Recherche de la double désintégration bêta sans émission de neutrinos par l'expérience GERDA: résultats et perspectives futures

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LAL Orsay 20.01.15



## Je me présente

- 2010: PhD at Università degli Studi dell'Aquila INFN. Title of the thesis: Search for anisotropies in the arrival directions of UHECRs detected by the Pierre Auger Observatory. Study of anisotropy patterns in the arrival directions of Auger data.
- 2010-2012: Postdoc (CDD chercheur) at LPNHE-Paris, working in the Pierre Auger experiment.
   Study of the mass composition and radio detection of UHECRs (EASIER R&D).
- 2012-2014: Postdoc at LNGS (INFN), working in the GERDA experiment for the search for  $0\nu\beta\beta$  decay.
- 2014-today: Postdoc at GSSI and LNGS (INFN), working in the GERDA experiment for the search for 0νββ decay.
   Search for 0νββ decay, 2νββ decay to excited states, 0νECEC of <sup>36</sup>Ar, data reconstruction, study of GERDA background.

#### In total: 8 years of research activities in neutrino and astroparticle physics

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## Outline

- Probing the nature of neutrino with neutrinoless double-beta decay
- The GERDA experiment
- The GERDA energy spectra
- The GERDA physics results from Phase I:
  - The background model for GERDA Phase I
  - Half-life of  $2\nu\beta\beta$  decay
  - Half-life of  $0\nu\beta\beta$  decay with Majorons
  - The Pulse Shape Discrimination of GERDA events
  - Half-life of  $0\nu\beta\beta$  decay
- On the way to GERDA Phase II
- Future perspectives for  $0\nu\beta\beta$  decay search

## Investigate existence of $0\nu\beta\beta$

- $0\nu\beta\beta$  decay probes fundamental questions:
  - Neutrino properties: the only practical technique to determine if 0 neutrinos are their own anti-particles (Majorana or Dirac neutrino)
  - Lepton number violation: might leptogenesis be the explanation for the observed matter - antimatter asymmetry?
  - Smallness of neutrino mass could be naturally explained by requiring physics beyond Standard Model: see-saw mechanism,...





## Investigate existence of $0\nu\beta\beta$

#### • If $0\nu\beta\beta$ is observed:

- Measurements in a series of different isotopes can reveal the interaction process
- It is possible determine the absolute neutrino mass complementary to other techniques
- It is possible to shed lights on the neutrino mass hierarchy
- It is possible to probe beyond Standard Model theories



## Investigate existence of $0\nu\beta\beta$



## Search for $0\nu\beta\beta$ decay



 $\Delta L = 0$ 2-nd order process predicted by the Standard Model  $0\nu\beta\beta$  $(Z,A) \to (Z+2,A)+2e^{-}$ 



 $\Delta L = 2$   $Q = M_i - M_f - 2m_e$ not allowed within the Standard Model

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## Search for $0\nu\beta\beta$ decay

There are many possible underlying mechanisms for  $0\nu\beta\beta$  decay and in general:

If light Majorana neutrino exchange is m<sub>ββ</sub> (eV) the dominant mechanism and no Measure m<sub>BB</sub> further sterile neutrino exists. constrain mlight  $(T_{1/2}^{0\nu})^{-1} = G^{0\nu} |M^{0\nu}|^2 \frac{\langle m_{\beta\beta} \rangle^2}{m^2}$  $\langle m_{\beta\beta} \rangle \equiv$  effective neutrino mass  $\equiv$ 10-1 Va  $|U_{e1}|^2 m_1 + |U_{e2}|^2 m_2 e^{i\phi_2} +$  $|U_{\alpha}|^2 m_2 e^{i\phi_3}$ **Inverted ordering**  $m_i$ =masses of the neutrino mass 10<sup>-2</sup> V<sub>3</sub> eigenstates  $U_{ei}$  = elements of the neutrino mixing Normal ordering Vo matrix  $e^{i\phi_2}$  and  $e^{i\phi_3}$ =Majorana CP phases 10-3 10-3 10-2 10<sup>-1</sup> 10<sup>-4</sup>

## $\rightarrow$ information on the absolute mass scale!

 $(T_{1/2}^{0\nu})^{-1} = G^{0\nu} |M|^{0\nu}|^2 \eta^2$ 

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m<sub>liaht</sub> (eV)

## Search for $0\nu\beta\beta$ decay

Clear experimental signature in the energy spectrum of the two emitted electrons



- Observe the monochromatic line at Q<sub>ββ</sub>
- Reduce background as much as possible
- Estimate half-life of the decay (>  $10^{25}$  yr)
- What is the mechanism beyond ? (light Majorana neutrino exchange or other?)

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## The GERDA collaboration



#### 112 physicists, 16 institutions, 7 countries

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## GERDA @ LNGS

#### Construction completed in 2009 - Inauguration 9 Nov. 2010



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## **GERDA @ LNGS**

#### **GERDA Building**



The GERDA collaboration, Eur. Phys. Journ. C 73 (2013) (GSSI-LNGS) Recherche de  $0\nu\beta\beta$  par GERDA LAL-Orsay 20.01.2015

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## GERDA @ LNGS



- Hall A of Gran Sasso Laboratory (INFN)
- 3800 m.w.e.

Background from:

#### External:

- $\gamma$ 's from Th and Ra chain
- neutrons
- cosmic-ray muons

#### Internal:

- cosmogenic <sup>60</sup>Co (T<sub>1/2</sub>=5.3 yr)
- cosmogenic <sup>68</sup>Ge (T<sub>1/2</sub>=271 d)
- Radioactive surface contaminations

#### Background reduction and events identification

- Gran Sasso suppression of  $\mu$  flux (10<sup>6</sup>)
- Material selection
- Passive or active shield ( $H_2O$  LAr Cu)

- Muon veto
- · Detector anticoincidence
- · Pulse-shape analysis

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## The GERDA detectors in Phase I





- 3 + 1 strings
- 8 enriched High Purity Ge detectors (coaxials): working mass 14.6 kg (2 of them are not working due to high leakage current)
- GTF112 natural Ge: 3.0 kg
- 5 enriched Broad Energy Ge detectors (BEGe): working mass 3.0 kg (testing Phase II concept in the real environment)

