

Leptonic CP violation in the minimal type-I seesaw model

Bottom-up phenomenology & top-down model-building

Thomas Rink 3rd July 2017

IMPRS-Seminar

based on TR & K.Schmitz - arXiv:1611.05857[hep-ph], JHEP 1703 (2017) 158 TR, K.Schmitz, T.Yanagida - arXiv:1612.08878[hep-ph] Massive neutrinos

Bottom up: Yukawa hierarchies from measured CP violation

Top down: Minimal seesaw with approximate discrete symmetry

Massive neutrinos

Neutrinos everywhere!

One of the most abundant particles in the universe!



In the standard model:

- $\bullet\,$ neutral electric charge \rightarrow W. Pauli 1930
- weakly interacting \rightarrow discoveries (1952, 1962, 2000)
- $SU(2)_L$ partners of charged leptons \rightarrow Wu & Goldhaber

NO RH neutrinos \rightarrow massless!



[[]https://www.particlezoo.net]

Why do we need massive neutrinos?

\rightarrow Neutrino flux deficits!



- finite lifetime
- stronger absorption in matter
- *B* field induced $\nu_L \rightarrow \bar{\nu}_L, \nu_R$

- exotica (*equivalence principle*, *X*, *N*_L)
- flavor conversion during propagation \rightarrow oscillations
- \implies flavor oscillations only convincing explanation, but non-zero Δm required!

Neutrino oscillations

- mismatch between flavor and mass eigenstates: $|
 u_{lpha}
 angle
 eq |
 u_i
 angle$
- neutrino mixing incorporated in PMNS matrix: $|\nu_{\alpha}\rangle = U_{\alpha i}^{*} |\nu_{i}\rangle$



$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}c_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix} \times \operatorname{diag}\left(1, e^{i\sigma}, e^{i\tau}\right)$$

with $s_{ij} \equiv \sin \theta_{ij}, c_{ij} \equiv \cos \theta_{ij}, \ \theta_{ij} \in \left[0, \frac{\pi}{2}\right]$ and $\delta \in \left[0, 2\pi\right), \ \sigma, \tau \in \left[0, \pi\right)$

• transition probability

$$\begin{aligned} \mathcal{P}(\nu_{\alpha} \to \nu_{\beta}; t) \\ &= \langle \nu_{\beta} | \nu_{\alpha}(t) \rangle \mid = U_{\beta j} U_{\alpha j}^{*} e^{-iE_{j}t} |^{2} \\ &\simeq \sin^{2} 2\theta \sin^{2} \left(1.27 \Delta m^{2} \frac{L[m]}{E[MeV]} \right) \end{aligned}$$



[wikipedia.org]

Leptonic CP violation

• Dirac phase δ through oscillations

$$\Delta \mathcal{P} = \mathcal{P}(\nu_{lpha}
ightarrow
u_{eta}; t) - \mathcal{P}(ar{
u}_{lpha}
ightarrow ar{
u}_{eta}; t) \propto \sin \delta$$

• Majorana phases through $0
u\beta\beta$

$$m_{ee}^2 = |\sum_i U_{ei}^2 m_i|$$

• high-E CP violation $\epsilon \rightarrow$ Leptogenesis!



[quantumdiaries.org]







Current experimental status

What we know:

two non-zero mass-squared differences

$$\Delta m_{sol}^2 \simeq 7.4 \cdot 10^{-5} \text{eV}^2$$
$$\Delta |m_{atm}^2| \simeq 2.5 \cdot 10^{-3} \text{eV}^2$$

• two large and one small mixing angle

$$\begin{array}{l} \theta_{12} = \theta_{sol} \sim (31...36)^{\circ} \\ \theta_{23} = \theta_{atm} \sim (38...53)^{\circ} \\ \theta_{13} = \theta_{reac} \sim (8...9)^{\circ} \end{array}$$



Still open questions:

- mass hierarchy normal or inverted?
- absolute mass scale $min\{m_i\} = ?$
- fermion type Dirac/Majorana
- octant-problem: 45° (< or >) θ_{23}
- non-zero CP violating phases $\delta,~\sigma,~\tau$



- natural emergence of neutrino masses in many BSM theories: GUTs, Left-Right-symmetry, etc.
- rich phenomenology: $\not L$, BAU, etc.
- models strongly depend on neutrino's fermionic nature!

