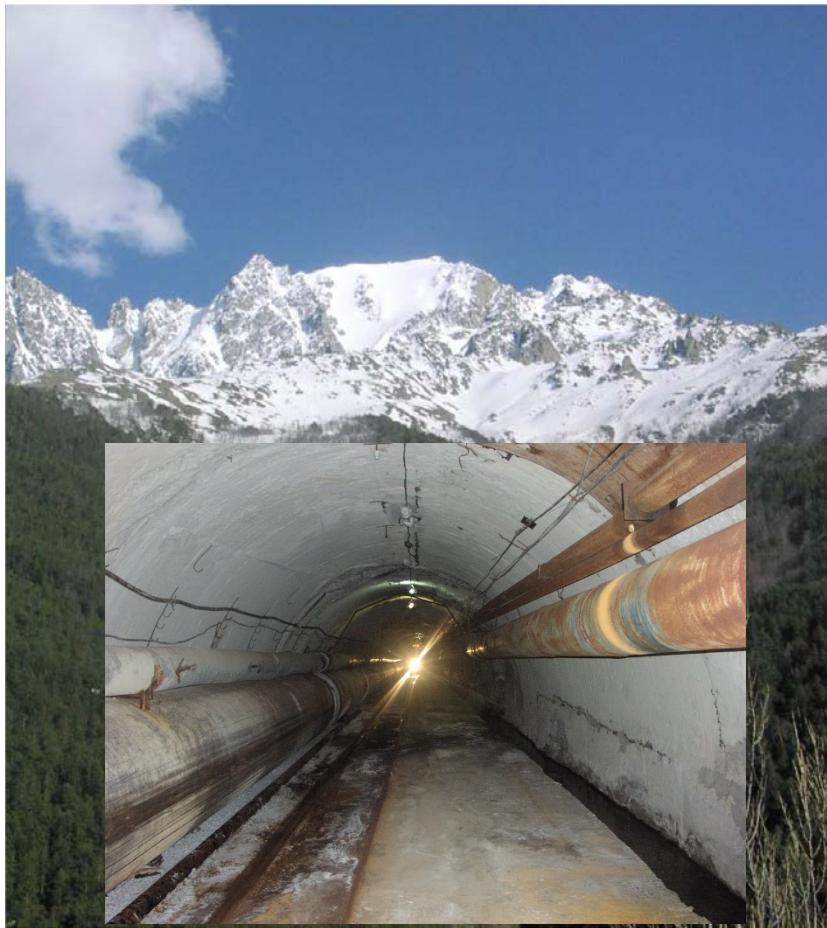
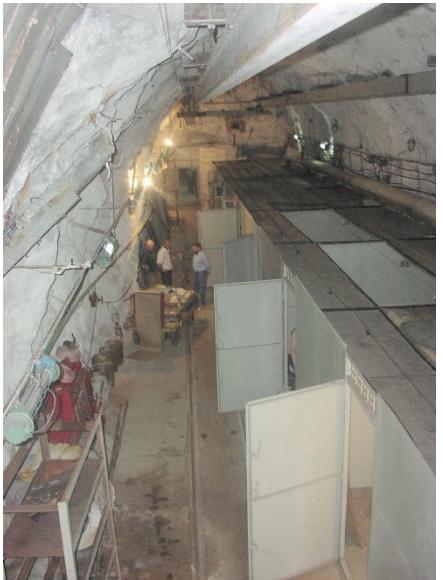


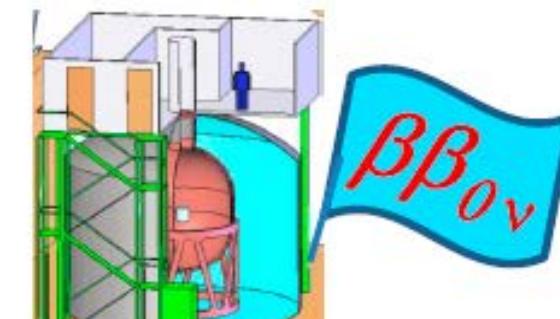
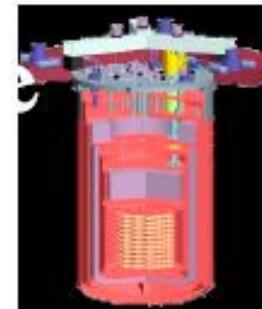
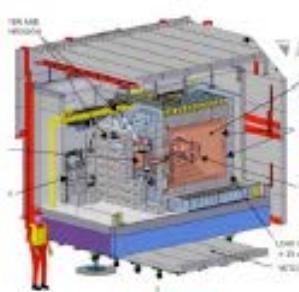
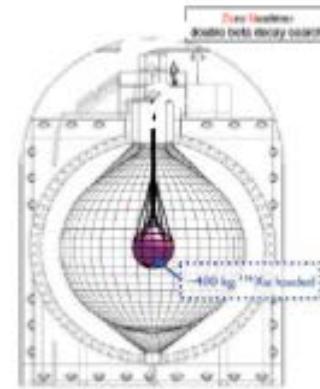
From Baksan to ->



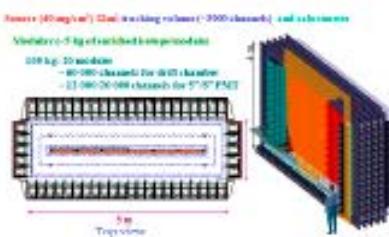
A.Smolnikov

International Session-Conference of RAS "Physics of fundamental interactions"
dedicated to 50th anniversary of Baksan Neutrino Observatory, Nalchik, Russia, June 6-8, 2017

-> to worldwide experiments
searching for neutrinoless double beta decay



Kamland-Zen



Super NEMO



COBRA



NEXT



LUCIFER



SNO+

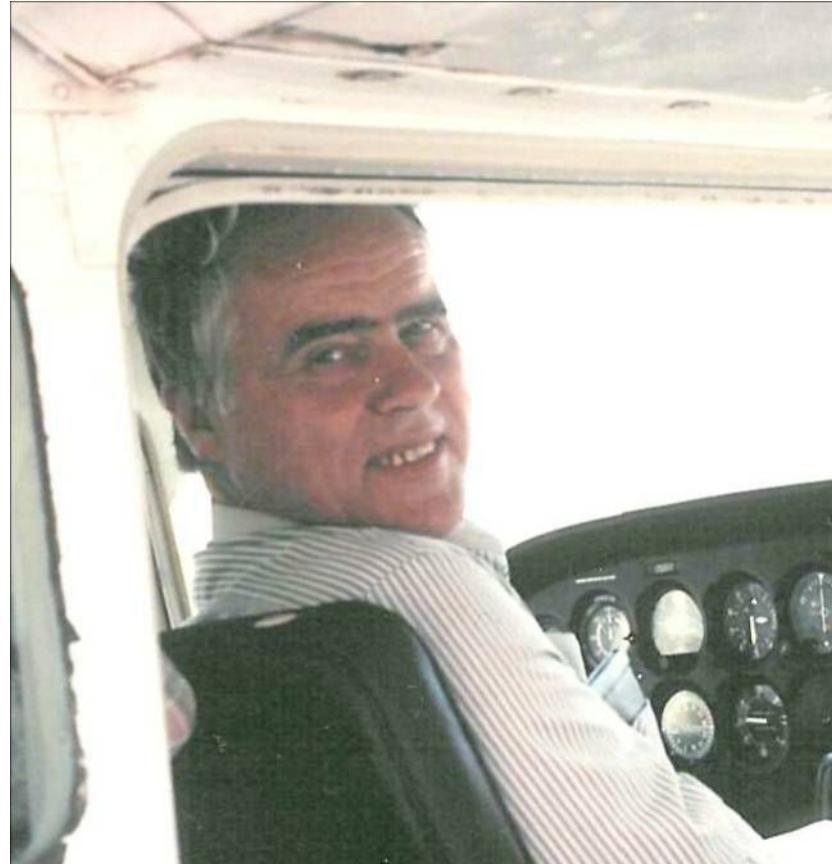


MAJORANA

A.Smolnikov,

International Session-Conference of RAS "Physics of fundamental interactions"
dedicated to 50th anniversary of Baksan Neutrino Observatory, Nalchik, Russia, June 6-8, 2017

**Dedicated to the memory
of the first Director of Baksan Neutrino Observatory**



Alexander Alexandrovich Pomansky

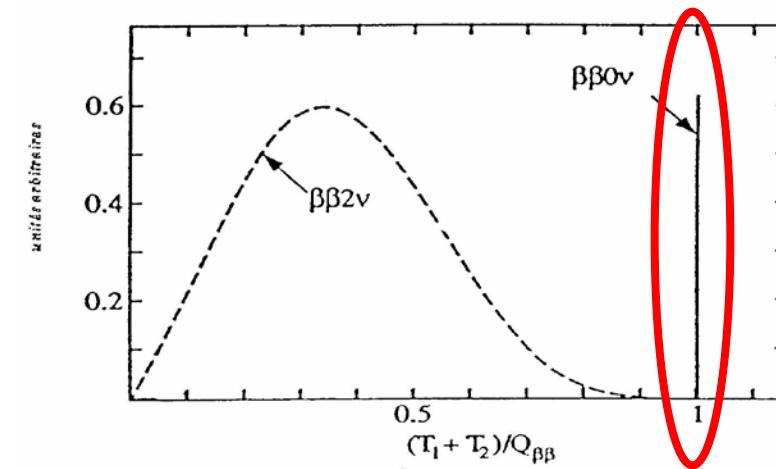
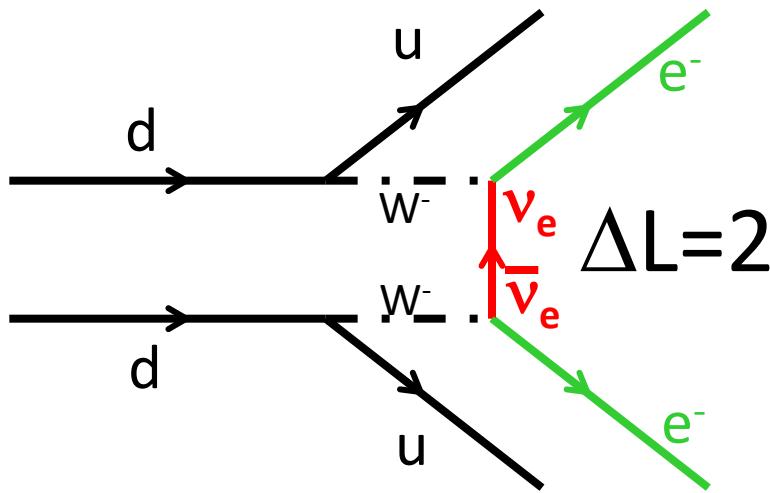
Double Beta Decay

- DBD unique tool to study neutrino properties:
- Majorana vs. Dirac, mass scale, hierarchy, CP phases

$$2\nu\beta\beta: (A,Z) \rightarrow (A,Z+2) + 2e^- + 2\nu_e$$

(Observed for several nuclei,
test of nuclear matrix elem.
calculations)

$$0\nu\beta\beta: (A,Z) \rightarrow (A,Z+2) + 2e^-$$



$$1/\tau = G(Q, Z) |M_{\text{nucl}}|^2 m_{ee}^2,$$

$$m_{ee} = |\sum_i U_{ei}^2 m_i|$$

0νββ decay rate

Light neutrino exchange

$$1/\tau = G(Q, Z) \cdot |M_{nucl}|^2 \cdot \langle m_{\beta\beta} \rangle^2$$

Phase space factor
 $(\sim Q_{bb}^{-5})$

Nuclear matrix
 element

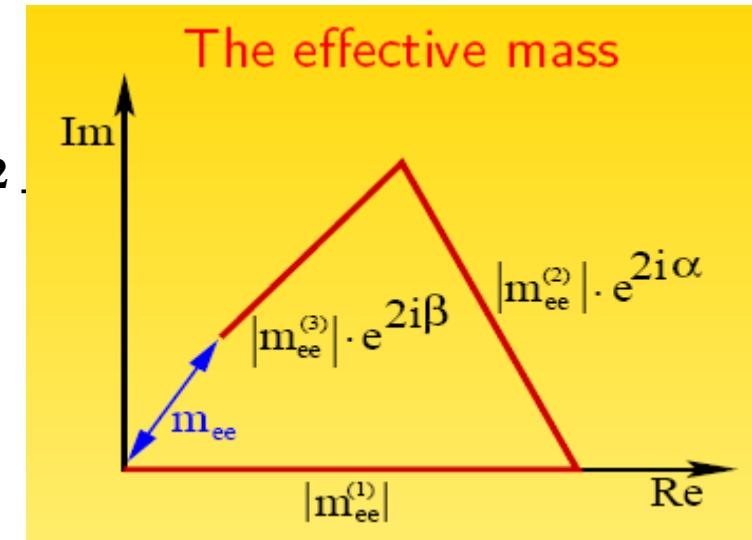
Effective Majorana
 neutrino mass

$$Q = E_{e1} + E_{e2} - 2m_e$$

$$\langle m_{\beta\beta} \rangle = \left| \sum_j m_j U_{ej}^2 \right| = \mathbf{m}_1 |\mathbf{U}_{e1}|^2$$

U_{ei} (complex) neutrino mixing matrix

$\alpha_{1,2}$ - complex CP-violating Majorana phases



9 physical parameters in neutrino mass matrix m_ν

- θ_{12} and $m_2^2 - m_1^2$
- θ_{23} and $|m_3^2 - m_2^2|$
- θ_{13} (or $|U_{e3}|$)
- m_1, m_2, m_3
- $\text{sgn}(m_3^2 - m_2^2)$
- Dirac phase δ
- Majorana phases α and β (or α_1 and α_2 , or ϕ_1 and ϕ_2 , or . . .)

$0\nu\beta\beta \rightarrow 7$ out of 9 parameters of neutrino mass matrix !

$$\mathcal{L} = \frac{1}{2} \nu^T m_\nu \nu \quad \text{with} \quad m_\nu = U \text{diag}(m_1, m_2, m_3) U^T$$

