

# Implications of a matter-antimatter mass asymmetry in Penning-trap experiments

Ting Cheng

IMPRS - PTFS Seminar  
21.09.2023

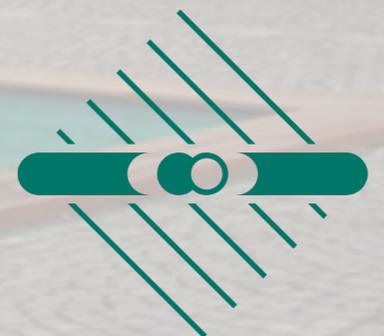
In collaboration with Manfred Lindner and Manibrata Sen



**IMPRS**

**for Precision Tests of Fundamental Symmetries**

INTERNATIONAL MAX PLANCK RESEARCH SCHOOL



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Article | [Published: 05 January 2022](#)

## A 16-parts-per-trillion measurement of the antiproton-to-proton charge–mass ratio

[M. J. Borchert](#), [J. A. Devlin](#), [S. R. Erlewein](#), [M. Fleck](#), [J. A. Harrington](#), [T. Higuchi](#), [B. M. Latacz](#), [F. Voelksen](#), [E. J. Wursten](#), [F. Abbass](#), [M. A. Bohman](#), [A. H. Mooser](#), [D. Popper](#), [M. Wiesinger](#), [C. Will](#), [K. Blaum](#), [Y. Matsuda](#), [C. Ospelkaus](#), [W. Quint](#), [J. Walz](#), [Y. Yamazaki](#), [C. Smorra](#) & [S. Ulmer](#) 

[Nature](#) **601**, 53–57 (2022) |



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## Implications of a matter-antimatter mass asymmetry in Penning-trap experiments

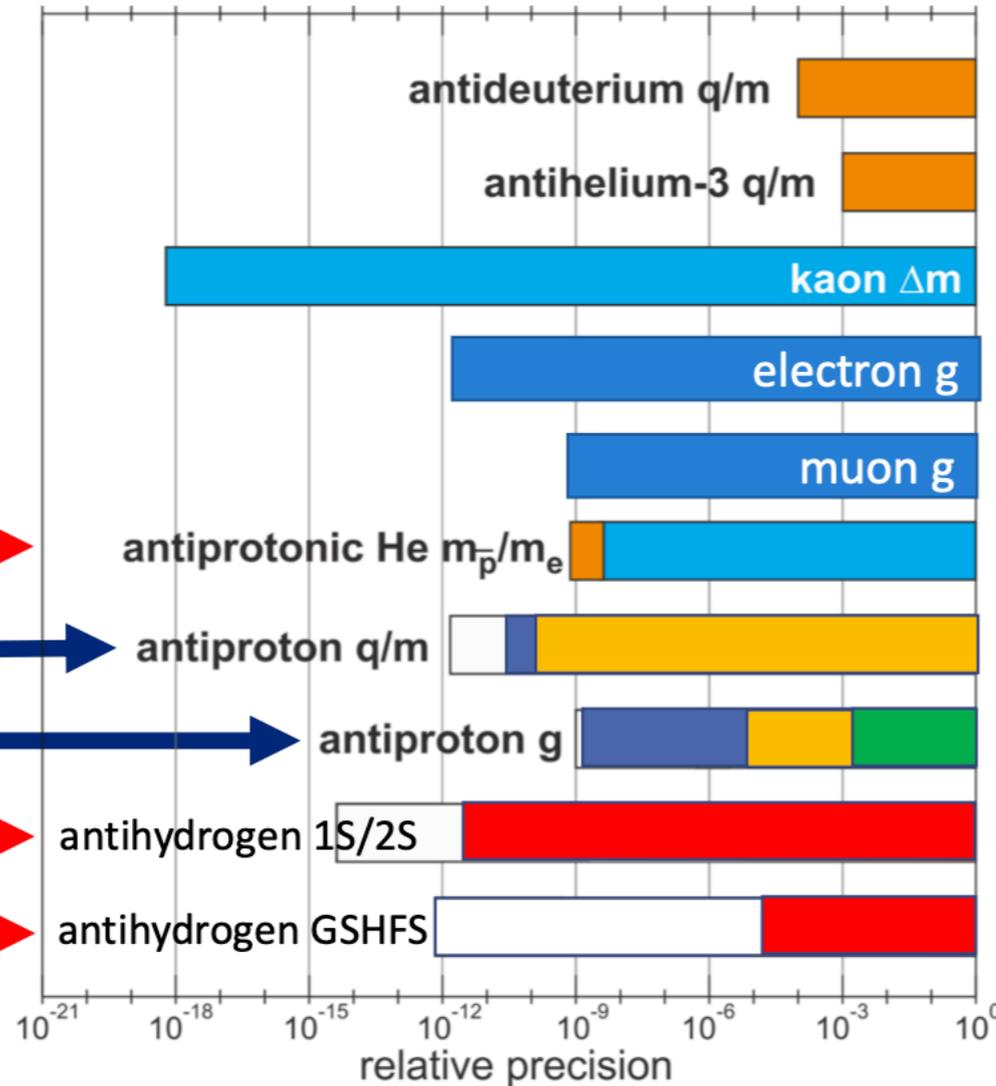
[Ting Cheng](#)  , [Manfred Lindner](#) , [Manibrata Sen](#) 

Julia Jäger's slide at the IMPRS retreat on 07.04.2022 :