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## Experimental Sensitivity

**Sensitivity** 
$$T_{1/2} \propto \epsilon \cdot \frac{\varepsilon}{A} \cdot \sqrt{\frac{M \cdot T}{b \cdot \Delta E}}$$
 and  $T_{1/2} \propto \frac{1}{m_{\beta\beta}^2}$ 

$\epsilon$	detection efficiency	$\gtrsim 85\%$
ε	enrichment fraction	high natural or enrichment
M	active target mass	increase mass
Т	measuring time	increase time
b	background rate	minimize &
	(cts/(keV kg yr))	select radio-pure material
ΔΕ	energy resolution	use high resolution spectroscopy

#### Requirements:

- high enrichment of isotope material
- M and T large
- very good energy resolution For GERDA  $\Delta E < 0.2\%$
- very good detection efficiency because GERDA detector  $\equiv$  source,  $\epsilon \sim 1$
- high-purity detectors  $\rightarrow$  low background For GERDA  $b < 10^{-2}$  cts/(keV kg yr)
- higher  $M^{0\nu}$  w.r.t. other isotopes

Additional tools to distinguish from background:

- Angular distribution
- Single electron spectrum
- Decay to excited states (gamma-rays)
- Identification of daughter nucleus

### Ge isotope w.r.t. other isotopes



- plot corresponding to  $0\nu\beta\beta$  rate of 1 count/(ton·yr)
- no clear golden candidate
- similar specific rates within a factor of 2
- <sup>76</sup>Ge important for historical reasons too

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## Data processing and Energy calibrations

#### Analysis

- Processing: diode  $\rightarrow$  amplifier  $\rightarrow$  FADC  $\rightarrow$  digital filter  $\rightarrow$  energy/pulse shape/etc...
- Selection: anti-coincidence muon/2nd Ge (20% rejected at  $Q_{\beta\beta}$ ), quality cuts (9% rej.), pulse-shape discrimination (~ 50% rej.)
- Calibration: <sup>228</sup>Th (bi)weekly and pulser every 20 seconds for short term drifts



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## Data processing and Energy calibrations



#### Results

- peak pos. within 0.3 keV at correct position (from <sup>42</sup>K peak)
- FWHM  $\sim$  4% larger than expected from calibration data
- exposure-weighted FWHM at Q<sub>ββ</sub> is:

4.8 keV for coaxials (0.23%) 3.2 keV for BEGes (0.16%)

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## GERDA spectrum in fast motion

## Energy spectra





- Golden coax: 17.9 kg yr
- Silver coax: 1.3 kg yr
- BEGe: 2.4 kg yr

Silver coax: data from coaxial detectors during BEGe deployment (higher BI) Golden coax: data from coaxial detectors except Silver coax BEGe: data from BEGe detectors



Background analysis window

- Events in  $Q_{\beta\beta}\pm$  20 keV kept BLINDED to not bias analysis and cuts
- Background level before PSD at  $Q_{\beta\beta}$  for Golden coax: 0.018±0.002 cts/(keV kg yr)

Background  ${\sim}10{\times}$  lower than previous Ge experiments!!

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## The Background Model of GERDA Phase I

The GERDA collaboration, Eur. Phys. J. C 74 (2014) 2764



- Simulation of known and observed background
- Fit combination of MC spectra to data from 570 keV to 7500 keV
- Different combinations of positions and contributions tested

# Main contribution from close sources: $^{228}{\rm Th}$ and $^{226}{\rm Ra}$ in holders, $^{42}{\rm Ar}$ $\alpha$ on detector surface



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## The Background Model of GERDA Phase I

#### Minimum model fit



#### Maximum model fit



- No line expected in the blinded window
- Background flat between 1930 and 2190 keV
- 2104±5 keV and 2119±5 keV excluded
- Partial unblinding after fixing calibration and background model
- In 30 keV window:
  - expected events:
     8.6 (minimum model) or
     10.3 (maximum model)
  - · observed events: 13

#### Golden coax:

 $\begin{array}{l} {\sf BI} = 1.75^{+0.26}_{-0.24} \, \cdot \, 10^{-2} \ {\sf cts}/({\sf keV} \ {\sf kg} \ {\sf yr}) \\ \\ \hline {\sf BEGe}: \end{array}$ 

 $\mathsf{BI} = 3.6^{+1.3}_{-1.0}\,\cdot\,10^{-2}~\mathsf{cts/(keV~kg~yr)}$ 

## Half-life of $2\nu\beta\beta$ decay of <sup>76</sup>Ge

## Consider the minimum background model to estimate the ${\rm 2}\nu\beta\beta$ half-life of $^{76}{\rm Ge}$

$$T_{1/2}^{2\nu} = \frac{(\ln 2) N_A}{m_{\rm enr} N_{2\nu}^{\rm fft}} \sum_{i=1}^{N_{det}} M_i t_i f_{76,i} \left[ f_{AV,i} \varepsilon_{AV,i}^{\rm fit} + (1 - f_{AV,i}) \varepsilon_{DL,i}^{\rm fit} \right]$$

detectors	t	M	$f_{76}$	$f_{AV}$				
	[days]	[kg]	[%]	[%]				
enriched coaxial detectors								
ANG2	485.5	2.833	$86.6\pm2.5$	$87.1 \pm 4.3 \pm 2.8$				
ANG3	485.5	2.391	$88.3\pm2.6$	$86.6 \pm 4.9 \pm 2.8$				
ANG4	485.5	2.372	$86.3 \pm 1.3$	$90.1\pm4.9\pm2.9$				
ANG5	485.5	2.746	$85.6 \pm 1.3$	$83.1 \pm 4.0 \pm 2.7$				
RG1	485.5	2.110	$85.5\pm1.5$	$90.4 \pm 5.2 \pm 2.9$				
RG2	384.8	2.166	$85.5\pm1.5$	$83.1 \pm 4.6 \pm 2.7$				
enriched BEGe detectors								
GD32B	280.0	0.717	$87.7 \pm 1.3$	$89.0\pm2.7$				
GD32C	304.6	0.743	$87.7 \pm 1.3$	$91.1 \pm 3.0$				
GD32D	282.7	0.723	$87.7 \pm 1.3$	$92.3 \pm 2.6$				
GD35B	301.2	0.812	$87.7 \pm 1.3$	$91.4\pm2.9$				

- golden coaxial data
- Fit range: 570-7500 keV
- 17.9 kg·yr exposure
- 30 keV energy bin

## Half-life of $2\nu\beta\beta$ decay of $^{76}\text{Ge}$



#### **Binned maximum likelihood**

Best fit result: 
$$\begin{split} \mathsf{N}_{2\nu}^{\textit{fit}} &= 25690^{+310}_{-330} \\ \mathsf{T}_{1/2}^{2\nu} &= (\mathbf{1.926}^{+0.025}_{-0.022_{stat}} + 0.092_{syst}) \cdot \mathbf{10}^{21} \text{ yr} \end{split}$$

Signal to background ratio 3:1 between 570 and 2039 keV.

