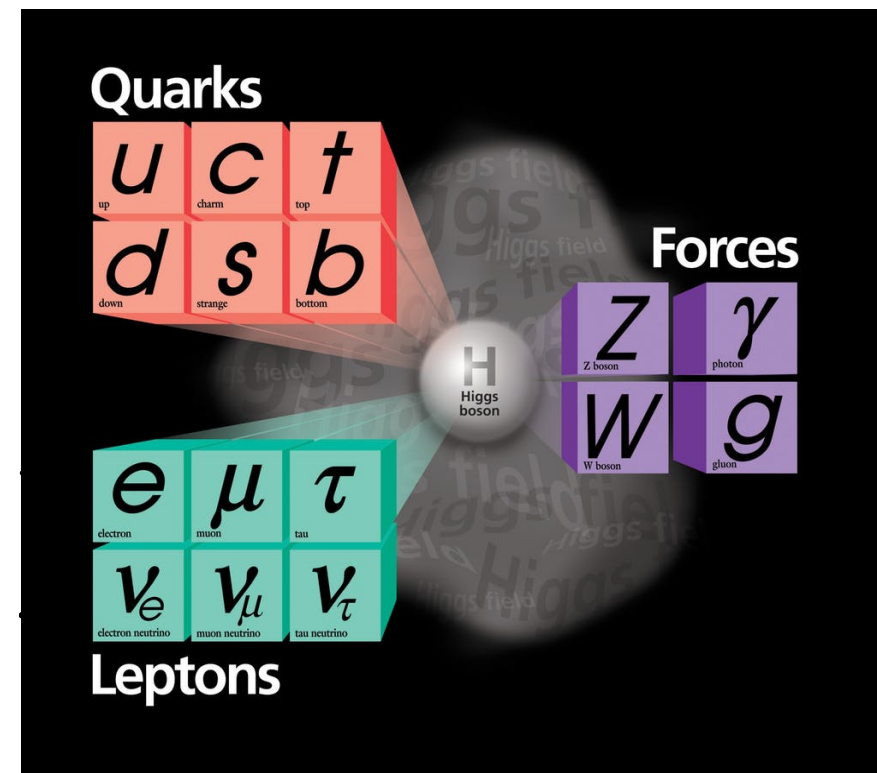
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$$\bar{L}_L^i Y_L^{ik} e_R^k H + h.c.$$

$$\bar{Q}_L^i Y_D^{ik} d_R^k H + h.c.$$

$$\bar{Q}_L^i Y_U^{ik} u_R^k H_c + h.c.$$



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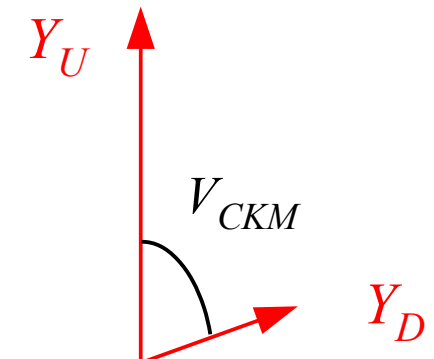
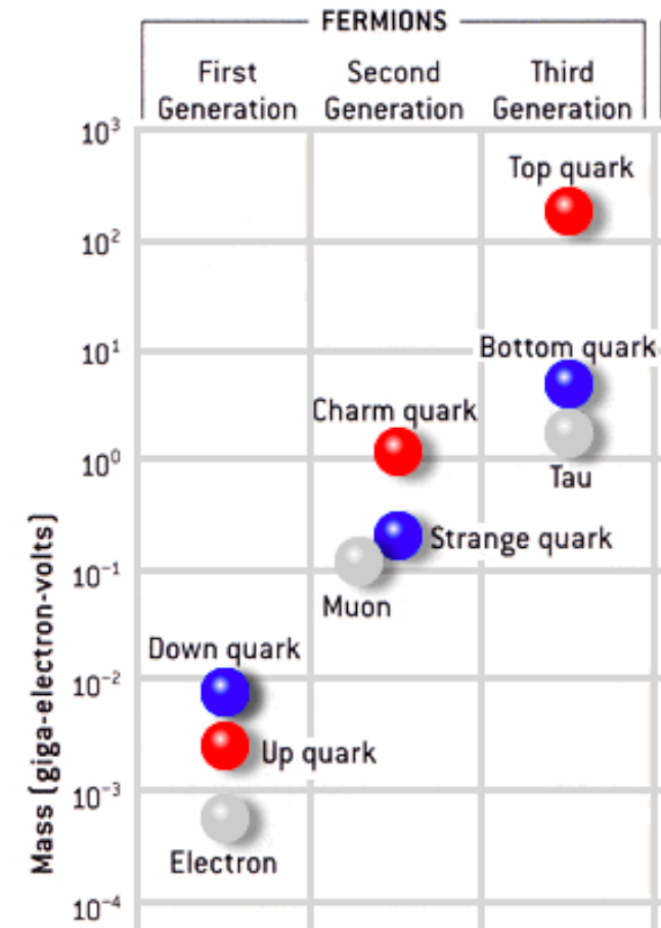
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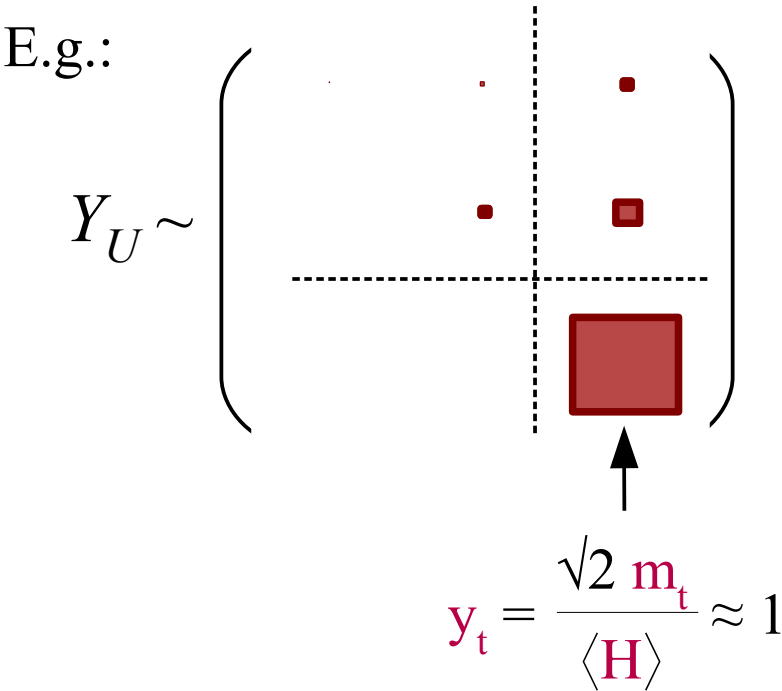
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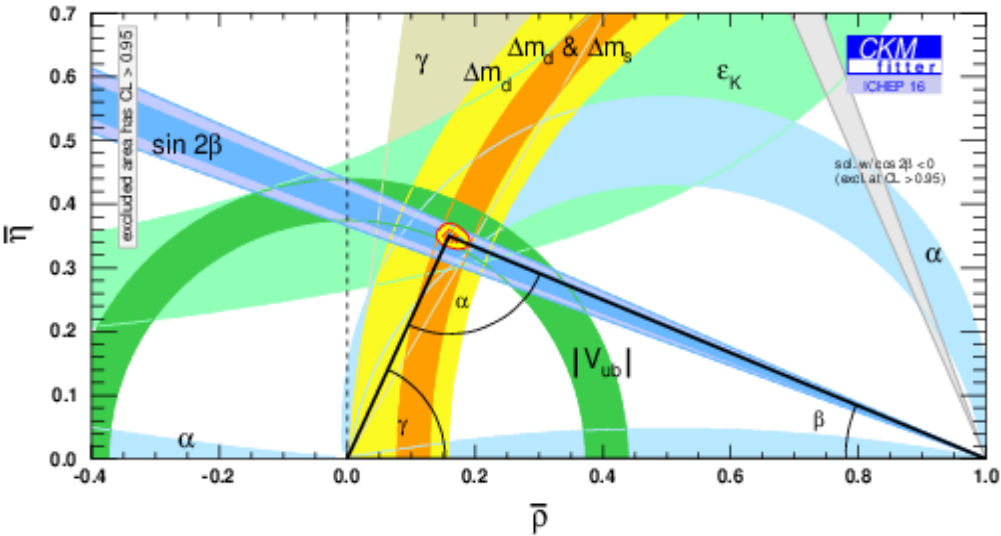
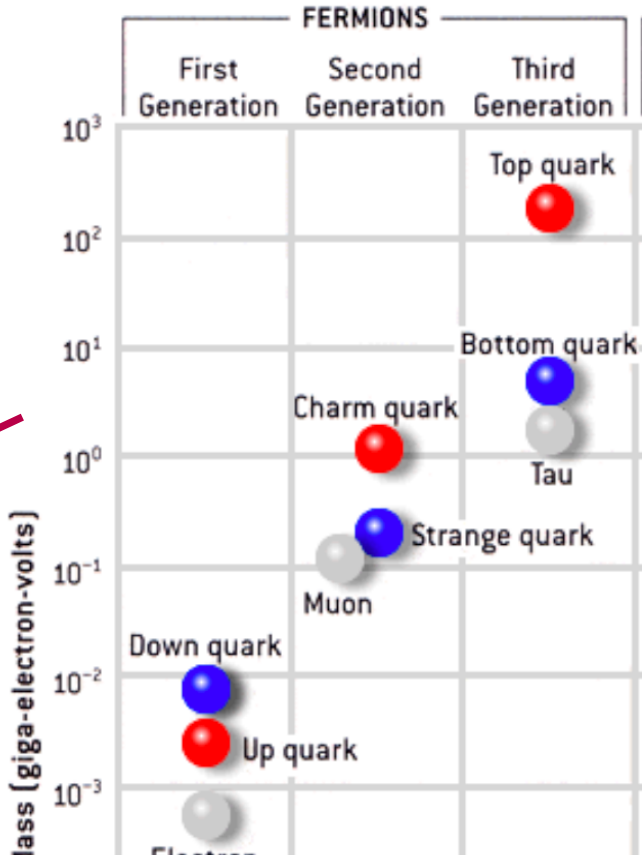


► Introduction [*the flavor structure of the SM*]

The SM flavor sector (= *the Yukawa sector*) contains a large number of free parameters (fermion masses & mixing angles), which *do not look at all accidental...*



*The “old” flavor puzzle...*

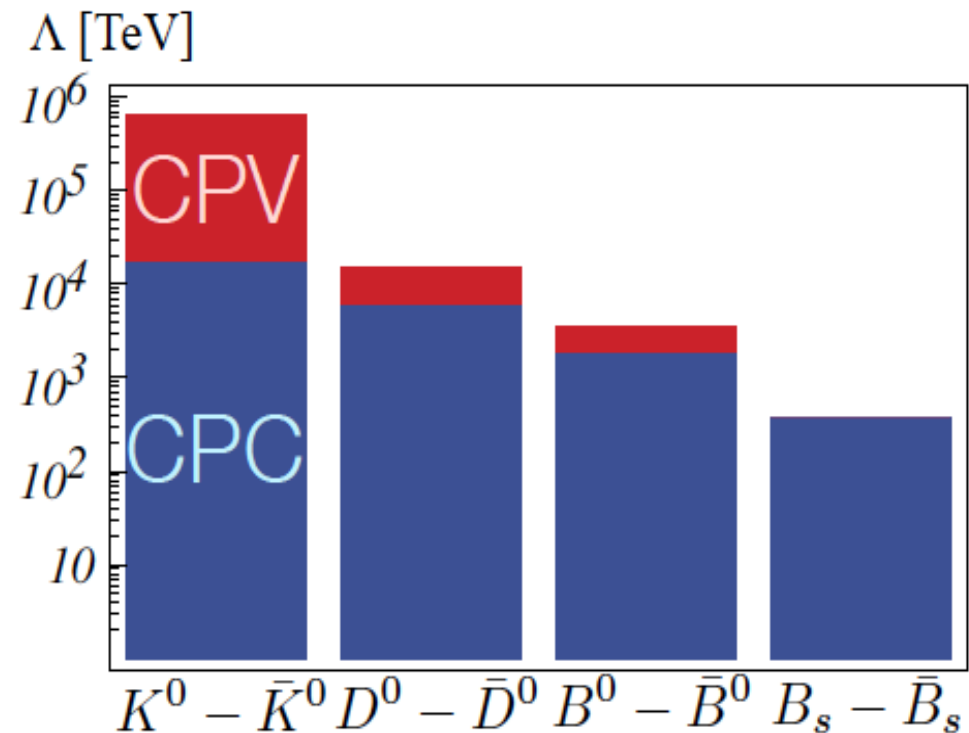
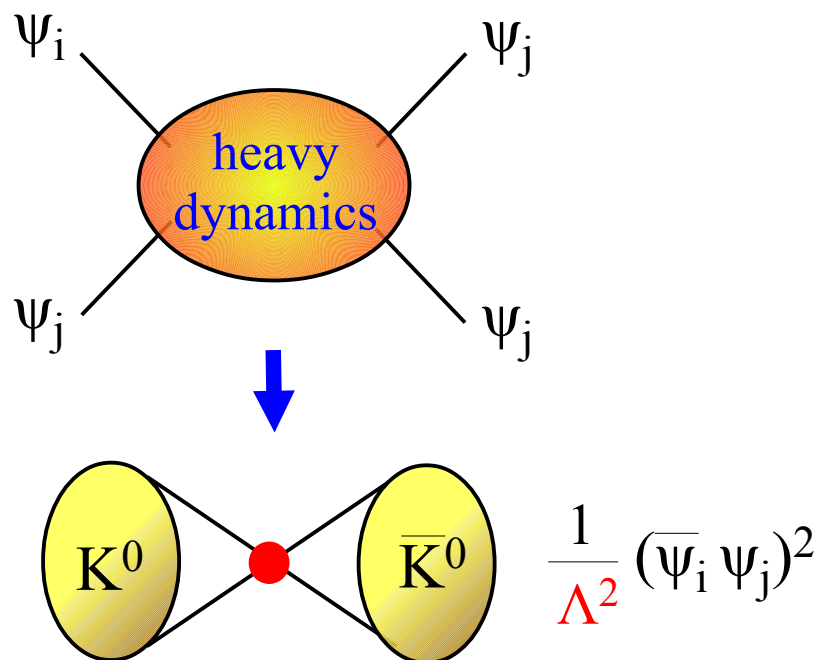


# Introduction [the flavor structure of the SM & beyond...]

“Common lore” (II) :

The flavor structures are generated at some very heavy energy scale  $\rightarrow$  *No chance to probe their dynamical origin*

This idea is supported by a series of precision measurement of rare flavor-violating processes which show no deviations from the SM:



Since so far (almost) everything fits well with the SM  $\rightarrow$  Strong limits on NP

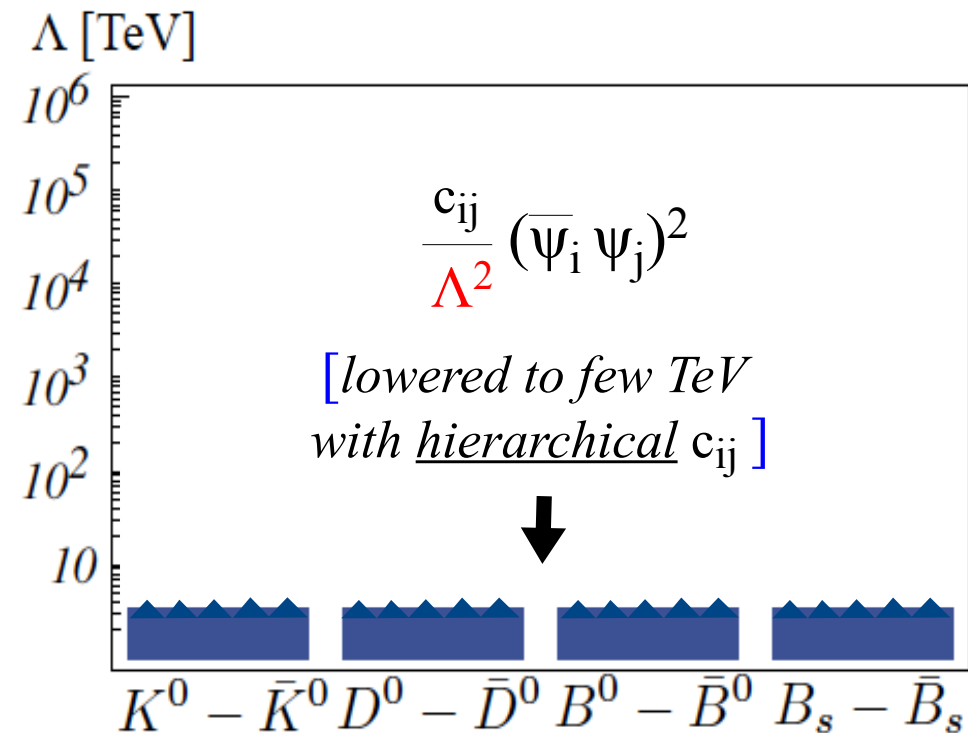
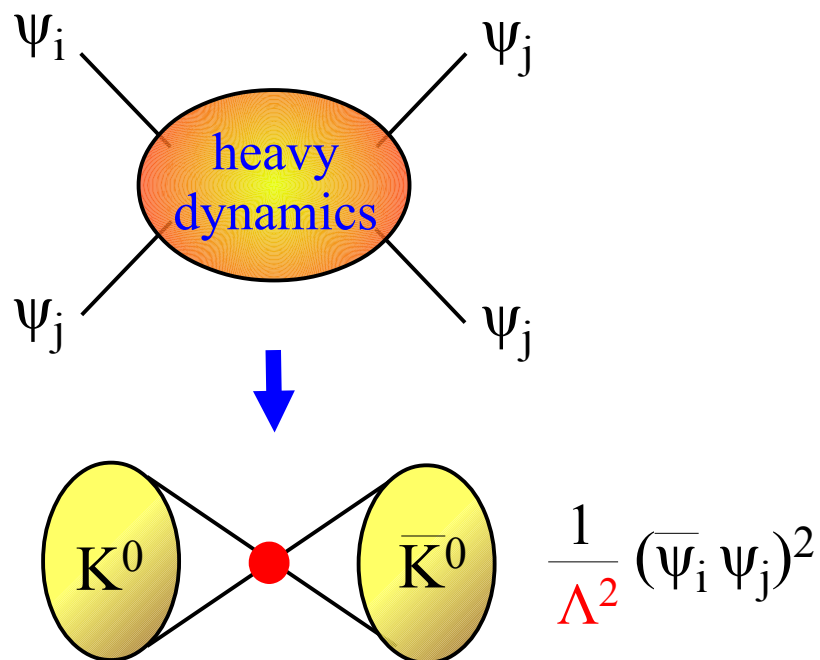
► Introduction [*the flavor structure of the SM & beyond...*]

“Common lore” (II) :

seemed to be

The flavor structures are generated at some very heavy energy scale  $\rightarrow$  *No chance to probe their dynamical origin*

This idea ~~is~~ supported by a series of precision measurement of rare flavor-violating processes which show no deviations from the SM:



There is a flaw in the argument.... *bounds above few TeV are misleading !*

► Introduction [*the flavor structure of the SM & beyond...*]

The point of view that non-trivial flavor dynamics cannot be probed at low energies is challenged by a series of recent “anomalies” in B physics: the observation of a different (*non-universal*) behavior of different lepton species in specific in  $b$  (3<sup>rd</sup> gen.)  $\rightarrow c,s$  (2<sup>nd</sup>) semi-leptonic processes:

- $b \rightarrow c$  charged currents:  $\tau$  vs. light leptons ( $\mu, e$ )
- $b \rightarrow s$  neutral currents:  $\mu$  vs.  $e$

**IF** taken together... this is probably the largest “coherent” set of NP effects in present data...

*The “new” flavor puzzle...*

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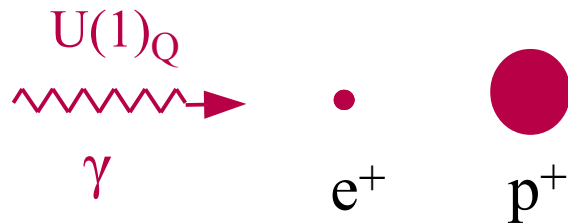
What is particularly interesting, is that these anomalies are challenging an assumption (Lepton Flavor Universality), that we gave for granted for many years (*without many good theoretical reasons...*)



*Interesting shift of paradigm  
(in flavor physics, but possibly also beyond)*

► Introduction [*General considerations on LFU*]

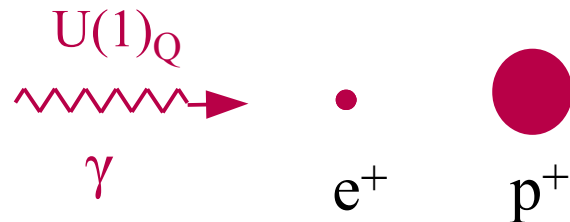
Suppose we could test matter only with long wave-length photons...