# CPT tests based on particle/antiparticle comparisons

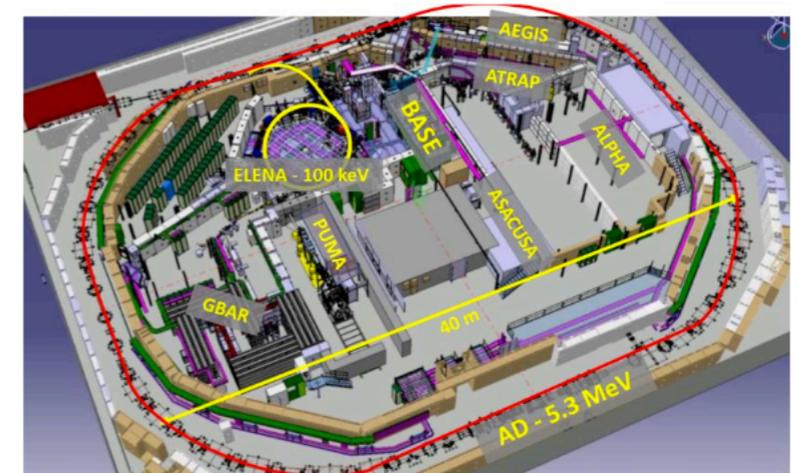
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R.S. Van Dyck et al., Phys. Rev. Lett. **59**, 26 (1987).  
 B. Schwingerheuer, et al., Phys. Rev. Lett. **74**, 4376 (1995).  
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 J. DiSciaccia et al., PRL **110**, 130801 (2013).  
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 ALICE Collaboration, Nature Physics **11**, 811–814 (2015).  
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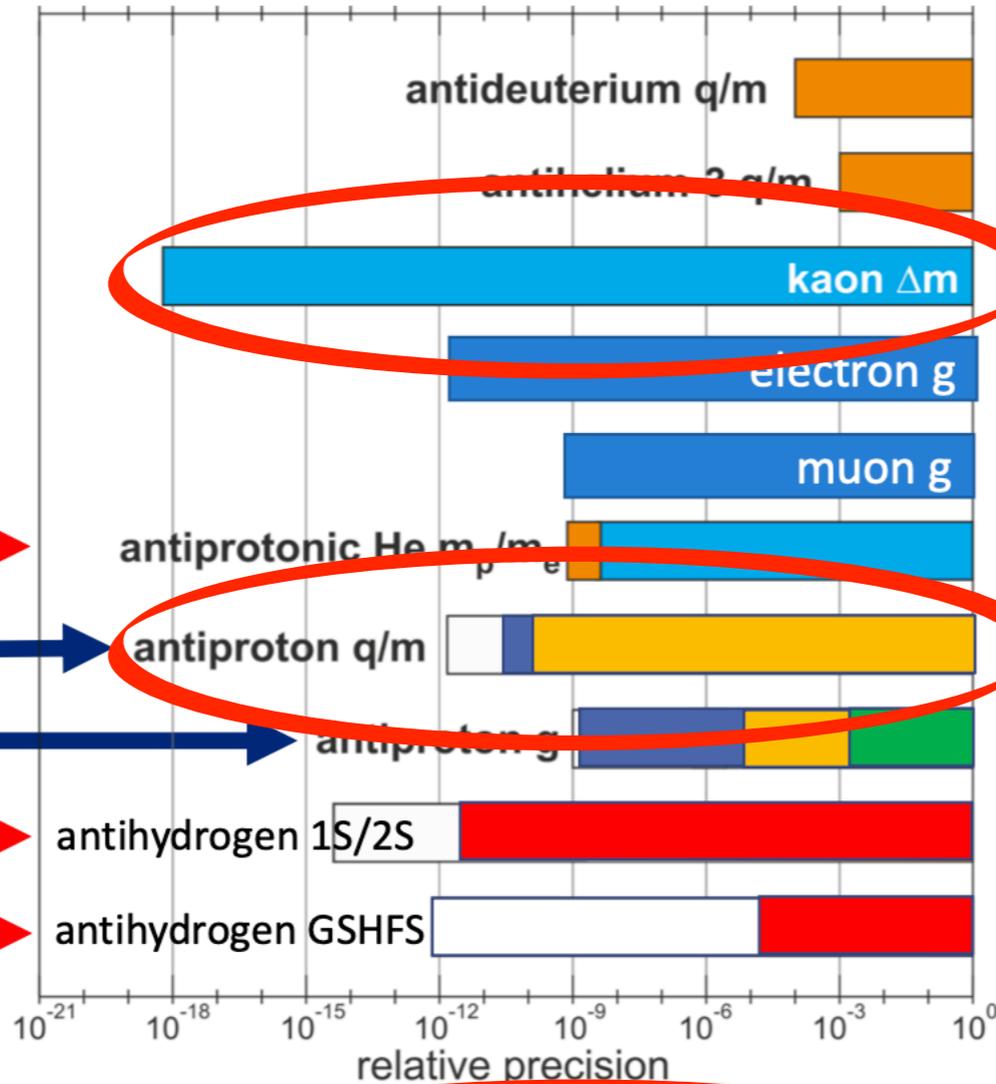
comparisons of the fundamental properties of simple matter / antimatter conjugate systems

We focus on ...



# CPT tests based on particle/antiparticle comparisons

Recent  
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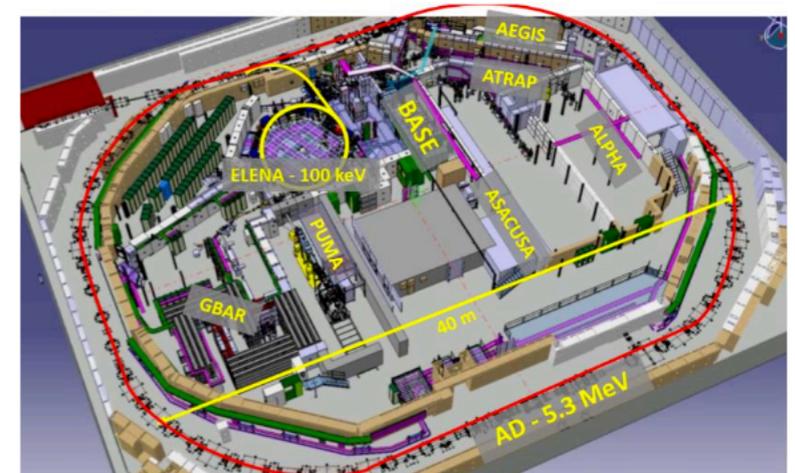


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Neutrino Oscillation

R.S. Van Dyck et al., Phys. Rev. Lett. **59**, 26 (1987).  
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In general: CPT breaking from matter-antimatter mass asymmetry

# CPT-V & MAMA

# CPT symmetry

[ G. Lüders ]

- ❖ Local, Lorentz invariant, Hermitian, casual (axiomatic) field theory  
→ CPT conservation (CPT theorem)

[ W. Pauli ]

Wightman function:  $W^{(n)}(x_1, x_2, \dots, x_n) = \langle 0 | \phi(x_1) \phi(x_2) \cdots \phi(x_n) | 0 \rangle$

Weak local commutativity:  $W^{(n)}(x_1, x_2, \dots, x_n) = W^{(n)}(x_n, x_{n-1}, \dots, x_1)$

Causality:  $\langle 0 | [\phi(x), \phi^\dagger(y)] | 0 \rangle \rightarrow 0$  when  $x, y$  are spacelike separated  $(x - y)^2 < 0$

