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Effective field theory approach to neutrino-less double beta decay

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Los Alamos National Laboratory



LA-UR-21-25541

Outline

- Introduction:
 - $0\nu\beta\beta$ and LNV
 - 'End-to-end' EFT framework
- $0\nu\beta\beta$ from **light Majorana ν exchange** (high scale seesaw) in EFT
- Conclusion and outlook

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Special thanks to collaborators:

W. Dekens, J. de Vries, M. Graesser, E. Mereghetti, S. Pastore, M. Piarulli, U. van Kolck,
A. Walker-Loud, R. Wiringa

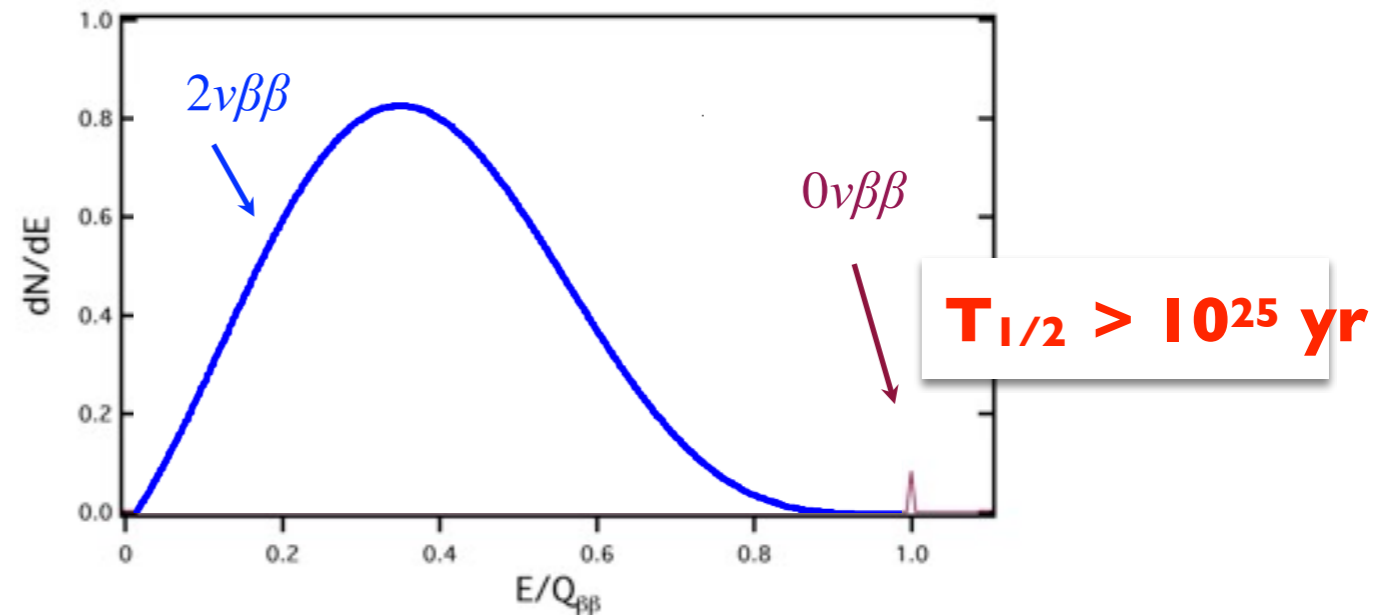
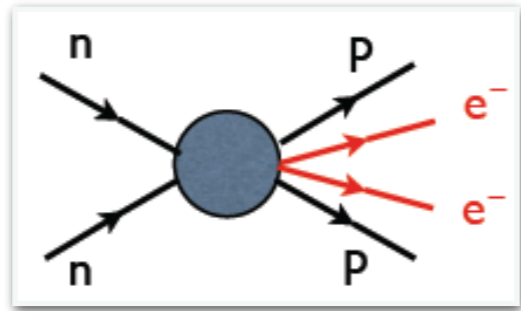
DBD Nuclear Theory Topical Collaboration (PI Jon Engel):
<http://c5l.lbl.gov/~0nubb/webhome/>

Introduction

Neutrinoless double beta decay ($0\nu\beta\beta$)

$$(N, Z) \rightarrow (N - 2, Z + 2) + e^- + e^-$$

$\Delta L=2$

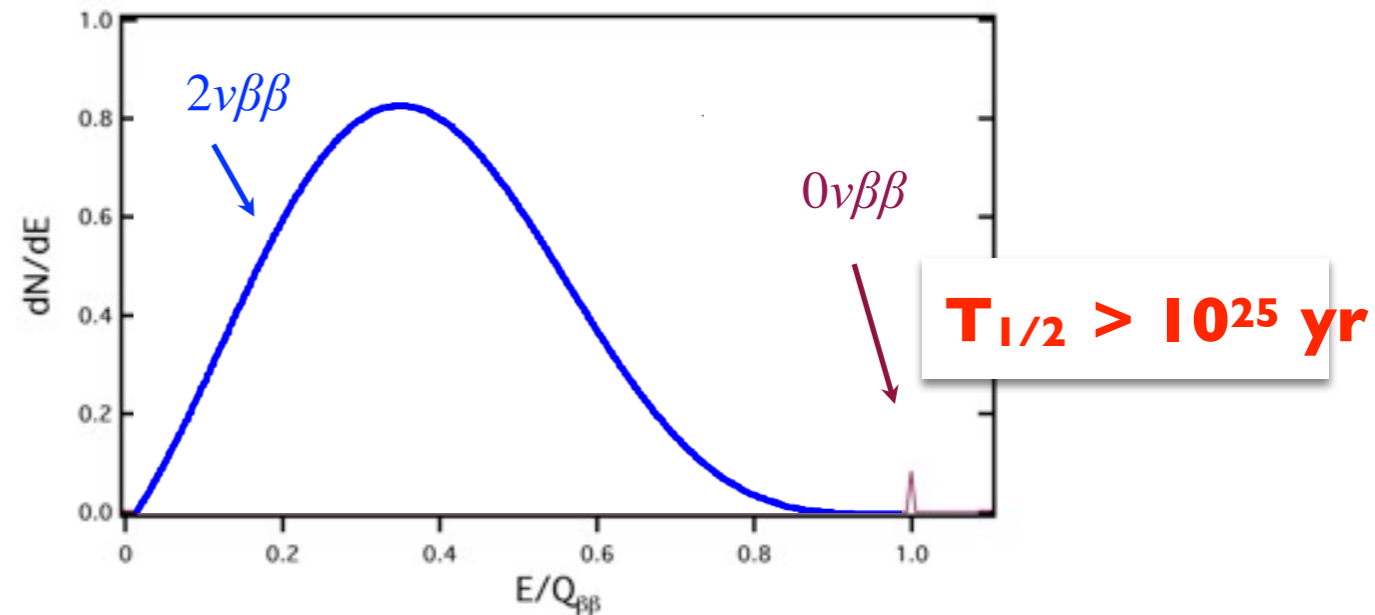
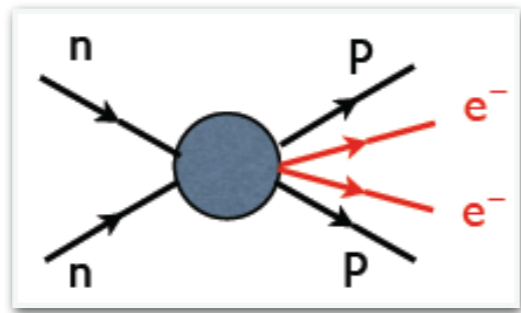


- Observable in certain even-even nuclei (^{48}Ca , ^{76}Ge , ^{136}Xe , ...), for which single beta decay is energetically forbidden

Neutrinoless double beta decay ($0\nu\beta\beta$)

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- Observable in certain even-even nuclei (^{48}Ca , ^{76}Ge , ^{136}Xe , ...), for which single beta decay is energetically forbidden
- B-L conserved in the SM \rightarrow new physics, with far-reaching implications
 - Demonstrate that neutrinos are Majorana fermions
- Establish key ingredient of leptogenesis

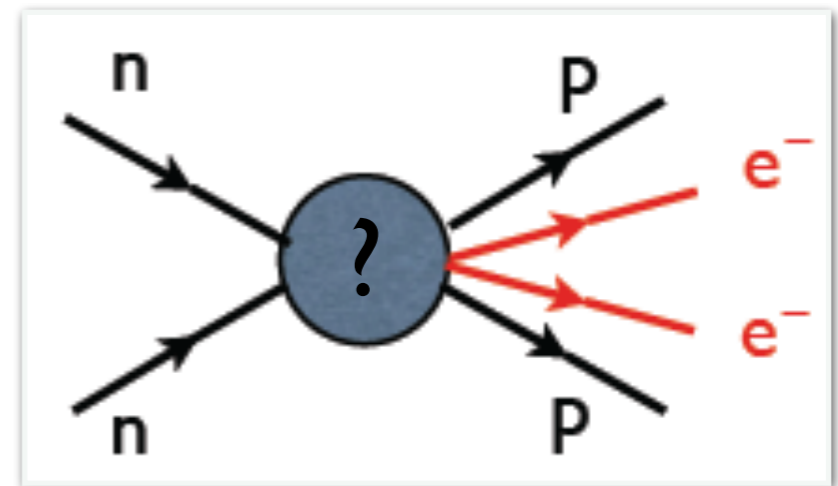
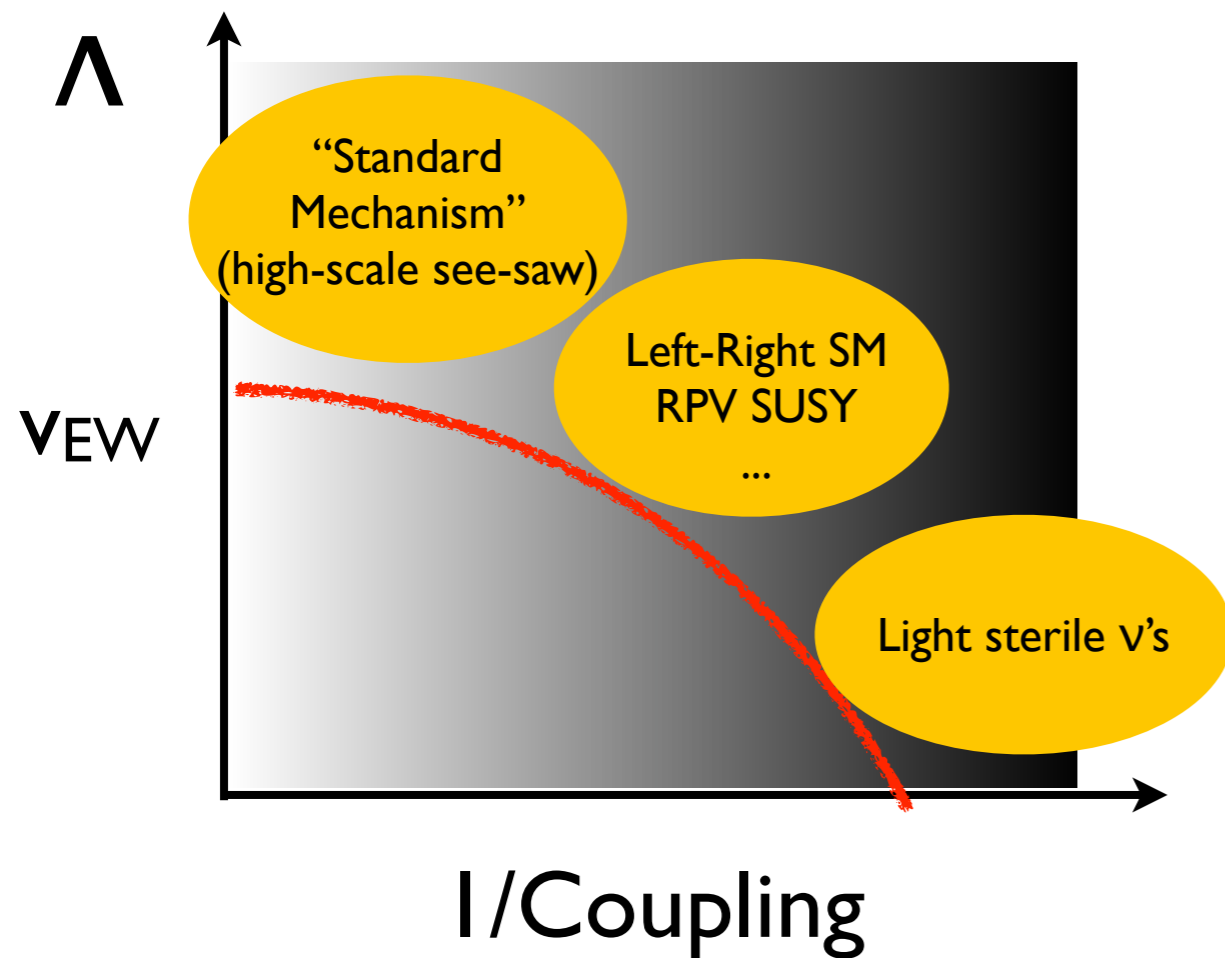
$$m_M \psi_L^T C \psi_L + \text{h.c.}$$

Majorana mass term: $\Delta L = 2$

Fukugita-Yanagida 1987

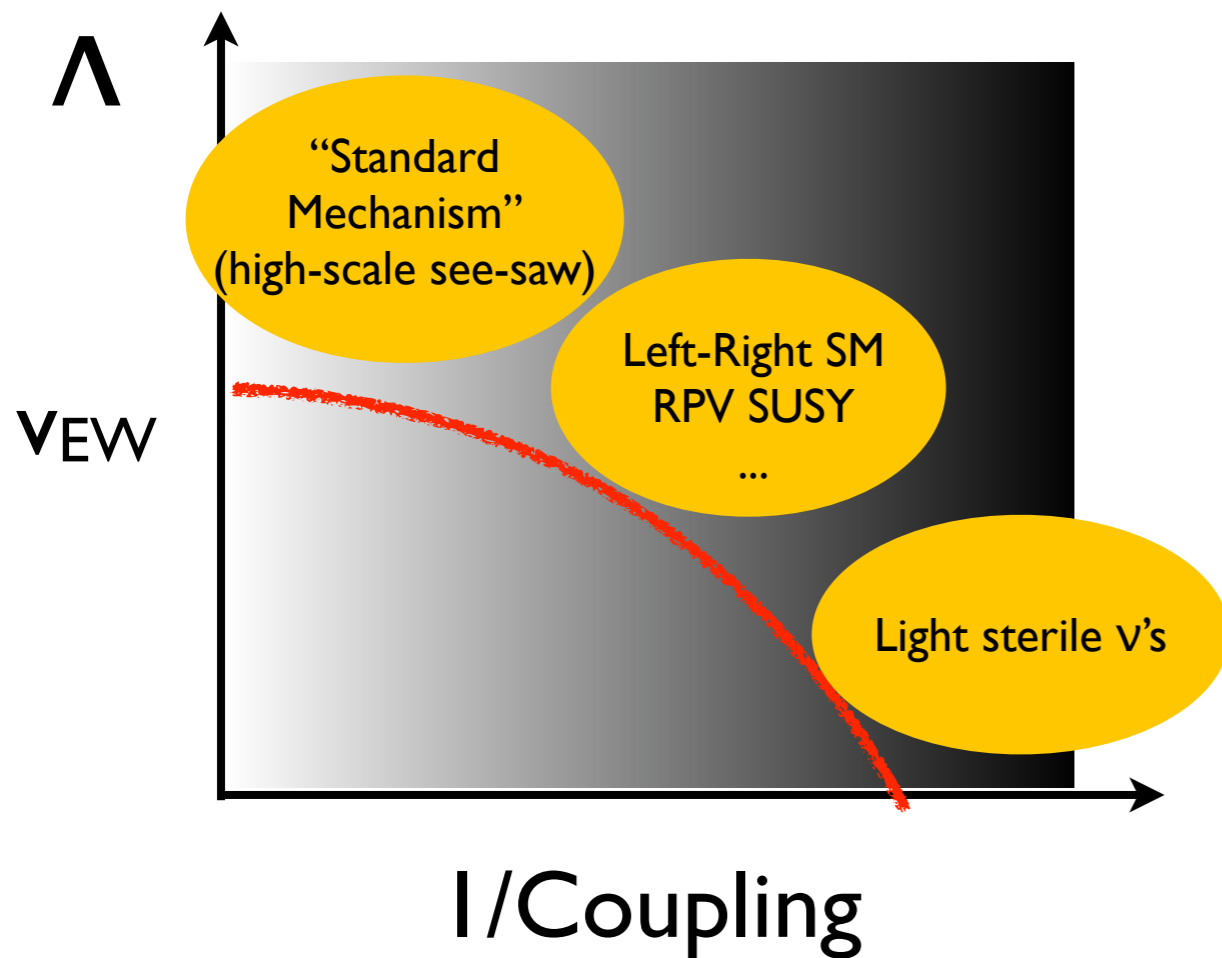
$0\nu\beta\beta$ physics reach

- $0\nu\beta\beta$ searches at the level of $T_{1/2} > 10^{27-28}$ yr (ton scale and beyond) probe $\Delta L=2$ physics at unprecedented levels from a variety of mechanisms

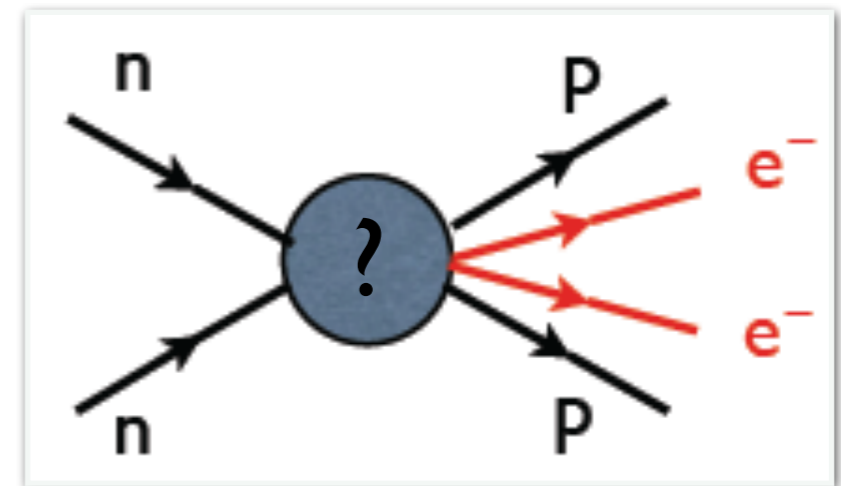


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Some reviews:
 Rodejohann I 106.1334,
 Vergados-Eijiri-Simkovic I 205.0649
 Deppisch-Hirsch-Pas I 208.0727
 deGouvea-Vogel I 303.4097
 ...



Vast literature, with varying degree of enthusiasm for EFT tools

Some papers:

VC-Dekens-deVries-Graesser-Mereghetti, I 806.02780
 Neacsu-Horoi I 801.04496.