We would conclude that these two particles are  
“identical copies” but for their mass ...

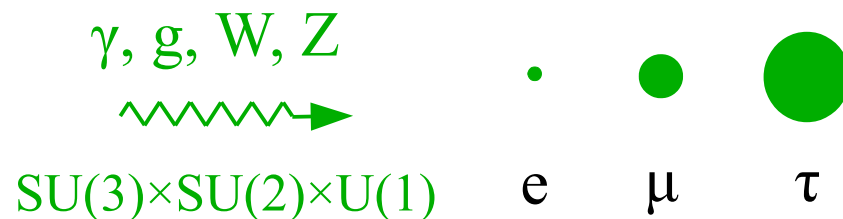
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This is exactly the same (*potentially misleading*) argument we use to infer LFU in the SM...

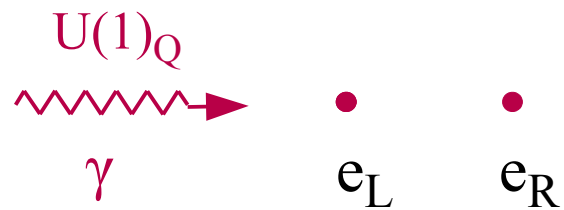


These three (families) of particles seems to be “identical copies” but for their mass ...

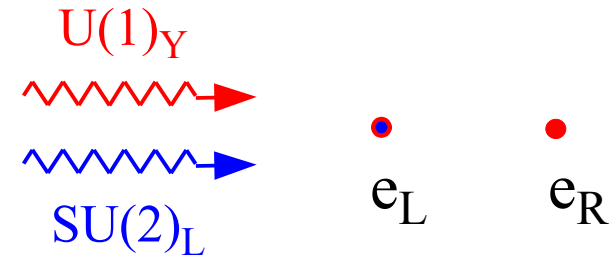
The SM quantum numbers of the three families could be an “accidental” low-energy property: the different families may well have a very different behavior at high energies, as signaled by their different mass

# ▶ Introduction [*General considerations on LFU*]

Along the same line...



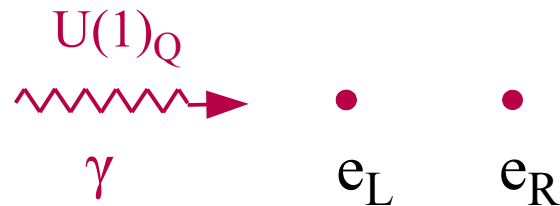
*Low energies*



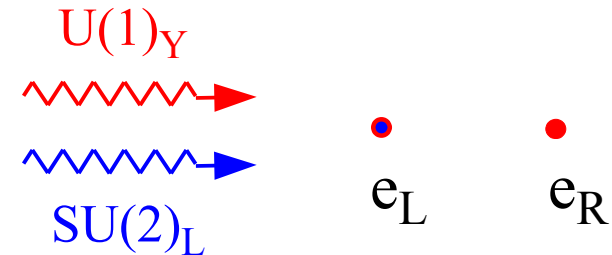
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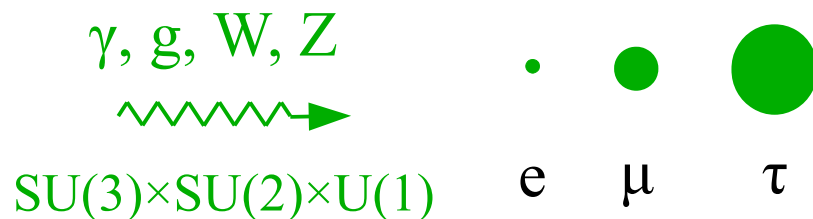
Along the same line...



Low energies



High energies



???

The apparent **flavor symmetry** of the SM could well be only an **accidental low-energy property**, such as isospin or  $SU(3)$  in QCD...

► Introduction [*General considerations on LFU*]

So far, the vast majority of BSM model-building attempts

- Concentrate only on the Higgs hierarchy problem
- Postpone (ignore) the flavor problem, implicitly assuming the 3 families are “identical” copies (but for Yukawa-type interactions)

*“Common lore” (I)*

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The recent flavor anomalies seem to suggest a shift of paradigm:

- We should not ignore the flavor problem [→ *new (non-Yukawa) interactions at the TeV scale distinguishing the different families*]
- A (very) different behavior of the 3 families (with special role for 3<sup>rd</sup> gen.) *may be the key to solve/understand also the gauge hierarchy problem*

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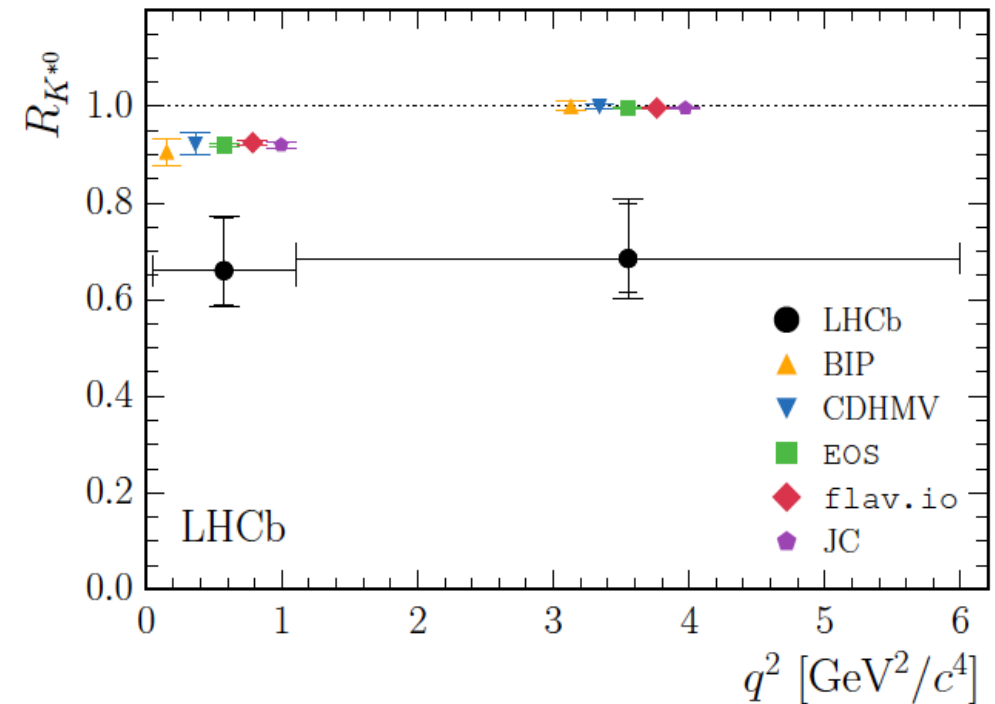
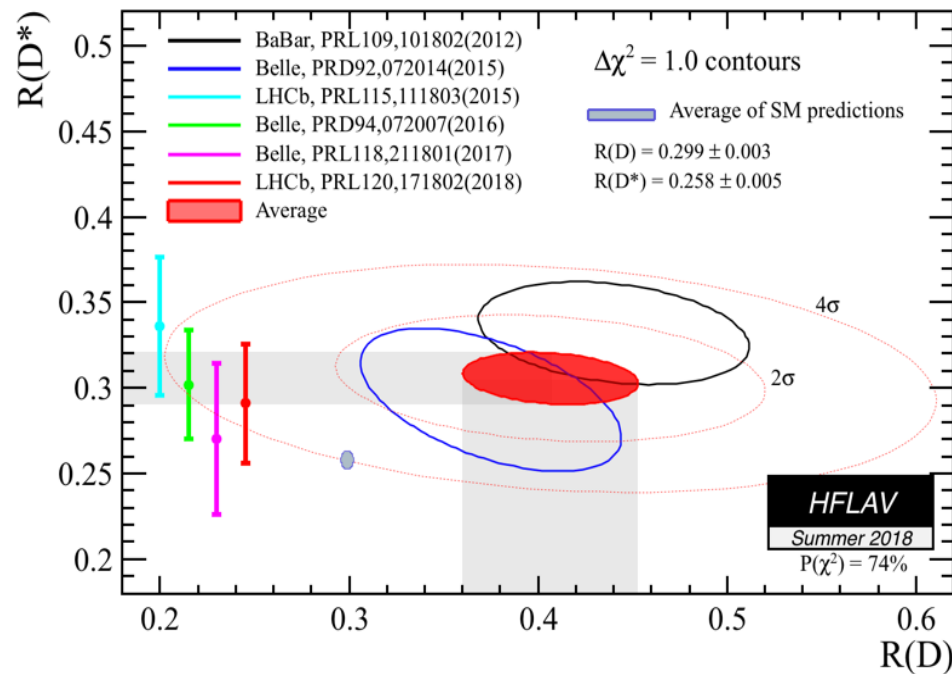
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And, if we are lucky... these anomalies may help us to find new ways to achieve **quark-lepton unification** (→ solve the problem of the quantization of the U(1) charges)

# On the recent B-physics anomalies

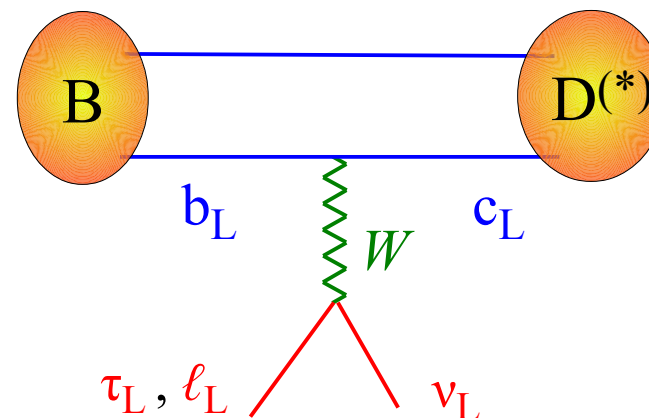


►  $B \rightarrow D^{(*)} \tau \nu$  [Babar, Belle, LHCb]

Test of **L**epton **F**lavor **U**niversality in charged currents  
[ $\tau$  vs. light leptons ( $\mu$ ,  $e$ ) ]:

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$X = D \text{ or } D^*$

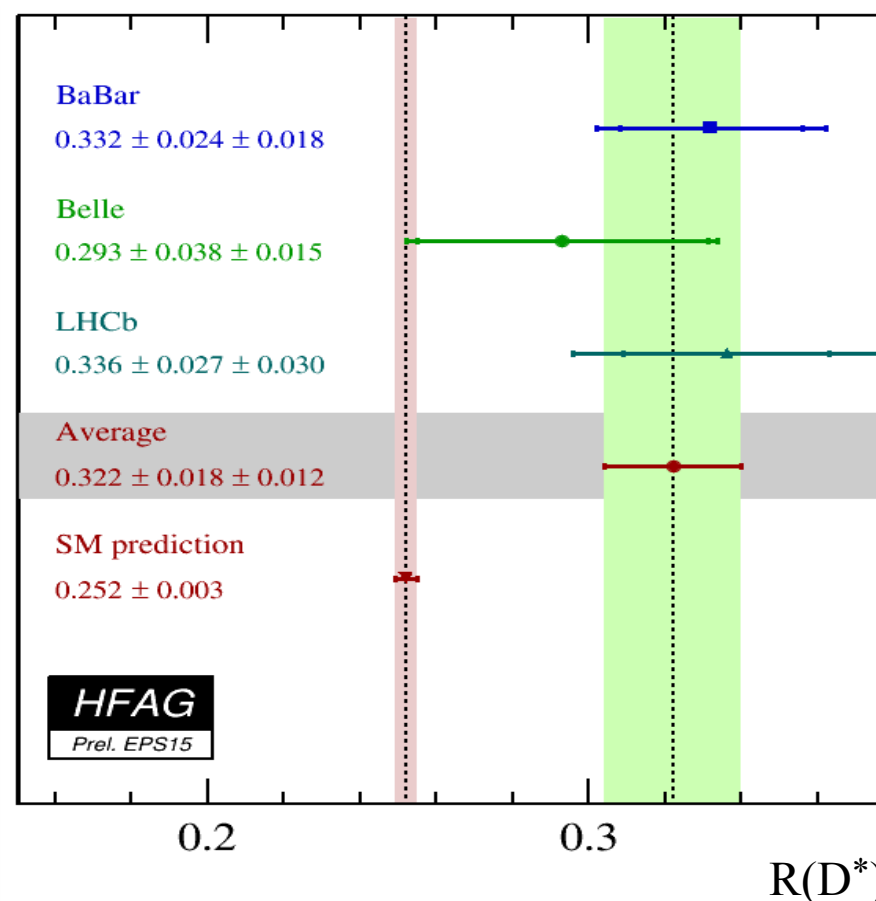
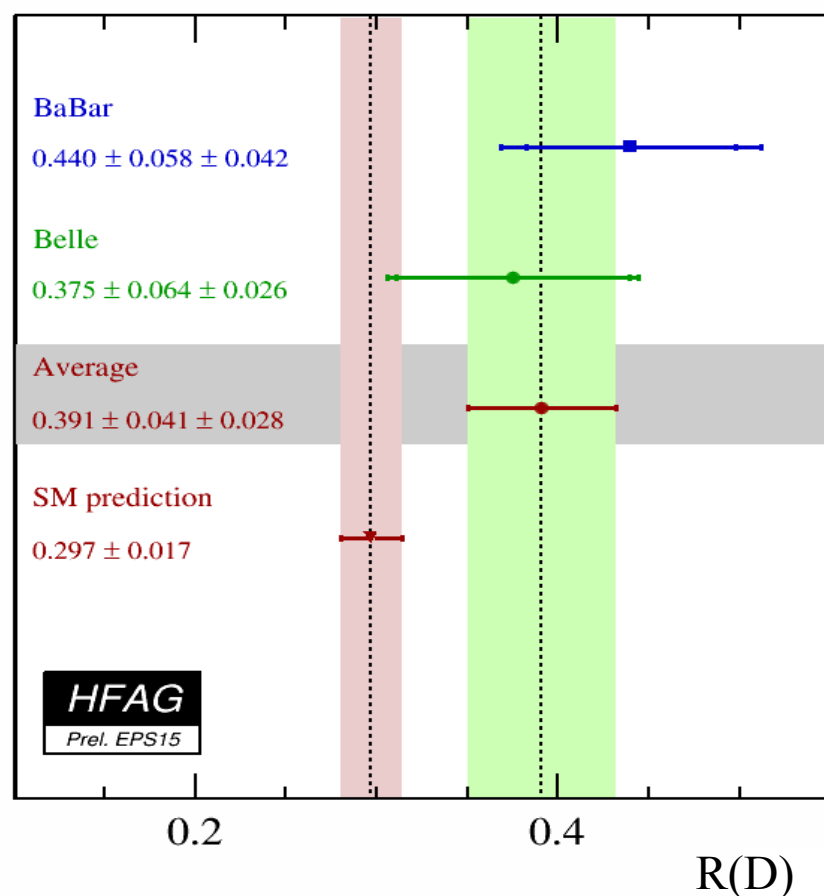


- **SM** prediction quite **solid**: hadronic uncertainties cancel (*to large extent*) in the ratio and deviations from 1 in  $R(X)$  expected only from phase-space differences

