

GERDA: results and future



Dušan Budjáš

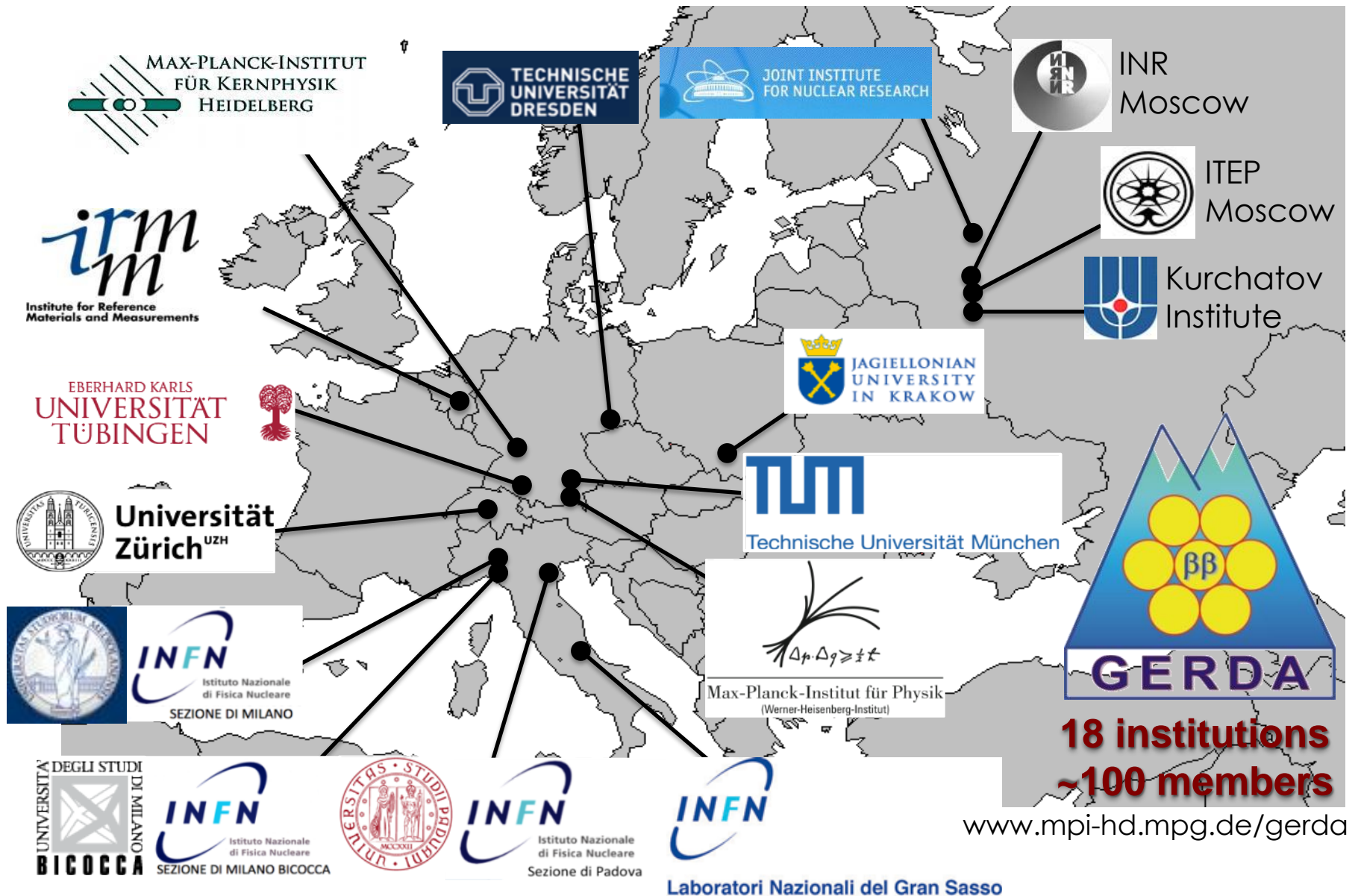
Technische Universität München

for the GERDA collaboration

<http://www.mpi-hd.mpg.de/GERDA>



The GERDA collaboration



Outline



- 1. Experimental approach and design**
- 2. Data and analysis**
- 3. Result: $0\nu\beta\beta$ $T_{1/2}$ limit**
- 4. Future: GERDA Phase II**