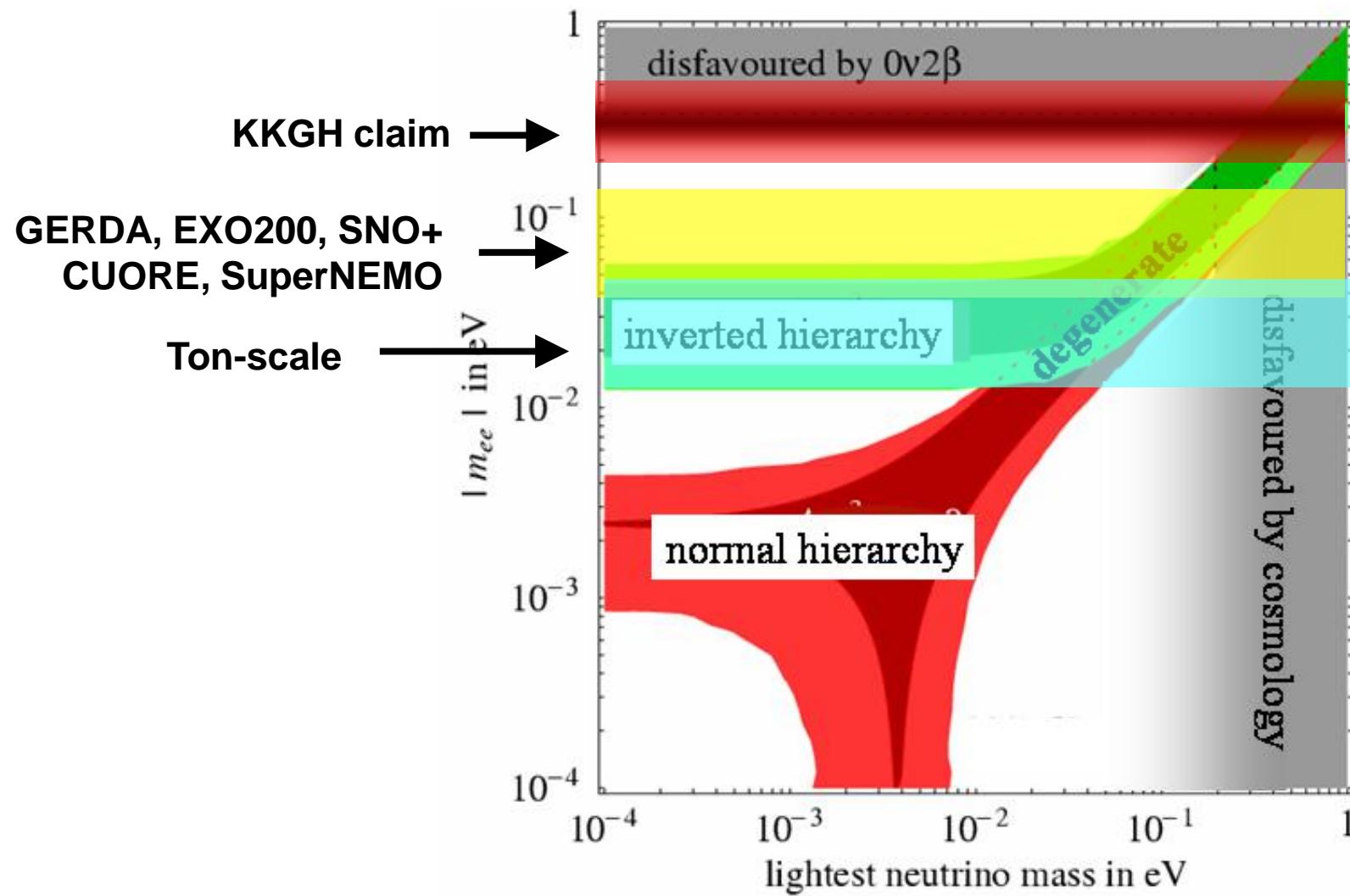
where

$$U = \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta} & s_{23} c_{13} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta} & c_{23} c_{13} \end{pmatrix} P$$

$$\text{with } P = \text{diag}(e^{i\alpha}, e^{i\beta}, 1)$$

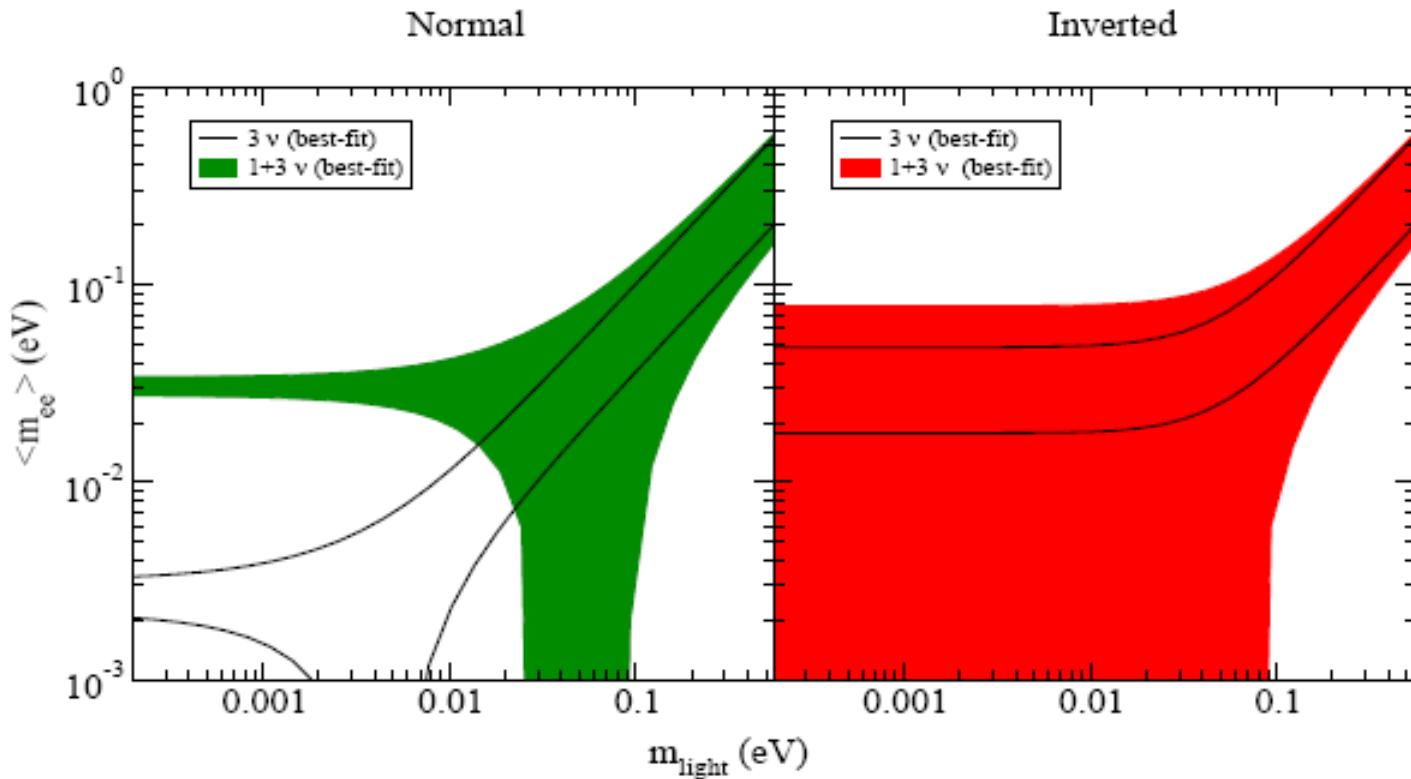
(only show up in Lepton Number Violating processes, if neutrinos are Majorana)

\Rightarrow 3 angles, 3 phases, 3 masses



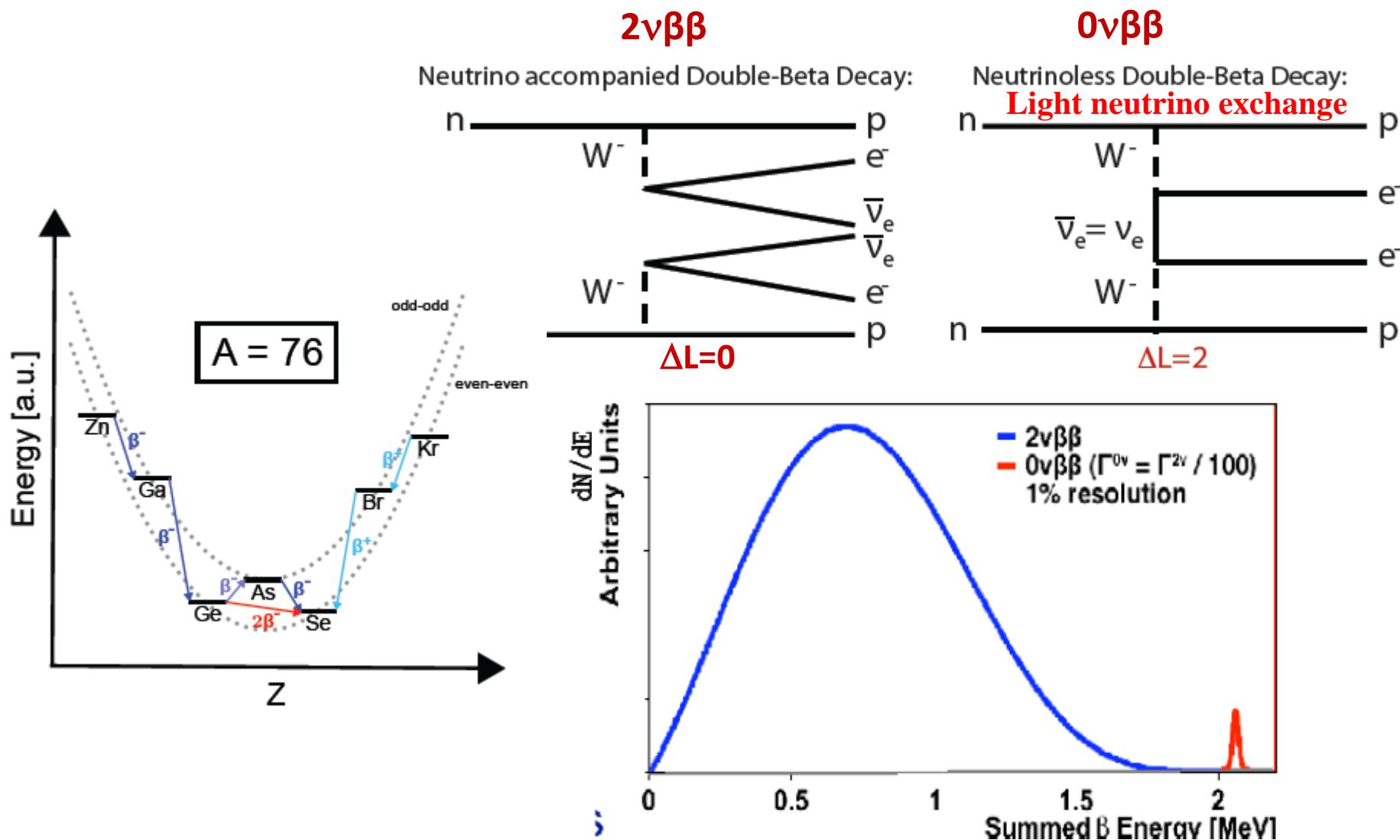
Sterile Neutrinos:

the usual plot for double beta decay
gets completely turned around!

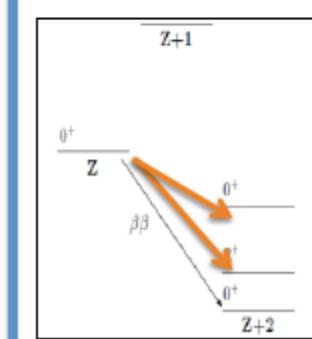
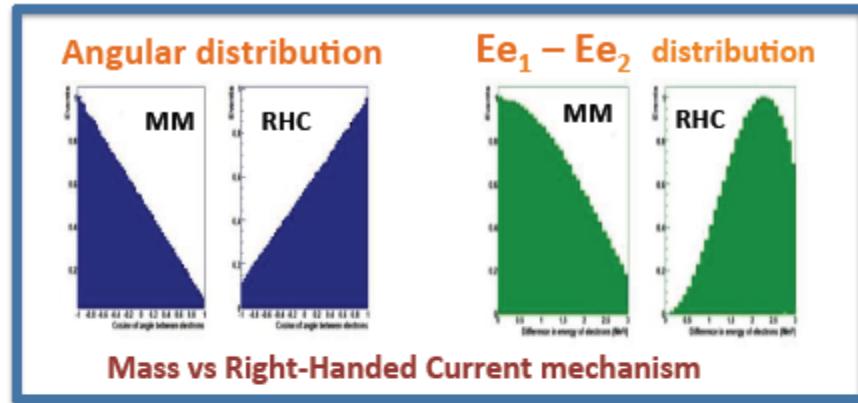
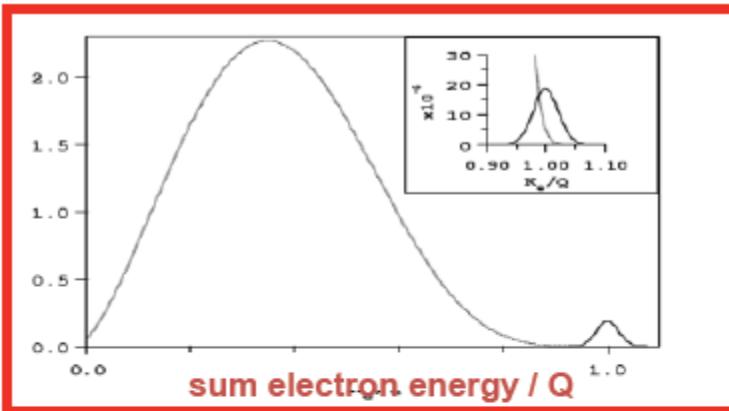


Barry, W.R., Zhang, JHEP **1107**; Giunti *et al.*, PRD **87**; Girardi, Meroni,
Petcov, 1308.5802

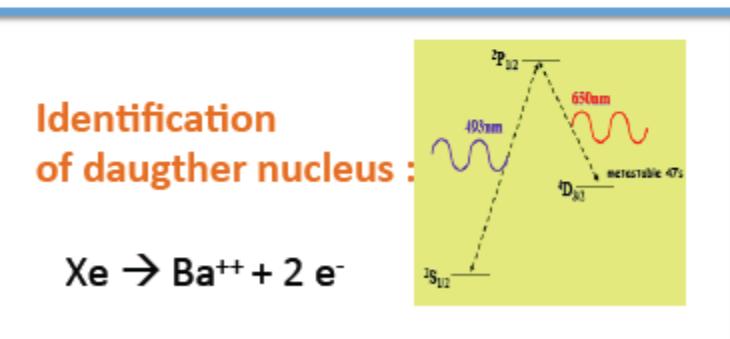
What we should observe in experiments ?



What we can observe in experiments ?



Decay to Excited States
 $(A, Z) \rightarrow (A, Z+2) + 2 e^- + 1,2 \gamma$
1 or 2 additonnal γ -rays



The experimental challenge

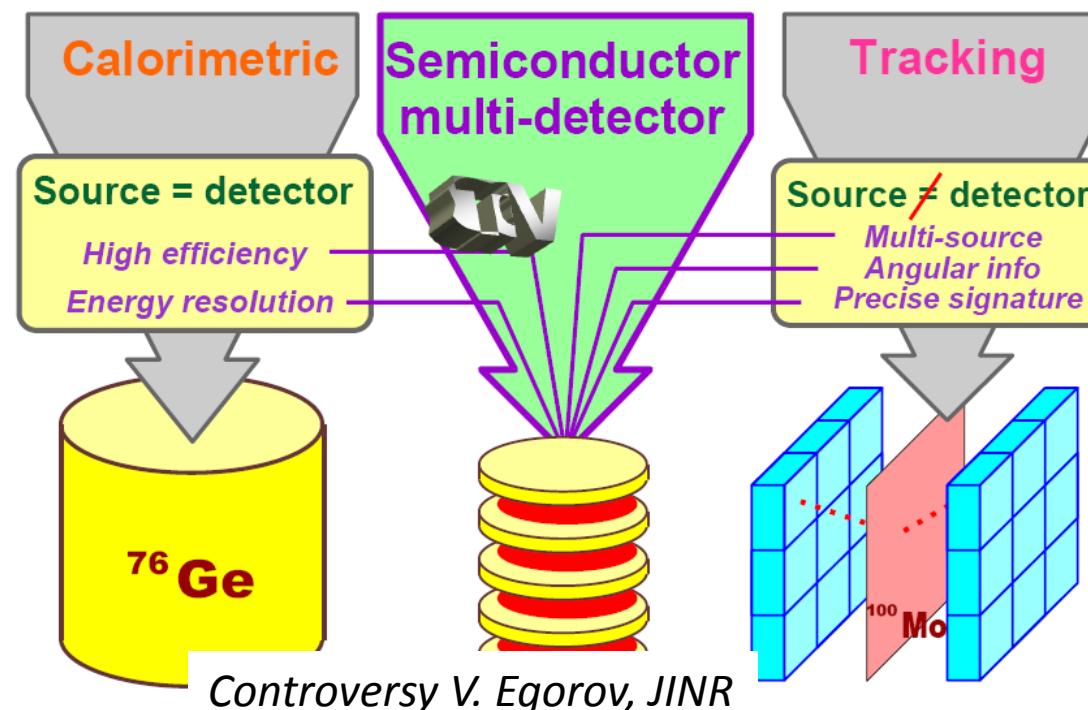
Experiment observes $N^{0\nu} = \ln 2 \frac{N_A}{A} \cdot a \cdot \epsilon \cdot M t / T_{1/2}$

sensitivity on $T_{1/2} \propto \epsilon \cdot A \cdot \sqrt{\frac{M \cdot T}{b \cdot \Delta E}}$

ϵ	detection efficiency
A	isotopic abundance
M	active target mass
T	measuring time
b	background rate (cts/(keV kg yr))
ΔE	energy resolution

Experimental approach:

→ reduce background **b**, improve resolution **ΔE** , increase exposure (**M·T**),