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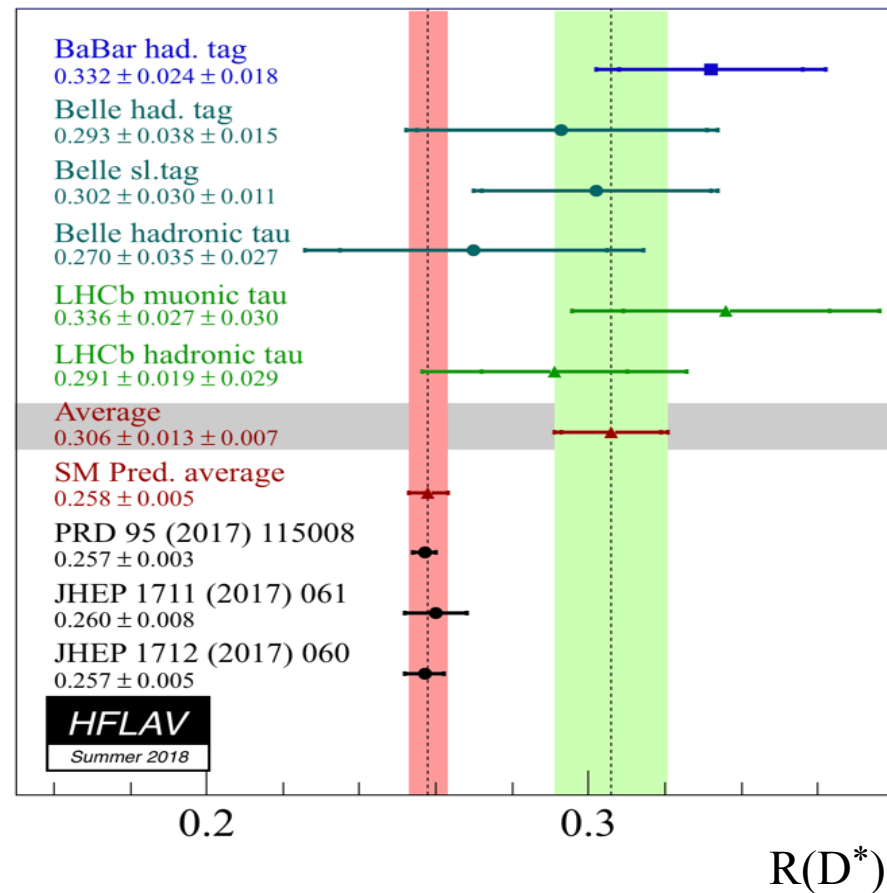
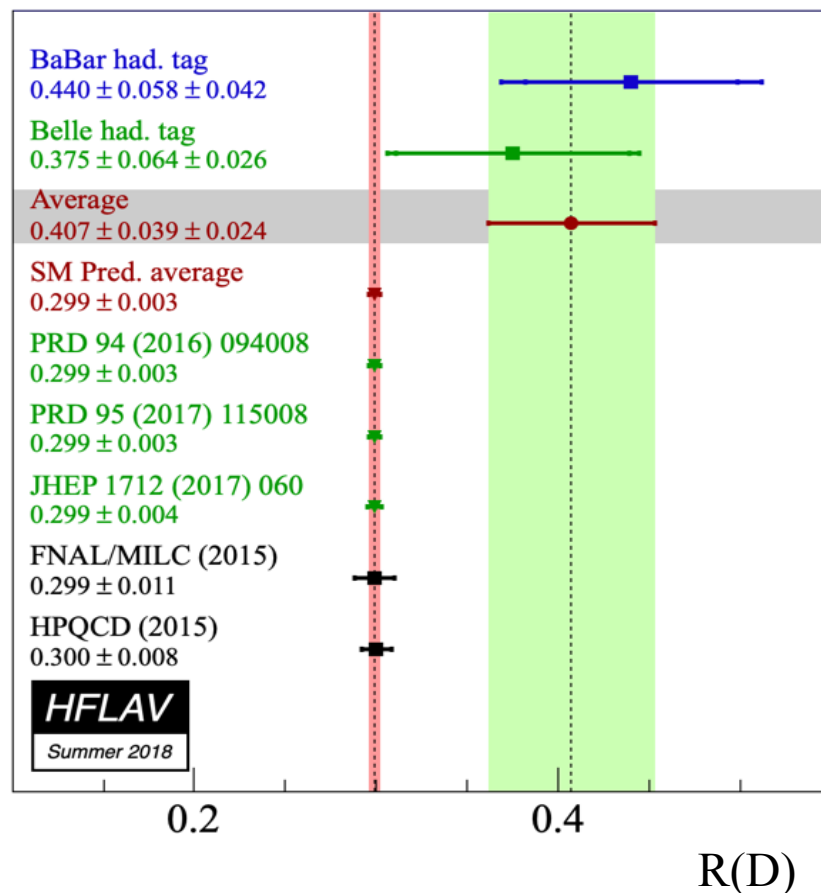
2015



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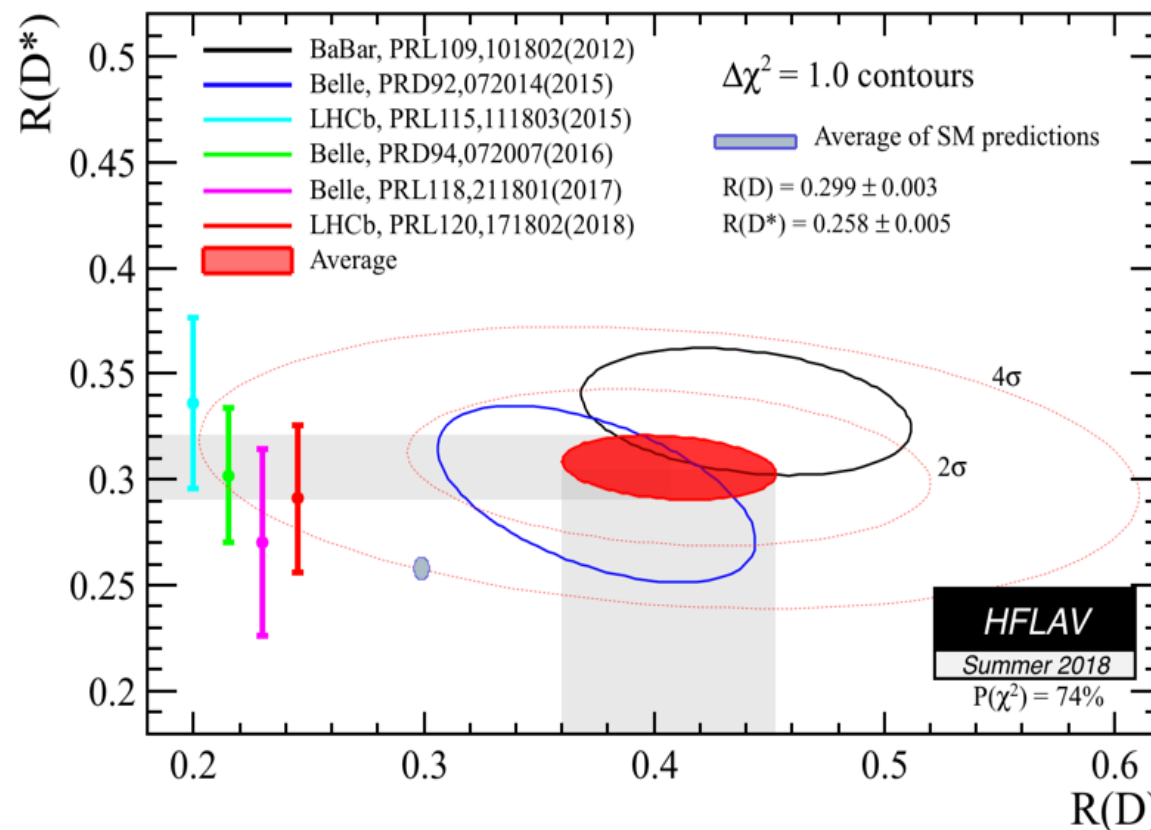
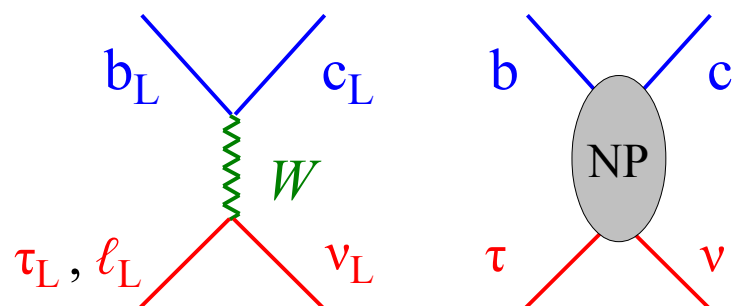
2018



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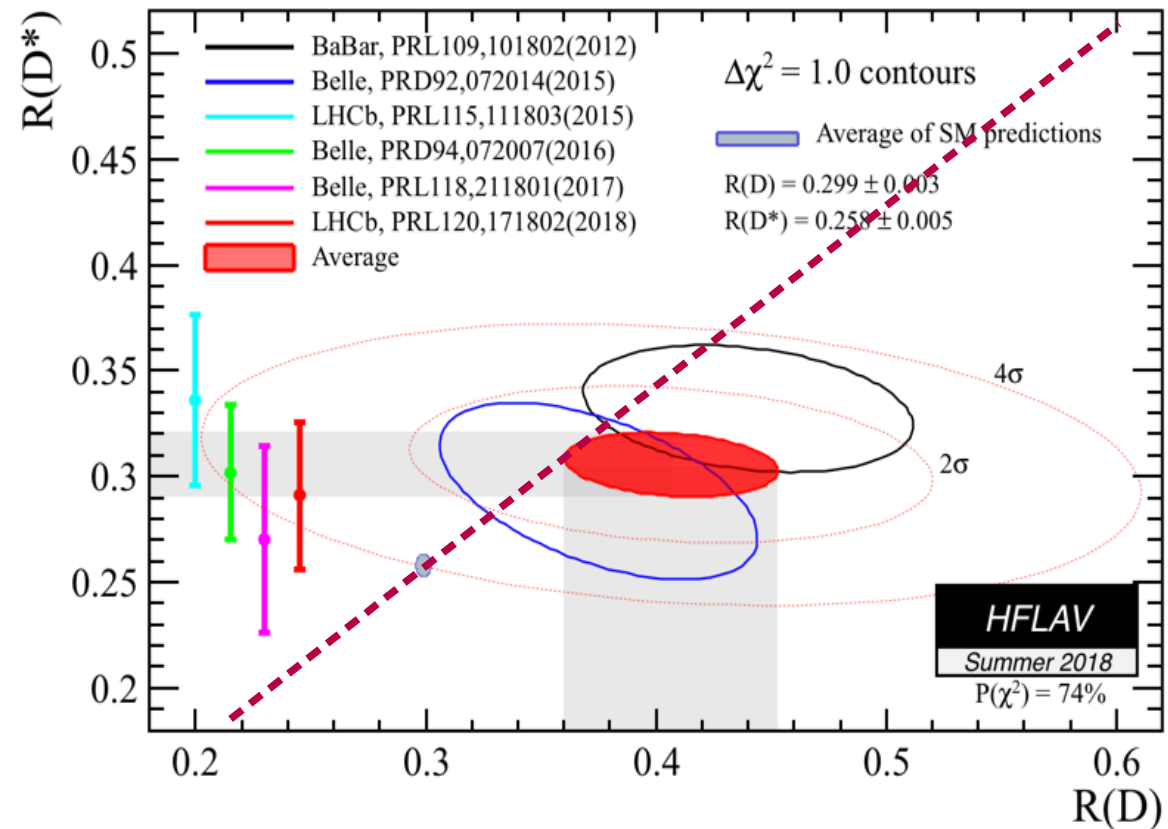
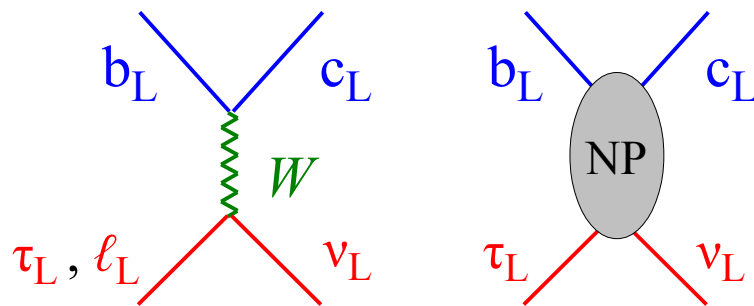


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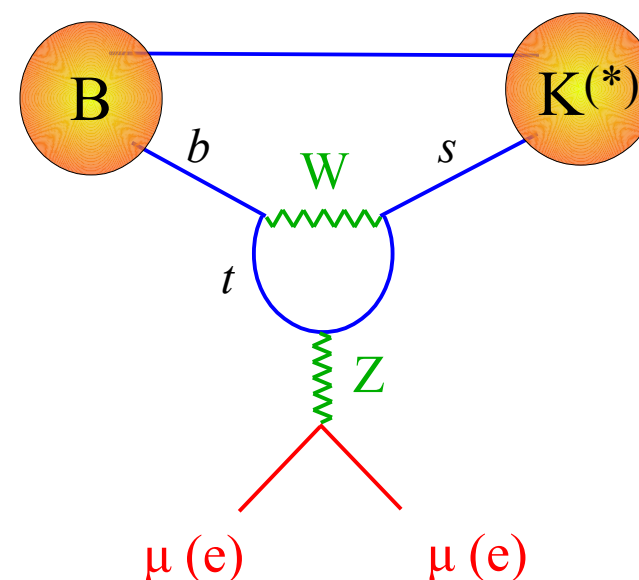
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- ➔ The two channels are well consistent with a **universal enhancement** ( $\sim 30\%$ ) of the SM  $b_L \rightarrow c_L \tau_L \nu_L$  amplitude

## ► Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

- The largest anomaly is the one [*observed in 2013 and confirmed with higher statistics in 2015*] in the  $B \rightarrow K^* \mu\mu$  angular distribution.
- Less significant correlated anomalies present also in other  $B \rightarrow K^* \mu\mu$  observables and also in other  $b \rightarrow s \mu\mu$  channels [overall smallness of all BR's]

**N.B.:**  $b \rightarrow s ll$  transitions are **F**lavor **C**hanging **N**eutral **C**urrent amplitudes

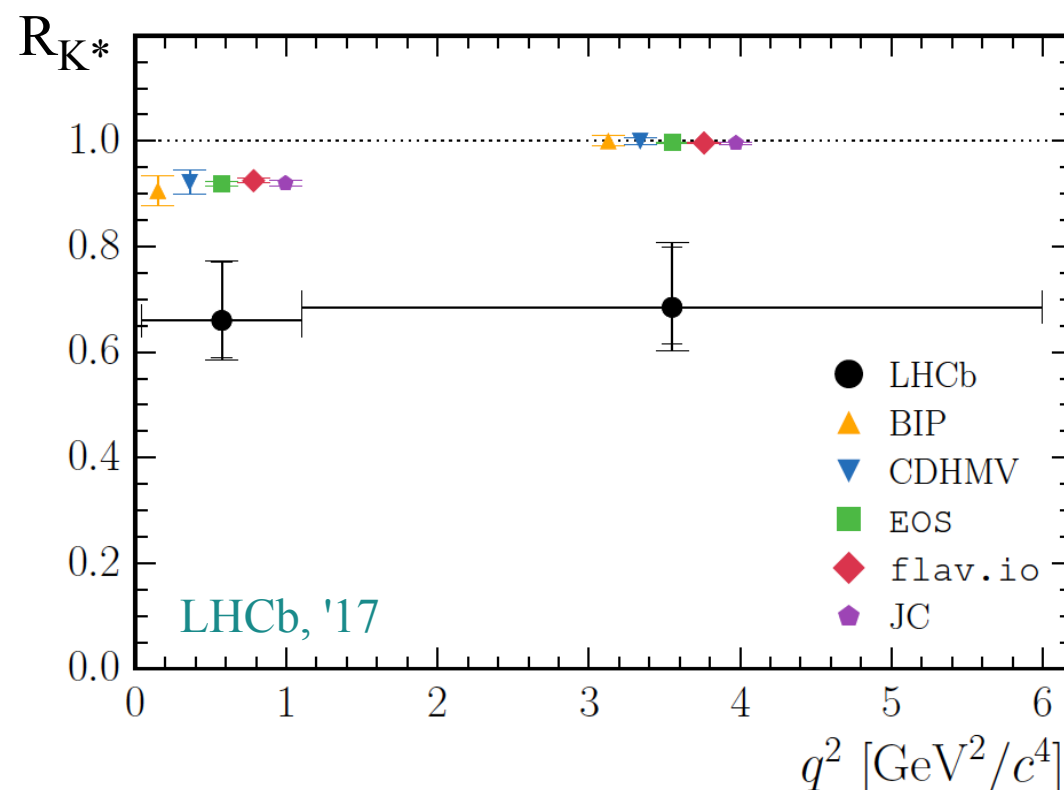
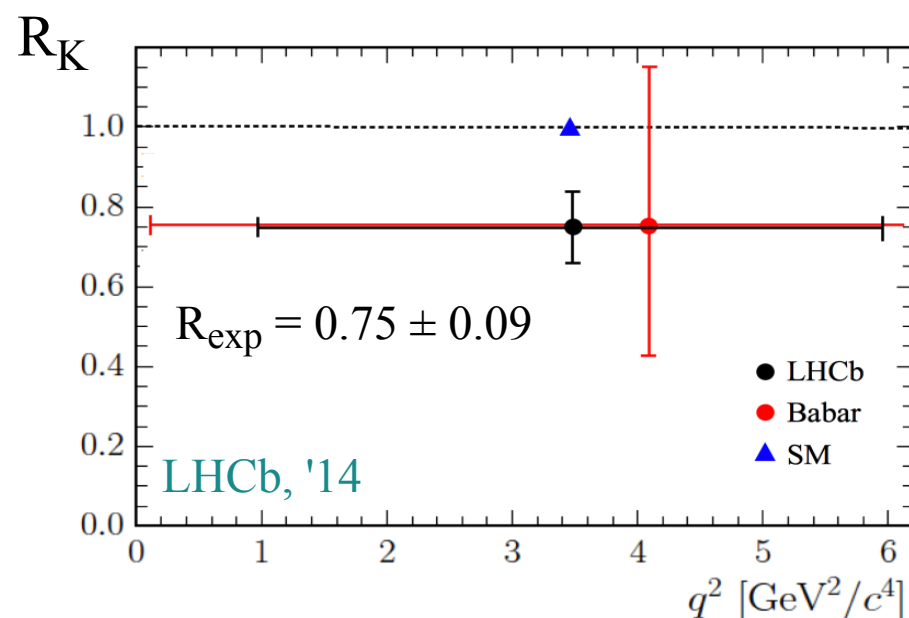
- No SM tree-level contribution
- Strong suppression within the SM because of CKM hierarchy
- Sizable hadronic uncertainties in the rates



# Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

- But also in this case the most interesting effects are the deviations from the SM in appropriate  $\mu/e$  “clean” LFU ratios:

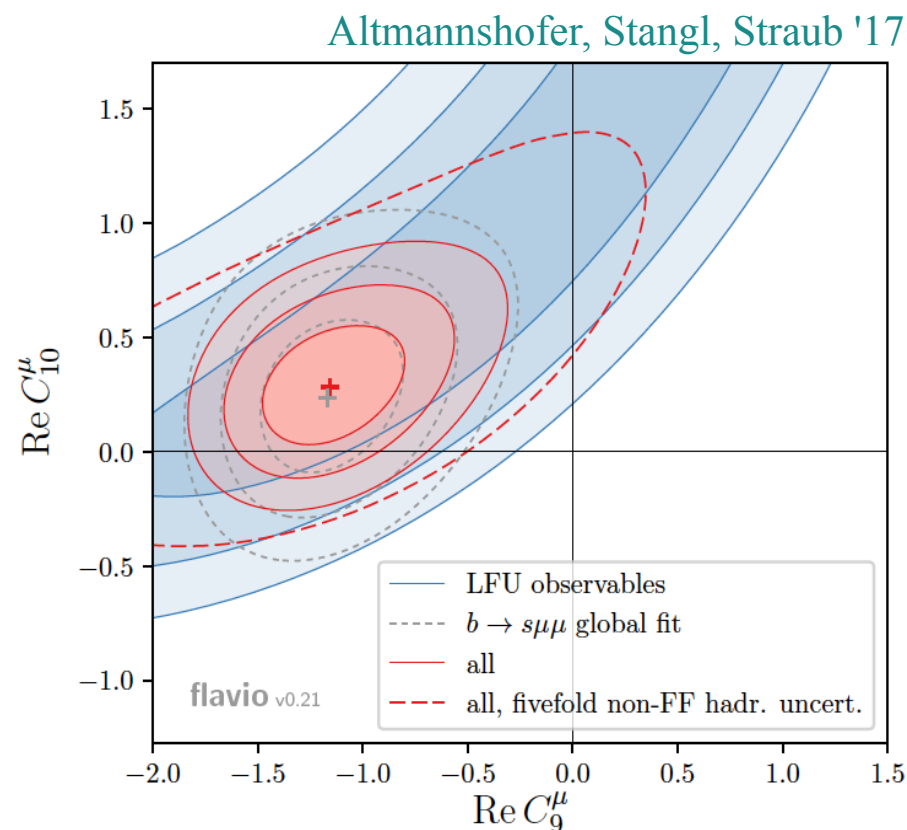
$$R_H = \frac{\int d\Gamma(B \rightarrow H \mu\mu)}{\int d\Gamma(B \rightarrow H ee)}$$



