



# GERDA: Recent Results and Future Plans

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for the GERDA Collaboration

RICAP 13, Rome  
23/05/2013



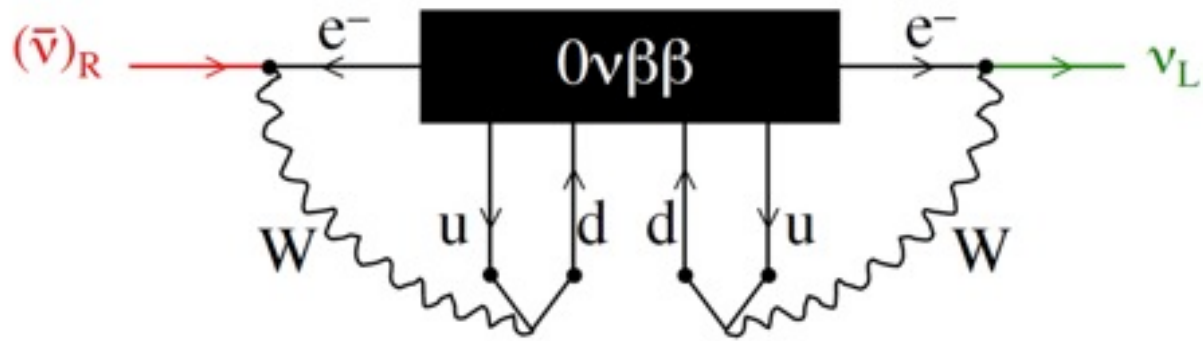
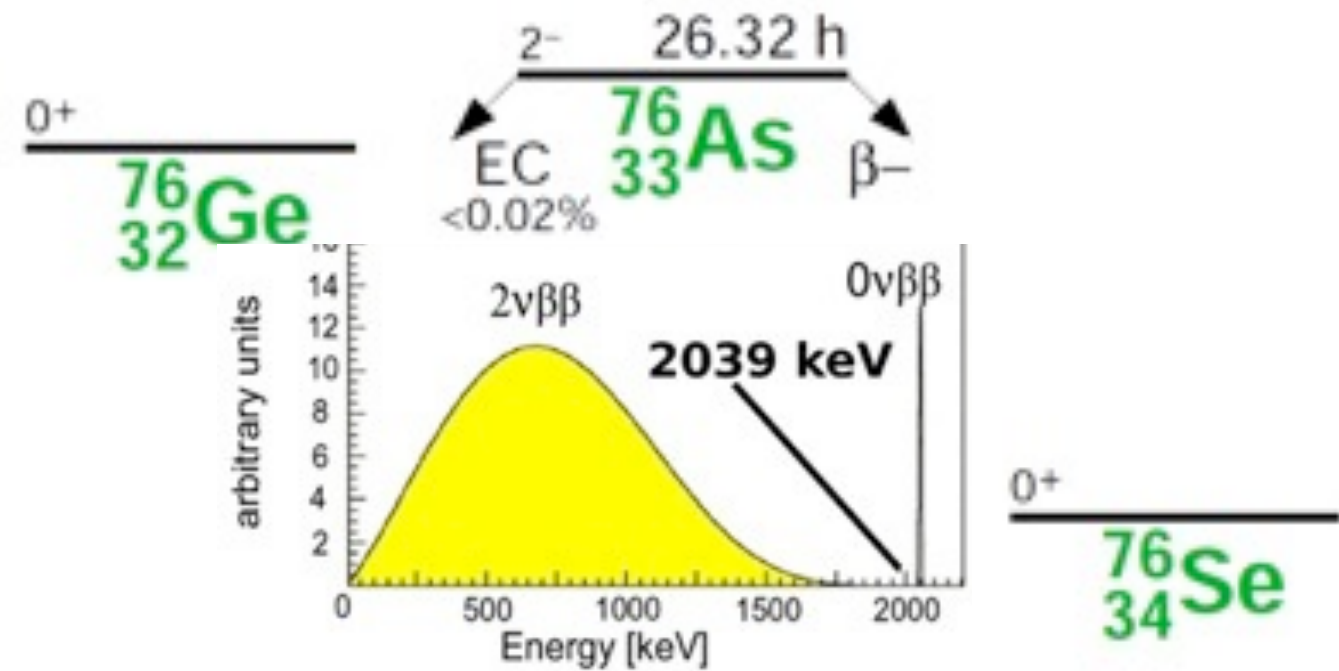
Institut für Kern- und Teilchenphysik



# Double Beta Decay

$$2\nu\beta\beta : (Z, A) \rightarrow (Z + 2, A) + 2e^- + 2\bar{\nu}_e$$

$$0\nu\beta\beta : (Z, A) \rightarrow (Z + 2, A) + 2e^-$$



## Schechter-Valle theorem:

If  $0\nu\beta\beta$  exists, it can always be interpreted as a neutrino Majorana mass term

- Lepton number violation

## Effective neutrino mass:

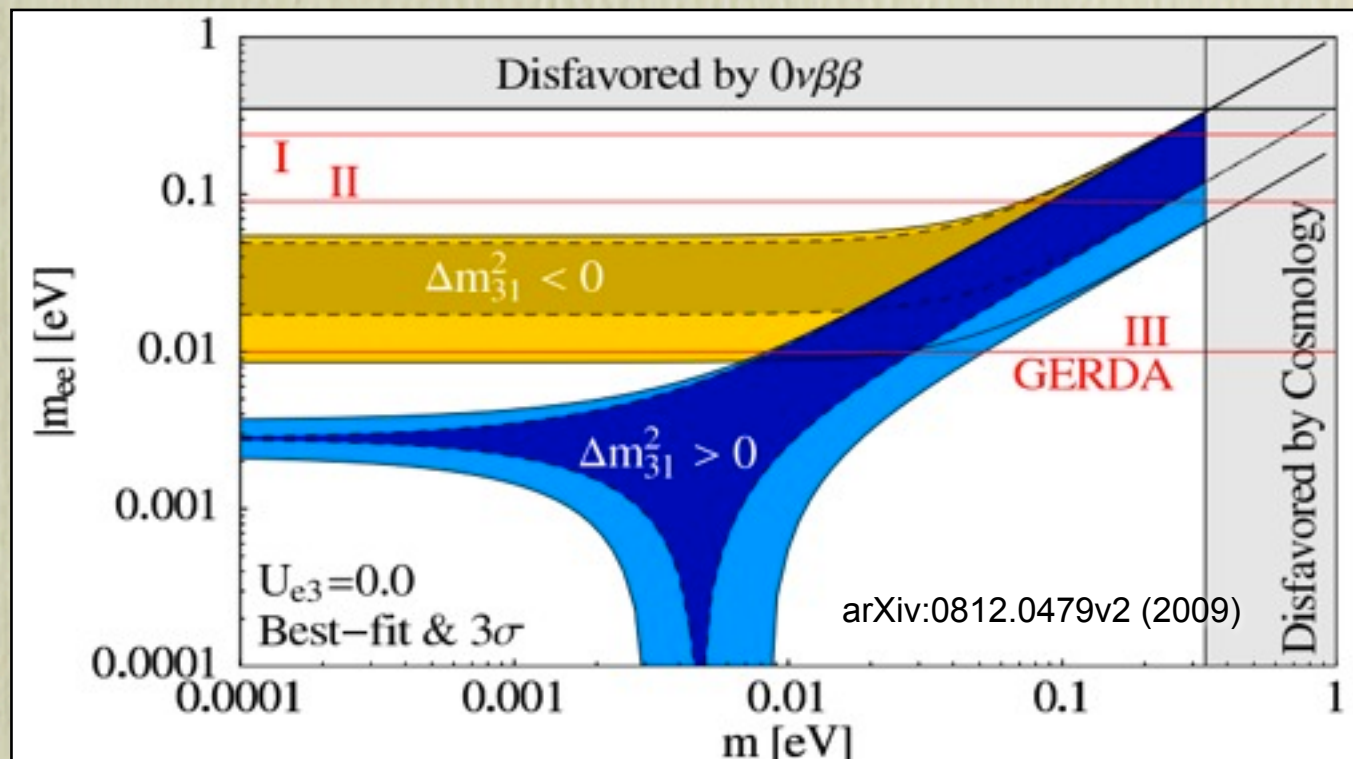
(only for dominant light Majorana neutrino exchange)

$$\left(T_{1/2}^{0\nu}\right)^{-1} = F^{0\nu} \cdot |\mathcal{M}^{0\nu}|^2 \cdot |m_{ee}|^2$$

$F^{0\nu}$  : phase space factor

$\mathcal{M}^{0\nu}$  : nuclear matrix element

$m_{ee}$  : effective neutrino mass



# Double Beta Decay Experiments

**Sensitivity:** (for gaussian background)

$$T_{1/2}^{\text{limit}} \propto \alpha \cdot \eta \cdot \epsilon \cdot \sqrt{\frac{M \cdot T}{B \cdot \Delta E}}$$

$\alpha$  : isotopic abundance

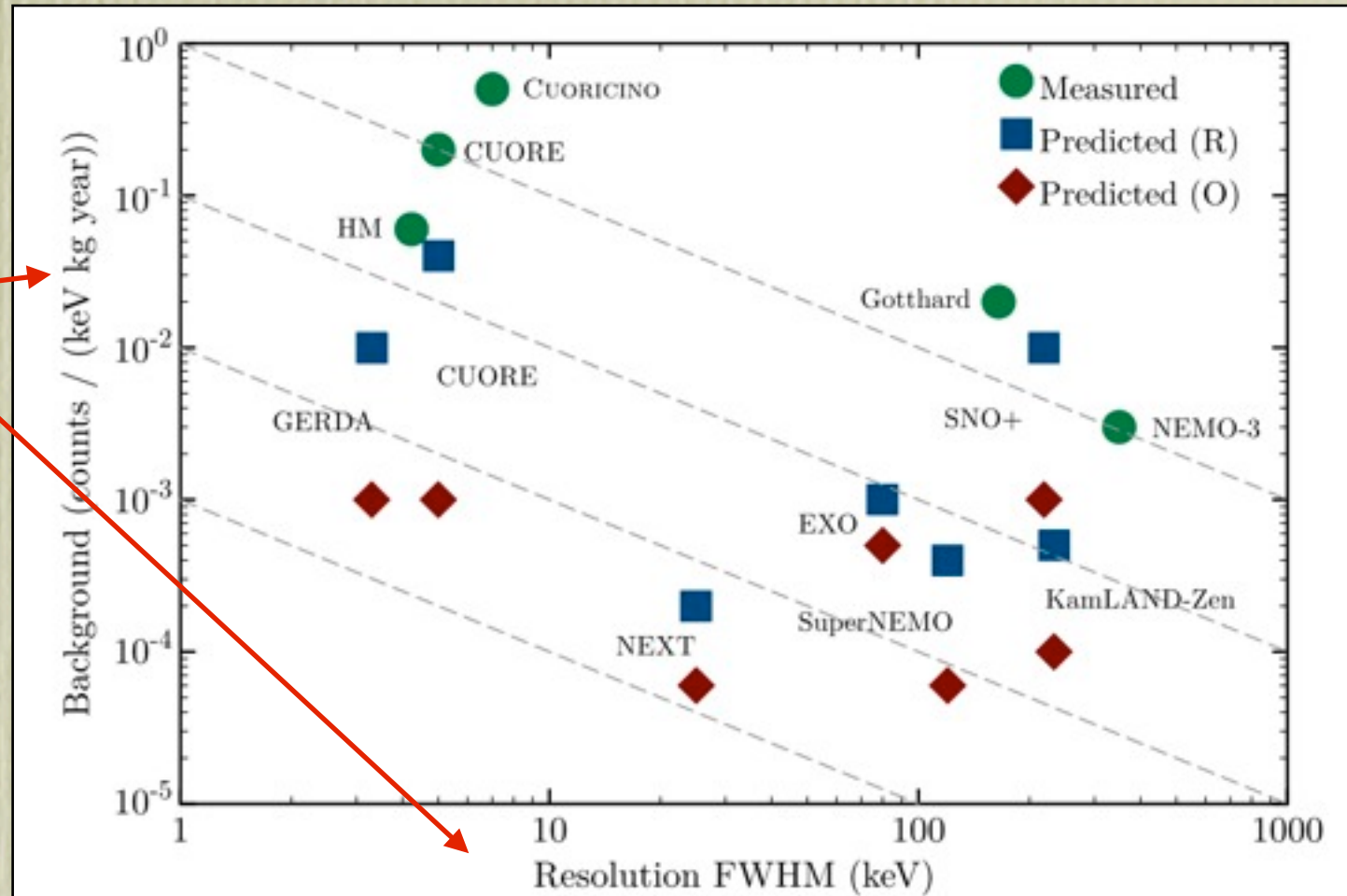
$\eta$  : active volume fraction

$\epsilon$  : detection efficiency

$M \cdot T$  : exposure

$B$  : background index

$\Delta E$  : energy resolution



Claim of discovery in  $^{76}\text{Ge}$  by subset of HdM experiment:

$$T_{1/2}^{0\nu} = 1.19 \cdot 10^{25} \text{ yr}$$

Physics Letters B 586 (2004) 198–212

Best limits  $^{76}\text{Ge}$ :

$$\text{IGEX} : T_{1/2}^{0\nu} \geq 1.6 \cdot 10^{25} \text{ yr (90\% C.L.)}$$

$$\text{HdM} : T_{1/2}^{0\nu} \geq 1.9 \cdot 10^{25} \text{ yr (90\% C.L.)}$$

New limits also for  $^{136}\text{Xe}$  from EXO and Kamland-ZEN





# The GERDA Collaboration

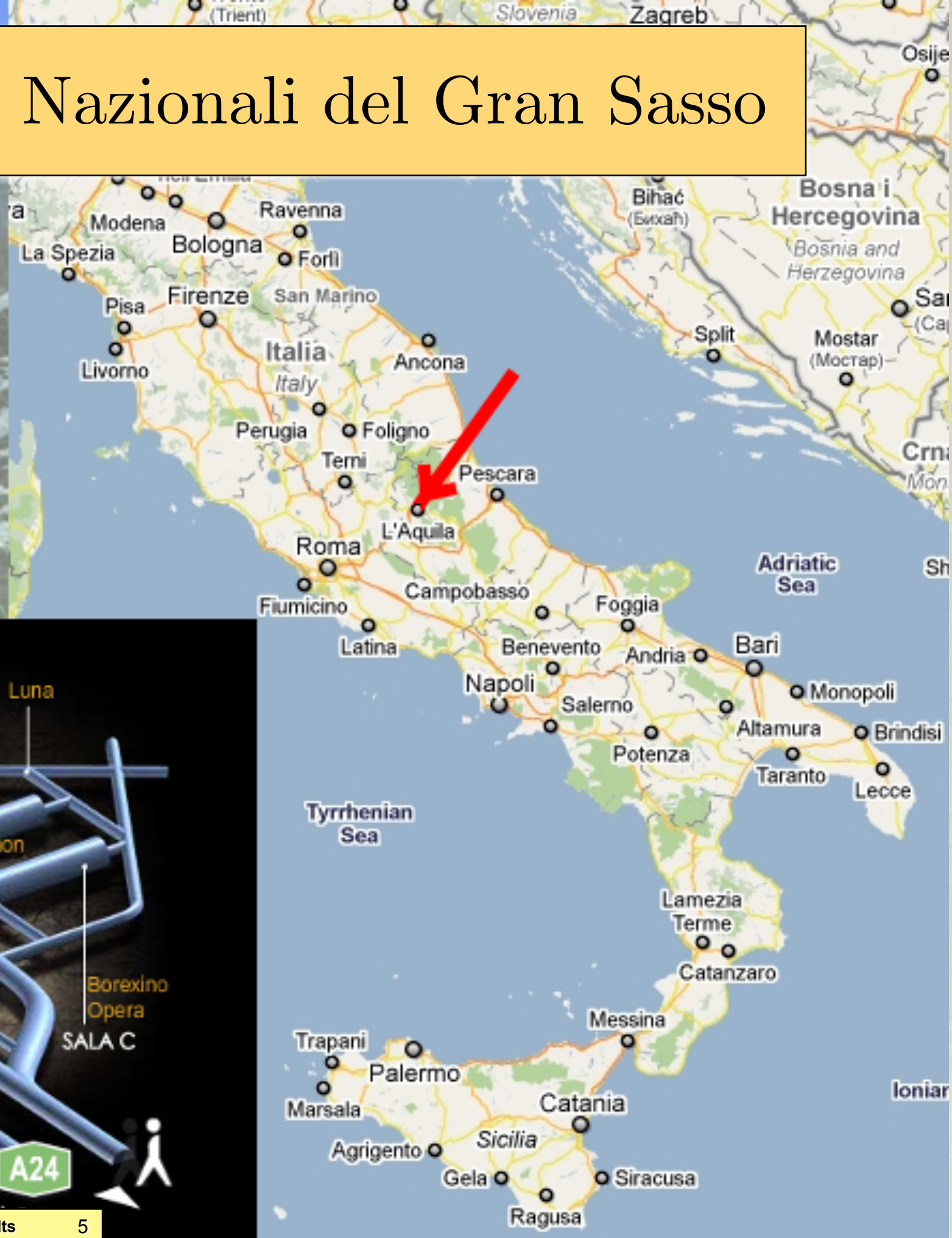
K.-H. Ackermann<sup>13</sup>, M. Agostini<sup>14</sup>, M. Allardt<sup>3</sup>, M. Altmann<sup>13,b</sup>, E. Andreotti<sup>5,18</sup>, A.M. Bakalyarov<sup>12</sup>, M. Balata<sup>1</sup>,  
 I. Barabanov<sup>10</sup>, M. Barnabé Heider<sup>6,14,20</sup>, N. Barros<sup>3</sup>, L. Baudis<sup>19</sup>, C. Bauer<sup>6</sup>, N. Becerici-Schmidt<sup>13</sup>, E. Bellotti<sup>7,8</sup>,  
 S. Belogurov<sup>11,10</sup>, S.T. Belyaev<sup>12</sup>, G. Benato<sup>19</sup>, A. Bettini<sup>15,16</sup>, L. Bezrukov<sup>10</sup>, T. Bode<sup>14</sup>, V. Brudanin<sup>4</sup>,  
 R. Brugnera<sup>15,16</sup>, D. Budjáš<sup>14</sup>, A. Caldwell<sup>13</sup>, C. Cattadori<sup>8</sup>, A. Chernogorov<sup>11</sup>, O. Chkvorets<sup>6,21</sup>, F. Cossavella<sup>13</sup>,  
 A. D'Andragora<sup>1,22</sup>, E.V. Demidova<sup>11</sup>, A. Denisov<sup>10</sup>, A. di Vacri<sup>1,23</sup>, A. Domula<sup>3</sup>, V. Egorov<sup>4</sup>, R. Falkenstein<sup>18</sup>,  
 A. Ferella<sup>19</sup>, K. Freund<sup>18</sup>, F. Froborg<sup>19</sup>, N. Frodyma<sup>2</sup>, A. Gangapshev<sup>10,6</sup>, A. Garfagnini<sup>15,16</sup>, J. Gasparro<sup>5,24</sup>,  
 S. Gazzana<sup>6,1</sup>, R. Gonzalez de Orduna<sup>5,c</sup>, P. Grabmayr<sup>18,a</sup>, V. Gurentsov<sup>10</sup>, K. Gusev<sup>12,4,14</sup>, K.K. Guthikonda<sup>19</sup>,  
 W. Hampel<sup>6</sup>, A. Hegai<sup>18</sup>, M. Heisel<sup>6</sup>, S. Hemmer<sup>15,16</sup>, G. Heusser<sup>6</sup>, W. Hofmann<sup>6</sup>, M. Hult<sup>5</sup>, L.V. Inzhechik<sup>10,25</sup>,  
 L. Ioannucci<sup>1</sup>, J. Janicskó Csáthy<sup>14</sup>, J. Jochum<sup>18</sup>, M. Junker<sup>1</sup>, R. Kankanyan<sup>6</sup>, S. Kianovsky<sup>10</sup>, T. Kihm<sup>6</sup>, J. Kiko<sup>6</sup>,  
 I.V. Kirpichnikov<sup>11</sup>, A. Kirsch<sup>6</sup>, A. Klimenko<sup>4,10,6</sup>, M. Knapp<sup>18,c</sup>, K.T. Knöpfle<sup>6</sup>, O. Kochetov<sup>4</sup>,  
 V.N. Kornoukhov<sup>11,10</sup>, K. Kröninger<sup>13,26,27</sup>, V. Kusminov<sup>10</sup>, M. Laubenstein<sup>1</sup>, A. Lazzaro<sup>14</sup>, V.I. Lebedev<sup>12</sup>,  
 B. Lehnert<sup>3</sup>, D. Lenz<sup>13,c</sup>, H. Liao<sup>13</sup>, M. Lindner<sup>6</sup>, I. Lippi<sup>16</sup>, J. Liu<sup>13,28</sup>, X. Liu<sup>17</sup>, A. Lubashevskiy<sup>6</sup>,  
 B. Lubsandorzhev<sup>10</sup>, A.A. Machado<sup>6</sup>, B. Majorovits<sup>13</sup>, W. Maneschg<sup>6</sup>, G. Marissens<sup>5</sup>, S. Mayer<sup>13</sup>,  
 G. Meierhofer<sup>18,29</sup>, I. Nemchenok<sup>4</sup>, L. Niedermeier<sup>18,c</sup>, S. Nisi<sup>1</sup>, J. Oehm<sup>6</sup>, C. O'Shaughnessy<sup>13</sup>, L. Pandola<sup>1</sup>,  
 P. Peiffer<sup>6,30</sup>, K. Pelczar<sup>2</sup>, A. Pullia<sup>9</sup>, S. Riboldi<sup>9</sup>, F. Ritter<sup>18,31</sup>, C. Rossi Alvarez<sup>16</sup>, C. Sada<sup>15,16</sup>, M. Salathe<sup>6</sup>,  
 C. Schmitt<sup>18</sup>, S. Schönert<sup>14</sup>, J. Schreiner<sup>6</sup>, J. Schubert<sup>13,c</sup>, O. Schulz<sup>13</sup>, U. Schwan<sup>6</sup>, B. Schwingenheuer<sup>6</sup>, H. Seitz<sup>13</sup>,  
 E. Shevchik<sup>4</sup>, M. Shirchenko<sup>12,4</sup>, H. Simgen<sup>6</sup>, A. Smolnikov<sup>6</sup>, L. Stanco<sup>16</sup>, F. Stelzer<sup>13</sup>, H. Strecker<sup>6</sup>, M. Tarka<sup>19</sup>,  
 U. Trunk<sup>6,32</sup>, C.A. Ur<sup>16</sup>, A.A. Vasenko<sup>11</sup>, S. Vogt<sup>13</sup>, O. Volynets<sup>13</sup>, K. von Sturm<sup>18</sup>, V. Wagner<sup>6</sup>, M. Walter<sup>19</sup>,  
 A. Wegmann<sup>6</sup>, M. Wojcik<sup>2</sup>, E. Yanovich<sup>10</sup>, P. Zavarise<sup>1,33</sup>, I. Zhitnikov<sup>4</sup>, S.V. Zhukov<sup>12</sup>, D. Zinatulina<sup>4</sup>, K. Zuber<sup>3</sup>,

