



Results and Perspectives of GERDA: on the way to Phase II

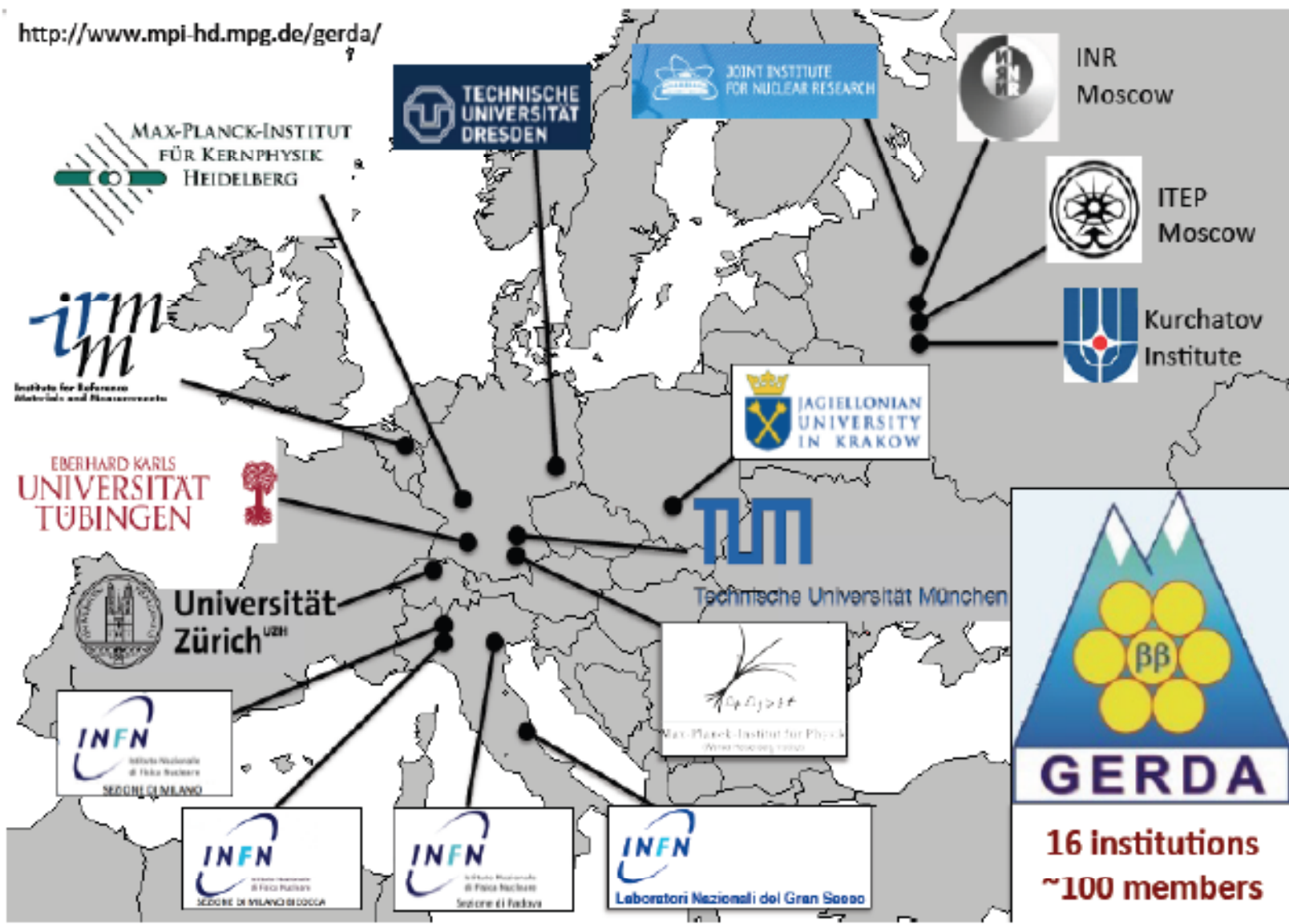
C.M. Cattadori INFN-Milano Bicocca
on behalf of the GERDA collaboration

NOW 2014

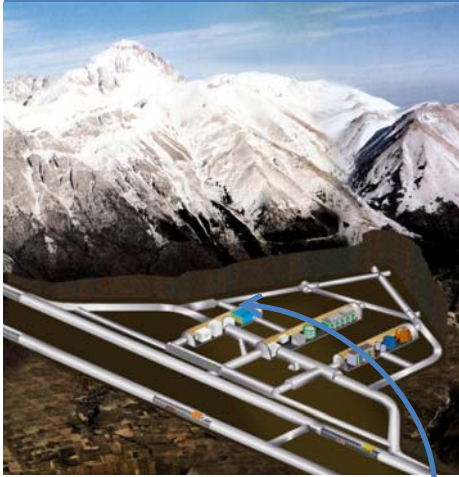
Conca Specchiulla 8-14 September 2014

GERDA

collaboration



GERDA Installations

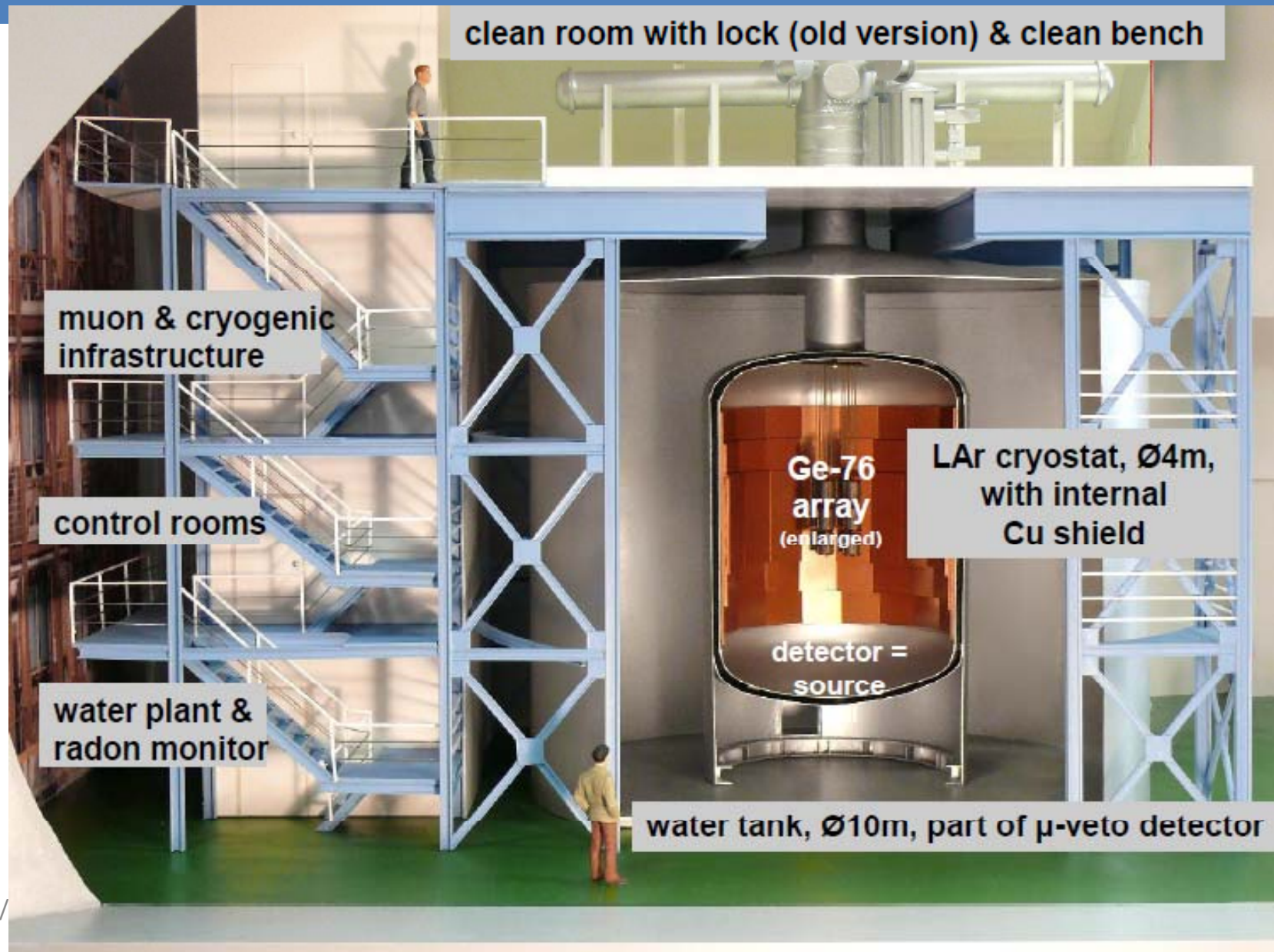


Located in Hall A
@ LNGS



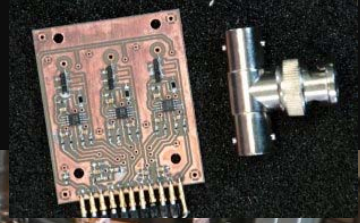
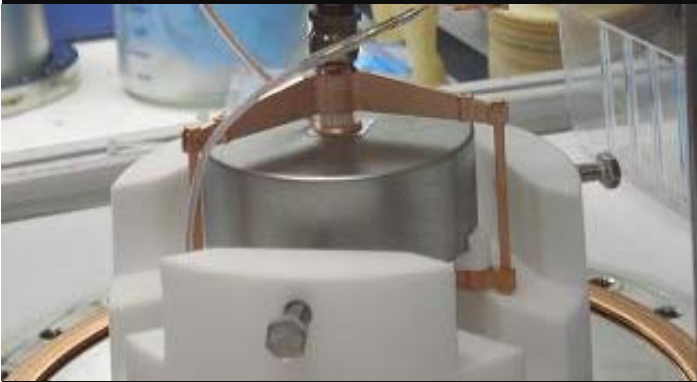
8/09/2014