Overall significance  $\sim 3.8\sigma$   
(LFU ratios only)

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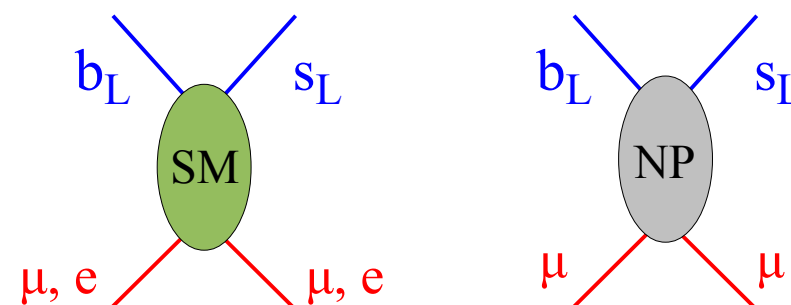
Reduced tension in all the observables (LFU violation + BR's + angular distribut.) with a unique (and simple) set of non-standard short-distance contributions:



Also consistent with:

$$\text{BR}(B_s \rightarrow \mu\mu)_{\text{SM}} = (3.57 \pm 0.17) \times 10^{-9}$$

$$\text{BR}(B_s \rightarrow \mu\mu)_{\text{exp}} = (2.65 \pm 0.43) \times 10^{-9}$$

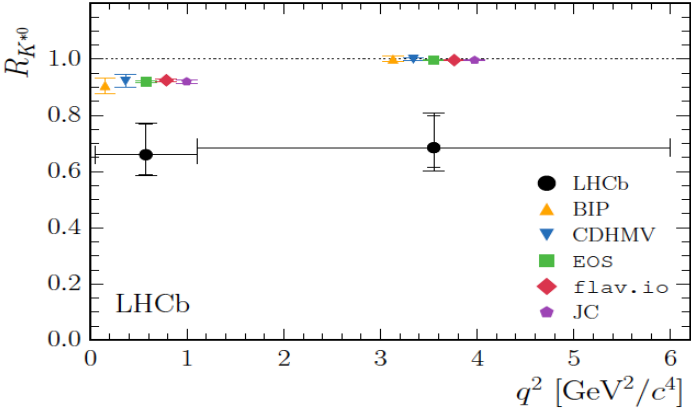
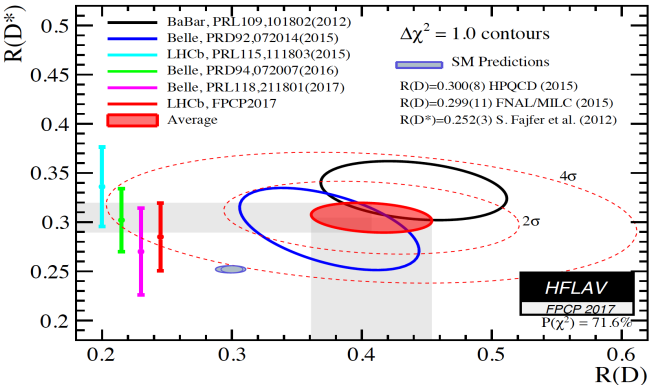
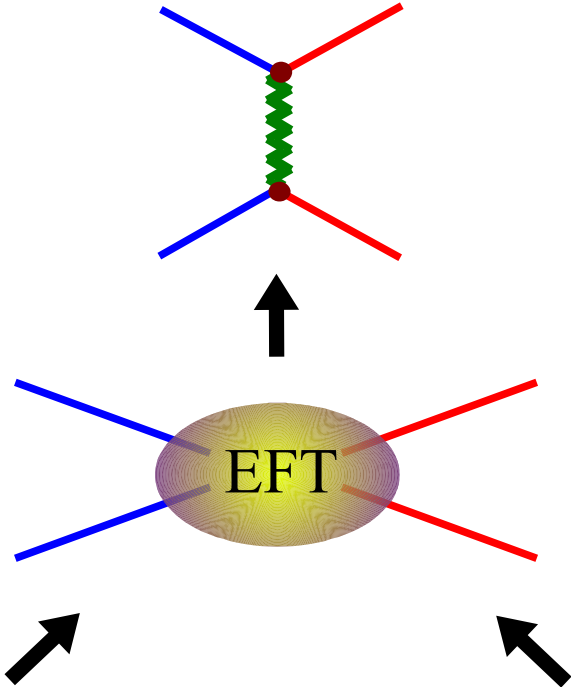


$$\mathcal{O}_9 = \frac{e^2}{16\pi^2} (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \ell)$$

$$\mathcal{O}_{10} = \frac{e^2}{16\pi^2} (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \gamma_5 \ell)$$

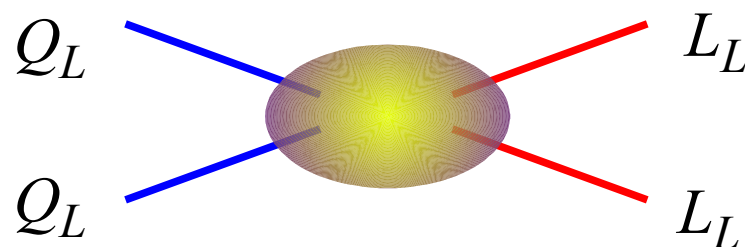
- All effects well described by NP of short-distance origin only in  $b \rightarrow s \mu\mu$  and (& not in  $ee$ )
- LH structure on the quark side largely favored

Bottom-up approaches to describe the anomalies



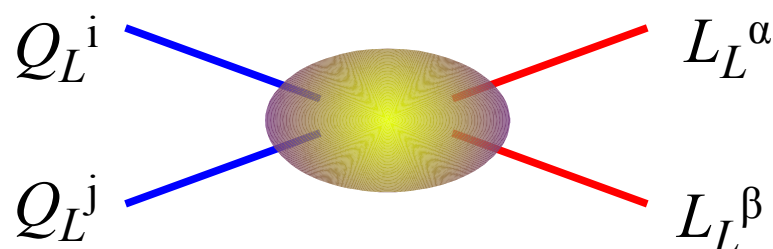
► Effective Field Theory considerations

- Anomalies are seen only in semi-leptonic (quark $\times$ lepton) operators
- Data largely favor non-vanishing left-handed current-current operators [*Fermi-like effective theory*], although other contributions are also possible



► Effective Field Theory considerations

- Anomalies are seen only in semi-leptonic (**quark**×**lepton**) operators
- Data largely favor non-vanishing left-handed current-current operators [*Fermi-like effective theory*], although other contributions are also possible



- Large coupling (competing with SM tree-level ) in **bc** →  **$l_3 \nu_3$**
- Small non-vanishing coupling (competing with SM FCNC) in **bs** →  **$l_2 l_2$**



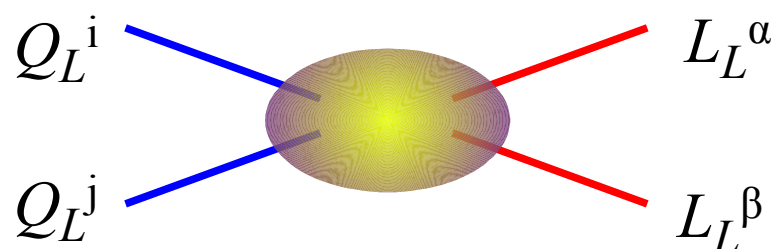
$$C_{ij\alpha\beta} \propto (\delta_{i3} \times \delta_{3j}) \times (\delta_{\alpha 3} \times \delta_{3\beta}) + \text{small terms for 2}^{\text{nd}} \text{ (& 1}^{\text{st}} \text{ generations)}$$



*Link to pattern  
of the Yukawa  
couplings !*

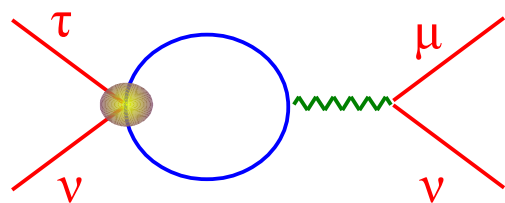
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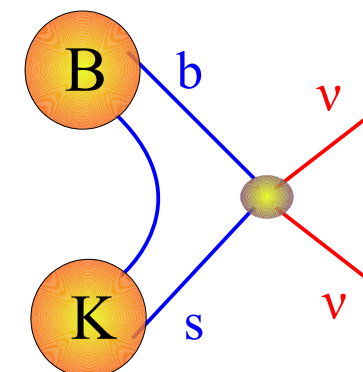
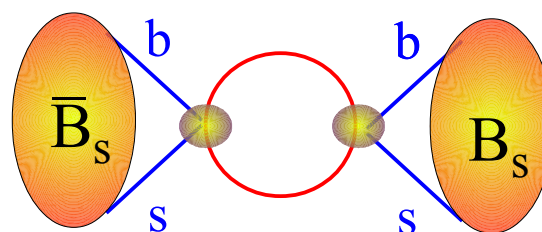


→ Long list of constraints from other low-energy processes

E.g:



Feruglio, Paradisi, Patteri '16

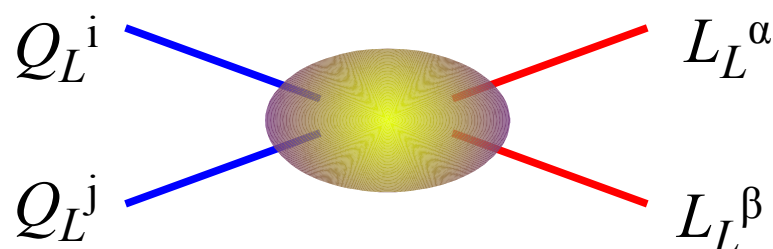


Calibbi, Crivellin, Ota, '15  
(+many others...)

+ many more...

► Effective Field Theory considerations

- Anomalies are seen only in semi-leptonic (quark $\times$ lepton) operators
- Data largely favor non-vanishing left-handed current-current operators [*Fermi-like effective theory*], although other contributions are also possible



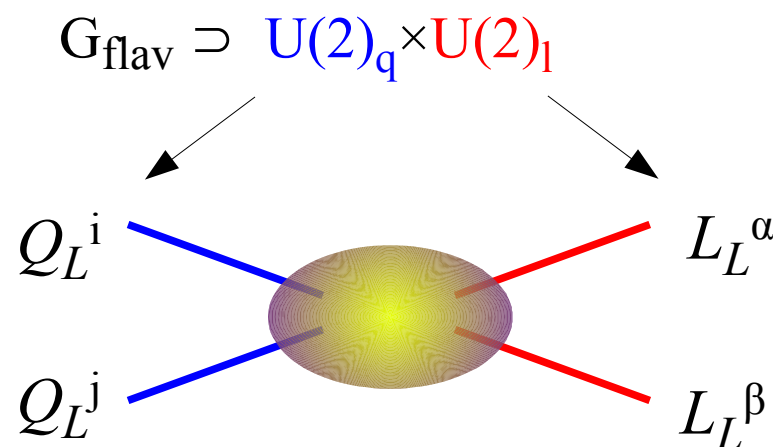
→ Long list of constraints from other low-energy processes



Essential role of *flavor symmetries*, not only to explain the pattern of the anomalies, but also to “protect” against too large effects in other low-energy observables

► EFT-type considerations [*The  $U(2)^n$  flavor symmetry*]

A very good candidate to address both these issues ([link with the origin of the Yukawa couplings](#) + [compatibility with other low-energy data](#)) is a flavor symmetry of the type



i.e. a (chiral flavor) symmetry acting only on the two “light” generations inspired by the structure observed in the Yukawa couplings:

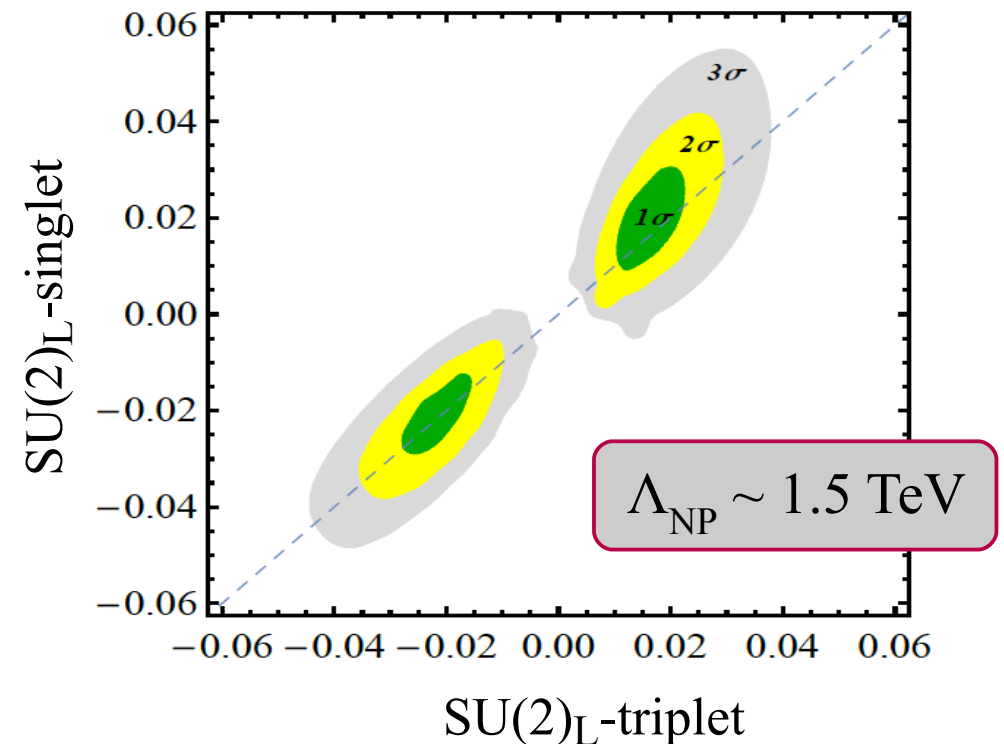
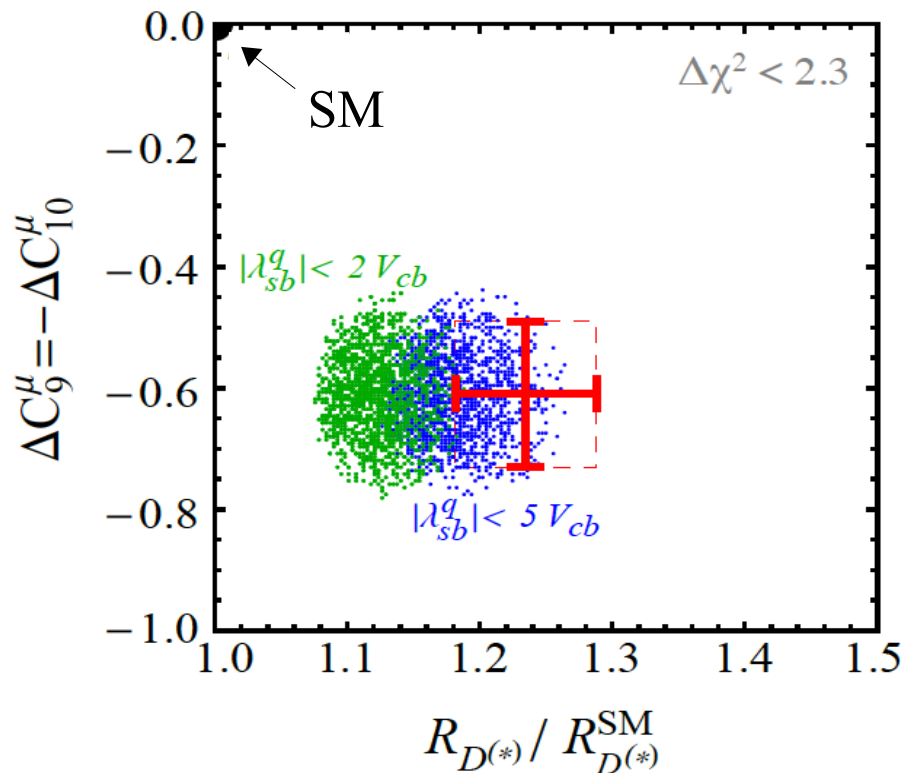
$$\mathcal{L}_{\mathbf{Y}} = \mathbf{Q}_L^i Y_U^{ij} \mathbf{U}_R^j \phi$$

$$Y_U = y_t \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \xleftarrow{U(2)_q} \xrightarrow{U(2)_u} \begin{bmatrix} \Delta & V \\ 0 & 1 \end{bmatrix} \equiv \begin{pmatrix} \dots & \dots & \dots \\ \dots & \dots & \dots \\ \dots & \dots & \dots \end{pmatrix}$$

► EFT-type considerations [“The Zurich's guide”]

Adopting the  $U(2)_q \times U(2)_l$  symmetry, with Yukawa-type breaking pattern, as guiding principle for the EFT describing the anomalies, leads to a good fit to all available data:

Buttazzo Greljo, GI, Marzocca '17



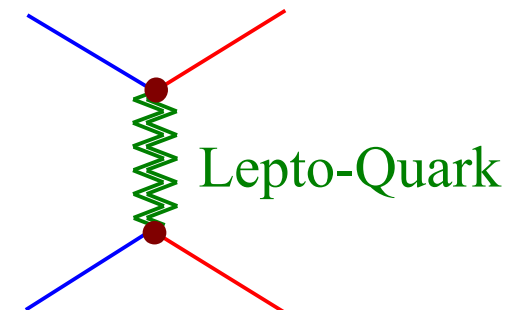
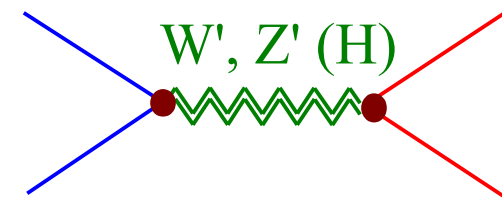
The virtue of this EFT analysis is the demonstration that is possible to find a “combined” (*motivated*) explanation of the two set of anomalies.

► Simplified dynamical models [ “The Return of the LeptoQuark”... ]

If we ask which tree-level mediators can generate the effective operators required by the EFT fit, we have not many possibilities...