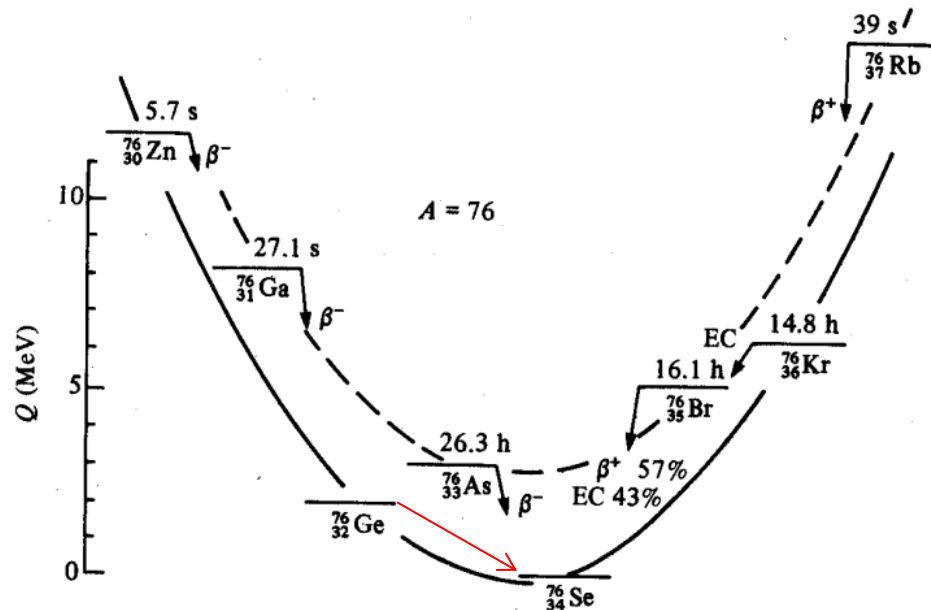
GERDA: $0\nu\beta\beta$ -decay experiment



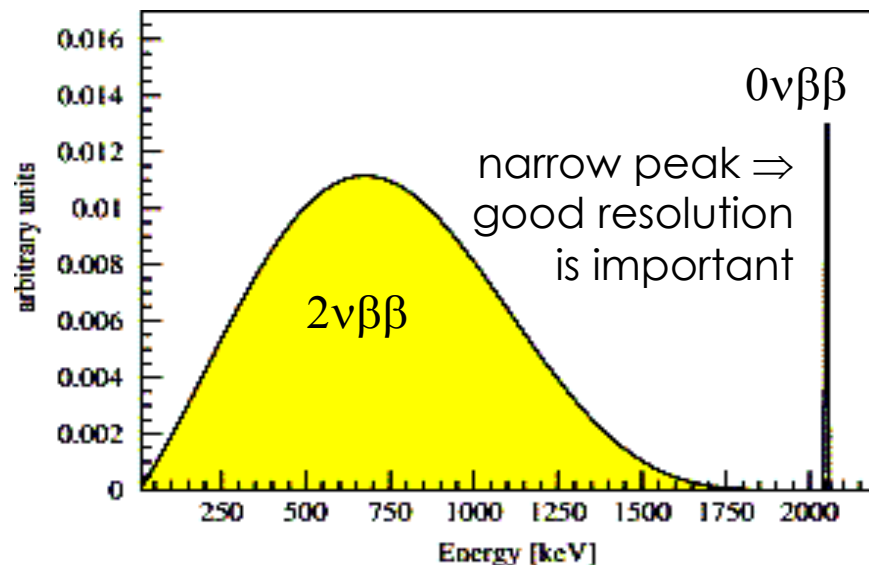
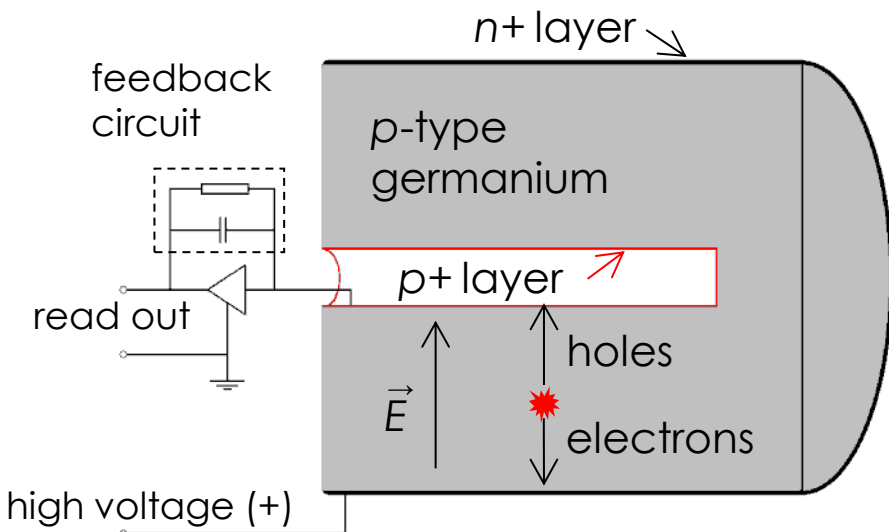
GERDA aims to search for the half-life of $0\nu\beta\beta$ decay of ^{76}Ge