The GERDA collaboration J. Phys. G: Nucl. Part. Phys. 40 (2013)

The GERDA collaboration submitted to Eur. Phys. J. C arXiv:1501.02345

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## $0 uetaeta\chi$ decays

#### Search for Majoron accompanied $0\nu\beta\beta$ decay of <sup>76</sup>Ge



Golden coax + BEGe: total exposure 20.3 kg

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## $0 uetaeta\chi$ decays



Model	n	Mode	Goldstone	L	$T_{1/2}^{0\nu\chi}$
			Doson		[10-~yr]
IB	1	$\chi$	no	0	> 4.2
IC	1	$\chi$	yes	0	> 4.2
ID	3	$\chi\chi$	no	0	> 0.8
IE	3	xx	yes	0	> 0.8
IF	2	$\chi$	bulk field	0	> 1.8
IIB	1	χ	no	-2	> 4.2
IIC	3	$\chi$	yes	-2	> 0.8
IID	3	$\chi\chi$	no	-1	> 0.8
IIE	7	xx	yes	-1	> 0.3
IIF	3	x	gauge boson	-2	> 0.8

#### Most stringest limits obtained for <sup>76</sup>Ge

- for n=1 and n=3 limits improved by a factor 6
- for *n*=7 limit improved by a factor 5
- for n=2 limit reported for the first time

#### The GERDA collaboration, submitted to Eur. Phys. J. C arXiv:1501.02345

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## $0 uetaeta\chi$ decays

$$1/T_{1/2}^{0\nu\chi} = |\langle g \rangle|^2 \cdot G^{0\nu\chi}(Q_{\beta\beta}, Z) \cdot |M^{0\nu\chi}|^2$$

and

$$1/T_{1/2}^{0\nu\chi} = |\langle g \rangle|^4 \cdot G^{0\nu\chi\chi}(Q_{\beta\beta}, Z) \cdot |M^{0\nu\chi\chi}|^2$$

Model	n	Mode	Goldstone	L	$T_{1/2}^{0\nu\chi}$	$\mathcal{M}^{0\nu\chi}$	$G^{0\nu\chi}$	$\langle g \rangle$
			boson		$[10^{2'3} yr]$		$[yr^{-1}]$	
IB	1	χ	no	0	> 4.2	(2.30 - 5.82)	$5.86 \cdot 10^{-17}$	$< (3.4 - 8.7) \cdot 10^{-8}$
IC	1	x	yes	0	> 4.2	(2.30 - 5.82)	$5.86 \cdot 10^{-17}$	$< (3.4 - 8.7) \cdot 10^{-5}$
ID	3	$\chi\chi$	no	0	> 0.8	$10^{-3\pm 1}$	$6.32 \cdot 10^{-19}$	$< 2.1^{+4.5}_{-1.4}$
IE	3	XX	yes	0	> 0.8	$10^{-3\pm 1}$	$6.32 \cdot 10^{-19}$	$< 2.1^{+4.5}_{-1.4}$
IF	2	X	bulk field	0	> 1.8	_	_	
IIB	1	χ	no	-2	> 4.2	(2.30 - 5.82)	$5.86 \cdot 10^{-17}$	$< (3.4 - 8.7) \cdot 10^{-8}$
IIC	3	x	yes	-2	> 0.8	0.16	$2.07 \cdot 10^{-19}$	$< 4.7 \cdot 10^{-2}$
IID	3	$\chi\chi$	no	-1	> 0.8	$10^{-3\pm 1}$	$6.32 \cdot 10^{-19}$	$< 2.1^{+4.5}_{-1.4}$
IIE	7	XX	yes	-1	> 0.3	$10^{-3\pm 1}$	$1.21 \cdot 10^{-18}$	$< 2.2^{+4.9}_{-1.4}$
IIF	3	x	gauge boson	-2	> 0.8	0.16	$2.07 \cdot 10^{-19}$	$< 4.7 \cdot 10^{-2}$

#### **Results from GERDA Phase I**

The coupling constants allow a comparison with other isotopes

#### The GERDA collaboration, submitted to Eur. Phys. J. C

arXiv:1501.02345

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## Pulse shape discrimination of GERDA Phase I data



#### Pulse-shape analysis

e signal: single site energy deposition

 $\gamma$  signal: multiple site energy deposition





Current signal =  $q \cdot v \cdot \Delta \Phi$ q=charge, v=velocity (Schockley-Ramo theorem)



 $0\nu\beta\beta$  events: 1 MeV electrons in Ge  $\sim$  1mm range one drift of electrons and holes SINGLE SITE EVENTS (SSE)

Background from  $\gamma$  's: MeV  $\gamma$  in Ge  $\sim$  cm range several electron/holes drifts MULTI SITE EVENTS (MSE)

Surface events: only electron or hole drift

Recherche de  $0\nu\beta\beta$  par GERDA

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## Pulse shape discrimination of GERDA Phase I data

#### The GERDA collaboration, Eur. Phys. J. C 73, 2583 (2013)

#### PSD for BEGe detectors:

- A over E parameter (A/E) between 0.965 and 1.07
- Double Escape Peak of 2615 keV  $\gamma$  in  $^{228}{\rm Th}$  from calibrations (1593 keV)  $\rightarrow$  SSE for  $0\nu\beta\beta$
- FEP at 1621 keV or SEP at 2104 keV are MSE
- 80% background rejection at Q<sub>ββ</sub>
- 0.92 $\pm$ 0.02 efficiency for 0 $\nu\beta\beta$  7/40 events kept in 400 keV window



