

Results on $0\nu\beta\beta$ decay of ^{76}Ge

from the GERDA experiment

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MAX-PLANCK-GESELLSCHAFT



Max-Planck-Institut für Physik
(Werner-Heisenberg-Institut)

Neutrinoless double beta decay

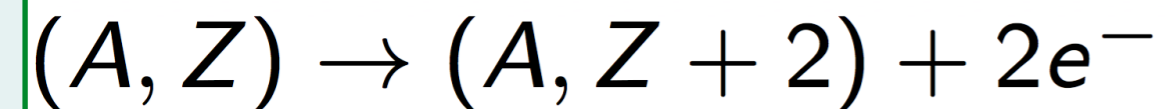


$2\nu\beta\beta$

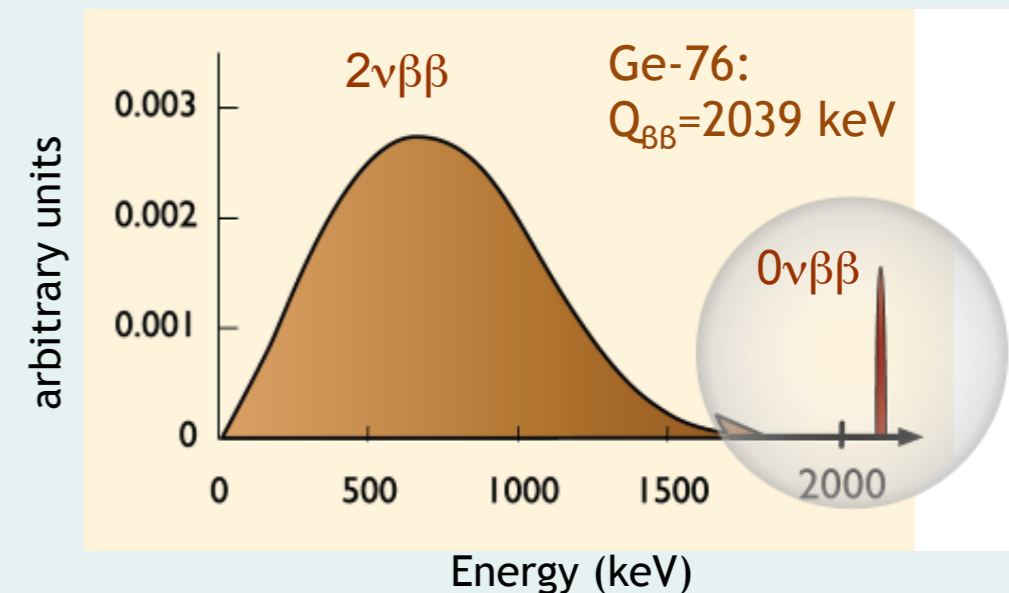


- Standard Model allowed process
- observed for several isotopes (^{76}Ge , ^{130}Te , ^{136}Xe ...)
- $T_{1/2}$ in range 10^{19} - 10^{24} yr

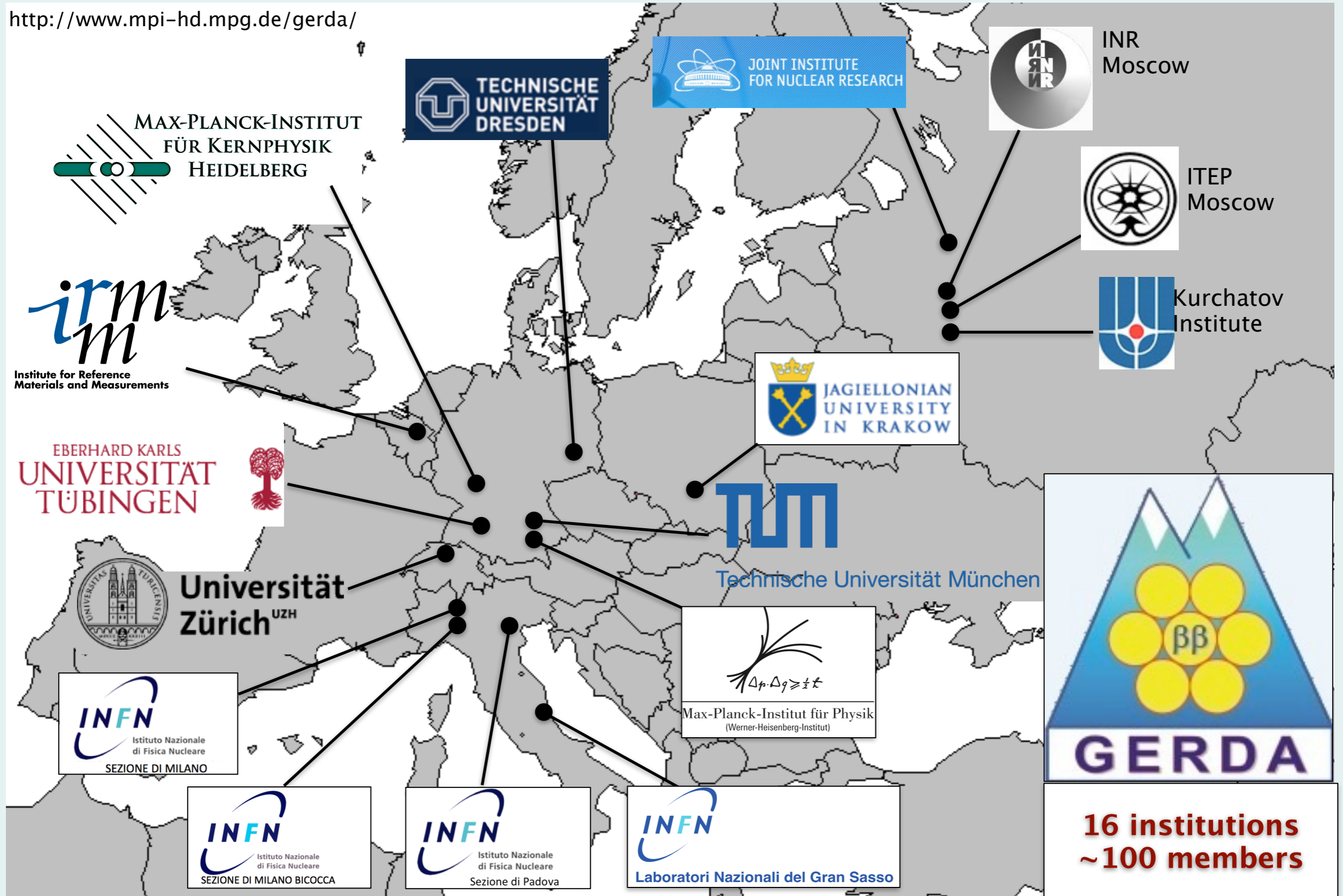
$0\nu\beta\beta$



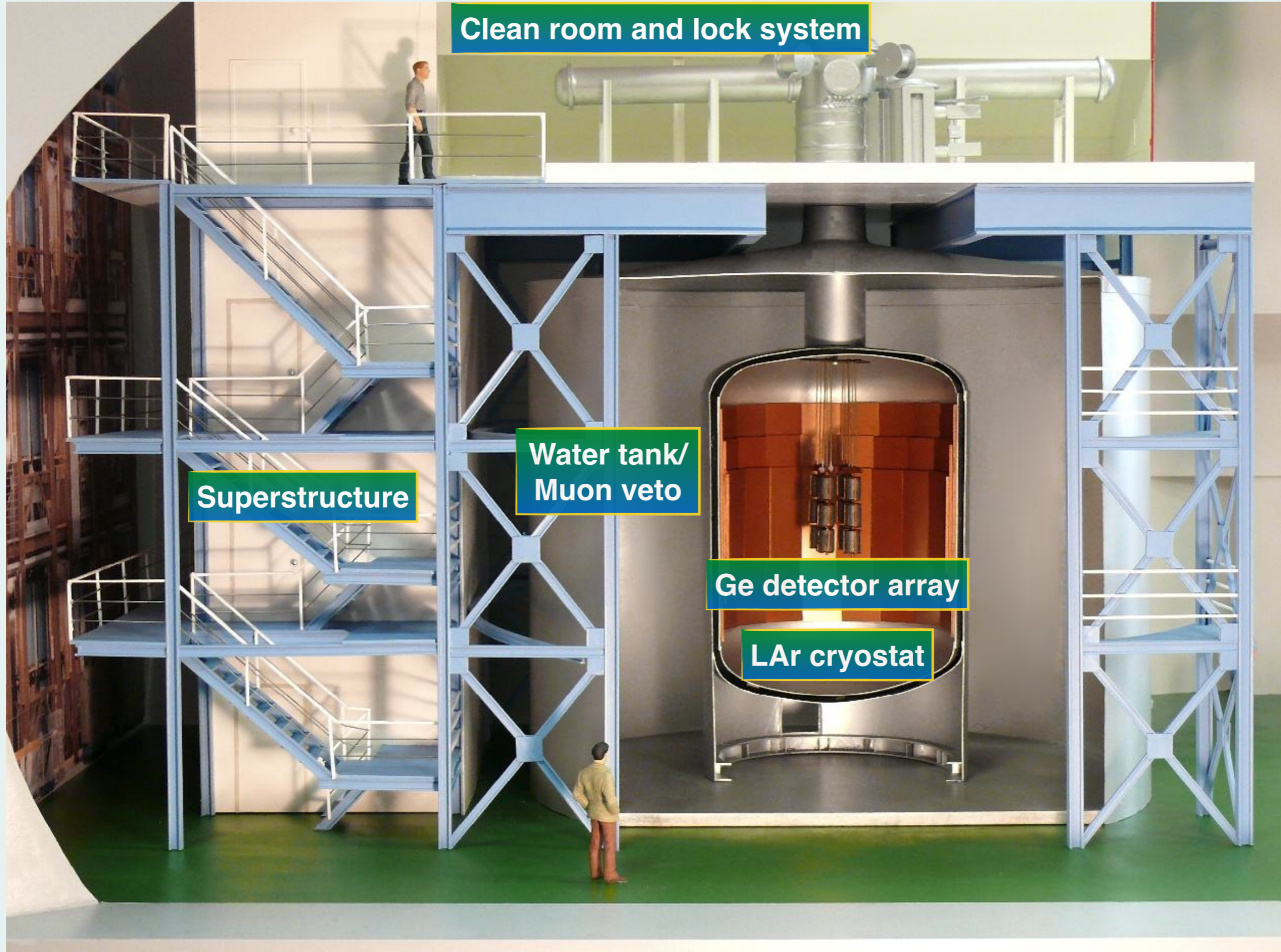
- lepton number violation $\Delta L=2$
- physics beyond the Standard Model
(light Majorana ν , R-handed weak currents, SUSY particles ...)
- ν have Majorana character
- mass scale and hierarchy
- $T_{1/2}$ limits in the range 10^{21} - 10^{26} yr
(one claim for signal by HdM subgroup)



The GERDA collaboration

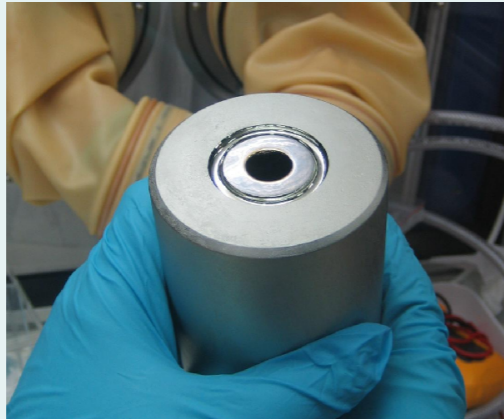


The GERDA experiment



Phase I detectors

Semi-coaxial



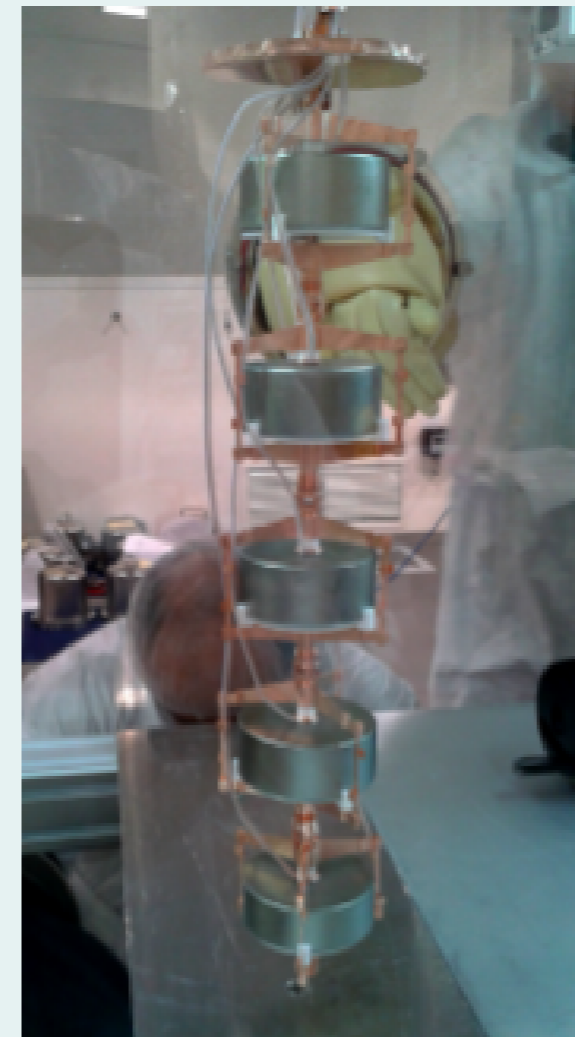
BEGe



8 enriched semi-coaxial p-type HPGe detectors (refurbished HdM and IGEX diodes)