Germanium detectors:

- ultra high purity material
- excellent energy resolution
- enrichment in ^{76}Ge ~86%



$$Q_{\beta\beta} = 2039.01(5) \text{ keV}$$



$0\nu\beta\beta$ -decay search using ^{76}Ge



Germanium detectors:
historically most sensitive $0\nu\beta\beta$ probe

IGEX:

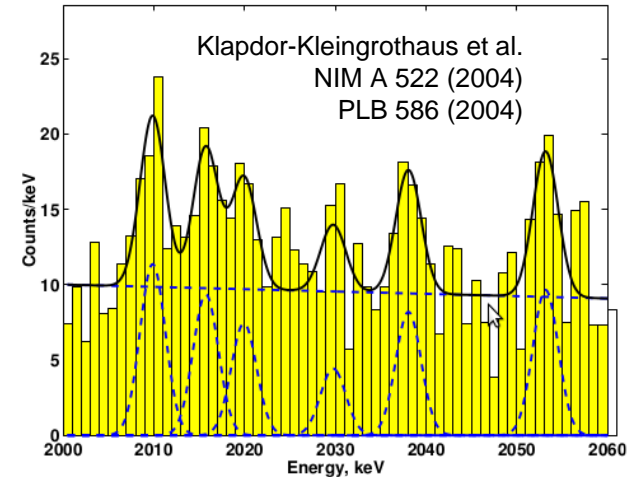
Heidelberg-Moscow:

Klapdor-Kleingrothaus claim: $1.19^{+0.37}_{-0.23}$

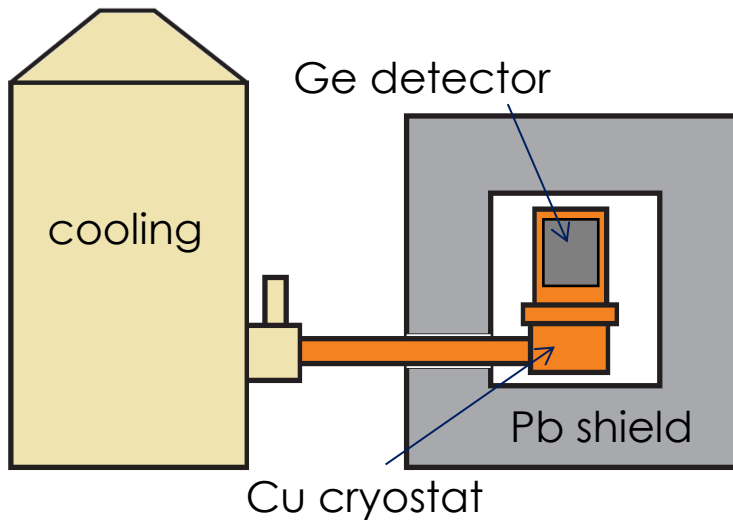
$$T_{1/2}^{0\nu} [10^{25} \text{ yr}]$$

$$> 1.6 \text{ (90\% C.L.)}$$

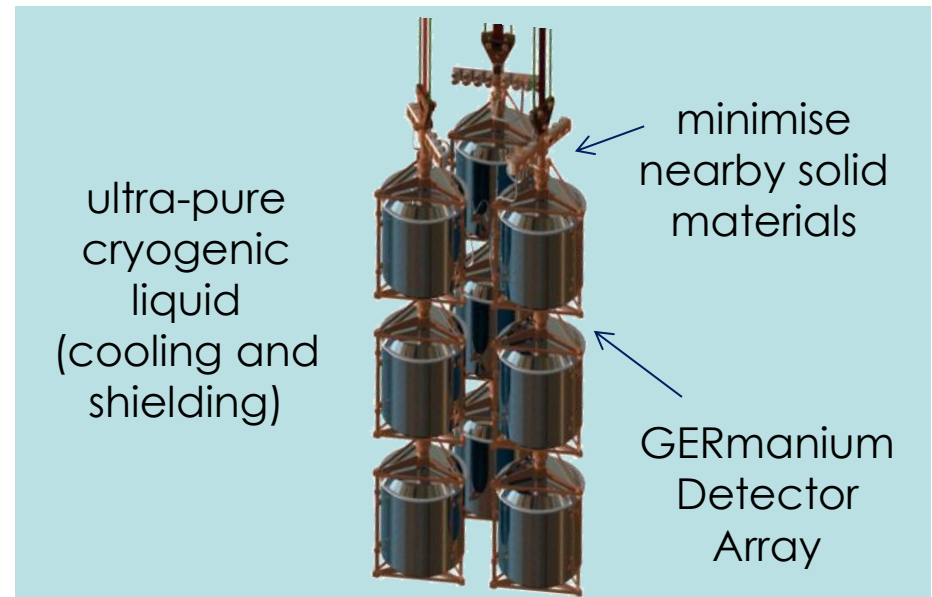
$$> 1.9 \text{ (90\% C.L.)}$$



Past approach (IGEX, HdM):



GERDA approach (Gerd Heusser '95):