[ R. Jost ]

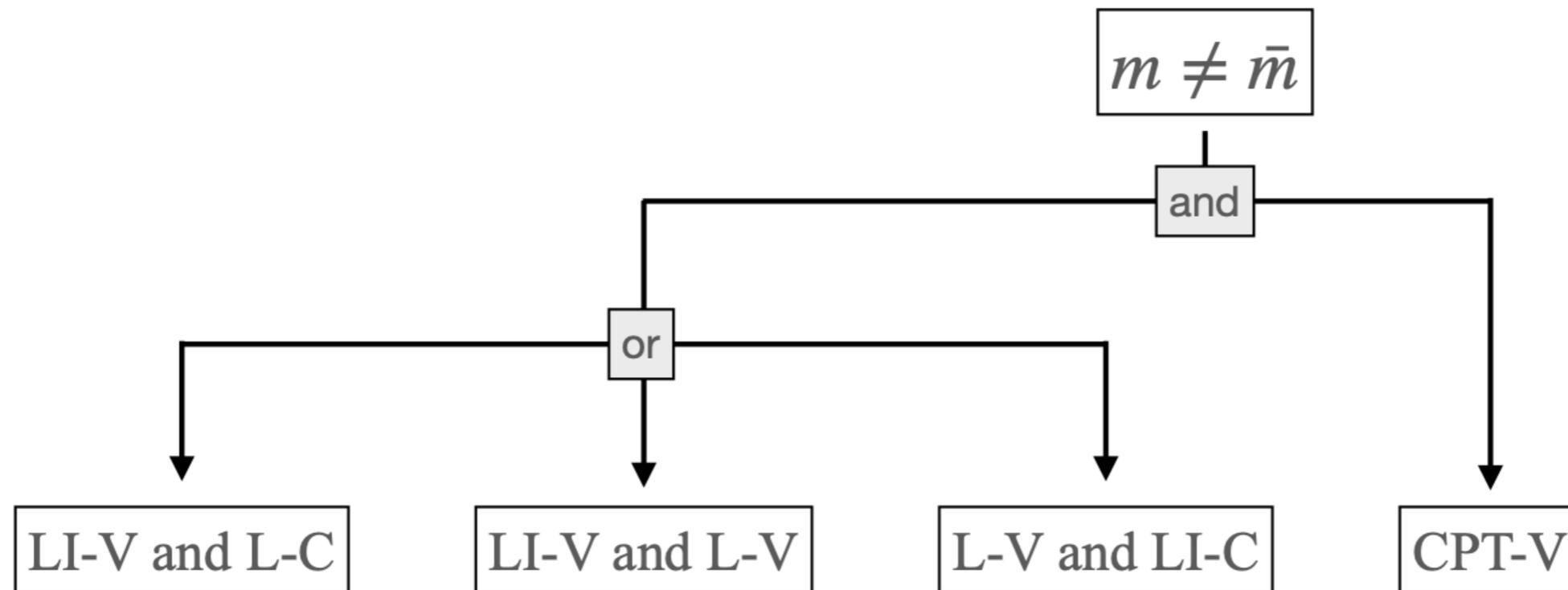
- ❖ CPT conserved → properties of particle = that of its antiparticle  
(e.g. mass and decay width)

# Matter - antimatter mass asymmetry (MAMA)

We believe in

- ❖ Local, ~~Lorentz invariant~~, Hermitian, casual axiomatic field theory  
→ CPT conservation (CPT theorem)
- ❖ CPT conserved → ~~properties of particle = that of its antiparticle~~  
(e.g. mass and decay width)

~~Gravity~~



# Motivation of CPT symmetry breaking

## ❖ Matter v.s. antimatter abundance

- Sakharov conditions:
- Baryogenesis
  - Baryon number violation
  - ~~C and CP violation~~
  - ~~Interactions out of thermal equi.~~

## ❖ Test fundamental principles

2022 Nobel Prize: “For experiments with entangled photons, establishing the violation of Bell inequalities and pioneering quantum information science”

Non-locality

[S. Liberati, 1304.5795 ]

In QG: “an underlying Lorentz invariance of the system is not sufficient (and could be not even necessary) in order to provide an almost exact Lorentz invariant physics in the emergent spacetime.” Lorentz-invariance violation

## ❖ A window to the phenomenology of Planck scale physics through precision tests

# Fundamental Principles

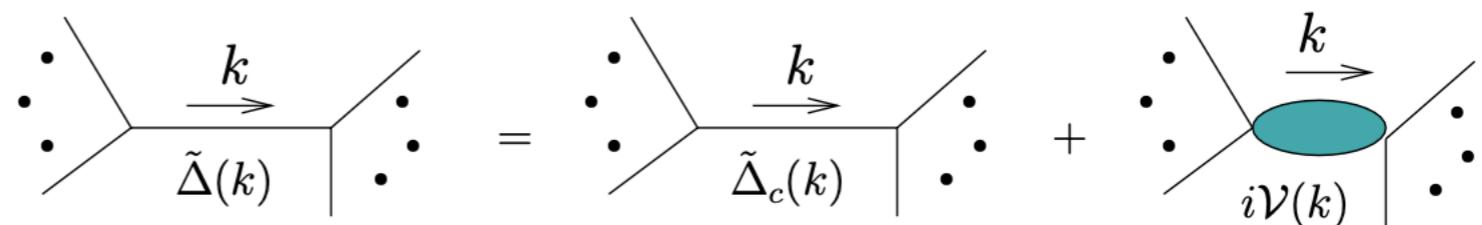
- ❖ Lorentz invariant: physical laws are the same for different observers

$$\mathcal{L} \rightarrow \mathcal{L}' : E^2 = m^2 + p^2 + f(p), \quad \text{SME: } \mathcal{L} \supset \sum_{n=4} \mathcal{O}^{(n)} \quad \text{Can be CPT even or odd}$$

[ Updated bounds by V. Alan Kostelecky and Neil Russell in [0801.0287](#) ]

- ❖ Locality: an object is influenced directly only by its immediate surroundings

Non-local interactions:



[ E. T. Tomboulis, 1507.00981 ]