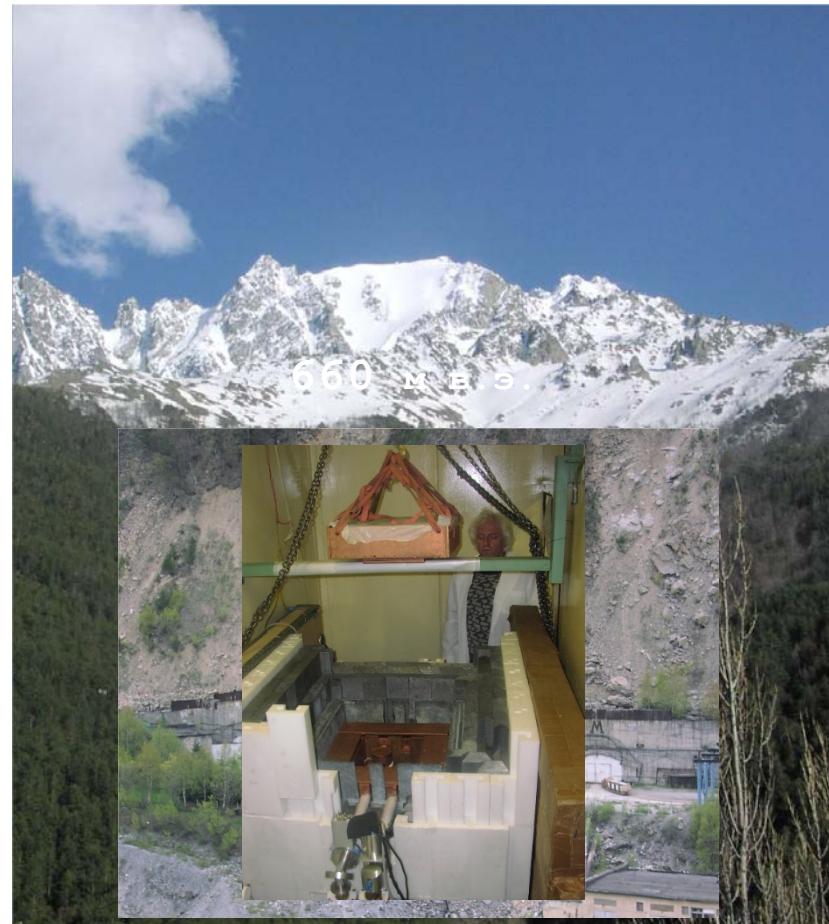




IGEX-DB / Baksan Phase

in the Laboratory for Low Background Experiments

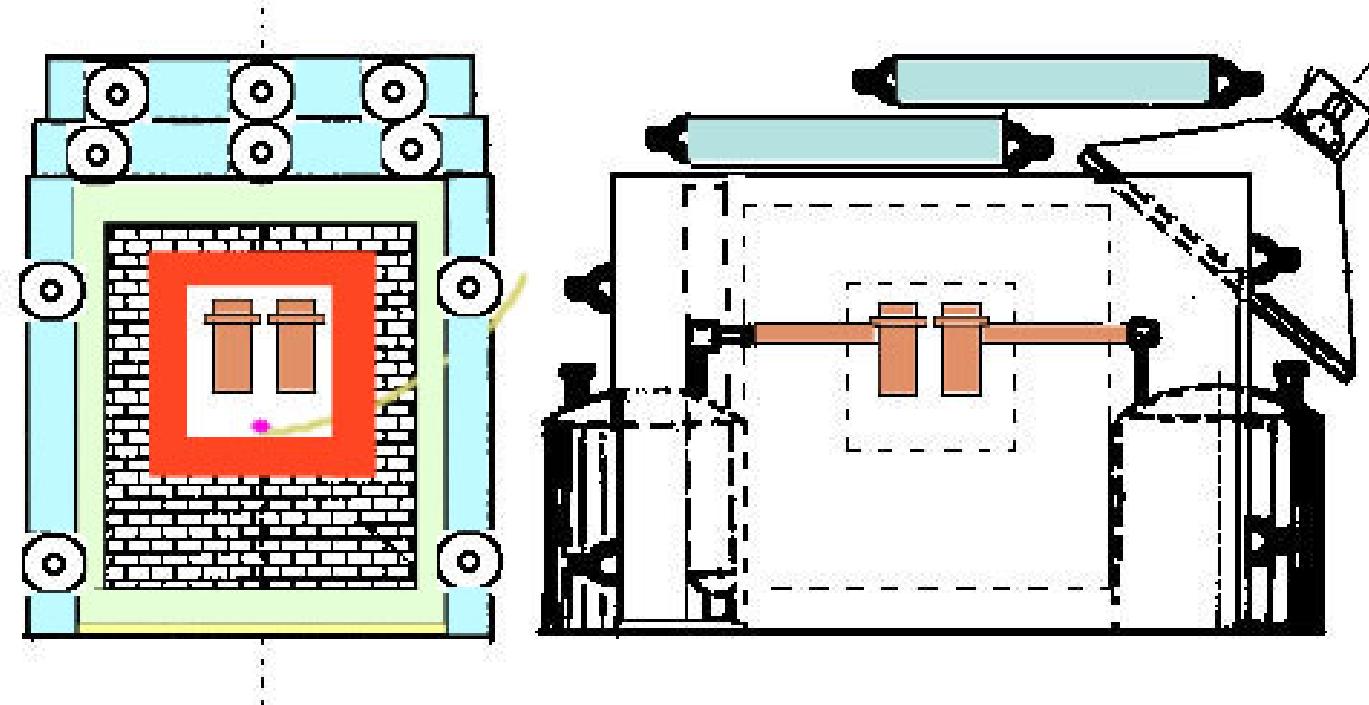
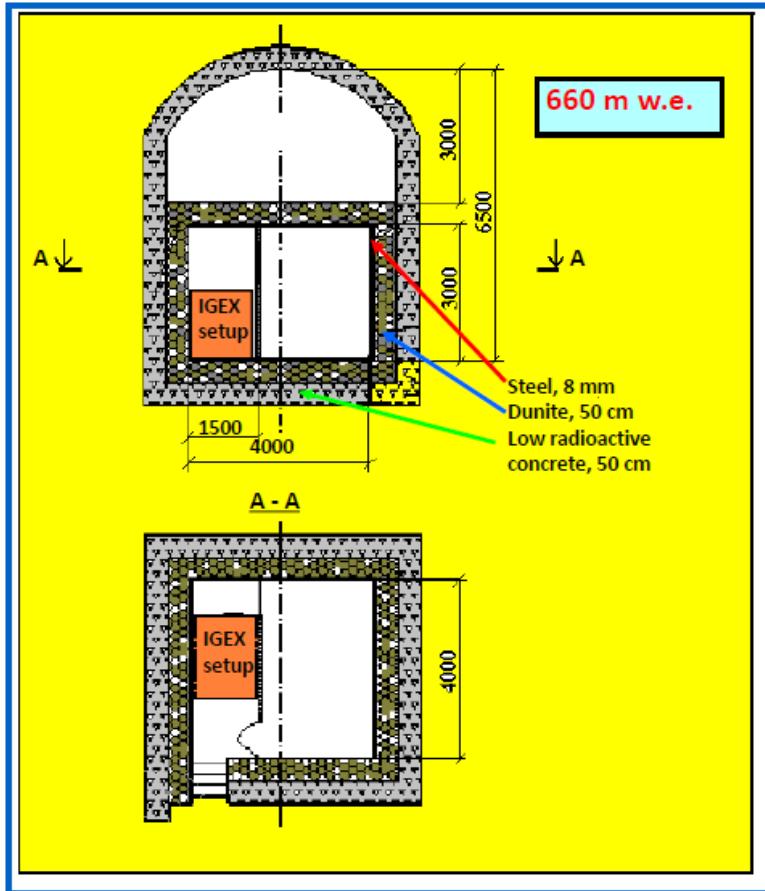
BNO INR RAS



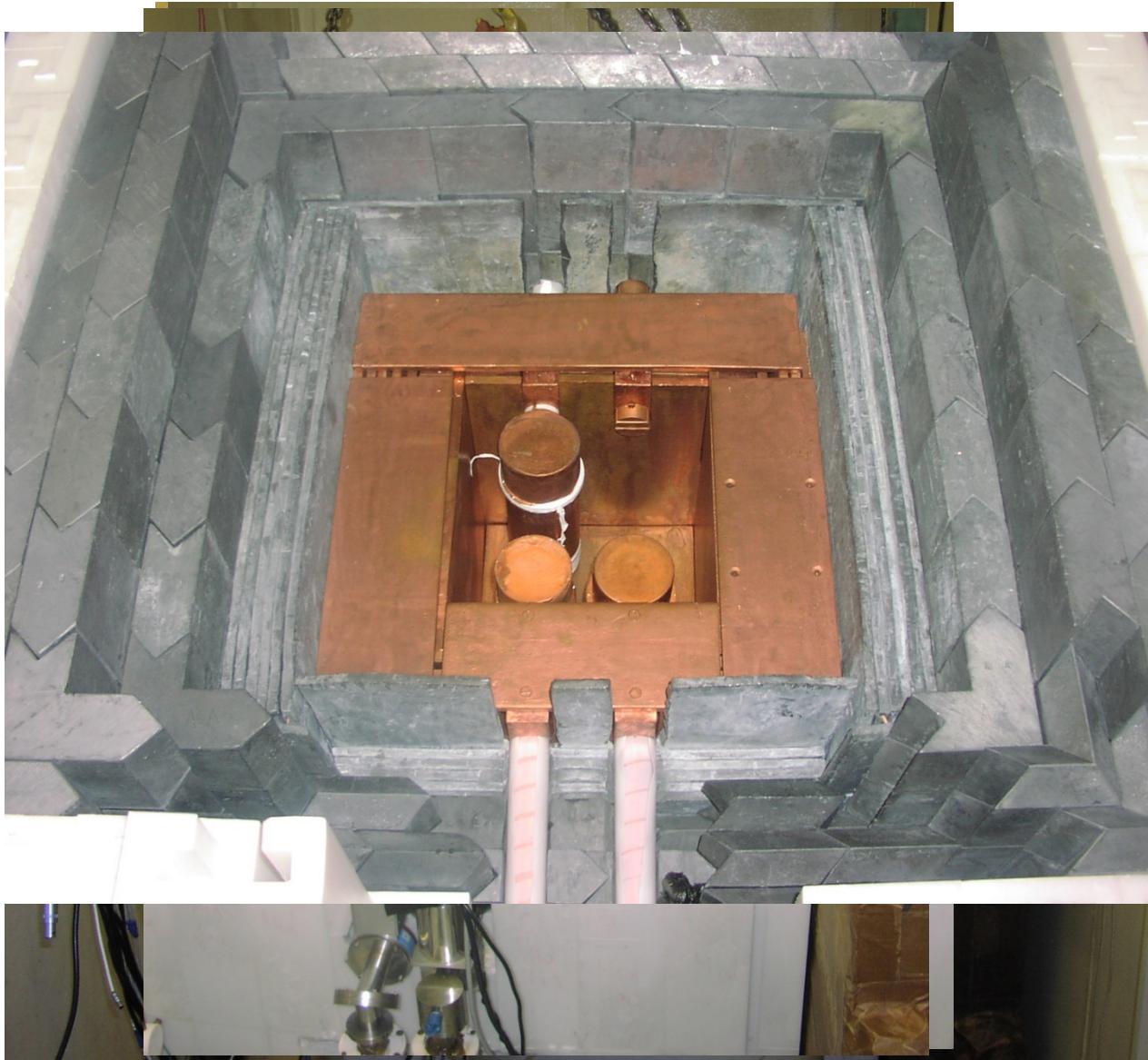
IGEX-Baksan

in operation

from 1991 -> up to now



IGEX – Baksan



IGEX – Baksan

+

IGEX - Canfranc



6.8 kg of enriched Ge detectors

8.5 kg yrs of data

0.17 Counts/(kg keV y) around 2040 keV

$T_{1/2} \geq 1.6 * 10^{25}$ years (90% C.L.)