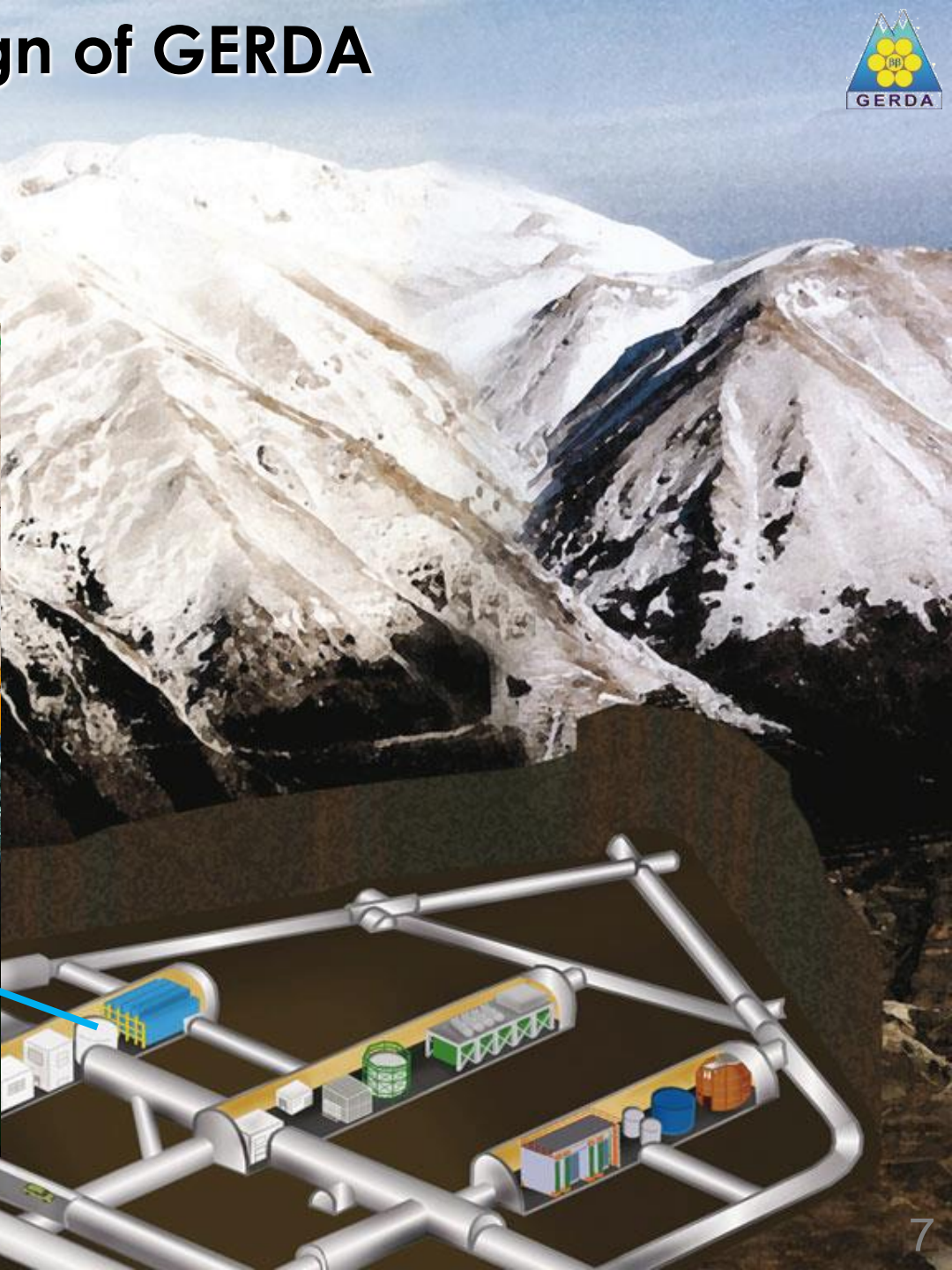
Design of GERDA



Laboratori Nazionali del Gran Sasso



Design of GERDA

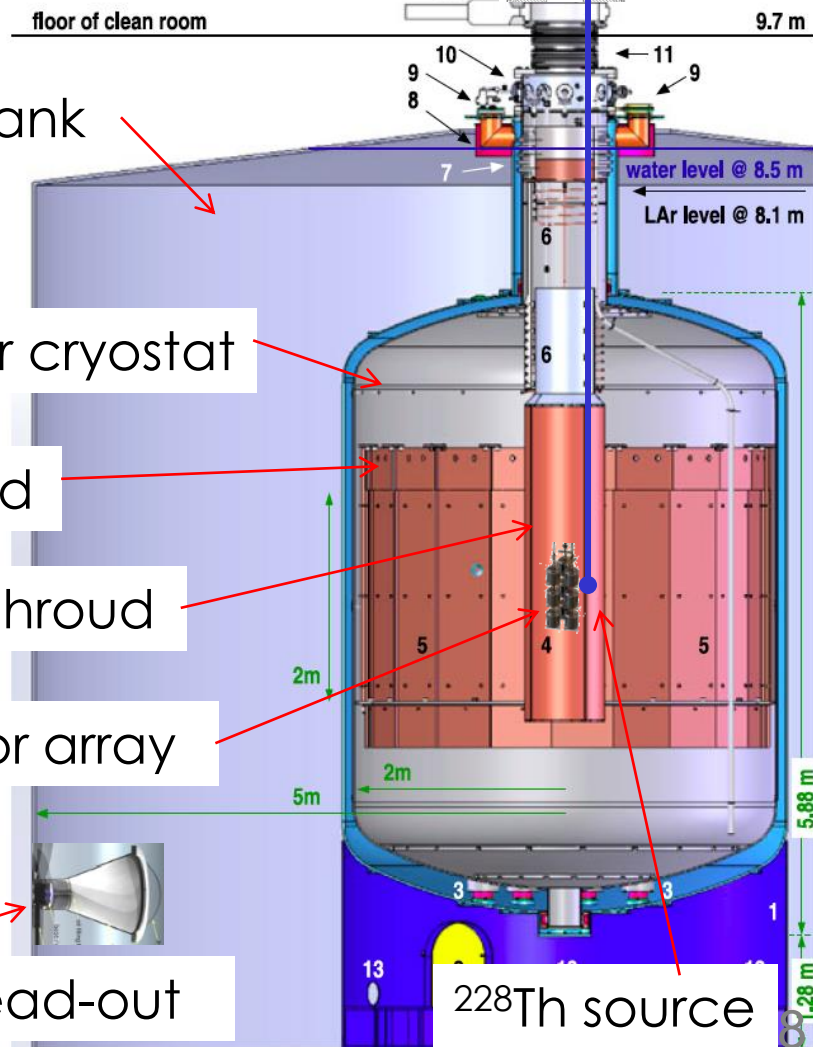


Design of GERDA



Clean room, data acquisition systems

Lock for detector insertion



Water tank

64m³ LAr cryostat

Cu shield

Radon shroud

Detector array

PMT Cherenkov light read-out

²²⁸Th source

Water Cherenkov PMT muon veto