• radiative mass generation

Dirac neutrino: $\nu \neq \nu^c$

- Dirac seesaw + radiative mass generation
- extra dimensions

Most popular approach: realizations of eff. dim-5 operator [Weinberg, 1979]

Tree level realization of Weinberg operator:



Generic feature: SM neutrino mass is suppressed by mass scale of intermediate particle/new physics scale $m_\nu\propto\Lambda^{-1}$

simplest: type-I scenario - 3 heavy RH Majorana neutrino \rightarrow 18 real parameters!

$$m_{\nu}\simeq -m_D M_R^{-1} m_D^T$$



Minimal type-I seesaw model

Predictions demand reduction of parameter space:

$$\mathcal{L}_{\text{seesaw}} = -y_{\alpha I} L_{\alpha} \phi N_I - \frac{1}{2} N_I N_I + \text{h.c.}, \quad \alpha = e, \mu, \tau \quad I = 1, 2$$

[King, 1998, King, 1999, King, 2000, Frampton et al., 2002]

	$\begin{pmatrix} 0 & 0 \end{pmatrix}$ $(\times \times)$ $(\times \times)$	
	A_1 : \times \times , A_2 : 0 0 , A_3 : \times \times	
only two N _I 's:	$(\times \times)$ $(\times \times)$ $(0 0)$	
	$\begin{pmatrix} 0 & \times \end{pmatrix}$ $\begin{pmatrix} 0 & \times \end{pmatrix}$ $\begin{pmatrix} \times & \times \end{pmatrix}$	
 three complex Yukawa couplings 	B_1 : \times 0 , B_2 : \times \times , B_3 : 0 \times	
- one Majorana mass M_i	$(\times \times)$ $(\times 0)$ $(\times 0)$	
	$(\times 0)$ $(\times 0)$ $(\times \times)$	
ightarrow 11 real parameters, but one $ u$ exactly massless!	B_4 : 0 × , B_5 : × × , B_6 : × 0	
	(× ×) (0 ×) (0 ×)	
	$\begin{pmatrix} 0 & x \end{pmatrix}$ $\begin{pmatrix} 0 & x \end{pmatrix}$ $\begin{pmatrix} x & x \end{pmatrix}$	
zero-texture ansatz (flavor symmetry, xDim,)	$C_1: 0 \times , C_2: \times \times , C_3: 0 \times$	
	(× ×) (0 ×) (0 ×)	
 one zero - trivial 	$(\times 0)$ $(\times 0)$ $(\times \times)$	
 three zeros - inconsistent with data 	C_4 : \times 0 , C_5 : \times \times , C_6 : \times 0	
	$1 \times \times I = 1 \times 0 = 1 \times 0 I$	

 \rightarrow two-zero textures: only $B_{1,4}$ and $B_{2,5}$ for IH are allowed, NH completely forbidden!

Precise predictions for CP phases \rightarrow maximal CP violation!

$$\frac{(\delta,\sigma)}{\pi} \simeq \begin{cases} (0.51,0.94) & \text{or} \quad (1.49,0.06) \quad (B_{1,4}) \\ (0.50,0.04) & \text{or} \quad (1.50,0.96) \quad (B_{2,5}) \end{cases}$$

Bottom up: Yukawa hierarchies from measured CP violation

Motivation & Framework

Driving questions:

- 1. What can we learn about high-energy sector if CP violation is measured? ightarrow DUNE 2020's
- 2. Compatibility of (broken) flavor symmetry with data? \rightarrow theoretical errors to exact zero-textures
- 3. Stability against experimental uncertainties?
- \rightarrow data-driven, "bottom-up" approach to theoretical top-down approaches!