Three main options  
(for the combined explanation):

	$SU(2)_L$	
	singlet	triplet
Vector LQ:	$U_1$	$U_3$
Scalar LQ:	$S_1$	$S_3$
Colorless vector:	$B'$	$W'$

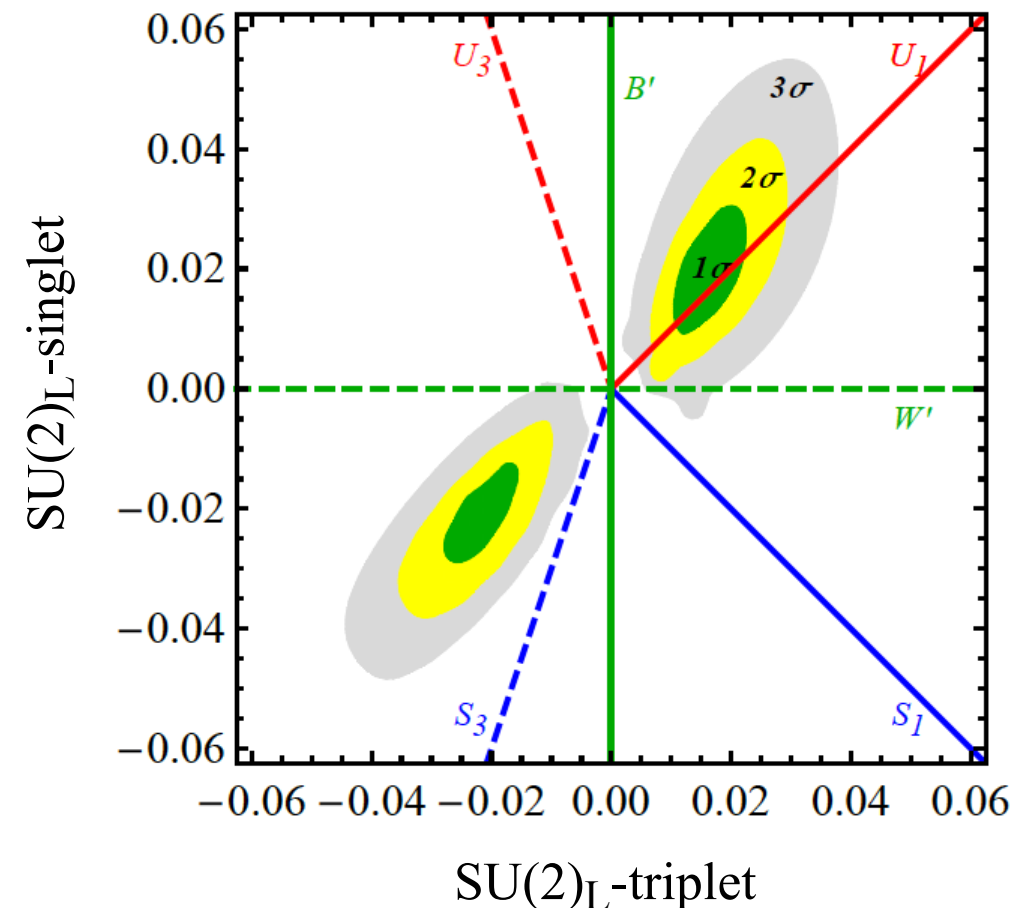


► Simplified dynamical models [“The Return of the LeptoQuark”...]

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The  $U_1$  option fits quite nicely... but of course models with more than one mediators are possible

► Simplified dynamical models [“The Return of the LeptoQuark”...]

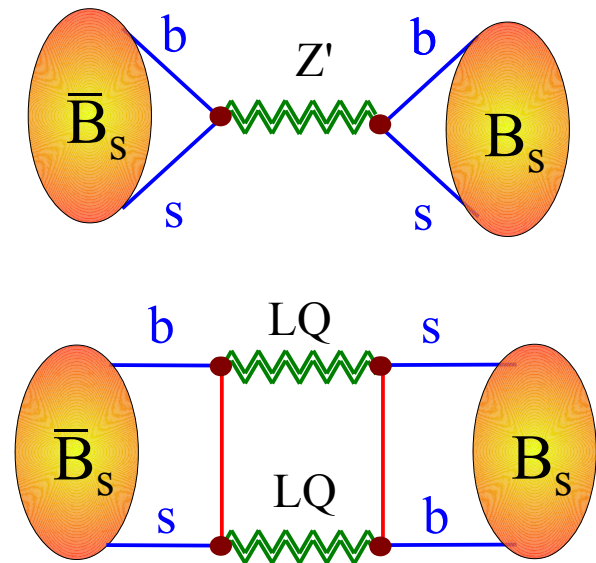
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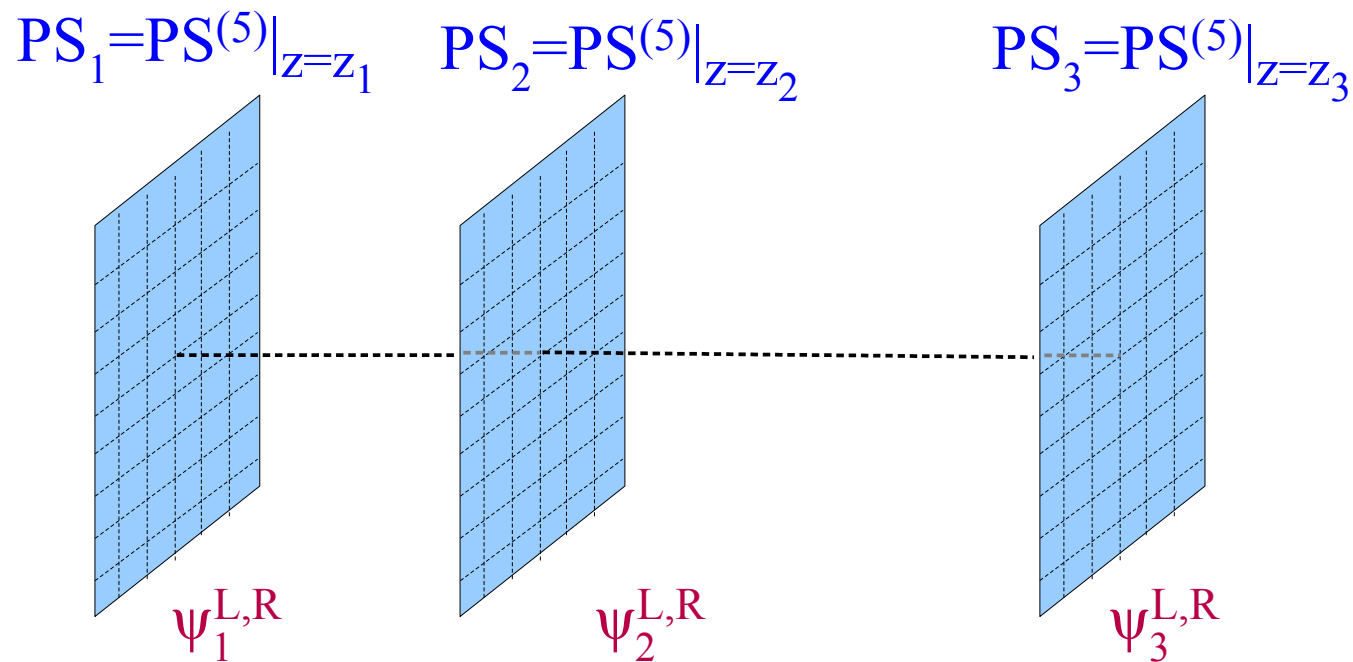
	$SU(2)_L$	
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Vector LQ:	$U_1$	$U_3$
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Similarly, 3<sup>rd</sup> gen. LQ are in very good shape also as far as direct searches are concerned (contrary to  $Z'$ ...):

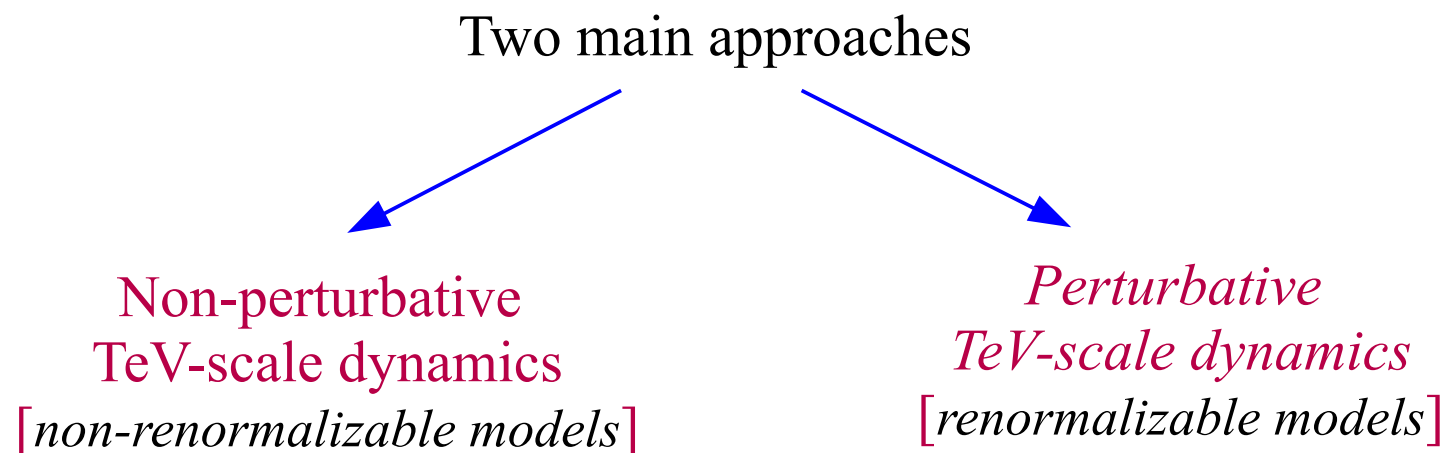
**Most important:** LQ (both scalar and vectors) have an additional clear advantage concerning constraints from non-semileptonic processes:



## Speculations on UV completions



► Speculations on UV completions



Long list of interesting attempts in the recent literature, not worth  
(*and practically impossible*) to cover them all.

In the following I will now concentrate on one (class of) option(s)  
that I find particularly interesting.

# ► Speculations on UV completions

**Starting observation:** a gauge theory proposed in the 70's to unify quarks and leptons by Pati & Salam predicts a massive vector LQ with the correct quantum numbers to fit the anomalies (*best single mediator*):

Pati-Salam group:  $SU(4) \times SU(2)_L \times SU(2)_R$

Fermions  
in  $SU(4)$ :

$$\begin{bmatrix} Q_L^\alpha \\ Q_L^\beta \\ Q_L^\gamma \\ L_L \end{bmatrix} \quad \begin{bmatrix} Q_R^\alpha \\ Q_R^\beta \\ Q_R^\gamma \\ L_R \end{bmatrix}$$

Main Pati-Salam idea:  
Lepton number as “the 4<sup>th</sup> color”

The massive LQ [ $U_1$ ] arise from the  
breaking  $SU(4) \rightarrow SU(3)_C \times U(1)_{B-L}$

$$SU(4) \sim \left[ \begin{array}{c|c} SU(3)_C & 0 \\ \hline 0 & 0 \end{array} \right] \quad \left[ \begin{array}{c|c} 0 & LQ \\ \hline LQ & \end{array} \right] \quad \left[ \begin{array}{c|c} \frac{1}{3} & 0 \\ \hline 0 & -1 \end{array} \right]$$

► Speculations on UV completions

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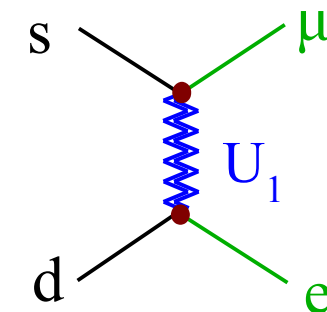
$$\begin{bmatrix} Q_L^\alpha \\ Q_L^\beta \\ Q_L^\gamma \\ L_L \end{bmatrix} \quad \begin{bmatrix} Q_R^\alpha \\ Q_R^\beta \\ Q_R^\gamma \\ L_R \end{bmatrix}$$

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The massive LQ [ $U_1$ ] arise from the breaking  $SU(4) \rightarrow SU(3)_C \times U(1)_{B-L}$

The problem of the “original PS model” are the strong bounds on the LQ couplings to 1<sup>st</sup> & 2<sup>nd</sup> generations [e.g.  $M > 200 \text{ TeV}$  from  $K_L \rightarrow \mu e$ ]

→ we must go beyond the original model

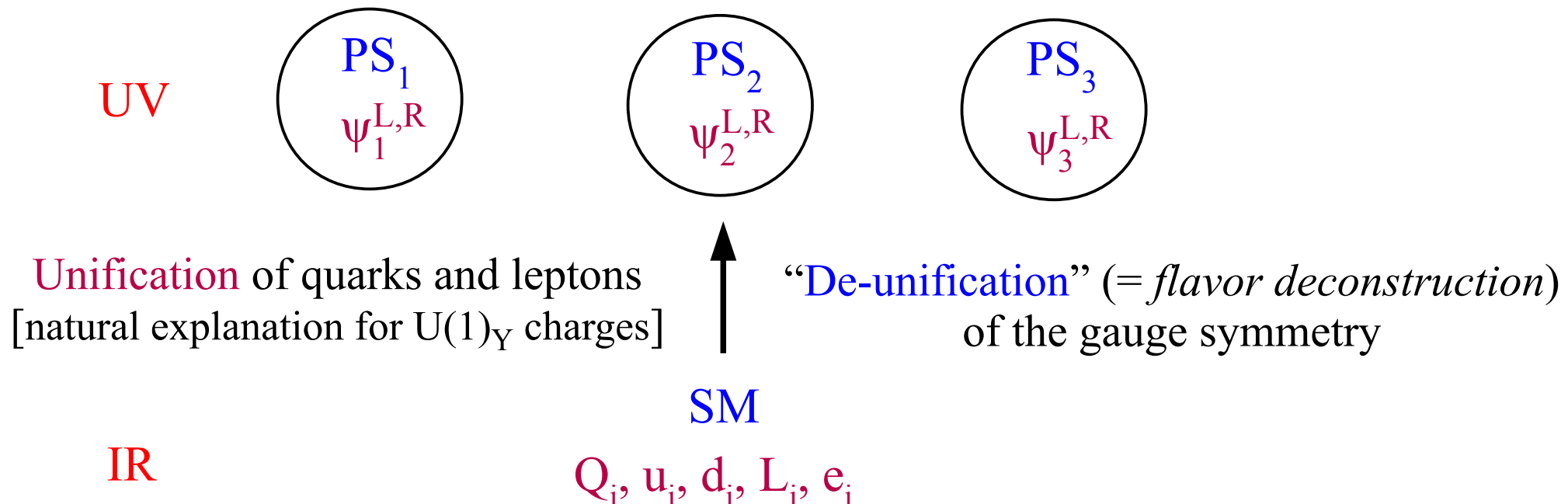


# ▶ The PS<sup>3</sup> model

$$[ \text{PS} ]^3 = [ \text{SU}(4) \times \text{SU}(2)_L \times \text{SU}(2)_R ]^3$$

Bordone, Cornella,  
Fuentes-Martin, GI, '17

**Main idea:** at high energies the 3 families are charged under 3 independent gauge groups (*gauge bosons carry a flavor index !*)

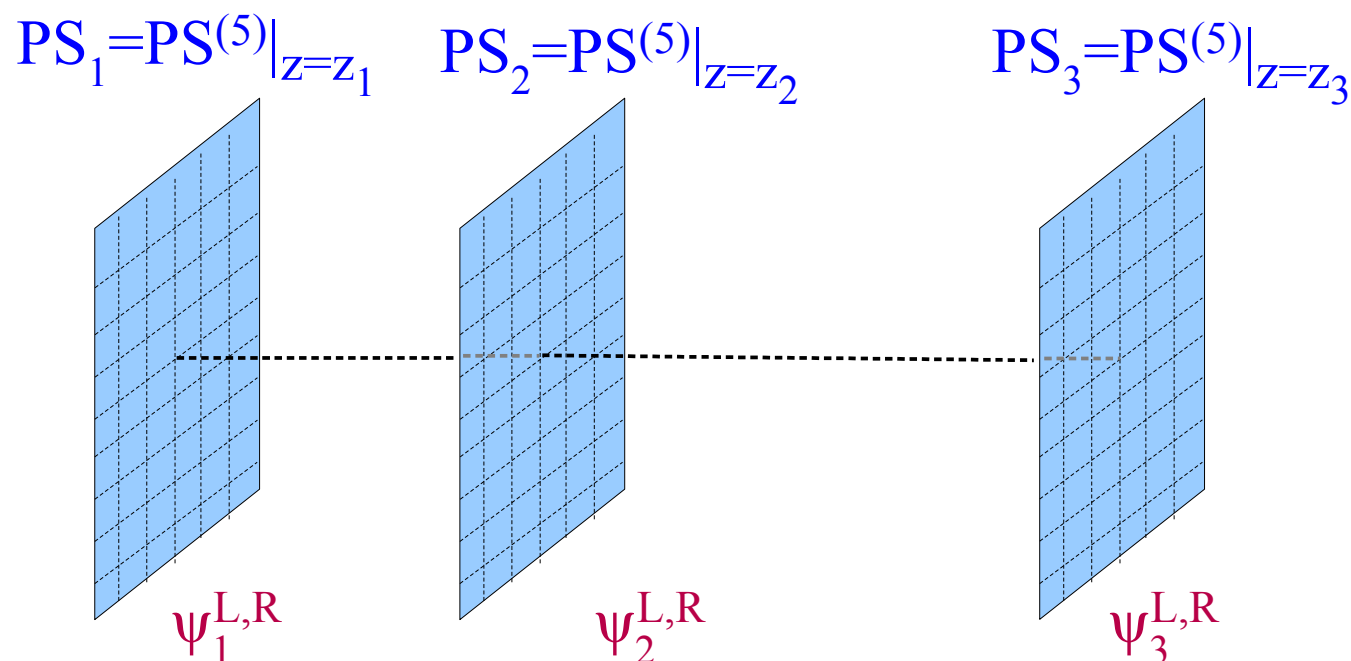


- Key advantages:**
- Light LQ coupled mainly to 3<sup>rd</sup> gen.
  - Accidental U(2)<sup>5</sup> flavor symmetry
  - Natural structure of SM Yukawa couplings