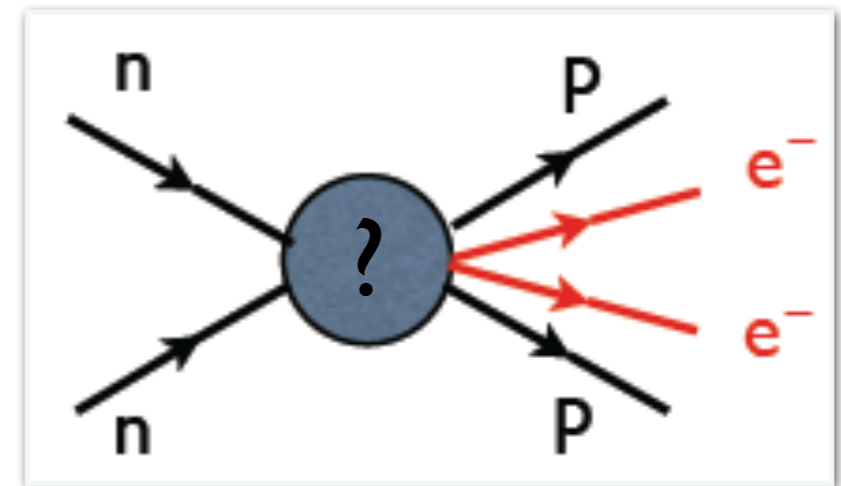
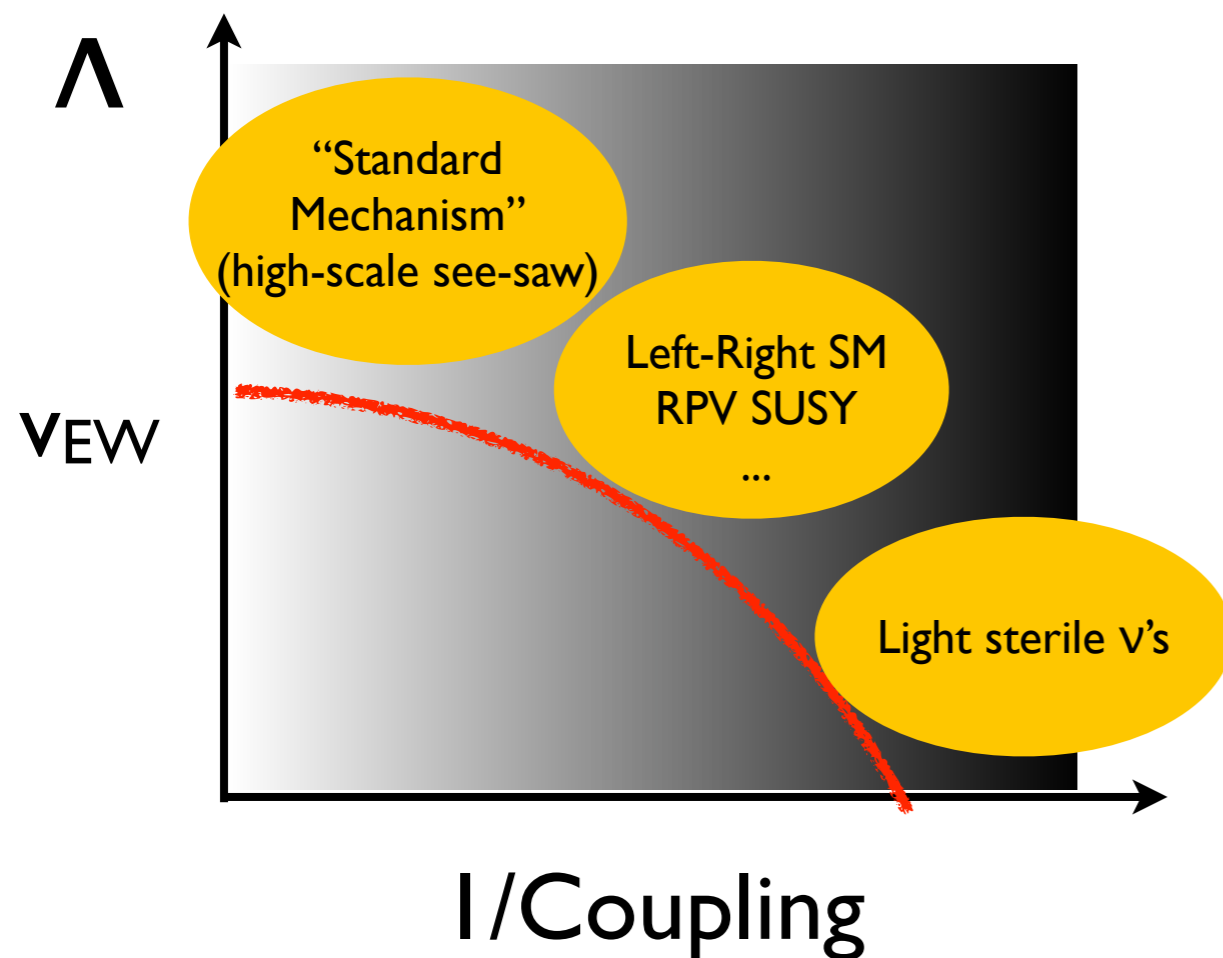
Graf-Deppisch-Iachello-Kotila, I 806.06058

...

Prezeau, Ramsey-Musolf, Vogel, hep-ph/0303205
 Pas, Hirsch, Klapdor-Kleingrothaus, Kovalenko,
 hep-ph/0008182
 ...

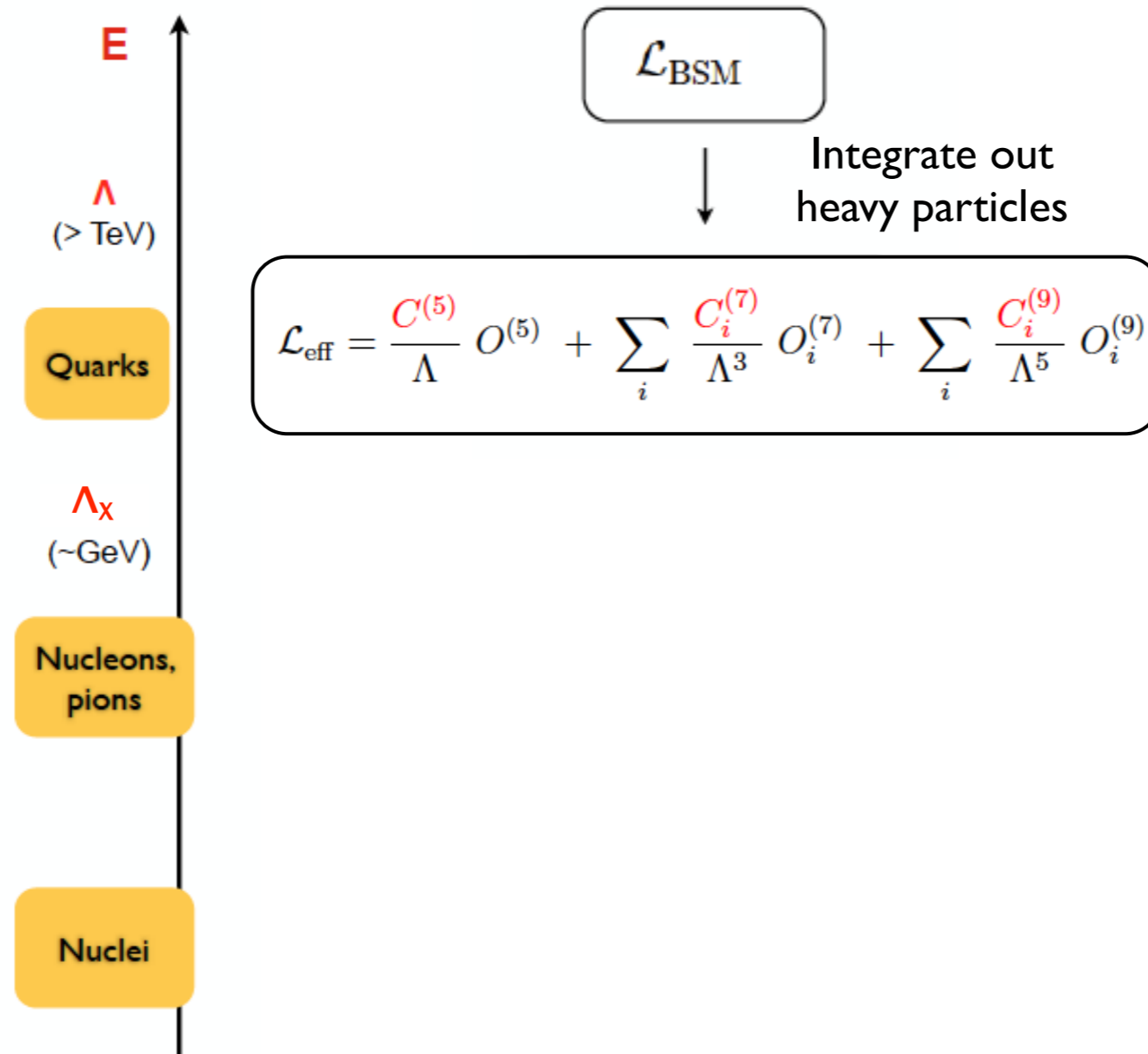
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$0\nu\beta\beta$'s impact and relation to other probes of LNV is best analyzed via EFT, that connects LNV scale Λ to nuclear scales

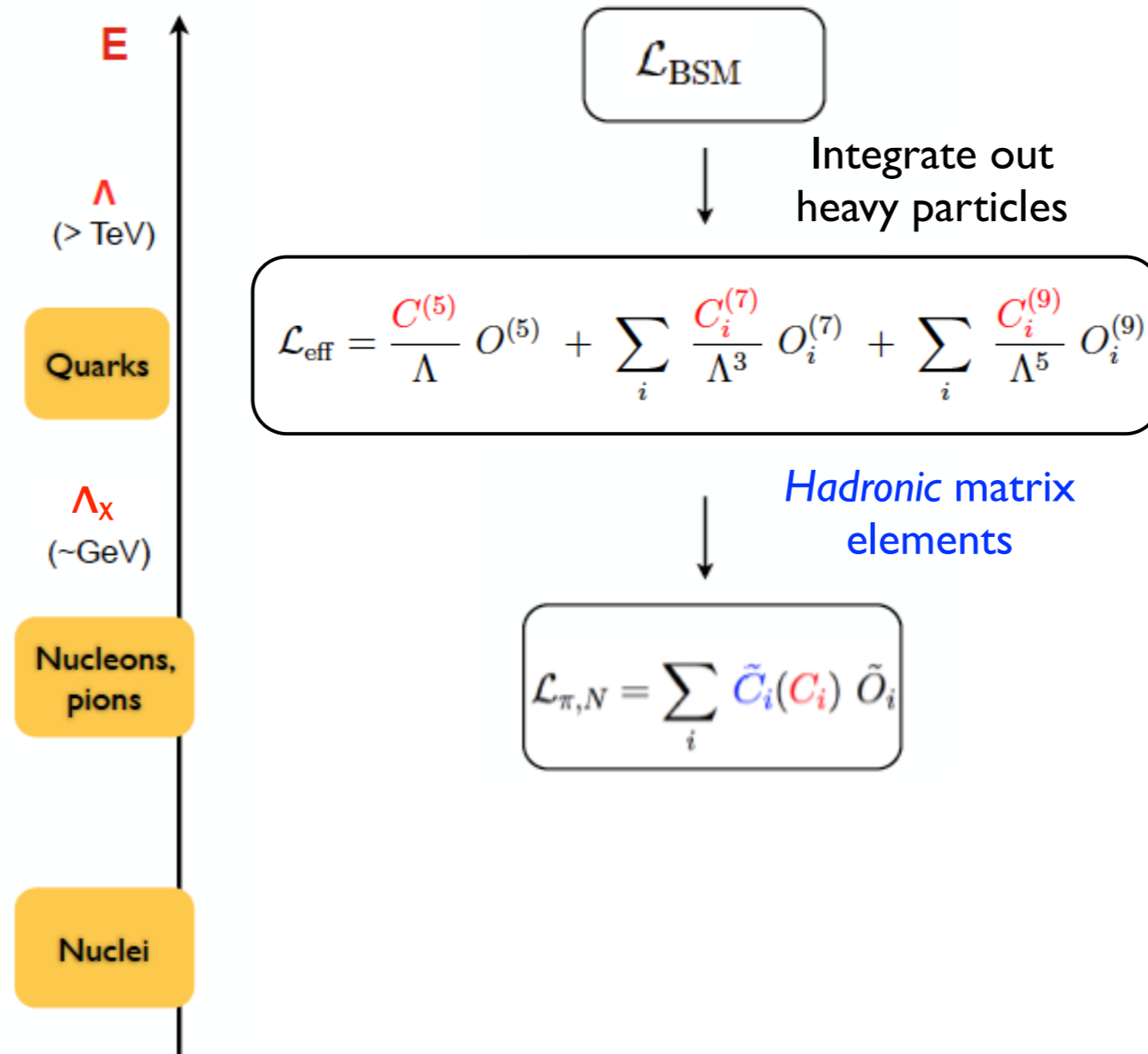
'End-to-end' EFT framework for $0\nu\beta\beta$



$\Delta L=2$ in the
 “Standard Model EFT”:
 only dim=5,7,9,...

Addition of light ν_R
 systematically studied in Dekens
 et al. 2002.07182

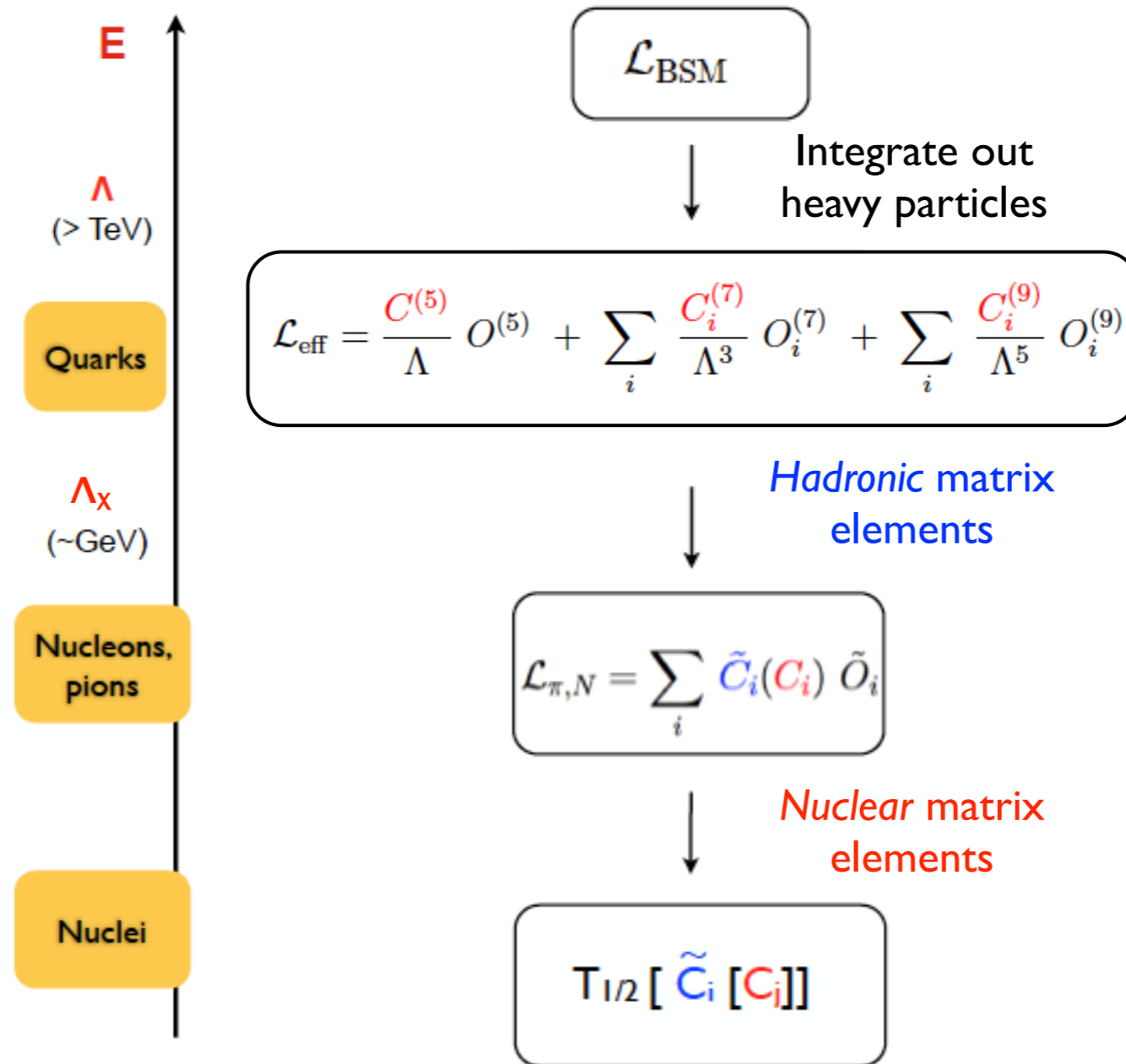
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Chiral EFT: Map $\Delta L=2$ interactions onto π, N operators, organized according to power-counting in Q/Λ_χ ($Q \sim k_F \sim m_\pi$)

Derive two-nucleon 'potentials'

'End-to-end' EFT framework for $0\nu\beta\beta$



Connect LNV sources to nuclear scale with controllable uncertainty

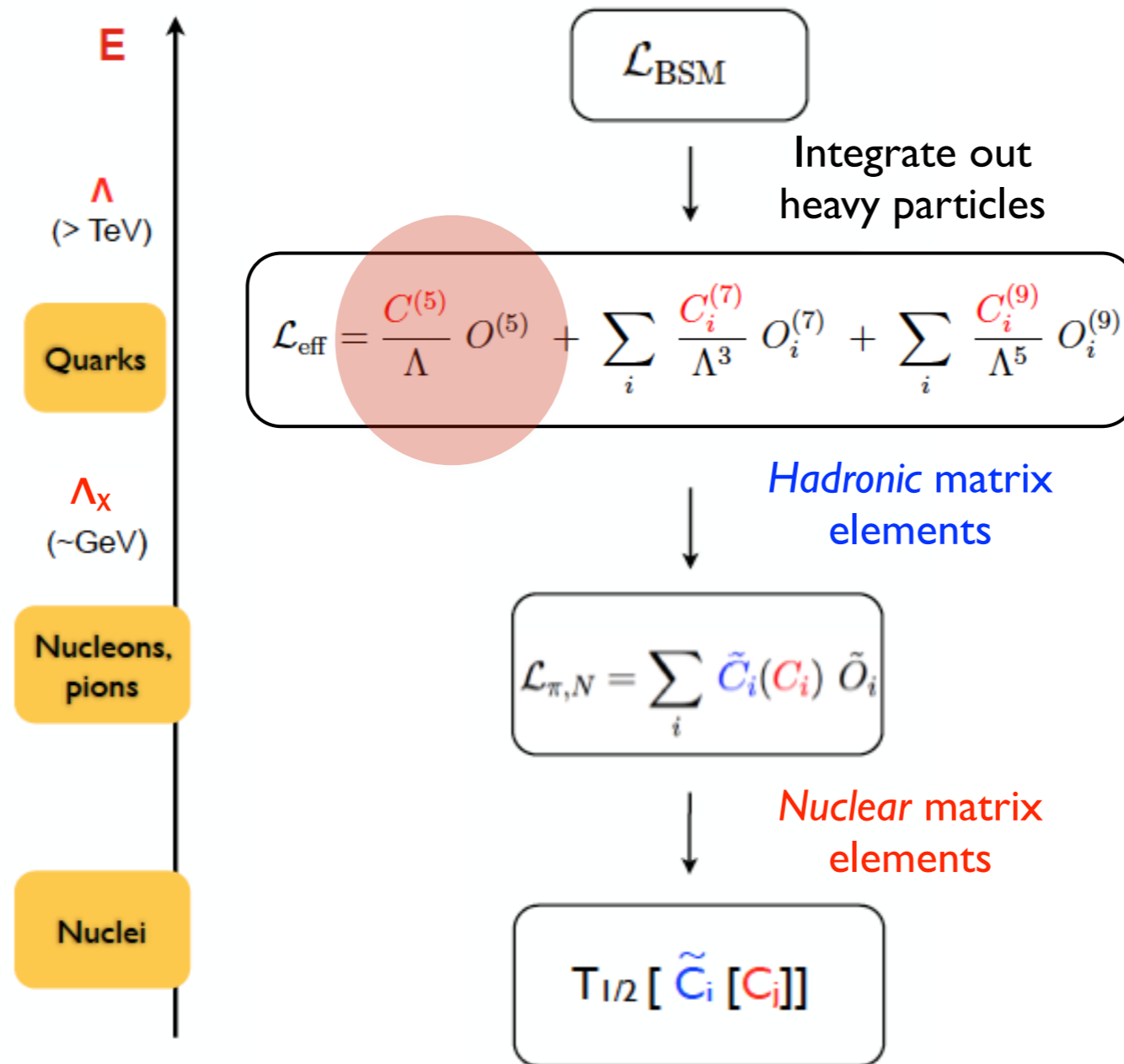
Chain of EFTs +

Hadronic matrix elements (LQCD,...)
& many-body methods



$$T_{1/2} [\tilde{C}_i [C_j]] \sim (m_W/\Lambda)^A (\Lambda_X/m_W)^B (k_F/\Lambda_X)^C$$