~86% enrichment fraction

14.6 kg

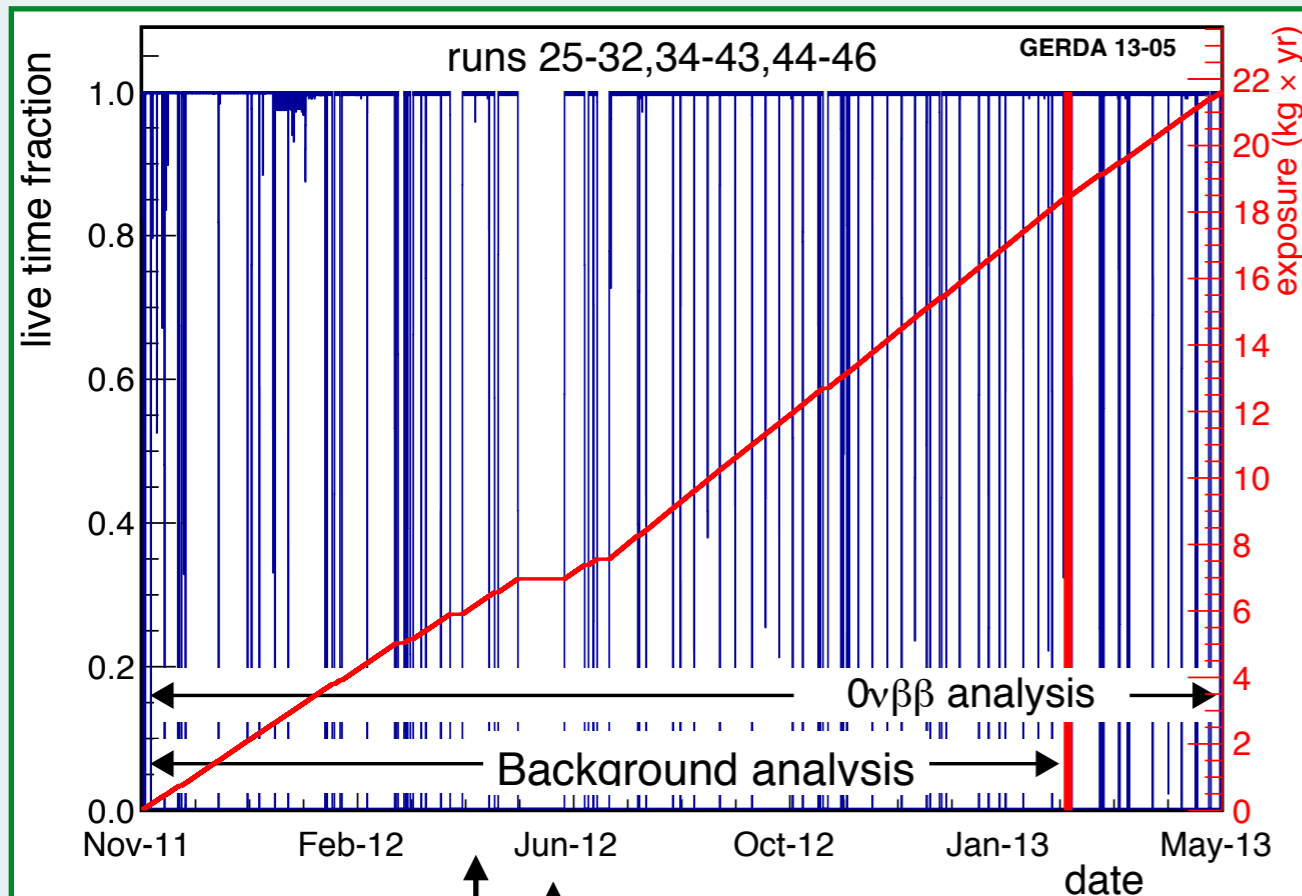


5 enriched p-type BEGe detectors

~88% enrichment fraction

3.0 kg

Overview of Phase I data taking



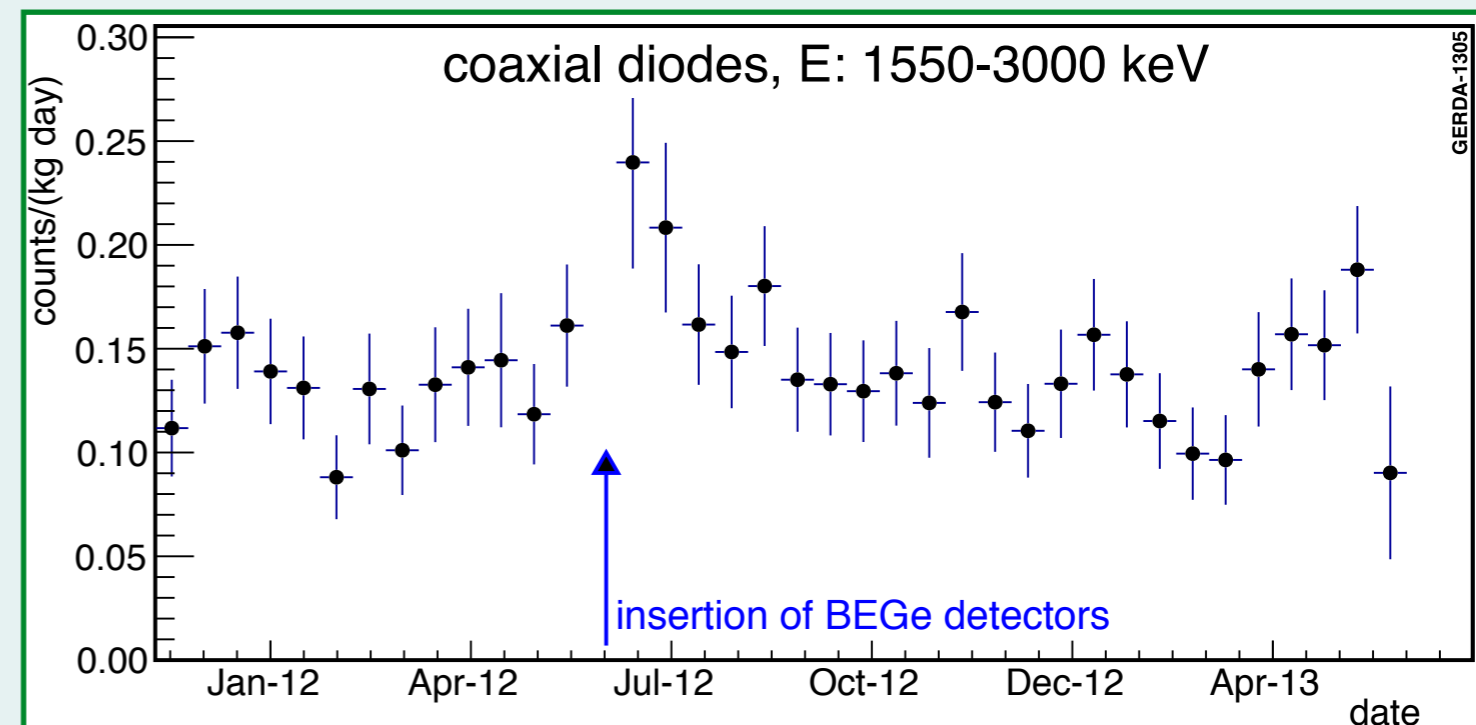
Data set	Exposure (kg yr)
Coaxial (Golden)	17.9
Coaxial (Silver)	1.3
BEGe	2.4
Total	21.6

- stable background index over time
- temporary increase after BEGe detectors insertion

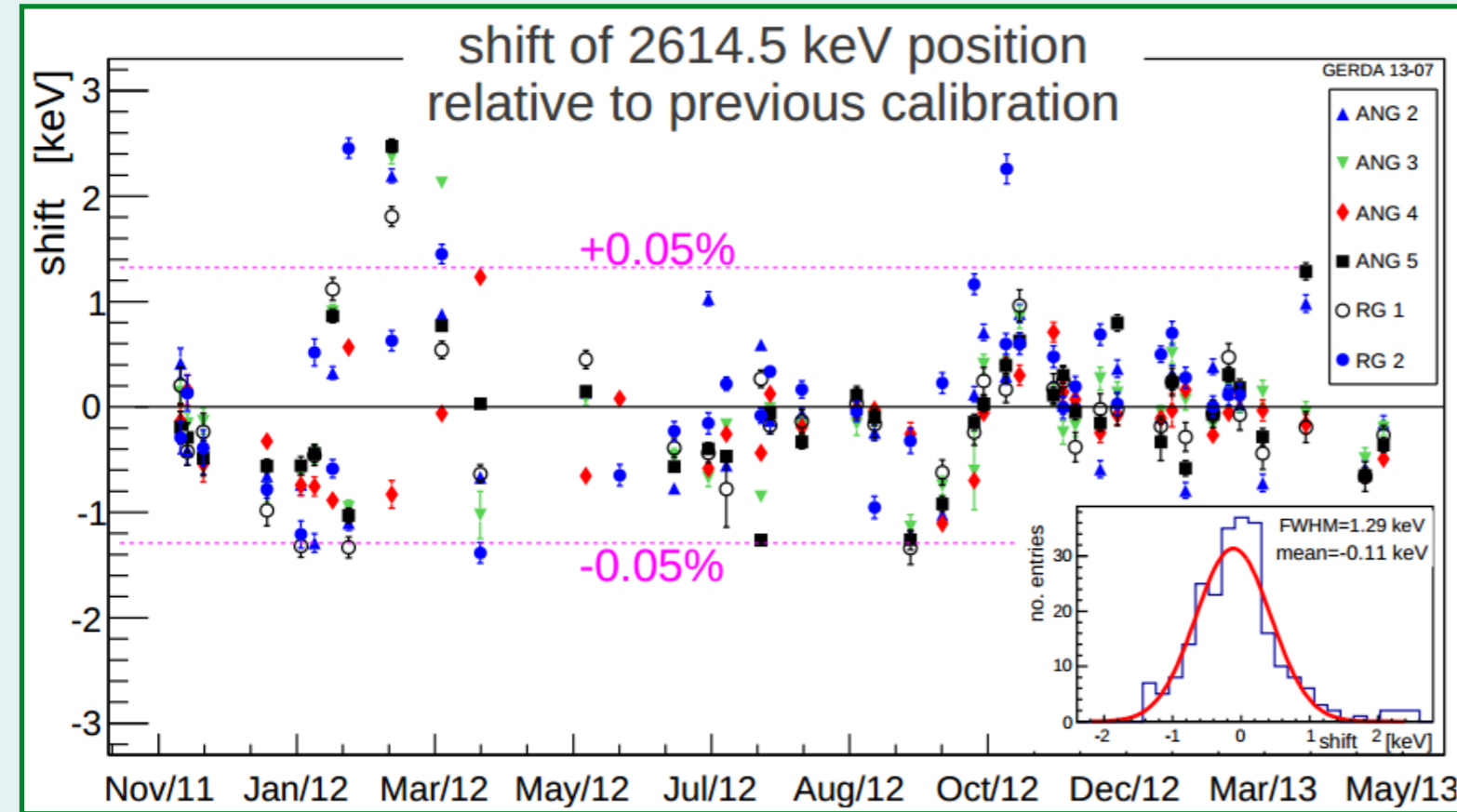
5 enr BEGe insertion

2νββ analysis (5.04 kg yr)