19  
 institutions  
 6  
 countries  
 ~110  
 members



# Hall A @ Laboratori Nazionali del Gran Sasso

- 3800 m.w.e overburden
- Muon flux suppressed by factor  $10^6$

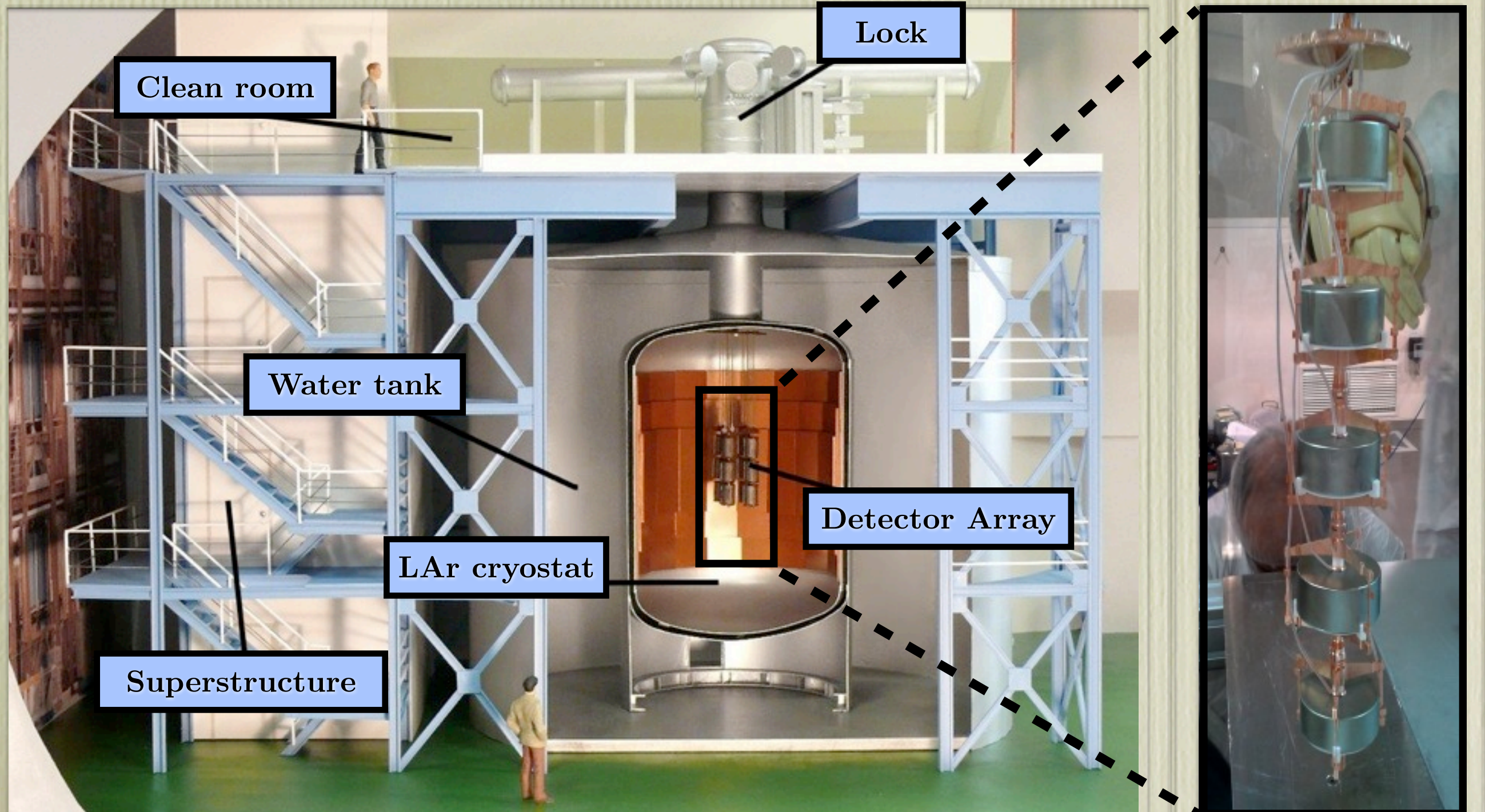




# GERDA: GERmanium Detector Array

Novel idea: Operate HPGe detectors naked in liquid argon (LAr)

- Liquid argon serves as cooling, shielding and active veto

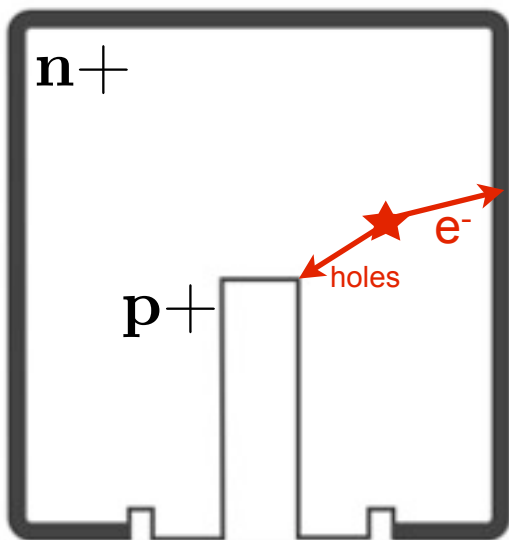




# GERDA Physics Phases

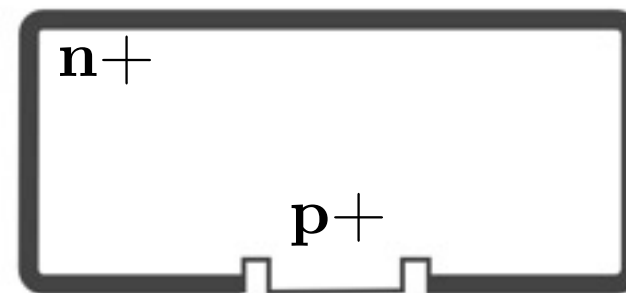
## Phase I: Nov 12 - May 13

- 6 to 8 coaxial detectors from Heidelberg Moscow and IGEX
- ~18 kg enriched germanium
- $\Delta E \sim 4.5$  keV @2.6 MeV
- 4 to 5 BEGe's deployed in Phase I since June 2012
- Exposure aim 20 kg yr (good chance to scrutinize claim)
- Blinded analysis



## Phase II: Start 2013

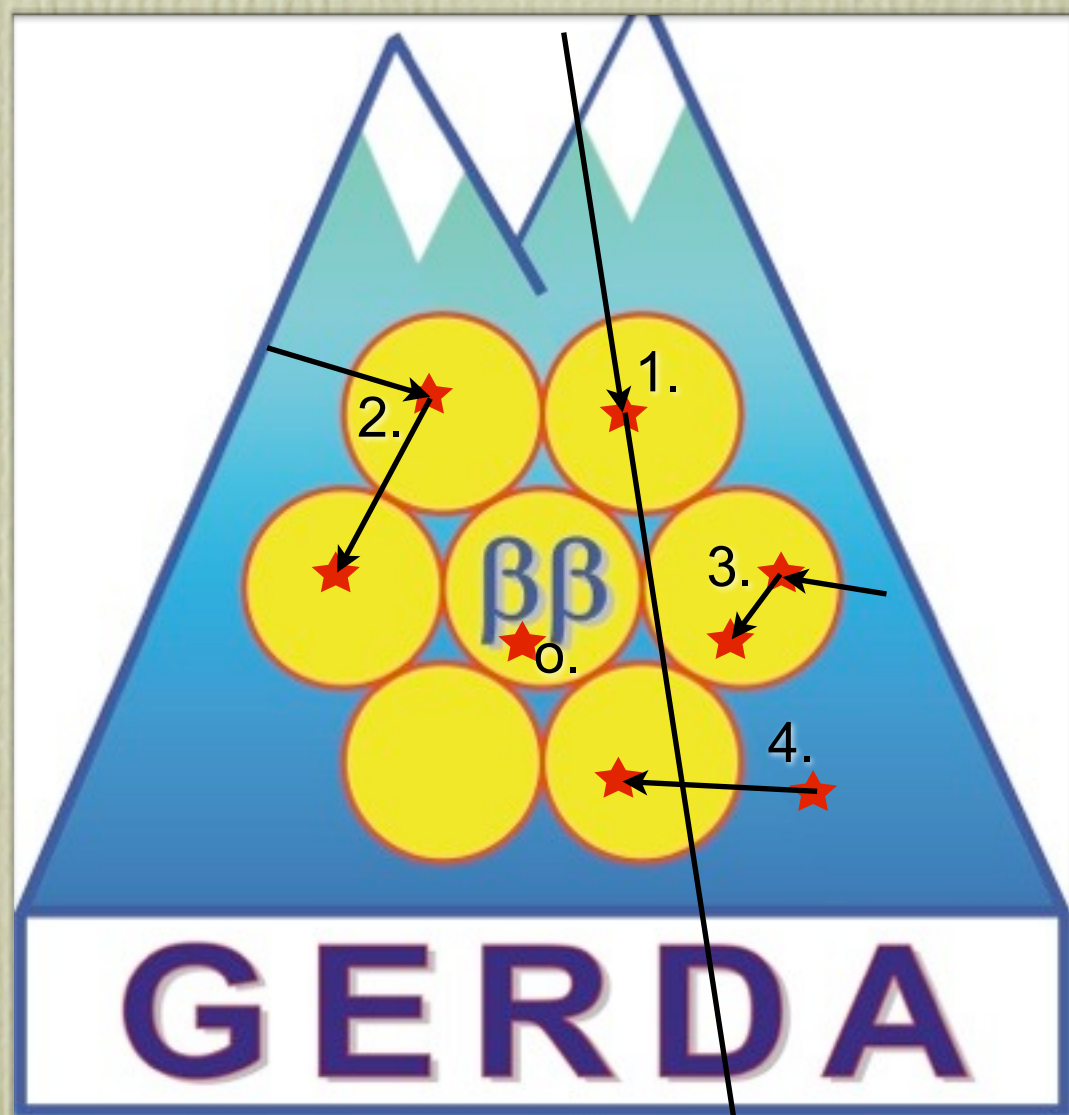
- 30 additional enriched BEGe Detectors
- Additional ~20 kg enriched germanium
- Enhanced pulse-shape properties and  $\Delta E$  (FWHM ~3 keV @2.6 MeV)
- Background aim:  $10^{-3}$  cts/(keV kg yr)
- Exposure aim >100 kg yr to explore  $10^{26}$  yr range



# Background Mitigation

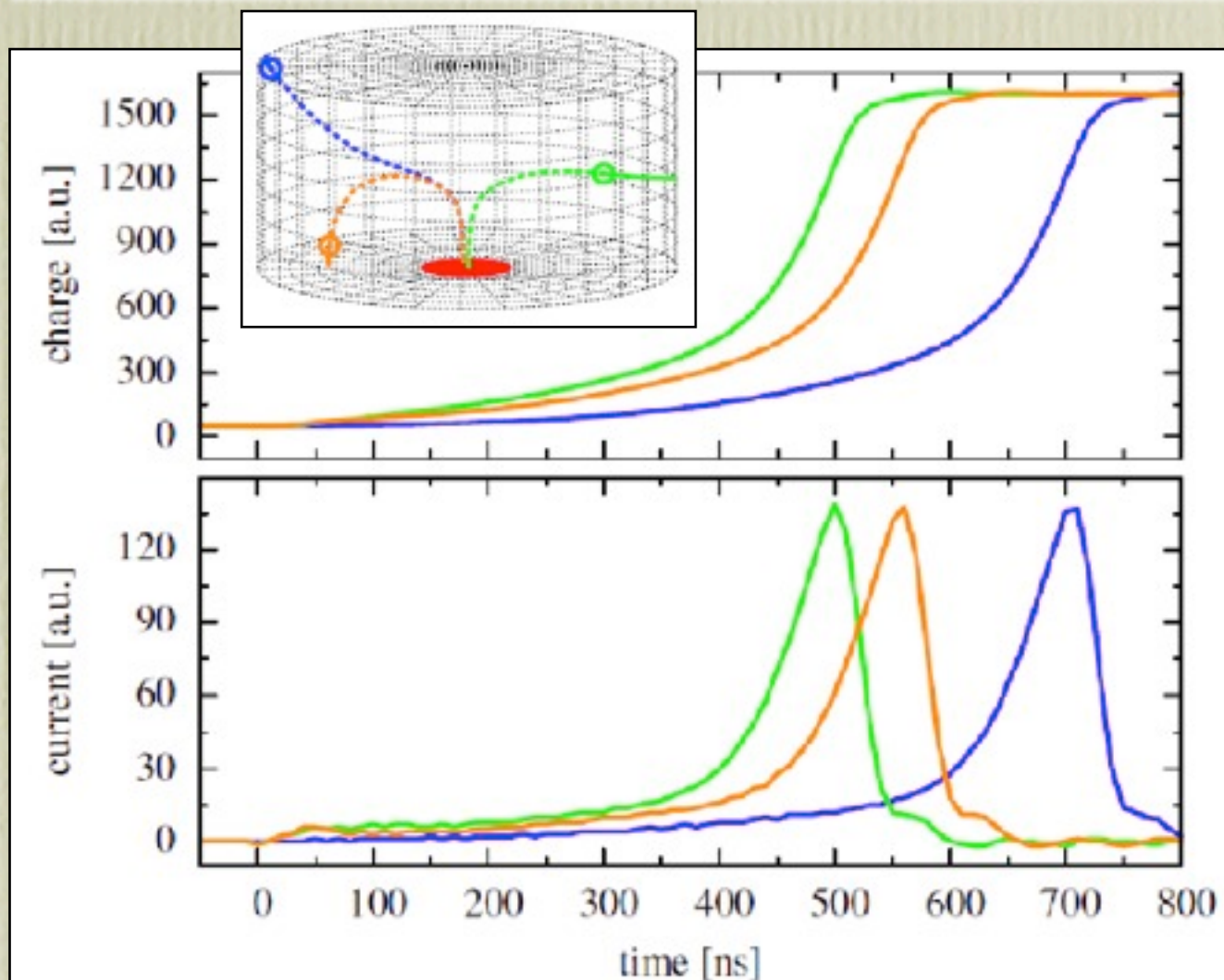
## Event types and rejections:

0.  $0\nu\beta\beta$  signal (single site)
1. Muon Cherenkov veto
2. Detector anti coincidence veto
3. Pulse shape discrimination (multi site)
4. LAr scintillation veto



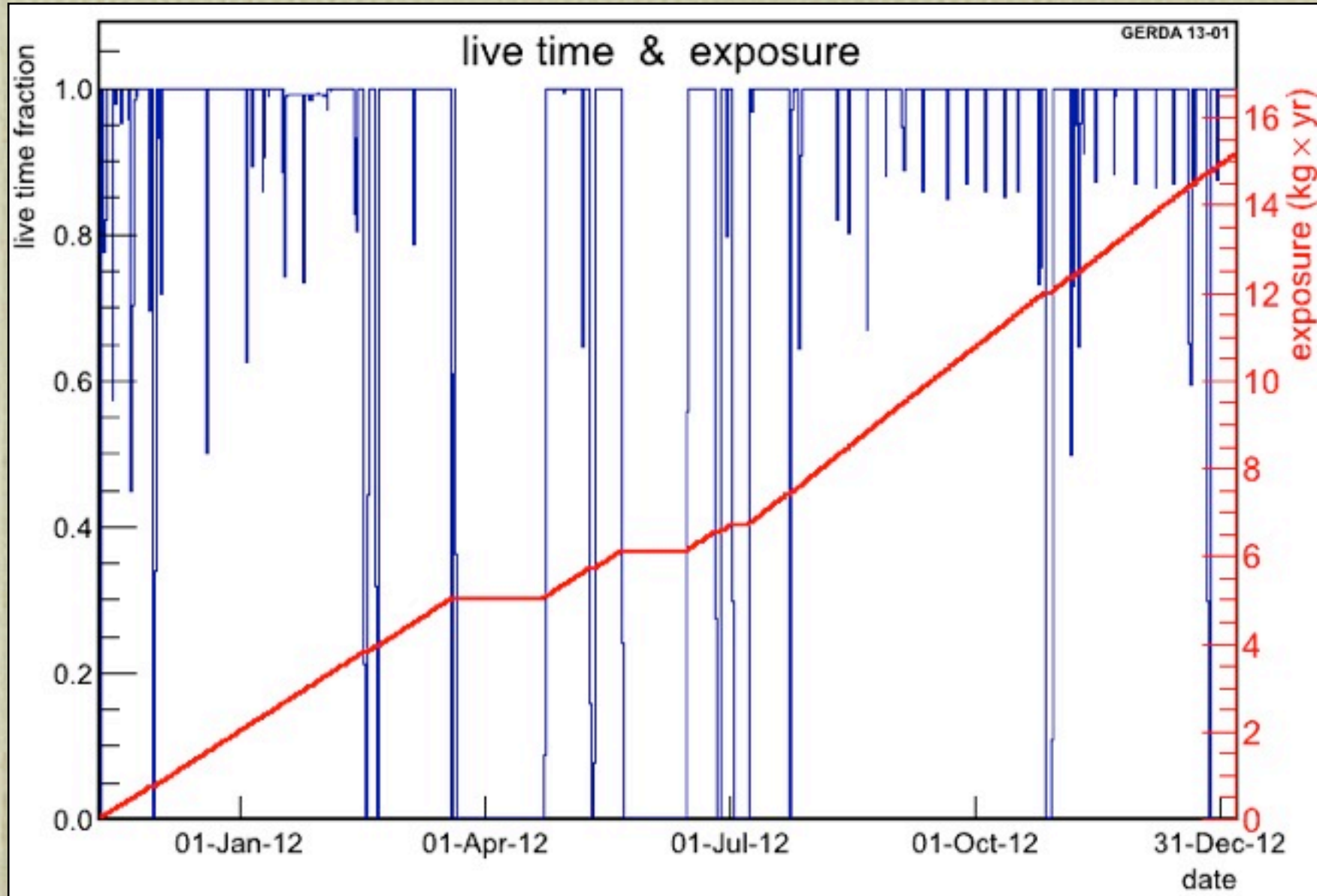
## Phase II improvements:

- Pulse shape discrimination improved with BEGe detectors
- LAr scintillation veto





# Exposure and Duty Cycle



## Preliminary exposure for Phase I [kg yr]

Total  $^{\text{enr}}\text{Ge}$ : 21.6

Total  $^{\text{nat}}\text{Ge}$ : 6.2

## Splitting data

into sets according to detectors class and run performance (e.g. background index, noise)

## Fixed Phase I data set:

556 calendar days

First event: 2011, Nov 9, 17:50:20

Last event: 2013, May 21, 10:32:34

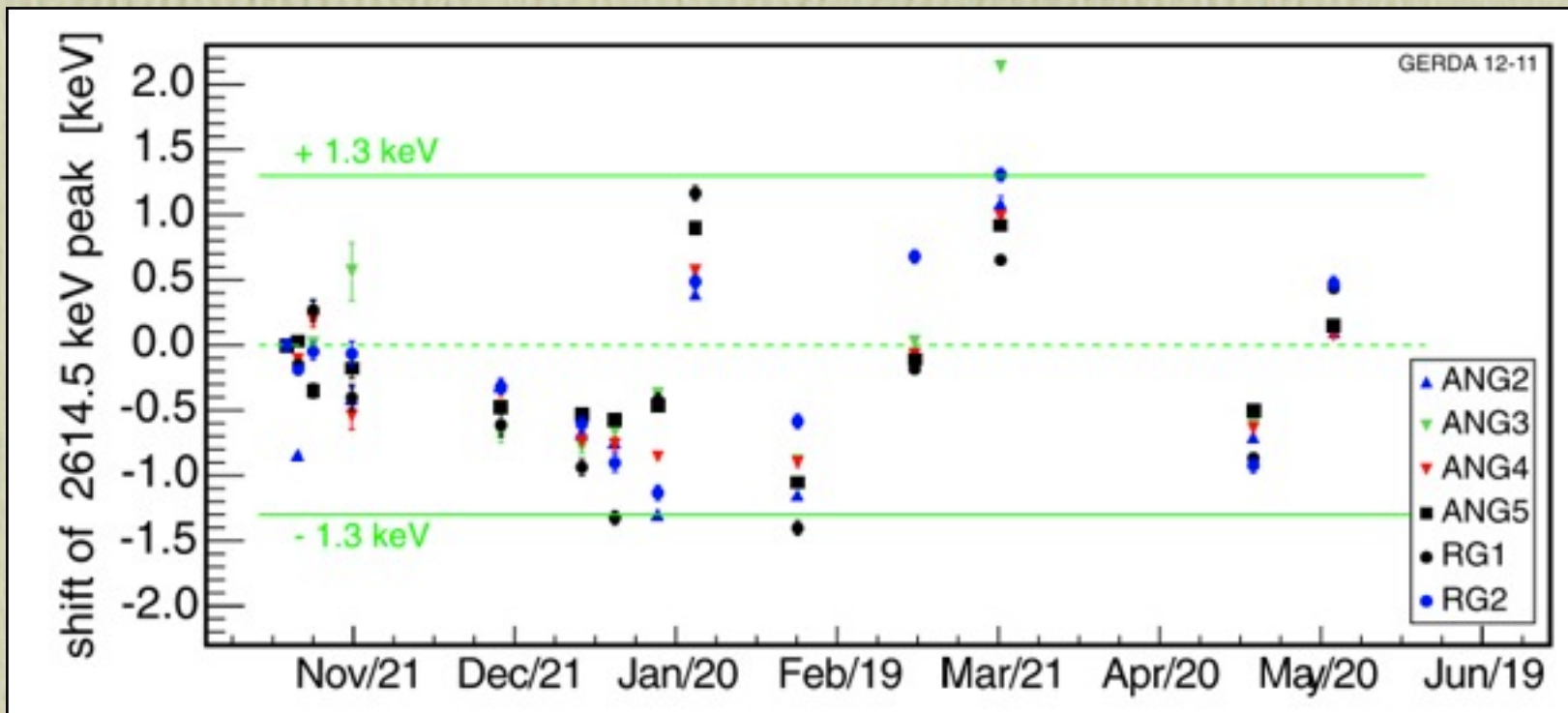
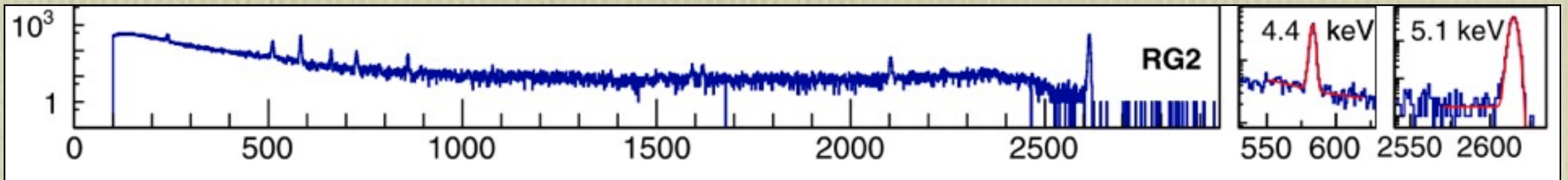
Duty cycle: 88%