Motivation & Framework

Driving questions:

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Framework:

- rescale Yukawa and PMNS matrix: $\kappa_{\alpha I} = \sqrt{\frac{v_{EW}}{M_I}} y_{\alpha I}, \qquad V_{\alpha i} = i \sqrt{\frac{m_i}{v_{EW}}} U_{\alpha i}^*$
- minimal set of DOFs:

9 free parameters at low energies:

- + 6 complex Yukawa coupling $y_{\alpha I}$
- + 2 Majorana phases M_I , I = 1, 2
- 3 charged-lepton phases

7 observables at low energies:

- 2 mass-squared differences Δm²_{atm}, Δm²_{sol}
- 3 mixing angles, θ_{atm}, θ_{reactor}, θ_{sol}
- 1 Dirac CP phase δ
- 1 Majorana phase σ
- Casas-Ibarra parametrization [Casas and Ibarra, 2001] \rightarrow captures excess DOFs

$$\kappa_{\alpha 1} = \frac{1}{\sqrt{2}} \left(V_{\alpha}^+ e^{-iz} + V_{\alpha}^- e^{+iz} \right) \quad \kappa_{\alpha 2} = \frac{i}{\sqrt{2}} \left(V_{\alpha}^- e^{+iz} - V_{\alpha}^+ e^{-iz} \right), \quad V_{\alpha}^\pm = \frac{1}{\sqrt{2}} \left(V_{\alpha k} \pm i V_{\alpha l} \right)$$

General approach and hierarchy parameter R₂₃

Accessing hierarchies within Yukawa matrices:

- for each (δ, σ) : two DOFs real and imaginary part of complex rotation angle, z_R and z_I
 - \rightarrow label for all possible Yukawa matrices $y_{\alpha I}$



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Accessing hierarchies within Yukawa matrices:

- for each (δ, σ): two DOFs real and imaginary part of complex rotation angle, z_R and z_I → label for all possible Yukawa matrices y_{αI}
- @ each point of the complex z plane: sort Yukawa according to their abs. values
 κ_{αl} → κ̂ = (κ̂₁, κ̂₂, κ̂₃, κ̂₄, κ̂₅, κ̂₆)
- Hierarchy parameter $R_{23} \equiv \frac{|\hat{\kappa}_2|}{|\hat{\kappa}_3|}$
 - $R_{23} = 0
 ightarrow$ exact two-zero texture
 - 0 < ${\it R}_{23} \ll 1 \rightarrow$ approximate texture
- Minimization of auxiliary parameter z:

 $H_{23} = min_z R_{23}$



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 $H_{23} = min_z R_{23}$

min_z \equiv scan over all UV flavor models of the minimal type-I seesaw model \rightarrow upper hierarchy bounds $H_{23}(\delta, \sigma)$!



R₂₃ has useful properties:

 probe actual Yukawa coupling if RH masses are degenerate or at least of some order of magnitude; otherwise simple rescaling

$$R_{23}(\delta,\sigma;z) = \frac{|\hat{\kappa}_2|}{|\hat{\kappa}_3|} = \left(\frac{\hat{M}_3}{\hat{M}_2}\right)^{\frac{1}{2}} \frac{|\hat{y}_2|}{|\hat{y}_3|} \simeq \frac{|\hat{y}_2|}{|\hat{y}_3|}$$

periodic behavior:

$$\begin{aligned} R_{23}\left(\delta,\sigma;z\right) &= R_{23}\left(\delta,\sigma;z+n\frac{\pi}{2}\right), \quad n \in \mathbb{Z} \\ &\Longrightarrow z \to z + \frac{\pi}{2} : \kappa_{\alpha 1} \to \kappa_{\alpha 1}, \; \kappa_{\alpha 2} \to -\kappa_{\alpha 1} \end{aligned}$$

- reflection symmetry: $R_{23} (2\pi - \delta, \sigma; z) = R_{23} (\delta, \pi - \sigma; z)^*$ invariance under $\delta \rightarrow -\delta, \quad \sigma \rightarrow -\sigma, \quad z \rightarrow z^*$
- independence of z in flavor-aligned limit:

$$z_{I} \rightarrow \pm \infty \Rightarrow R_{23} \rightarrow R_{23}^{\pm} = \left| \frac{V_{\alpha_{1}k} \pm i V_{\alpha_{1}l}}{V_{\alpha_{2}k} \pm i V_{\alpha_{2}l}} \right|$$