'End-to-end' EFT framework for $0\nu\beta\beta$



Connect LNV sources to nuclear scale with controllable uncertainty

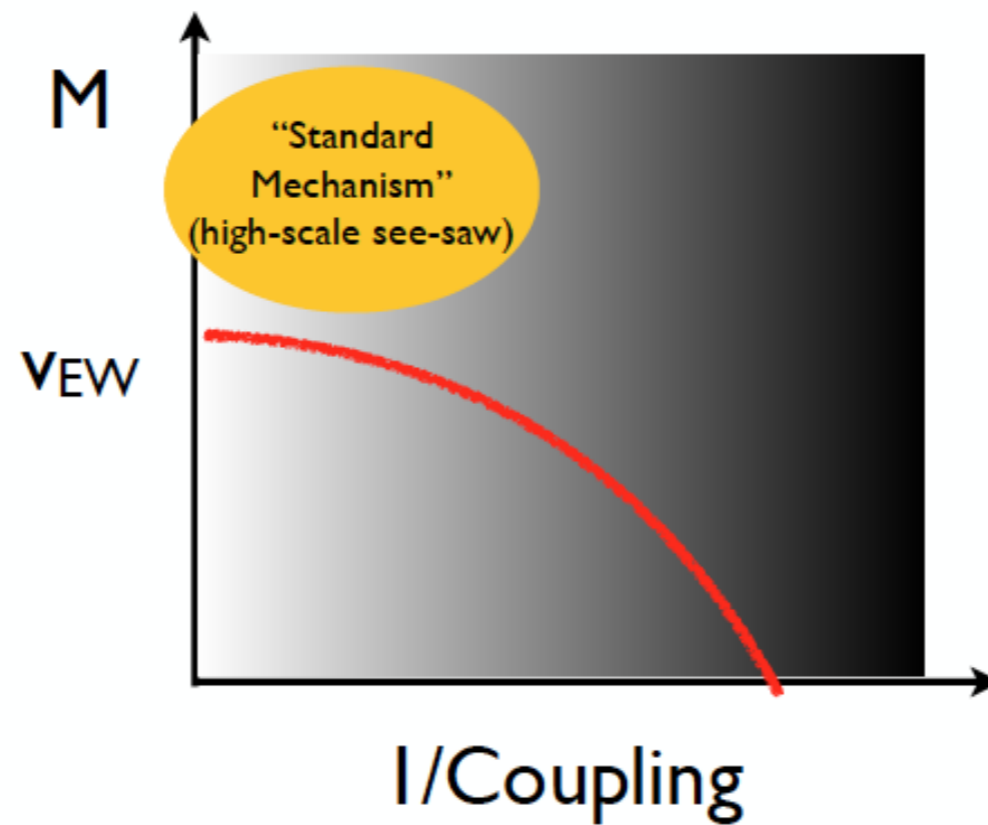
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$0\nu\beta\beta$ from light Majorana ν (dim-5 operator)



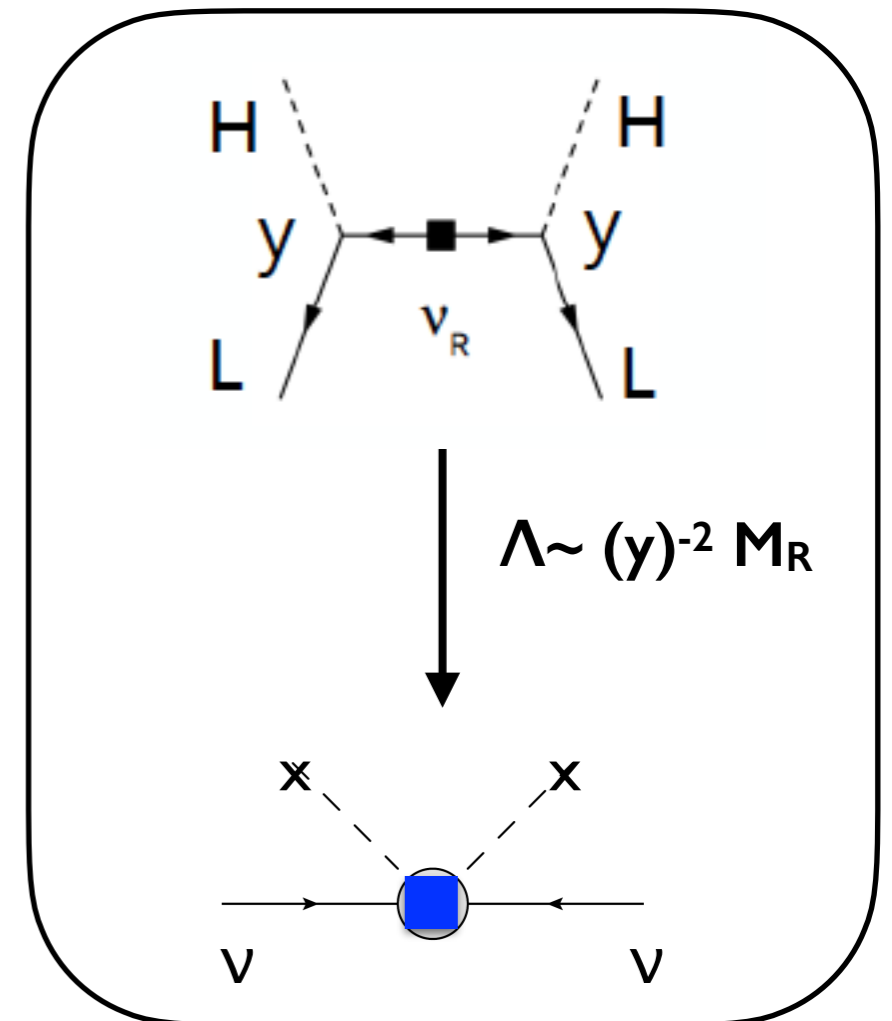
The 'standard mechanism'

- LNV originates at very high scale
 $(\Lambda \gg v) \rightarrow$ dominant low-energy remnant is Weinberg's dim-5 operator:

$$\mathcal{L}_5 = \frac{w_{\alpha\alpha'}}{\Lambda} L_{\alpha}^T C \epsilon H H^T \epsilon L_{\alpha'}$$

- Below the weak scale this is just the neutrino Majorana mass ($m_{\beta\beta} \sim w_{ee} v^2/\Lambda$)

Ex: Type I see-saw with heavy ν_R



The 'standard mechanism'

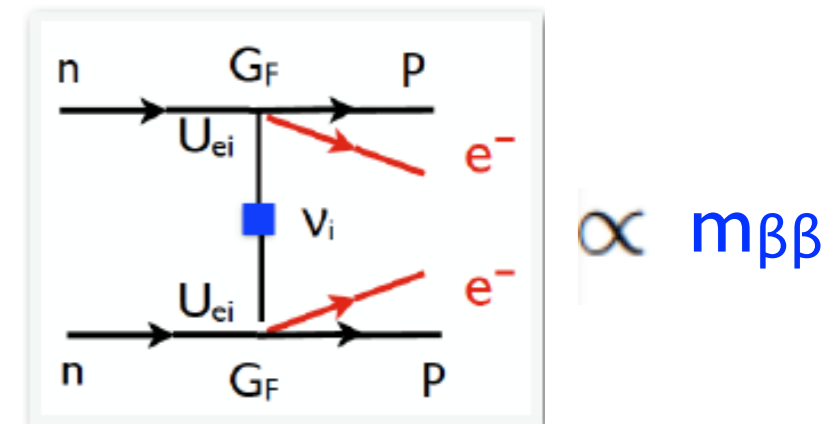
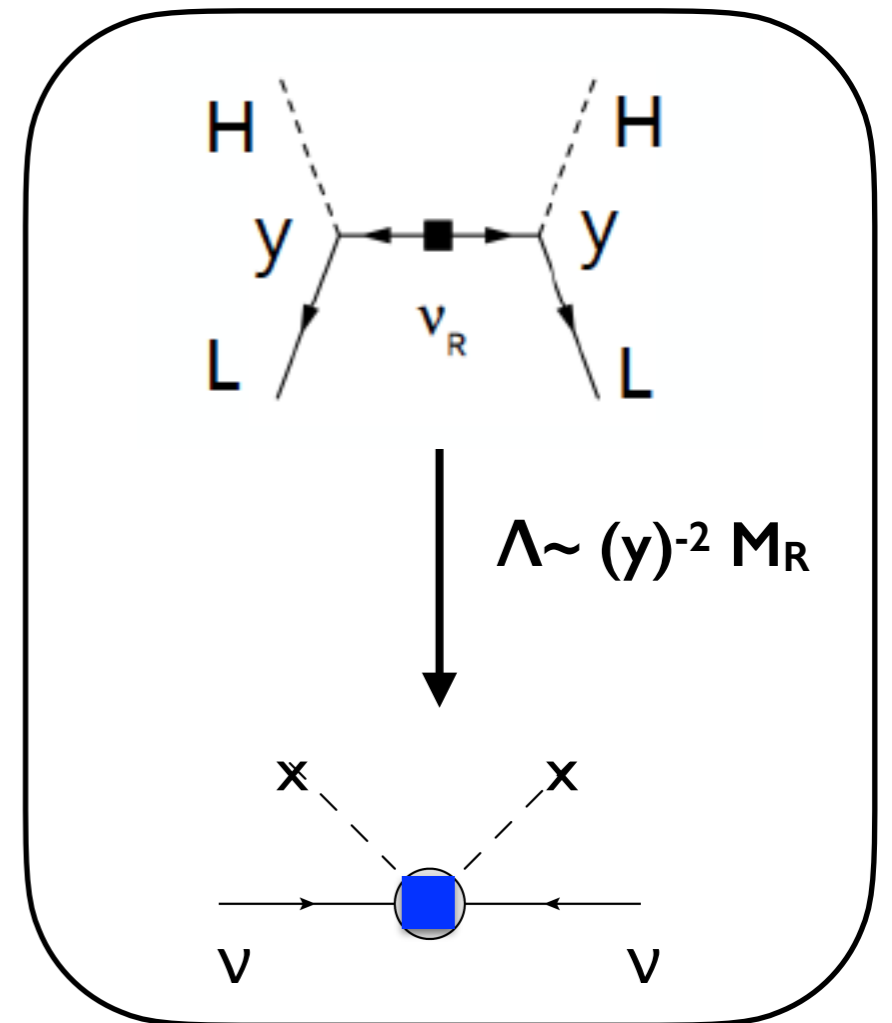
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- $0\nu\beta\beta$ mediated by *active* ν_M with amplitude proportional to $m_{\beta\beta}$

Ex: Type I see-saw with heavy ν_R

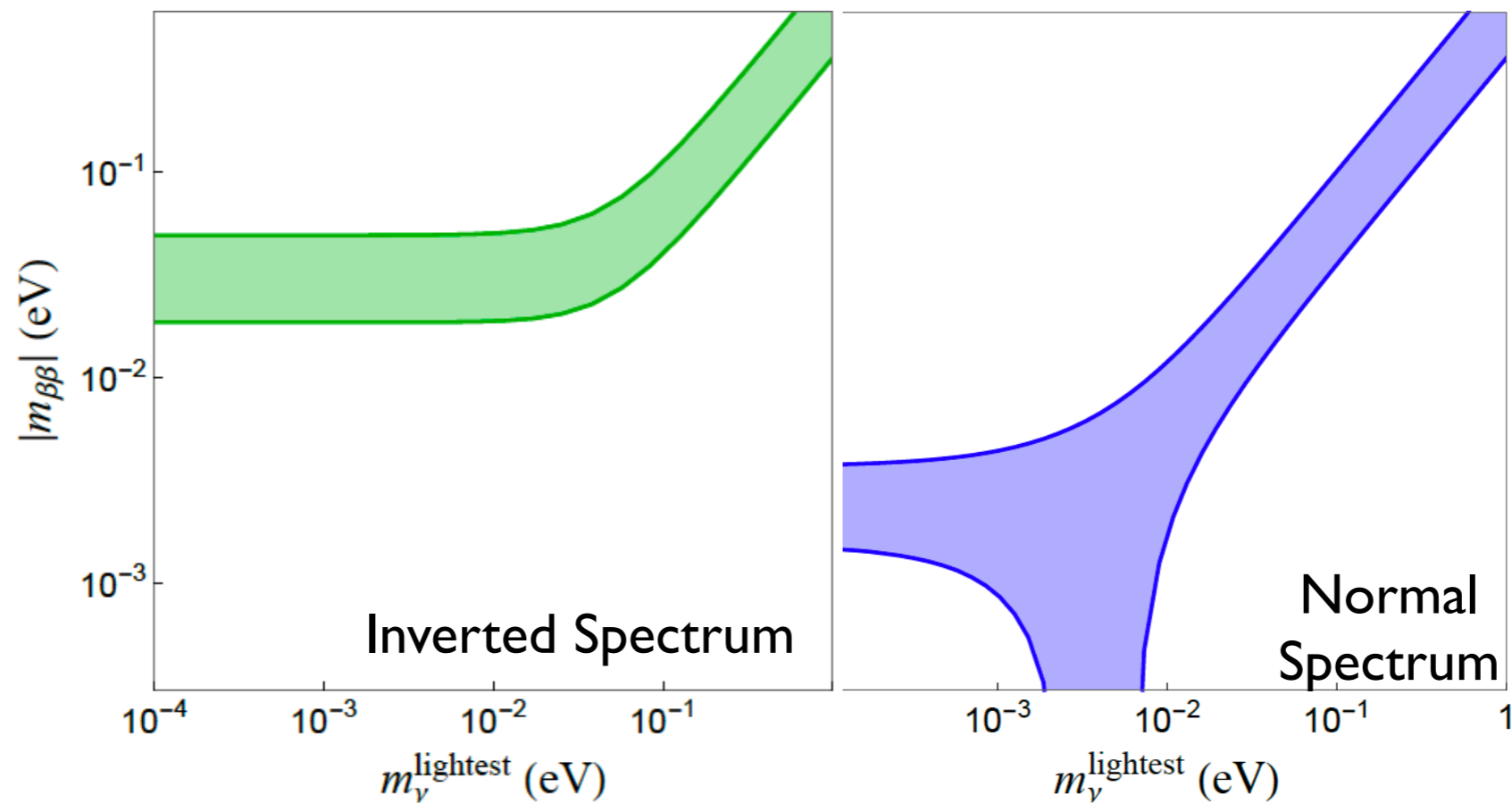
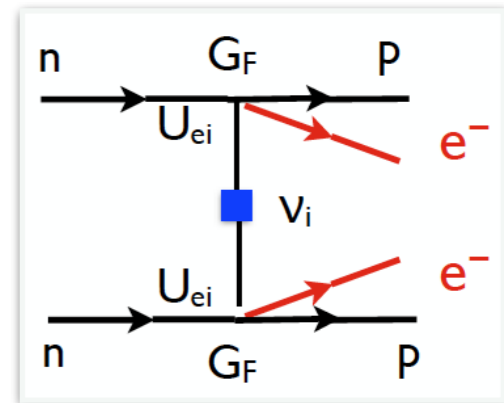


Discovery potential / target

- In this case $0\nu\beta\beta$ is a *direct* probe of ν Majorana mass: $\Gamma \propto |M_{0\nu}|^2 (m_{\beta\beta})^2$

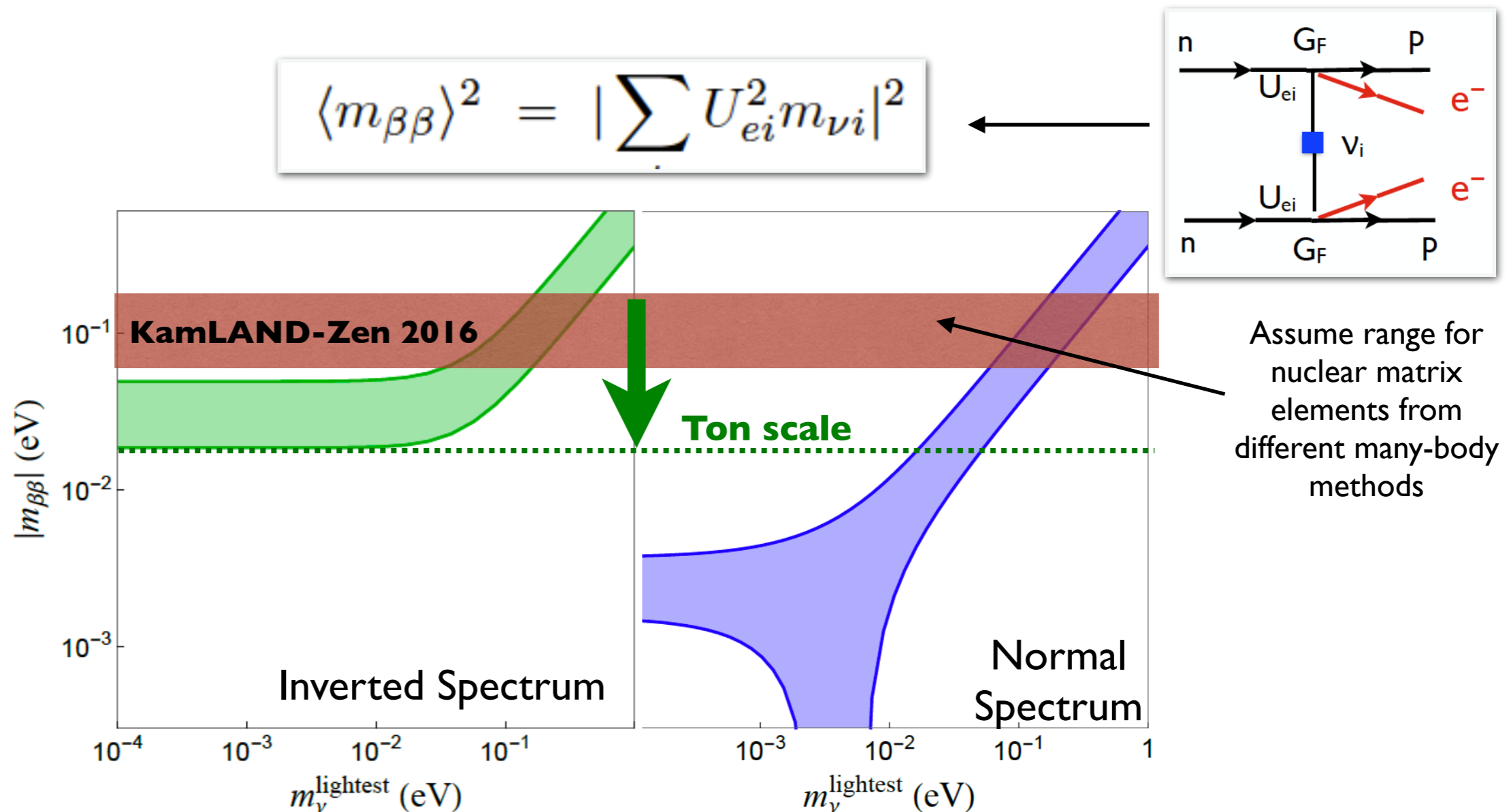
Strong correlation
with oscillation
parameters

$$\langle m_{\beta\beta} \rangle^2 = \left| \sum_i U_{ei}^2 m_{\nu i} \right|^2$$