# Experiments testing MAMA

# The Penning Trap Experiment @ BASE

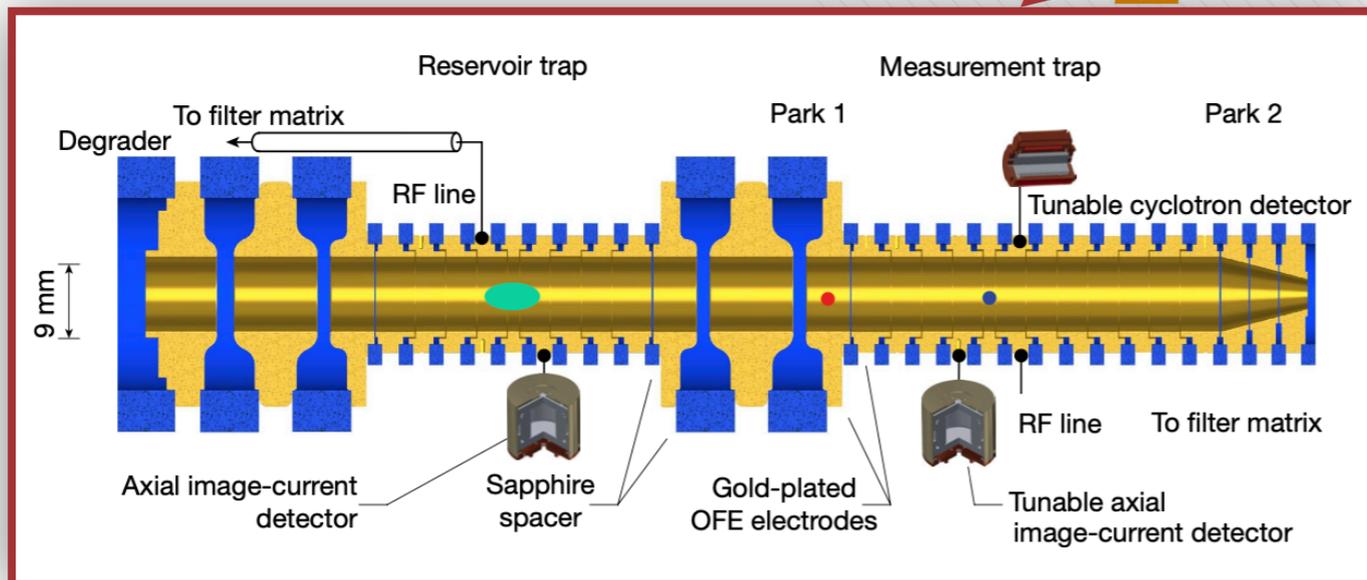
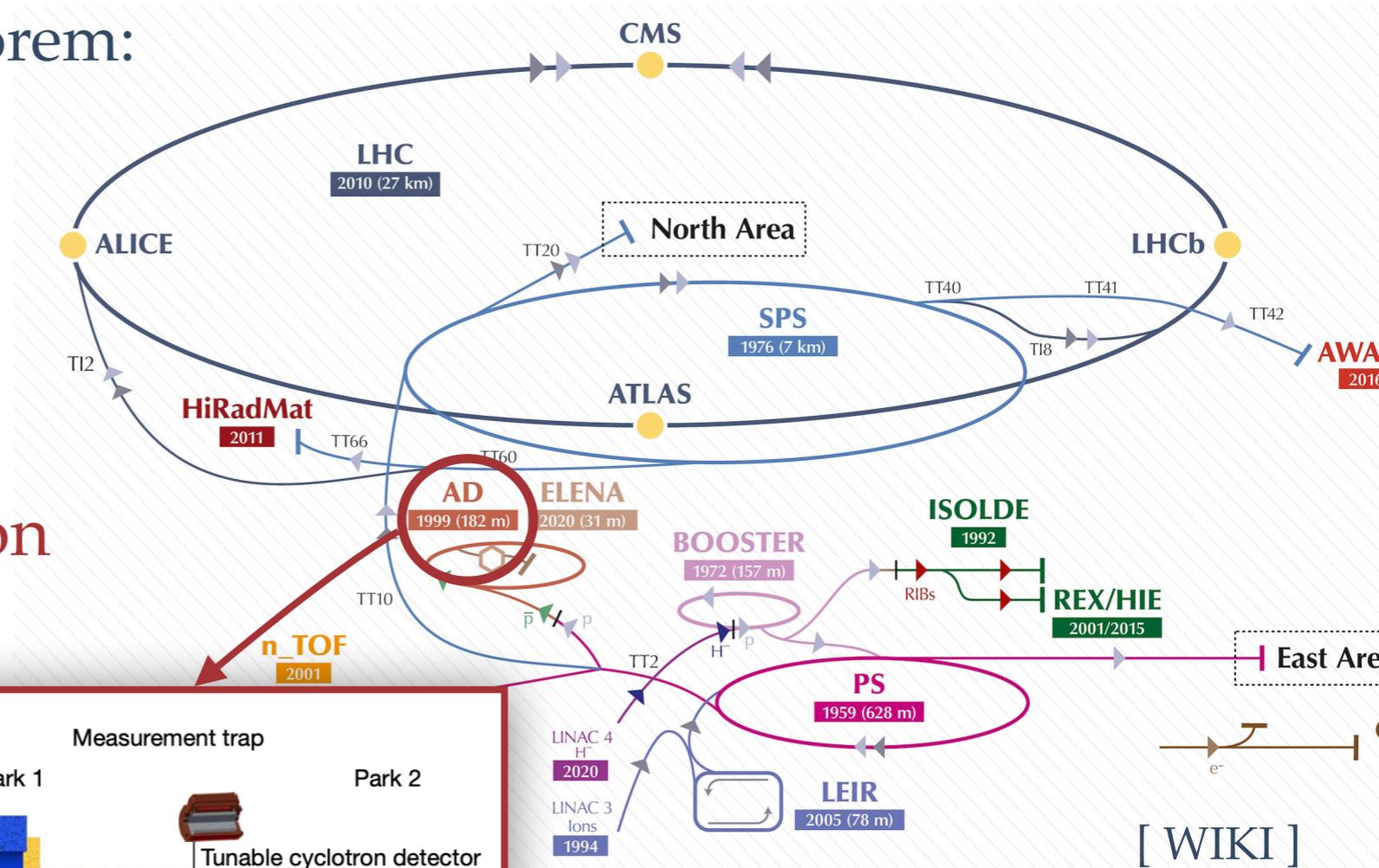
— measure charge-to-mass ratio by the cyclotron frequency

Brown-Gabrielse invariance theorem:

$$v_c^2 = v_+^2 + v_z^2 + v_-^2$$

$$v_c = \frac{1}{2\pi} \left( \frac{q}{m} \right) B$$

For proton ( $H^+$ ) & antiproton



[ BASE collaboration, Nature 2022 ]