## Exposure [kg yr]

- Golden set: 17.9
- Silver set: 1.3
- BEGe set: 2.4

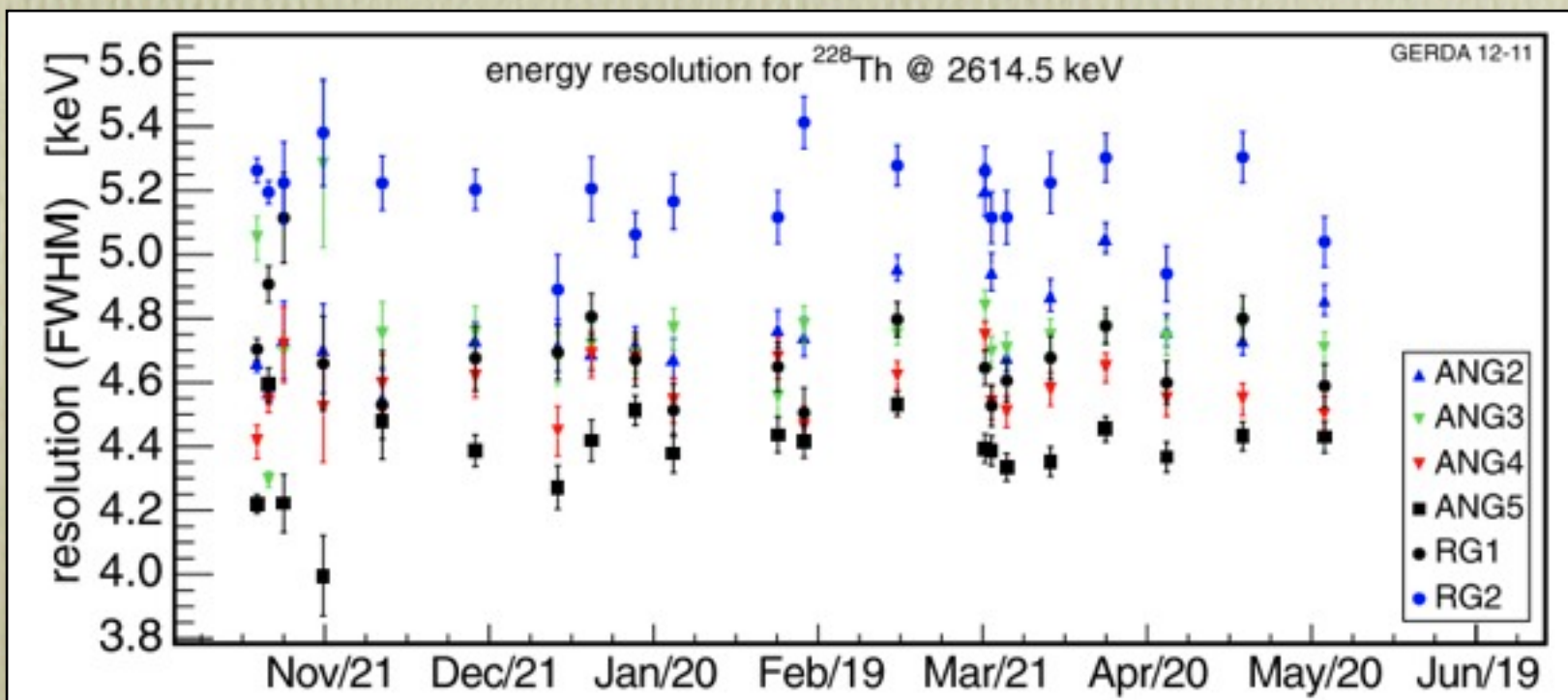


# Run Calibration and Stability



Detector calibration with 3 Th228 sources 1h per week

- Energy shift usually  $< 1$  keV between calibrations
- Energy resolution stable



FWHM @ 2.6MeV:

(mass weighted average)

Coaxial: 4.5 keV

BEGe: 3.0 keV



# Background Spectrum

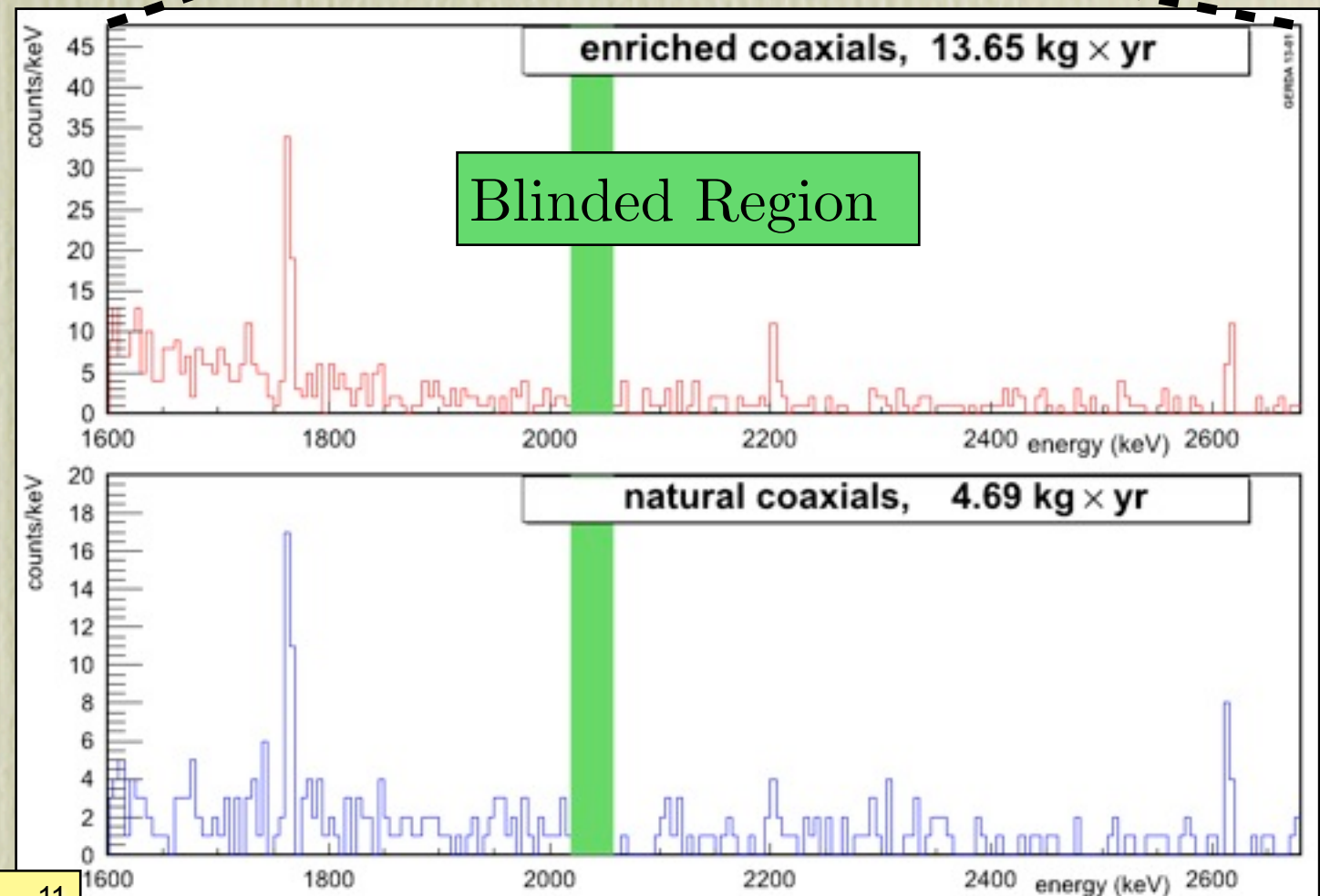
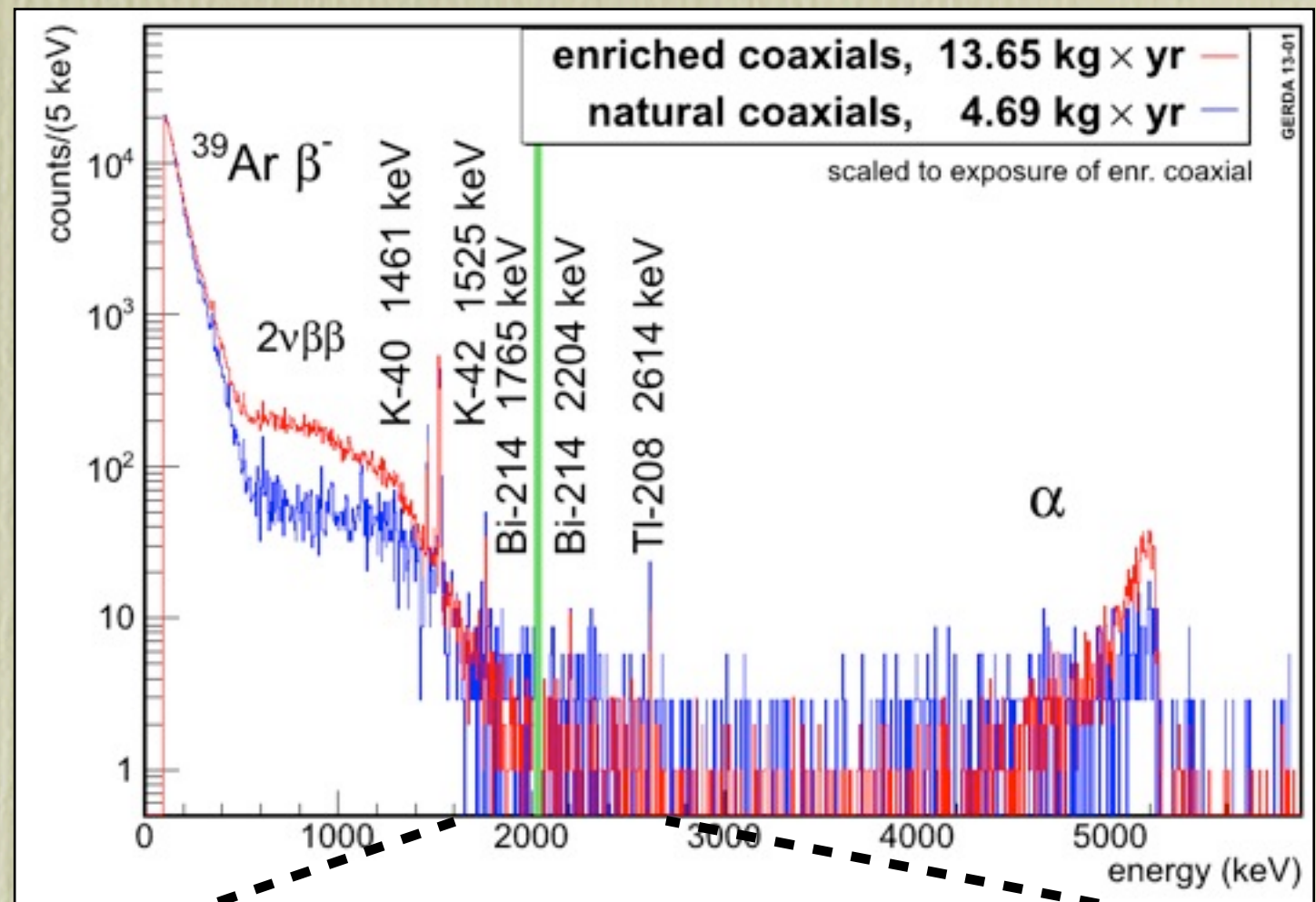
## Main features:

- $^{39}\text{Ar}$  (565 keV  $\beta$ , 1 Bq/1 LAr)
- $2\nu\beta\beta$  (GERDA measurement)
- $^{42}\text{K}$  from inside LAr
- Alphas on the surface
- Decay chain gamma lines