Discovery potential / target

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Assuming current range for matrix elements,
 discovery @ ton-scale *possible* for **inverted spectrum** or **$m_{\text{lightest}} > 50 \text{ meV}$**

Diagnosing power

- High scale seesaw implies falsifiable correlation with other ν mass probes.

$$m_{\beta\beta} = \left| \sum_i U_{ei}^2 m_i \right|$$

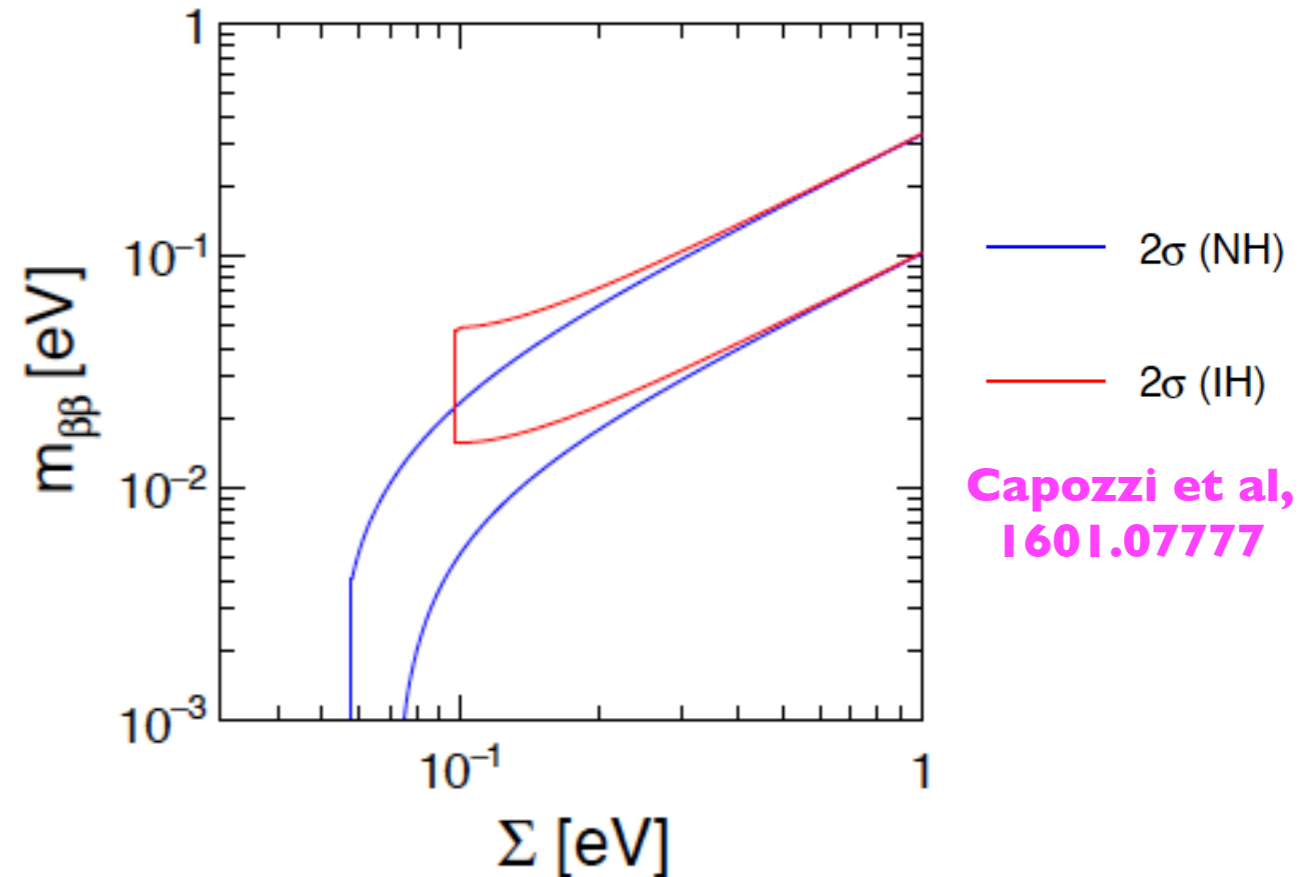
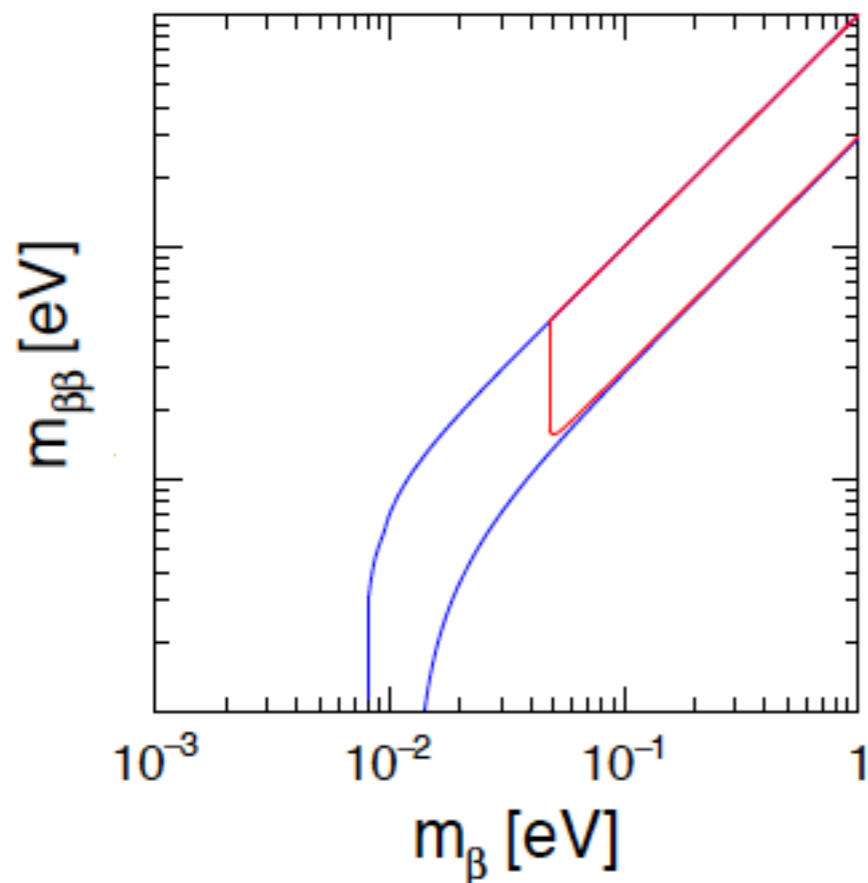
$0\nu\beta\beta$ decay

$$m_\beta = \sqrt{\sum_i |U_{ei}|^2 m_i^2}$$

Tritium β decay

$$\Sigma = \sum_i m_i$$

Cosmology



Capozzi et al,
1601.07777

Diagnosing power

- High scale seesaw implies falsifiable correlation with other ν mass probes. Future data can unravel new LNV sources or physics beyond “ Λ CDM + m_ν ”

$$m_{\beta\beta} = \left| \sum_i U_{ei}^2 m_i \right|$$

$0\nu\beta\beta$ decay

$$m_\beta = \sqrt{\sum_i |U_{ei}|^2 m_i^2}$$

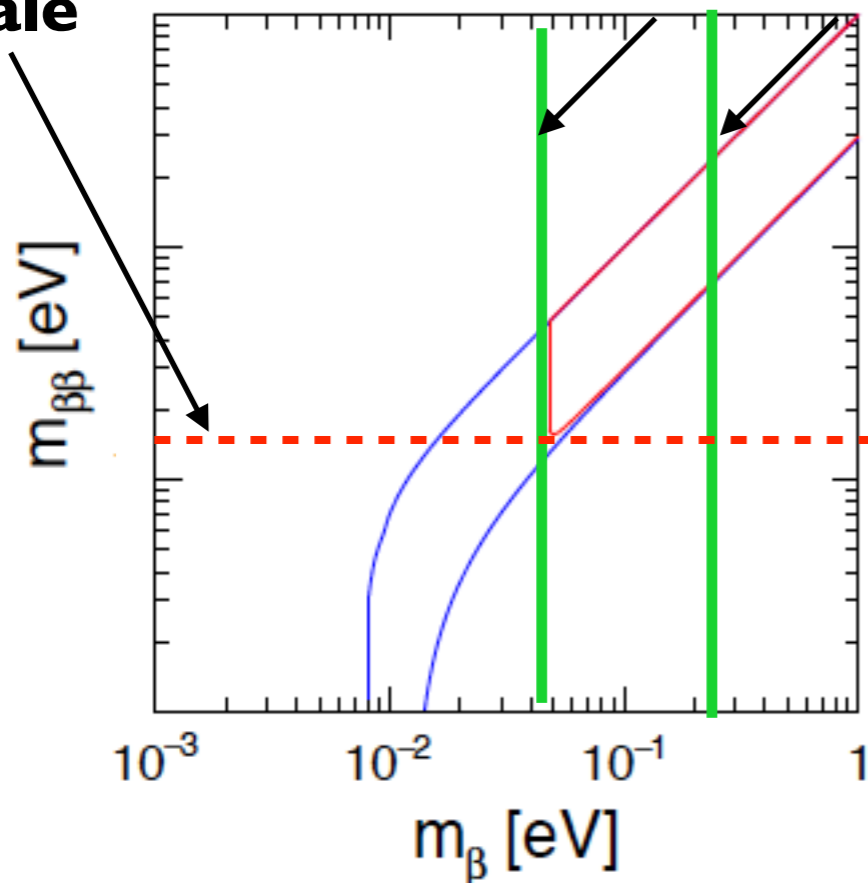
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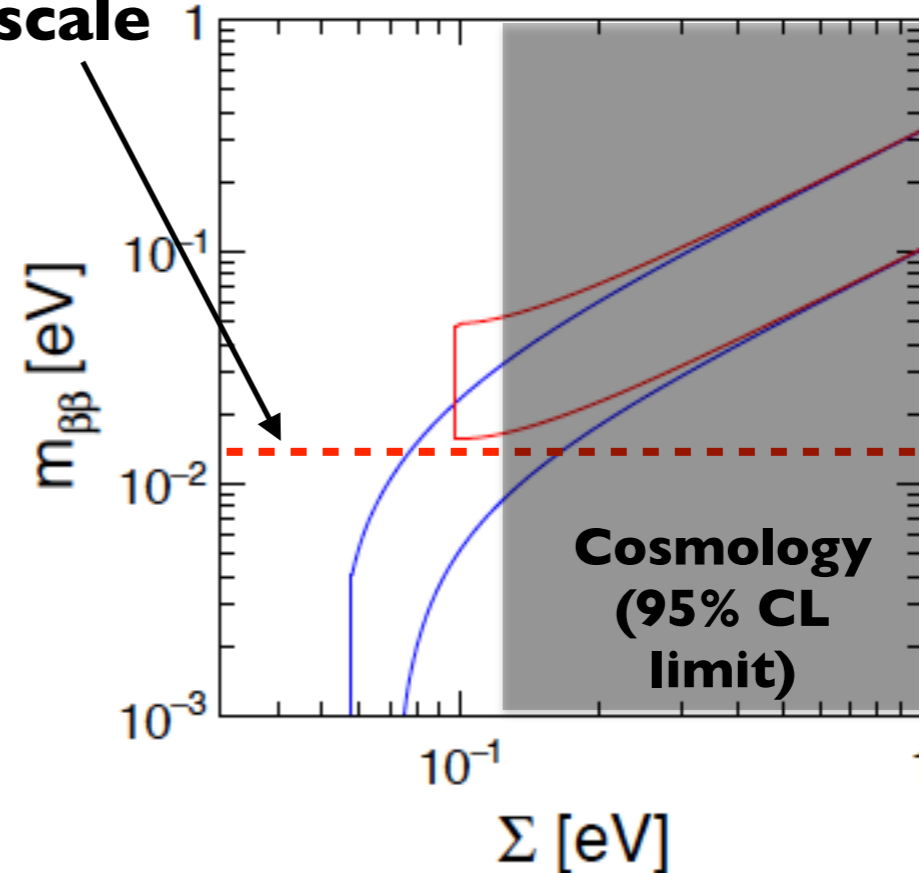
Cosmology

Project8 KATRIN

Ton scale



Ton scale



— 2 σ (NH)

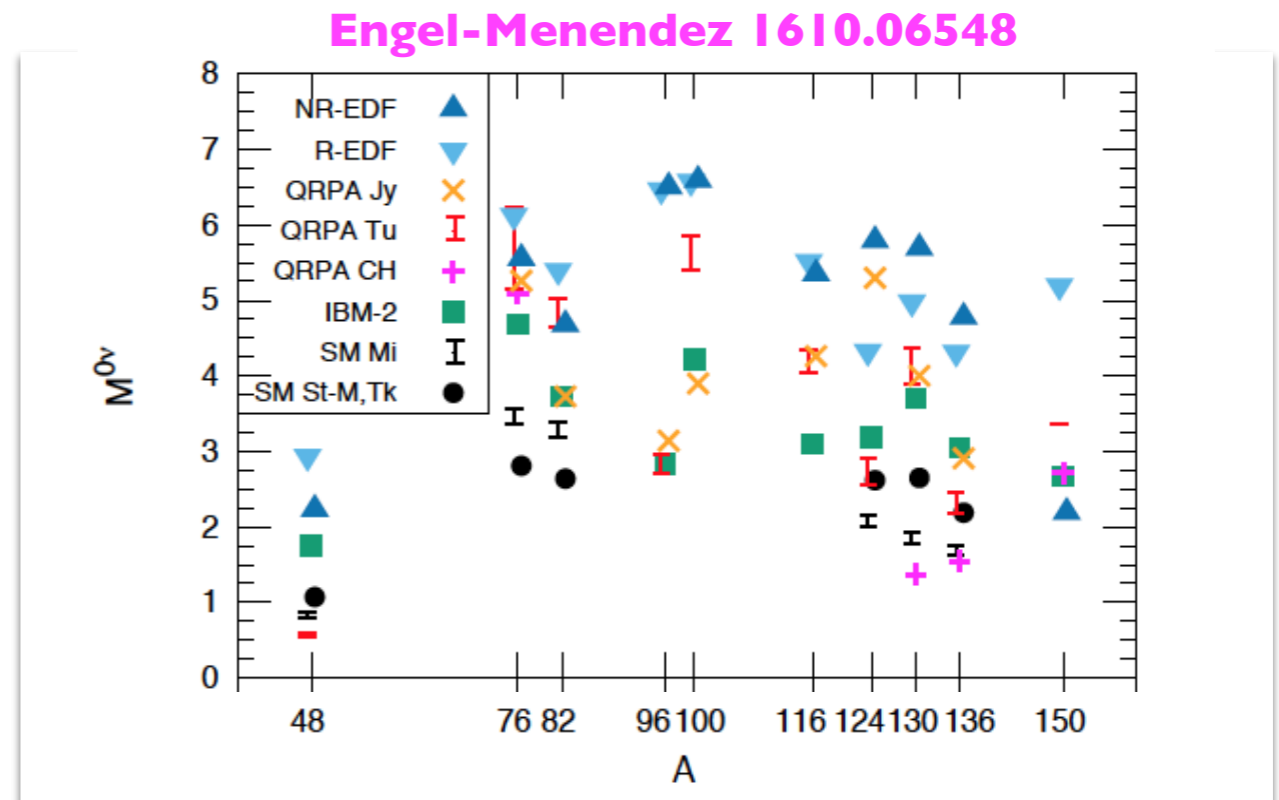
— 2 σ (IH)

Capozzi et al,
1601.07777

Planck
1807.06209

Theory status / developments

- Snapshot as of a few years ago [recall $\Gamma \propto |M_{0\nu}|^2 (m_{\beta\beta})^2$]

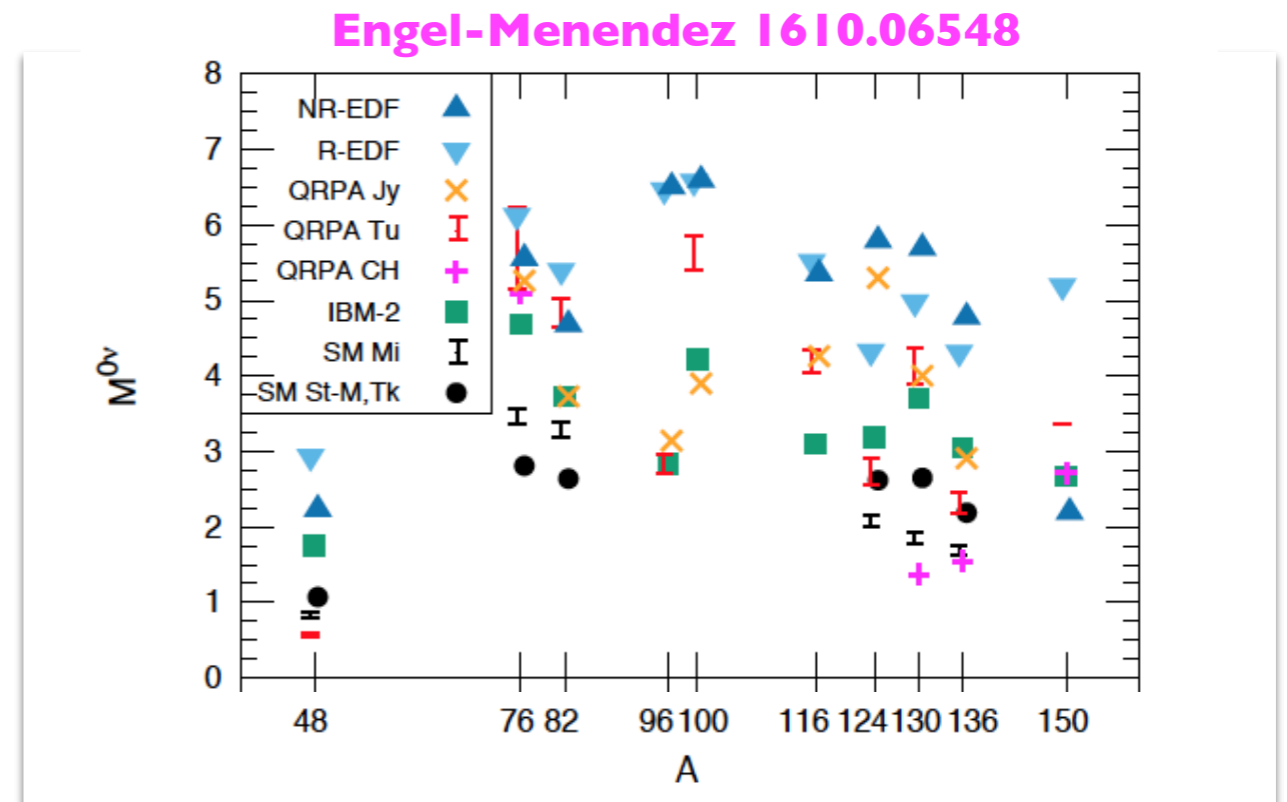


- Steps towards controlled uncertainties in matrix elements:
 - Use chiral EFT as guiding principle
 - Compute *hadronic* matrix elements with QCD-based methods
 - Ab initio nuclear structure calculations: light nuclei, ^{48}Ca , ^{76}Ge , ...