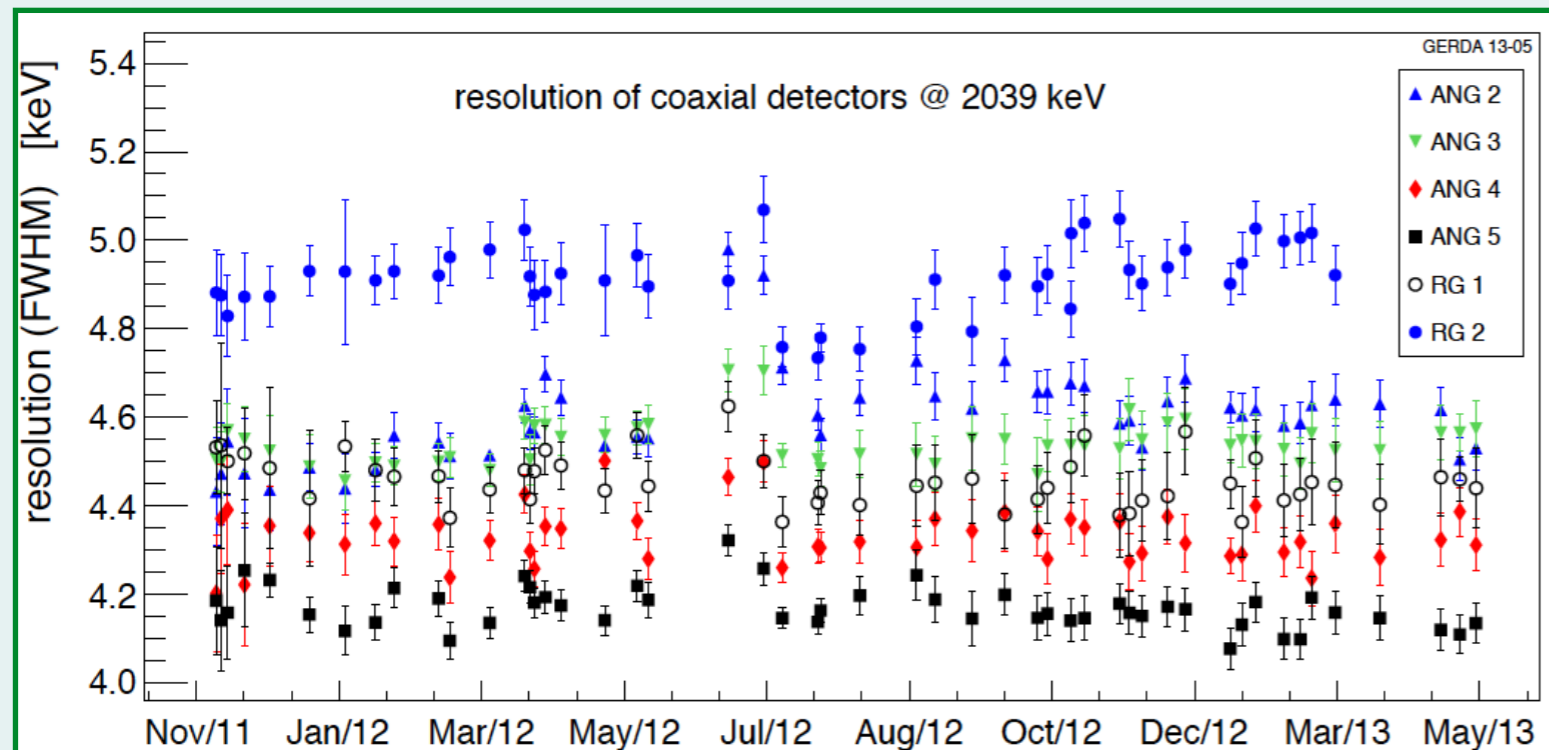
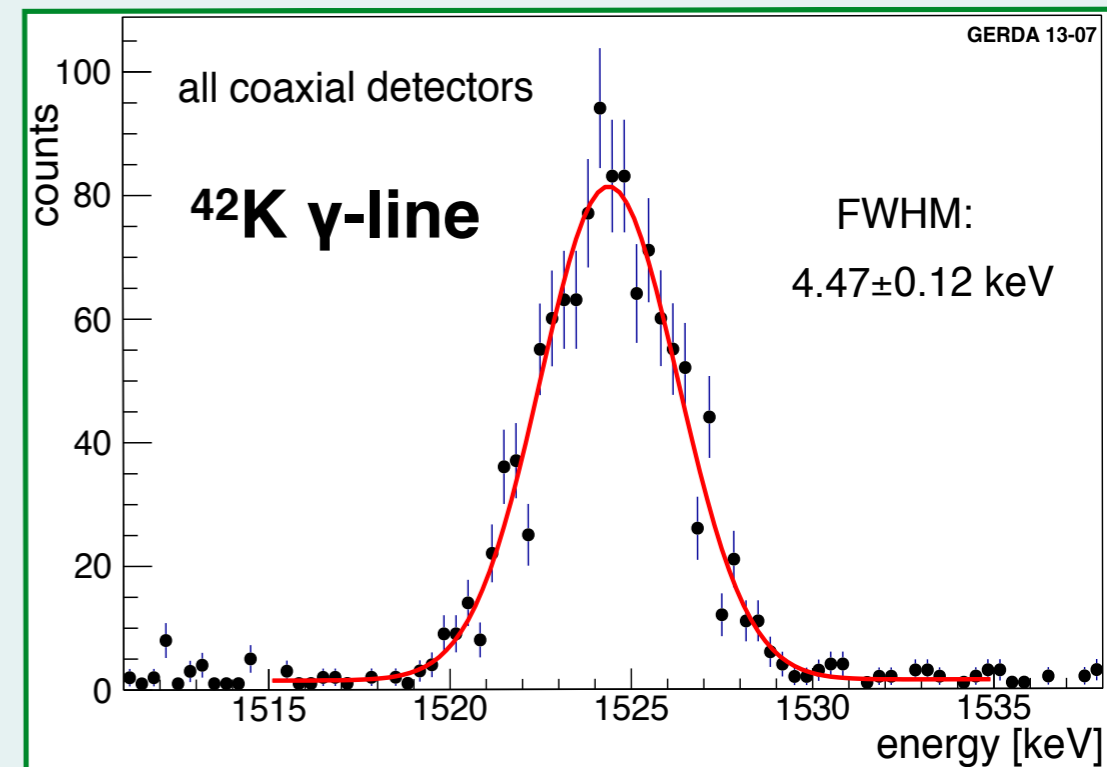
- data taking: Nov11-May13 (492 days)
- average duty cycle 88%
- bi-weekly calibration ^{228}Th (“spikes”)



Calibration and energy resolution

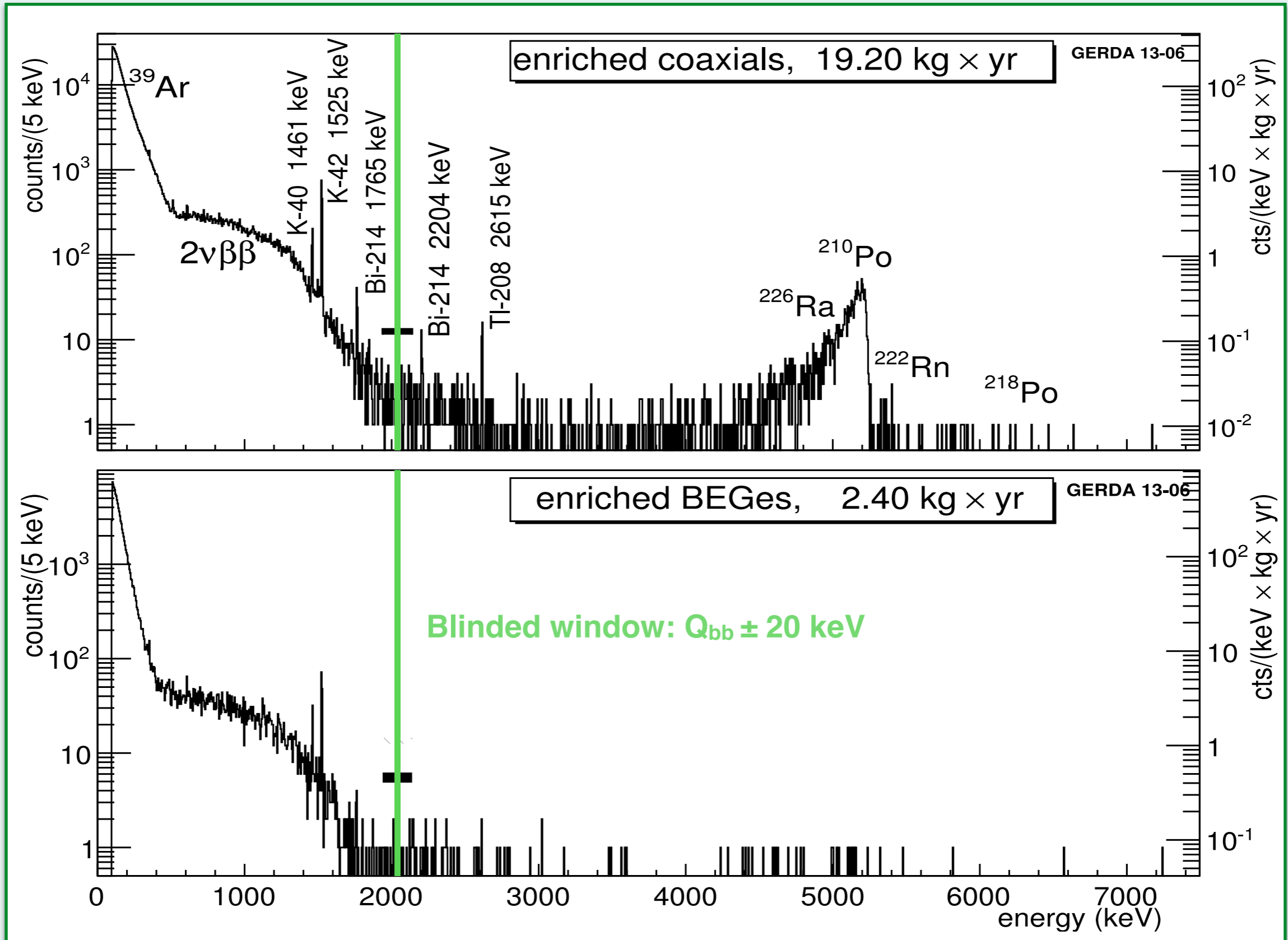


- energy shift between successive calibrations less than 1keV at Q_{bb}
- energy resolution stable

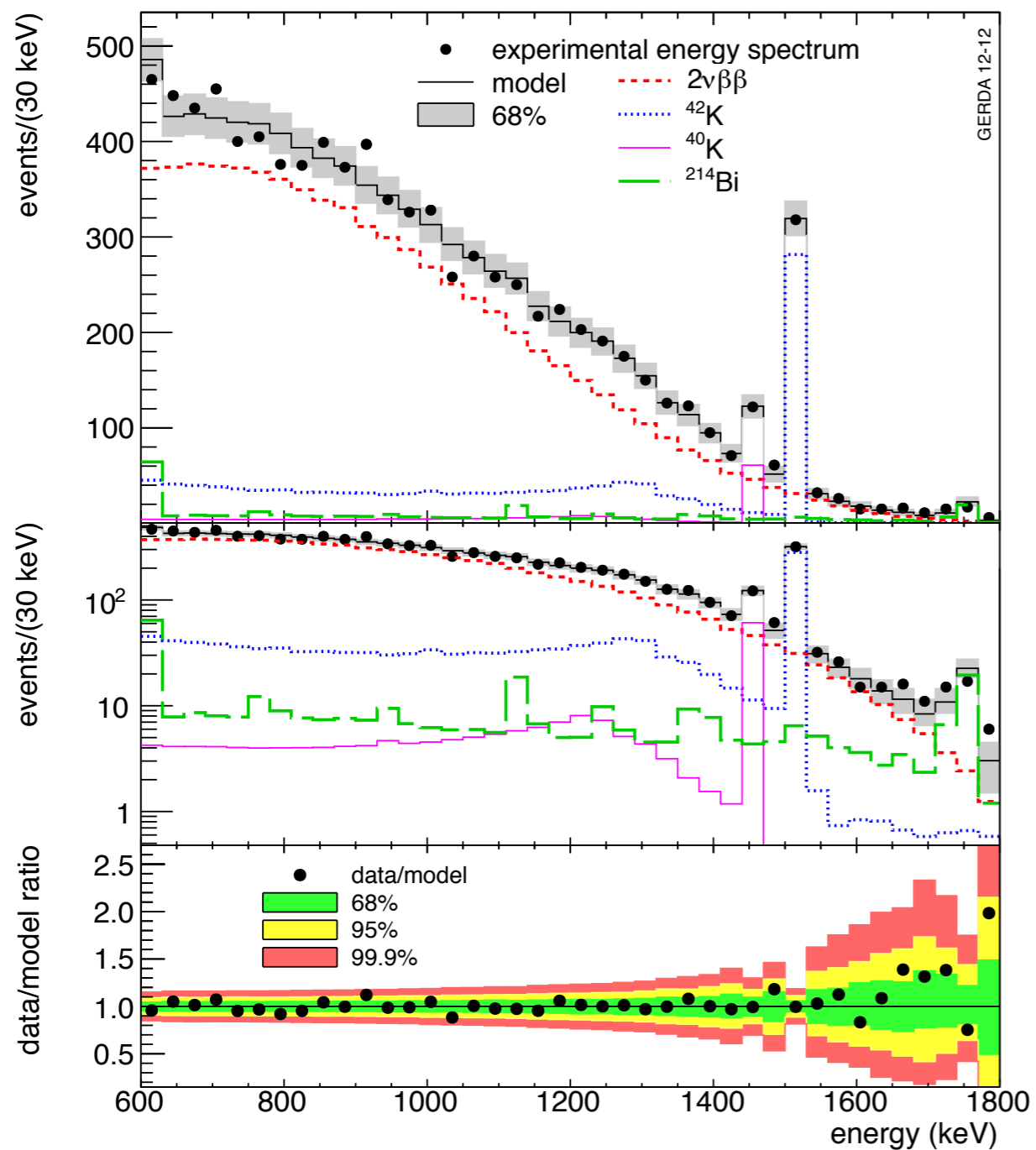


mean energy resolution (FWHM) at $Q_{bb}=2039\text{keV}$
Semi coax 4.8 ± 0.2 keV
BEGe 3.2 ± 0.2 keV