## Pulse shape discrimination of GERDA Phase I data

The GERDA collaboration, Eur. Phys. J. C 73, 2583 (2013)

#### **PSD** for coaxial detectors:

- Artificial Neural Network ANN
- ANN analysis of 50 rise-time info (1,3,5,...,99%) with TMVA/TMIpANN
- trained on signal SSE: <sup>208</sup>TI (2614 keV) DEP at 1592 keV
- MSE training with background-like <sup>212</sup>Bi FEP at 1621 keV



## Results on $0 u\beta\beta$ decay

- Summed exposure: 21.6 kg yr
- Unblinding after calibration finished, data selection frozen, analysis method fixed and PSD selection fixed
- Consider the 3 data sets separately in the analysis
- BI = 0.01 cts/(keV kg yr) after PSD
- No events in  $\pm \sigma_E$  after PSD
- 3 events in  $\pm 2\sigma_E$  after PSD

data set	$\mathcal{E}[kg \cdot yr]$	$\langle \epsilon \rangle$	bkg	$BI^{\dagger})$	cts			
without PSD								
golden	17.9	$0.688 \pm 0.031$	76	$18 \pm 2$	5			
silver	1.3	$0.688 \pm 0.031$	19	$63^{+16}_{-14}$	1			
BEGe	2.4	$0.720 \pm 0.018$	23	$42^{+10}_{-8}$	1			
with PSD								
golden	17.9	$0.619^{+0.044}_{-0.070}$	45	$11\pm 2$	2			
silver	1.3	$0.619^{+0.044}_{-0.070}$	9	$30^{+11}_{-9}$	1			
BEGe	2.4	$0.663 \pm 0.022$	3	$5^{+4}_{-3}$	0			



#### No peak in spectrum observed, number of events consistent with expectation from background $\rightarrow$ GERDA sets a limit on the half-life of the decay! (GSSI-LNGS) Recherche de $0\nu\beta\beta$ par GERDA LAL-Orsay 20.01.2015 31 / 43



## Results on $0 u\beta\beta$ decay

The GERDA collaboration, Phys. Rev. Lett. 111 (2013) 122503



- Frequentist analysis Median sensitivity:  $T^{0\nu}_{1/2}>\!\!2.4\!\cdot\!10^{25} \text{ yr at } 90\% \text{ C.L.}$
- Maximum likelihood spectral fit (3 subsets, 1/T<sub>1/2</sub> common)
- Profile likelihood result:  $T_{1/2}^{0\nu}>\!2.1\cdot10^{25}~\text{yr at 90\% C.L.}$
- $N^{0\nu} < 3.5$  Best fit:  $N^{0\nu} = 0$
- Combine with HdM and IGEX:  $T_{1/2}^{0\nu}>\!\!3.0\cdot10^{25}$  yr at 90% C.L.
- independent of NME and physical mechanism for 0νββ

Effective neutrino mass: upper limit between 0.2 eV and 0.4 eV

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## Results on $0 u\beta\beta$ decay

Bayesian analysis based on Bayes theorem:

$$P(H|D) = \frac{P(D|H) \cdot P(H)}{P(D)}$$

 $\mu = \lambda + \nu$  Background  $(\lambda) +$ Signal $(\nu)$ 

 $n_i$  = number of observed events in dataset i, D = total number of measured events

I H = data fully explained by background processes

2  $\overline{H}$  = data explained by background plus signal

$$P(D|\vec{\lambda}, T_{1/2}, \bar{H}) = \prod_{i} \frac{e^{-(\lambda_i + \nu_i)} (\lambda_i + \nu_i)_i^n}{n_i!}$$

#### Power of Bayesian statistical method

the limit at 90% Credibility Interval, statistically means that  $T_{1/2}$  is greater than  $T_{lim}$  with 90% probability. In the frequentist approach one can only state that, assuming  $0\nu\beta\beta$  exists, the value of  $T_{lim}$  derived will cover the true value of  $T_{1/2}$  in 90% of repetitions of similar experiment.

- Counting number of signal events
- Fitting signal + background

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## Comparison with claim from Phys. Lett. B 586 198 (2004)



- Bayesian result (GERDA only)
- $T_{1/2}^{0
  u} > 1.9 \cdot 10^{25}$  yr at 90% C.redibility Interval
- Best fit N<sup>0</sup><sup>ν</sup>=0
- MC Median Sensitivity:  $T_{1/2}^{0\nu} > 2.0 \cdot 10^{25}$  yr at 90% C.I.

## Systematical uncertainties



Influence of the systematical uncertainty on the estimation of the 90% C.I. limit on the half-life.

- Uncertainty on energy resolution (FWHM at  $Q_{etaeta}$ )
- Uncertainty on the total efficiency
- Error on the optimal window
- Uncertainty on  $\epsilon_{\it res}$ : this is the effciency for a signal event to fall within the energy window
- Systematic shift of the energy scale
- Uncertainty on PSD efficiency

#### The limit is weakened by a factor < 1.5%

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## Comparison with claim from Phys. Lett. B 586 198 (2004)

Compare two hypotheses:

- $H_1$ :  $T_{1/2}^{0\nu} = 1.19^{+0.37}_{-0.23} \cdot 10^{25} \text{ yr}$
- H<sub>0</sub>: background only

Bayes factor:  $BF(n, T_{1/2}) = \frac{P(\text{signal}+\text{background}|n, T_{1/2})}{P(\text{background})}$   $= \frac{1}{\nu_{max}} \int_{0}^{\nu_{max}} \exp(-\nu) \left(\frac{\lambda+\nu}{\lambda}\right)^{n} d\nu$ 

Bayes factor for GERDA only  $P(H_1)/P(H_0) = 0.0002$ 



N.B.:  $T_{1/2}^{0\nu}$  from Mod. Phys. Lett. A 21 (2006) 157 not considered because of inconsistencies (missing efficiency factors) pointed out in Ann. Phys. 525 (2013) 259 by B. Schwingenheuer.