GERDA Installations



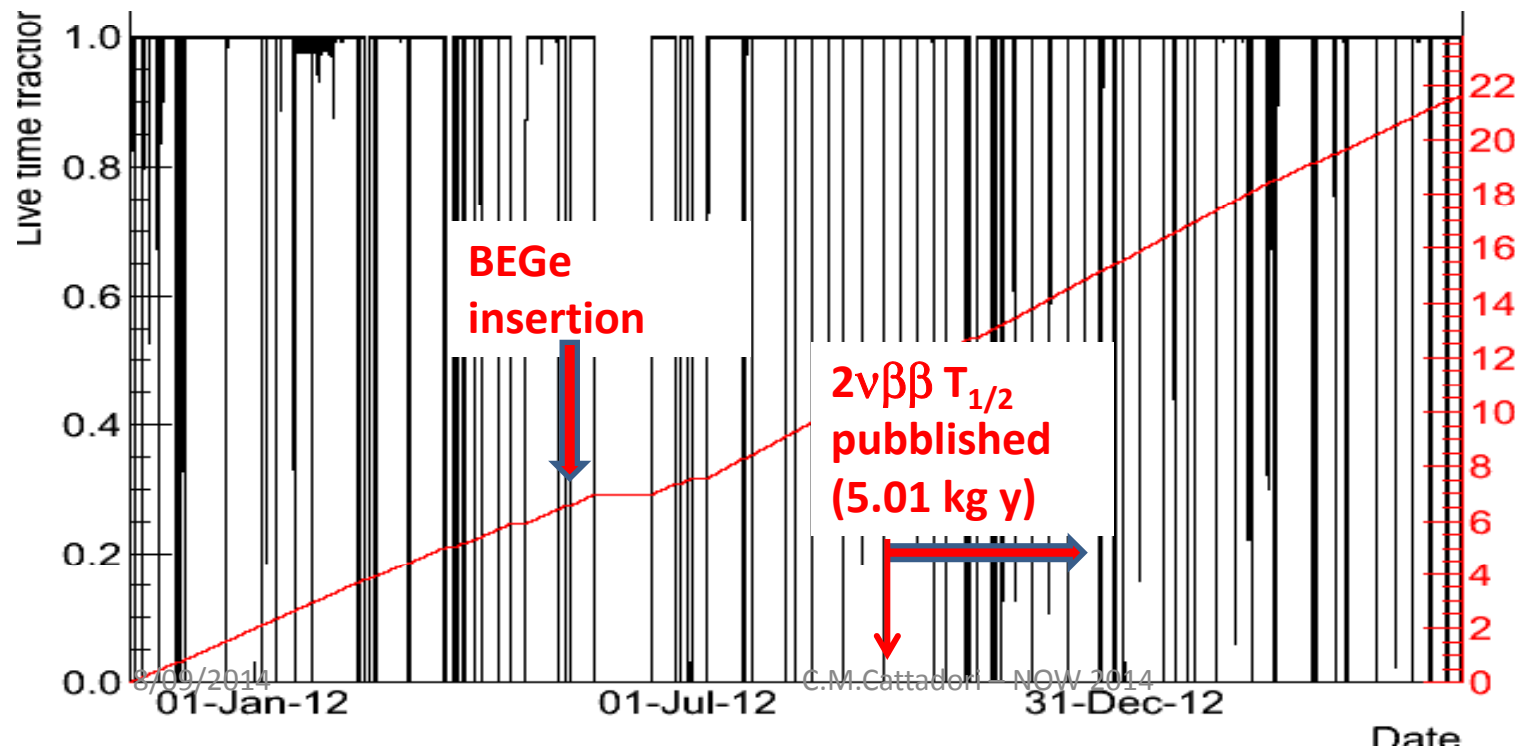
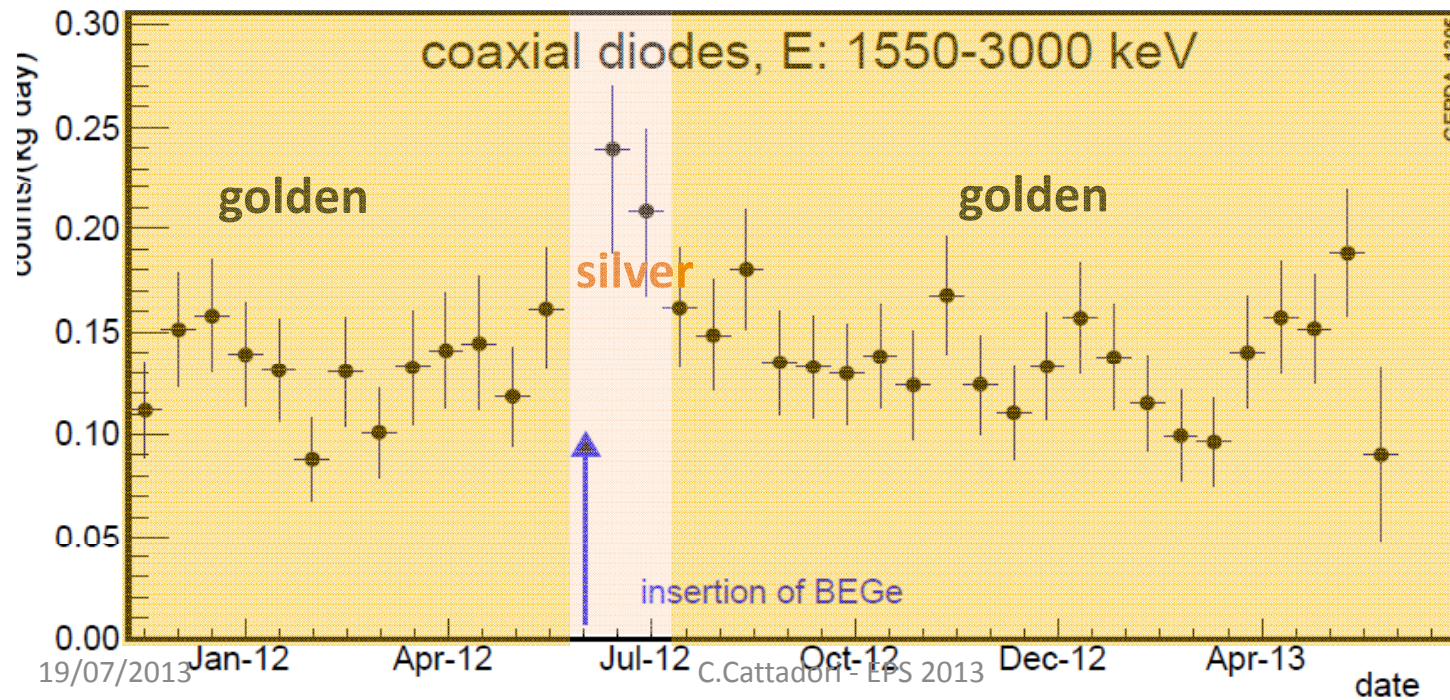


Pictures from GERDA



8/09/2014

C. M. Cattadori - NOW 2014



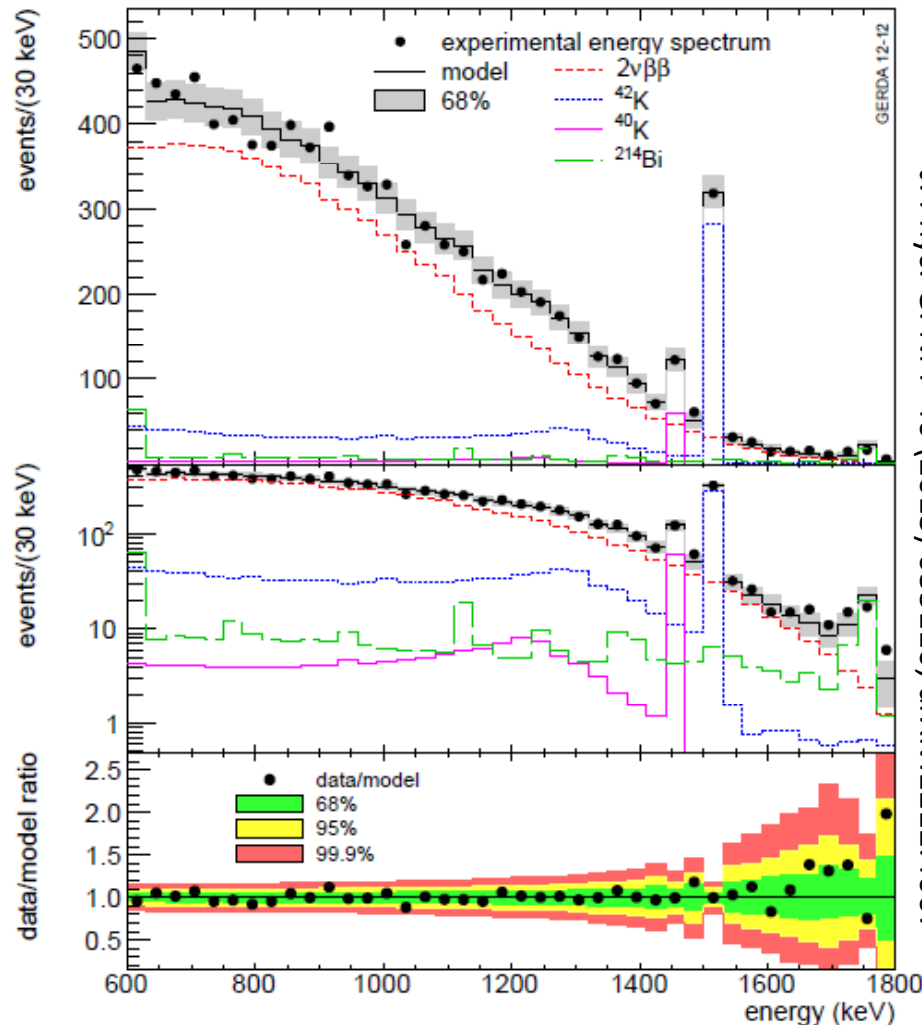
Data taking:
Nov 2011-
June 2013

Goal:
Scrutinize
claim
Demon-
strate BI

Exposure:
21.6 kg y

Observation of $2\nu\beta\beta$

J. Phys. G: Nucl. Part. Phys. 40 (2013) 035110



J. Phys. G: NPP 40 (2013) 035110; arXiv:1212.4067

$$T_{1/2}^{2\nu} = (1.84^{+0.09}_{-0.08} \text{ fit } ^{+0.11}_{-0.06} \text{ syst}) \cdot 10^{21} \text{ yr}$$

- Exposure: 5kg·y
- 6 independent models for the 6 detectors (5 x 6=30 detector parameters)
- $T_{1/2}^{2\nu}$ common in 6 detectors
- Background from 3 sources: ^{42}K , ^{40}K , ^{214}Bi (γ -lines used for normalization)
 - ^{42}K : homogeneously distributed
 - ^{40}K & ^{214}Bi : close sources
- Detectors active masses and enr. factors are nuisance parameters in the fit.