Aalseth et al.,
Phys.Rev.D 65
(2002)092007

IGEX – Baksan
1991 -> now



IGEX – Canfranc
1993- 2000



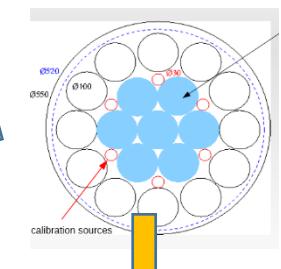
GERDA - I
2006 - 2013



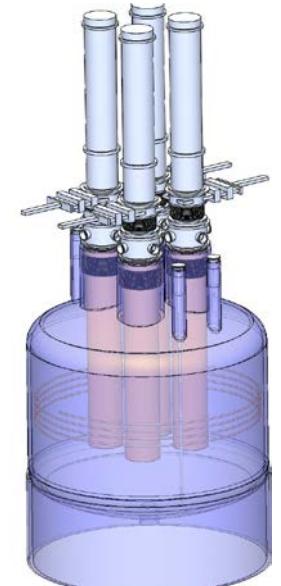
GERDA - II
2014 - 2019 ->



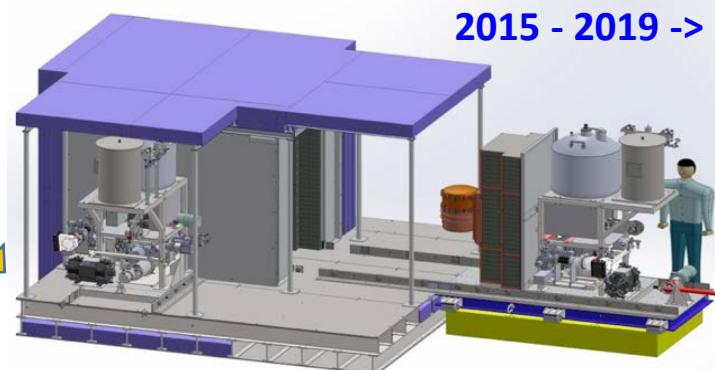
LEGEND - 200
2020 ->



LEGEND – 1 ton



MAJORANA - D
2015 - 2019 ->



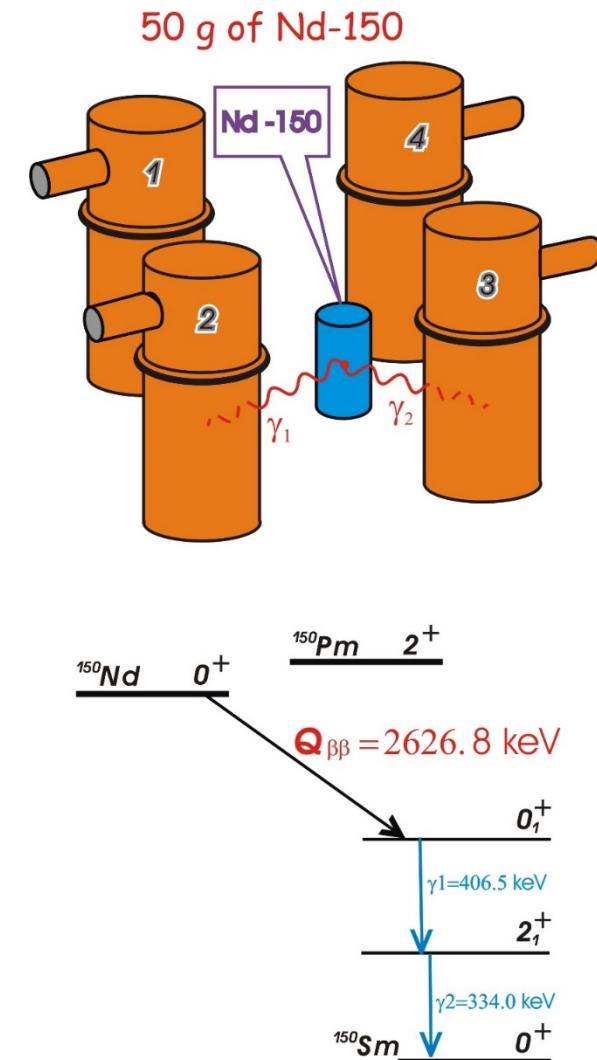
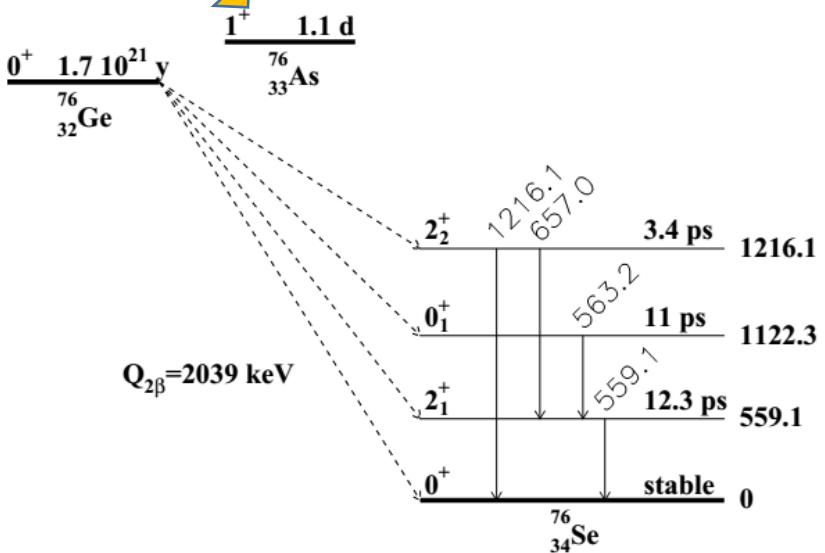
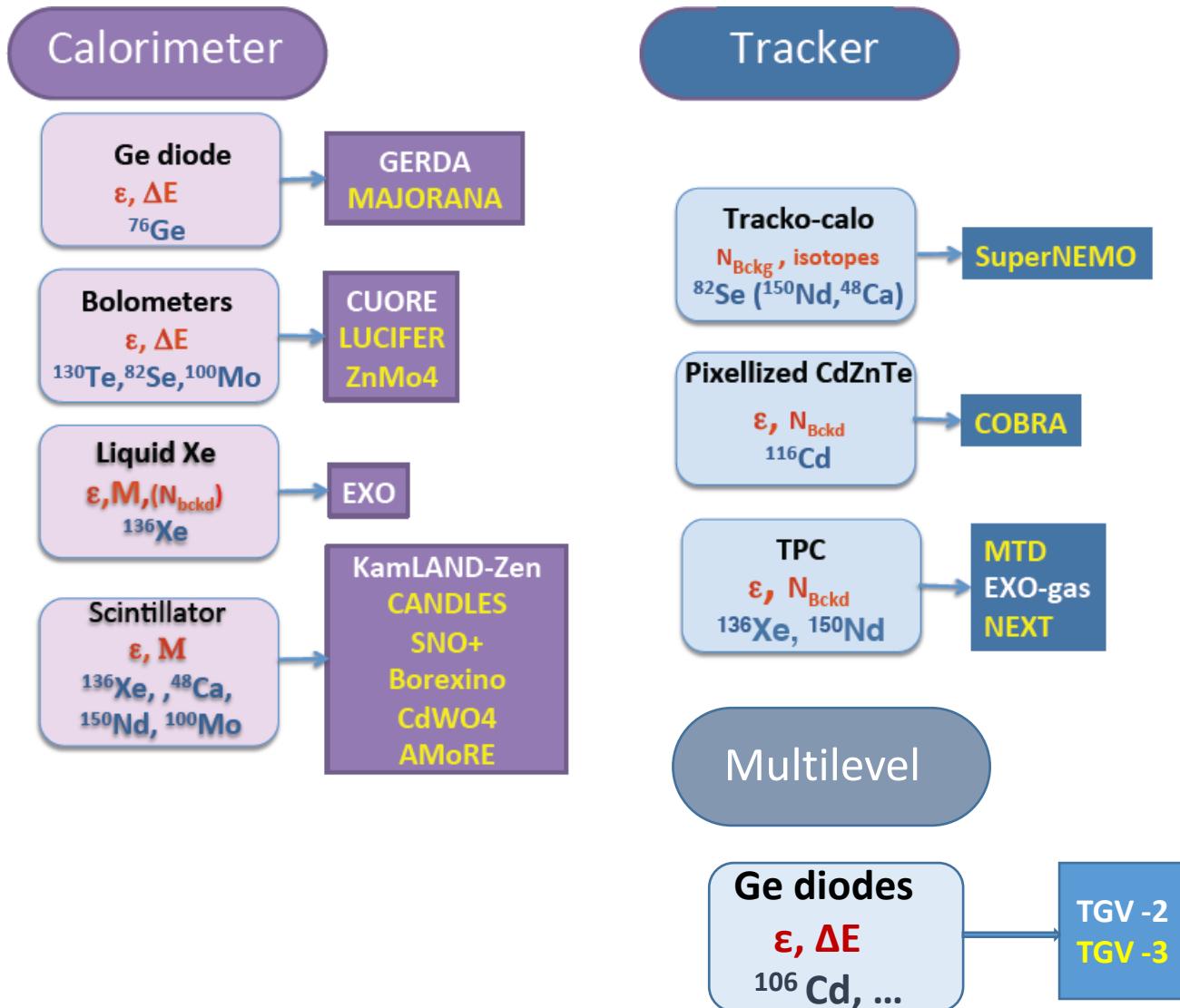


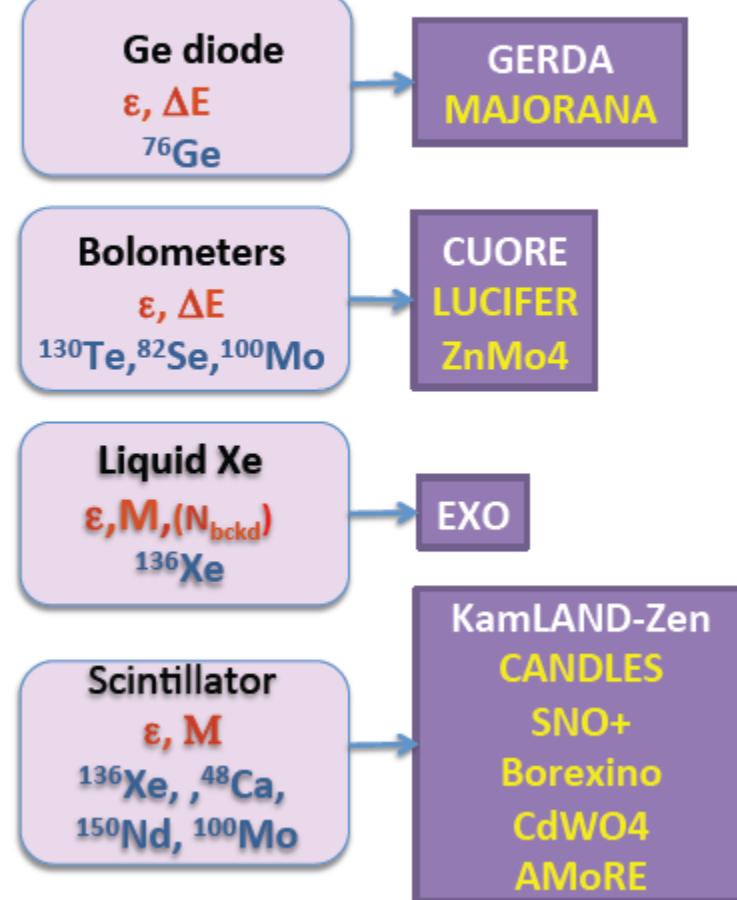
Fig. 1. Lowest energy levels of ^{76}Se which can be populated in the double beta-decay of ^{76}Ge . The energies of the excited states and of the de-excitation γ -rays are given in keV [21].

Available experimental techniques:

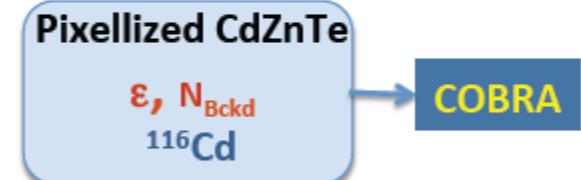
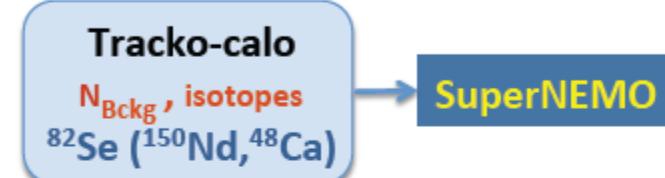
Ionization detectors, Scintillation detectors, Time Projection Chambers, Cryogenic bolometers, ...



Calorimeter



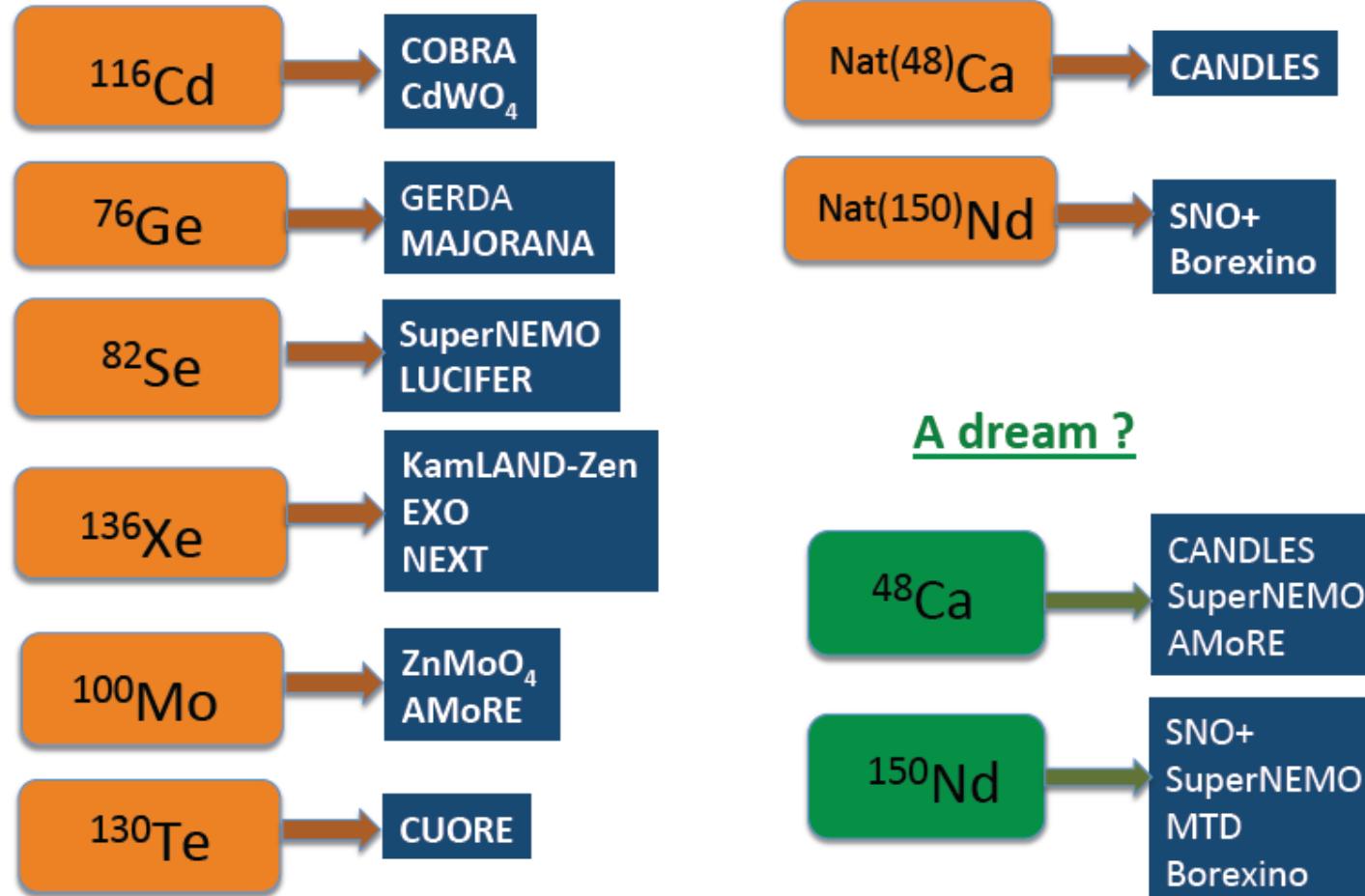
Tracker



Multilevel



Presently used isotopes



No favorite isotope / experimental techniques

Several experiments using different isotopes and methods **are needed**

Completed, operating, under construction and R&D

Experiment	Isotope	Technique	Mass $\beta\beta(0\nu)$ isotope	Status
CUORICINO	130Te	TeO ₂ Bolometer	10 kg	Complete
NEMO3	100Mo/82Se	Foils with tracking	6.9/0.9 kg	Complete
GERDA I	76Ge	Ge diodes in LAr	15 kg	Complete
EXO200	136Xe	Xe liquid TPC	160 kg	Operating
KamLAND-ZEN	136Xe	2.7% in liquid scint.	380 kg	Operating
CUORE-0	130Te	TeO ₂ Bolometer	11 kg	Operating
GERDA II	76Ge	Point contact Ge in LAr	15+30kg	Operating
Majorana D	76Ge	Point contact Ge	30 kg	Operating
CUORE	130Te	TeO ₂ Bolometer	206 kg	Construction
SNO+	130Te	0.3% natTe suspended in Scint	55 kg	Construction
NEXT-100	136Xe	High pressure Xe TPC	80 kg	Construction
SuperNEMO D	82Se	Foils with tracking	7 kg	Construction
CANDLES	48Ca	305 kg of CaF ₂ crystals - liq. scint	0.3 kg	Construction
LUCIFER	82Se	ZnSe scint. bolometer	18 kg	Construction
1T Ge - LEGEND	76Ge	Best technology from GERDA and MAJORANA	~ tonne	R&D
CUPID	-	Hybrid Bolometers	~ tonne	R&D
nEXO	136Xe	Xe liquid TPC	~ tonne	R&D
SuperNEMO	82Se	Foils with tracking	100 kg	R&D
AMoRE	100Mo	CaMoO ₄ scint. bolometer	50 kg	R&D
MOON	100Mo	Mo sheets	200 kg	R&D
COBRA	116Cd	CdZnTe detectors	10 kg/183 kg	R&D
CARVEL	48Ca	48CaWO ₄ crystal scint.	~ tonne	R&D
DCBA	150Nd	Nd foils & tracking chambers	20 kg	R&D

Table adopted from presentation of O.Cremonesi at TAUP-2015

Current results (limits on $0\nu\beta\beta$)