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Recherche de  $0\nu\beta\beta$  par GERDA

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### Combining with Ge and Xe previous results

The GERDA collaboration, Phys. Rev. Lett. 111 (2013) 122503 C. Macolino and the GERDA collab., Mod. Phys. Lett. A29 (2014) 1430001 Comparison with previous half-life limits from Ge and Xe experiments



• GERDA+HdM+IGEX:

- $T_{1/2}^{0\nu}$  >3.0  $\cdot$  10<sup>25</sup> yr at 90% C.I.
- Bayes factor  $P(H_1)/P(H_0) = 0.0002$
- best fit:  $N^{0\nu}=0$
- GERDA+KamLAND+EXO:
  - Bayes factor  $P(H_1)/P(H_0) = 0.0022$

## On the way to GERDA Phase II

#### How to get a higher sensitivity for the Phase II:

- reduce radiation sources and understand background sources
- improve background rejection
- increase mass and improve energy resolution

#### Strategy:

- Phase I ended on Sept. 30th 2013. Phase II transition currently ongoing at LNGS
- increase mass: additional 30 enriched BEGe detectors (about 20 kg)
- reduce background by a factor of 10 w.r.t. GERDA Phase I:
  - make things cleaner:
    - use lower background Signal and HV cables w.r.t. Phase I
    - reduce material for holders and special care in crystal production
  - Preject residual background radiation:
    - by Pulse Shape Analysis for high background recognition efficiency
    - by LAr scintillation light for background recognition and rejection
- First data in these days

## Liquid Argon instrumentation for Phase II

LAr scintillation veto in GERDA Phase II

- SiPM fiber curtain
- PMTs on top and bottom of the array

Top/bottom: PMTs





Central cylinder: SiPM/Fiber readout









#### LAr veto + PSA allows a strong reduction of the background at $Q_{\beta\beta}$ !

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## Experimental scenario



- Phase I result: BI ~ 10<sup>-2</sup> cts/(keV kg yr) and ~ 20 kg·yr exposure Claim from Phys. Lett. B 586 (2004) 198 rejected with high probability
- Phase II goal: BI  $\sim 10^{-3}$  cts/(keV kg yr) and 100 kg·yr exposure sensitivity on  $T_{1/2}^{0\nu} \sim 1.4 \cdot 10^{26}$  yr (factor 7 better than Phase I)
- GERDA + Majorana: discussion on possible 200 kg (1 ton) experiment

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#### Latest results

Isotope	Experiment	$T_{1/2}^{0 u}$ at 90% CL [yr]	$\langle m_{etaeta} angle$ [eV]	Ref.
<sup>76</sup> Ge	GERDA Phase I	$2.1 \cdot 10^{25}$ yr	0.25 - 0.42	(1)
<sup>136</sup> Xe	EXO	$1.1 \cdot 10^{25}$ yr	0.19 - 0.45	(2)
<sup>136</sup> Xe	KamLAND-Zen	$1.9 \cdot 10^{25} \text{ yr}$	0.14 - 0.34	(3)
<sup>130</sup> Te	CUORICINO	2.8 · 10 <sup>24</sup> yr	0.31 - 0.76	(4)
<sup>100</sup> Mo	NEMO-3	$1.1\cdot10^{25}$ yr	0.34 - 0.87	(5)

#### Most stringent limits on $0\nu\beta\beta$ decay

- (1): Phys. Rev. Lett 111 (2013), 122503
- (2): Nature 510 (2014), 229-234
- (3): Phys. Rev. Lett. 110 (2013), 062502
- (4): Astropart. Phys. 34 (2011) 822-831
- (5): Phys. Rev. D 89, 111101 (2014)

In summary:  $\langle m_{\beta\beta} \rangle < 0.4 \text{ eV} (90\% \text{ CL})$ 

#### Exciting time with running and upcoming experiments!!!

Experiment	Isotope	Mass of	Sensitivity	Sensitivity	Status
		lsotope [kg]	$\mathbf{T}_{1/2}^{0 u}$ [yr]	$\mathbf{m}_{etaeta}$ [eV]	
GERDA	<sup>76</sup> Ge	18	$3  imes 10^{25}$	0.2 ÷ 0.4	running
		40	$2 imes10^{26}$	0.1	in progress
		1000	$6 imes 10^{27}$	0.03	R&D
CUORE	<sup>130</sup> Te	200	$1 imes 10^{26}$	$0.04 \div 0.1$	in progress
MAJORANA	<sup>76</sup> Ge	40	$2 imes 10^{26}$	0.1	in progress
		1000	$6 imes10^{27}$	0.03	R&D
EXO	<sup>136</sup> Xe	200	$5 imes 10^{25}$	0.08 ÷ 0.3	in progress
		1000	$8 imes 10^{26}$	$0.01 \div 0.03$	R&D
SuperNEMO	<sup>82</sup> Se	7	$6.6  imes 10^{24}$	$0.2 \div 0.5$	in progress
		100	$1 imes 10^{26}$	$0.04 \div 0.11$	R&D
KamLAND-Zen	<sup>136</sup> Xe	400	$4 imes10^{26}$	0.06	in progress
		1000	$1 imes 10^{27}$	0.02	R&D
NEXT	<sup>136</sup> Xe	1000	$5 imes 10^{26}$	$0.03 \div 0.07$	in progress
SNO+	<sup>130</sup> Te	200	$1 imes 10^{26}$	$0.06 \div 0.1$	in progress
		800	$1 imes 10^{27}$	$0.02 \div 0.06$	R&D

## Conclusions

- Phase I data taking successful! Phase II ongoing
- o total exposure of GERDA Phase I is 21.6 kg yr
- $\,\circ\,$  very low background 0.01 cts/(keV kg yr) after PSD
- $\circ~$  half-life of  $0\nu\beta\beta$ :  $\mathsf{T}_{1/2}^{0\nu}>2.1\cdot10^{25}$  yr (90% C.L.) for  $^{76}\mathsf{Ge}$
- this translates in a limit on the effective neutrino mass:  $m_{\beta\beta}$  between 0.2 eV and 0.4 eV
- $\circ\,$  probability that the signal from the previous claim produces the GERDA outcome is  $1\%\,$
- o starting Phase II with improved sensitivity
- exciting results to come from different experiments!