## Preliminary Background Index

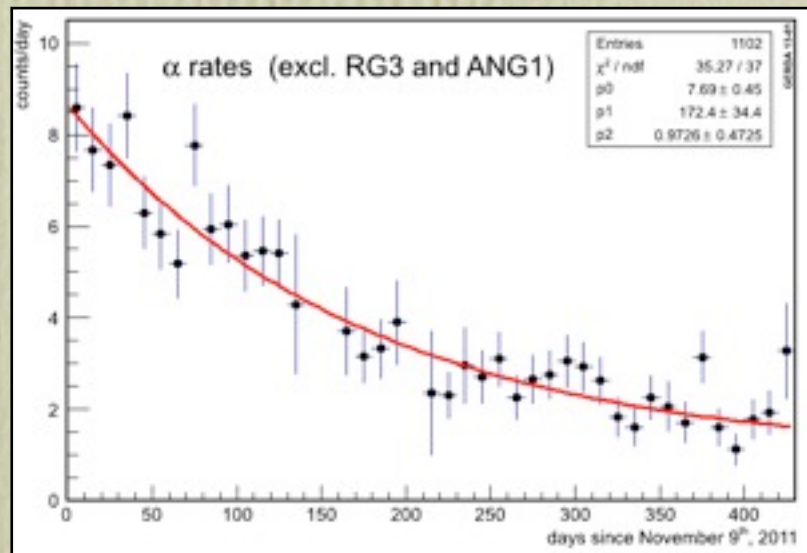
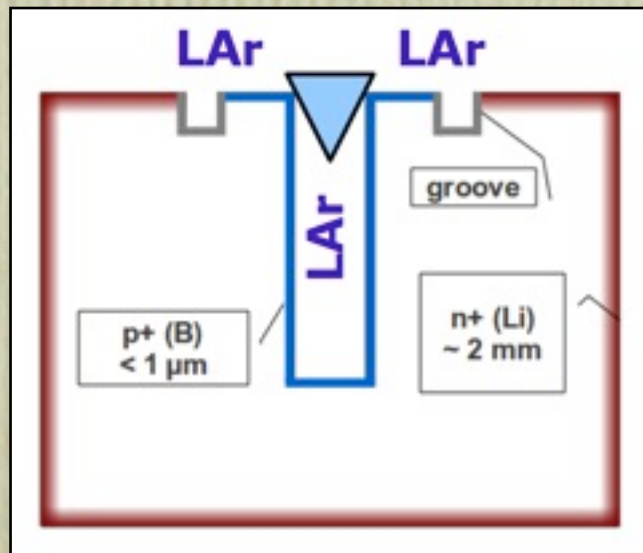
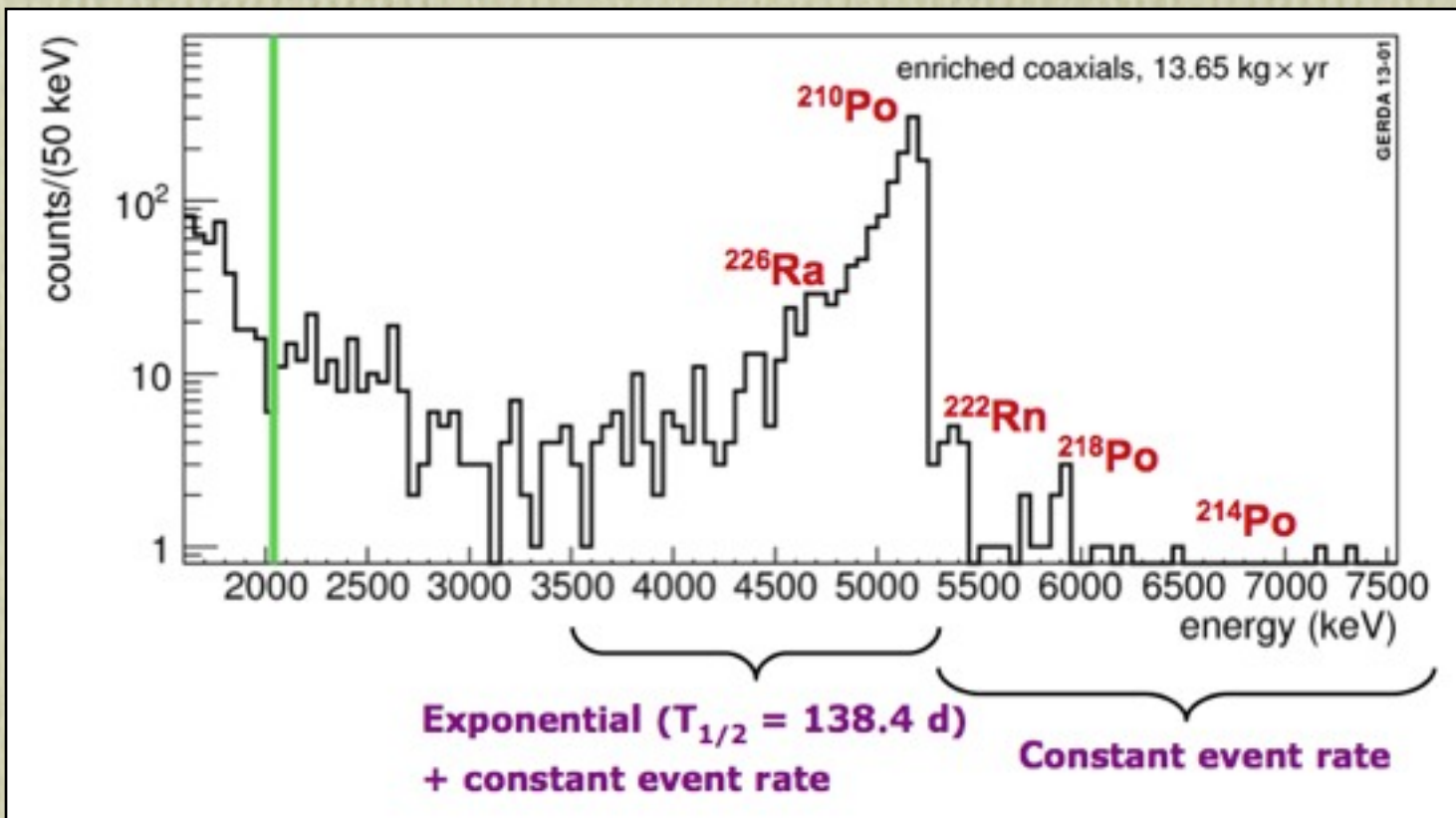
in counts/(kg yr keV) in  $Q_{\beta\beta} \pm 100$  keV

Golden set	0.019
Silver set	0.063
BEGe set	0.041
Phase I aim	0.01
Phase II aim	0.001
(HDM)	0.17



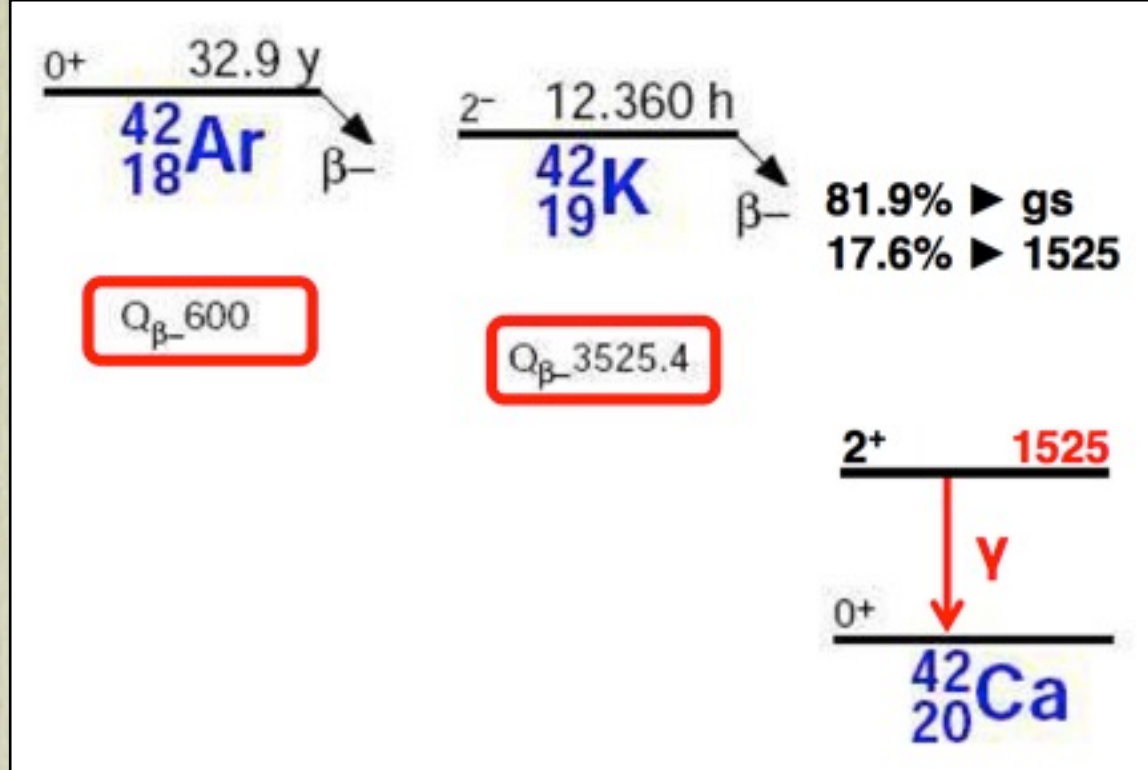


# Alpha Background

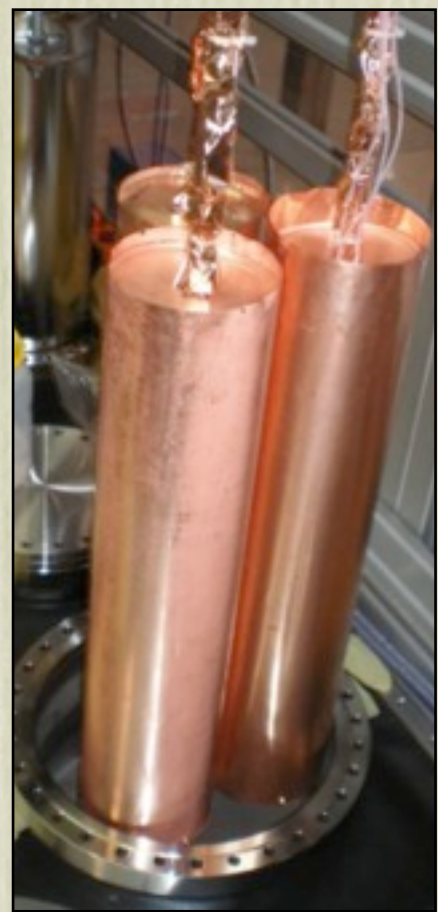


- Alpha decays close to thin p+ dead layer
- Rate decays in agreement with  $^{210}\text{Po}$   $T_{1/2}$

# K42 Background



- Largest expected bg for Phase II
- $^{42}\text{K}$  bg mitigation
  - Mini shroud
  - Electric fields
  - LAr veto
  - Pulse shape discrimination