Ting Cheng (MPIK)

IMPRS seminar , 21 / 09 / 2023

# Kaon Oscillation

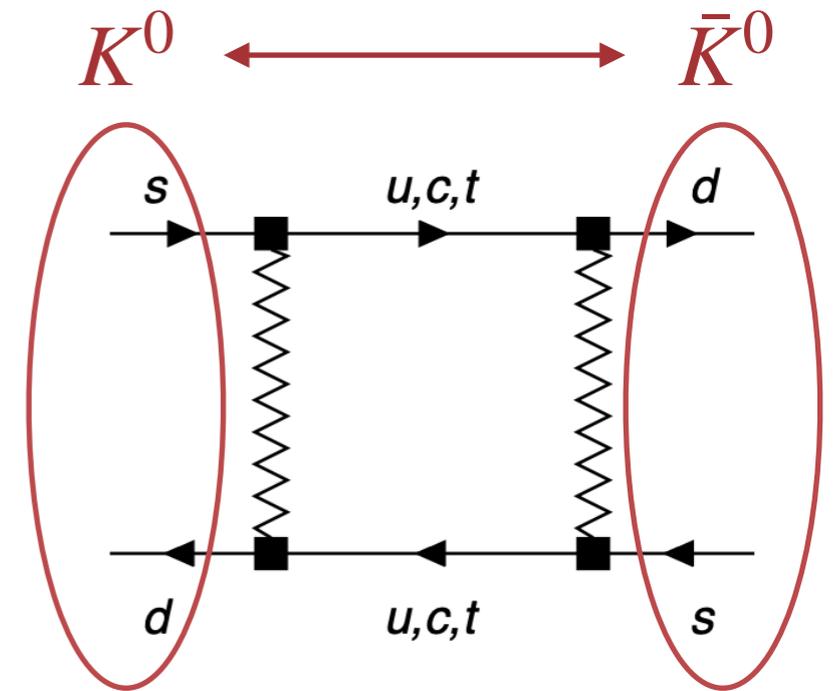
Mixing of neutral kaon - antikaon

$$i \frac{d}{dt} \begin{bmatrix} K^0 \\ \bar{K}^0 \end{bmatrix} = [M - i\Gamma/2] \begin{bmatrix} K^0 \\ \bar{K}^0 \end{bmatrix}, \quad \text{CPT requires } M_{11} = M_{22} \text{ and } \Gamma_{11} = \Gamma_{22}$$

In propagation basis: K-long and K-short

$$K_{S,L} = \frac{1}{\sqrt{2(1 + |\epsilon_{S,L}|^2)}} \left[ (1 + \epsilon_{S,L}) K^0 \pm (1 - \epsilon_{S,L}) \bar{K}^0 \right]$$

$$\epsilon_{S,L} = \frac{-i\Im(M_{12}) - \frac{1}{2}\Im(\Gamma_{12}) \mp \frac{1}{2} \left[ M_{11} - M_{22} - \frac{i}{2} (\Gamma_{11} - \Gamma_{22}) \right]}{m_L - m_S + i(\Gamma_S - \Gamma_L)/2} \equiv \epsilon \pm \delta. \quad \text{CPT violation term}$$



Mean lifetime:

$$K_S: (8.954 \pm 0.004) \times 10^{-11} \text{ s}$$

$$K_L: (5.116 \pm 0.021) \times 10^{-8} \text{ s}$$

# Kaon Oscillation

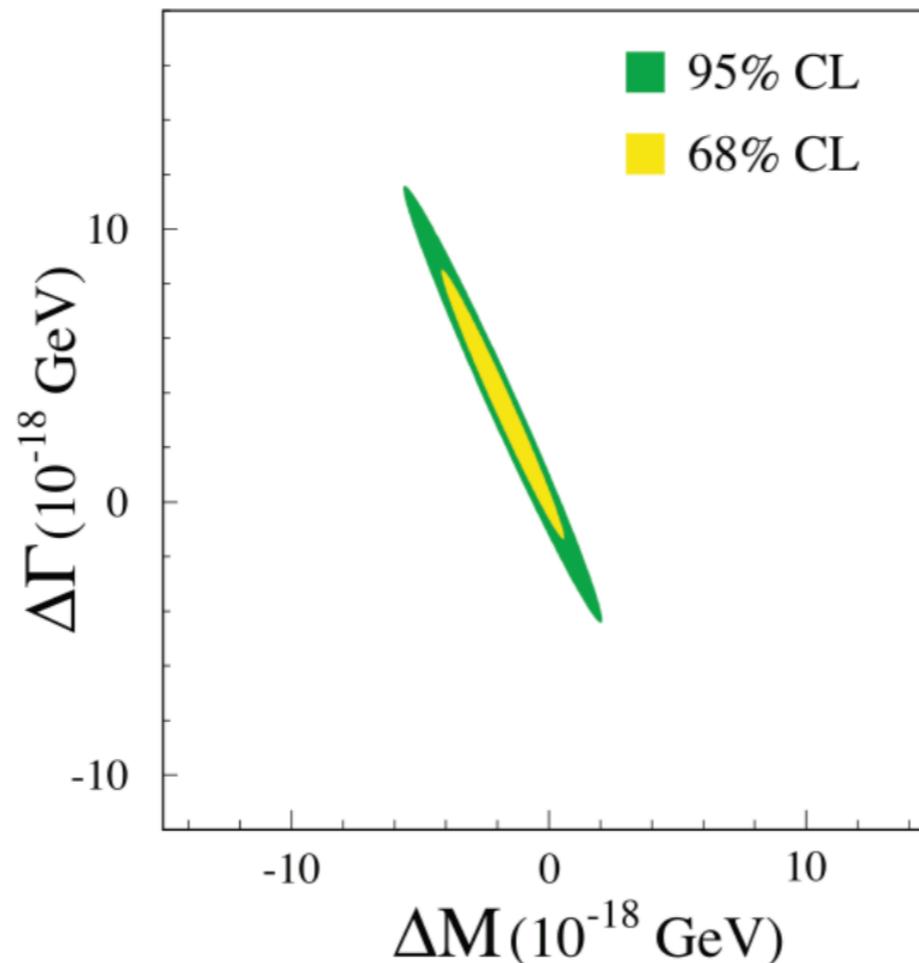
Bell - Steinberger relation (assuming unitarity):