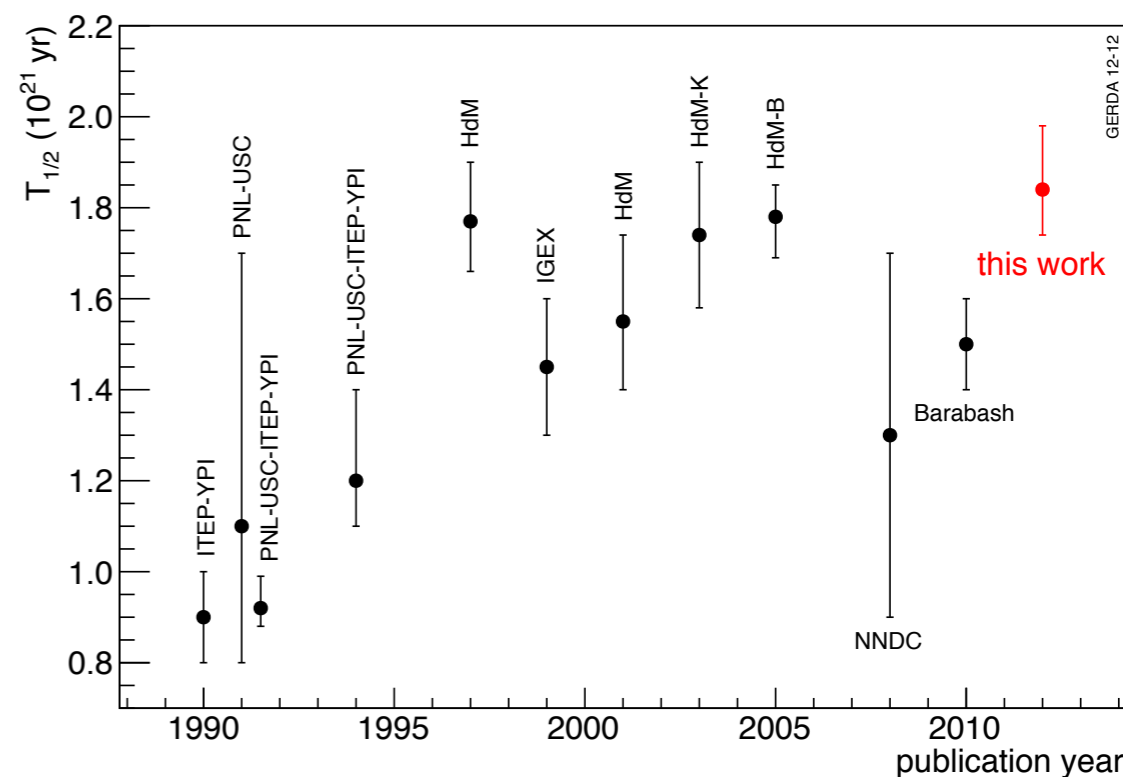
Phase I energy spectrum



Measurement of the $2\nu\beta\beta$ half-life of ^{76}Ge

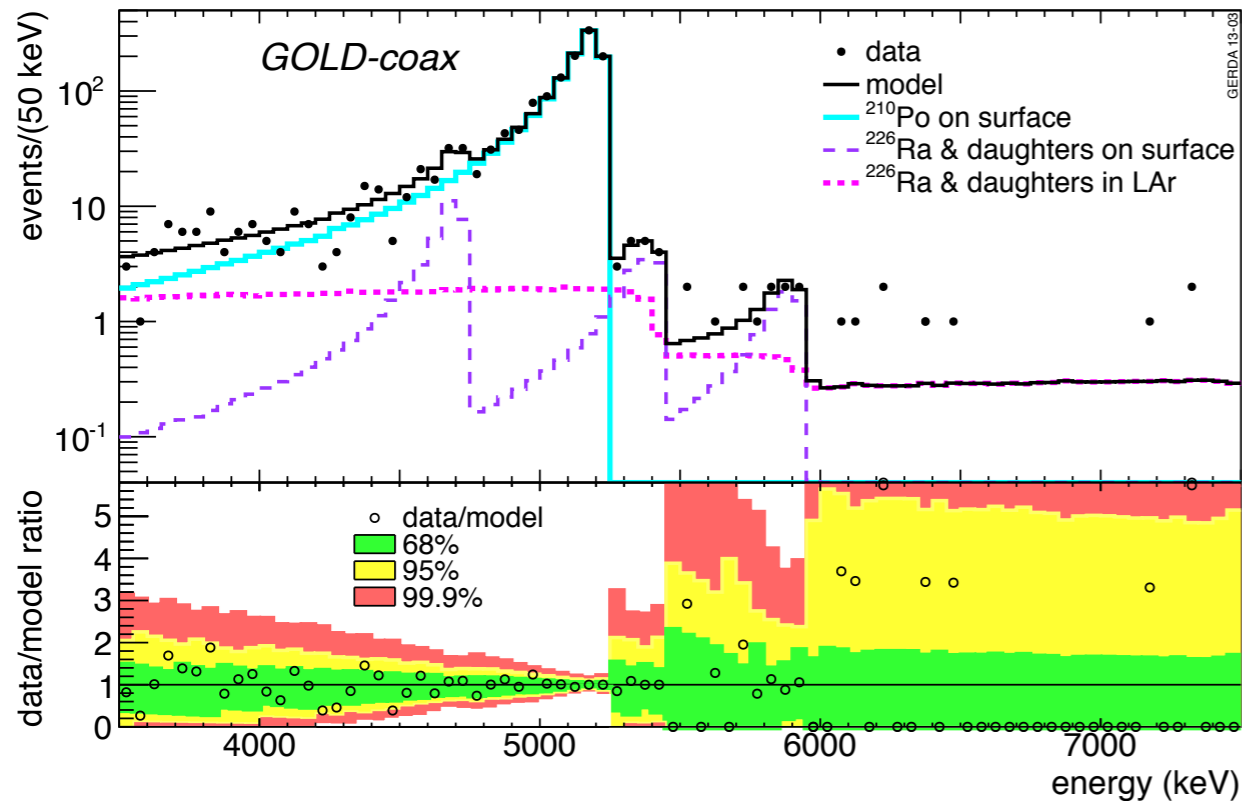
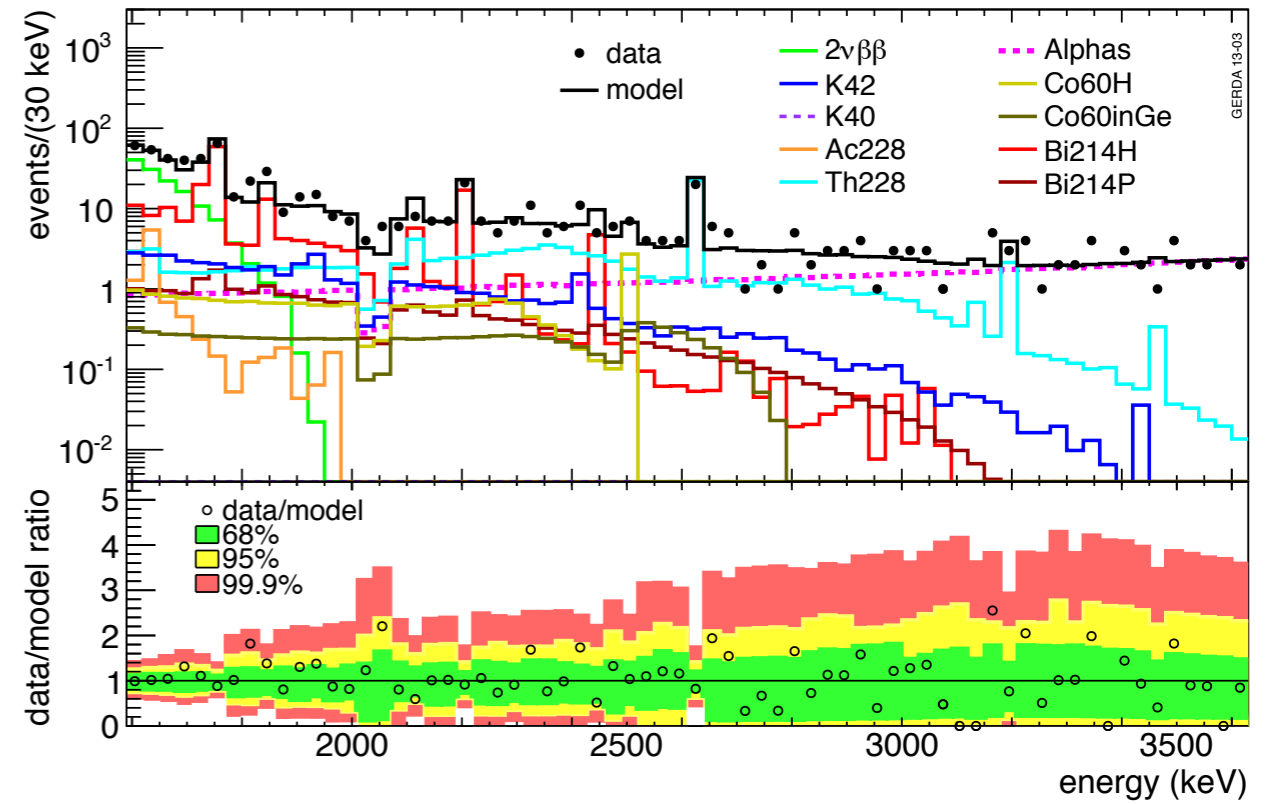
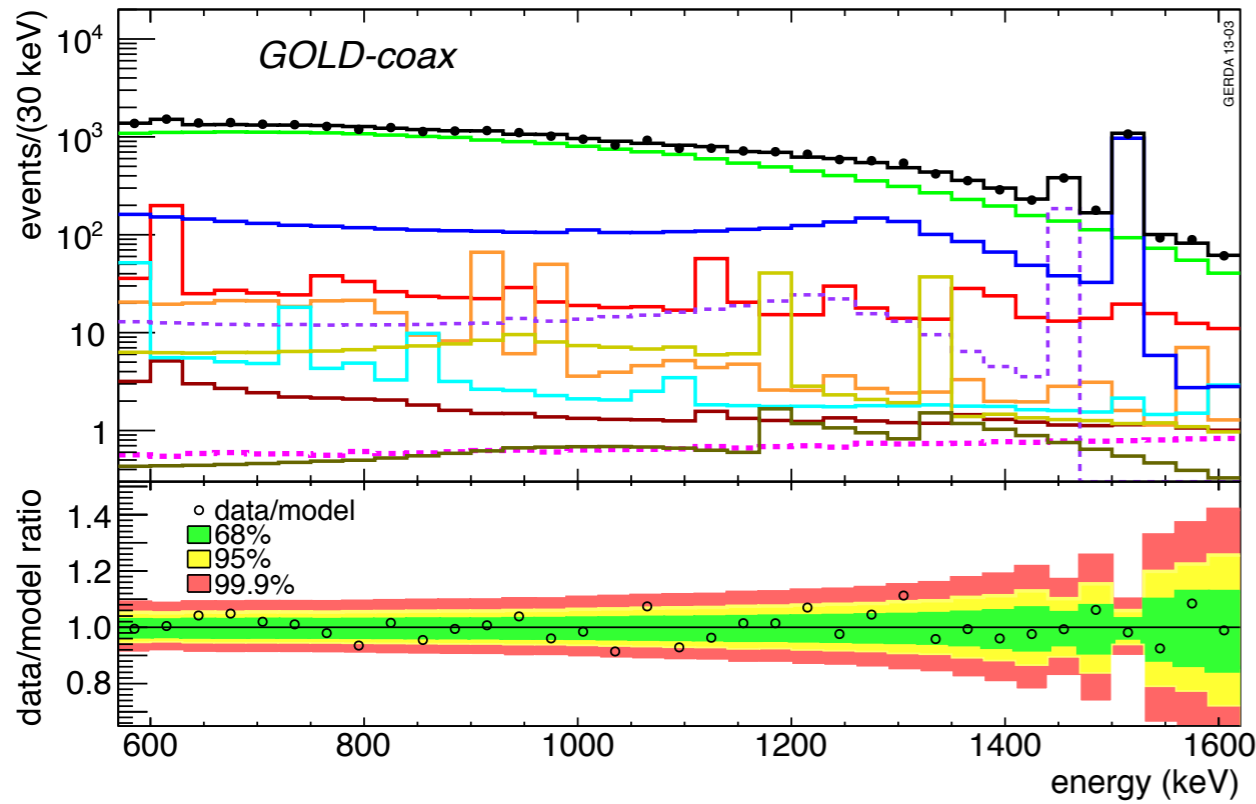