► The  $PS^3$  model

$$[PS]^3 = [SU(4) \times SU(2)_L \times SU(2)_R]^3$$

Bordone, Cornella,  
Fuentes-Martin, GI, '17



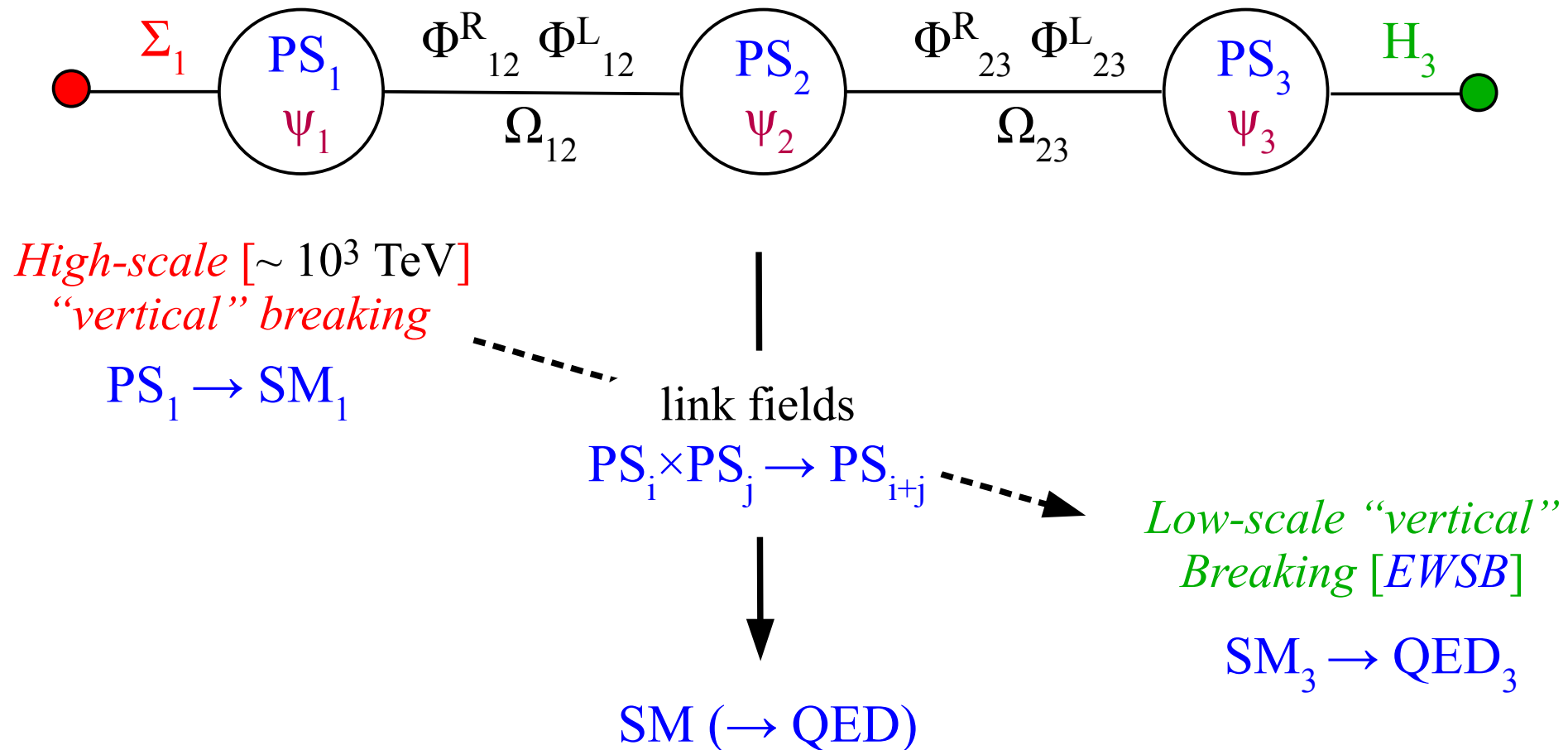
Unification  
of quarks and leptons

“De-unification”  
(= *flavor deconstruction*)  
of the gauge symmetry

This construction can find a “natural” justification in the context of models with extra space-time dimensions

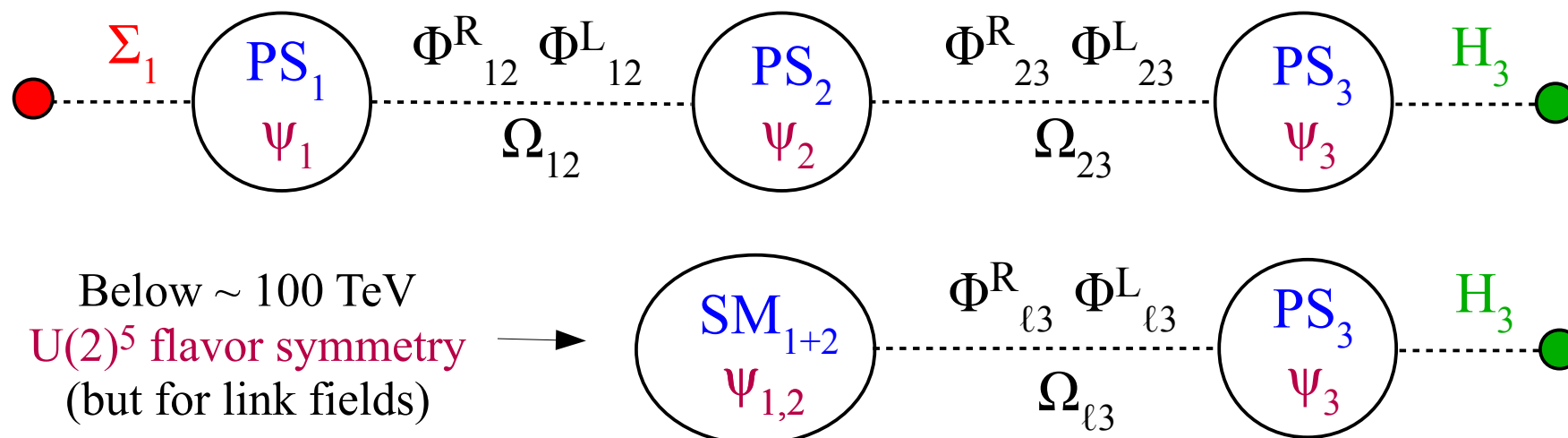
The 4D description is apparently more complex, but it allow us to derive precise low-energy phenomenological signatures (*4D renormalizable gauge model*)

► The PS<sup>3</sup> model



- ★ The breaking to the diagonal SM group occurs via appropriate “link” fields, responsible also for the generation of the hierarchy in the Yukawa couplings.
- ★ The 2-3 breaking gives a TeV-scale LQ [+ Z' & G'] coupled mainly to 3<sup>rd</sup> gen.

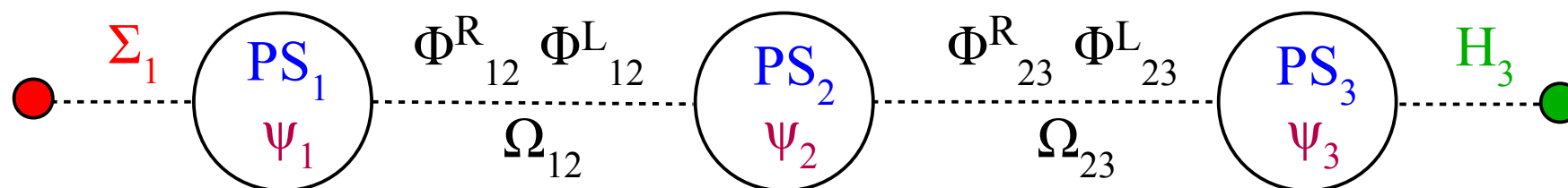
► The PS<sup>3</sup> model



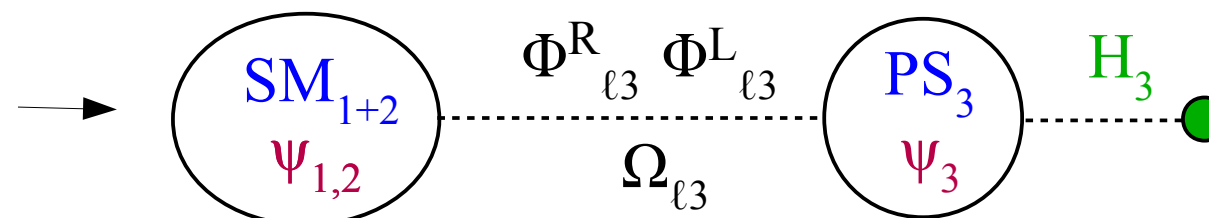
*Leading flavor structure:*

- Yukawa coupling for 3<sup>rd</sup> gen. only
- “Light” LQ field (from PS<sub>3</sub>) coupled only to 3<sup>rd</sup> gen.
- U(2)<sup>5</sup> symmetry protects flavor-violating effects on light gen.

► The PS<sup>3</sup> model



Below  $\sim 100$  TeV  
 $U(2)^5$  flavor symmetry  
 (but for link fields)

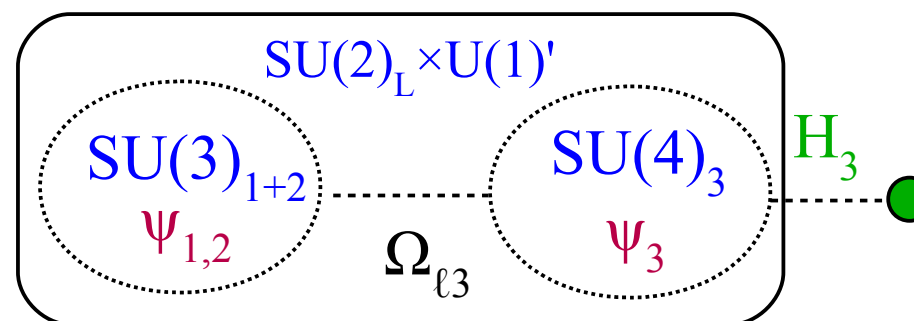


$\rightarrow W'_L + W'_R$  [ $\sim 5$ -10 TeV]

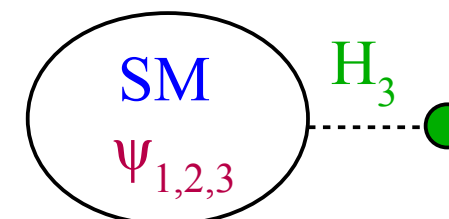
*Sub-leading Yukawa terms  
 from higher dim ops:*

$$Y_U = \begin{bmatrix} \Delta & V \\ \hline & y_t \end{bmatrix}$$

$$\frac{\langle \Phi^R_{l3} \Phi^L_{l3} \rangle}{(\Lambda_{23})^2} \qquad \frac{\langle \Omega_{l3} \rangle}{\Lambda_{23}}$$



$\rightarrow LQ [U_1] + Z' + G'$  [ $\sim 1$ -2 TeV]



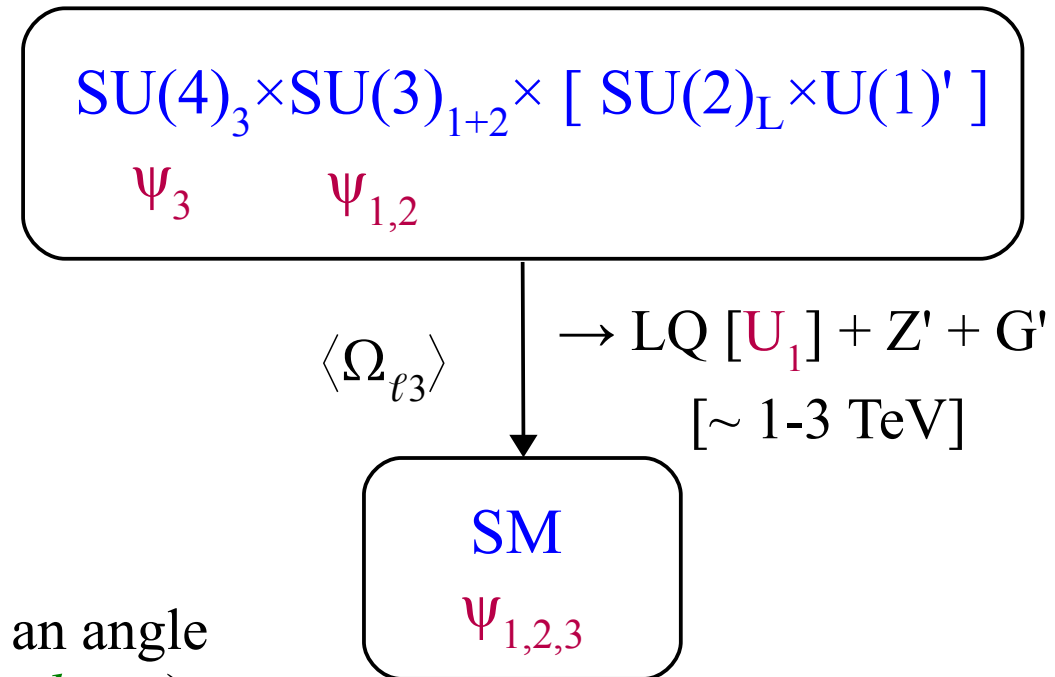
# ► The PS<sup>3</sup> model

Collider phenomenology and flavor anomalies are controlled by the last-but one step in the breaking chain.

Despite the apparent complexity, the construction is highly constrained:

Quark flavor structure determined up to an angle  
( → *degree of alignment to d-quark mass basis*)

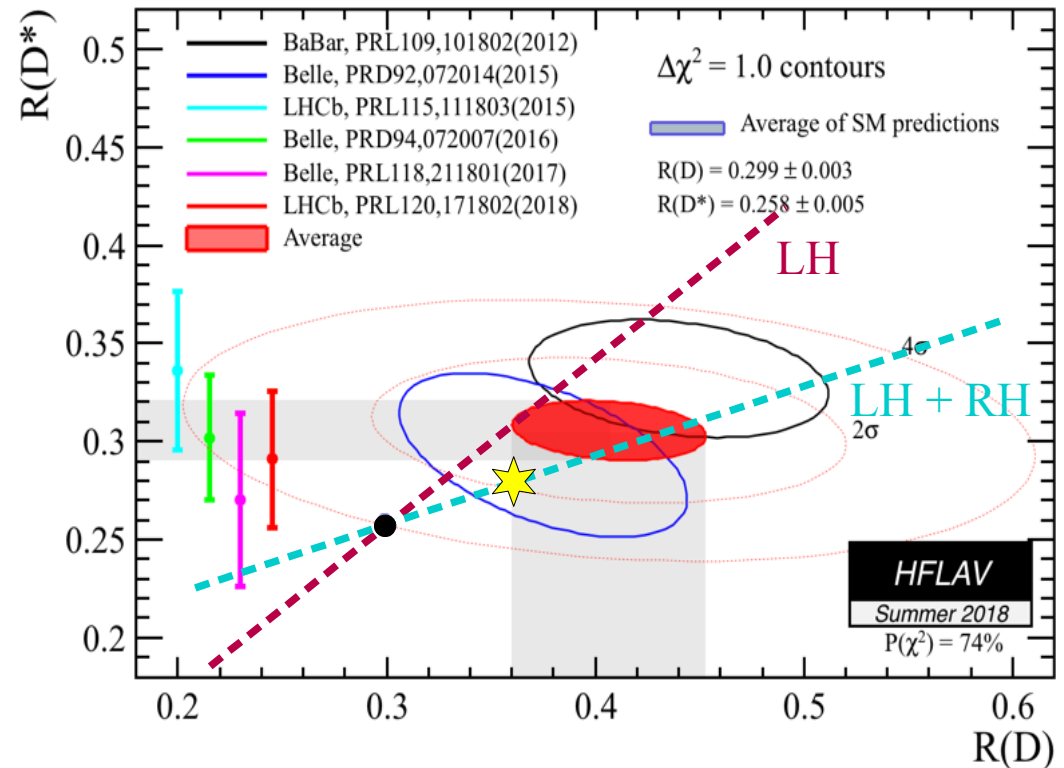
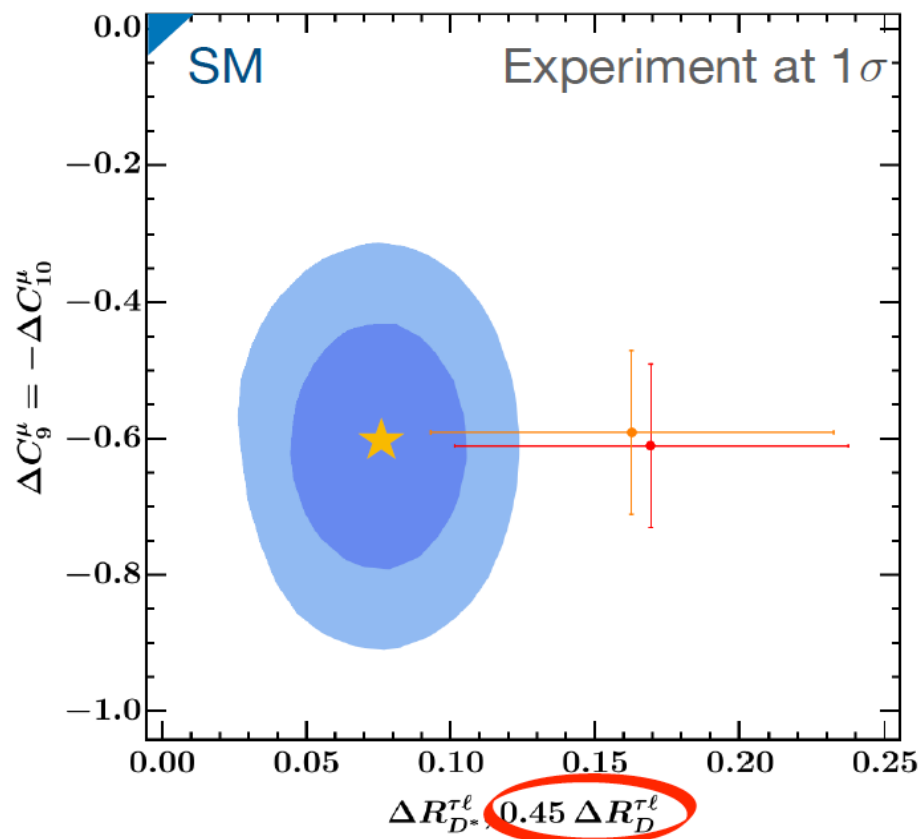
Key difference to all existing pheno models:  
unsuppressed  **$b_R$ - $\tau_R$  coupling of the LQ**



# ► The PS<sup>3</sup> model

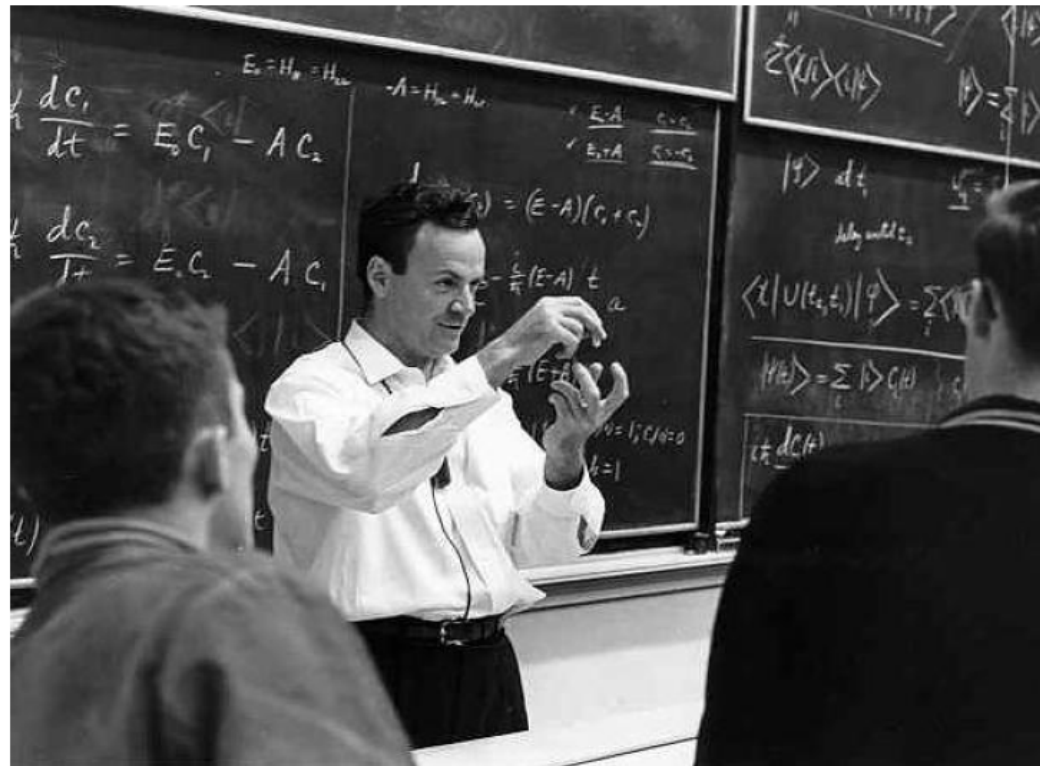
Collider phenomenology and flavor anomalies are controlled by the last-but one step in the breaking chain.