$$\left[ \frac{\Gamma_S + \Gamma_L}{\Gamma_S - \Gamma_L} + i \tan \phi_{SW} \right] \left[ \frac{\Re(\epsilon)}{1 + |\epsilon|^2} - i \Im(\delta) \right] = \frac{1}{\Gamma_S - \Gamma_L} \sum_f A_L(f) A_S^*(f),$$

$$A_{L,S}(f) \equiv A(K_{L,S} \rightarrow f).$$

Tells us about the decay branching ratio  
(The observable)

$$\phi_{SW} \equiv \arctan \frac{2(m_L - m_S)}{\Gamma_S - \Gamma_L}$$



$$\alpha_i \equiv \frac{1}{\Gamma_S} \langle \mathcal{A}_L(i) \mathcal{A}_S^*(i) \rangle = \eta_i \mathcal{B}(K_S \rightarrow i),$$

$$i = \pi^0 \pi^0, \pi^+ \pi^- (\gamma), 3\pi^0, \pi^0 \pi^+ \pi^- (\gamma),$$

# Neutrino Oscillation

Parameters: 3 mixing angles + 1 CP phase + 3 mass splittings

Neutrino:

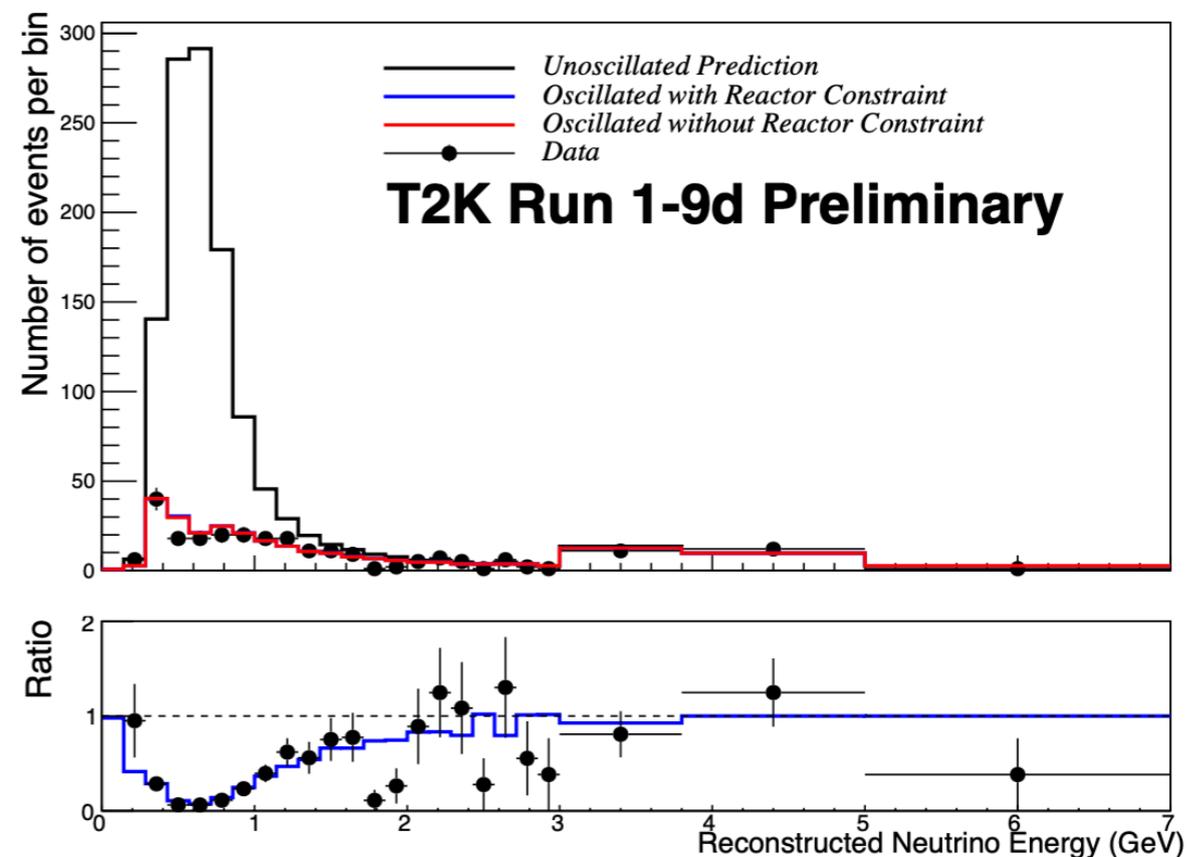
$$P(\nu_\alpha \rightarrow \nu_\beta) = \sum_{i,j} U_{\alpha i} U_{\beta i}^* U_{\alpha j}^* U_{\beta j} \exp \left[ -i \frac{\Delta m_{ji}^2 L}{2 E} \right]$$

CPT conserved anti-neutrino:

$$P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) = \sum_{i,j} (U_{\alpha i} U_{\beta i}^* U_{\alpha j}^* U_{\beta j})^* \exp \left[ -i \frac{\Delta m_{ji}^2 L}{2 E} \right]$$

anti-neutrino:

$$P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) = \sum_{i,j} (\bar{U}_{\alpha i} \bar{U}_{\beta i}^* \bar{U}_{\alpha j}^* \bar{U}_{\beta j})^* \exp \left[ -i \frac{\Delta \bar{m}_{ji}^2 L}{2 E} \right]$$