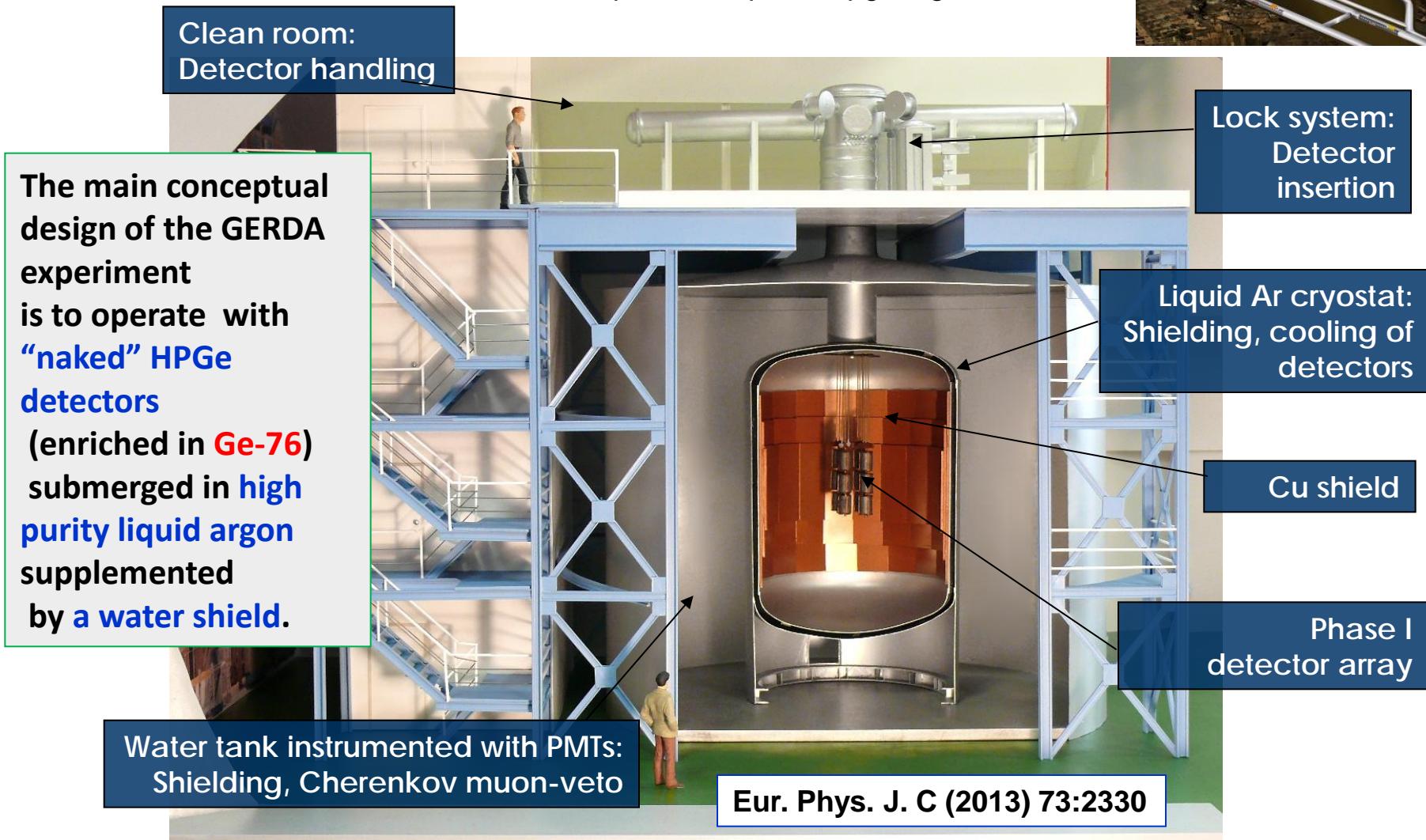
Isotope	Experiment	Technique	Mass	$T_{1/2}^{0\nu}$ (90%) limit [y]	$m_{\beta\beta}$ limit [eV]
⁴⁸ Ca	CANDLES	Scintillation	0.01 kg	$> 5.8 \times 10^{22}$	$< 3.55 - 9.91$
⁷⁶ Ge	GERDA I+II-A	Ionisation	17/36 kg	$> 5.3 \times 10^{25}$	$< 0.14 - 0.33$
⁸² Se	NEMO-3	Tracko-calorimeter	930 g	$> 3.2 \times 10^{23}$	$< 0.85 - 2.08$
⁹⁶ Zr	NEMO-3	Tracko-calorimeter	9.43 g	$> 9.2 \times 10^{21}$	$< 3.97 - 14.4$
¹⁰⁰ Mo	NEMO-3	Tracko-calorimeter	6.9 kg	$> 1.1 \times 10^{24}$	$< 0.33 - 0.62$
¹¹⁶ Cd	Solotvina	Scintillation	80 g	$> 1.7 \times 10^{23}$	$< 1.22 - 2.30$
¹³⁰ Te	CUORE-0+cino	Bolometer	~ 20 kg	$> 4.0 \times 10^{24}$	$< 0.23 - 0.48$
¹³⁶ Xe	EXO-200	Liquid TPC	~ 110 kg	$> 1.1 \times 10^{25}$	$< 0.17 - 0.43$
¹³⁶ Xe	KamLAND-Zen	Scintillation	~ 500 kg	$> 1.1 \times 10^{26}$	$< 0.06 - 0.161$
¹⁵⁰ Nd	NEMO-3	Tracko-calorimeter	36.5 g	$> 2.0 \times 10^{22}$	$< 2.23 - 8.21$



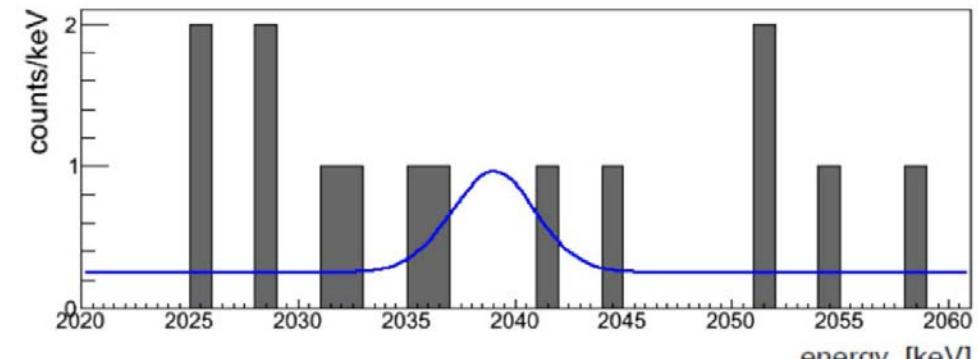
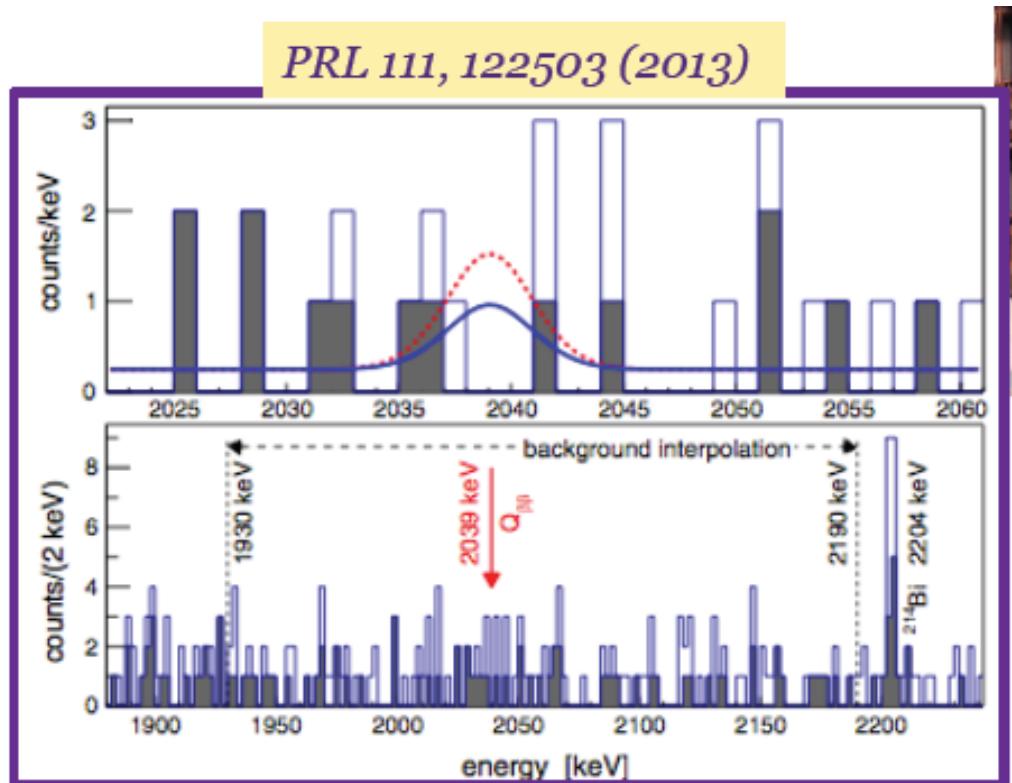
GERDA: the GERmanium Detector Array

Neutrinoless Double Beta Decay Experiment

<http://www.mpi-hd.mpg.de/gerda/>



Results of GERDA Phase I



profile likelihood (PL) fit:

$$\text{signal} = a \cdot \text{flat background} + b \cdot \text{line}$$

→ best fit: $N^{0\nu} = 0$; upper limit: $N^{0\nu} < 3.5$ (90% CL)

→ half life limit $T_{1/2}(0\nu\beta\beta) > 2.1 \times 10^{25}$ yr (90% C.L.)

$$T_{1/2} > 2.1 \times 10^{25} \text{ years}$$

M. Agostini *et al.*, (GERDA Collaboration), Phys. Rev. Lett. 111, 122503 (2013).

GERDA:

Phase II—started Dec 2015

7 strings of HPGe detectors deployed:
37 detectors enriched in ^{76}Ge (**35.8 kg**)
3 natural detectors (7.6 kg)

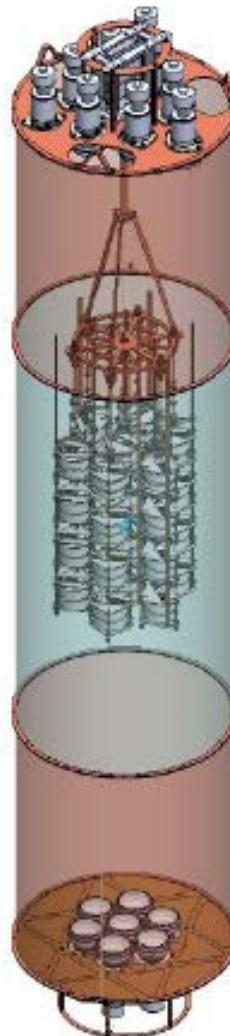


Liquid Argon veto for GERDA Phase II

LAr veto



9 off 3" PMTs



7 off 3" PMTs



copper shroud lined
with reflecting TPB
coated Tetratex



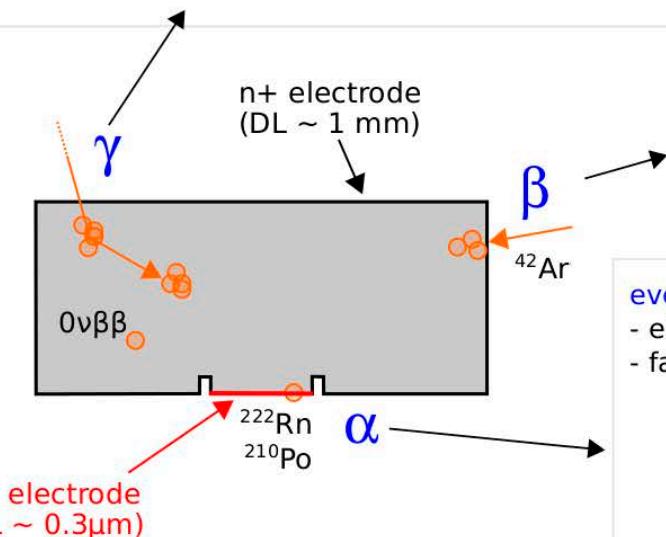
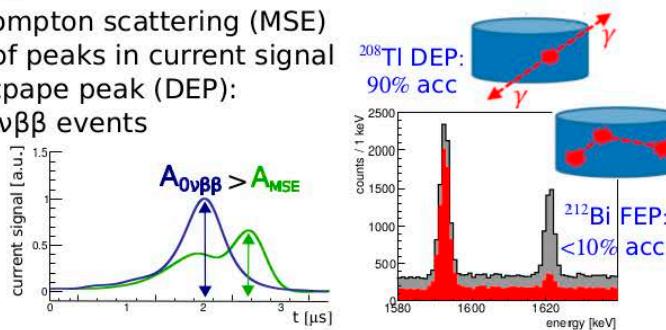
TPB coated
fiber shroud
with SiPMs



GERDA Phase II - PSD of BEGe

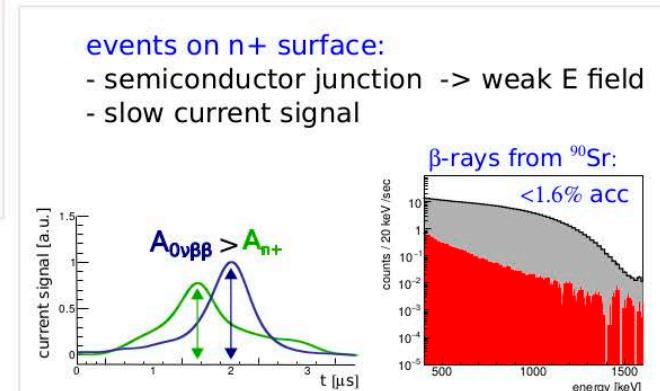
γ interactions:

- multiple Compton scattering (MSE)
- sequence of peaks in current signal
- Double escape peak (DEP): proxy for $0\nu\beta\beta$ events



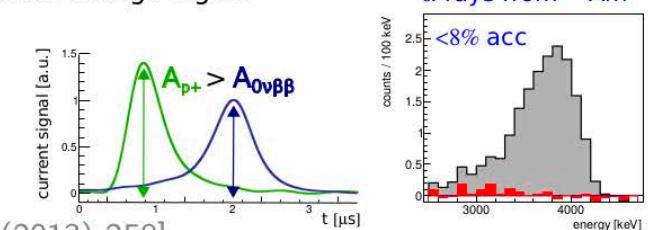
events on n+ surface:

- semiconductor junction \rightarrow weak E field
- slow current signal



events on p+ electrode:

- electron drift faster than holes
- faster charge signal



[JINST 6 2011 P03005, JINST 4 2009 P10007, EPJC 73 (2013) 258]

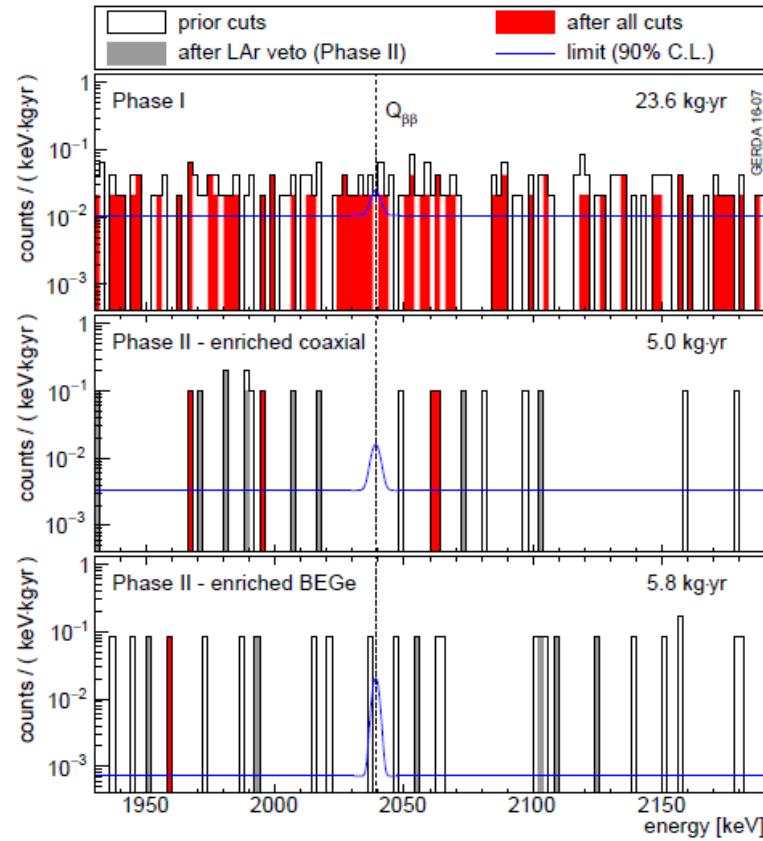
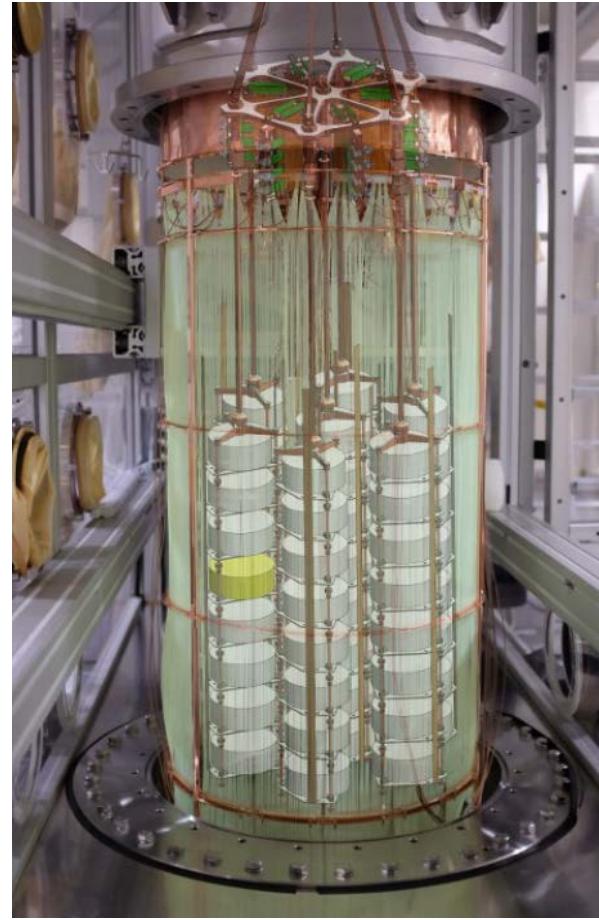