Despite the apparent complexity, the construction is highly constrained



The fit to low-energy data is very good  
(*although slightly smaller NP effects in  $R_D$ , mainly because of radiative constraints*)

## Possible future implications



“It doesn’t matter how beautiful your theory is, it doesn’t matter how smart you are. If it doesn’t agree with experiment, it’s wrong.”

[Feynman]

► Implications for low-energy flavor physics

If the anomalies are due to NP, we should expect to see several other BSM effects in low-energy observables

Main message: “**super-reach**” flavor program for **LHCb**, but also other flavor physics facilities (**Belle-II**, **Kaons**, **CLFV**)

- This program is essential to determine the flavor structure of the new sector
- Correlations among low-energy obs. can be studied by means of EFT  
*and already with low-energy data we could rule-out many models...*

► Implications for low-energy flavor physics

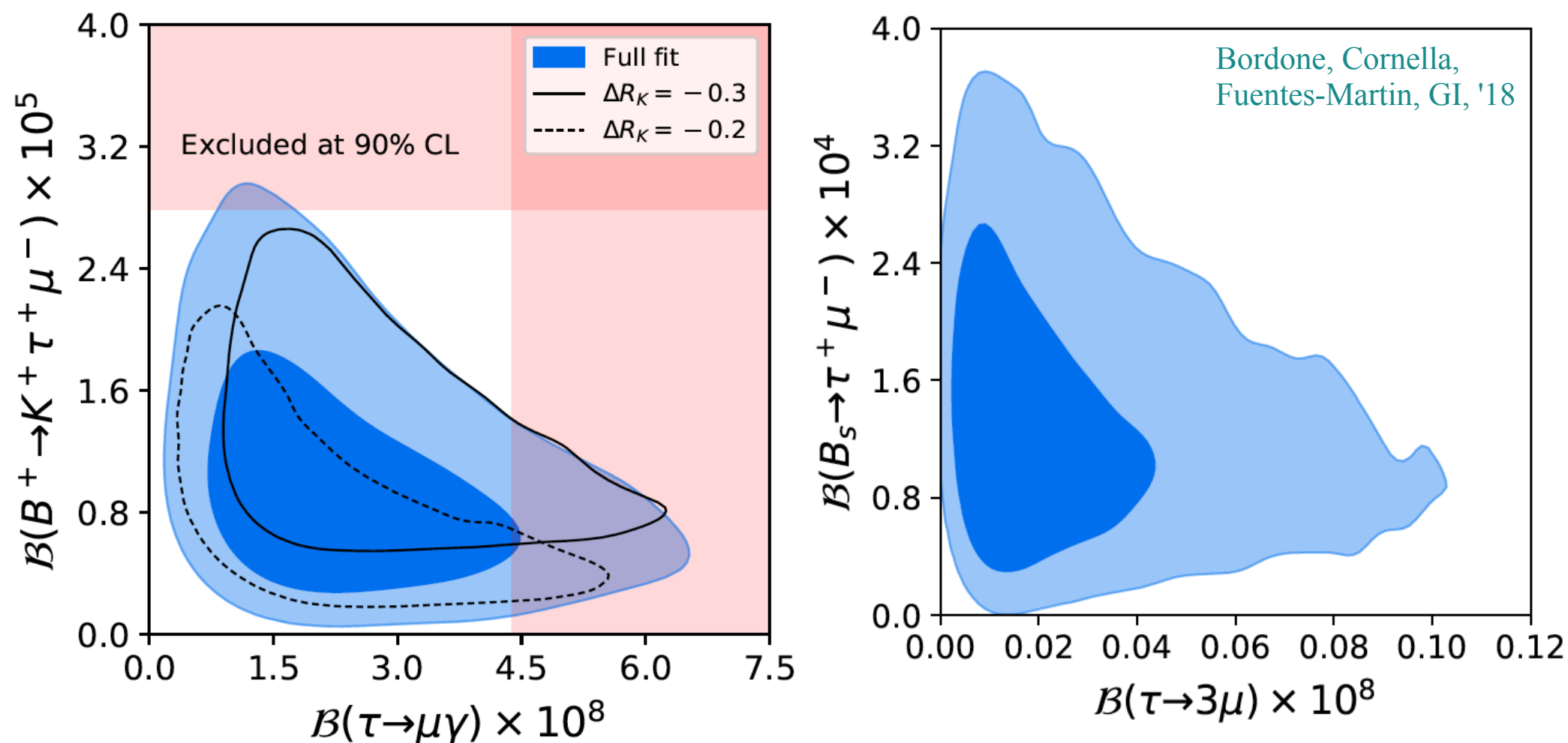
If the anomalies are due to NP, we should expect to see several other BSM effects in low-energy observables

E.g.: correlations among down-type FCNCs [using the results of U(2)-based EFT]:

	$\mu\mu$ ( $ee$ )	$\tau\tau$	$\nu\nu$	$\tau\mu$	$\mu e$
$b \rightarrow s$	$R_K, R_{K^*}$ $O(20\%)$	$B \rightarrow K^{(*)} \tau\tau$ $\rightarrow 100 \times \text{SM}$	$B \rightarrow K^{(*)} \nu\nu$ $O(1)$	$B \rightarrow K \tau\mu$ $\rightarrow \sim 10^{-5}$	$B \rightarrow K \mu e$ $???$
$b \rightarrow d$	$B_d \rightarrow \mu\mu$ $B \rightarrow \pi \mu\mu$ $B_s \rightarrow K^{(*)} \mu\mu$ $O(20\%) [R_K=R_\pi]$	$B \rightarrow \pi \tau\tau$ $\rightarrow 100 \times \text{SM}$	$B \rightarrow \pi \nu\nu$ $O(1)$	$B \rightarrow \pi \tau\mu$ $\rightarrow \sim 10^{-7}$	$B \rightarrow \pi \mu e$ $???$
$s \rightarrow d$	long-distance pollution	NA	$K \rightarrow \pi \nu\nu$ $O(1)$	NA	$K \rightarrow \mu e$ $???$

► Implications for low-energy flavor physics

E.g: expectation of LFV processes in the  $PS^3$  model:

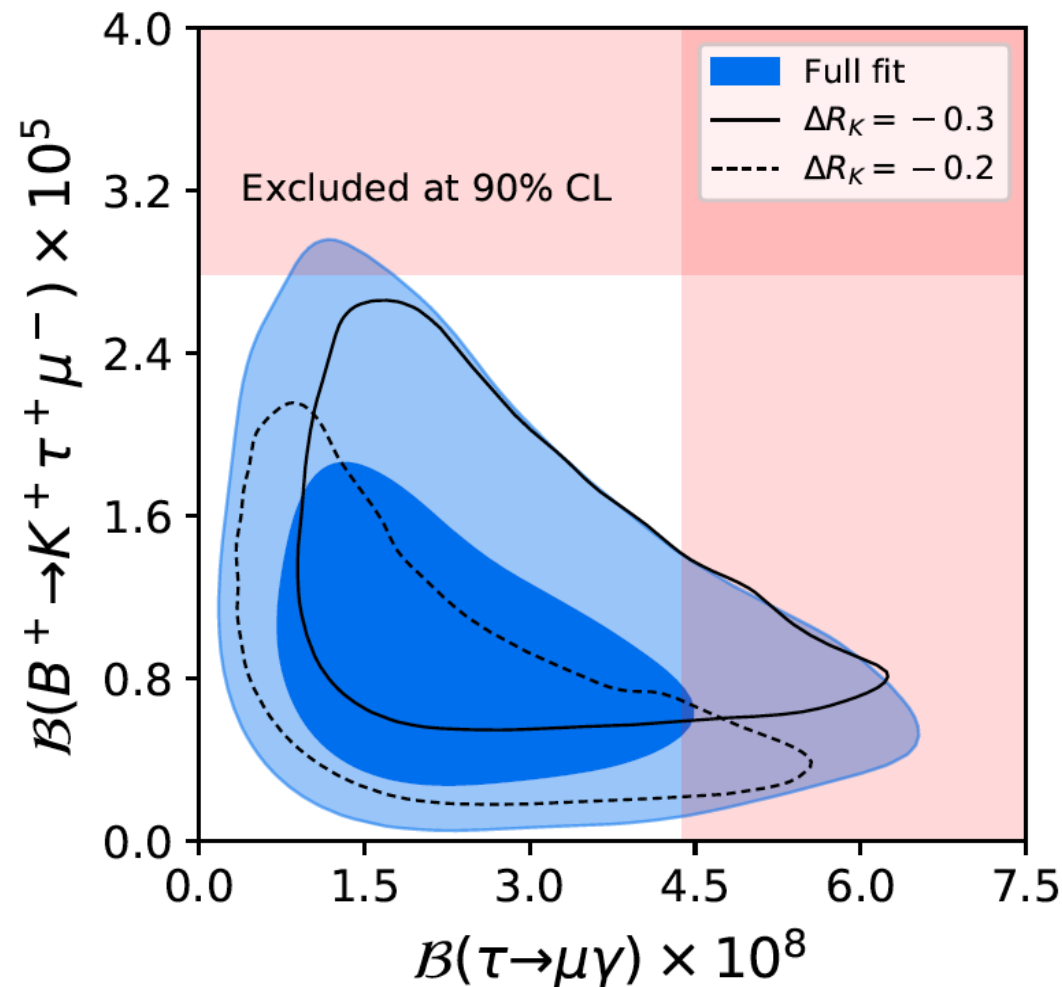


Bordone, Cornella,  
Fuentes-Martin, GI, '18

$$\left(\frac{\Delta R_D}{0.2}\right)^2 \left(\frac{\Delta R_K}{0.3}\right)^2 \approx 3 \left[\frac{\mathcal{B}(B \rightarrow K \tau^+ \mu^-)}{3 \times 10^{-5}}\right] \left[\frac{\mathcal{B}(\tau \rightarrow \mu \gamma)}{5 \times 10^{-8}}\right] \approx \left[\frac{\mathcal{B}(B_s \rightarrow \tau^\pm \mu^\mp)}{2 \times 10^{-4}}\right] \left[\frac{\mathcal{B}(\tau \rightarrow \mu \gamma)}{5 \times 10^{-8}}\right]$$

# Implications for low-energy flavor physics

E.g: expectation of LFV processes in the  $PS^3$  model:



More difficult to make precise predictions for  $\mu \rightarrow e$  transitions.

But both  $\mu \rightarrow 3e$  and  $K_L \rightarrow \mu e$  could be quite close to their present exp. bounds:

$$BR(\mu \rightarrow 3e) \rightarrow \text{few } 10^{-14}$$

$$BR(K_L \rightarrow \mu e) \rightarrow \text{few } 10^{-12}$$

$$\left(\frac{\Delta R_D}{0.2}\right)^2 \left(\frac{\Delta R_K}{0.3}\right)^2 \approx 3 \left[\frac{\mathcal{B}(B \rightarrow K \tau^+ \mu^-)}{3 \times 10^{-5}}\right] \left[\frac{\mathcal{B}(\tau \rightarrow \mu \gamma)}{5 \times 10^{-8}}\right] \approx \left[\frac{\mathcal{B}(B_s \rightarrow \tau^\pm \mu^\mp)}{2 \times 10^{-4}}\right] \left[\frac{\mathcal{B}(\tau \rightarrow \mu \gamma)}{5 \times 10^{-8}}\right]$$

► Implications for high- $p_T$  physics

Some general considerations:

Independently of the details of the UV models, the anomalies (and particularly the  $b \rightarrow c$  one) point to NP in the ball-park of direct searches @ LHC

This NP could have escaped detection so far only under specific circumstances (*that are fulfilled by the proposed UV completions...*):

- Coupled mainly to 3<sup>rd</sup> generation (→ *no large coupl. to proton valence quarks*)
- No narrow peaks in dilepton pairs (*including tau pairs*)



Significant room for improvement for the corresponding searches @ HL-LHC  
But only HE-LHC would be able to rule out all reasonable models

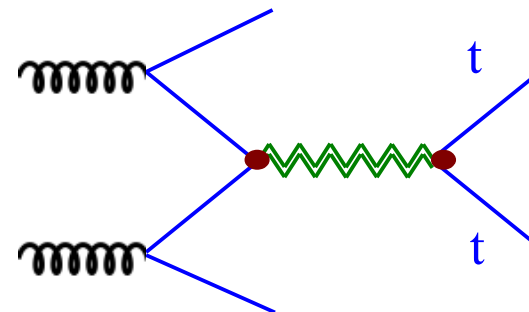
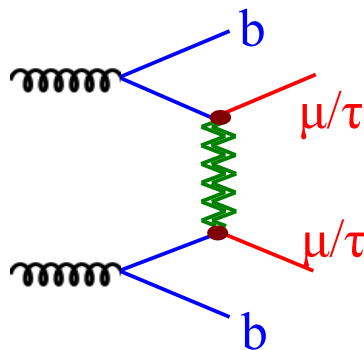
► Implications for high- $p_T$  physics

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Most interesting signatures:

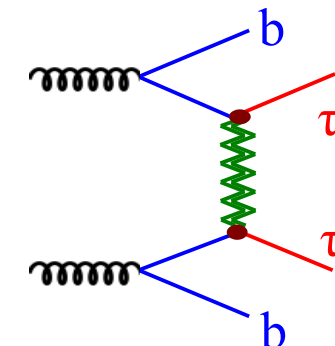
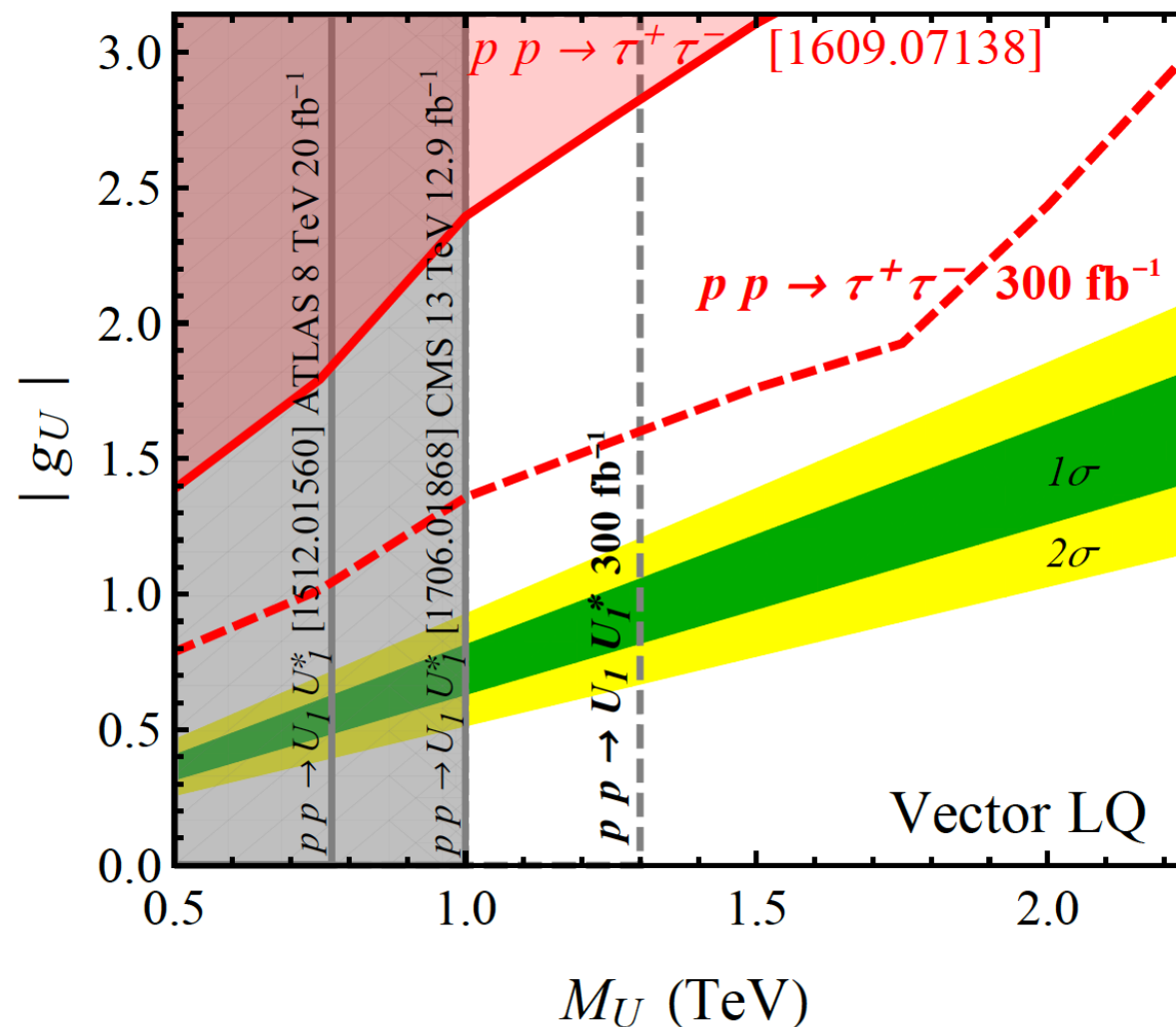
- unambiguous (model-independent) prediction of large  $pp \rightarrow \tau\tau$  &  $pp \rightarrow \tau\nu$ , which is quite close to present sensitivity
- models predicting companions of the LQ coupled to 3<sup>rd</sup> gen. quark currents (such as  $Z'$  or “heavy gluons”) lead to large  $pp \rightarrow tt$ , which starts to be in tension with present data



# ► Implications for high- $p_T$ physics

E.g.:  $pp \rightarrow \tau\tau$  from t-channel exchange LQ production  
(re-interpretation of ATLAS & CMS  $\tau\tau$  resonance search)