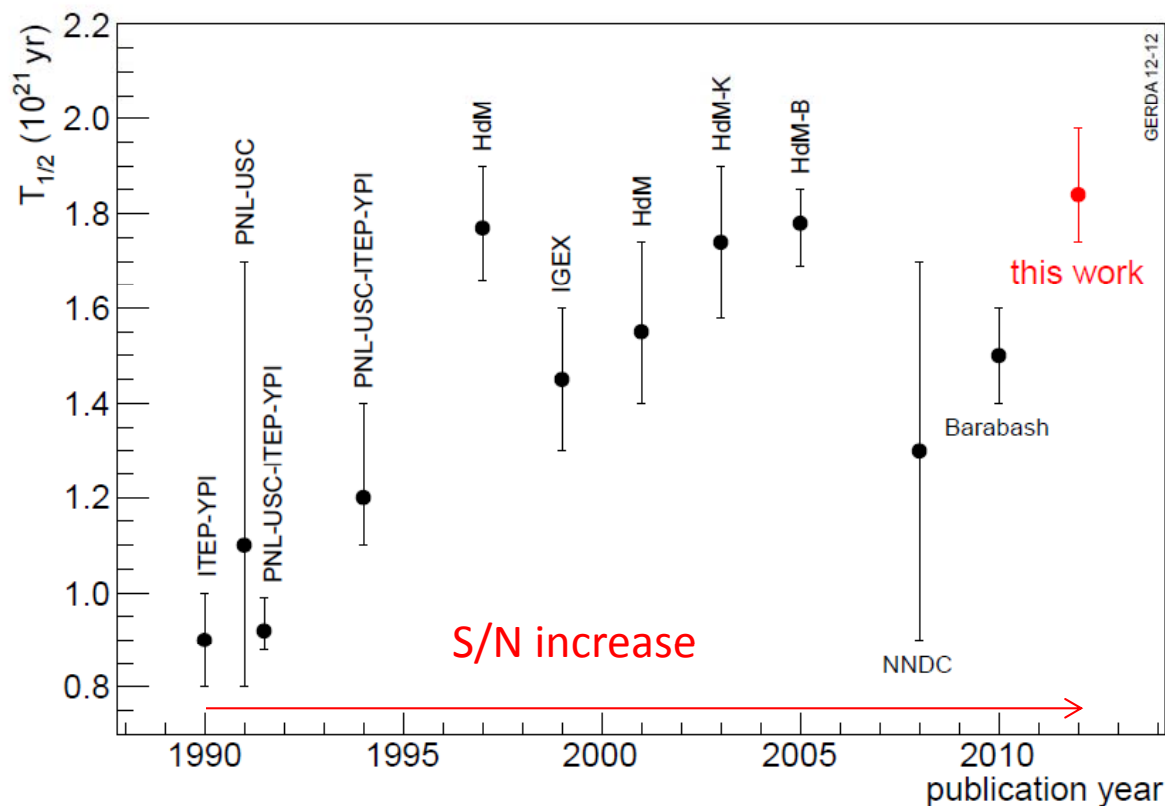
$\beta\beta$ spectrum: 8796 events:

Model of the residual background: 80% $2\nu\beta\beta$, 14% ^{42}K , 3.8% ^{214}Bi , 2% ^{40}K ,

GERDA vs previous measurements of $T_{1/2}^{2\nu}$



$$T_{1/2}^{2\nu} = (1.84_{-0.08}^{+0.09} \text{ fit } +0.11 \text{ } -0.06 \text{ syst.}) \cdot 10^{21} \text{ yr} = (1.84_{-0.10}^{+0.14}) \cdot 10^{21} \text{ yr}$$



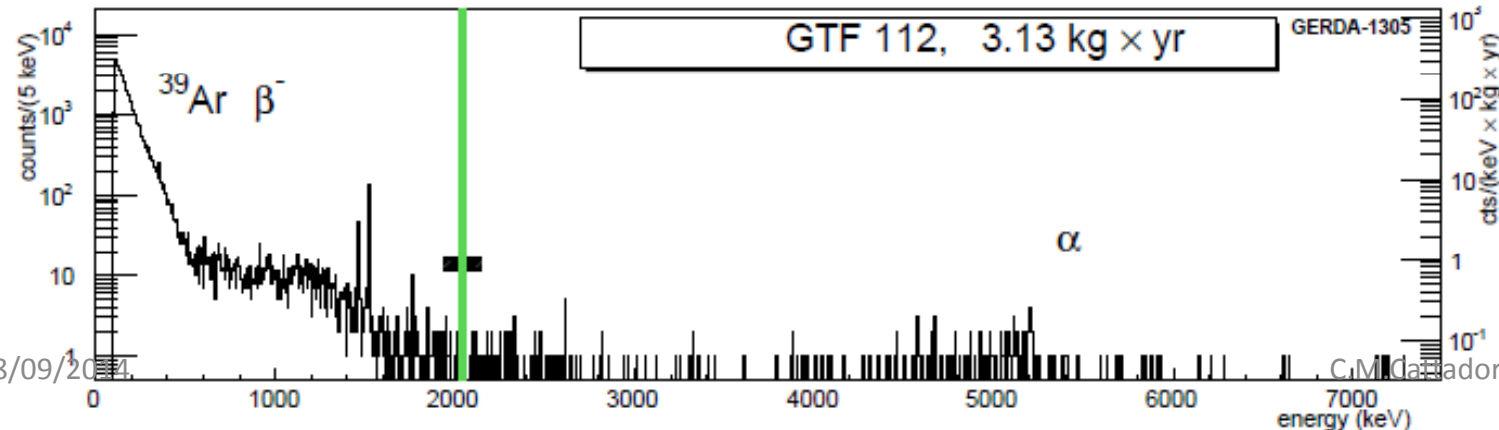
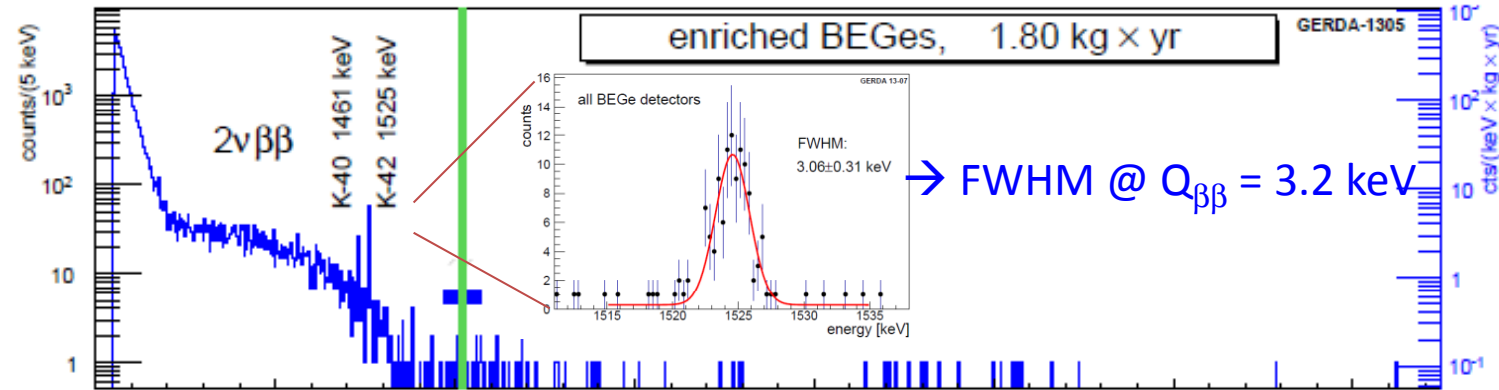
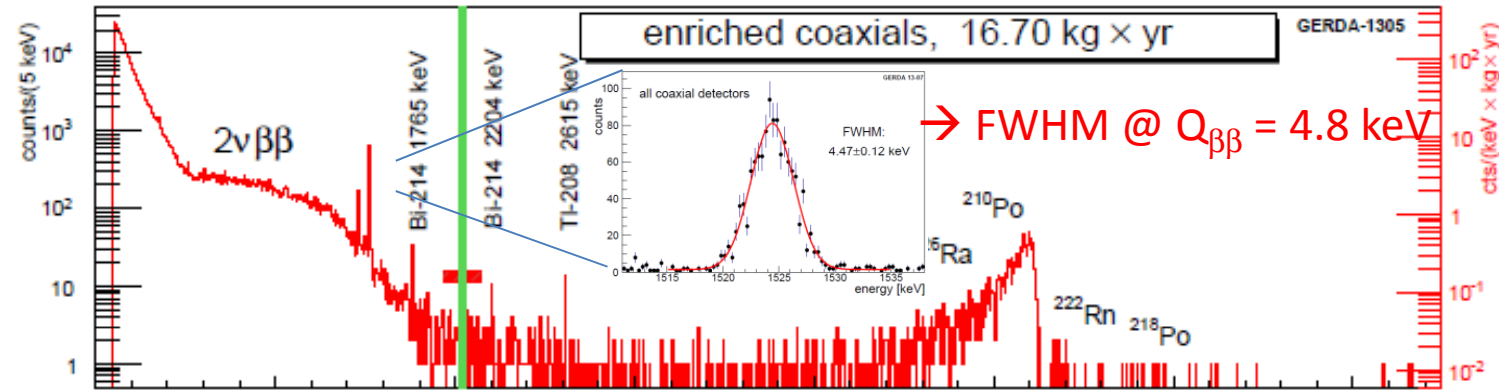
□ GERDA result consistent with HdM-B
 $T_{1/2}^{2\nu} = 1.78_{-0.09}^{+0.07} \cdot 10^{21}$

□ Thanks to low BI reached comparable sensitivity with $\sim 1/10$ exposure

□ $2\nu\beta\beta$ results will improve with

- New measurement of coax active volumes
- Include larger statistics (already available)

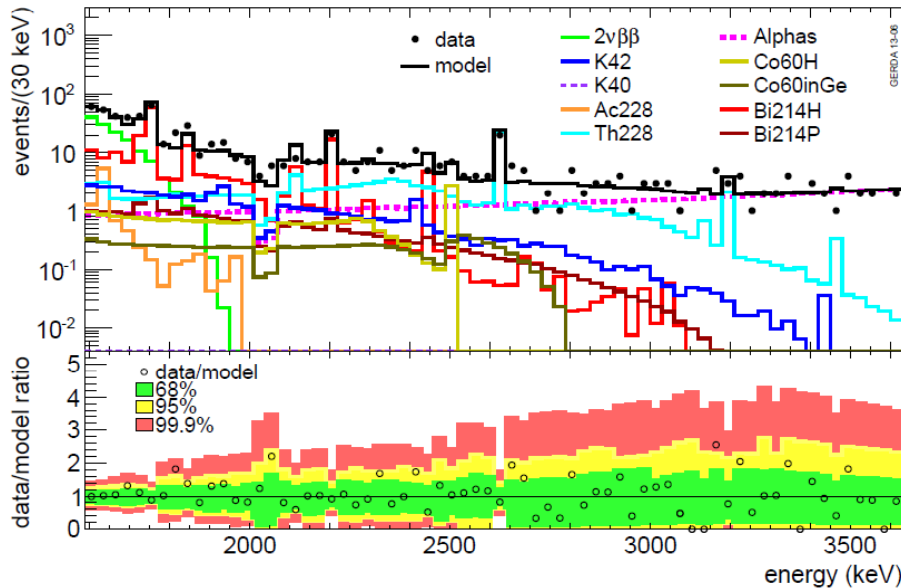
$0\nu\beta\beta$ Search – Blinded analysis: events in ± 20 keV around $Q_{\beta\beta}$ not reconstructed