#### Remerciements

#### Merci de votre attention!!



# GERDA Collaboration Meeting in MPI Heidelberg, Germany June 2014

(GSSI-LNGS)

## **BACKUP SLIDES**

Recherche de  $0\nu\beta\beta$  par GERDA

Table 2 Contributions to the systematic uncertainty on  $T_{1/2}^{2\nu}$  taken into account in this work. The total systematic uncertainty is obtained by combining the individual contributions in quadrature.

Item	Uncerta	inty on $T_{1/2}^{2\nu}$ [%]
Active <sup>76</sup> Ge exposure	$\pm 4$	
Background model components	$^{+1.4}_{-1.2}$	
Binning	$\pm 0.5$	
Shape of the $2\nu\beta\beta$ spectrum	< 0.1	
Subtotal fit model		$\pm 4.3$
Precision of the Monte Carlo geometry model	$\pm 1$	
Accuracy of the Monte Carlo tracking	$\pm 2$	
Subtotal Monte Carlo simulation		$\pm 2.2$
Data acquisition and handling		< 0.1
Total		$\pm 4.8$

# Systematic uncertainties on Majoron accompanied emissions

- Detector parameters and fit model
  - minimum number of events expected from <sup>214</sup>Bi and <sup>228</sup>Th decays
  - energy binning (from 10 to 50 keV)
  - uncertainties on the active volume fractions
  - uncertainties on enrichment in <sup>76</sup>Ge
  - uncertainty on exact position of medium and near sources
  - uncertainty on transition layer thickness in BEGes
- **MC simulation**: total 2.2% uncertainty on Monte Carlo due to effects related to geometry implementation and particle tracking, weakly affecting the limit
- Data acquisition and selection: estimated to be below 0.1%, it does not affect the limit

#### In total, limit is weakened by:

- 2.8% (n=1)
- 5.8% (n=2)
- 10.6% (n=3)
- 5.7% (n=7)

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## Expected sensitivity



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#### Number of counts Vs. Effective Mass

Number of GERDA events versus effective mass for 200 kg·yr exposure



NME comparisons as described in A. Smolnikov and P. Grabmayr, Phys. Rev. C 81, 028502. (2010).

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#### Number of counts Vs. Effective Mass

Number of decays versus effective mass for 1 ton-yr exposure



NME comparisons as described in A. Smolnikov and P. Grabmayr, Phys. Rev. C 81, 028502. (2010).

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## Background lines in GERDA Phase I

	Energy	GERDA arXiv: 1306.5084v1	Heidelberg-Moscow O. Chvorets, PhD thesis
	(keV)	counts/(kg yr)	counts/(kg yr)
<sup>40</sup> K	1460.8	13.9[12.8, 15.0]	181 ± 2
<sup>60</sup> Co	1173.2	3.4 [2.2, 5.2]	55 ± 1
	1332.3	2.3 [1.5, 3.1]	<u>51 ± 1</u>
<sup>228</sup> Ac	910.8	2.3 [0.5, 4.6]	29.8 ± 1.6
	968.9	<3.9	$17.6 \pm 1.1$
<sup>208</sup> Tl	583.2	6.3 [4.5, 8.4]	36 ± 3
	2614.5	$1.1 \ [0.8, 1.4]$	$16.5 \pm 0.5$
<sup>214</sup> Pb	352	17.6 [13.8,21.4]	138.7 ± 4.8
214Bi	609.3	13.7 [9.6, 17.8]	105 ± 1
	1120.3	<1.9	26.9 ± 1.2
	2204.2	0.8 [0.5, 1.1]	$30.7 \pm 0.7$
	2204.2	0.0 [0.0, 1.1]	8.1 ± 0.5
<sup>212</sup> Bi	727	< 4.0	8.1 ± 1.2
<sup>137</sup> Cs	662	< 4.8	282 ± 2
e+	511	9±3	30 ± 3
<sup>42</sup> K	1525	60.5 ± 2.1	N.A.

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#### From counts to half-life

$$T_{1/2}^{0\nu} = \frac{\ln 2 \cdot N_A}{m_{enr} \cdot N^{0\nu}} \cdot \varepsilon \cdot \epsilon$$
$$\epsilon = f_{76} \cdot f_{AV} \cdot \varepsilon_{FEP} \cdot \varepsilon_{PSD}$$

Dataset	Exposure [kg·yr]
Golden-coax	17.9
Silver-coax	1.3
BEGe	2.4

 $\begin{array}{lll} N_A = & \text{Avogado Number} \\ E = & \text{Exposure} \\ \varepsilon = & \text{Exposure averaged efficiency} \\ m_{enr} = & \text{Molar mass of enriched Ge} \\ N^{0\nu} = & \text{Signal counts /limit} \end{array}$ 

$f_{76} =$	Enrichment fraction
$f_{AV} =$	Active Volume detector fraction
$\varepsilon_{FEP} =$	Full Energy Peak efficiency for $0\nu 2\beta$
$\varepsilon_{PSD} =$	Signal acceptance

	$\langle f_{76} \rangle$	$\langle f_{AV} \rangle$	$\langle \varepsilon_{FEP} \rangle$	$\langle \varepsilon_{PSD} \rangle$	ε
Coax	0.86	0.87	0.92	$0.90\substack{+0.05 \\ -0.09}$	$0.619\substack{+0.044\\-0.070}$
BEGe	0.88	0.92	0.90	$0.92\pm0.02$	$0.663\pm0.022$

## The Heidelberg-Moscow claim

HPGe detectors enriched at 86% in  $^{76}\mathrm{Ge}$ 

Exposure: 71.7 kg yr Background: 0.11 counts/(keV kg yr) (without pulse shape)



• 
$$T_{1/2}^{0\nu} = 1.2(0.69 - 4.18) \times 10^{25}$$
 yr  
*Phys. Lett. B 586, 198 (2004)*  
 $3\sigma$  range  
 $4.2\sigma$  C.L. evidence for  $0\nu\beta\beta$ 

- $T_{1/2}^{0\nu} = 2.23(1.92 2.67) \times 10^{25}$  yr Mod. Phys. Lett. A 21, 1547 (2006) Critized in arXiv:1210.7432
- $m_{\beta\beta}$ =(0.24-0.58) eV / (0.29-0.35) eV

IGEX:  $T_{1/2}^{0
u} = 1.57 imes 10^{25}$  yr (90% C.L.)