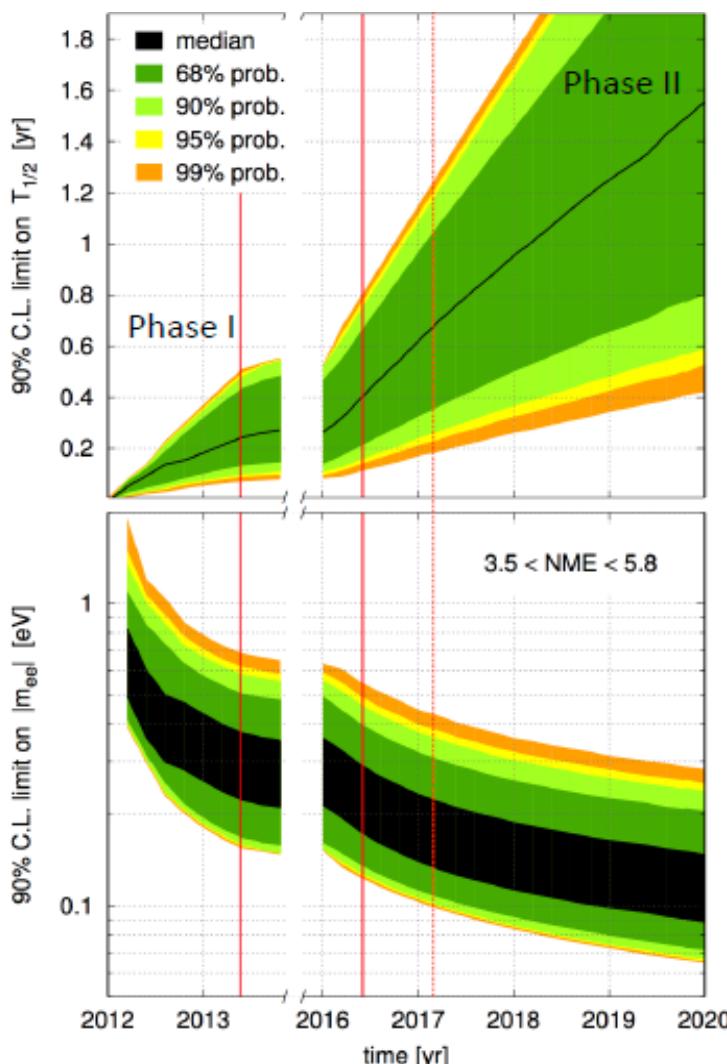
FIG. 4. Combined Phase I data (top), Phase II coaxial (middle) and BEGe detector spectra (bottom) in the analysis window. The binning is 2 keV. The exposures are given in the panels. The red histogram is the final spectrum, the filled grey one without pulse shape discrimination and the open one in addition without argon veto cut. The blue line is the fitted spectrum together with a hypothetical signal corresponding to the 90% C.L. limit of $T_{1/2}^{0\nu} > 5.3 \cdot 10^{25}$ yr.



**The best fit yields
zero signal events and a 90% C.L.
limit of 2.0 events in 34.4 kgyr
total exposure
or $T_{1/2} > 5.3 \times 10^{25}$ yr**

M. Agostini *et al.*,
(GERDA Collaboration),
Nature, 21717 (2017).

GERDA-Phasell: first **background-free** $0\nu\beta\beta$ experiment



Phase I achievements

background	$\sim 10^{-2}$ cts/(keV · kg · yr)
exposure	21.6 kg · yr
limit	$T_{1/2}^{0\nu} > 2.1 \cdot 10^{25}$ yr (90% CL) [Phys.Rev.Lett. 111 (2013) 122503]

first Phase II achievements

background	$\sim 10^{-3}$ cts/(keV · kg · yr)
exposure	10.8 kg · yr (34.4 kg · yr)*
limit	$T_{1/2}^{0\nu} > 5.3 \cdot 10^{25}$ yr (90% CL) $m_{\beta\beta} < 0.15 - 0.33$ eV (90% CL) [arXiv:1703.00570; acc. Nature]

Phase II goals

background	$\sim 10^{-3}$ cts/(keV · kg · yr)
exposure	$\gtrsim 100$ kg · yr
sensitivity	$T_{1/2}^{0\nu} \gtrsim 10^{26}$ yr

LEGEND (Large Enriched Germanium Experiment for Neutrinoless $\beta\beta$ Decay)

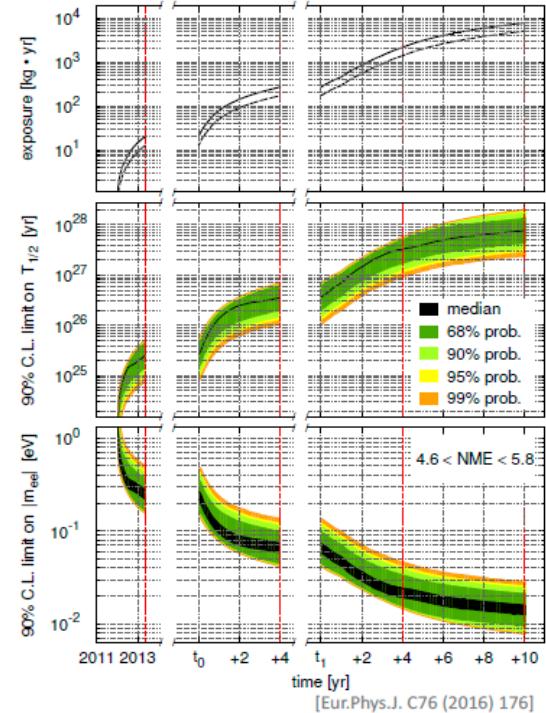
- LEGEND (Large Enriched Germanium Experiment for Neutrinoless $\beta\beta$ Decay) collaboration has been formed in October 2016
 - 219 members, 48 institutions, 16 countries
 - www.legend-exp.org



LEGEND goals

background	$\sim 10^{-4}$ cts/(keV · kg · yr)
detector mass	$O(1t)$
discovery potential(!)	$T_{1/2}^{0\nu} > 10^{27}$ yr

- first stage: 200 kg in upgrade of existing infrastructure at LNGS



[Eur.Phys.J. C76 (2016) 176]



First stage:

- (up to) 200 kg in upgrade of existing infrastructure at LNGS
- bgd reduction by factor 3-5 w.r.t GERDA



Subsequent stages:

- 1000 kg (staged)
- timeline connected to DOE down select process
- Bgd factor 30 w.r.t GERDA
- Location tbd
- Required depth (Ge-77m) under investigation



NEMO 3



Tracking detector: drift chambers (6180 Geiger cells)

$\sigma_t = 5 \text{ mm}$, $\sigma_z = 1 \text{ cm}$ (vertex)

Calorimeter (1940 plastic scintillators and PMTs)

Energy Resolution FWHM=8 % (3 MeV)

Identification e^-, e^+, γ, α

Very high efficiency for background rejection

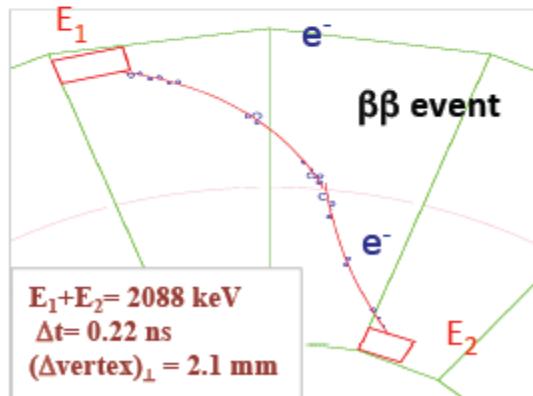
Background level @ $Q_{\beta\beta}$ [2.8 – 3.2 MeV] : $1.2 \cdot 10^{-3} \text{ cts/keV/kg/y}$

Multi-isotope (7 measured at the same time)

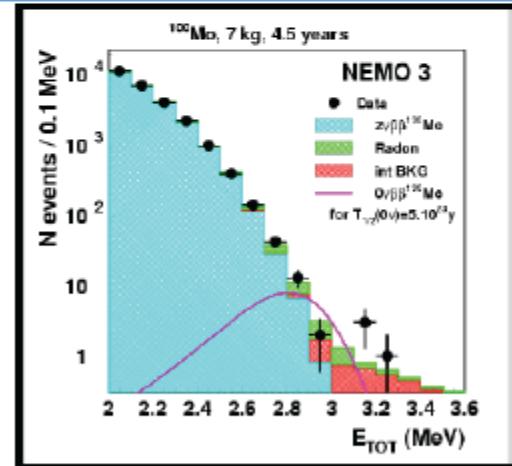
Running at Modane underground laboratory (2003 - 2011)

Unique feature

Measurement of all kinematic parameters:
individual energies and angular distribution



Measurement of 7 isotopes $\beta\beta(2\nu)$ half-lives
Excited states, Majoron limits for $\beta\beta(0\nu)$



[2.8 – 3.2] MeV 18 observed events, 16.4 ± 1.3 expected

^{100}Mo $T_{1/2} (\beta\beta 0\nu) > 1.0 \cdot 10^{24} \text{ y}$ (90% C.L.)

$\langle m_\nu \rangle < 0.31 - 0.79 \text{ eV}$

Cryogenic Underground Observatory for Rare Events

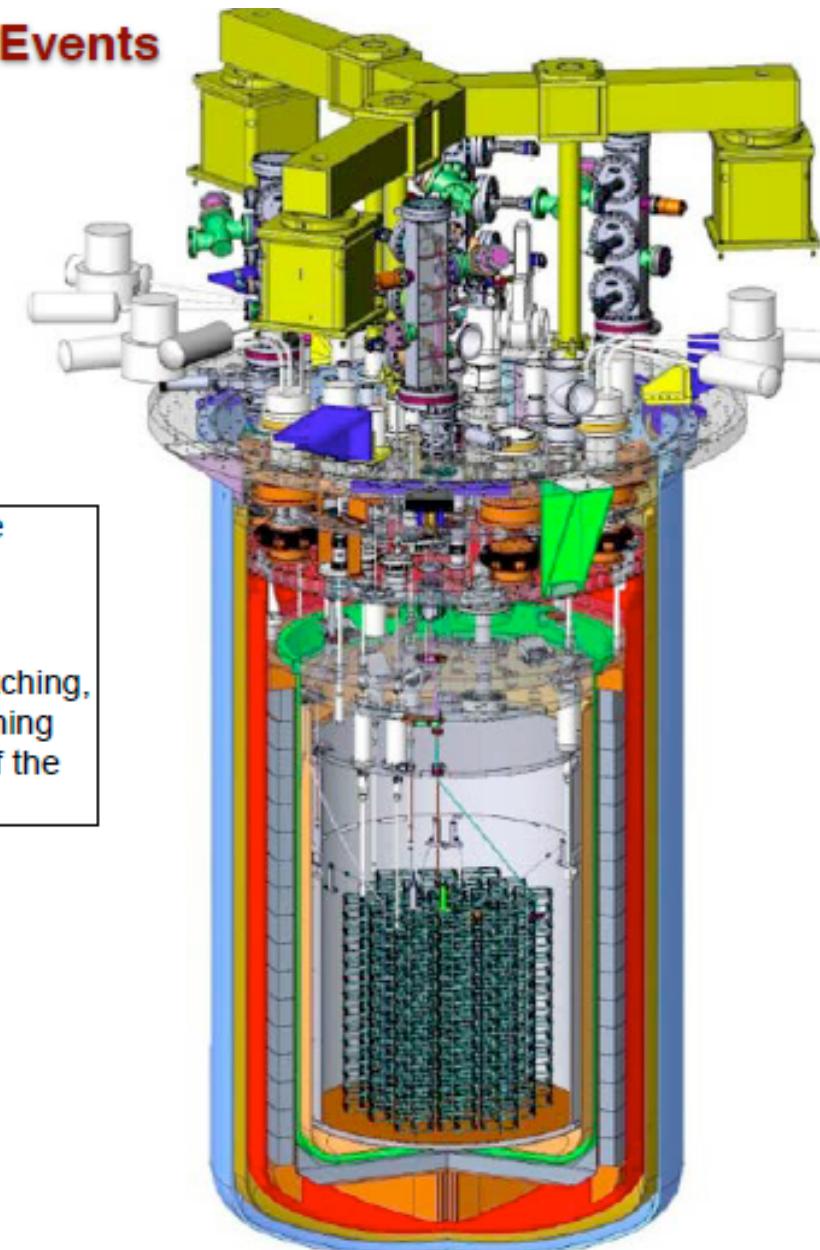
CUORE detector

- 988 TeO₂ crystals run as a bolometer array
 - 5x5x5 cm³ crystal, 750 g each
- 19 Towers; 13 floors; 4 modules per floor
 - 741 kg total - 206 kg ¹³⁰Te
 - 10²⁷ ¹³⁰Te nuclei
- Excellent energy resolution of bolometers
- Radio-pure material and clean assembly to achieve low background at ROI

- strict radiopurity control protocol to limit bulk and surface contaminations in crystal production
- transportation at sea level to LNGS
- bolometric test to check performances and radio-purity
- TECM protocol (Tumbling, Electropolishing, Chemical etching, and Magnetron plasma etching) for copper surface cleaning
- limited exposure to cosmic rays: underground storage of the copper parts in between production and cleaning

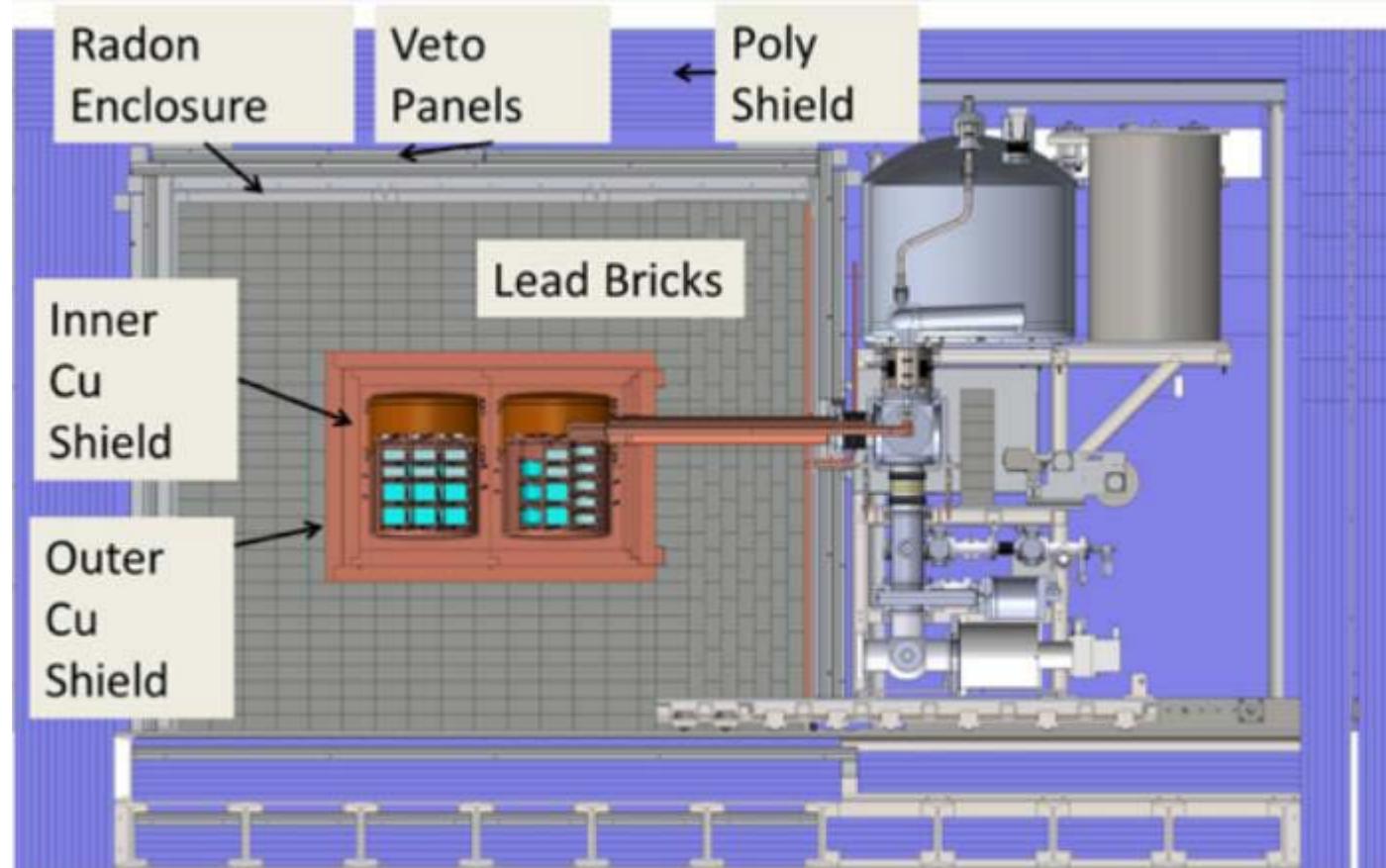
Complex cryogenic set-up

- Fully cryogen-free system:
 - custom cryostat
 - 5 pulse tubes
 - a powerful dilution refrigerator and
- ~10 mK operating temperature
- Independent suspension of the detector array
- An embedded detector calibration system
- Radio-pure materials
- Heavy low temperature shield



Majorana Demonstrator

started in 2015



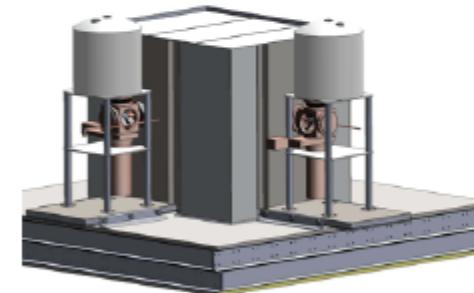
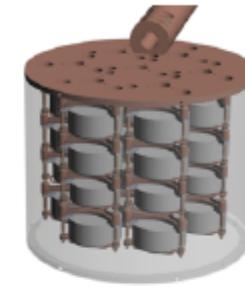


MAJORANA

^{76}Ge offers an excellent combination of capabilities & sensitivities.