Observation:

- for large z_I: κ_{α1} ≃ κ_{α2}
- special configuration in flavor space, inconsistent with data
- systematic study of transition to alignment [TR, K.Schmitz, T.Yanagida, 2016]

$$y_{\alpha l} = \begin{pmatrix} \epsilon \cos \theta_e e^{i\phi_e} & \epsilon \sin \theta_e e^{i(\phi_e + \Delta\phi_e)} \\ \cos \theta_\mu e^{i\phi_\mu} & \sin \theta_\mu e^{i(\phi_\mu + \Delta\phi_\mu)} \\ c_{\mu\tau} \cos \theta_\tau e^{i\phi_\tau} & c_{\mu\tau} \sin \theta_\tau e^{i(\phi_\tau + \Delta\phi_\tau)} \end{pmatrix} y_{\alpha l}$$

- \rightarrow flavor alignment for $|z_l| \gg 1!$
 - separated study of aligned and un-aligned parameter space regions [TR, K.Schmitz, 2016]



Parameter space scan

Minimized hierarchy parameter H_{23} :



- Exact zero-textures are recovered: $B_{1,4}$ and $B_{2,5}$
- Maximal hierarchies for different flavor texture
- New approximate solution for NH and IH!

 \rightarrow NH A_1 predicts max. CP violation with $\delta \sim 270^{\circ} \Longrightarrow$ UV origin?

Theoretical error bars and robustness study

Perturbations around exact predictions:

- exact textures may receive correction by loops, gravity, etc.
- quantifications of maximally allowed perturbations
- complementary to experimental uncertainties owing to experimental error bars





Stability against experimental uncertainties:

- naive χ^2 analysis as first estimate
- negligible shifts of CP phases if best-fit values vary

Top down: Minimal seesaw with approximate discrete symmetry

Flavor physics provide useful tools!



- Radiative generation [Georgi and Glashow, 1972]
- Extra dimensions [Grossman and Neubert, 2000]
- Compositeness [Kaplan, 1991]
- Froggatt-Nielsen [Froggatt and Nielsen, 1979]

Aim:

Yukawa matrix with A1 texture yielding NH at $\delta\simeq \frac{\pi}{2}, \frac{3\pi}{2}$

$$\kappa_{\alpha I} \sim \begin{pmatrix} 0.1 & 0.1 \\ 1 & 1 \\ 1 & 1 \end{pmatrix}$$

ightarrow SU(5) Froggatt-Nielsen mechanism [Buchmuller and Yanagida, 1999]

Froggatt-Nielsen mechanism [Froggatt and Nielsen, 1979]

Dynamical generation of Yukawa coupling through a globally/locally conserved charge $Q_{FN}!$

- 0. Generate heavy fermion masses at Λ_{FN}
- Introduce "spaghetti" interactions at high scale through flavon fields Φ (charge assignment according to chain length)

$$\mathcal{L} \supset b_{ij} \Phi f_i F_j + c_{ij} \Phi F_i F_j + d_{ij} \phi f_i F_j + M_{ij} F_i F_j + \text{h.c.}$$

2. Integrate out heavy fields F_i

$$\mathcal{L}_{eff} \supset e_{ij} \left(rac{\Phi}{\Lambda_{FN}}
ight)^{\Delta Q_{Fn}} f_i f_j \phi$$

3. SSB of FN symmetry $\Phi \to \langle \Phi \rangle$

$$\mathcal{L}_{eff} \supset e_{ij} \, \epsilon^{\Delta Q_{FN}} \, f_i f_j \phi \,, \epsilon \equiv \left(\frac{\langle \Phi \rangle}{\Lambda_{Fn}} \right)$$

$$M_{ij} = \mathsf{a}_{ij} \Lambda_{FN}, \mathsf{a}_{ij} \sim \mathcal{O}(1)$$



$\textit{SU}(5) \times \textit{U}(1)_5$ GUT [Georgi and Glashow, 1972], etc.