Identification of Background Components

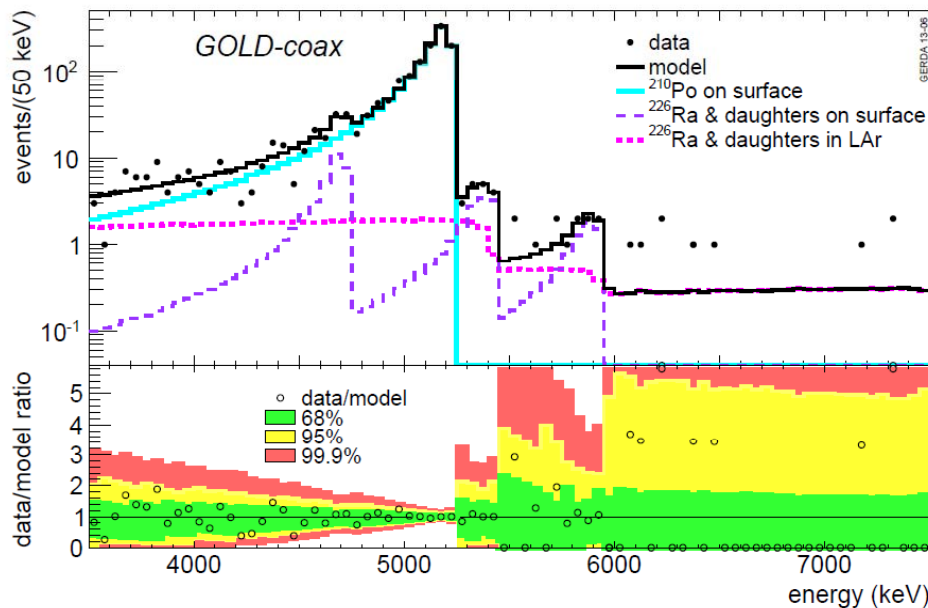


Eur. Phys. J. C 74 (2014) 2764

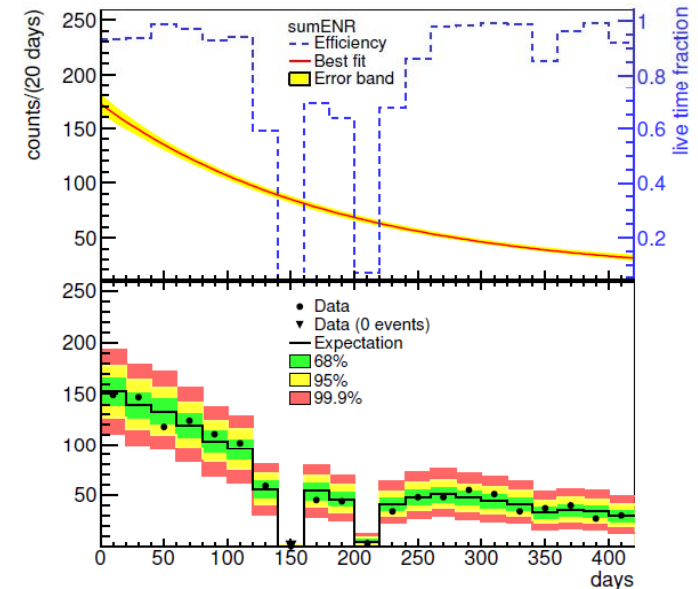


Main Contamination in COAX (with large variations among detectors):

- α contamination from ^{210}Po .
- contamination at time of refurbishment mostly on thin p+ contact
- ^{210}Po decaying away ($t_{1/2}=138$ d)
- BEGes much cleaner in ^{210}Po (> factor 10) than COAX



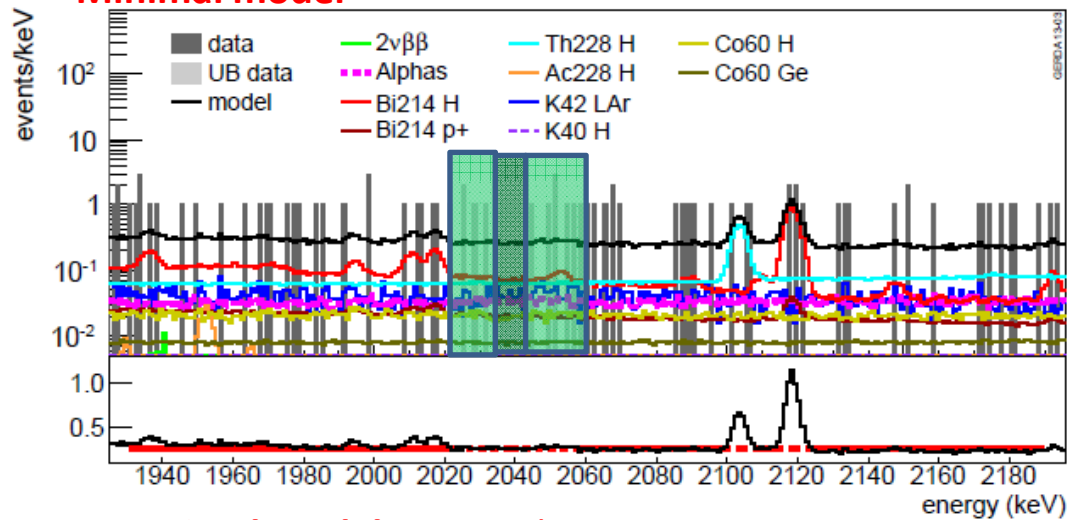
JOW 2014



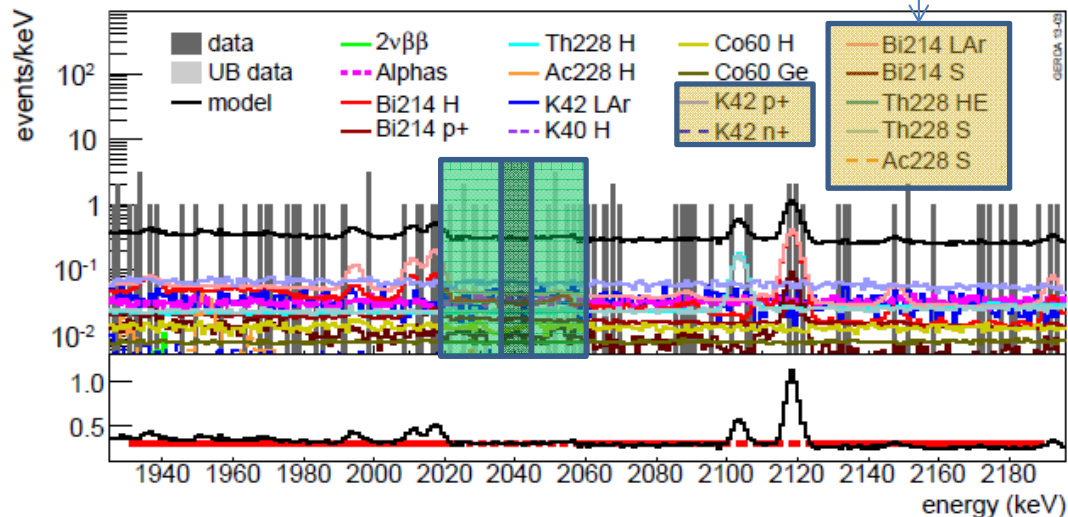
Background model predictions vs data in 260 keV range around $Q_{\beta\beta}$



Minimal model



Maximal model: Minimal +



- The model reproduces a flat background around $Q_{\beta\beta}$ (data still blinded)
- No γ -lines visible in the 30 keV around the $Q_{\beta\beta}$
 → spectra can be fitted with a flat background apart from ^{214}Bi lines @ 2104 keV and 2119 keV

Eur. Phys. J. C 74 (2014) 2764

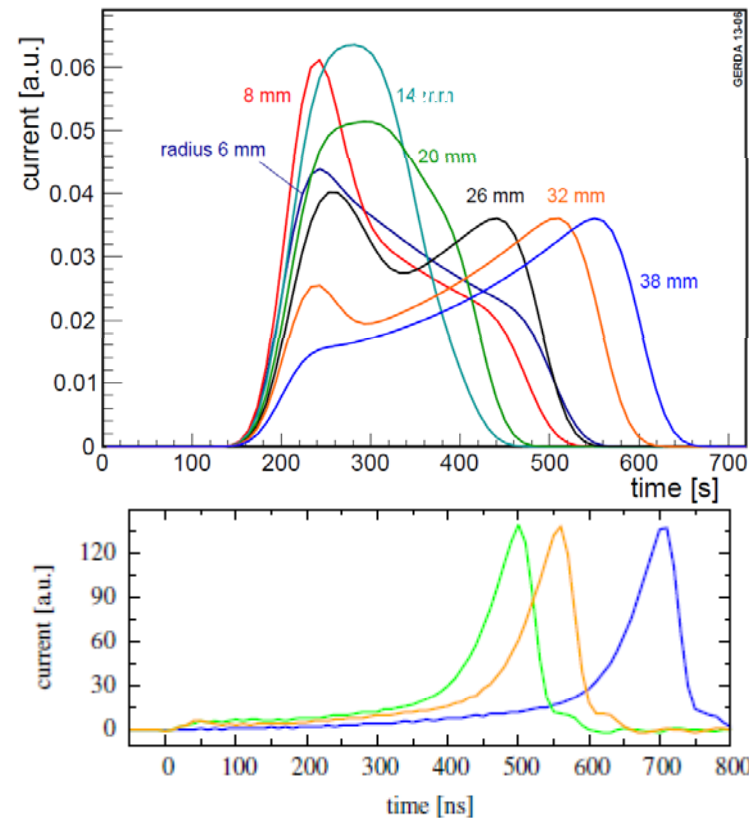
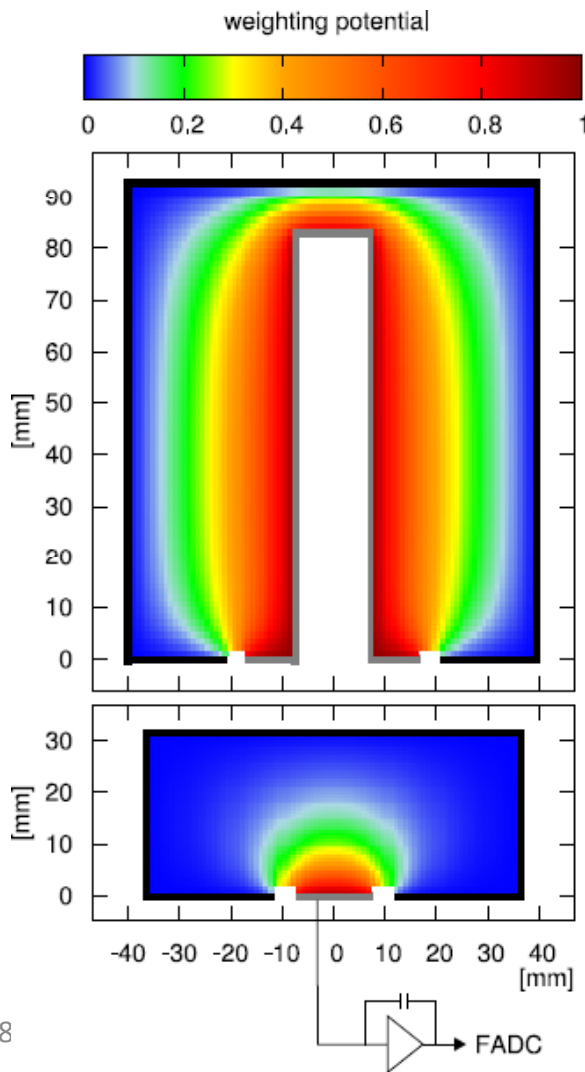
Pulse Shape Discrimination (PSD) to discriminate $\beta\beta$ -like (SSE) to γ -like (MSE) events