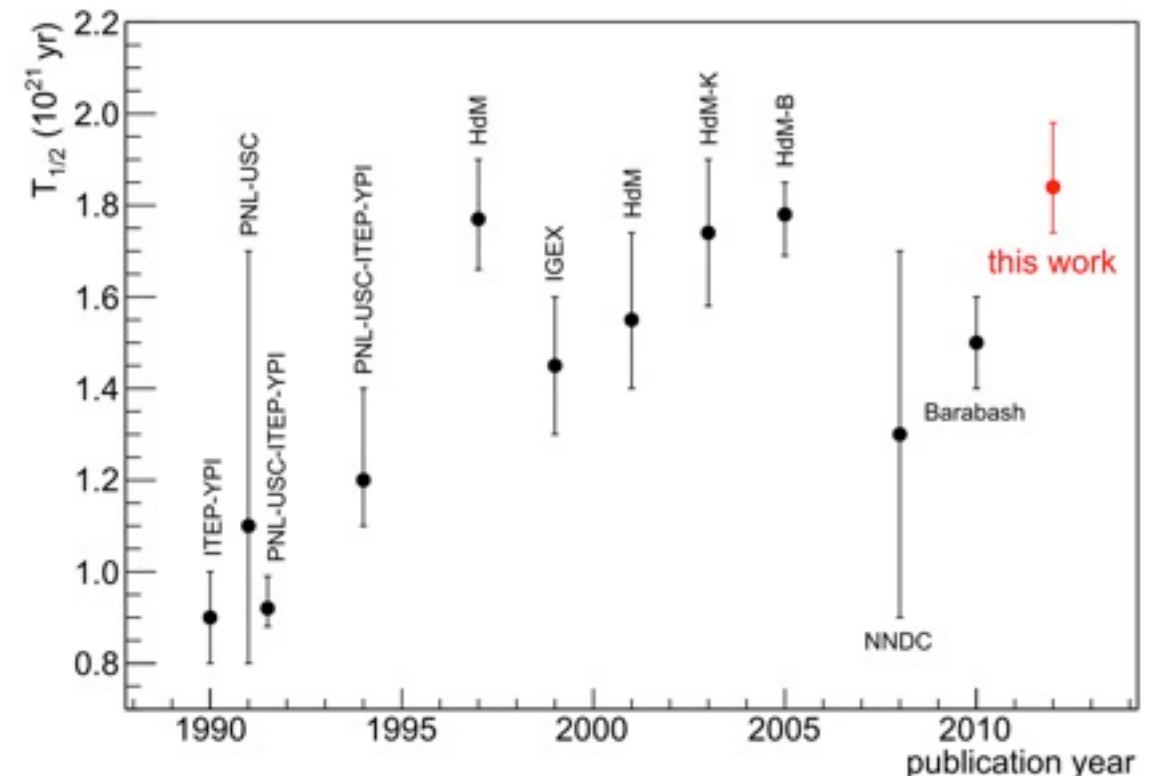
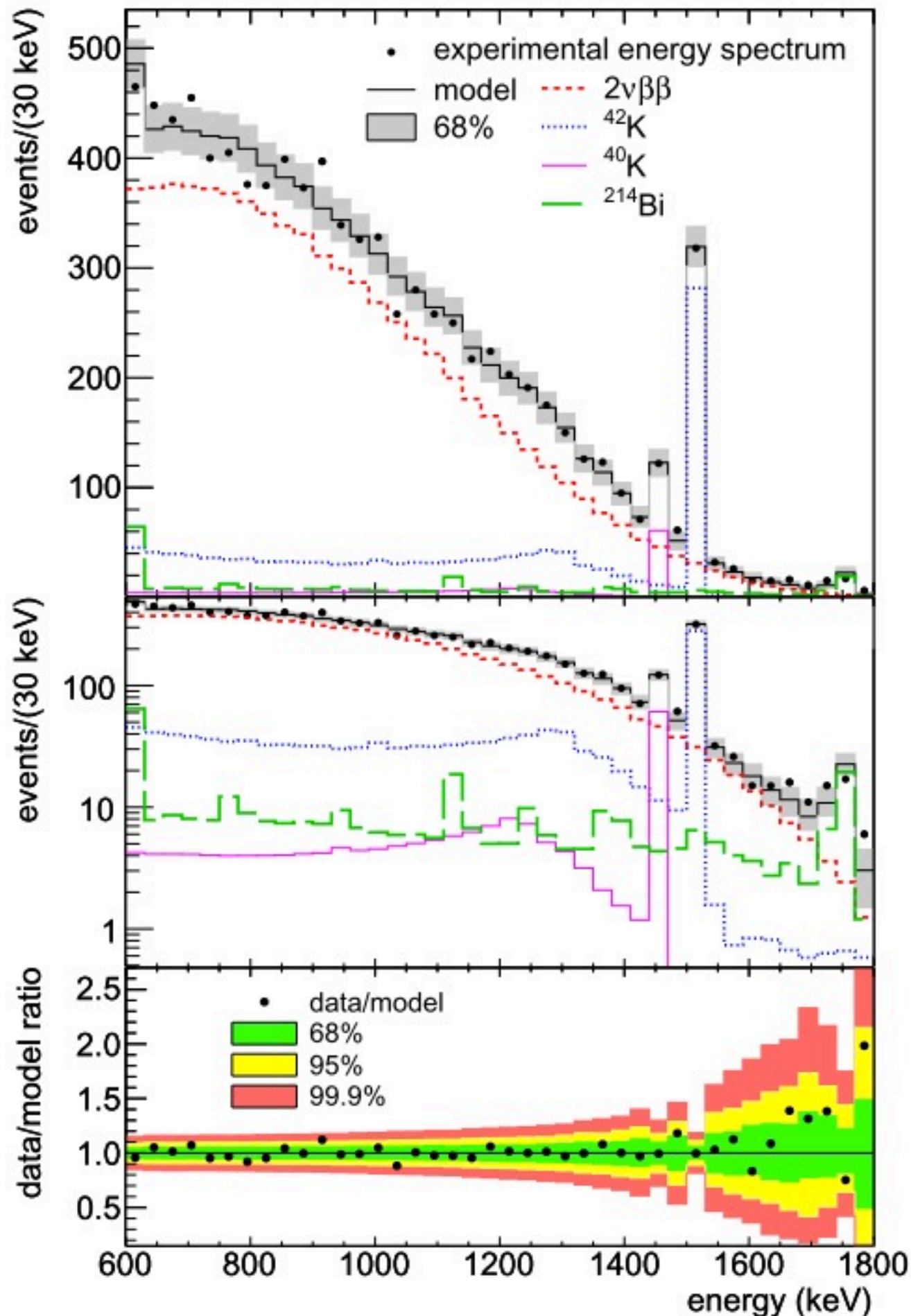
# $2\nu\beta\beta$ Measurement

(J.Phys.G 40 (2013) 035110)

- Binned ML fit with 32 parameters (Bayesian analysis)
- 5.04 kg yr exposure: 7030  $2\nu\beta\beta$  events
- Larger than previous S/B ratio: 4:1

## GERDA Result

$$T_{1/2}^{2\nu} = (1.84_{-0.08}^{+0.09} \text{ fit } {}_{-0.06}^{+0.11} \text{ syst}) \cdot 10^{21} \text{ yr}$$

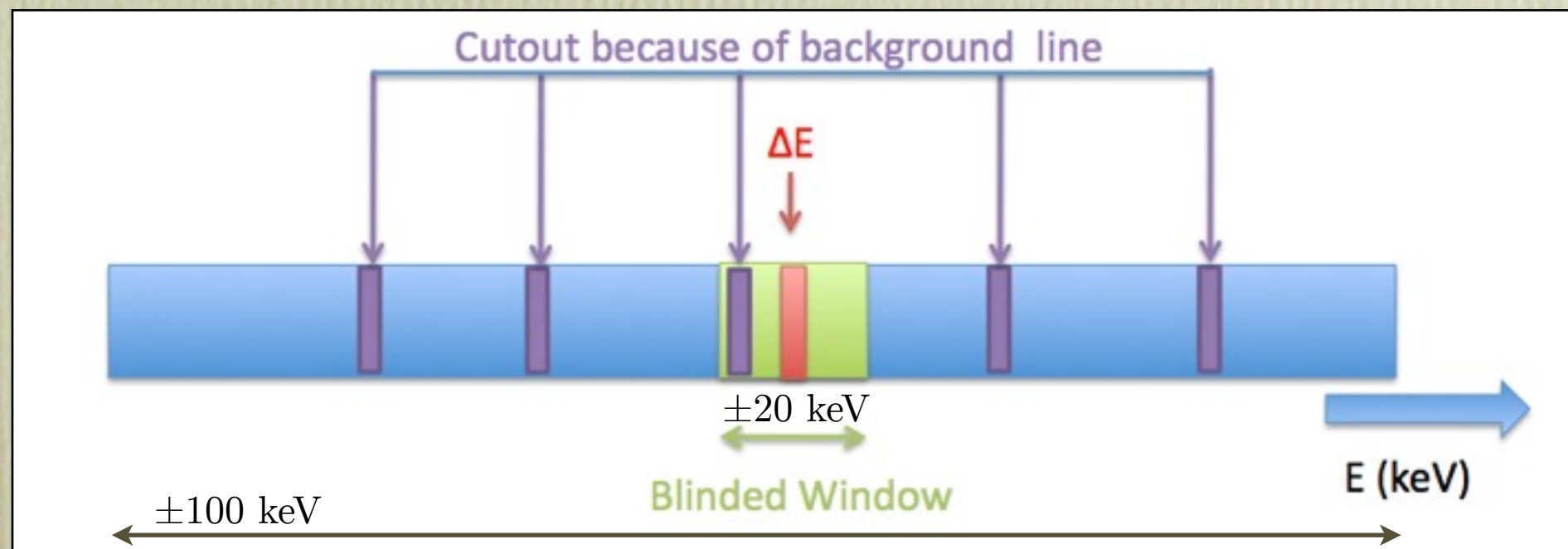




# Phase I: $0\nu\beta\beta$ Blinded Analysis

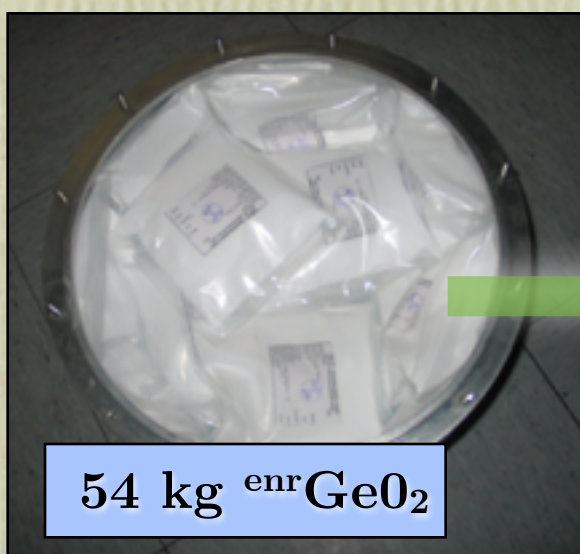


1. Data after Jan 2012 is blinded in  $\pm 20$  keV around  $Q_{\beta\beta}$ 
  - Avoid tuning the analysis towards signal or no-signal outcome
2. All data processing, quality cuts and statistical analysis methods are being fixed
  - Paper with background model and analysis parameters published on arXiv prior to final unblinding
3. Final unblinding foreseen at GERDA Collaboration meeting June 2013
4. Presentation of result at seminar at LNGS along with publication