• "smallest" group containing the SM gauge group

$$\begin{array}{l} SU(5) \xrightarrow{m_X} SU(3)_C \times SU(2)_L \times U(1)_Y \\ \xrightarrow{m_{W,Z}} SU(3)_C \times U(1)_Q \end{array}$$

- unify one generation in a $({f \bar 5}+10)$ -representation
- additional U(1) requires RH singlets for anomaly cancellation $\psi_1 \simeq N$ $\rightarrow S_1$ to break U(1)
- nice features, but common problems (proton decay, etc.) $\rightarrow SO(10) \subset SU(5) \times U(1)$



[http://theophys.kth.se/tepp/]

$$\begin{split} & \mathcal{H}_{5} = \left(h_{1}, h_{2}, h_{3}, h^{+}, -h^{0}\right)^{T} = (3, 1) + (1, 2) \\ & \psi_{5} = \left(d_{1}, d_{2}, d_{3}, e^{c}, \nu^{c}\right)^{T}_{R} = (3, 1) + (1, 2) \\ & X_{10} = \begin{pmatrix} 0 & u_{3}^{c} & -u_{2}^{c} & -u_{1} & -d_{1} \\ -u_{3}^{c} & 0 & u_{1}^{c} & -u_{2} & -d_{2} \\ u_{2}^{c} & -u_{1}^{c} & 0 & -u_{3} & -d_{3} \\ u_{1} & u_{2} & u_{3} & 0 & -e^{+} \\ d_{1} & d_{2} & d_{3} & e^{+} & 0 \end{pmatrix}_{L} \\ & = (3, 2) + (\bar{3}, 1) + (1, 1) \end{split}$$

$SU(5) \times U(1)_5$ GUT [Georgi and Glashow, 1972], etc.

• "smallest" group containing the SM gauge group

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SU(5) Froggatt-Nielsen mechanism \rightarrow one generation has same FN charge!

$$\mathcal{L}_{Yuk}^{5} = h_{ij}^{u} \epsilon^{\Delta Q} (X_{10})_{i} (X_{10})_{j} H_{5} + h_{ij}^{d} \epsilon^{\Delta Q} (\psi_{5})_{i}^{*} (X_{10})_{j} H_{5}^{c} + h_{ij}^{\nu} \epsilon^{\Delta Q} (\psi_{5})_{i}^{*} (\psi_{1})_{j} H_{5} + h_{ij}^{s} \epsilon^{\Delta Q} (\psi_{1})_{i} (\psi_{1})_{j} S_{1}$$

Modified Buchmuller-Yanagida-model

• Parametrize SM mass pattern \rightarrow for $\epsilon_0 \simeq 0.17$ SM mass pattern is recovered!

$$\begin{split} m_t &: m_c : m_u \simeq 1 : \epsilon^2 : \epsilon^4 \\ m_b &: m_s : m_d \simeq m_\tau : m_\mu : m_e \simeq 1 : \epsilon : \epsilon^3 \end{split}$$

<i>SU</i> (5) multiplet	10 ₁	10 ₂	10 ₃	${f 5}_1^*$	5 ₂ *	5 *3	1_1	1_2	1 ₃	Φ
Minimal seesaw embedding	2	1	0	2	1	1	q	q	$\overline{\}$	-1

• heavy neutrino mass spectrum also generated via FN mechanism

$$m_R \sim \begin{pmatrix} \epsilon_0^{q_1} & 0 \\ 0 & \epsilon_0^{q_2} \end{pmatrix} m_0, \qquad q_i = 2 Q_{FN}(N_i)$$

resulting neutrino Yukawa couplings

$$y_{\alpha l} \sim egin{pmatrix} \epsilon_0 & \epsilon_0 \ 1 & 1 \ 1 & 1 \end{pmatrix} y_0, \qquad y_0 \equiv \epsilon_0^q$$

Minimal seesaw model + discrete heavy-neutrino exchange symmetry

Ingredients:

 Yukawa structures generated through Froggatt-Nielsen flavor symmetry at high energy

$$\mathcal{L}_{eff} \supset \left(rac{\Phi}{\Lambda}
ight)^{q_i+q_j} \psi_i \psi_j \phi$$

- Charge assignment inspired by SU(5) GUT
- Discrete exchange symmetry



 $[L_e] = 2, \qquad [L_{\mu,\tau}] = 1, \qquad [N_{1,2}] = q$

Yukawa interaction
$$N_1 \leftrightarrow iN_2$$

mass term $N_1 \leftrightarrow N_2$

$$\mathcal{L}_{seesaw} \sim -y_0 \left(\epsilon I_e + I_\mu + c_{\mu\tau} I_\tau \right) \left(N_1 + i N_2 \right) \phi - rac{1}{2} M (N_1 N_1 + N_2 N_2)$$

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Yukawa interaction $N_1 \leftrightarrow iN_2$ mass term $N_1 \leftrightarrow N_2$

$$\mathcal{L}_{seesaw} \sim -y_0 \left(\epsilon I_e + I_\mu + c_{\mu au} I_{ au}
ight) \left(N_1 + i N_2
ight) \phi - rac{1}{2} M (N_1 N_1 + N_2 N_2)$$

All desired properties are reproduced:

- minimal³ model: only two N's, appox. two-zero texture, flavor alignment
- Casas-Ibarra parametrization: in flavor-aligned regions independence of z
- $\bullet\,$ Yukawa hierarchies fixed by FN model \rightarrow CP phase predictions

Open questions: Origin of discrete symmetry (orbifolds?)

Generic set of parameters

Benchmark model:

$$\mathcal{L}_{seesaw} \sim -y_0 \left(\epsilon I_e + I_\mu + c_{\mu au} I_{ au}
ight) \left(N_1 + iN_2
ight) \phi - rac{1}{2} M \left(N_1 N_1 + N_2 N_2
ight)$$

Assume "natural" hierarchies in Yukawa matrix:

Contours: ϵ (solid), $c_{\mu\tau}$ (dashed)

- SU(5) FN flavor model: $\epsilon \simeq 0.17 \rightarrow \frac{|y_e|}{|y_{\mu,\tau}|}$
- equal muon and tauon Yukawa couplings: $c_{\mu, au} \simeq 1
 ightarrow rac{|y_{\mu}|}{|y_{ au}|}$
- \rightarrow NH with maximal CP violation!

$$(\delta, \sigma) \simeq \begin{cases} (234^{\circ}, 7^{\circ}) \\ (291^{\circ}, 9^{\circ}) \\ (69^{\circ}, 171^{\circ}) \\ (126^{\circ}, 173^{\circ}) \end{cases}$$



Heavy neutrino mass scale & theoretical arguments

Absolute neutrino mass scale of the constructed model: $M \simeq 4.6 \epsilon_0^{-2q} e^{2z_l} 10^{15} \, {\rm GeV}$

Application of further theoretical arguments:

electroweak naturalness ['t Hooft, 1980]

$$\delta \mu^2 = \approx \frac{M^3}{4\pi^2 v_{EW}^2} \cosh 2z_i \sum_i m_i$$



successful (resonant) leptogenesis [Pilaftsis, 1997]

$$Y_{BAU} \propto \epsilon_{lpha lpha} \eta_{lpha} \stackrel{!}{\simeq} 10^{-10 \dots -11}$$

[Bambhaniya et al., 2016]

 \rightarrow constrain last free parameters!





20.0

Summary and outlook - Minimal type-I seesaw investigation

Bottom-Up: Yukawa textures in term of CP phases δ,σ



- general method for assessing Yukawa structures
- maximal hierarchies for approximate two-zero textures consistent with data
- theoretical uncertainties for exact two-zero textures & robustness of results

Top-Down: Benchmark model

- reproduction of current data sets
- embedding of minimal seesaw model in broader UV context
- prediction: NH A1 texture $\delta \sim 270^\circ!$

Thank you for your attention!

RGE can safely be neglected



 \rightarrow Experimental uncertainties outweigh RGE effects!





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