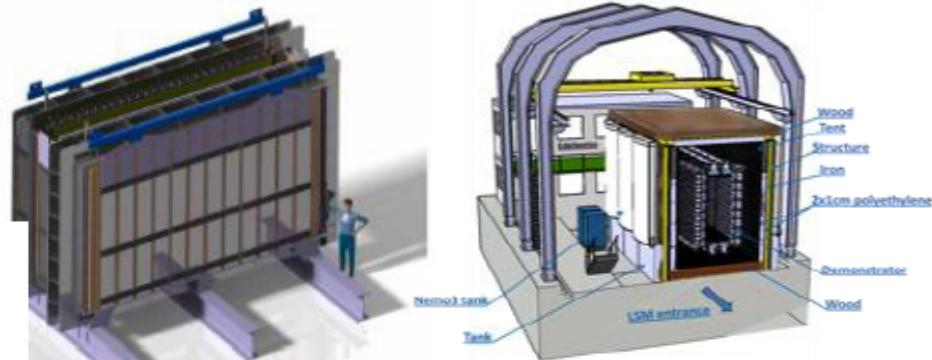
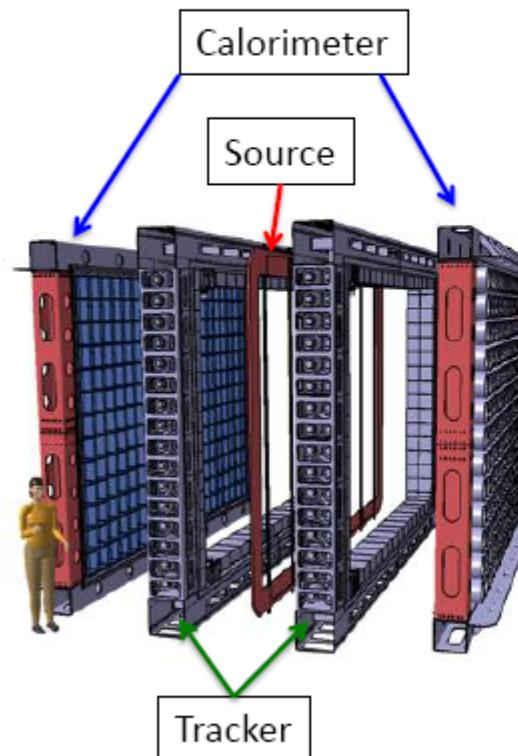
(Excellent energy resolution, intrinsically clean detectors, commercial technologies, best $0\nu\beta\beta$ sensitivity to date)

- 40-kg of Ge detectors
 - Up to 30-kg of 86% enriched ^{76}Ge crystals required for science and background goals
 - Examine detector technology options focus on point-contact detectors for DEMONSTRATOR
- Low-background Cryostats & Shield
 - ultra-clean, electroformed Cu
 - naturally scalable
 - Compact low-background passive Cu and Pb shield with active muon veto
- Agreement to locate at 4850' level at Sanford Lab
- Background Goal in the $0\nu\beta\beta$ peak ROI(4 keV at 2039 keV)
~ 3 count/ROI/t-y (after analysis cuts) (scales to 1 count/ROI/t-y for tonne expt.)

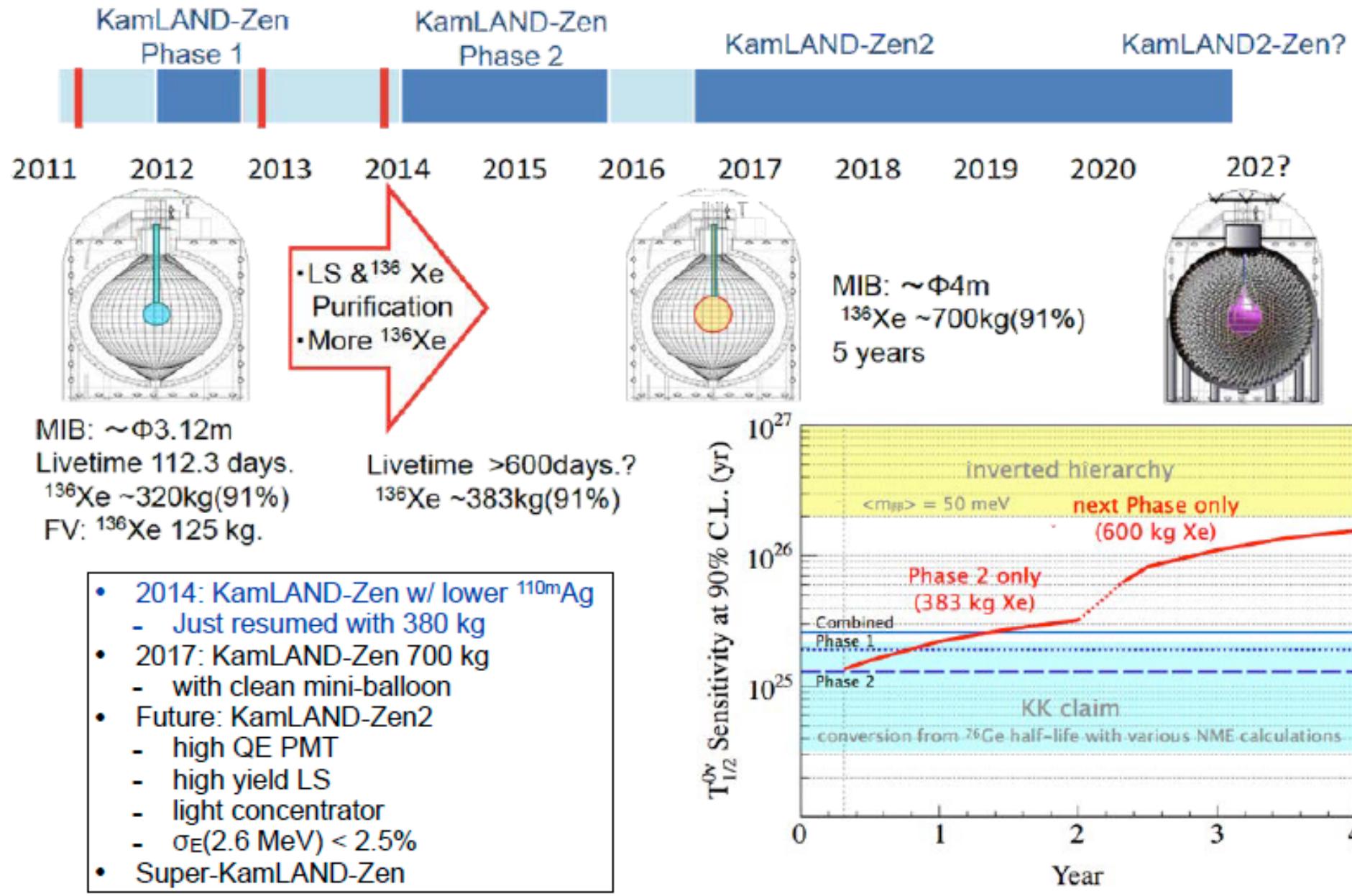


Plan to start in 2017

Super NEMO Demonstrator Design



- Ultra low background detector
- Modular detector with 3 main components :
 - Central source foil frame : 7 kg of isotope
 - Tracking : 2 000 drift chambers
 - Calorimeter : 712 scintillators+ PMTs
- Shielded by iron (300 tons) and water
- Installed at LSM (Modane Underground Laboratory – 4800 m.w.e.)



CUORE

Successor of Cuoricino will start at LNGS soon

19 towers array of 988 TeO_2 5 cm cubic detectors at $\sim 10 \text{ mK}$

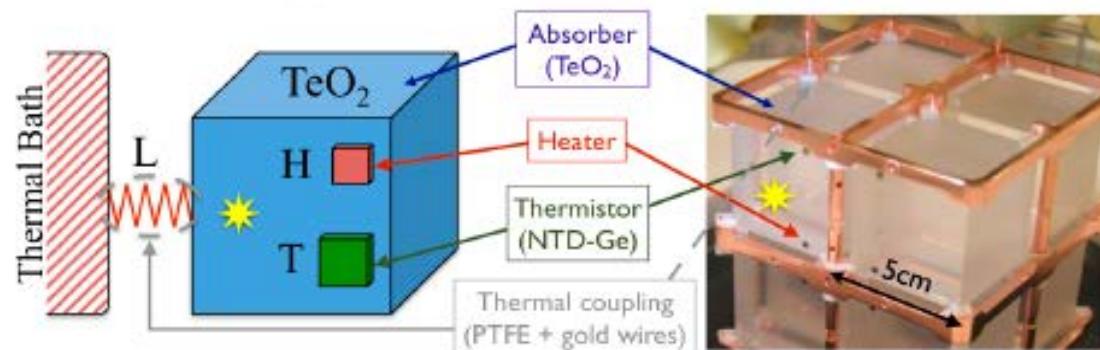
A total mass of 206 kg of ^{130}Te

Energy resolution of 5 keV FWHM
at $Q_{\beta\beta} = 2530 \text{ keV}$

Background goal: $10^{-2} \text{ cts}/(\text{keV}\cdot\text{kg}\cdot\text{y})$



Sensitivity after 5 y: $T_{1/2}^{0\nu} > 9.5 \times 10^{25} \text{ y}$
 $|m_{\beta\beta}| < 50 - 130 \text{ meV}$



CUPID

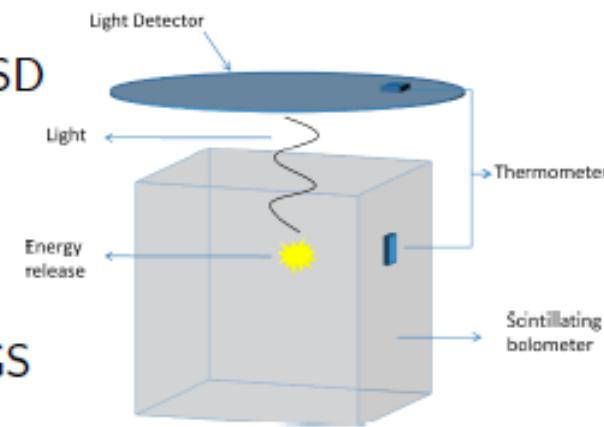
CUORE Upgrade with Particle IDentification

to further reduce backgrounds with $\beta/\gamma - \alpha$ PSD
and investigate the IH band

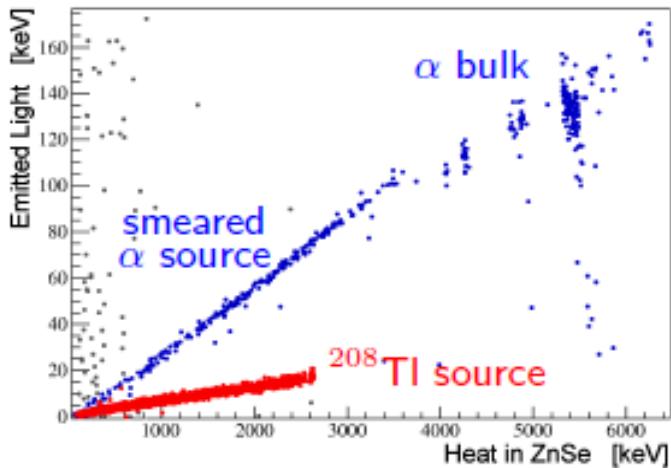
Scintillating bolometers:

TeO_2 , ZnMoO_4 , ZnSe , CdWO_4 arXiv:1504.03599

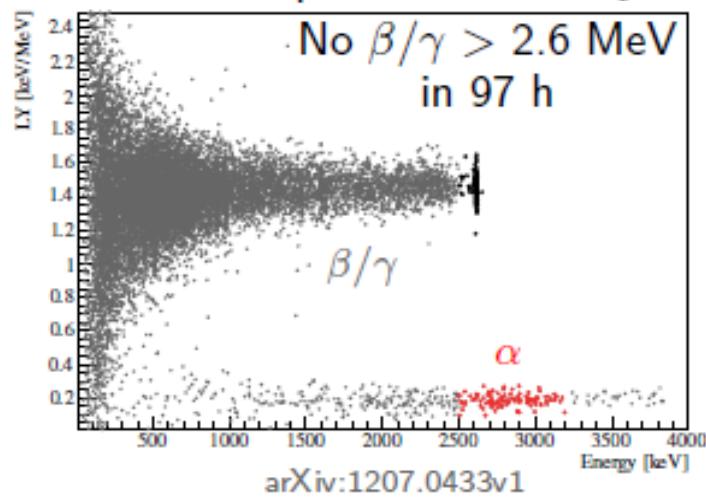
R&D with Lucifer & Lumineu at LSM and LNGS