Pastore et al., 1710.05026; Yao et al., 1908.05424;
Belley et al.; 2008.06588; Novario et al., 2008.09696

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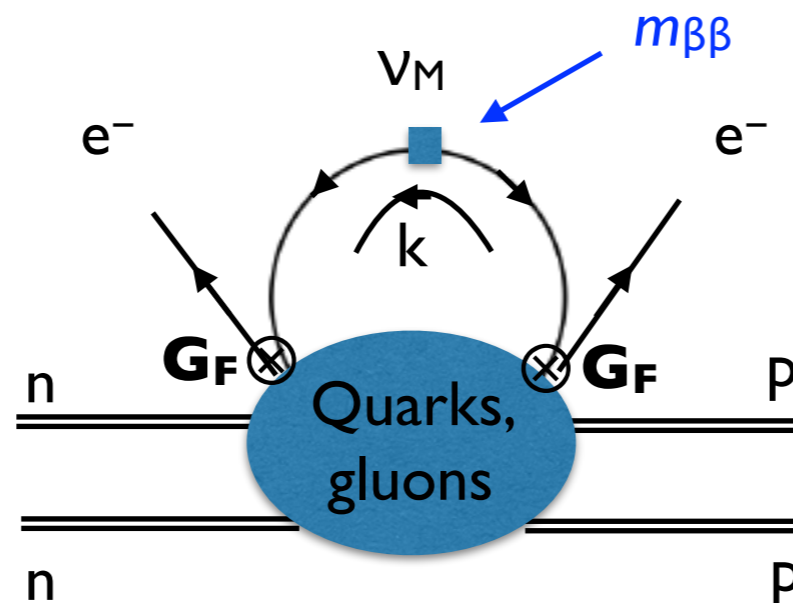


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From quarks to nuclei using EFT

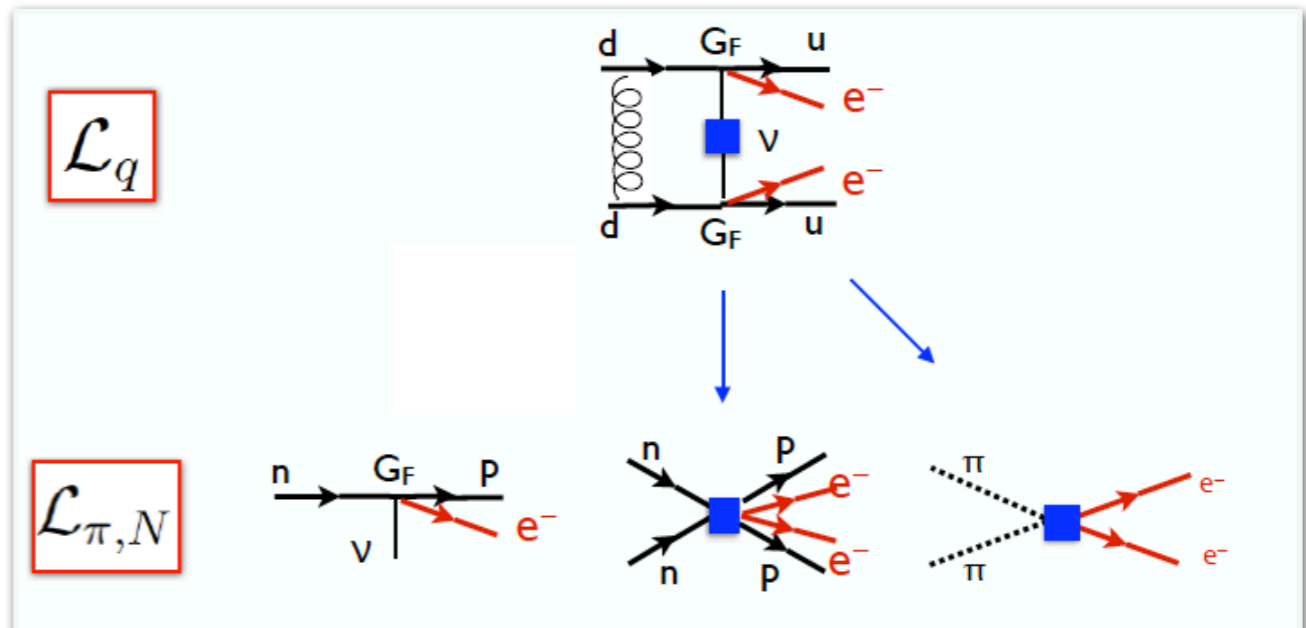
LNV hadronic amplitudes such as $nn \rightarrow ppee$ receive contributions from neutrino of all virtualities (k)



Chiral EFT captures contributions from all relevant momentum regions

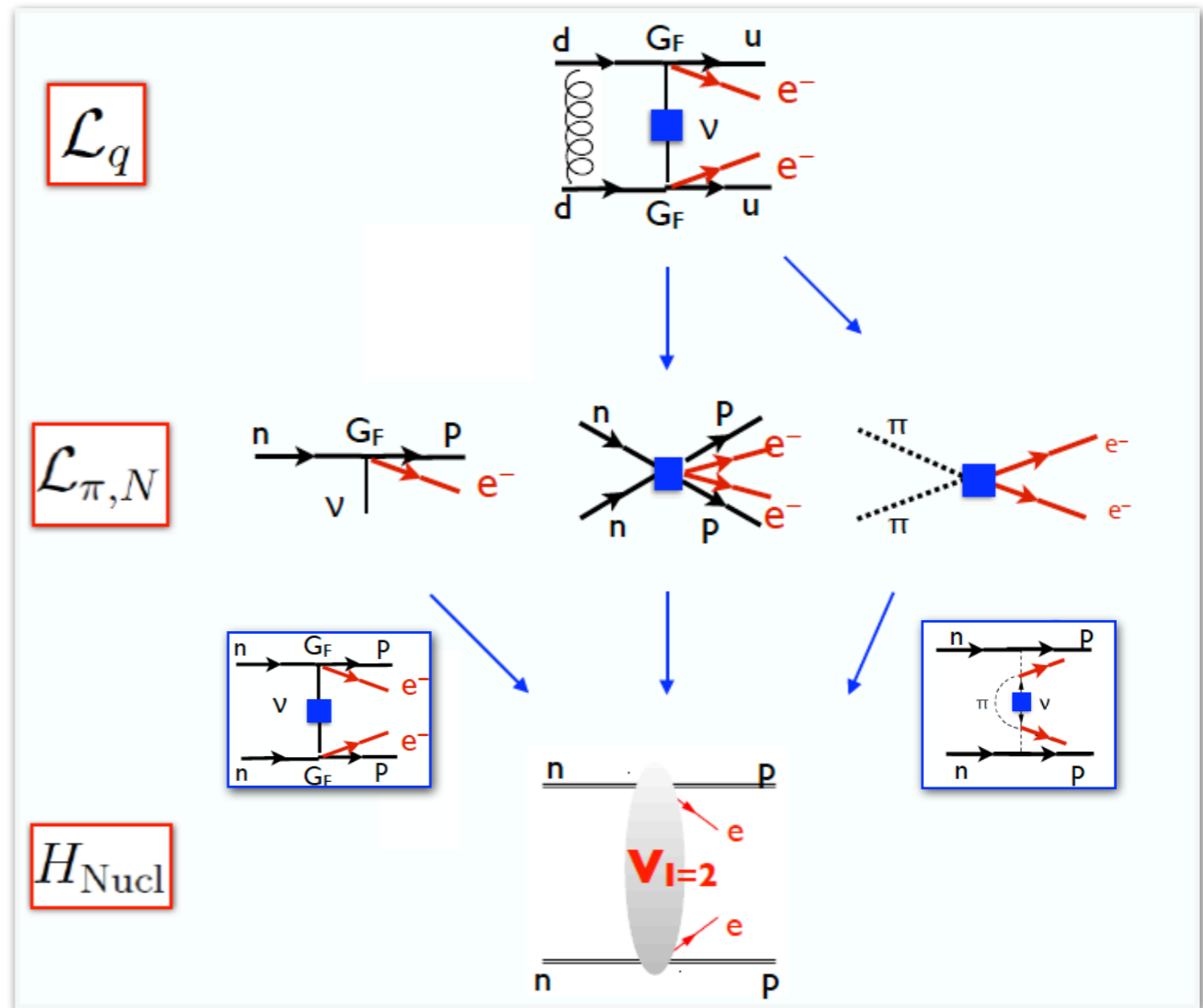
From quarks to nuclei using EFT

- At $E \sim \Lambda_\chi \sim m_N \sim \text{GeV}$ integrate out hard ν 's and gluons ($E, |\mathbf{k}| > \Lambda_\chi$)
- Map $\Delta L=2$ Lagrangian onto π, N operators, organized according to power-counting in Q/Λ_χ ($Q \sim k_F \sim m_\pi$)



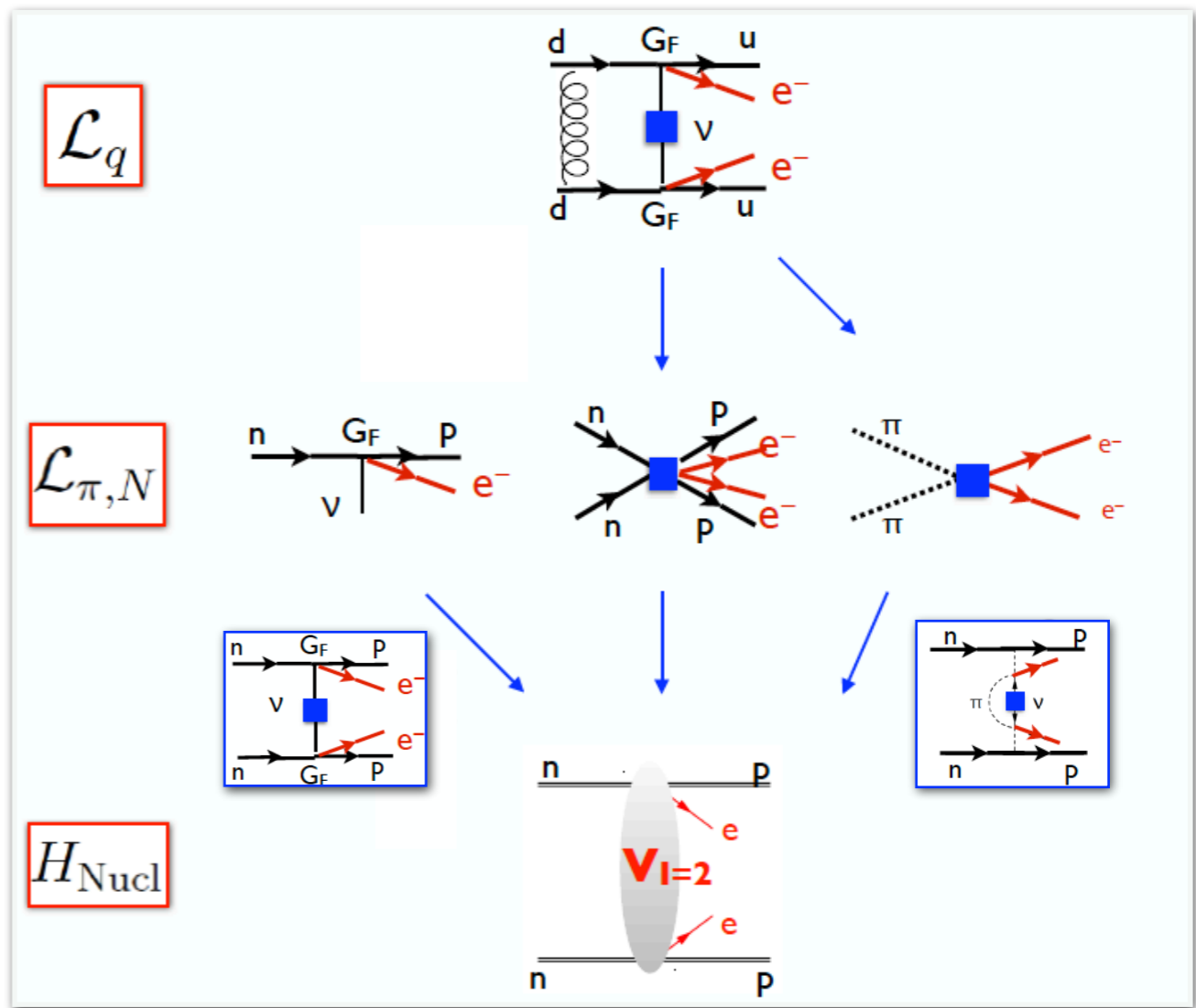
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- Integrate out soft and potential ν 's and π 's with $(E, |\mathbf{k}|) \sim Q$ and $(E, |\mathbf{k}|) \sim (Q^2/m_N, Q) \rightarrow$ obtain 2-body transition operator ('potential')



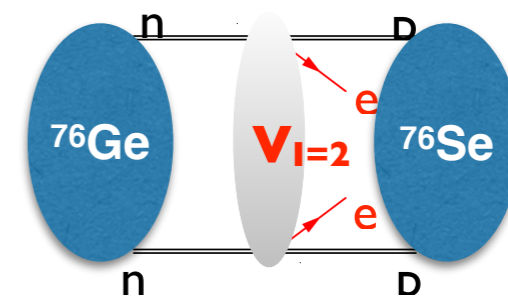
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- Final step: take matrix element of $V_{I=2}$ in nuclear states

Next discuss LO terms in the expansion in Q/Λ_χ



Details & beyond LO: [1710.01729](#),
[1802.10097](#), [1907.11254](#)

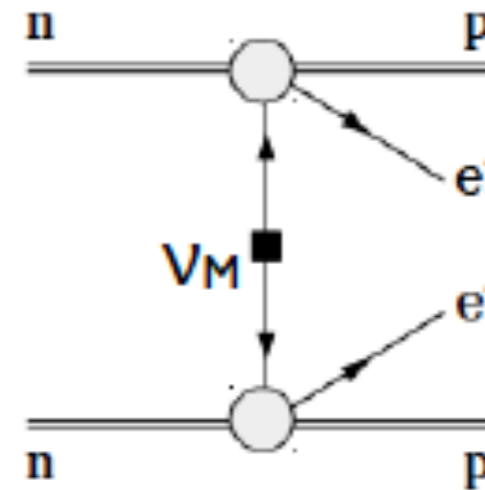
New insights from EFT

V. Cirigliano, W. Dekens, J. de Vries, M. Graesser, E. Mereghetti, S. Pastore, U. van Kolck
1802.10097, Phys.Rev.Lett. 120 (2018) no.20, 202001

- Transition operator to leading order (LO) in Q/Λ_χ ($Q \sim k_F \sim m_\pi$, $\Lambda_\chi \sim \text{GeV}$)

- ‘Usual’ V_M exchange $\sim 1/Q^2$

$$V_\nu^{(a,b)} = \frac{J^{(a)}(\mathbf{q})J^{(b)}(-\mathbf{q})}{q^2} \tau^{(a)+}\tau^{(b)+}$$



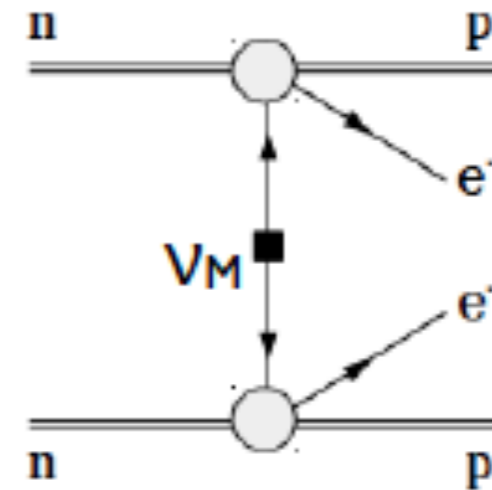
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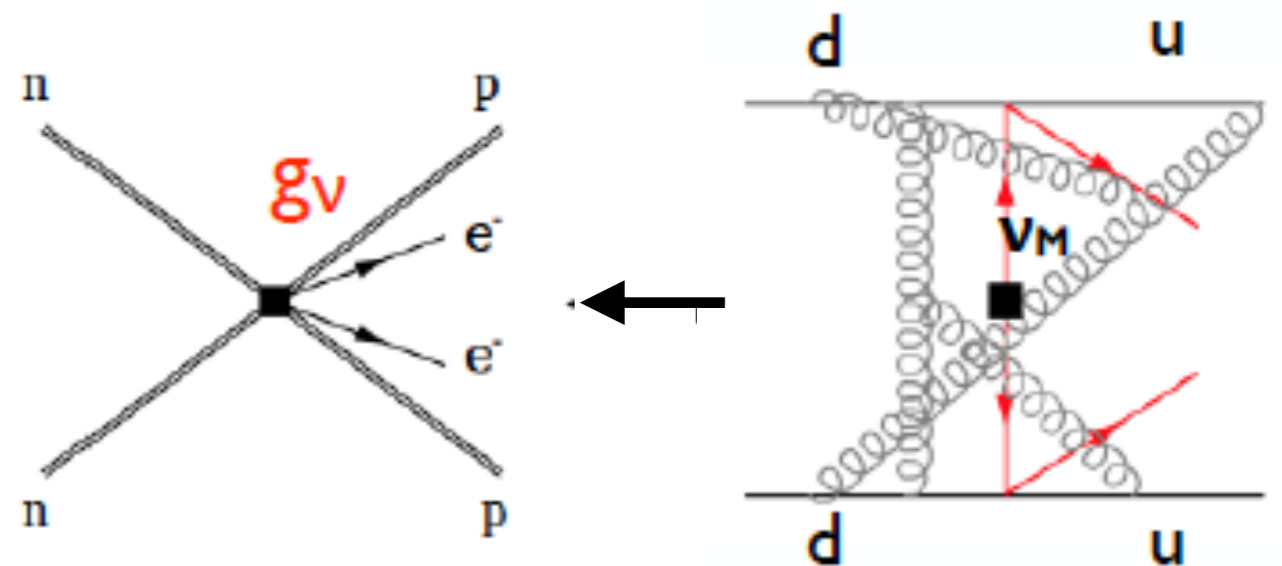
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- ‘New’: short-range coupling $g_V \sim 1/F_\pi^2 \sim 1/k_F^2$, required by renormalization of $nn \rightarrow ppee$ amplitude