EPJC 73(2013) 2583



Different weighting potentials for Coax and BEGe

COAX: Artificial Neural Network (ANN) estimator used as PSD parameter

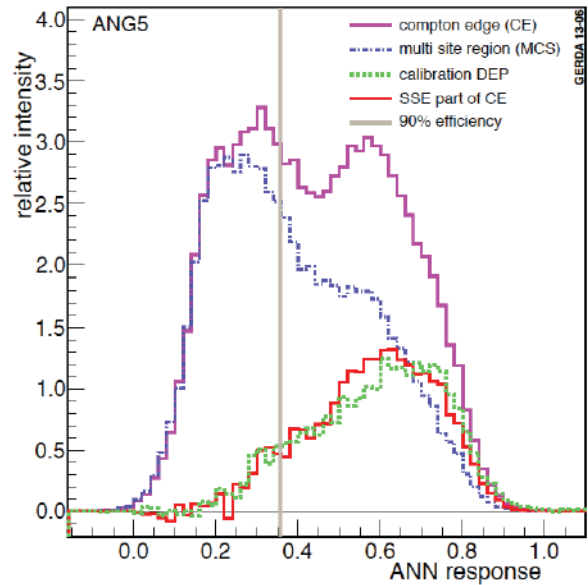


BEGe: Amplitude of Current/Amplitude of Charge Pulse (A/E) is the PSD parameter

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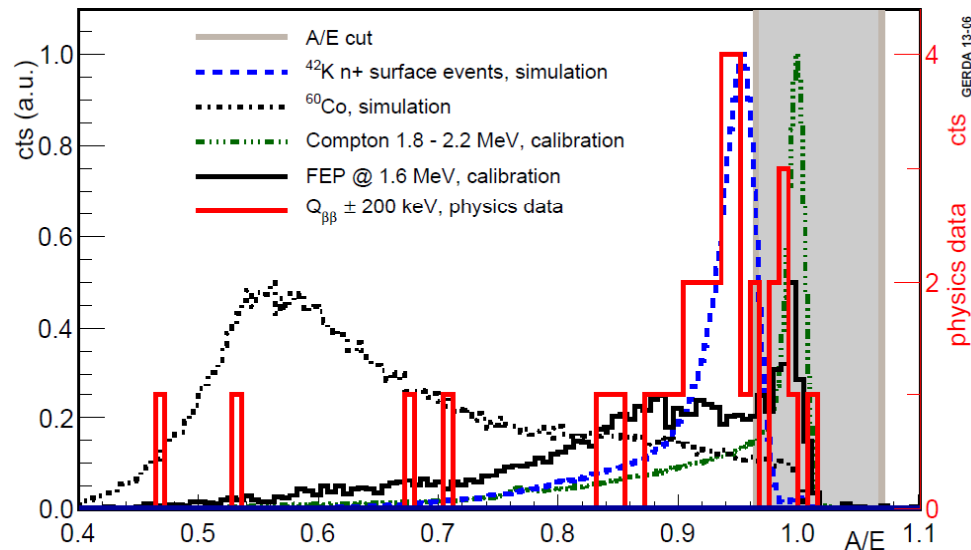
PSD efficiencies

EPJC 73(2013) 2583



PSD Efficiencies experimentally determined @ $Q_{\beta\beta}$ & for $2\nu\beta\beta$ events ($1\text{MeV} < E < 1.5\text{ MeV}$) from calibration (Double Escape Peak of 2.6 MeV line)

	$\epsilon_{2\nu\beta\beta}$	$\epsilon_{0\nu\beta\beta}$
Coax	0.85 ± 0.02	$0.90^{+0.05}_{-0.09}$
BEGe	0.91 ± 0.05	0.92 ± 0.02



Unblinded counts & efficiencies

PRL111(2013)122503



$$T_{1/2}^{0\nu} = \frac{\ln 2 \cdot N_A}{m_{enr} \cdot N^{0\nu}} \cdot \mathcal{E} \cdot \epsilon$$

$$\epsilon = f_{76} \cdot f_{av} \cdot \epsilon_{fep} \cdot \epsilon_{psd}$$

In 230 keV
@ $Q_{\beta\beta}$

In ROI
 ± 5 keV

Expected
bckgd only

data set	\mathcal{E} [kg·yr]	$\langle \epsilon \rangle$	bkg	BI ^{†)}	cts	
without PSD						
<i>golden</i>	17.9	0.688 ± 0.031	76	18 ± 2	5	5.1
<i>silver</i>	1.3	0.688 ± 0.031	19	63^{+16}_{-14}	1	
<i>BEGe</i>	2.4	0.720 ± 0.018	23	42^{+10}_{-8}	1	
with PSD						
<i>golden</i>	17.9	$0.619^{+0.044}_{-0.070}$	45	11 ± 2	2	2.5
<i>silver</i>	1.3	$0.619^{+0.044}_{-0.070}$	9	30^{+11}_{-9}	1	
<i>BEGe</i>	2.4	0.663 ± 0.022	3	5^{+4}_{-3}	0	

^{†)} in units of 10^{-3} cts/(keV·kg·yr).

Bckgrd Rej_{PSD}^{Coax} ~ 43%

Bckgrd Rej_{PSD}^{BEGe} ~ 87%

From Counts to $T_{1/2}^{0\nu}$

PRL111(2013)122503



Performed Profile Likelihood fit of the 3 data sets

- B+S: described by constant term + $\text{Gaus}(Q_{\beta\beta}, \sigma_E)$
- 4 free parameters in the fit $B_{\text{gold}}, B_{\text{silv}}, B_{\text{BEGe}}, 1/T_{1/2}^{0\nu}$
- Systematics folded in

Frequentist approach

Best fit: $N^{0\nu} = 0$

$N^{0\nu} < 3.5$ cts @ 90% C.L.

$T_{1/2}^{0\nu} > 2.1 \times 10^{25}$ yr @ 90% CL

Median sensitivity:

$T_{1/2}^{0\nu} > 2.4 \times 10^{25}$ yr

Bayesian approach

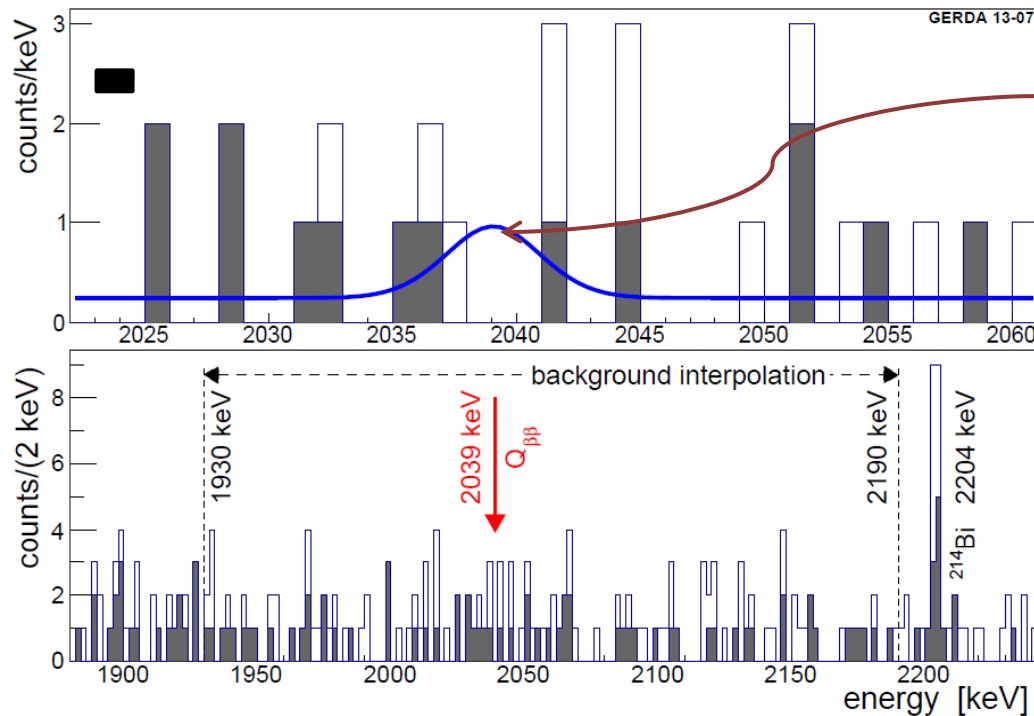
Flat prior for $1/T_{1/2}^{0\nu}$

Best fit: $N^{0\nu} = 0$

$T_{1/2}^{0\nu} > 1.9 \times 10^{25}$ yr @ 90% CL

Median sensitivity:

$T_{1/2}^{0\nu} > 2.1 \times 10^{25}$ yr



GERDA (all data sets) vs $0\nu\beta\beta$ observation claim

PLB 586(2004)