## Why GERDA does not use KK 2006 result?



see B. Schwingenheuer, Ann. Phys. 525, 269 (2013) arXiv:1210.7432

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Recherche de  $0\nu\beta\beta$  par GERDA

## Why GERDA does not use KK 2006 result?

b) 2006 publication: Mod Phys Lett A21 p. 1547-1566



error on signal count not correct since smaller than Poisson error

efficiency factor not considered  $\rightarrow$  calculation of  $T_{1/2}^{0\nu}$  not correct  $\rightarrow$  GERDA does not use this result

see B. Schwingenheuer, Ann. Phys. 525, 269 (2013) arXiv:1210.7432

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# Comparison with claim from Mod. Phys. Lett. A 21 1547 (2006)

#### Compare two hypotheses

 H<sub>2</sub>: T<sub>1/2</sub><sup>0v</sup> = 2.23<sup>+0.44</sup><sub>-0.31</sub>·10<sup>25</sup> yr vs. H<sub>0</sub>: background only Expected Signal (w/ PSD): (3.1 ± 0.8) cts in ±2σ Expected Bckgd (w/ PSD): (2.0 ± 0.3) cts in ±2σ Observed: 3.0 in ±2σ (0 in ±1σ)



## Pulse shape discrimination of GERDA Phase I data

The GERDA collaboration, Eur. Phys. J. C 73, 2583 (2013)

#### PSD for Coaxials



- Good agreement between model and data for 2
  uetaeta
- $2\nu\beta\beta$  survival fraction: 0.85 $\pm$ 0.02
- Estimated survival fraction for 0νββ events: 0.90<sup>+0.05</sup><sub>-0.09</sub>
- Other 2 methods for PSD considered for cross-check: 90% of the events rejected by ANN are also rejected by the others 2 methods

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## Liquid Argon instrumentation for Phase II

Background	rate
	without cuts
	$(10^{-3} \text{ cts}/(\text{keV}\cdot\text{kg}\cdot\text{yr})$
<sup>228</sup> Th (near)	<b>≤5</b>
<sup>228</sup> Th (1m away)	<3
<sup>228</sup> Th (distant)	<3
<sup>214</sup> Bi (holder/MS)	<b>≤5</b>
<sup>214</sup> Bi (near p <sup>+</sup> )	<6
<sup>214</sup> Bi (n <sup>+</sup> )	<7
<sup>214</sup> Bi (1m away)	<3
<sup>60</sup> Co (near)	1
<sup>60</sup> Co (in Ge)	≤ <b>0.3</b>
<sup>68</sup> Ga (in Ge)	≤ <b>2.3</b>
<sup>226</sup> Ra ( $\alpha$ near p <sup>+</sup> )	1.5
<sup>42</sup> K ( $\beta$ on n <sup>+</sup> )	~20
unknown (n?)	?

- Phase II background based on Phase I
- background decomposition from coaxial detectors compatible with BEGe spectral decomposition
- <sup>42</sup>K dominant background source
- <sup>42</sup>K with Cu MS
- $^{226}\text{Ra}$  contamination dominated by  $^{226}\text{Ra}$  in LAr near  $p^+$



## PSD and <sup>42</sup>K mitigation

Experimental evidence of efficient <sup>42</sup>K rejection by PSD on GERDA Phase I data The GERDA collaboration, Eur. Phys. J. C 73, 2583 (2013)



- surface  $\beta$  rejection can be traded against  $0\nu\beta\beta$  acceptance
- final cut level will be optimised for optimal sensitivity
- better signal noise/stability directly translates in better rejection

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## Background mitigation

Expected background contributions from MC simulations with background rejection from PSD and LAr veto

Background	without cuts	after PSD
		+ Veto
	$(10^{-3} \text{ cts}/(\text{keV}\cdot\text{kg}\cdot\text{yr})$	$(10^{-3} \text{ cts}/(\text{keV}\cdot\text{kg}\cdot\text{yr})$
<sup>228</sup> Th (near)	<b>≤5</b>	$\leq$ 0.01
<sup>228</sup> Th (1m away)	<3	<0.01
<sup>228</sup> Th (distant)	<3	<0.1
<sup>214</sup> Bi (holder/MS)	<b>≤5</b>	≤ <b>0.13</b>
<sup>214</sup> Bi (near p <sup>+</sup> )	<6	<0.03
<sup>214</sup> Bi (n <sup>+</sup> )	<7	<0.15
<sup>214</sup> Bi (1m away)	<3	<0.08
<sup>60</sup> Co (near)	1	0.001
<sup>60</sup> Co (in Ge)	≤ <b>0.3</b>	≤ <b>0.0004</b>
<sup>68</sup> Ga (in Ge)	<b>≤2.3</b>	<b>≤0.04</b>
$^{226}$ Ra ( $lpha$ near p $^+$ )	1.5	<0.03
<sup>42</sup> K ( $\beta$ on n <sup>+</sup> )	$\sim$ 20	<0.86
unknown (n?)	?	?

We are confident to reach 0.001 cts/(keV kg yr) given NO additional background components

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Simulations for LAr instrumentations							
Simulated suppression factors:							
Background	Super- Hybrid	Nylon- Hybrid	MMS-I Hybrid	Hybrid (wo MS)	SMS- Hybrid		
<sup>214</sup> Bi Holders	$8.16 \pm 0.43$	$9.86 \pm 0.38$	9.1 ±0.2 (Nuno, Feb13 p.7)	9.1 ±0.2 (Nuno, Feb13 p.7)	2.38 ±0.08 (SH wo SiPM) 2.4 ±0.1 (Nuno, Feb13 p.7)		
<sup>214</sup> Bi Surface	$3.34 \pm 0.02$	$3.38 \pm 0.18$		3.48 ±0.1 (Nuno, Oct12 p.23)	1.80±0.01 (SH wo SiPM)		
<sup>214</sup> Bi Homogeneous	24.86 ±2.11	38.20 ±2.73		54.79 ±7.9 (Nuno, Oct12 p.23)	$5.29 \pm 0.25$ (SH wo SiPM)		
<sup>42</sup> K Surface	$1.13 \pm 0.01$				1.06 ±0.01 (SH wo SiPM)		
<sup>42</sup> K Homogeneous	$3.61 \pm 0.28$	9.56 ±4.22		$5.31 \pm 0.60$	1.16 ±0.06 (SH wo SiPM)		