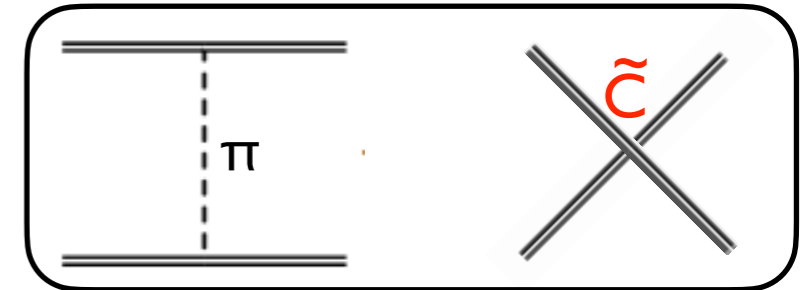


g_V encodes the physics of high- and intermediate-momentum V_M

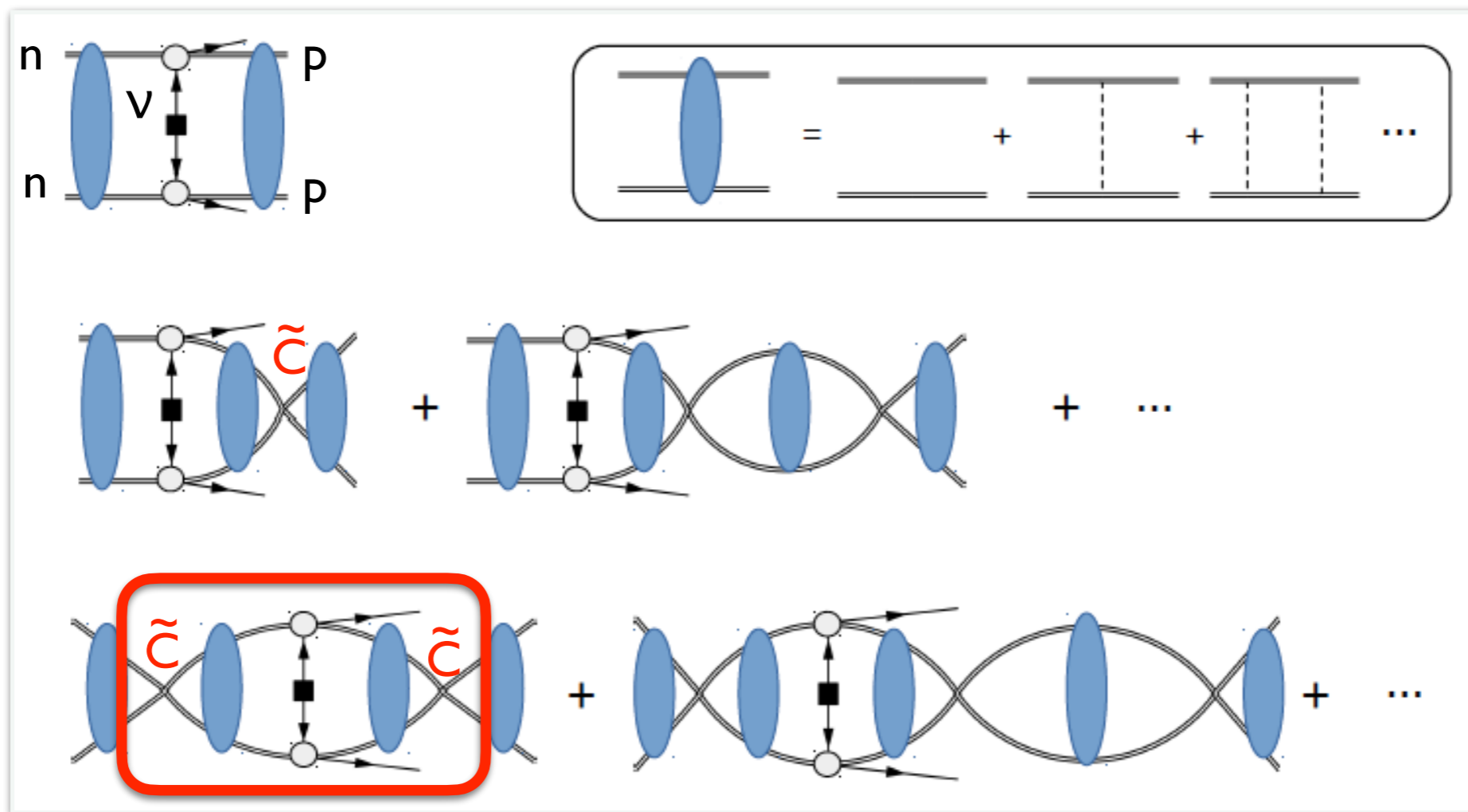
Why is the contact term LO?

Weinberg 1991, Kaplan-Savage-Wise 1996

- Study $nn \rightarrow ppee$ amplitude (in 1S_0 channel) to LO, including strong potential



$$\tilde{c} \sim 4\pi/(m_N Q) \sim 1/F_\pi^2 \text{ from fit to } a_{NN}$$



UV finite

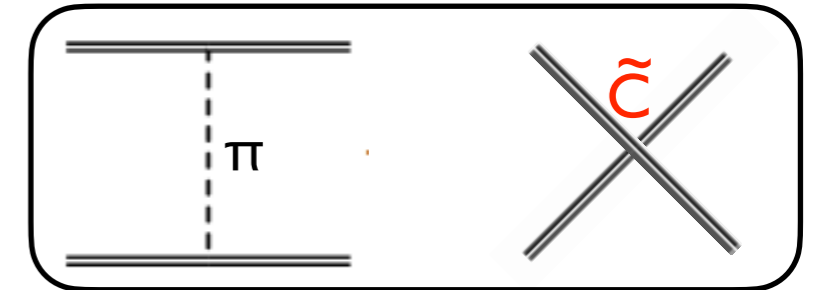
UV finite

2-loop diagram is UV divergent!

Why is the contact term LO?

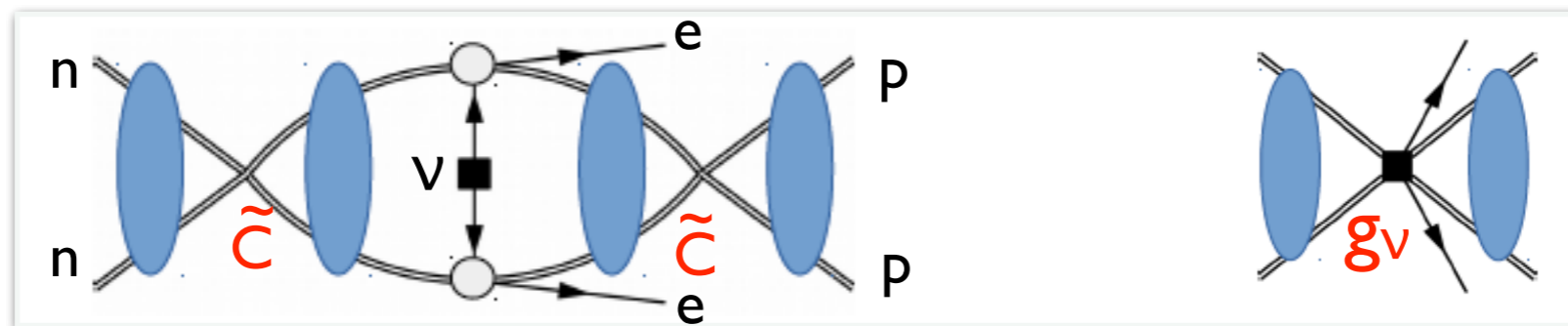
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- Renormalization requires contact operator at LO



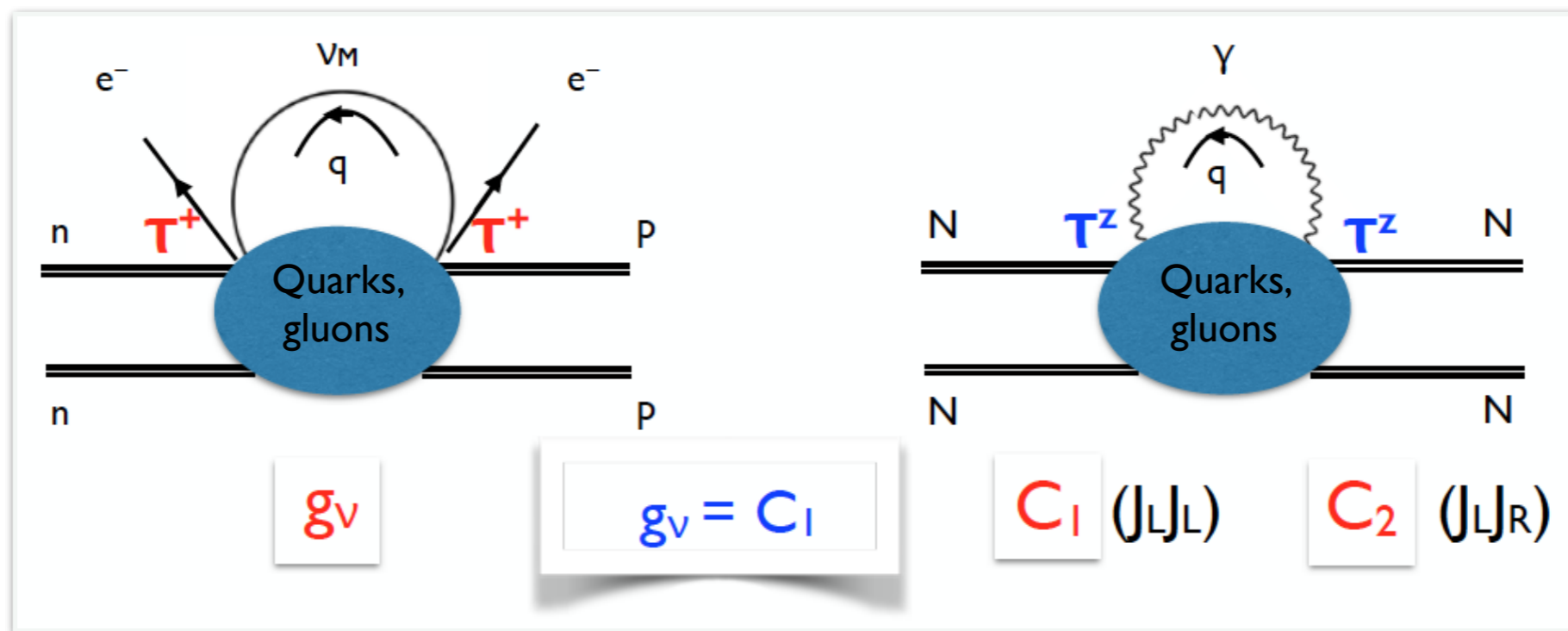
UV divergence

$$\sim \frac{1}{2}(1 + 2g_A^2) \left(\frac{m_N \tilde{C}}{4\pi} \right)^2 \left(\frac{1}{4-d} + \log \mu^2 \right) \sim 1/(Q^2) \log \mu$$

- Coupling flows to $g_\nu \sim 1/Q^2 \sim 1/F_\pi^2$, same order as ν_M exchange!

Connection with data?

- Chiral+isospin symmetry relates g_V to $I=2$ e.m. couplings (hard γ 's & V 's)

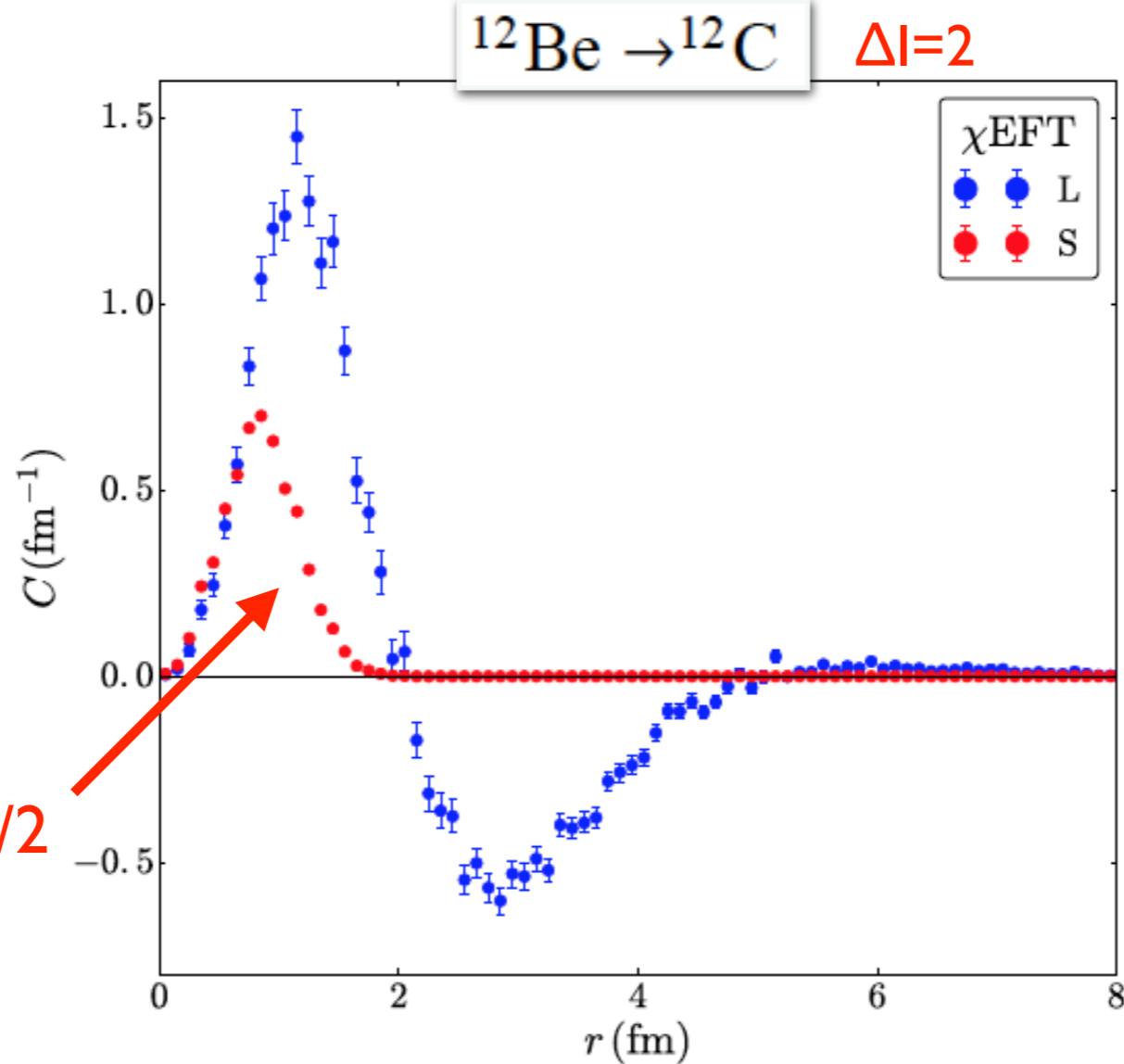


- NN data ($a_{nn} + a_{pp} - 2a_{np}$) determine $C_1 + C_2$, confirming LO scaling!
- Assuming $g_V \sim (C_1 + C_2)/2$, what is the impact on $m_{\beta\beta}$ extraction?

Impact on nuclear matrix elements

VC, W. Dekens, J. de Vries, M. Graesser, E. Mereghetti, S. Pastore, M. Piarulli, U. van Kolck, R. Wiringa, 1907.11254

Amplitude =
 $\int dr C(r)$



Light nuclei with
 Norfolk chiral potential
 [1606.06335]

g_v contribution large in
 $\Delta I=2$ transition:
 for $A=12$, $A_S/A_L = 0.75$

Transitions of experimental interest ($^{76}\text{Ge} \rightarrow ^{76}\text{Se}, \dots$) have node ($\Delta I=2$)
 \Rightarrow expect significant effect!

Challenge: determination of g_V

- Large- N_c arguments point to $g_V \sim (C_1 + C_2)/2 [1 + O(1/N_c)]$

Richardson,
Shindler, Pastore
Springer,
2102.02814

- Compute $nn \rightarrow ppee$ in full theory and match to EFT expression



Lattice QCD

- $\pi^- \rightarrow \pi^+ e^- e^-$ precisely known

Tuo et al. 1909.13525; Detmold, Murphy 2004.07404

- Formalism for NN developed

Davoudi, Kadam, 2012.02083

Analytic approach

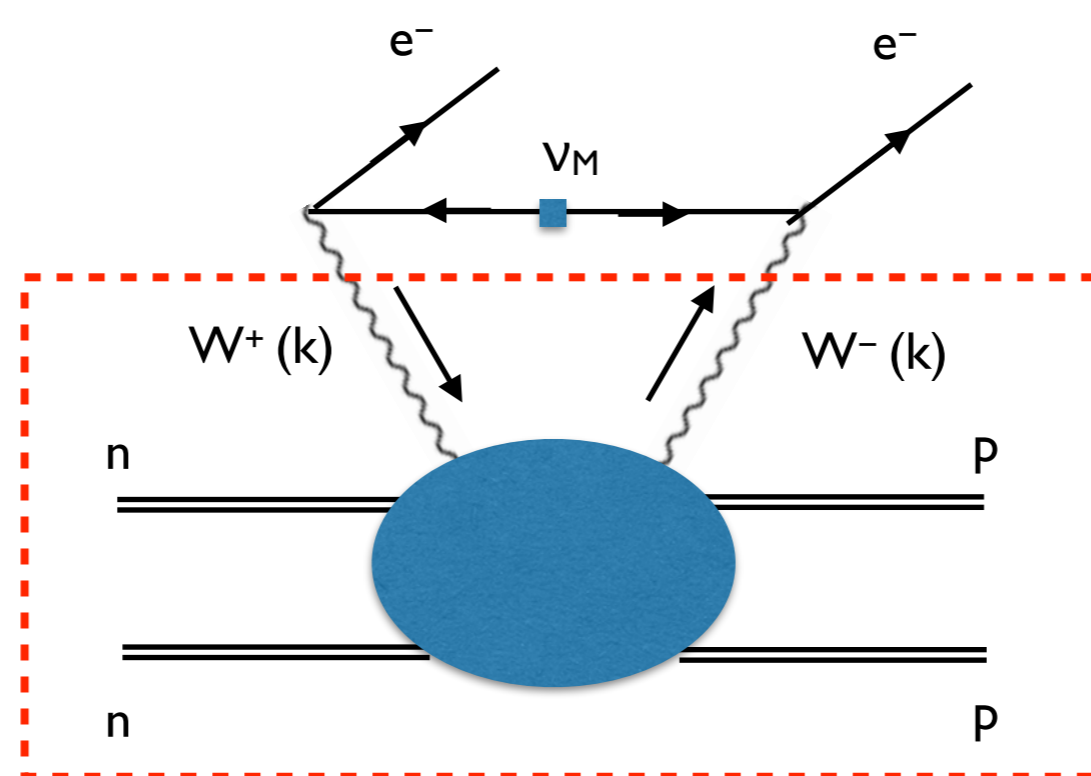
Inspired by the Cottingham approach to electromagnetic nucleon mass splitting