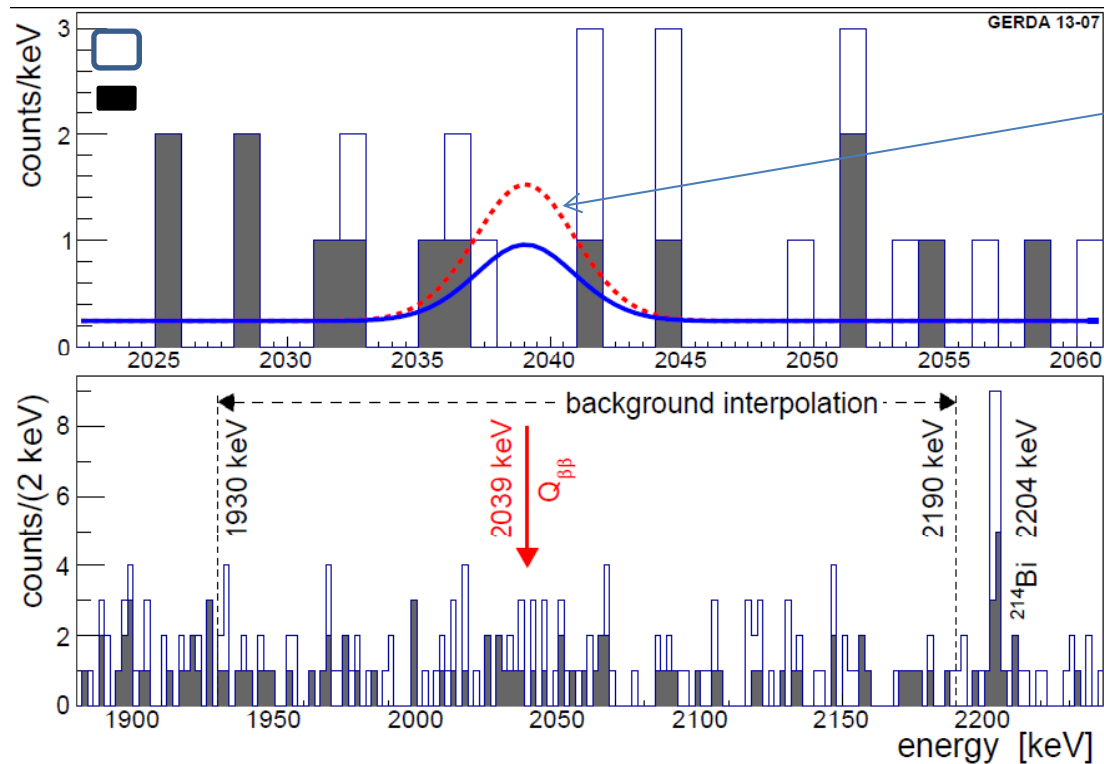


For $T_{1/2}^{0\nu} = 1.19 \times 10^{25}$ yr

Expected Signal (after PSD): 5.9 ± 1.4 cts in $\pm 2\sigma$

Expected Bckgd (after PSD): 2.0 ± 0.3 cts in $\pm 2\sigma$

Observed: 3.0 (0 in $\pm 1\sigma$)



From profile likelihood
Assuming H1 true \rightarrow
 $P(N^{0\nu}=0) = 1\%$

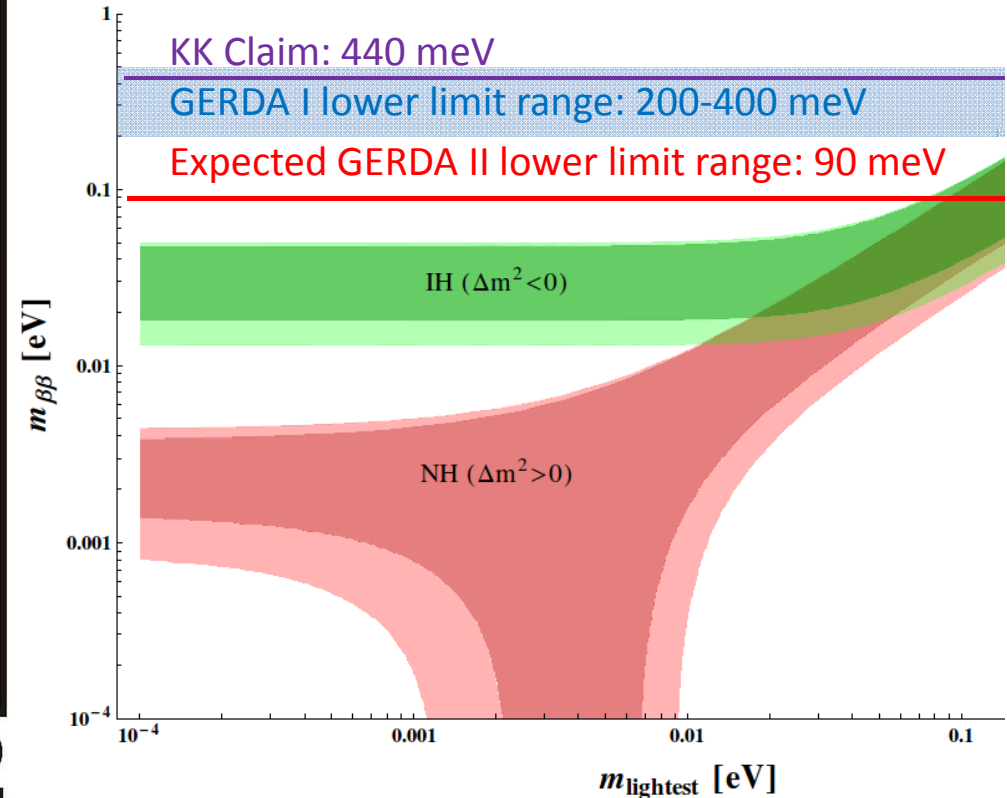
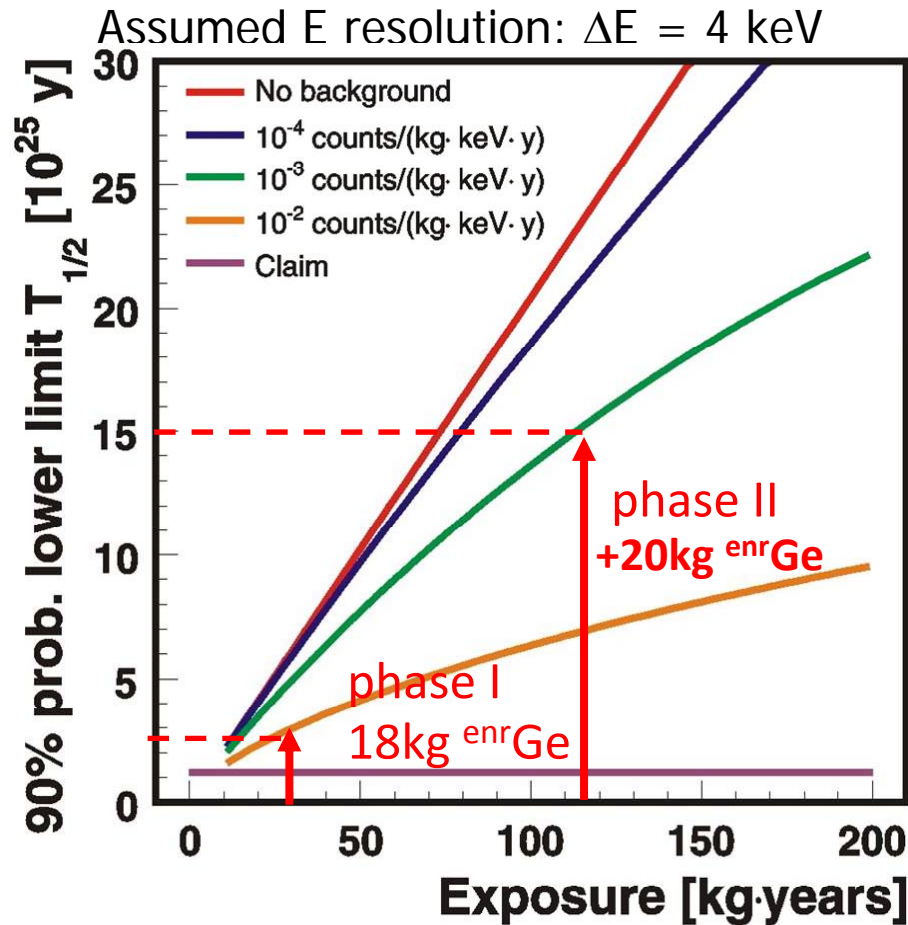
Comparing
H1: Claimed signal
H0: Background only
Bayes factor
 $P(H1)/P(H0) = 0.024$
(uncertainties on claim included)

Claim poorly credible

Status of experimental searches

Isotope	$T_{1/2}^{2\nu}$ (10^{19} y)	$T_{1/2}^{0\nu}$ (10^{24} y)	$\langle m_{\beta\beta} \rangle$ (meV)
^{48}Ca	$4.4 \pm 0.5(\text{stat}) \pm 0.4(\text{syst})$	>0.058	3515-14133
^{76}Ge	$1.78^{+0.07}_{-0.09}$	$22.3^{+4.4}_{-3.1}$	400
^{76}Ge	$184 \pm 90 (\text{stat}) \pm 11(\text{syst})$	>21.0 $> 30_{\text{GERDA\&IGEX\&HdM}}$	201-638
^{82}Se	$9.6 \pm 0.1(\text{stat}) \pm 1.0(\text{syst})$	>0.32	884-2631
^{96}Zr	$2.35 \pm 0.14 (\text{stat}) \pm 0.16 (\text{syst})$	>0.0092	4207-15139
^{100}Mo	$0.716 \pm 0.001 (\text{stat}) \pm 0.054 (\text{syst})$	> 1.0	334-946
^{116}Cd	$2.88 \pm 0.04 (\text{stat}) \pm 0.16 (\text{syst})$	> 0.17	1300-2440
^{130}Te	$70 \pm 9 \pm(\text{stat}) 11 (\text{syst})$	> 2.8	296 – 773
^{136}Xe	$217.2 \pm 1.7 (\text{stat}) \pm 6 (\text{syst})$	>26	140-280
^{150}Nd	$0.911 \pm 0.025 (\text{stat}) \pm 0.063 (\text{syst})$	> 0.018	2622-5678