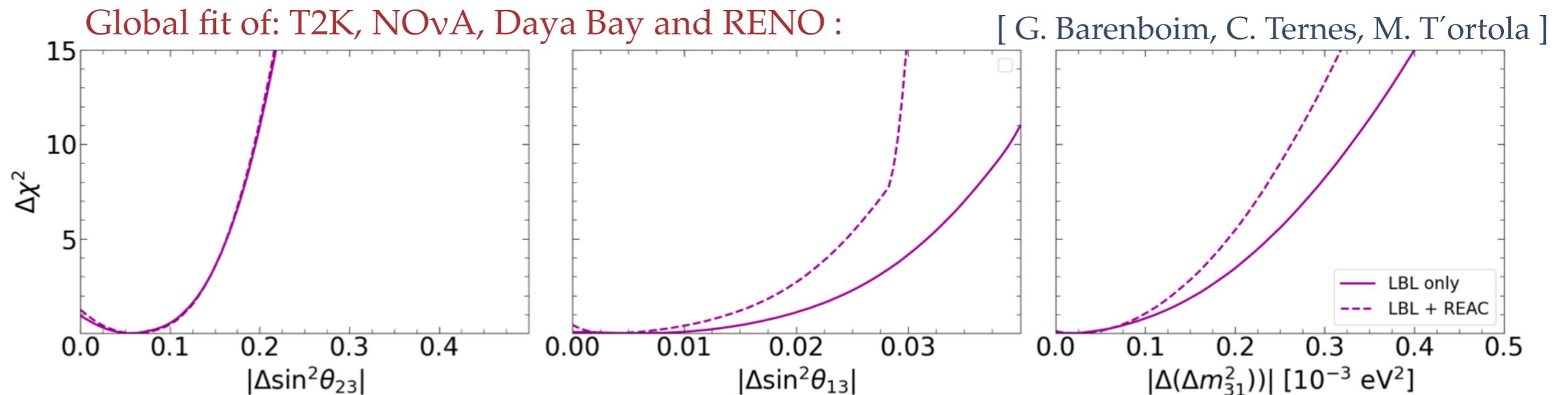
# Neutrino Oscillation

CPT test: Instead of fitting one set of parameters to the oscillation data, fit two

$$\chi^2(\Delta x) = \chi^2(|x - \bar{x}|) = \chi^2(x) + \chi^2(\bar{x}), \quad x: \text{the oscillation parameters}$$



$\Delta x \neq 0$  : CPT violation



\* Note that there are other ways to test CPT by neutrinos (e.g. by neutrino flight time), but we focus here on only the mass difference between particle and antiparticle

# Bridging Different Systems

# Mass Decomposition of Hadrons

Proton mass  $\simeq 938$  MeV,  $2m_d + m_u \simeq 9$  MeV

How much can a CPT violation contribute?

If  $MAMA \neq 0$  where can it come from?

How much can be “canceled out”?

# Rest Mass of Hadrons

the energy momentum tensor in QCD:  $T_{\mu\nu} = \frac{1}{4}\bar{\psi}\gamma_{(\mu}\overleftrightarrow{D}_{\nu)}\psi + F_{\mu\alpha}F_{\nu\alpha} - \frac{1}{4}\delta_{\mu\nu}F^2,$

rest mass of a single hadron state  $|x\rangle$ :  $m_x = \frac{\langle x|H_{\text{QCD}}|x\rangle}{\langle x|x\rangle}$   $H_{\text{QCD}} = - \int d^3x T_{44}(x)$

CPT transformation  $|x\rangle \rightarrow |\bar{x}\rangle$

Determined by quarks

Mass decomposition:  $H_{\text{QCD}} = H_E + H_g + H_m + H_a,$

[ X. Ji, hep-ph/9410274 ]

# Mass Decomposition of Hadrons

Which terms are the same for  $m_x$  and  $m_{\bar{x}}$  even when CPT is not exact?

$$H_E = \sum_q \int d^3x \bar{\psi}_q (\vec{D} \cdot \vec{\gamma}) \psi_q, \quad (\text{Kinematic term})$$

$$H_g = \int d^3x \frac{1}{2} (B^2 - E^2), \quad (\text{Gluon term})$$

$$H_m = \sum_q \int d^3x m_q \bar{\psi}_q \psi_q, \quad (\text{Bare quark mass term})$$

$$H_a = \int d^3x \left[ \frac{\gamma_m}{4} \sum_q m_q \bar{\psi}_q \psi_q - \frac{\beta(g)}{4g} (B^2 + E^2) \right] \quad (\text{Anomaly term})$$

Calculation through *lattice QCD*:

Proton: 68 % from  $H_E + H_g$  [ Yi-Bo Yang et al, 1808.08677 ]

Kaon: 50 - 60 % from  $H_E + H_g$  [ Yi-Bo Yang et al, 1405.4440 ]

# Parametrization

- ❖ Fermion mass difference:

$$\delta_q \equiv m_{\bar{q}} - m_q \quad q = s, d, u \text{ denote the quark mass}$$

$$\delta_i \equiv \bar{m}_i - m_i \quad i = 2, 3 \text{ are two heavier neutrino masses,} \\ \text{(assuming the lightest one is massless)}$$

- ❖ Fermion mass ratio:

$$r_x \equiv m_{\bar{q}}/m_q \quad r_i \equiv \bar{m}_i/m_i$$

- ❖ Observable mass expansion:

$$\begin{aligned} m_x &= m_0(1 + \alpha) \\ m_{\bar{x}} &= m_0(1 - \alpha) \end{aligned} \quad \longrightarrow \quad \alpha \equiv \left| \frac{m_{\bar{x}} - m_x}{m_{\bar{x}} + m_x} \right| \simeq \left| \frac{\sum_j \delta_j}{2m_x} \right|$$

# Extract CPT-V Contributions

Measurement

$$\left| \frac{m_{\bar{p}}}{m_p} - 1 \right|$$

$\approx$

Parametrization

$$\sum_q \frac{C_q \delta_q}{m_p}$$

Fermion mass difference parametrization

$$(r-1) \sum_q \frac{C_q m_q}{m_p}$$

Fermion ratio difference parametrization

Mass decomposition

$$C_q = \langle P | \bar{\psi}_q \psi_q | P \rangle \left\{ \begin{array}{l} \text{Directly by the } \textit{lattice} \text{ calculation of the isovector scalar charge} \\ \text{Pion-nucleon scattering experiments} \end{array} \right.$$

$$\sigma_{\pi N} = (m_d + m_u)/2 \langle N | \bar{\psi}_u \psi_u + \bar{\psi}_d \psi_d | N \rangle,$$

Feynman-Hellmann relation:  $\frac{\partial m_N}{\partial m_q} = \langle N(k, s) | \bar{\psi}_q \psi_q | N(k, s) \rangle$

# Comparison of Experiments

- ❖ From the Penning trap exp.  
(BASE collaboration, Nature 2022)

$$\left| \frac{m_{\bar{p}}}{m_p} - 1 \right| < 3 \times 10^{-12}$$

- ❖ From Kaon oscillation  
(PDG)

$$|m_{K^0} - m_{\bar{K}^0}| < 4 \times 10^{-16} \text{ MeV}$$

- ❖ From neutrino oscillation (Gabriela Barenboim et al, [1712.01714](#))

$$\Delta m_{21}^2 - \Delta \bar{m}_{21}^2 < 4.7 \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{31}^2 - \Delta \bar{m}_{31}^2 < 3.7 \times 10^{-4} \text{ eV}^2$$