VC, Dekens, deVries, Hoferichter, Mereghetti,
2012.11602 (PRL), 2102.03371 (JHEP)

Estimating the contact term

- Integral representation of the amplitude

$$A_\nu \propto \int \frac{d^4 k}{(2\pi)^4} \frac{g_{\alpha\beta}}{k^2 + i\epsilon} \int d^4 x e^{ik \cdot x} \langle pp | T \{ j_W^\alpha(x) j_W^\beta(0) \} | nn \rangle$$



Forward
“Compton” amplitude

Estimating the contact term

- Integral representation of the amplitude

$$\mathcal{A}_\nu \propto \int \frac{d^4 k}{(2\pi)^4} \frac{g_{\alpha\beta}}{k^2 + i\epsilon} \int d^4 x e^{ik \cdot x} \langle pp | T \{ j_W^\alpha(x) j_W^\beta(0) \} | nn \rangle$$

- Introduce separation scale $\Lambda \sim 1\text{-}2 \text{ GeV}$, corresponding to onset of QCD asymptotic behavior
- Split ‘full theory’ amplitude into “<” and “>” components, corresponding to $|\mathbf{k}| < \Lambda$ and $|\mathbf{k}| > \Lambda$
- Use appropriate degrees of freedom in each region

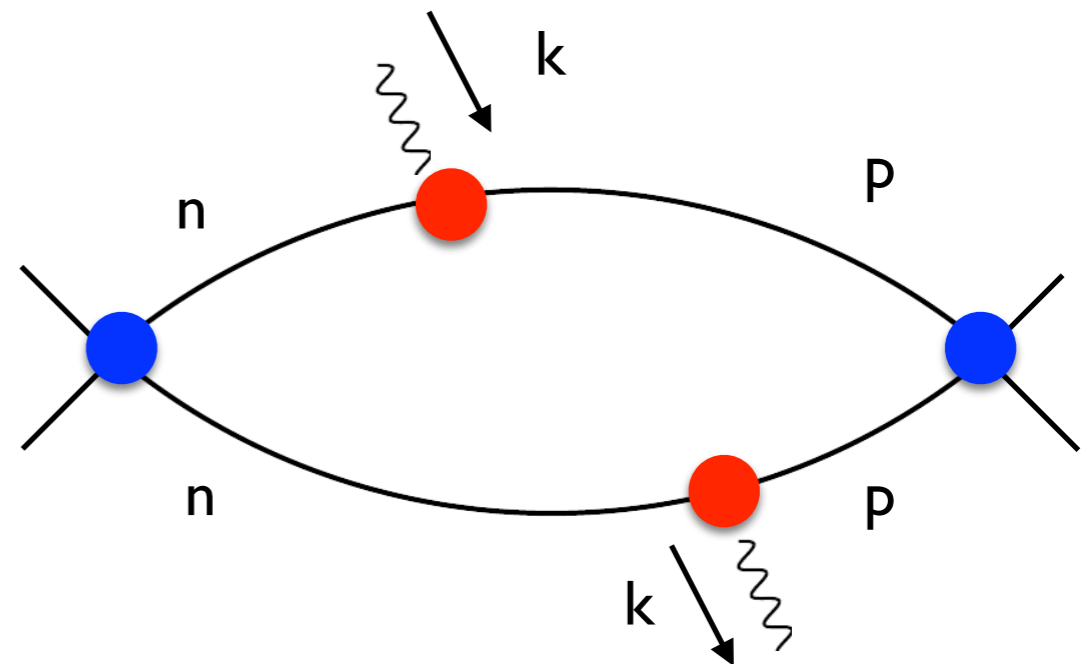
Estimating the contact term

- Integral representation of the amplitude

$$\mathcal{A}_\nu \propto \int \frac{d^4 k}{(2\pi)^4} \frac{g_{\alpha\beta}}{k^2 + i\epsilon} \int d^4 x e^{ik \cdot x} \langle pp | T \{ j_W^\alpha(x) j_W^\beta(0) \} | nn \rangle$$

- Low momentum: chiral EFT up to NLO

- Extend to intermediate momentum $|\mathbf{k}| \sim \Lambda$: resonance contributions to **nucleon weak form factors** and 1S_0 NN vertex

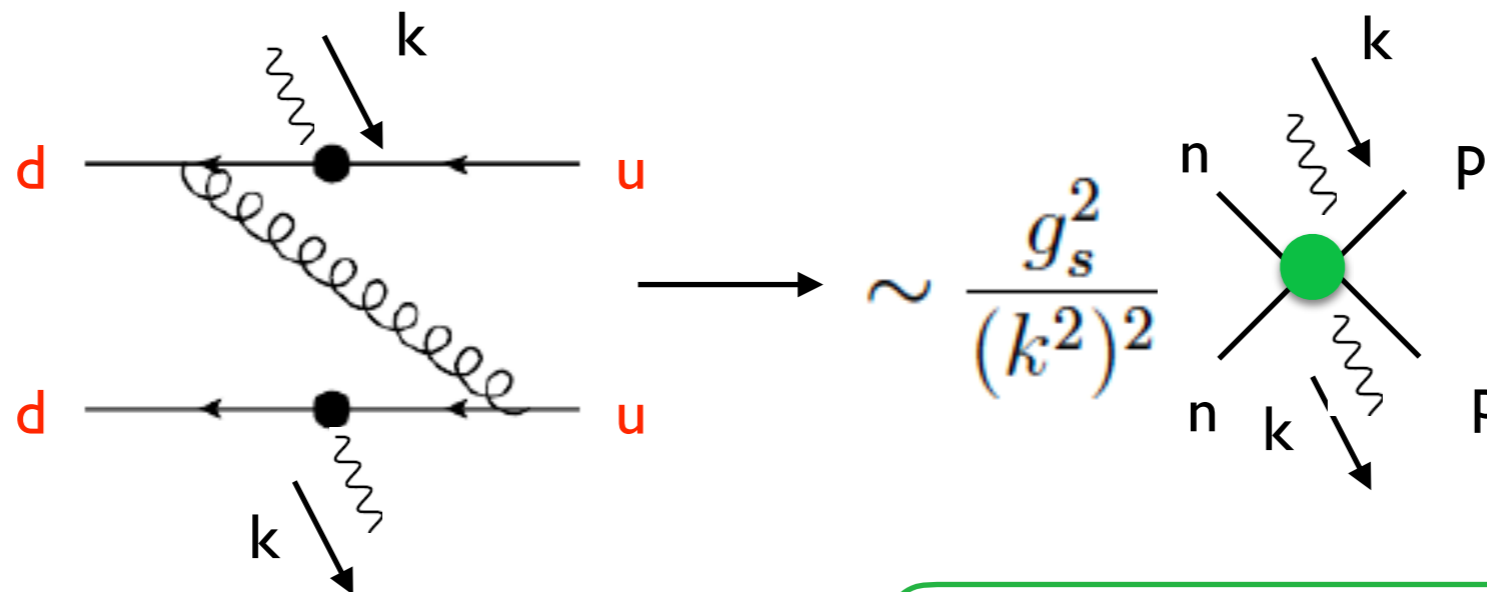


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- High momentum ($|\mathbf{k}| > \Lambda$): QCD operator product expansion



$$O_1 = \bar{u}_L \gamma_\mu d_L \bar{u}_L \gamma^\mu d_L$$

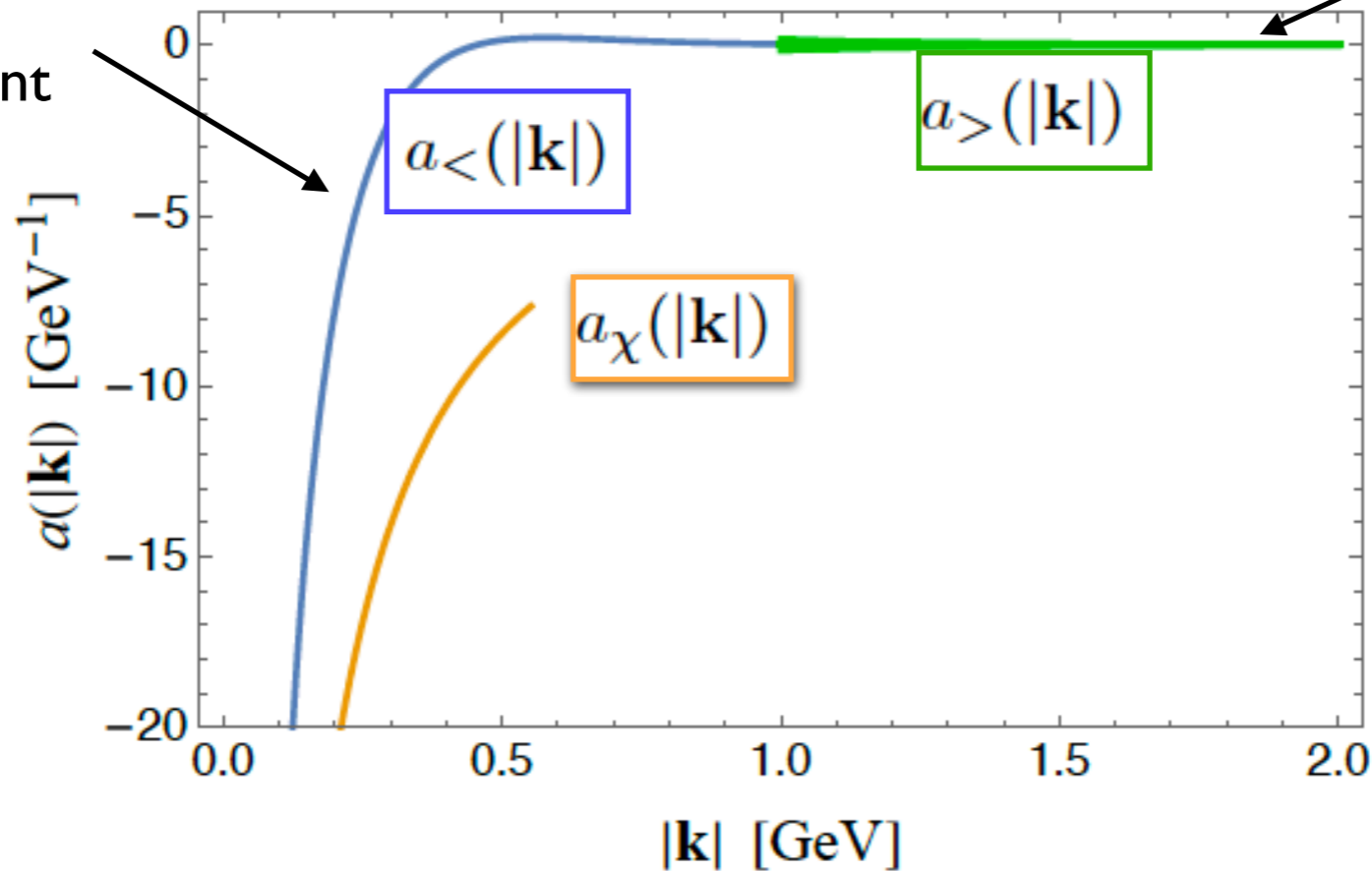
$$O_1 \rightarrow g_1^{\text{NN}} \bar{p} n \bar{p} n + \dots$$

Estimating the contact term

- Integral representation of the amplitude

$$A_\nu \propto \int_0^\Lambda d|\mathbf{k}| a_{<}(|\mathbf{k}|) + \int_\Lambda^\infty d|\mathbf{k}| a_{>}(|\mathbf{k}|)$$

Steep falloff
controlled by the 1S_0
effective range:
model-independent



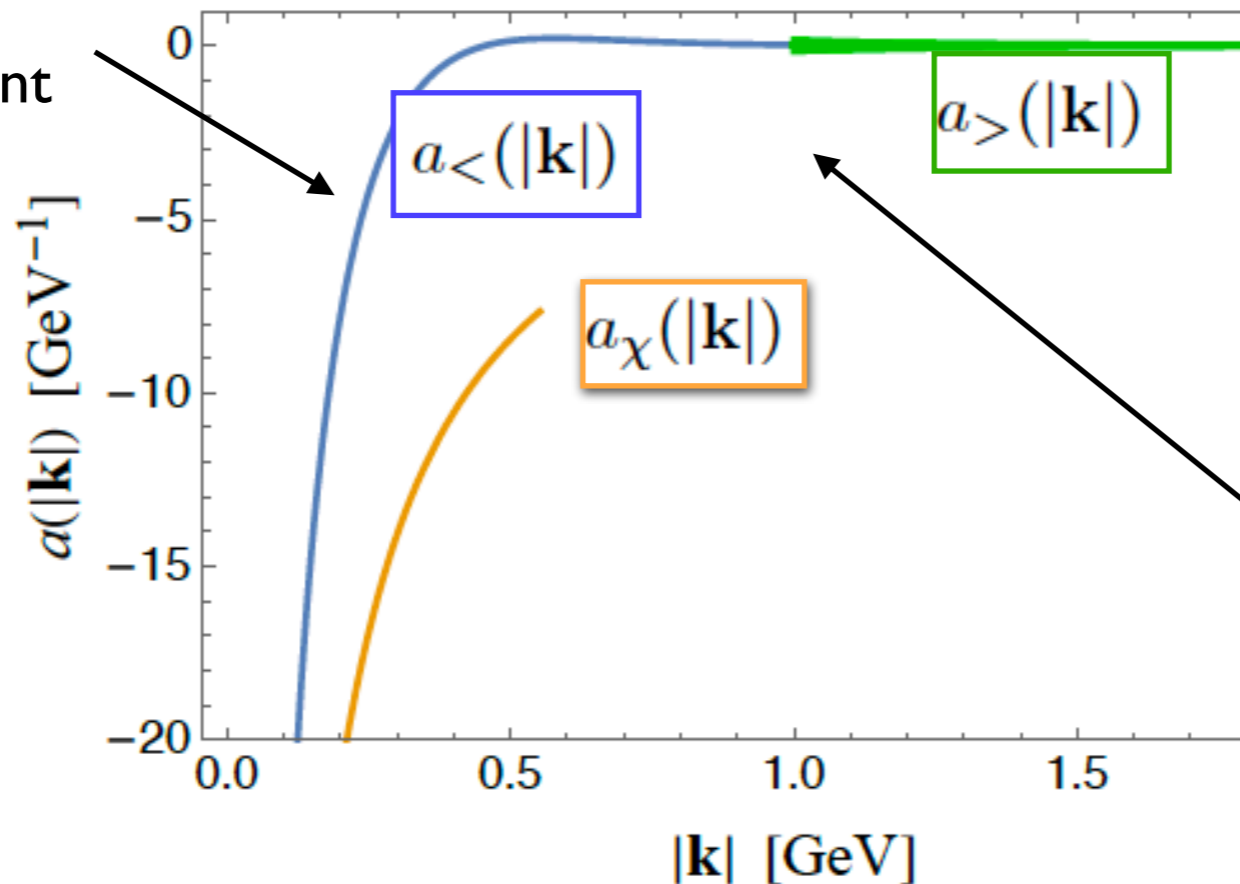
Uncertainty due to
unknown local
operator matrix
element is negligible

Estimating the contact term

- Integral representation of the amplitude

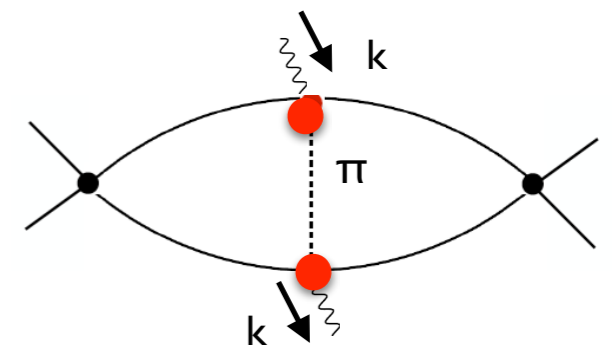
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Steep falloff
controlled by the 1S_0
effective range:
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Uncertainty due to
unknown local
operator matrix
element is negligible

Dominant uncertainty from
inelastic channels ($NN\pi$, ...):