- 5.04 kg yr exposure
- binned ML fit (600-1800 keV)
- fit parameters (32):
 - active detector masses (6+1)
 - enrichment fractions (6)
 - background contributions (3x6)
- $T_{1/2}$ common to all detectors



$$T_{1/2}^{2\nu} = \left(1.84^{+0.09}_{-0.08} \text{ fit } \quad +0.11 \quad -0.06 \text{ syst} \right) \times 10^{21} \text{ yr} = \left(1.84^{+0.14}_{-0.10} \right) \times 10^{21} \text{ yr}$$

Background modelling



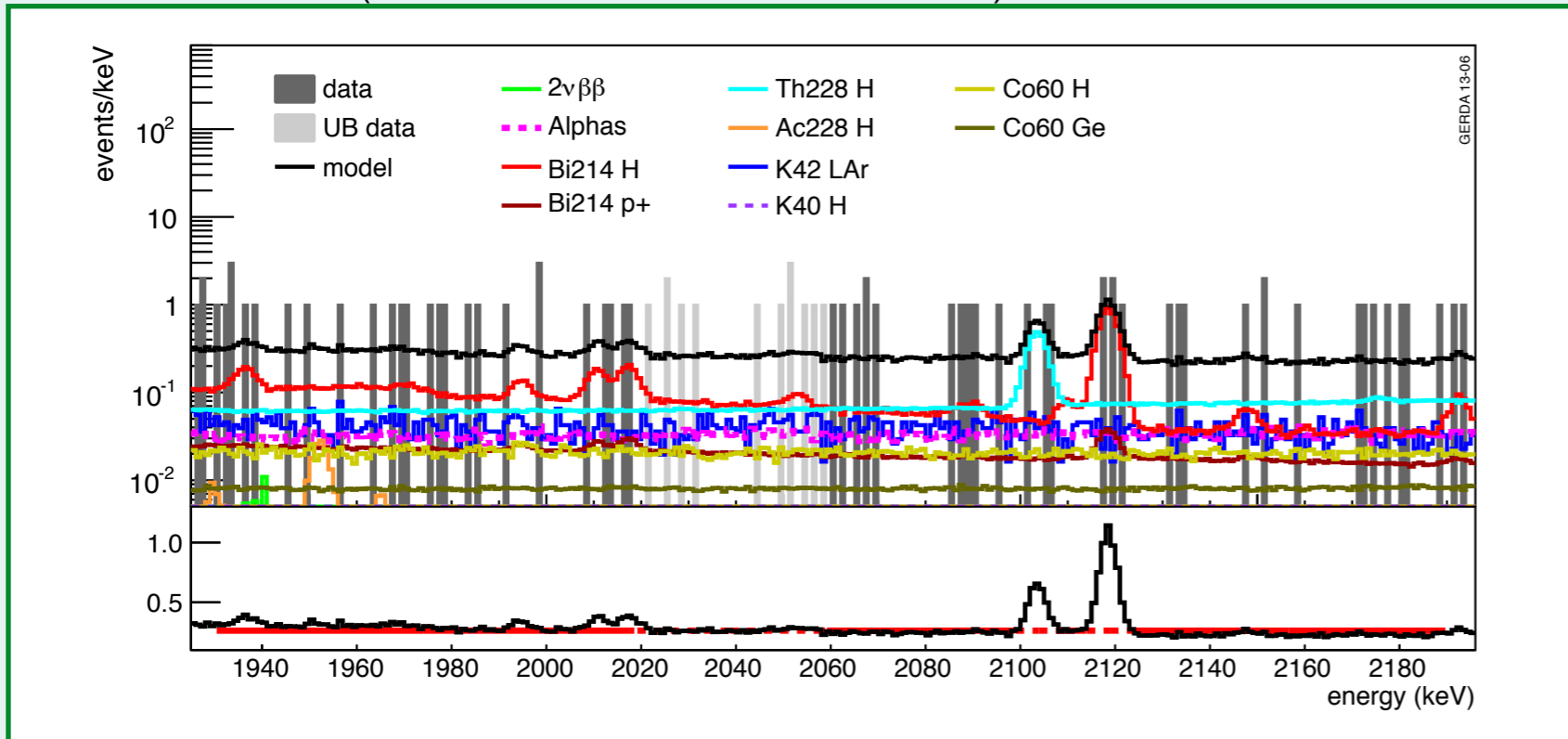
spectral fit with simulated spectra
(570-7500keV, blind at $Q_{bb} \pm 20$ keV)

Contributions at Q_{bb}

- β/γ induced events from:
 - ⁴²K (Q = 3.5 MeV)
 - ⁶⁰Co (Q = 2.8 MeV)
 - ²¹⁴Bi (²³⁸U) & ²⁰⁸Tl (²²⁸Th)
- α events from:
 - surface contamination
 - ²²²Rn in LAr

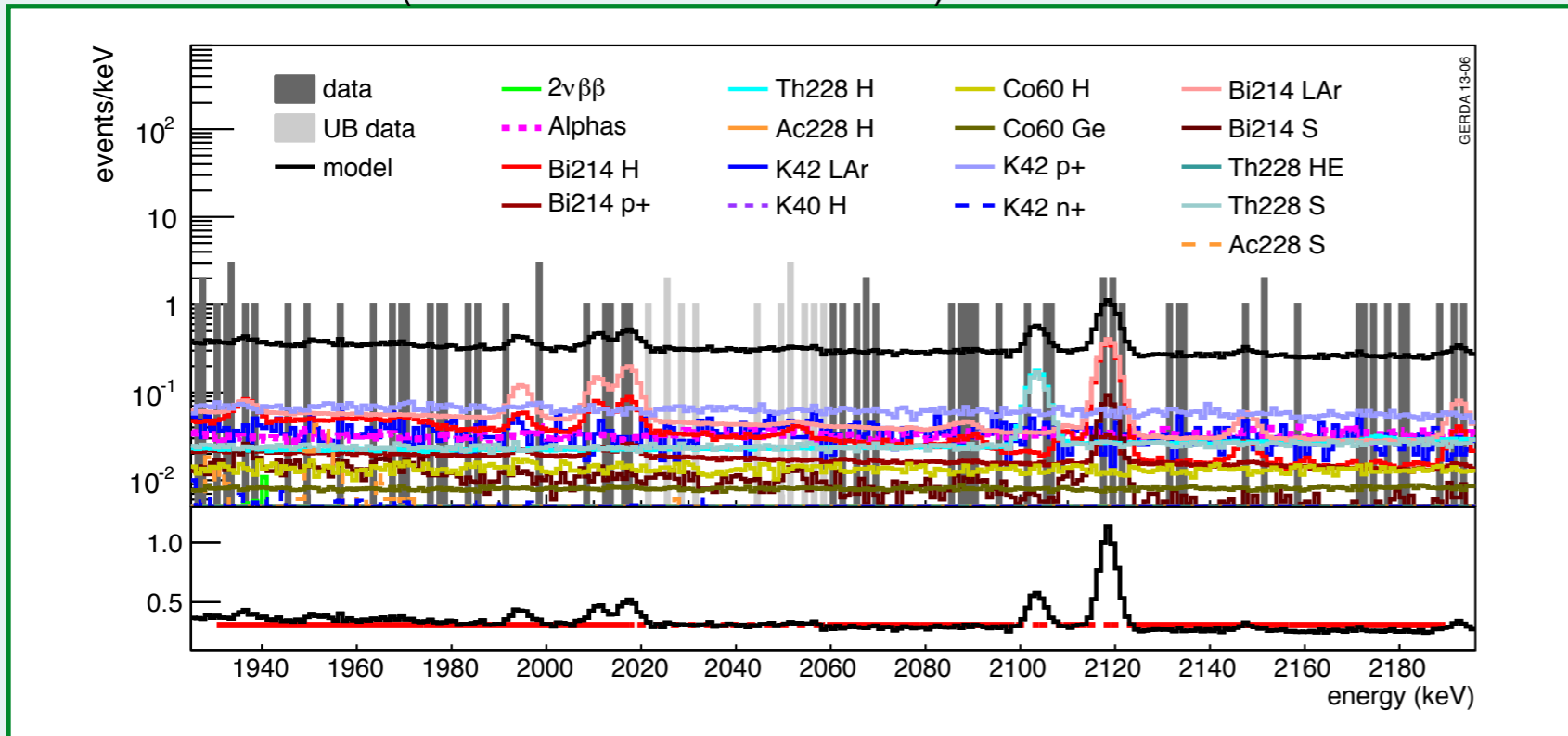
Background Index at Q_{bb}

Minimal model (well-motivated contributions)



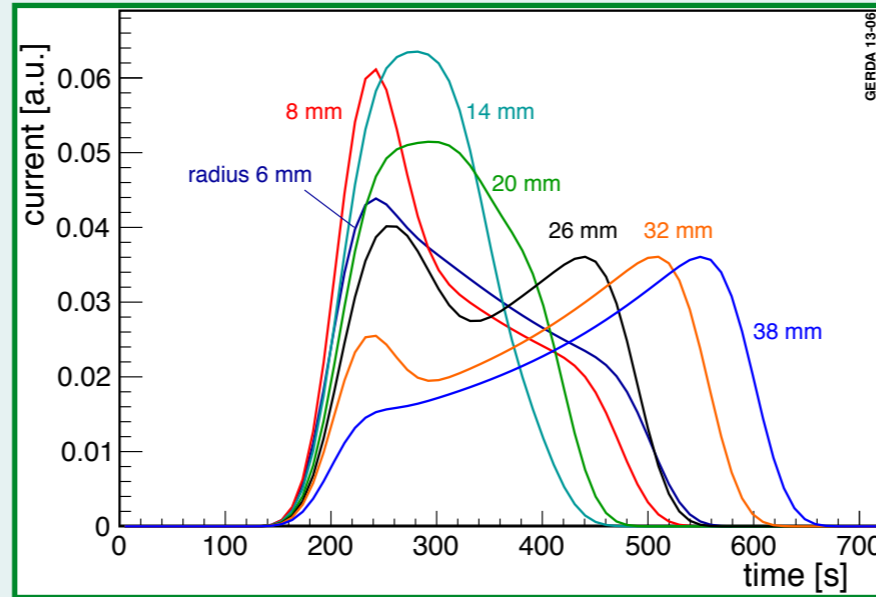
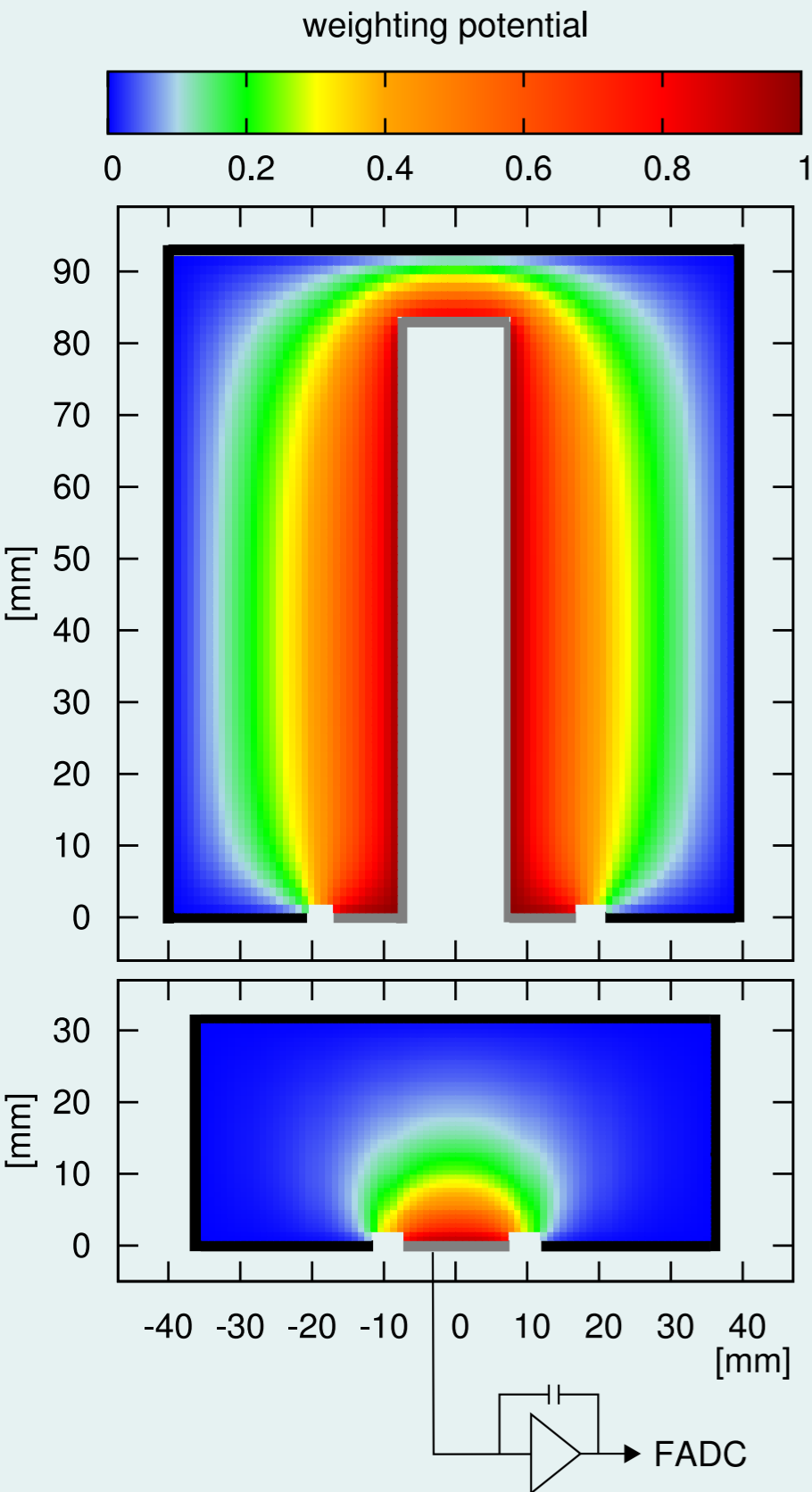
- no γ line expected around Q_{bb}
- agreement after partial unblinding
- spectrum can be modelled with flat background (1930-2190 keV) excluding ^{214}Bi (2104 keV) and ^{208}Tl (2119 keV)