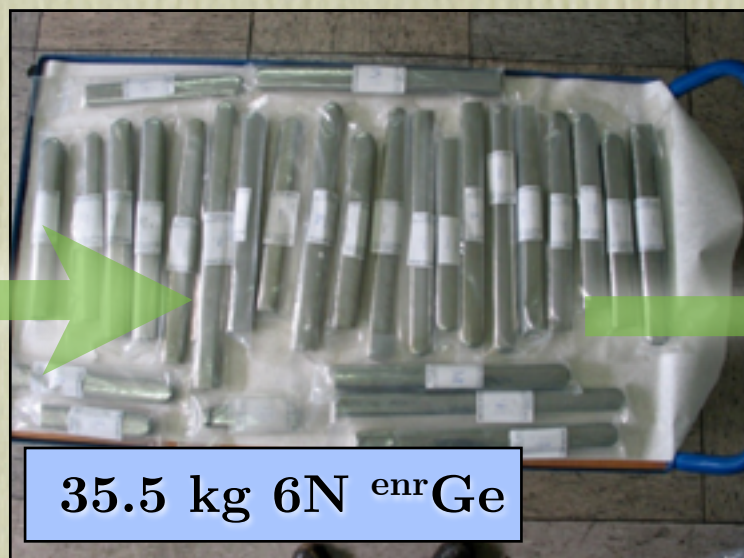




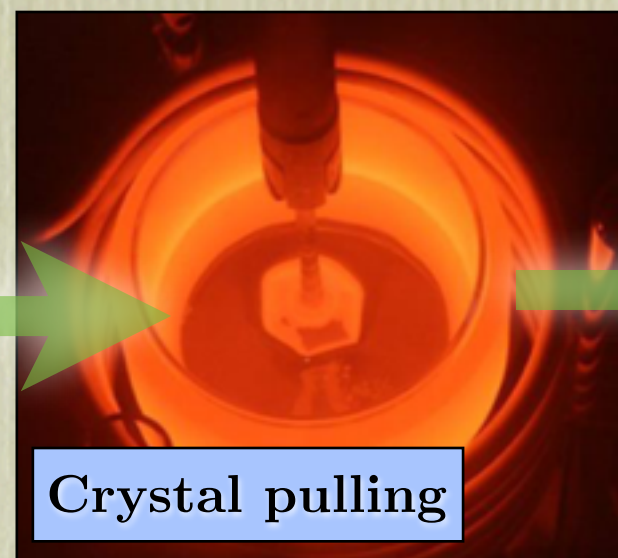
# Phase II: BEGe Detectors



54 kg enrGeO<sub>2</sub>



35.5 kg 6N enrGe



Crystal pulling

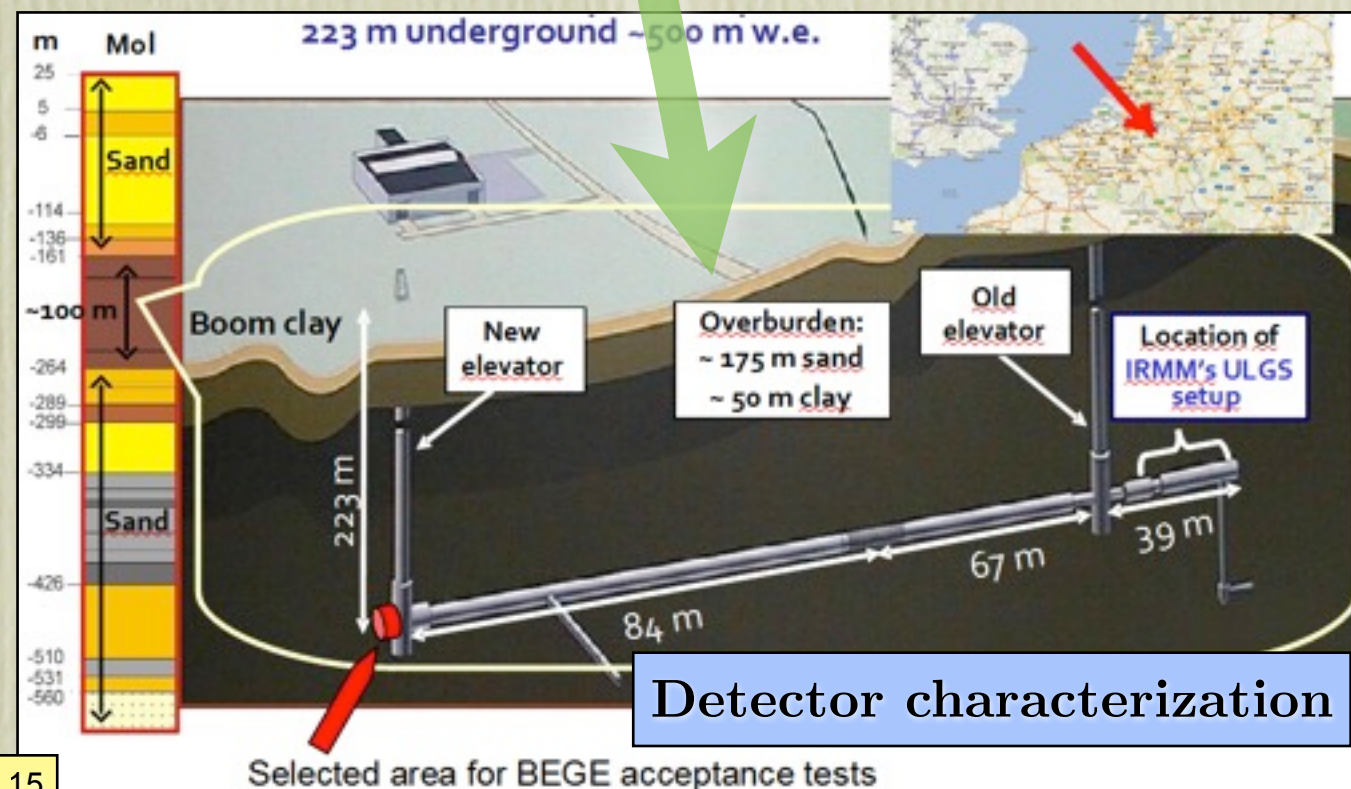


7 crystals



30 BEGe detectors

- Whole production chain from enrGeO<sub>2</sub> to BEGe diode organized by GERDA and tested with depGe (JINST 8 P04018 2013)
- Total gain 30 BEGes with 20.5 kg (58 % yield)
- Detector characterization in HADES underground facility, Belgium
  - Transport in shielded container
  - Storage and testing underground

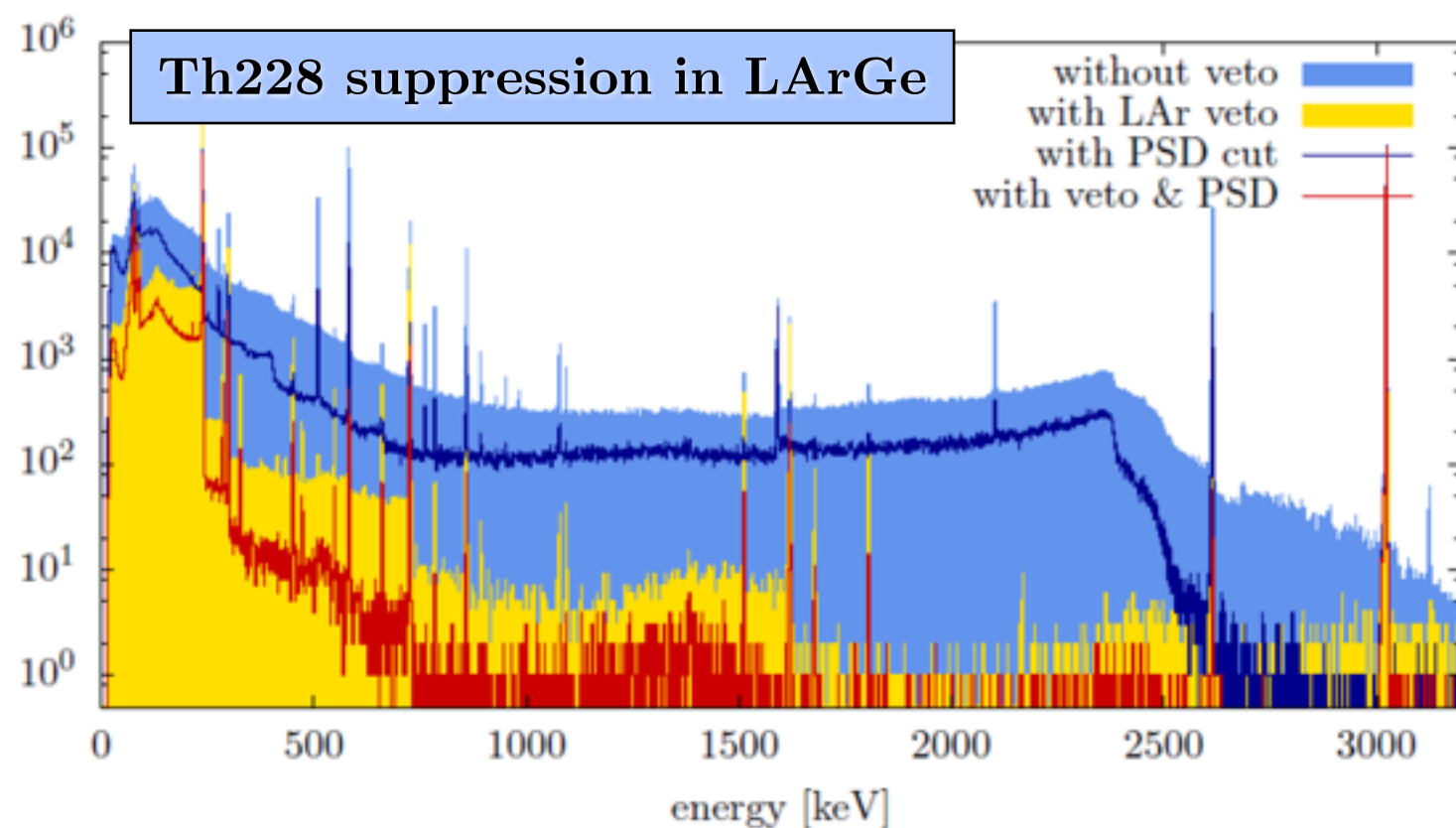


Detector characterization



# Phase II: LAr Scintillation Veto

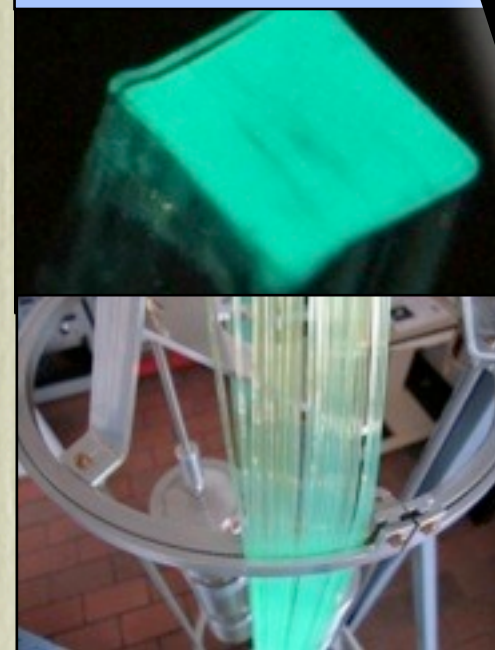
- Experimental prove of principle in R&D facility LArGe (LNGS)
- Investigation of different design principles for GERDA with tuned MC simulations:
  - PMT arrays on top and bottom
  - Fiber shroud with SiPM readout
  - SiPMs inside mini shroud (if deployed)
  - Combination of designs is favored



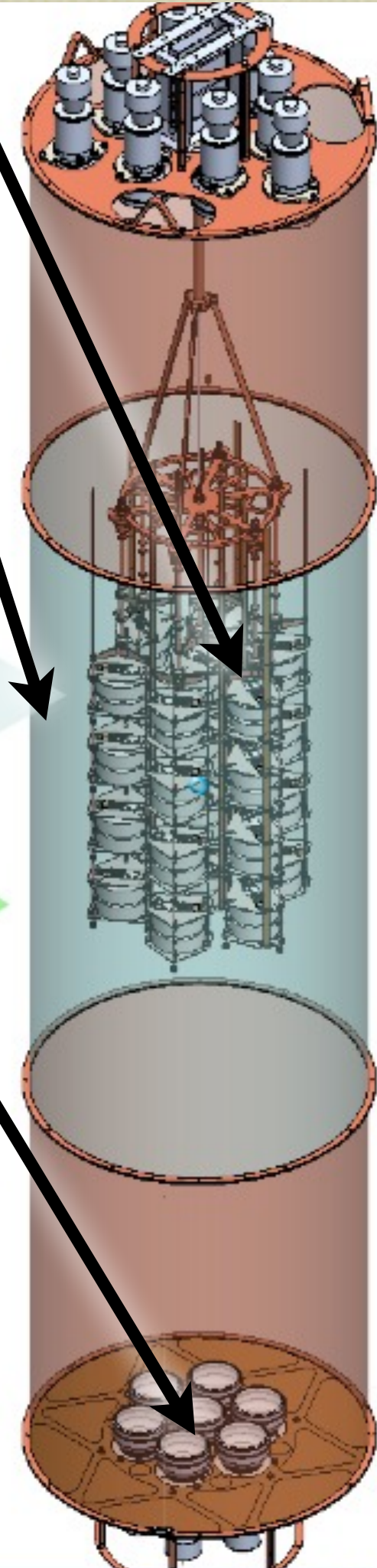
SiPMs



Optical fibers



PMT arrays





# Conclusions & Outlook

- GERDA finished Phase I of data taking on May 21<sup>st</sup> 2013
- Unblinding planned for June 2013 and result will be presented in seminar at LNGS
- Phase II preparation ongoing and hardware integration this summer
- Main Phase II improvements:
  - BEGe detectors
  - LAr scintillation veto





Thank you for the  
attention!

Lets see what comes  
out of the box next  
month...



# History

## LOW-RADIOACTIVITY BACKGROUND TECHNIQUES

the idea '95

*G. Heusser*

Max-Planck-Institut für Kernphysik, P.O. Box 103 980, D-69029 Heidelberg,  
Germany



Hall A before construction



water tank construction



Hall A today



the cryostat



the muon veto



official inauguration



# Gamma Lines Compare with Heidelberg Moscow