Consistent with <30% effect in
Cottingham approach to
 π, N EM mass splittings

Results & validation

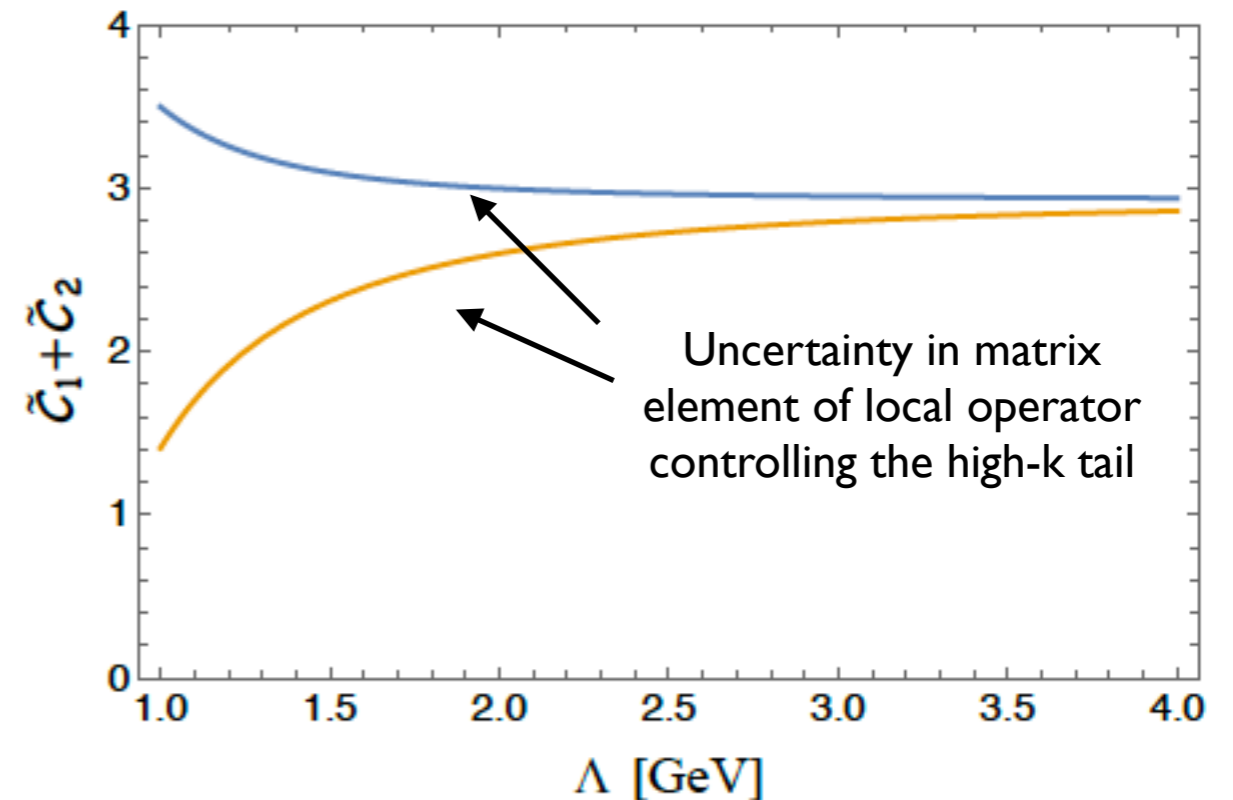
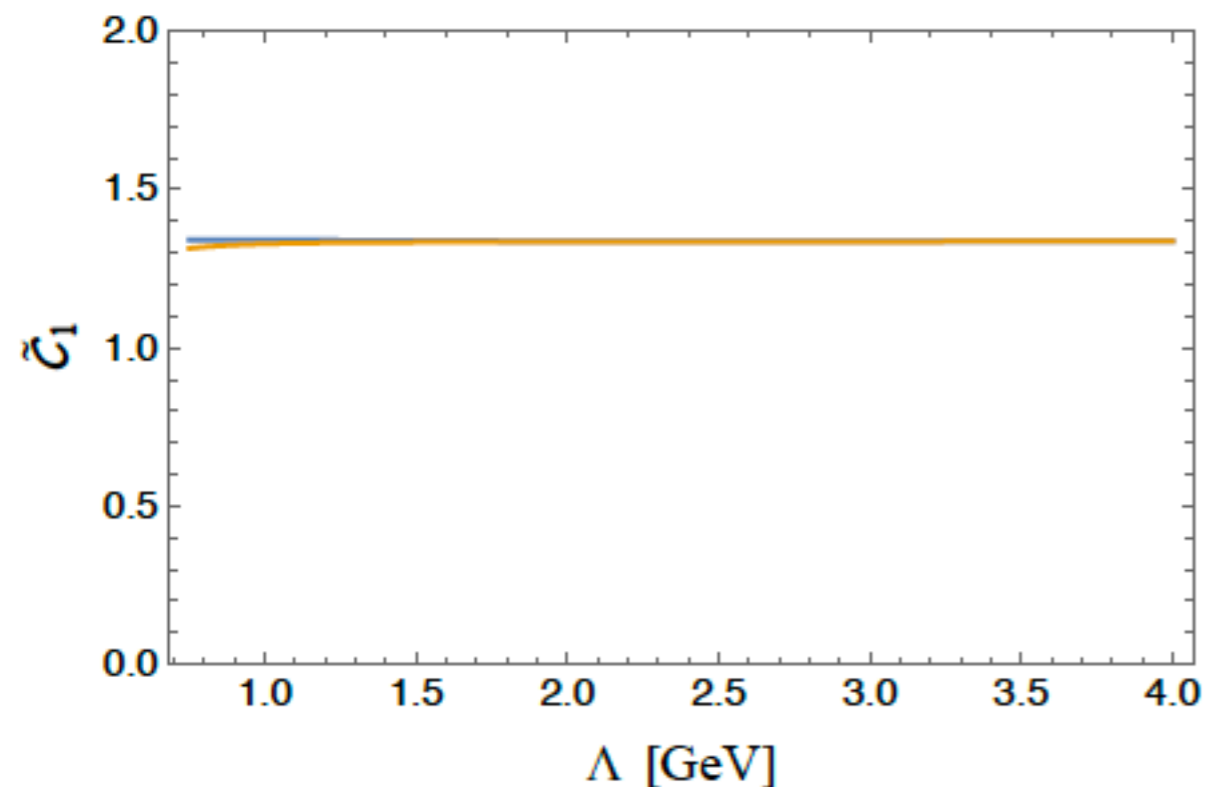
- LECs in dim. reg. with modified minimal subtraction

$$\tilde{C}_1(\mu_\chi = M_\pi) = 1.3(6)$$

$$(\tilde{C}_1 + \tilde{C}_2)(\mu_\chi = M_\pi) = 2.9(1.2)$$

$$C_{1,2} = \left(\frac{m_N C_{1S_0}}{4\pi} \right)^2 \tilde{C}_{1,2}$$

$$g_\nu = C_1$$



Results & validation

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$$g_\nu = C_1$$

- **Validation:** use C_1+C_2 to predict CIB scattering lengths to LO in χ EFT

$$a_{\text{CIB}} = \frac{a_{nn} + a_{pp}^C}{2} - a_{np} = 15.5^{+4.5}_{-4.0} \text{ fm} \quad \text{vs} \quad 10.4(2) \text{ fm, from data}$$

Fairly good agreement.

Note: $(C_1+C_2)(M_\pi)=0 \rightarrow a_{\text{CIB}} \sim 30 \text{ fm}$: contact term pushes result in the right direction.

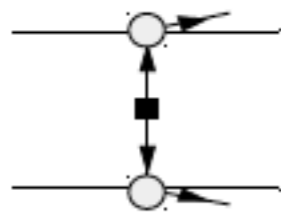
Uncertainty estimate is realistic

Connecting to nuclear structure

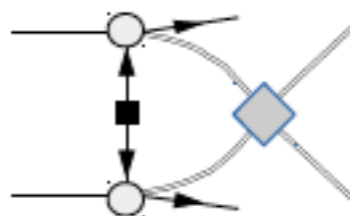
- Provided 'synthetic data' for the $nn \rightarrow pp$ amplitude to be used to fit g_V with regulators suitable for many-body nuclear calculations

$$|\mathbf{p}| = 25 \text{ MeV} \quad |\mathbf{p}'| = 30 \text{ MeV}$$

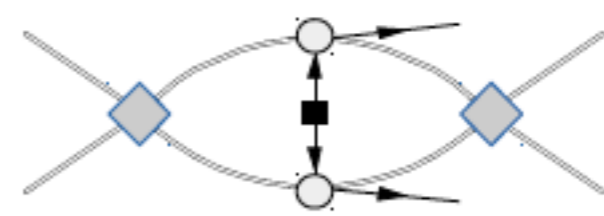
$$\mathcal{A}_\nu(|\mathbf{p}|, |\mathbf{p}'|) e^{-i(\delta_{1s_0}(|\mathbf{p}|) + \delta_{1s_0}(|\mathbf{p}'|))} = -0.0195(5) \text{ MeV}^{-2}$$



(A)



(B)



(C)

Uncertainty dominated by topology C (fractional error of $\sim 30\text{-}40\%$), but A and B give large contribution to the amplitude at this kinematic point

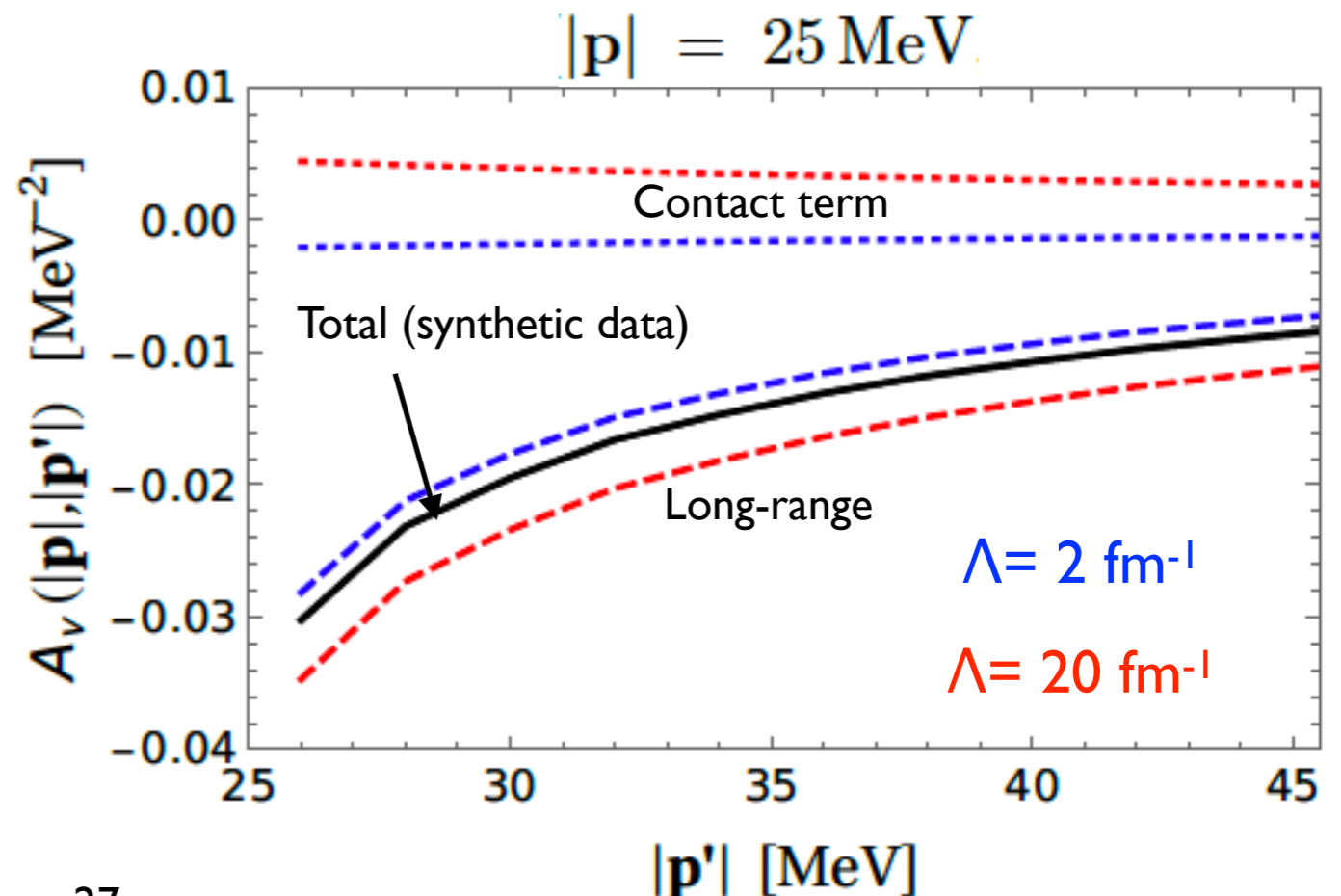
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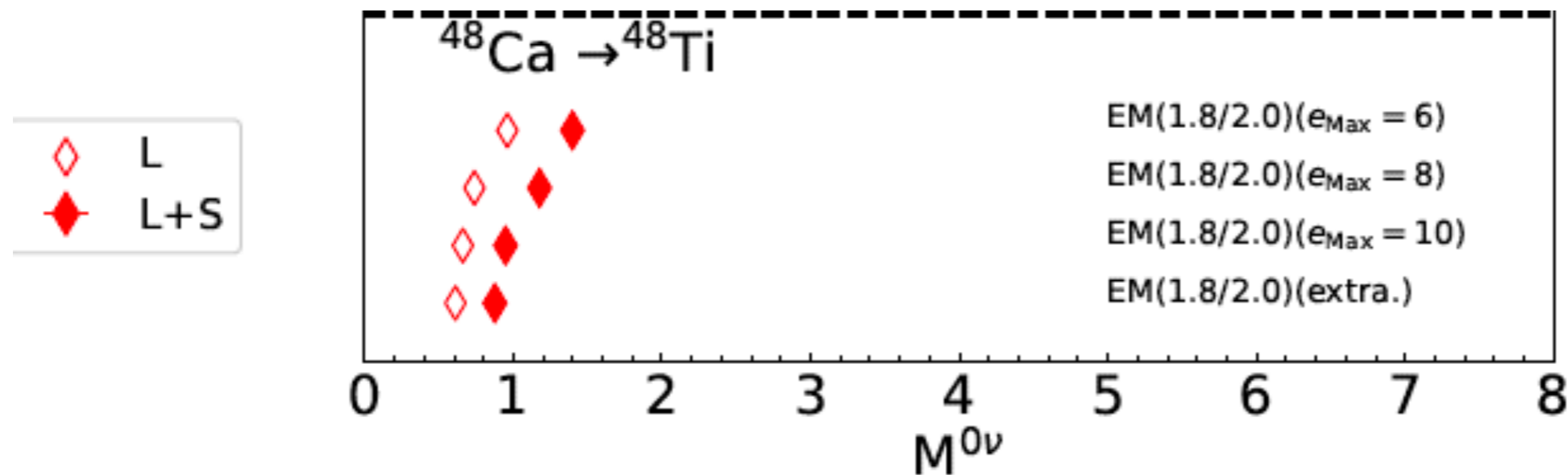
- Illustrated fitting procedure with various cutoffs
- **Constructive or destructive?**
The sign of the interference is regulator dependent!



Many body calculation

Wirth, Yao, Hergert, 2105.05415

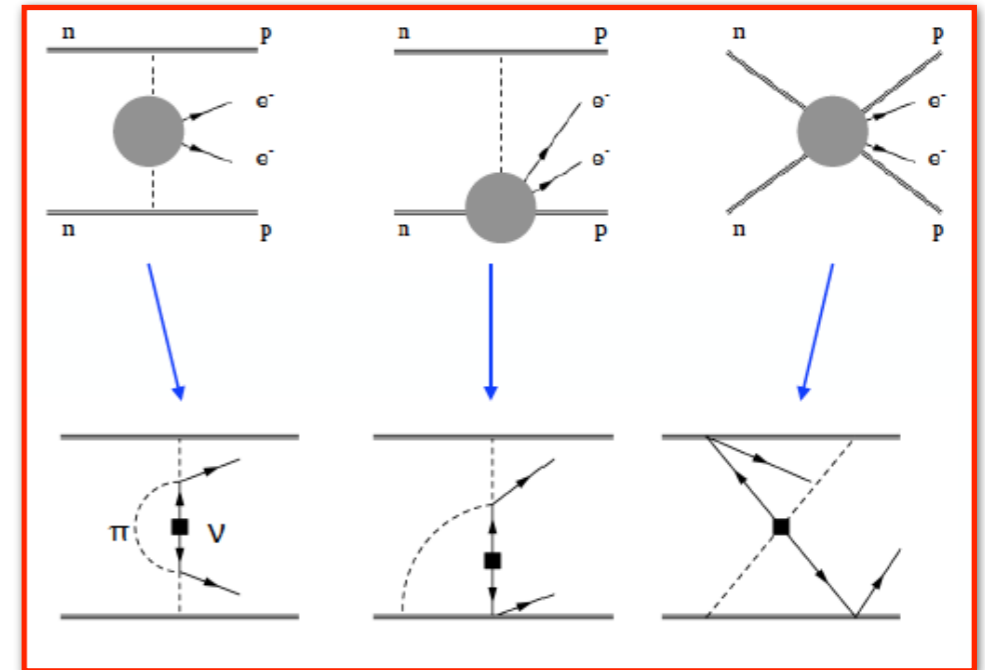
- First calculation of $^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$ with contact fitted to synthetic datum
- Use Entem-Machleidt class of chiral potentials



- Contact term *enhances* nuclear matrix element by $(43 \pm 7)\%$

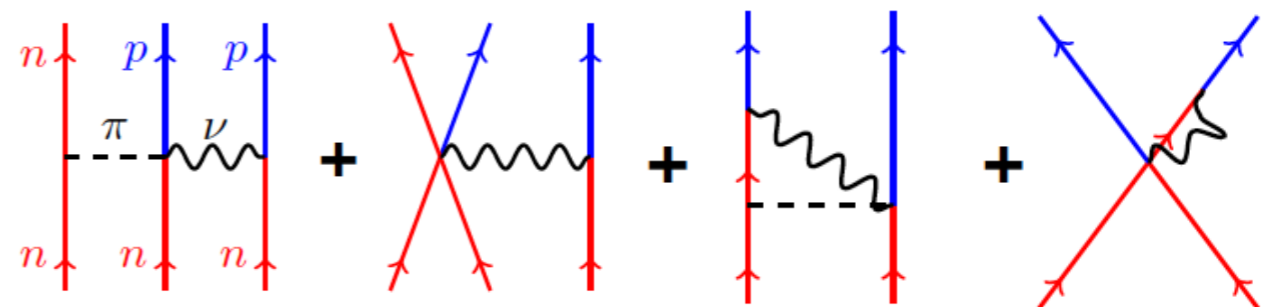
What about higher orders?

- **New non-factorizable** contributions to $V_{\nu,2} \sim V_{\nu,0} (k_F/4\pi F_\pi)^2$ [π -N loops and new contact terms]



VC, W. Dekens, E. Mereghetti, A. Walker-Loud, 1710.01729

- **2-body x 1-body current** (and another contact...)



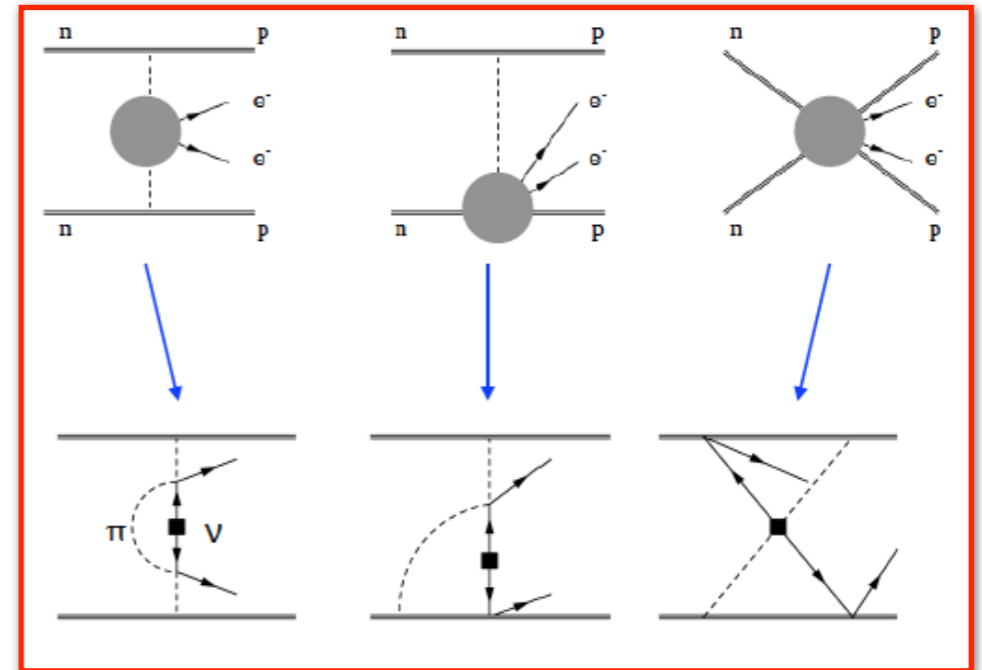
Wang-Engel-Yao 1805.10276

Calculations in light and heavy nuclei show $O(10\%)$ corrections

S. Pastore, J. Carlson, V.C., W. Dekens, E. Mereghetti, R. Wiringa 1710.05026
 V.C., J. Engel, X. Menendez, E. Mereghetti, in preparation

What about higher orders?

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Chiral EFT + estimate of contact term + many-body \rightarrow

- Significant step towards reduction of matrix element uncertainty & robust interpretation of a positive or null result in terms of $m_{\beta\beta}$

Wang-Engel-Yao 1805.10276

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Conclusions

- ‘End-to-end’ EFT framework for $0\nu\beta\beta$: (1) connect to underlying sources of LNV; (2) organize contributions to nuclear matrix elements according to chiral power counting: controllable errors
- Identified new leading order NN contact couplings in light ν exchange (discussed today) and TeV-scale mechanisms (dim-9 ops)
- Estimated the ‘dim-5’ contact with Cottingham-inspired techniques
- First many-body analysis fitted to our synthetic data shows $(43\pm 7)\%$ increase in the nuclear matrix element for $^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$
- Good prospects to control theory uncertainties thanks to synergy of EFT, lattice QCD, and nuclear structure