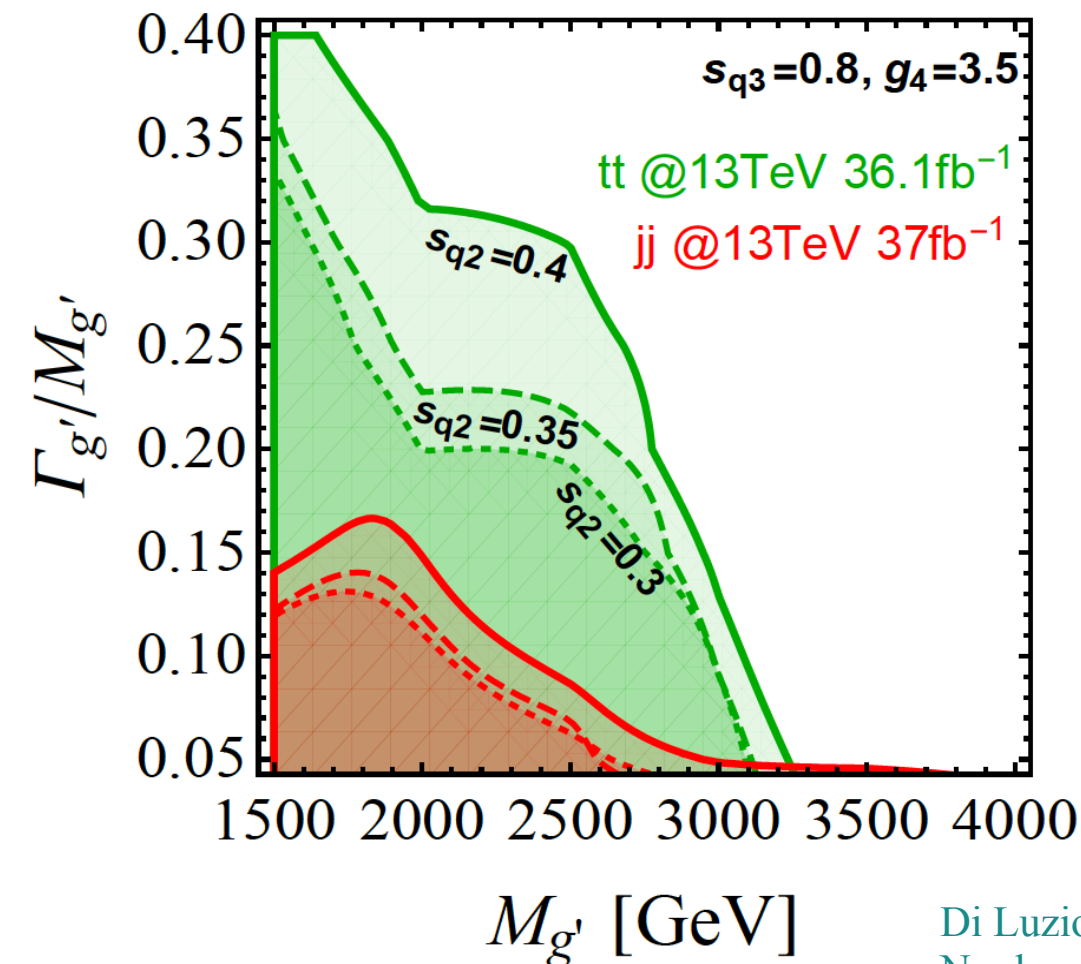
Buttazzo *et al.* '17



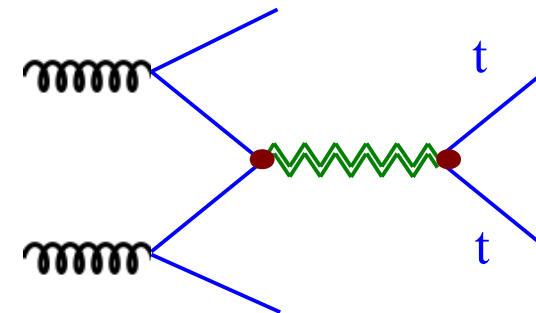
# ► Implications for high- $p_T$ physics & neutrino physics

In specific models, such as at the PS<sup>3</sup>, the TeV-scale phenomenology involve (several) additional states not directly involved in the anomalies

E.g.: I. The “Coloron” in  $pp \rightarrow tt$



“Coloron” = “heavy gluon” coupled preferably to 3<sup>rd</sup> generation

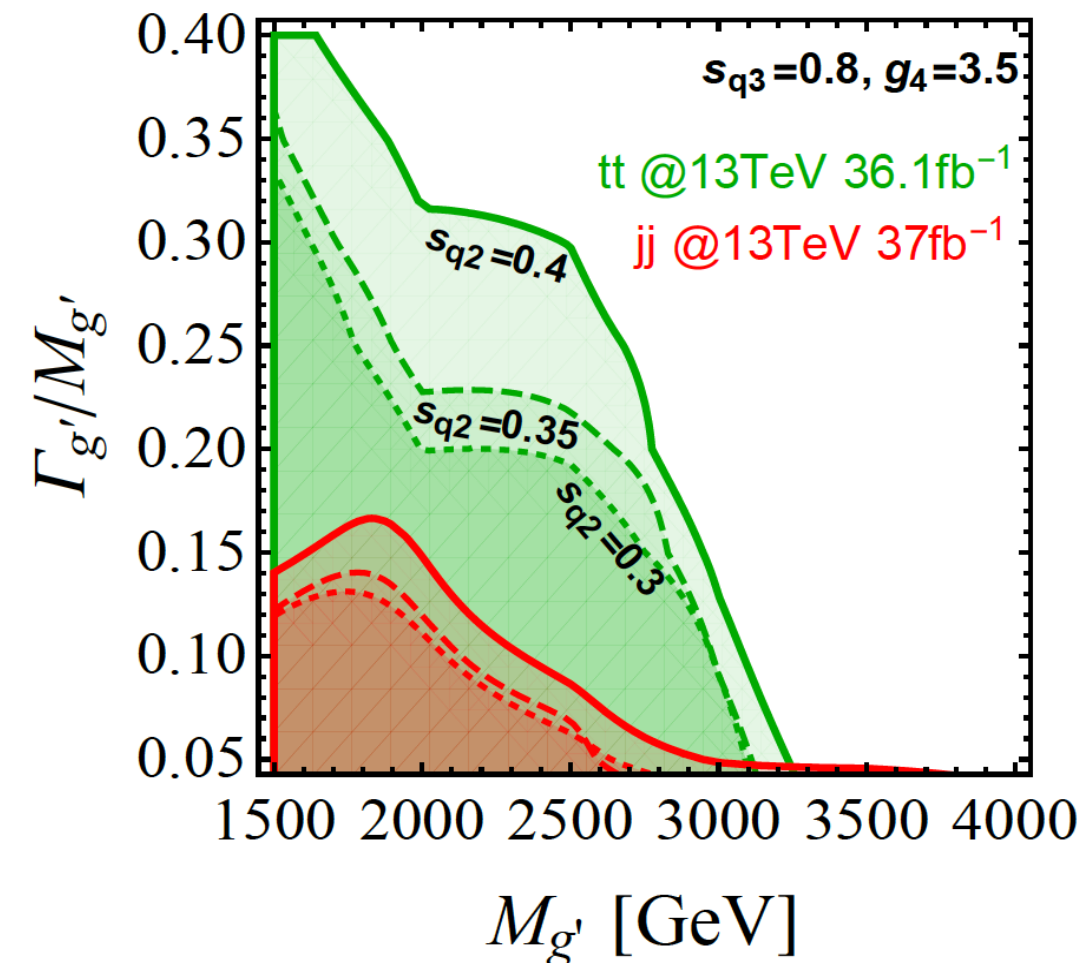


► Implications for high- $p_T$  physics & neutrino physics

In specific models, such as at the PS<sup>3</sup>, the TeV-scale phenomenology involve (several) additional states not directly involved in the anomalies

E.g.: **I.** The “Coloron” in  $pp \rightarrow tt$

E.g.: **II.** TeV-scale RH neutrinos



## Conclusions

- If these ~~LFU~~ anomalies are confirmed, it would be a fantastic discovery, with far-reaching implications
- If interpreted as NP signals, both set of anomalies are not in contradiction among themselves & with existing low- & high-energy data.  
Taken together, they point out to NP coupled mainly to 3<sup>rd</sup> generation, with a flavor structure connected to that appearing in the SM Yukawa couplings
- Simplified models with LQ states seem to be favored. However, realistic UV completions for these models naturally imply a much richer spectrum of states at the TeV scale (*and possibly above...*) → nearby signatures at high-pT
- The PS<sup>3</sup> model I have presented is an interesting as example of the change of paradigm in model building that these anomalies could imply.  
But many points/possible-variations remains to be clarified/explored...

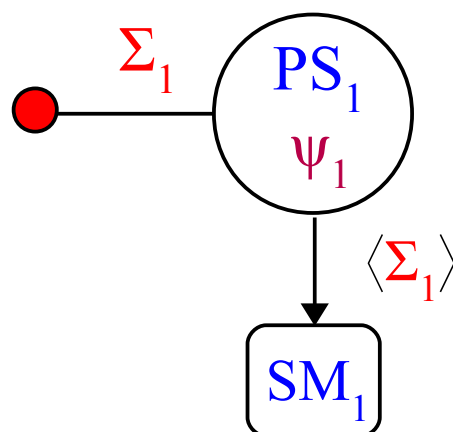


*A lot of fun ahead of us...*

(both on the exp., the pheno, and model-building point of view)



► Symmetry breaking pattern in  $PS^3$

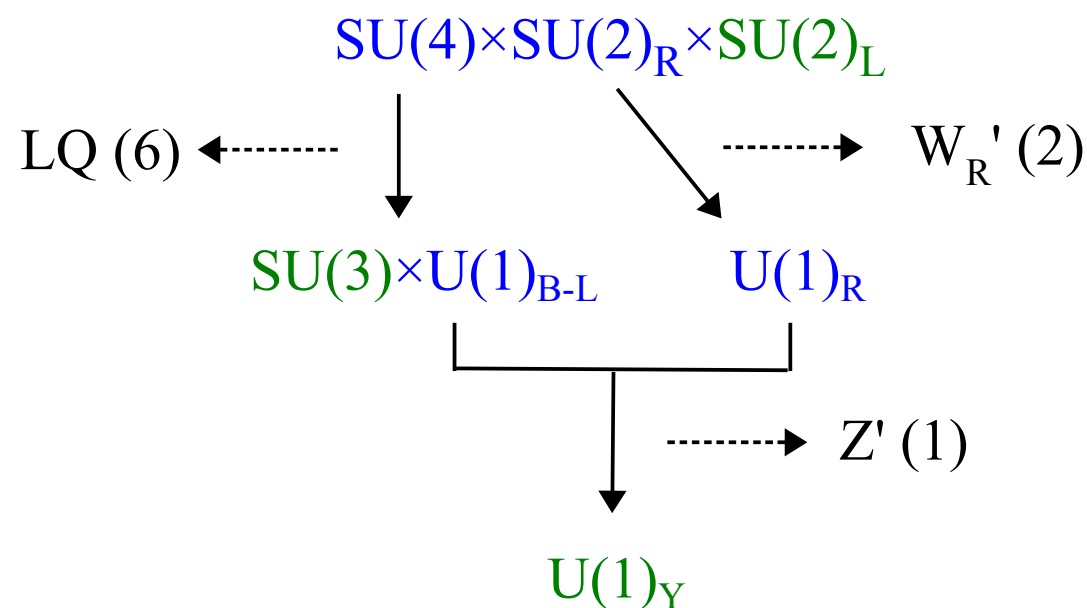


*High-scale* [ $\sim 10^3$  TeV]  
 “vertical” breaking [ $PS \rightarrow SM$ ]

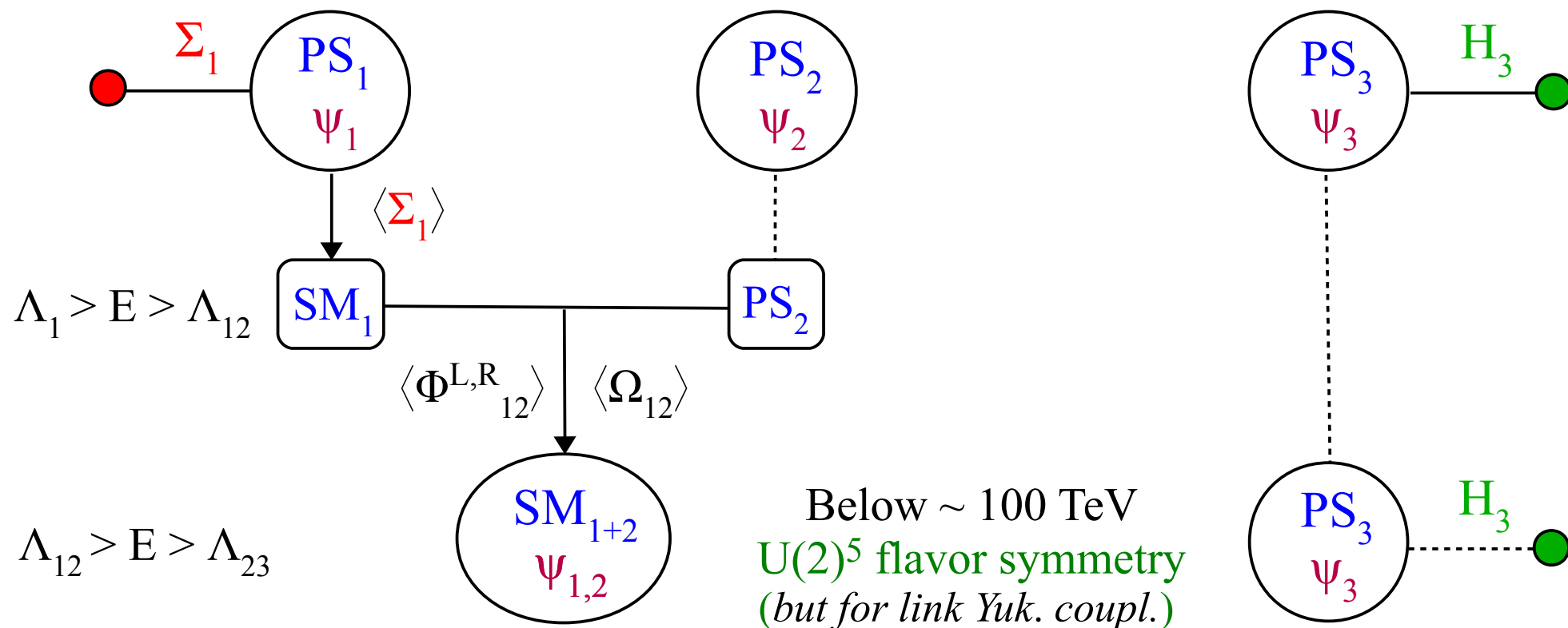
$PS_1$  [ $SU(4)_1 \times SU(2)^{R_1}$ ]



$SM_1$  [ $SU(3)_1 \times U(1)^{Y_1}$ ]



► Symmetry breaking pattern in  $PS^3$



$$\Phi_{12}^L \sim (1,2,1)_1 \times (1,2,1)_2$$

$$\text{VEV} \rightarrow SU(2)_{1+2}^L$$

$$\Phi_{12}^R \sim (1,1,2)_1 \times (1,1,2)_2$$

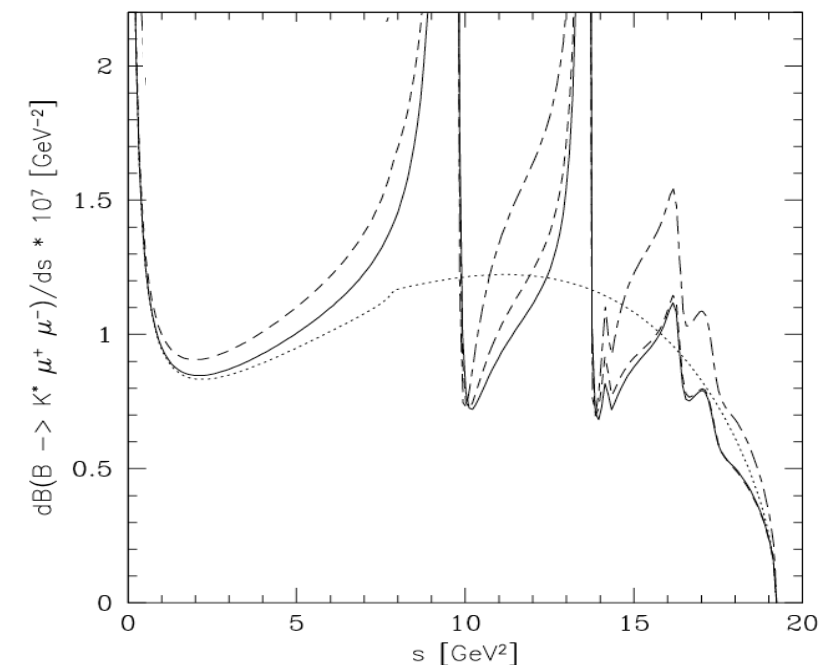
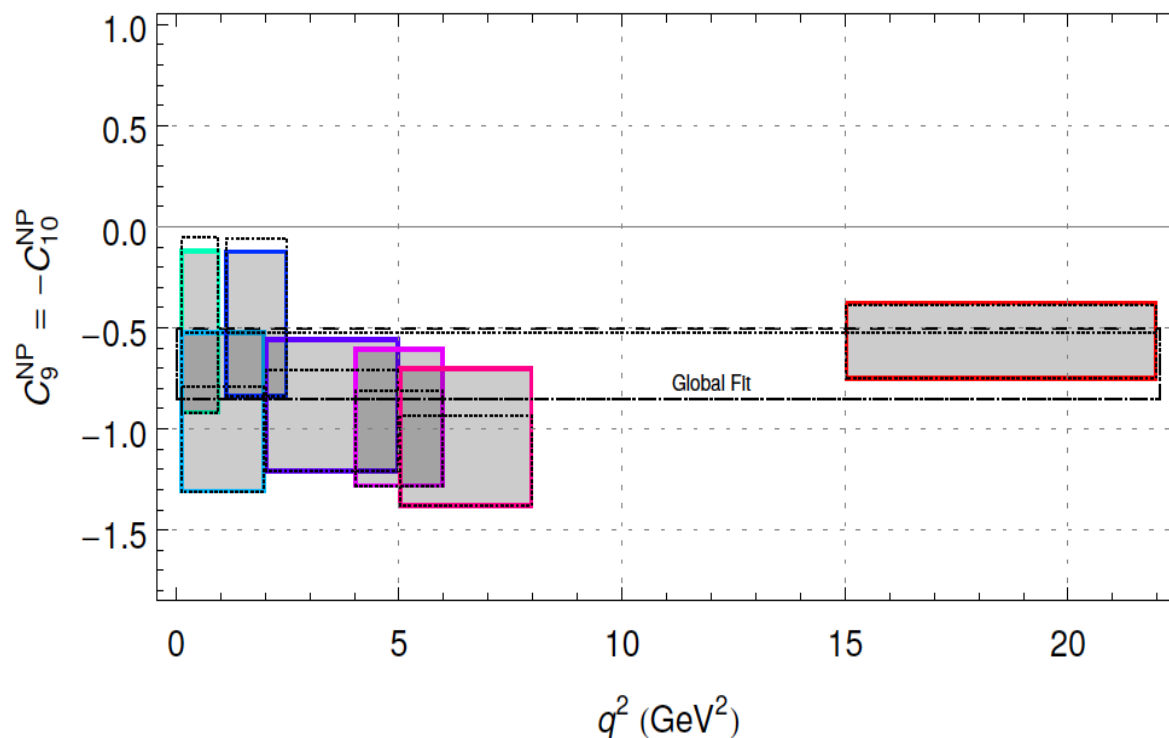
$$\text{VEV} \rightarrow SU(2)_{1+2}^R$$

$$\Omega_{12} \sim (4,2,1)_1 \times (\underline{4},\underline{2},1)$$

$$\text{VEV} \rightarrow SU(4)_{1+2} \ \& \ SU(2)_{1+2}^L$$

# Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

- Reduced tension in all the observables with a unique fit of non-standard short-distance Wilson coefficients



More precise data on the  $q^2=m_{\mu\mu}$  distribution can help to distinguish NP vs. SM

# Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

$$R_{K^*} = \frac{\int d\Gamma(B^0 \rightarrow K^* \mu\mu)}{\int d\Gamma(B^0 \rightarrow K^* ee)}$$