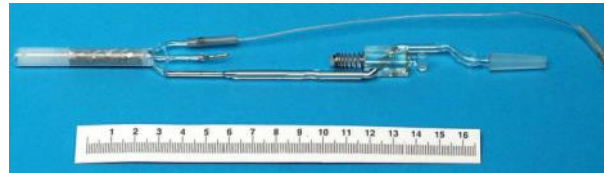
Low background challenge



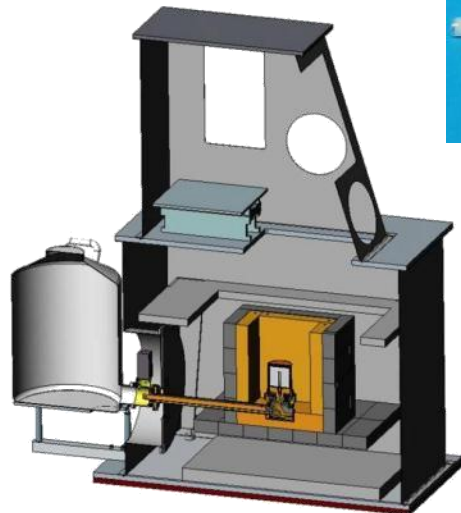
Samples of all materials measured and selected for low radioactivity.

Variety of ultra-sensitive methods:

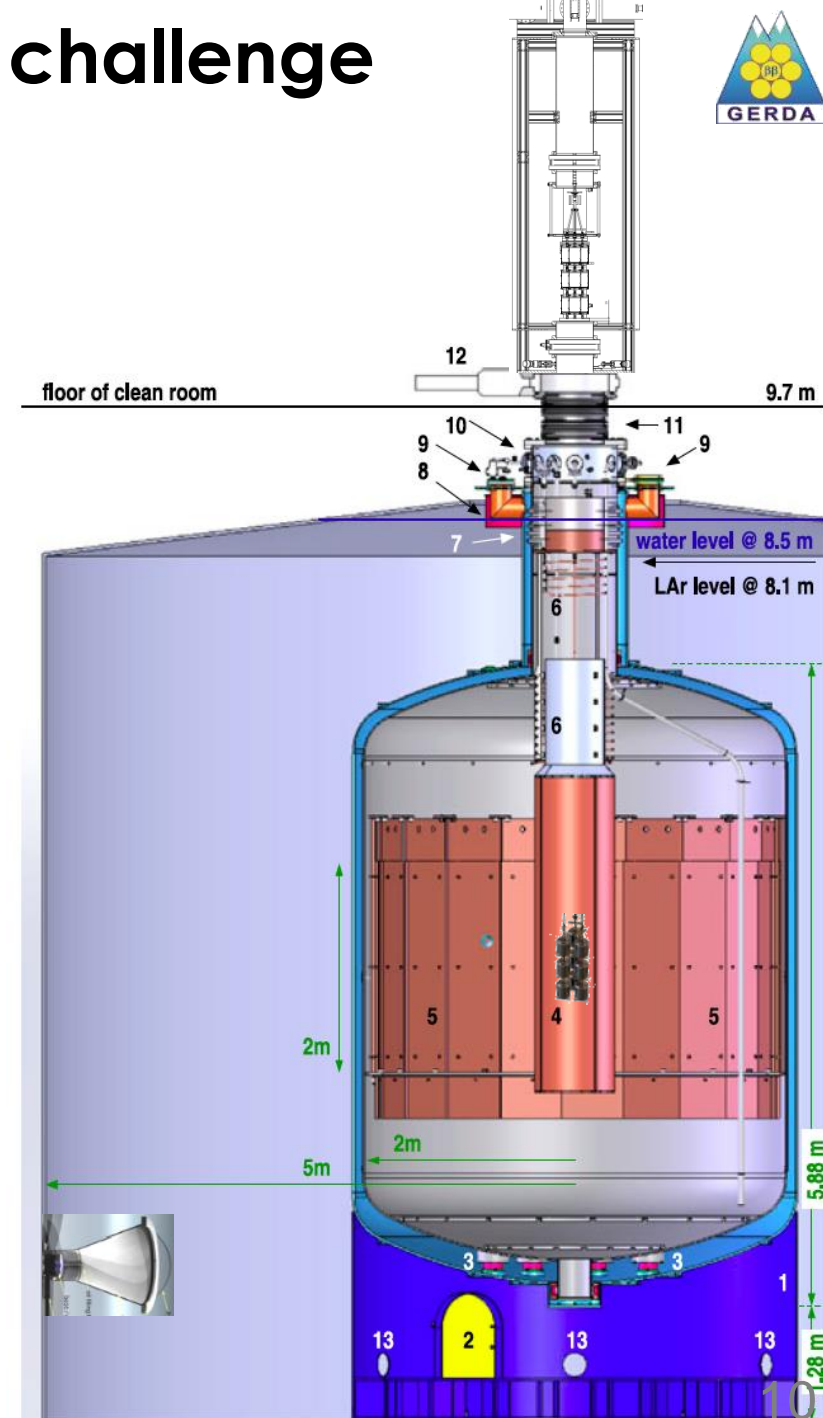
- Ge-detector spectrometry
- mass spectrometry
- neutron activation analysis
- radon emanation detection via proportional gas counters