Choice of configuration for Phase II decoupled from remaining hardware

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Recherche de  $0\nu\beta\beta$  par GERDA

# $^{\rm 42}{\rm K}$ suppression measurements in LArGe with different possible configurations for the Mini-Shroud

Experimental condition	Date dd/mm/yy	1510-1540 keV <sup>1</sup> cts/(kg d)	1540-3000 keV <sup>1</sup> cts/(kg d)	Suppression to bare BEGe	PMT veto acceptances 1540-3000 keV <sup>1</sup>
Bare BEGe, PMTs off	17.02.2013	216(11)	514(18)	1	-
MMS, HV = 0, PMTs off	15.12.2012	481(15)	552(16)	0.9	-
MMS, HV = 0, PMTs on	24.12.2012	225(11)	154(9)	3.3	0.75
MMS, HV = +4kV, PMTs on	01.01.2013	57(8)	58(8)	8.9	0.76
Nylon MS, PMTs off	22.02.2013	168(9)	203(10)	2.5	-
Nylon MS, PMTs on	01.03.2013	90(3)	64(3)	8.0	0.73(5)
Nylon MS, PMTs on <sup>2</sup>	21.03.2013	94(7)	60(6)	8.6	0.63(9)
Nylon MS, PMTs off	25.03.2013	75(5)	58(4)	8.9	-
Foil MS + SiPM, PMTs off	16.04.2013	50(3)	69(4)	7.5	-
Foil MS + SiPM, PMTs off	07.05.2013	46(3)	61(3)	8.4	-
Foil MS + SiPM, PMTs on	17.05.2013	85(4)	49(4)	10.5	0.30(5)
LAr refilling	29.05.2013				
Foil MS + SiPM, PMTs off	10.06.2013	k <sup>3*</sup> 45(3)	k*81(4)	~ 5.8	-
Glued Nylon MS, PMTs off	13.07.2013	k*40(3)	K*28(2)	~ 17	-

<sup>1</sup> Only statistical error is taken into account, no correction on the evaporation of the LAr during runs.

2 After irradiation for 6 days with 228Th source

<sup>3</sup> k is the correction factor on the evaporation of the LAr during refilling (rude estimation ~ 1.1)

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#### Hardware status of LAr instrumentations

Test SiPM fibre setup: Spectrum recorded using contaminated LAr (low light yield)



GE





VFE 50 µm Cuflon cables (3g) and preamps being down selected

#### Status of hardware preparations: integration including front end



Integration tests of VFE electronics holder system ongoing.



IGERI

Bonding of VFE electronics to detectors without problem

Recherche de  $0\nu\beta\beta$  par GERDA



#### A/E resolution (FWHM): < 1% Acceptance: $\sim$ 90% at DEP of 2614 keV <sup>208</sup>Tl line $\sim$ 11% at 1620 keV <sup>212</sup>Bi line

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Recherche de  $0\nu\beta\beta$  par GERDA

## Energy calibration - <sup>228</sup>Th sources



Coaxials: Exposure-weighted average for FWHM at  $Q_{\beta\beta} \simeq 4.8 \pm 0.2$  keV

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Recherche de  $0\nu\beta\beta$  par GERDA

## Energy calibration - <sup>228</sup>Th sources



#### BEGe: Exposure weighted average for FWHM at $Q_{\beta\beta} \simeq 3.2 \pm 0.2$ keV

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#### The Background Model of GERDA Phase I



Recherche de  $0\nu\beta\beta$  par GERDA

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#### The Background Model of GERDA Phase I





950 bins in total:

3 bins outside red (>99.9%) bands 37 bins outside yellow (>95%) bands 200 bins outside green (>68%) bands

#### no hint for additional (strong) peaks

Note: bands are for integer valued intervals of the model with coverage at least as large as indicated → over-coverage especially for the green band & low counts

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#### The Background Model of GERDA Phase I: BEGEs



Minimum model fit with the addition of  $^{68}\text{Ge}$  in Ge and  $^{42}\text{K}$  decays on the n^+ surface Dominant background source is  $^{42}\text{K}$  on n^+ surface

Recherche de  $0\nu\beta\beta$  par GERDA

## Background from Argon



#### • <sup>39</sup>Ar

Published activity of  $(1.01 \pm 0.08)$  Bq/kg (Benetti et al., *NIM A547 (2007)* 83) fully compatible with our data Not relevant for Bl at  $Q_{\beta\beta}$ 



#### • <sup>42</sup>Ar

Lower limit of 41  $\mu$ Bq/kg (90% C.L.) (Ashitkov et al., arXiv:nucl-ex:0309001) Count rate at 1525 keV about 2 times expectation

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Convincing evidence that charged  ${}^{42}$ K ions drift in the *E* field of Ge-diodes  $\rightarrow$  thin Cu foil (mini-shroud) as electrostatic and physical shield (GSSI-LNGS) Recherche de  $0\nu\beta\beta$  par GERDA LAL-Orsay 20.01.2015
# Radioactivity in Argon

#### Treating the <sup>42</sup>K problem

- The initial decay <sup>42</sup>Ar → <sup>42</sup>K produces the daughter in a charged state, which can drift close to the detectors under the action of electric fields.
- Background source only if <sup>42</sup>K comes very close to the detectors.
- A string of detetors can be surrounded by a Cu shield, the minishroud, ( $\phi = 11.5 cm$ ) to limit the drift of ions

### Enriched detectors inside the minishrouds



## The mini-shroud

### Treating the Argon problem

- The initial decay <sup>42</sup>Ar → <sup>42</sup>K produces the daughter in a charged state, which can drift close to the detectors under the action of electric fields.
- Background source only if <sup>42</sup>K comes very close to the detectors.
- A string of detetors can be surrounded by a Cu shield, the mini-shroud, ( $\phi = 11.5cm$ ) to limit the drift of ions



### Enriched detectors inside the mini-shrouds