GERDA II Expected Sensitivity



From Dell'Oro, Marcocci, Vissani, hep-ph/1404.2616v1

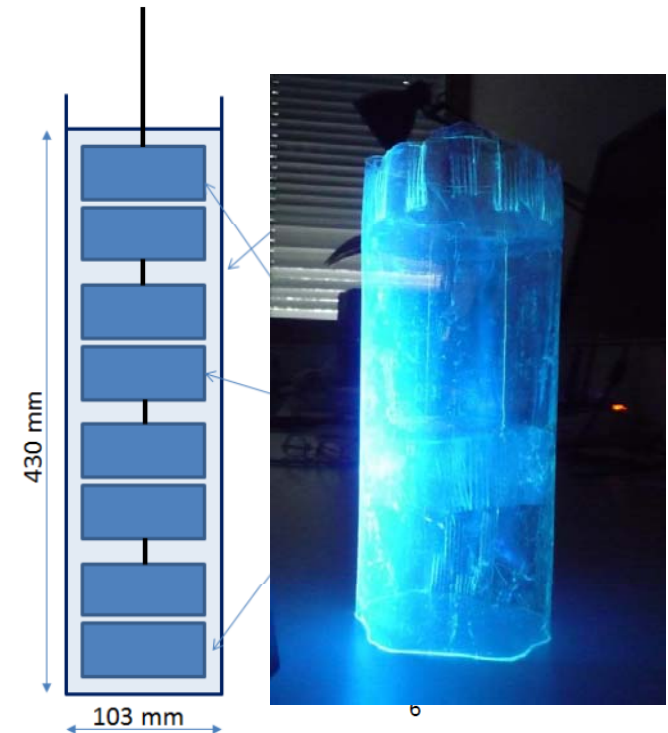
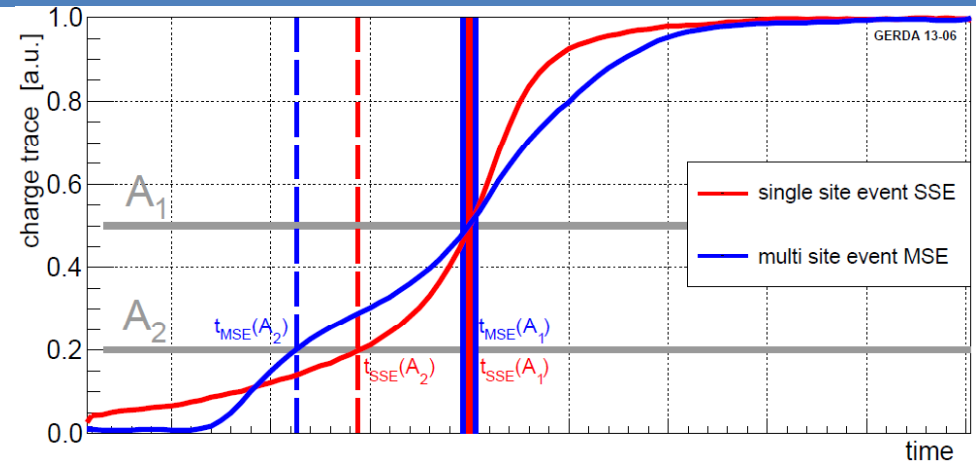
□ Reach a BI $\sim 10^{-3}$ cts/(keV·kg·yr) at Q_{bb} (± 200 keV ROI)

□ Reach $T_{1/2}^{0\nu} \sim 1.5 \cdot 10^{26}$ yr (120 kgy exposure) $\rightarrow \langle m_{\beta\beta} \rangle \leq 0.09-0.15$ eV

GERDA Strategy to improve $T_{1/2}$ limits



- ❑ Increase ^{enr}Ge mass (~ 40 kg in total) 21 kg in form of Ge-BEGe detectors
- ❑ \rightarrow enhanced PSD to pinpoint $\beta\beta$ events (Single Site) vs residual γ events (Multi Site)
- ❑ Reduce radioactivity of Ge holders and mechanical structures
- ❑ New Ge readout electronics with closer FE devices in die for improved FWHM
- ❑ LAr as active media (active detector) and not only as passive shield
- ❑ ^{42}K bkgd: Transparent Nylon Mini Shroud (NMS) coated with WLS (instead of Cu opaque) surrounding each BEGe detector string.



GERDA Phase II



Phase I



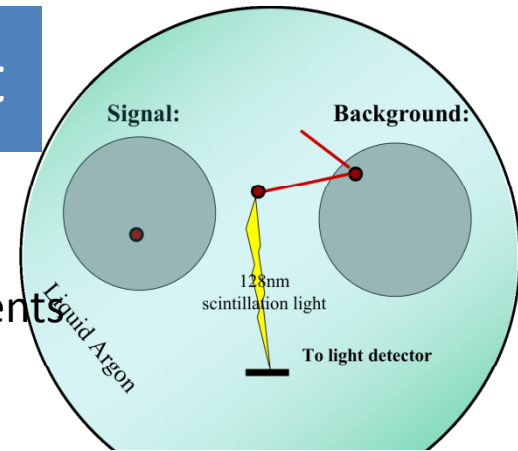
Phase II

Phase I: 13 kg of ^{enr}Ge COAX Detectors
3 kg of $^{enr}BEGe$ Detectors
w. enhanced PSD

Phase II: 18 kg of ^{enr}Ge COAX Detectors
21 kg of ^{enr}Ge BEGe Detectors
w. enhanced PSD

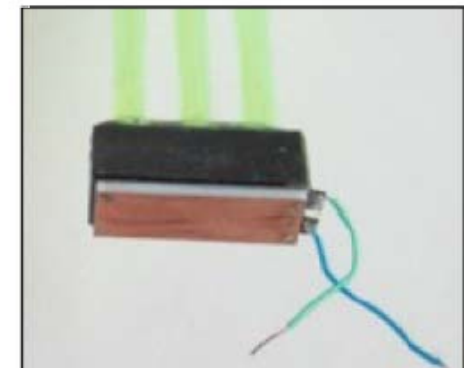
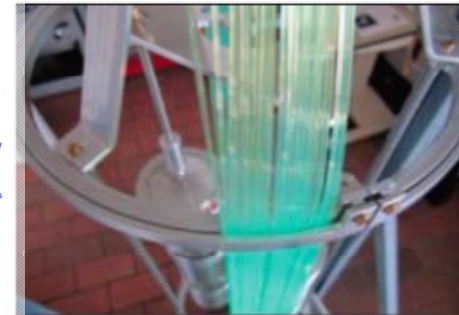
Readout of Liquid Argon Scintillation Light

Strategy: Wide angle eye: 16 PMTs
 Closer eye: Fiber Shroud readout by SiPMs
 Expected: Suppression factor ~ 10 for ^{214}Bi and $\gg 10$ for ^{232}Th events



Inner Fiber Shroud: SiPMs + WLS Fibers

SiPM/Fiber readout



Top/Bottom: PMTs



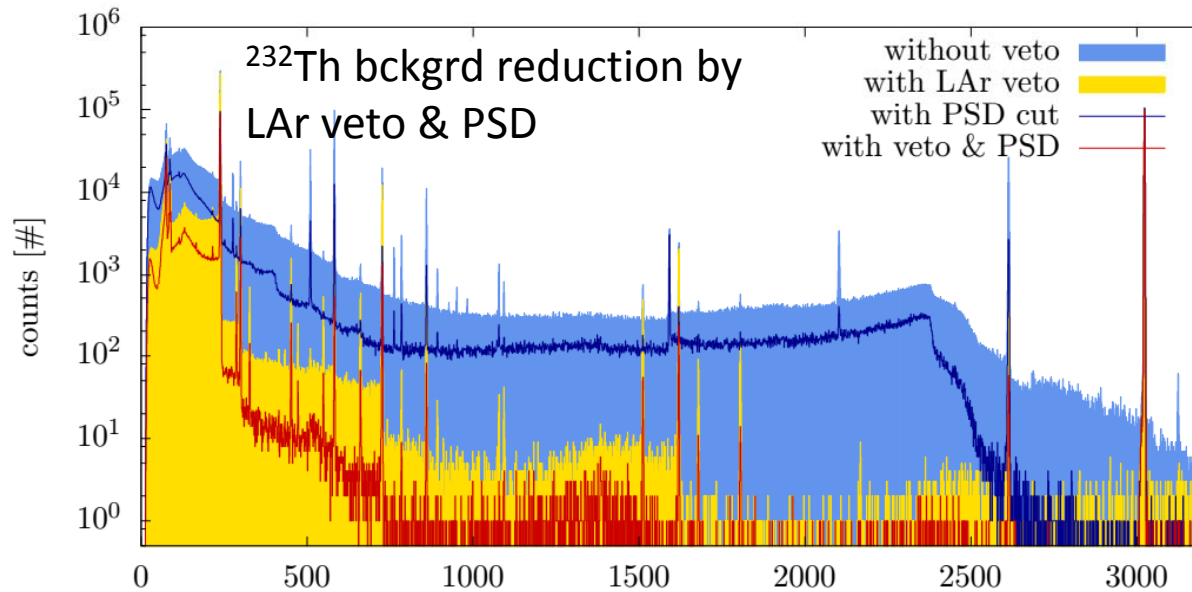
TOP: 9 PMTs
 CU shroud 1
 h: 60 cm
 diam: 49 cm

MIDDLE
 h: 100 cm,
 diam: 47 cm
 Dense curtain
 of scintillating
 fibers readout
 by SiPMs

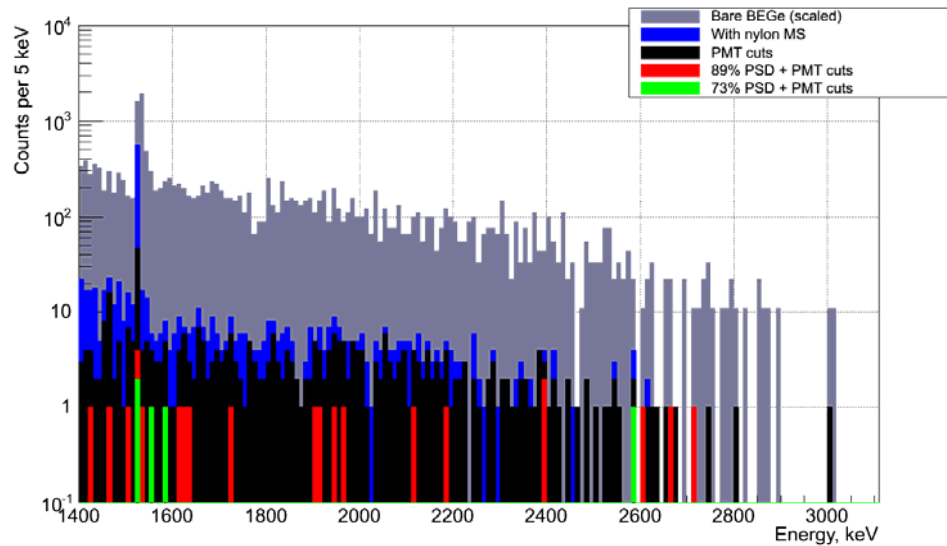
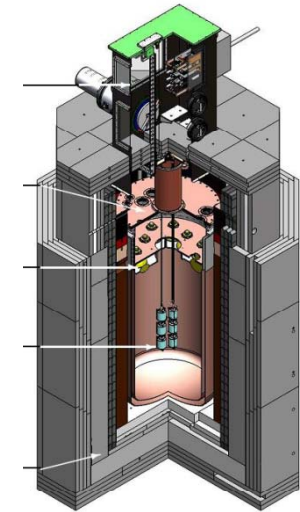
BOTTOM: 7 PMTs
 CU shroud 2
 h: 60 cm
 diam: 49 cm