Maximum model (additional contributions)

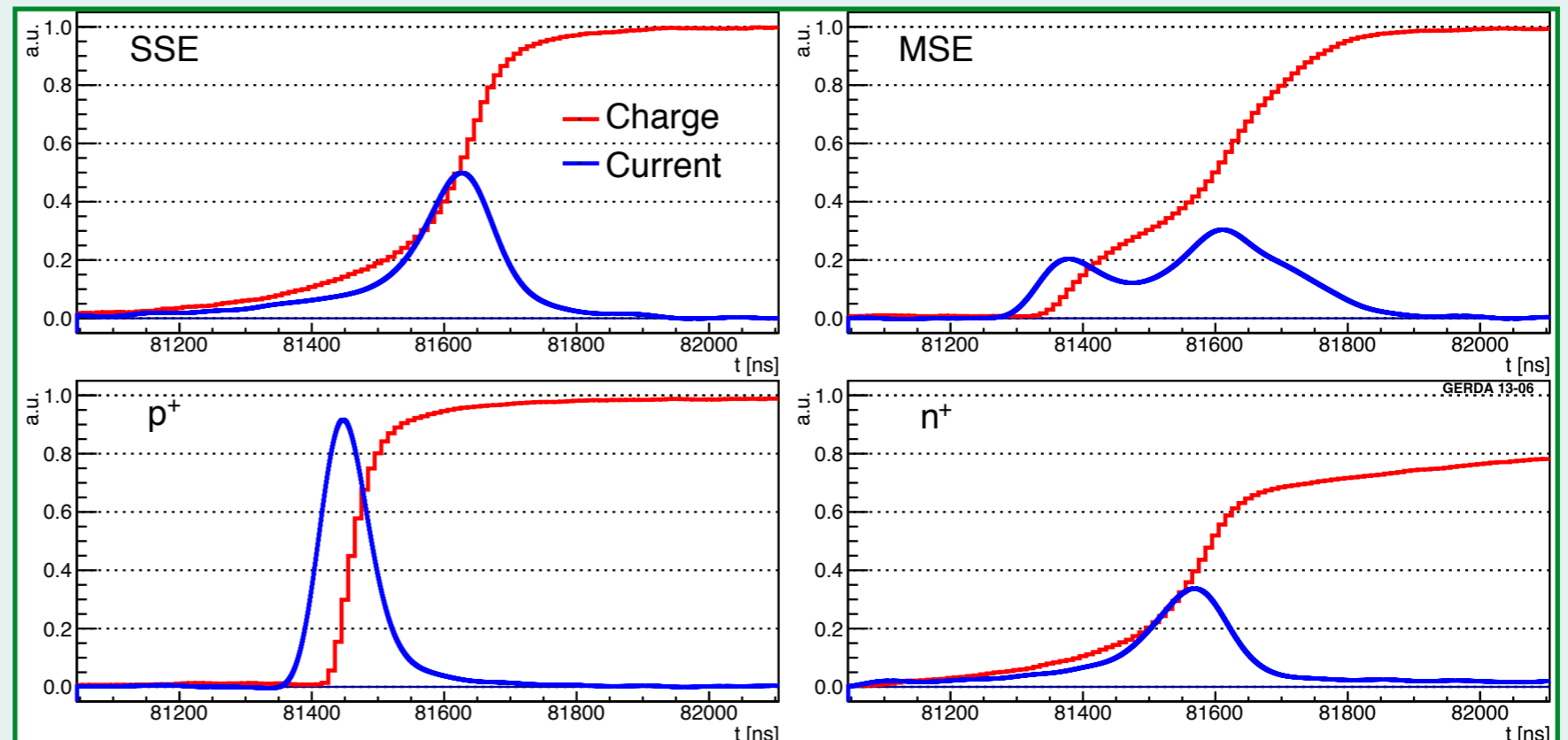


- background index at Q_{bb} (no PSD) $(17.6-23.8) \times 10^{-3}$ cts/(keV kg yr)

Pulse Shape Discrimination Methods

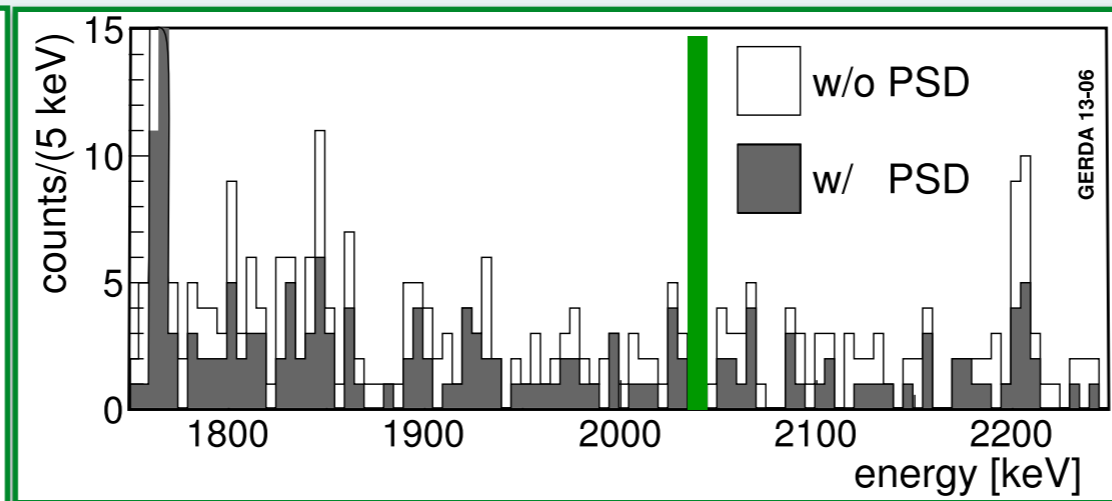
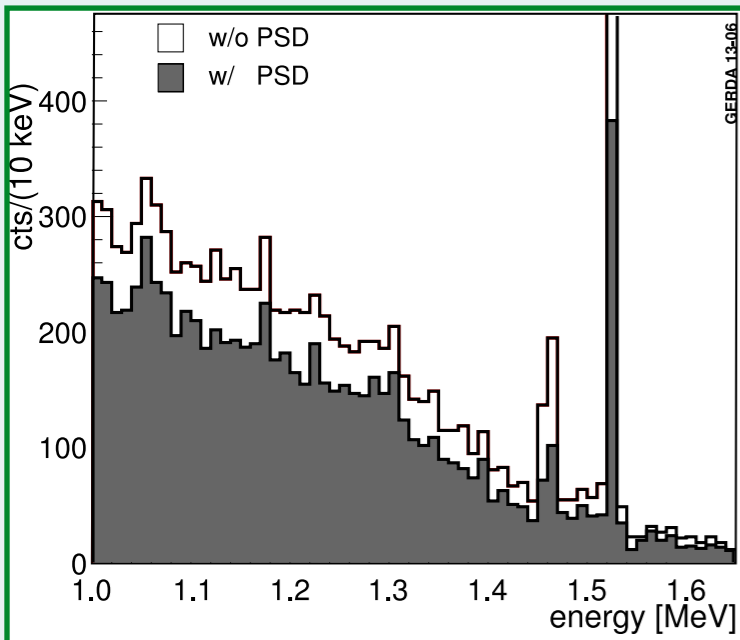


- Semi-coaxial detectors: Artificial Neural Network
- BEGe detectors: mono-parametric A/E method



Pulse Shape Discrimination Efficiencies

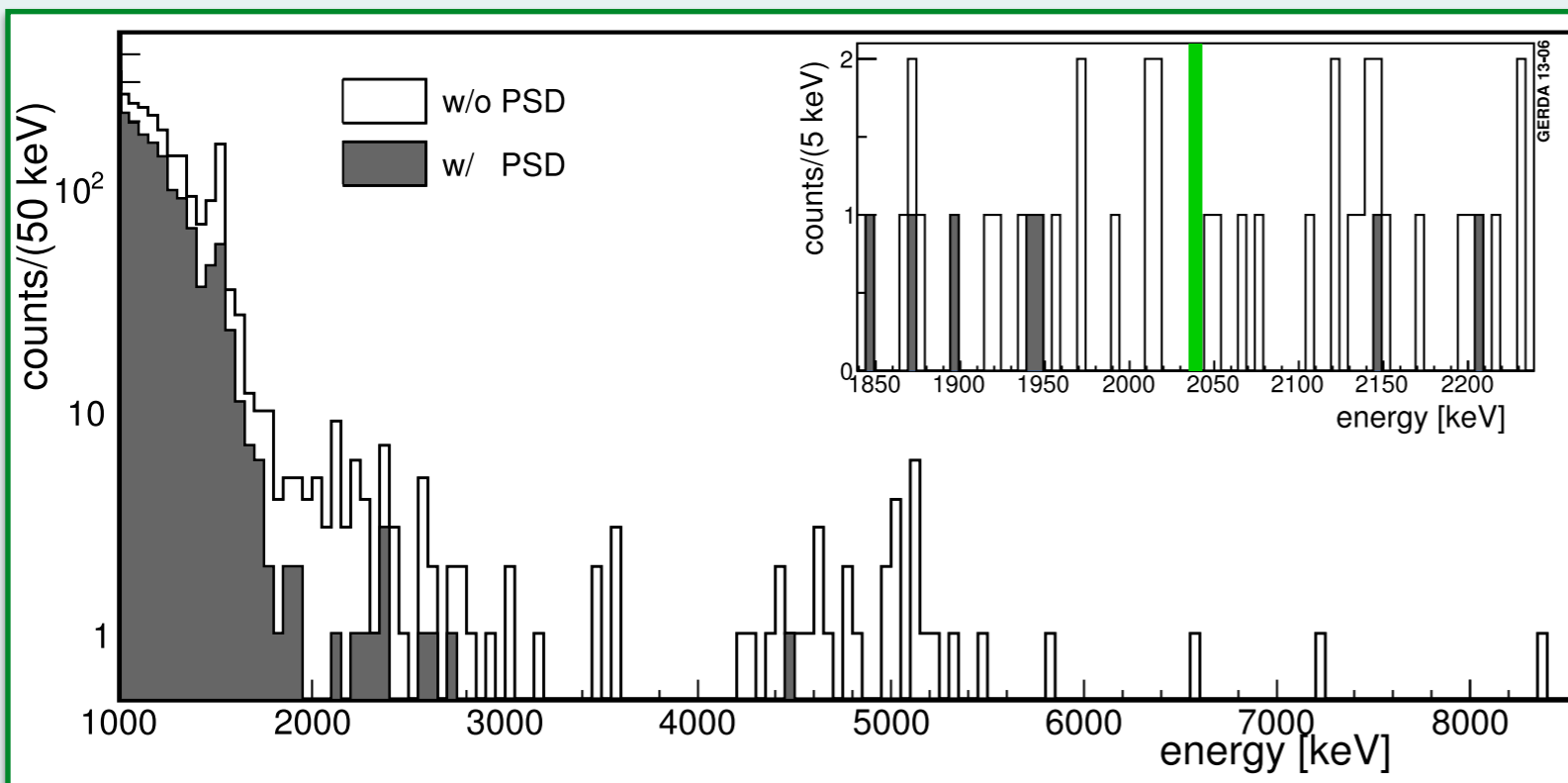
Semi-coaxial



Semi-coaxial

$0\nu\beta\beta$ acceptance: $90_{-9}^{+5}\%$
 BG rejection at Q_{bb} : $\sim 45\%$
 $2\nu\beta\beta$ acceptance: $85\pm 2\%$