MAMA	Proton	Kaon	Neutrino
$ \sum_j \delta_j  \text{ (MeV)}$	$\mathcal{O}(10^{-10} - 10^{-9})$	$\mathcal{O}(10^{-16})$	$\mathcal{O}(10^{-9})$
$\delta \text{ (MeV)}$	$\mathcal{O}(10^{-10} - 10^{-9})$	trivial	$\mathcal{O}(10^{-9})$
$r - 1$	$\mathcal{O}(10^{-11} - 10^{-10})$	$\mathcal{O}(10^{-18})$	$\mathcal{O}(10^{-1})$
$\alpha$	$\mathcal{O}(10^{-12})$	$\mathcal{O}(10^{-19})$	$\mathcal{O}(10^{-2})$

Assume all  $\delta_j$  are identical

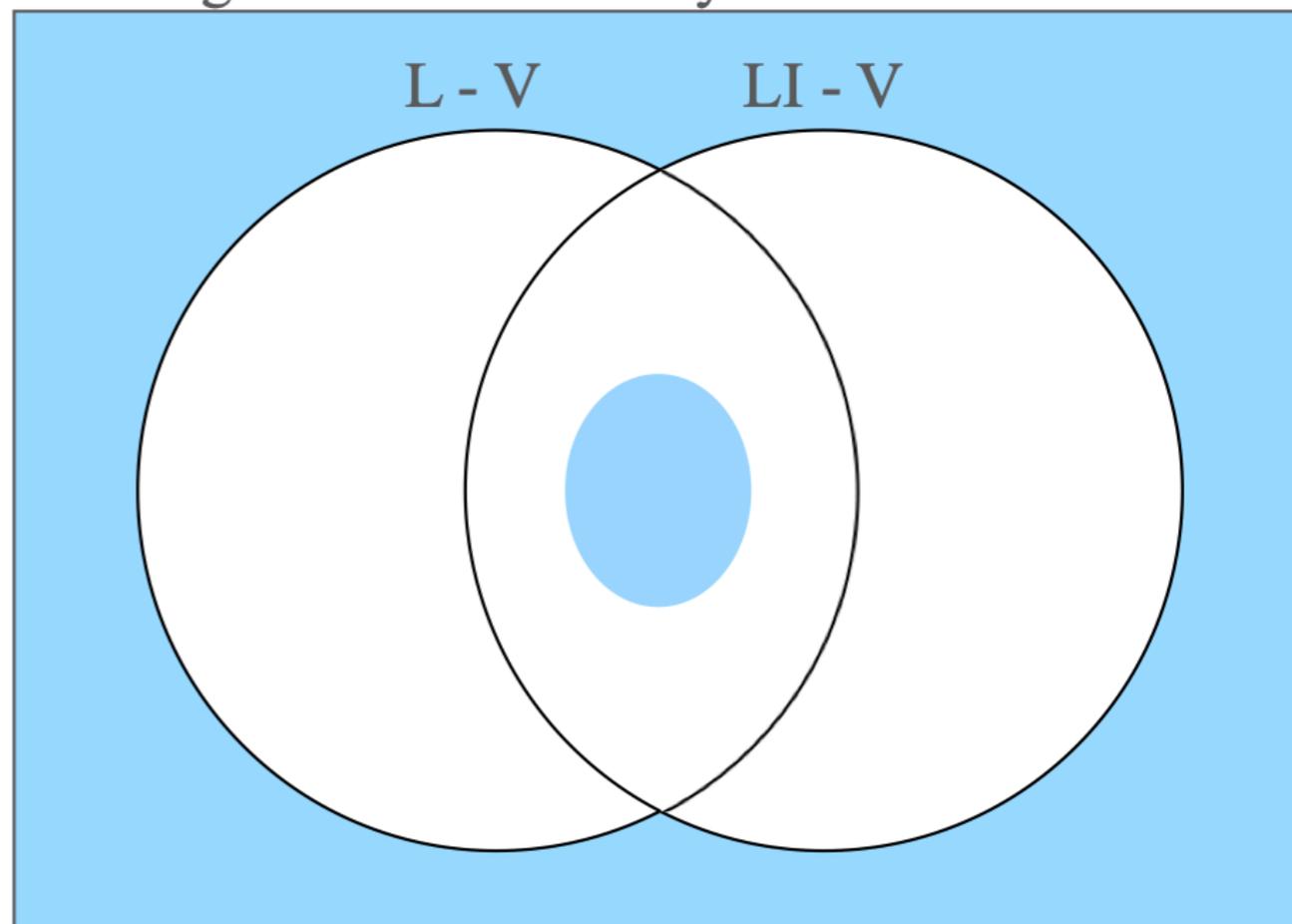
# Implications

What if there is a positive signal from the Penning trap/ neutrino experiment within the bounds set by kaon oscillation?

- ❖ Locality vs Lorentz invariance → Disentangled if micro-causality is not exact
- ❖ An additional symmetry which sets  $\delta_s$  (nearly) identical to  $\delta_d$
- ❖ Something wrong with our understanding of QCD
- ❖ Spin dependence on rest mass

Need investigation in concrete theories  
(QG, string, composite quark, ...)  
that has L - V and/or LI - V

Blue region: micro-causality *can* be conserved



# Summary

- ❖ CPT symmetry breaking indicates a violation of locality and Lorentz invariant in any Hermitian and causal field theory
- ❖ Under premises: “CPT symmetry features hadron's rest mass holds”, “the mass decomposition of hadrons applies” bounds from kaon oscillation are orders of magnitude above other MAMA-testing experiments
- ❖ Lay out a road map to possibly disentangle CPT violation in different systems and experiments that measure mass differences between matter and antimatter

# Outlook

- ❖ Different systems may be sensitive to different principles
  - e.g. traveling distance — Lorentz invariance violation
  - number of vertices — non-locality
- ❖ Correlation of different principle-violations through (micro-)causality
- ❖ Correlate more experiments, such as
  1. CPT testing experiments beyond mass and / or rest frame
    - e.g. magnetic moment, transition frequency, life-time measurements
  2. Principle testing experiments
    - e.g. Non-locality by quantum decoherence, [D. Karamitros et al, 2208.10425]
    - WEP breaking by GW observation, [ H.J. Kuan et al, 2203.03672]
    - Lorentz invariance violation by neutrino flight time. [S. Liberati, 1304.5795 ]
- ❖ Model / theory testing