PSD example with ZnSe



PSD example with ZnMoO_4



arXiv:1207.0433v1

Nearest future

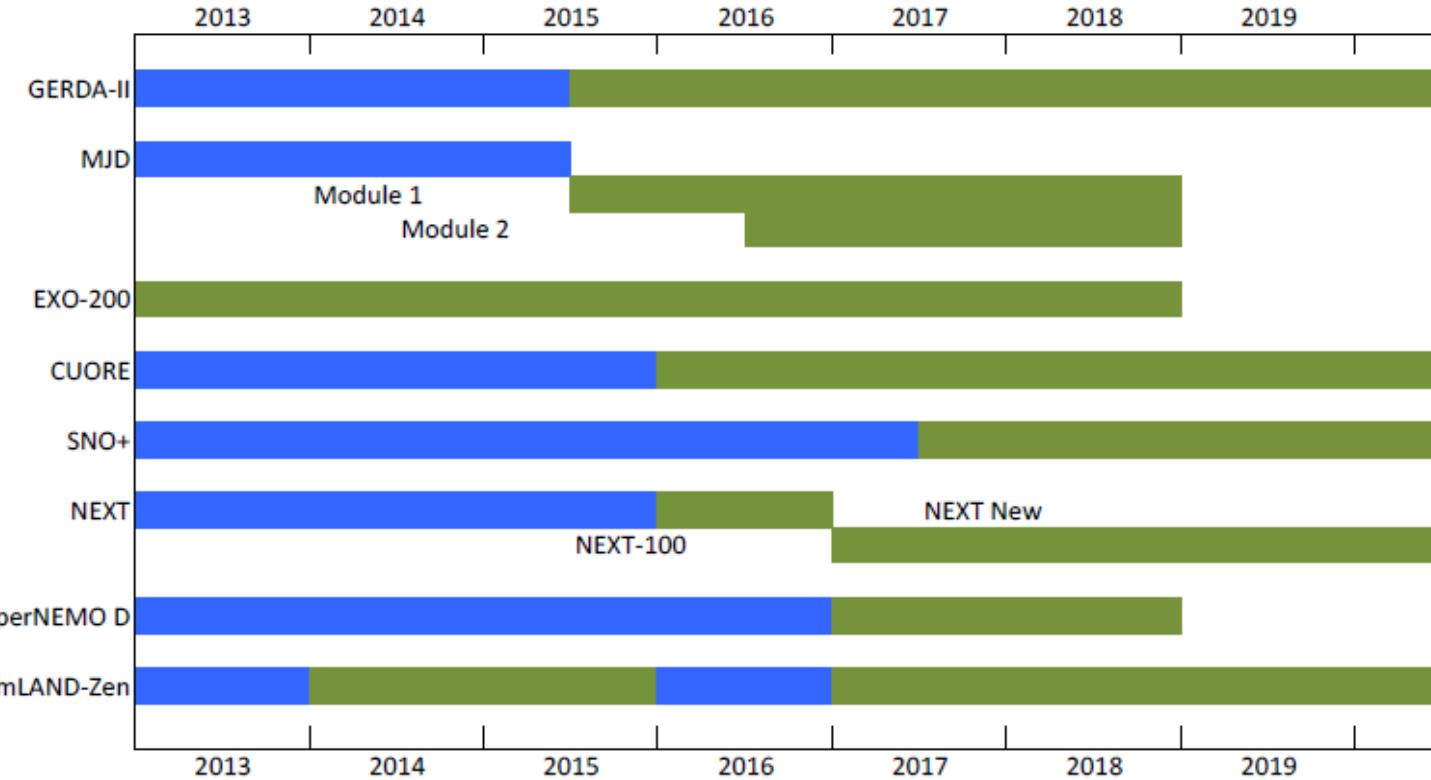
Current experiments							
		mass [kg]* (total/FV)	FWHM [keV]	background& [cnt/mol yr FWHM]	$T_{1/2}$ limit [10^{25} yr] after 4 yr	$\langle m_{ee} \rangle$ limit [meV]	date
Gerda II	Ge	35/27	3	0.0004	15	80-190	-2019
MajoranaD	Ge	30/24	3	0.0004	15	80-190	-2019
EXO-200	Xe	170/80	88	0.03	6	80-220	-2019
Kamland-Zen	Xe	383/88 (600/?)	250	0.03	20	44-120	-2018
NEXT	Xe	100/80	17	0.0036	6	100-200	-2020
Cuore	Te	600/206	5	0.02	9	50-200	-2019
SNO+	Te	2340/160	270	0.02	9	50-200	-2020

* total= element mass, FV= $0\nu\beta\beta$ isotope mass in fiducial volume (incl enrichment fraction)

& mol of $0\nu\beta\beta$ isotope in active volume and divided by $0\nu\beta\beta$ efficiency

Note: values are design numbers except for EXO-200 and Kamland-Zen

Ge experiments have lowest background → similar sensitivity despite small mass



Far future

-> Proposals for ton scale experiments

Next generation experiment with sensitivities $T_{1/2} \sim 10^{27}$ - 10^{28} yr

Isotope	Experiment	Description
^{76}Ge	LEGEND (GERDA & MAJORANA)	Large Scale Ge, O(tonne) HPGE crystals
^{82}Se	SuperNEMO	Se foils, tracking and calorimeter, 100 kg scale
^{136}Xe	nEXO	Liquid TPC, 5 tonnes
	NEXT/BEXT	High pressure gas TPC, tonne scale
	KamLAND2-Zen	^{136}Xe in scintillator
^{130}Te	CUPID	Bolometers with light sensor (also ^{82}Se , ^{116}Cd , ^{100}Mo)
	SNO+ II	^{130}Te in scintillator

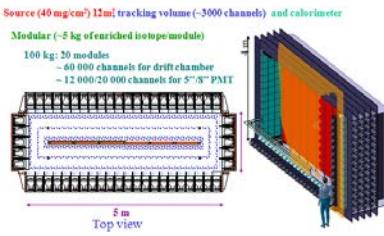
**Many other experimental R&D efforts
that can not be discussed in detail:**

Lucifer – phonons and scintillation

COBRA – pixelized CdZnTe semiconductor detector,

SuperNEMO – full scale,

SNO+, Moon, DCBA, NEXT,.....



Super NEMO



COBRA



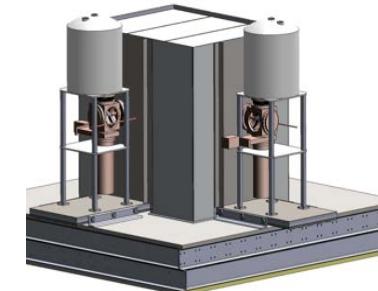
NEXT



LUCIFER

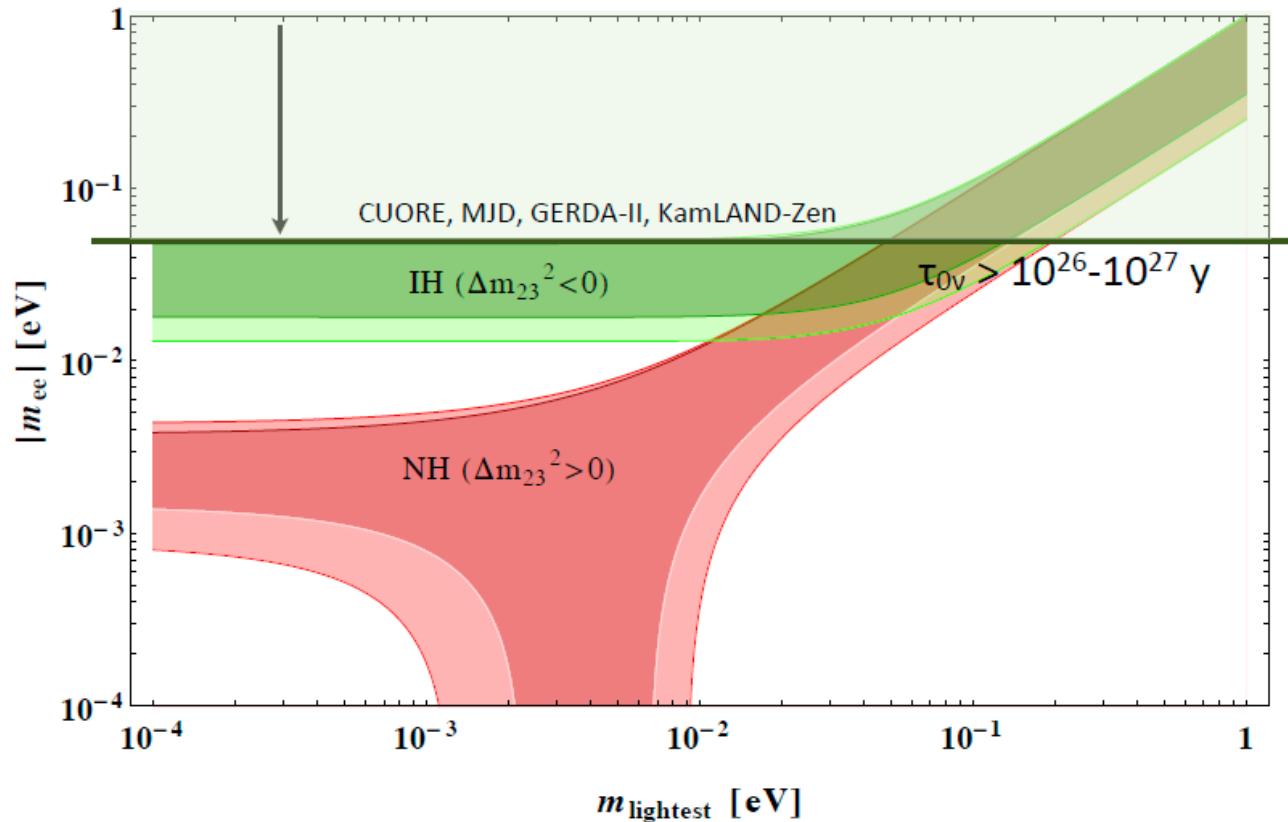


SNO+

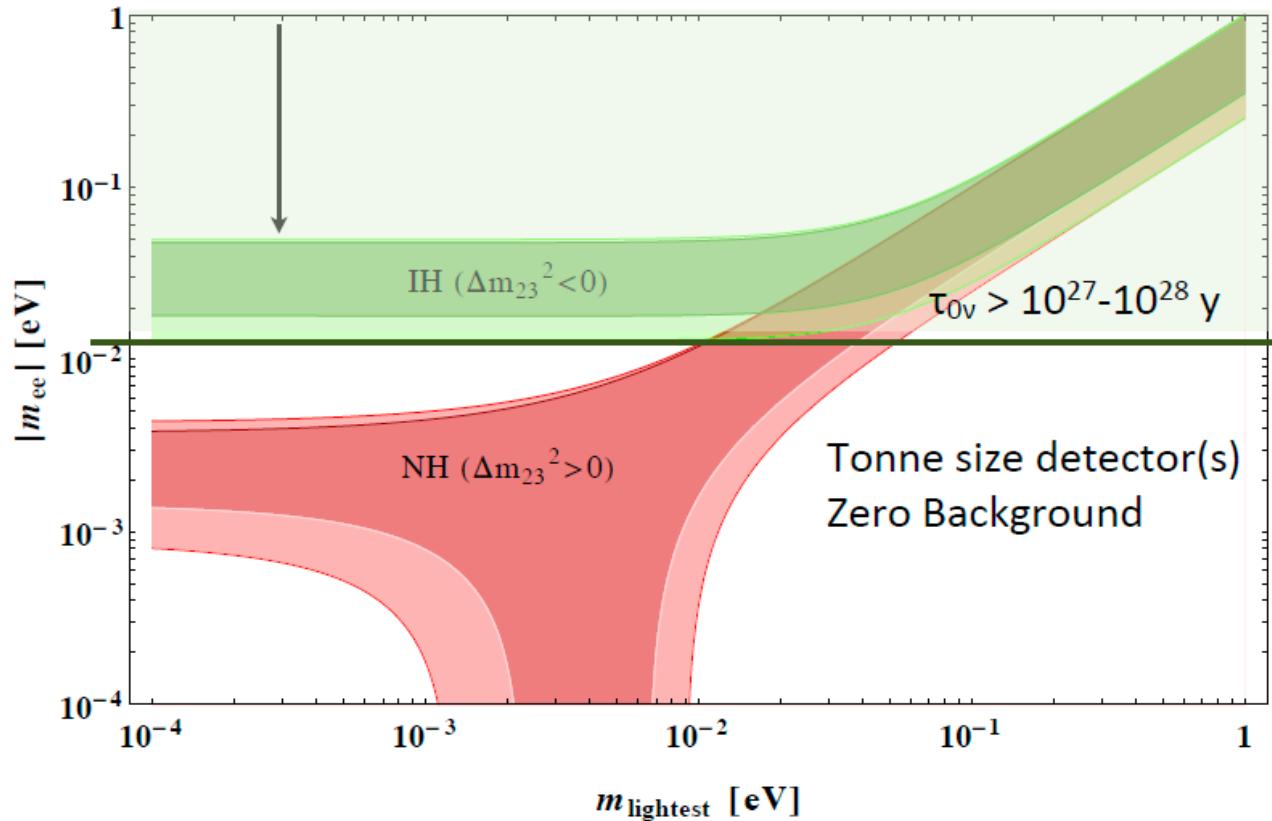


MAJORANA

Status: near future

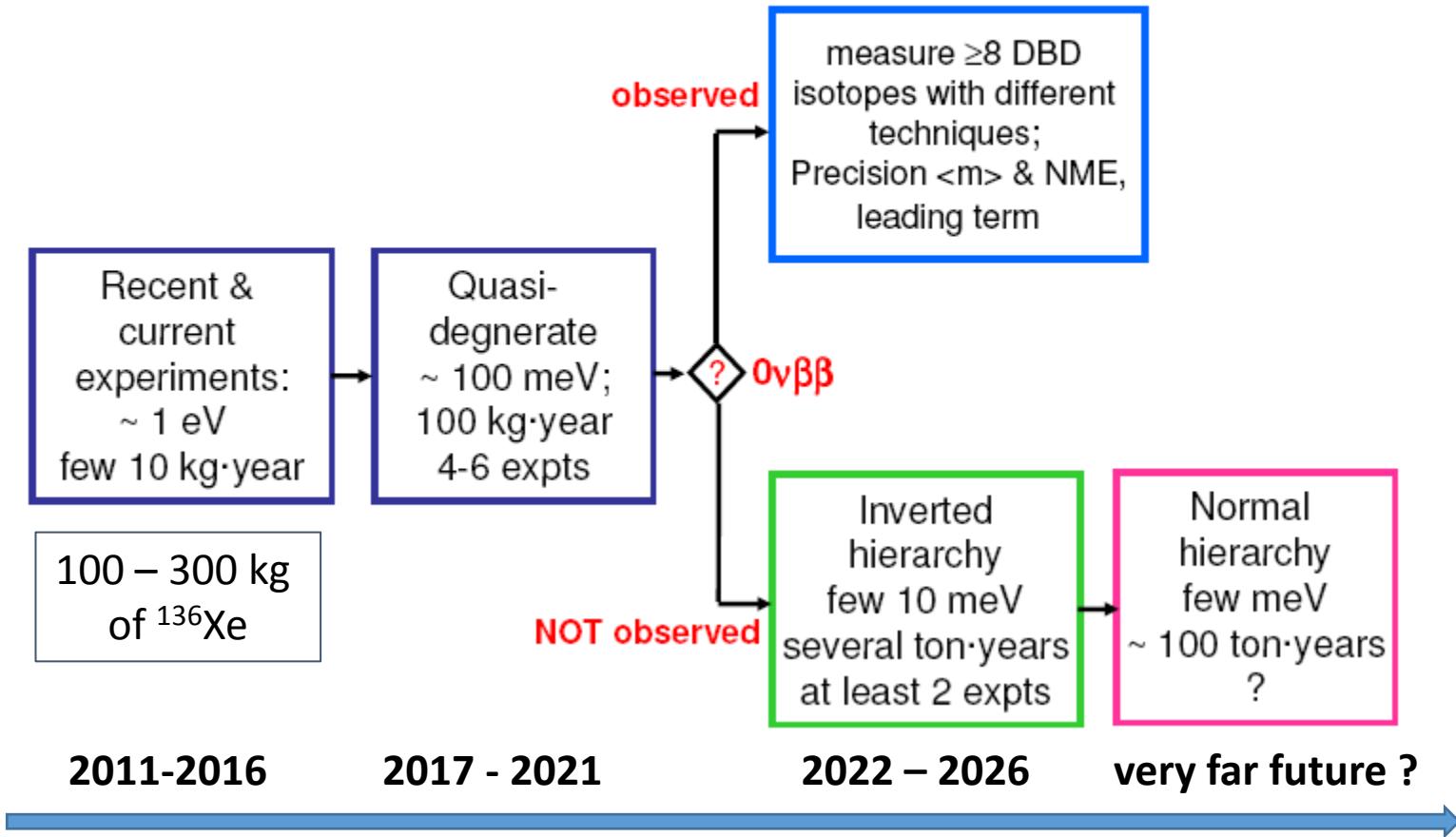


Future challenge



Summary

$0\nu\beta\beta$ experimental strategy during the next decade



Controversy S. Schönert, NME WS, LNGS Nov. 2011

Conclusion

New sensitive experiments are starting operation ->
-> new important information is expected

5 experiments have reached $[m_{\beta\beta}] < 1$ eV sensitivity with 4 isotopes:
GERDA - EXO - KamLAND-Zen – CUORE, NEMO

Upgrades and new experiments coming to investigate
the inverted hierarchy region of $[m_{\beta\beta}] < 10 - 50$ meV

Extra slides