BEGe



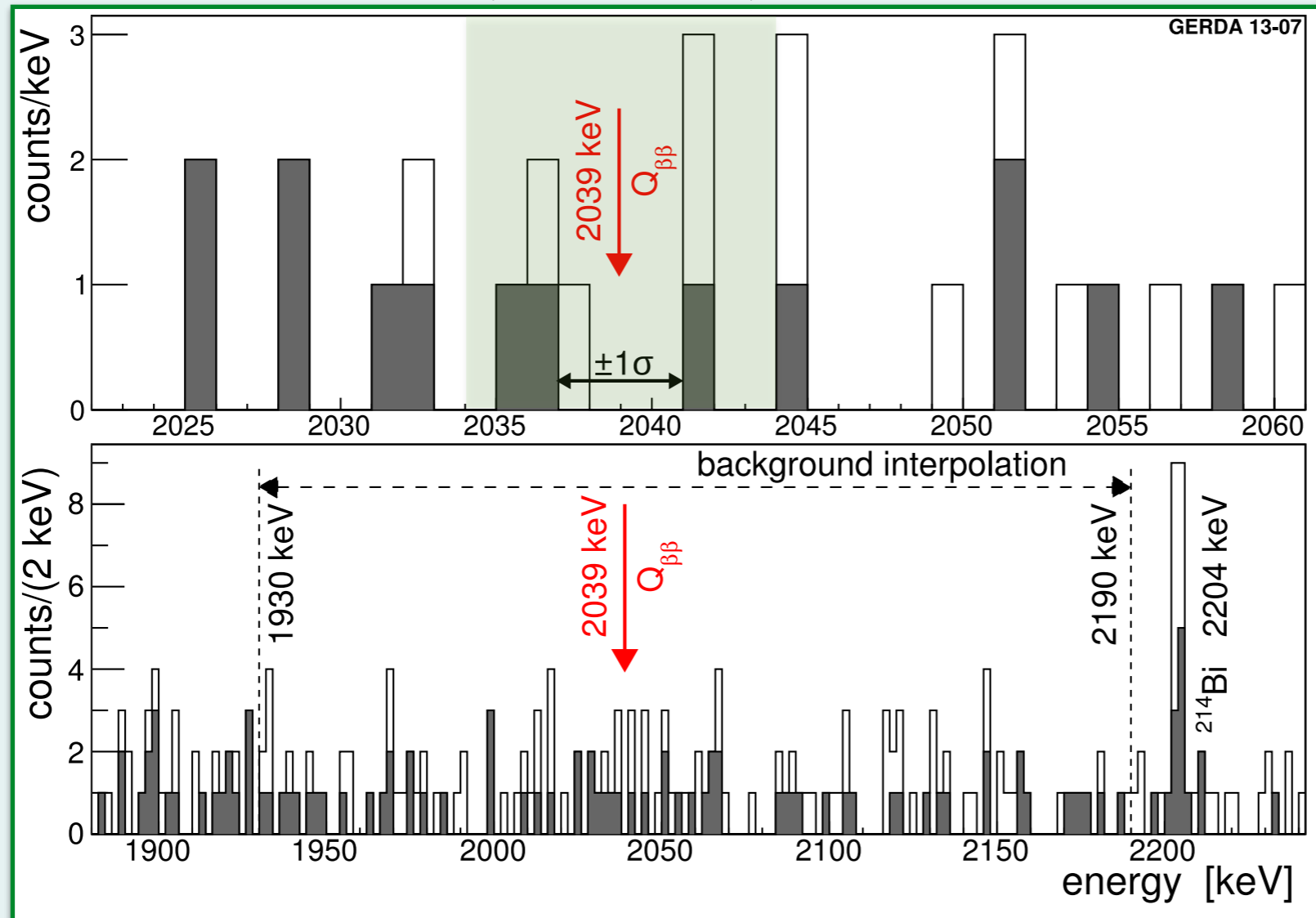
BEGe

$0\nu\beta\beta$ acceptance: $92\pm 2\%$
 BG rejection at Q_{bb} : 80%
 $2\nu\beta\beta$ acceptance: $91\pm 5\%$

Phase I $0\nu\beta\beta$ results: unblinding



$Q_{bb} \pm 5\text{keV}$
blinded window

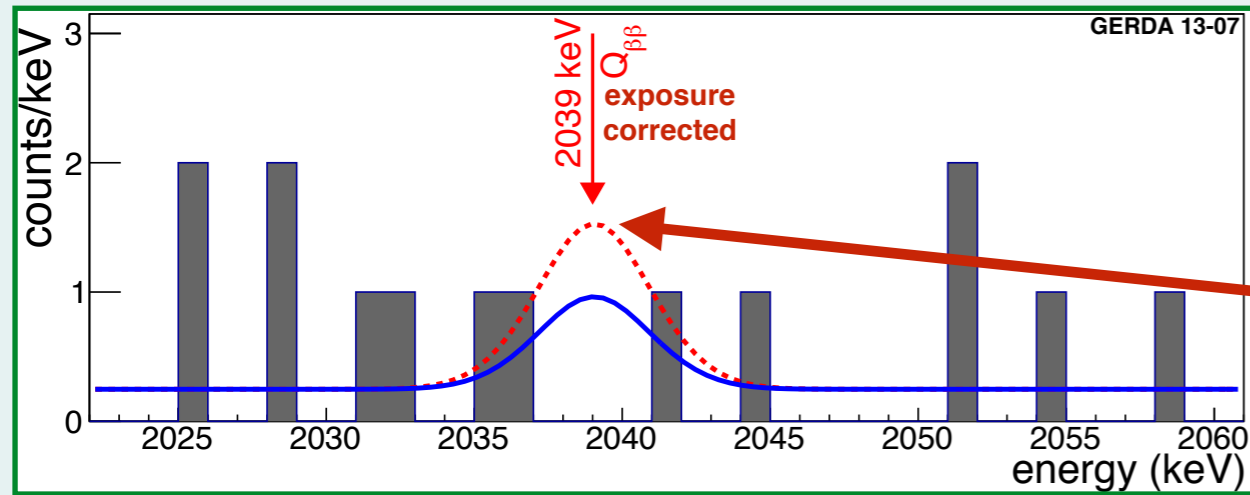


□ before PSD
■ after PSD

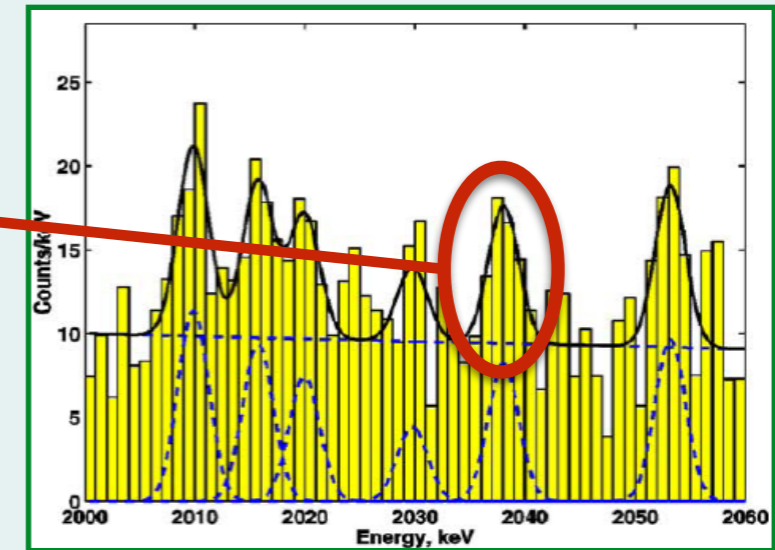
dataset	exposure [kg yr]	background		expected cts		observed cts	
		10E-2 cts/(keV kg yr)	$Q_{bb} \pm 5\text{keV}$	$Q_{bb} \pm 5\text{keV}$	$Q_{bb} \pm 5\text{keV}$	$Q_{bb} \pm 5\text{keV}$	$Q_{bb} \pm 5\text{keV}$
golden	17.3	1.8	1.1	3.3	2.0	5	2
silver	1.3	6.3	3.0	0.8	0.4	1	1
BEGe	2.4	4.2	0.5	1.0	0.1	1	0



Phase I $0\nu\beta\beta$ results: $T_{1/2}$ limit



comparison with the signal claim



Frequentist analysis (baseline)

- profile likelihood fit to 3 datasets with common $1/T_{1/2}$
- best fit $N^{0\nu} = 0$ cts
- $N^{0\nu} < 3.5$ cts (90% C.L.)
- **$T_{1/2} > 2.1 \times 10^{25}$ yr (90% C.L.)**
- median sensitivity for no signal (MC)
 $T_{1/2} > 2.4 \times 10^{25}$ yr (90% C.L.)

Hypothesis test:

H_0 : background only
expected cts: 2.0 ± 0.3

H_1 : claimed signal ($T_{1/2} = 1.19 \times 10^{25}$ yr) +bg
expected cts: 5.9 ± 1.4

Observed cts: 3

- Frequentist p-value $P(N^{0\nu}=0 | H_1) = 0.01$
- Bayes factor $P(H_1 | H_0) = 2.4 \times 10^{-2}$
- Bayes factor $P(H_1 | H_0) = 2.0 \times 10^{-4}$ (combined)

long standing claim disfavoured

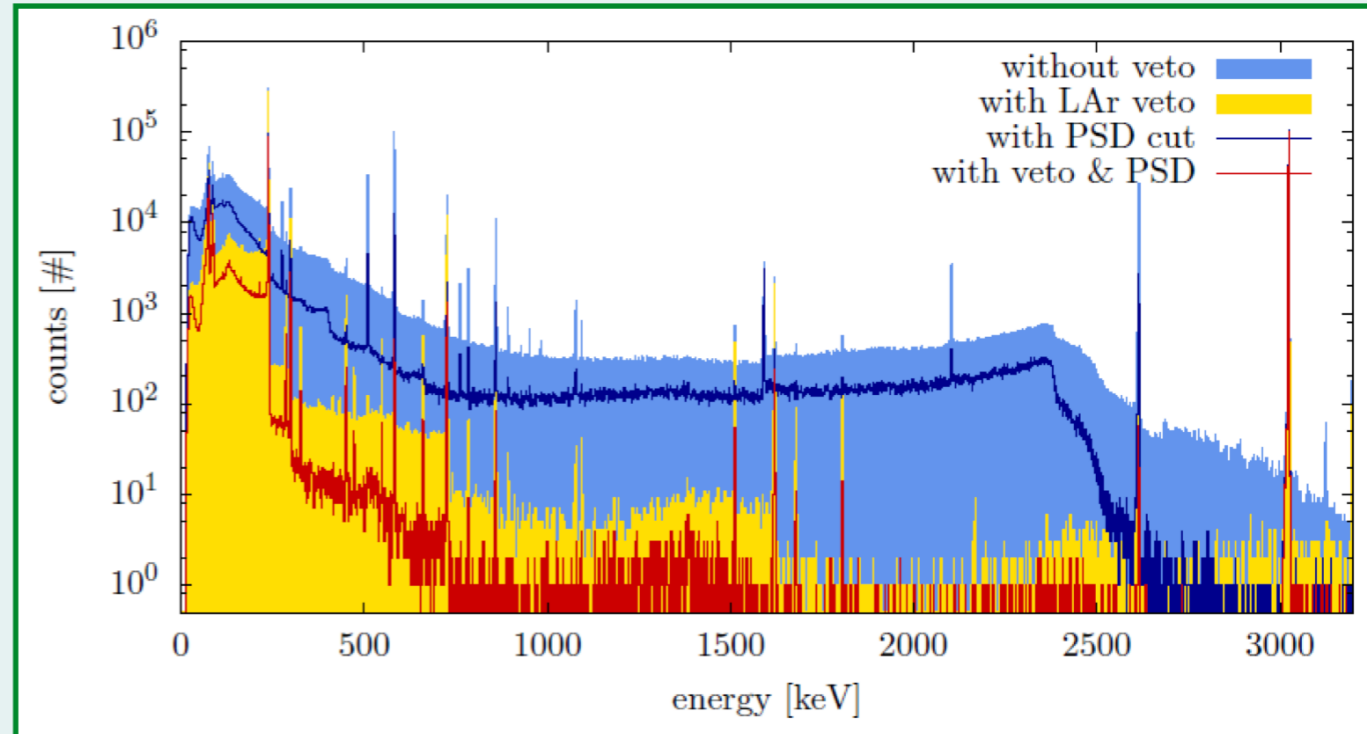
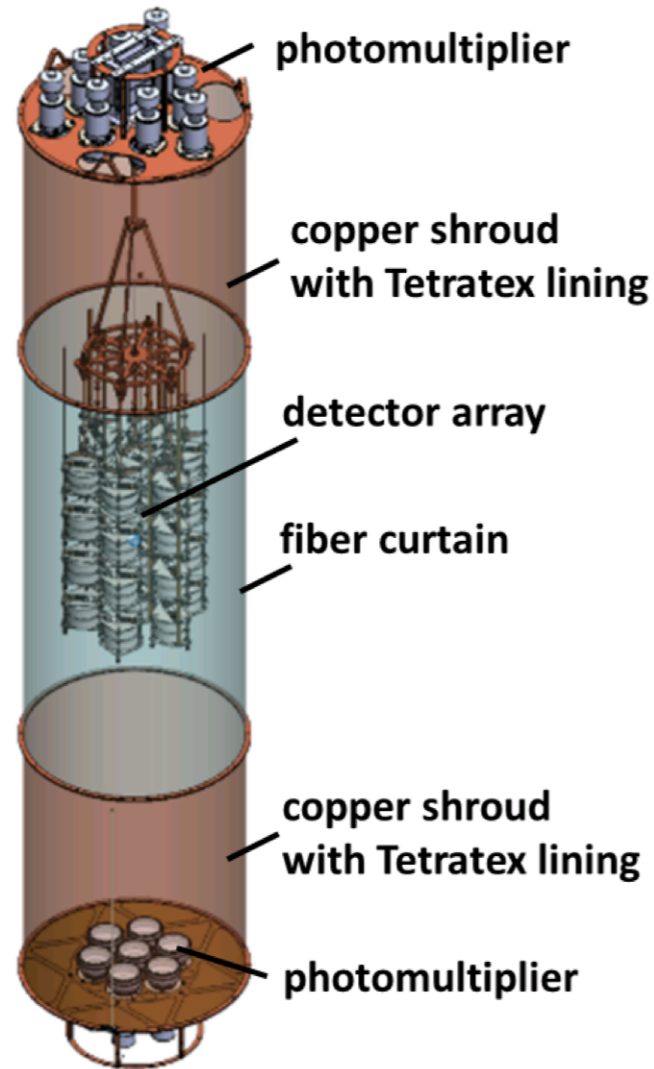
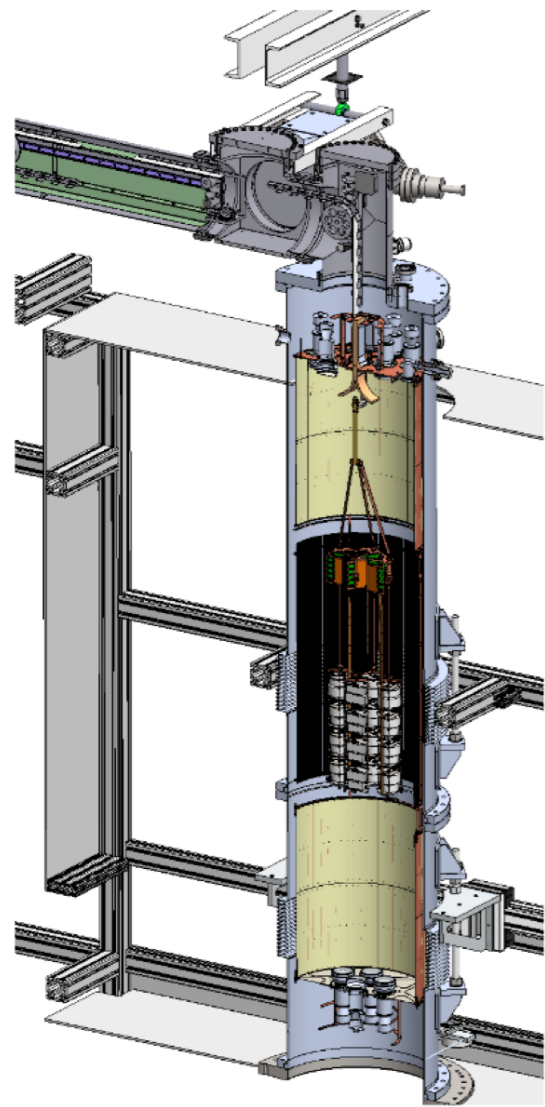
Bayesian analysis