^{42}K backgrd mitigation by Nylon Mini Shroud and LAr veto



Measured ^{42}K bckgrd reduction:
1BEGe in Nylon Mini Shroud (NMS) & PMTs & PSD
 10^2 - 10^3



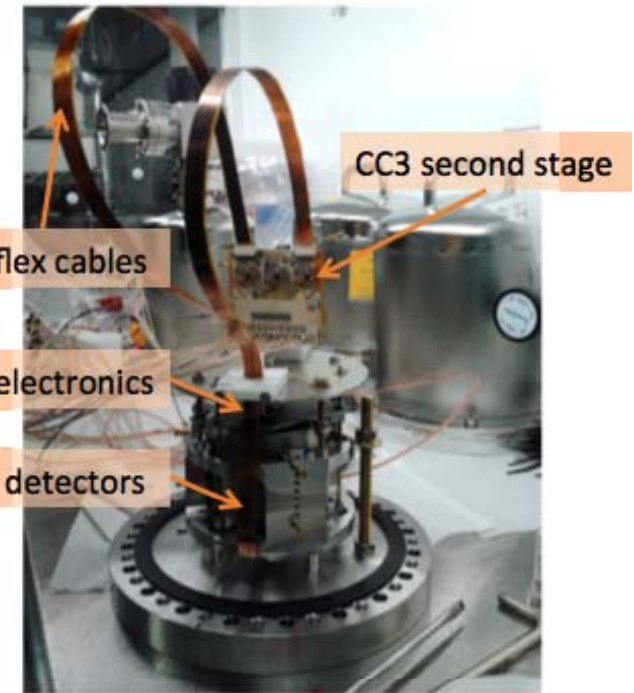
W 2014



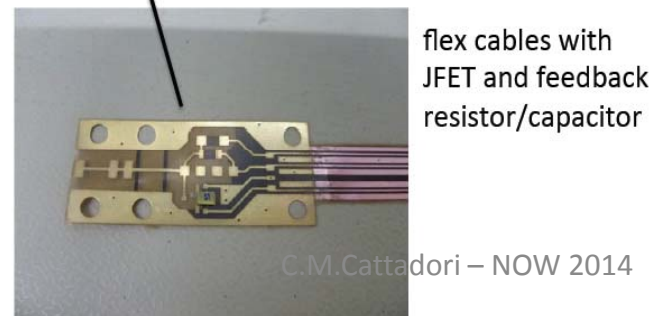
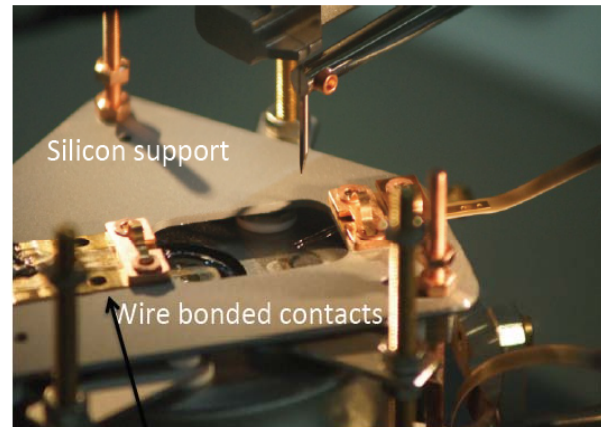
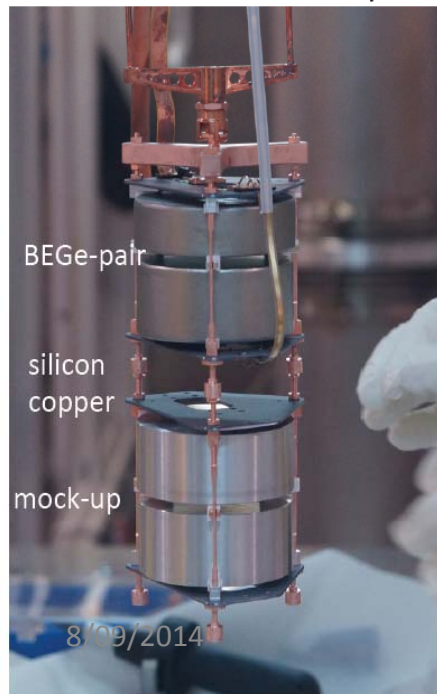
Ge detectors holders and Front End (FE) Electronics



- Holders: Si plates instead of Cu (improved radiopurity)
- Upgraded Circuit (based on commercial CMOS selecte for cryogenic applications.
- Phase II FE: FE Devices (JFET in die Feedback R and C) onto the Si Plate
- Phase I FE: On CSA PCBs at 80 cm distance from botton detector



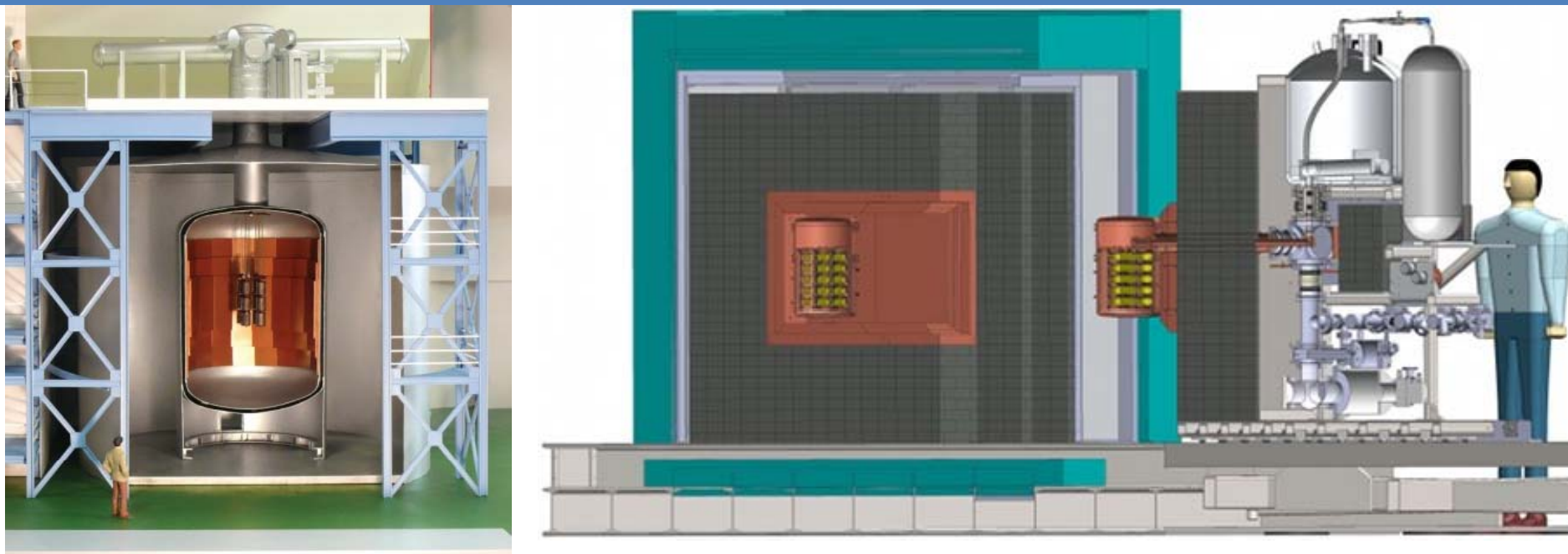
Phase II detector assembly



Achieved in Phase II Tests

- FWHM: 2.6 keV @ 2.6 MeV
- Electronic Noise: 0.9 keV
- FWHM of PSD Parameter: ~ 1%
- Survival Fraction of Compton Continuum @ $Q_{\beta\beta}$ after PSD Cut ~ 50%

What Next GERDA II ?



- Majorana Demonstrator at SURF (Sanford Underground Facility) is in advanced stage of construction. Operation of the First String is expected soon.
- It consist of 40 kg of Ge BEGe/PIN Point Detectors 30 kg are ^{enr}Ge .
- The goal of the demonstrator is to show that the chosen technique (operate detectors in cryostat made of Cu electroformed underground) can achieve a BI of 1 cts/(t·y) in a 4 keV ROI @ $Q_{\beta\beta}$ (i.e. $< 10^{-3}$ cts/(keV·kg·y))
- At the completion of GERDA II and Majorana Demonstrator physics program, Gerda & Majorana projects could merge data & detectors, pinpointing the best technique.

Summary

- GERDA I collected 21.6 kg·y exposure in the time period 2011-2013, with
 - BI 10^{-2} cts/(keV · kg · y) and
 - FWHM ~ 4.8 keV (for COAX detectors)
 - FWHM ~ 3.2 keV (for BEGe detectors)
 - Pulse Shape Discrimination with 90% acceptance for efficiency for single site events
- No excess count has been found over the expected background
 - After PSD: 3 cts found vs 2.5 expected
 - Best fit: $N^{0\nu} = 0$
 - $N^{0\nu} < 3.5$ cts @ 90% C.L.
 - $T_{1/2}^{0\nu} > 2.1 \times 10^{25}$ yr @ 90% CL**
- The $0\nu\beta\beta$ claim has not been confirmed
- Since 2013 GERDA is upgrading to complete Phase II of the foreseen experimental program
 - 21 kg of BEGe detectors w. Enhanced PSD capabilities + 18 kg COAX detectors
 - LAr will be readout and will act as veto
 - FWHM expected < 3 keV for BEGe detectors
- The expected sensitivity
 - $T_{1/2}^{0\nu} > 1.5 \times 10^{26}$ yr @ 90% CL for an exposure of 120 kg·y $\rightarrow m_{ee} < 90$ meV**