- flat prior on $1/T_{1/2}$ in $(0, 10^{-24})$ yr⁻¹ range
- best fit $N^{0\nu} = 0$ cts
- $N^{0\nu} < 4.0$ cts (90% C.I.)
- **$T_{1/2} > 1.9 \times 10^{25}$ yr (90% C.I.)**
- median sensitivity for no signal (MC)
 $T_{1/2} > 2.0 \times 10^{25}$ yr (90% C.I.)

Combined GERDA + IGEX + HdM

- **$T_{1/2} > 3.0 \times 10^{25}$ yr (90% C.L.)**

Phase II lock system and LAr instrumentation



new Lock system

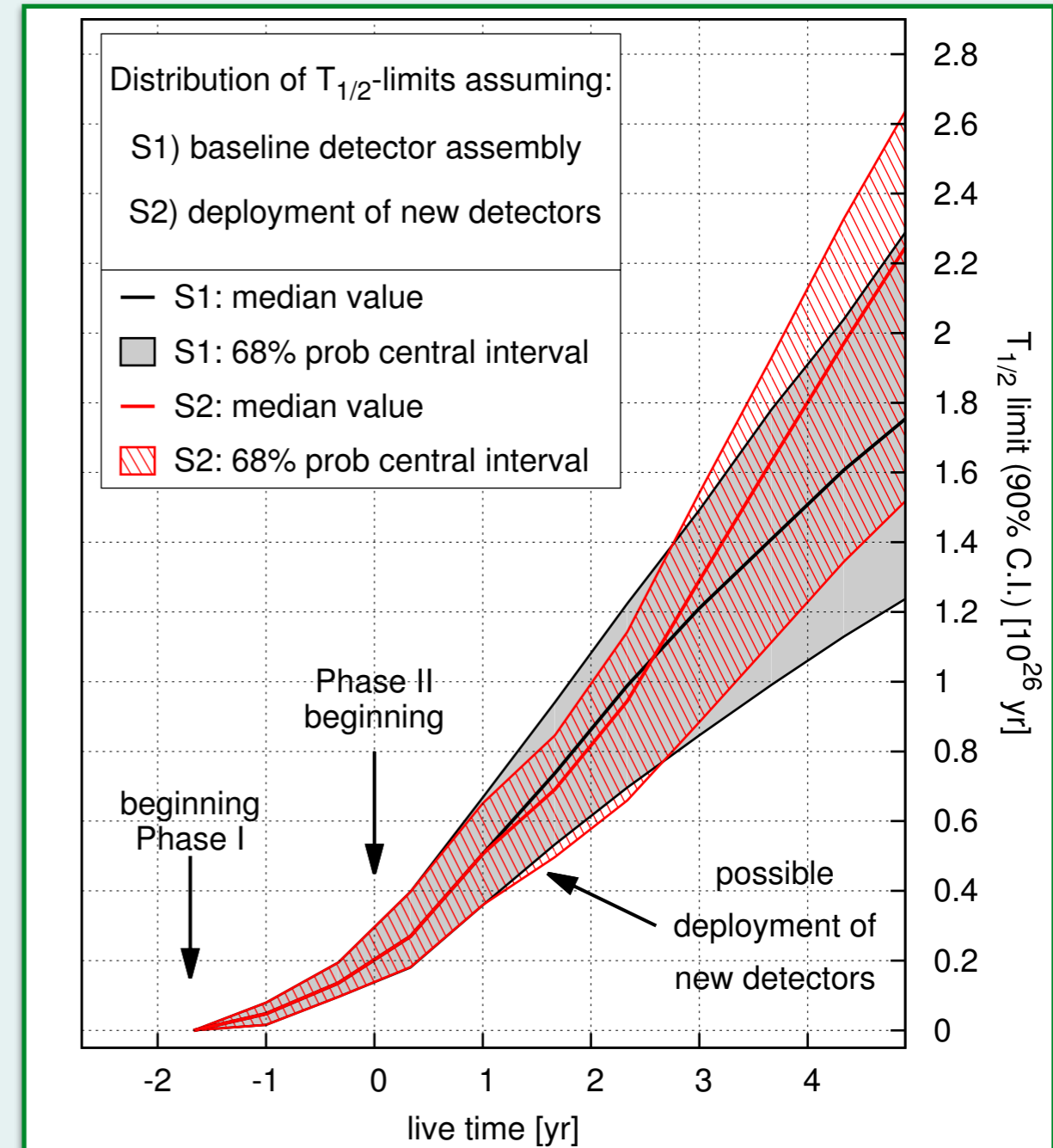
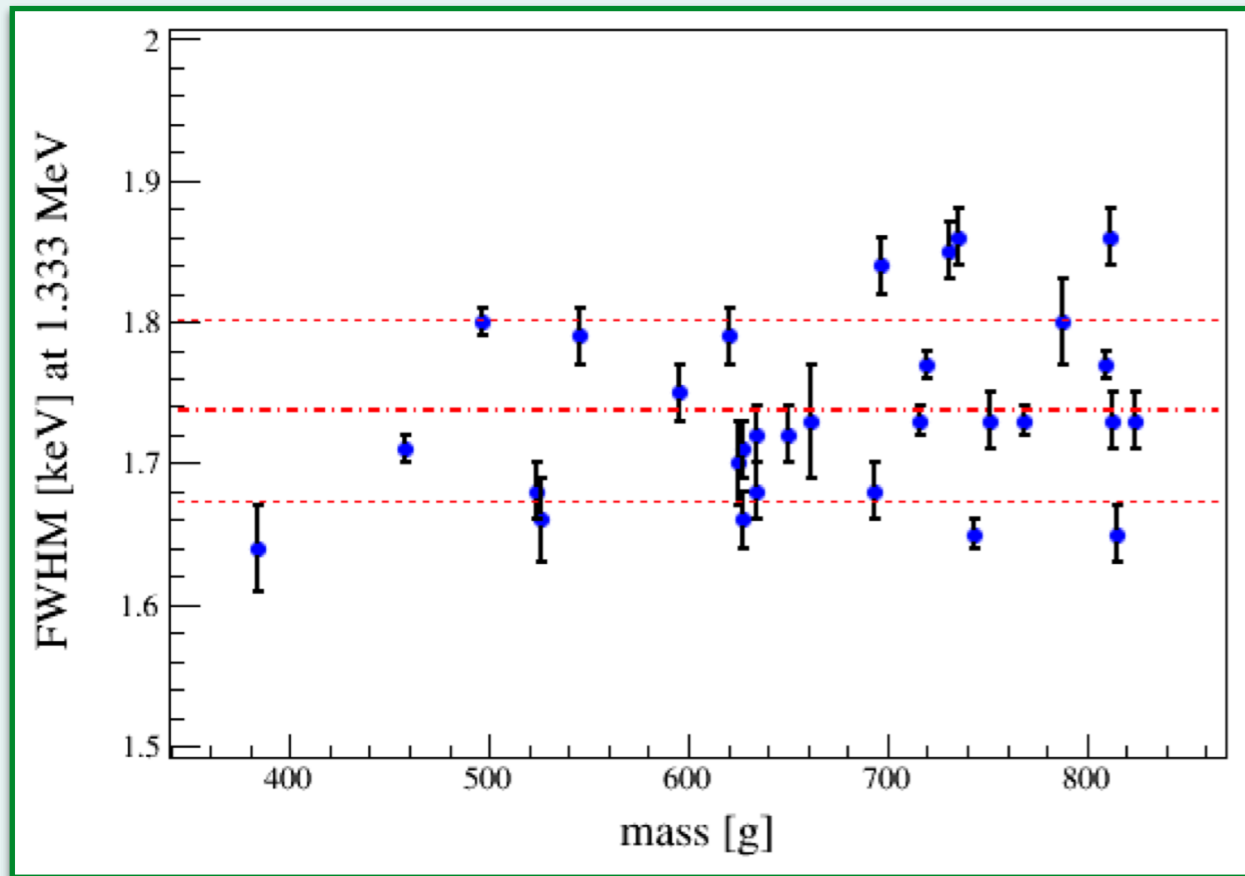
- size of detector array increased to 7 strings
- LAr instrumentation surrounding the array

Liquid Argon scintillation as background veto

- PMT arrays on top and bottom
- Si-photomultipliers coupled to WLS fibers

Pulse shape analysis and LAr veto measured a suppression factor of $(5.2 \pm 1.3) \times 10^3$ at Q_{bb} for close ^{228}Th

New BEGe detectors and Phase II sensitivity



- 30 new BEGe detectors for Phase II stored in LNGS (20kg)
- Detector Modules: Significant amount of copper and PTFE replaced by intrinsically radio-pure silicon
- energy resolution (vacuum test) at 1.3MeV: <1.9keV (FWHM)
- A/E PSD
robust, simple, well-understood
- low BI due to cosmogenic activation (^{60}Co , ^{68}Ge): 10^{-4} cts/(keV kg yr)

an order of magnitude improvement on $T_{1/2}$ sensitivity in ~5 years

Summary and Outlook



- GERDA Phase I design goals reached
 - exposure of 21.6 kg yr
 - background index at $Q_{\beta\beta}$ after PSD: 0.01 cts/(keV kg yr)
 - no $0\nu\beta\beta$ signal observed
long standing claim claim strongly disfavoured
 - new limit on $0\nu\beta\beta$ half-life
 $T_{1/2} > 2.1 \times 10^{25}$ yr (90% C.L.)
- GERDA Phase II transition ongoing
 - additional 20kg of detector mass
 - new custom-made BEGe detectors with enhanced PSD
 - Liquid Argon instrumentation
 - background target 10^{-3} cts/(keV kg yr)
 - explore $0\nu\beta\beta$ $T_{1/2}$ values in the 10^{26} yr range