proportional gas counter, GALLEX/GNO heritage



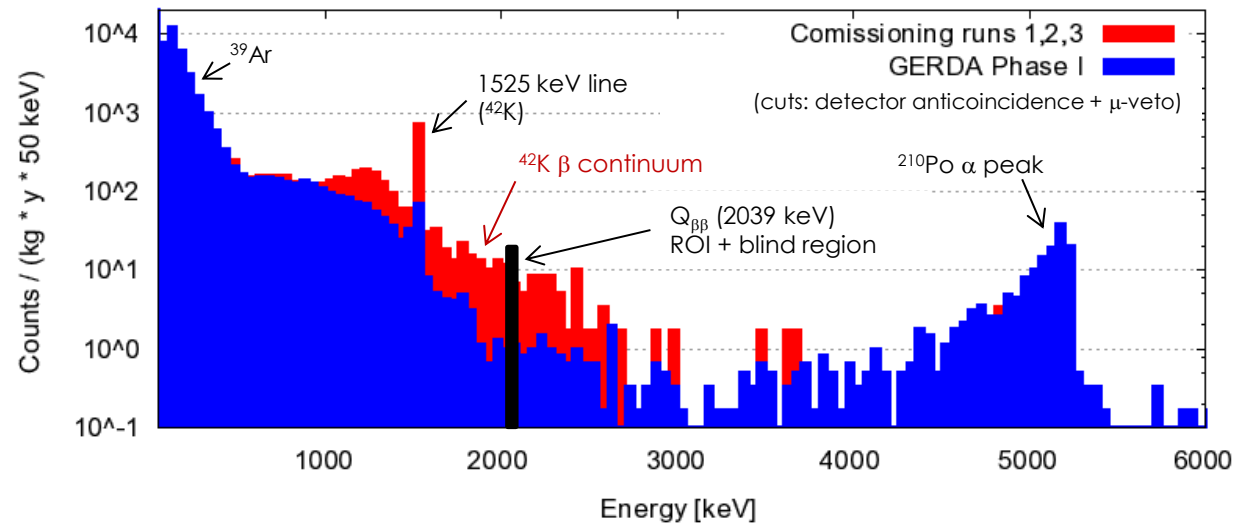
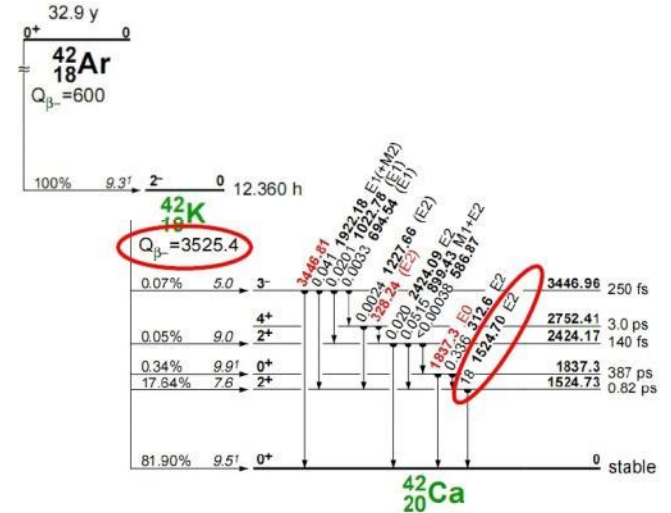
GeMPI spectrometer, evolution of Heidelberg-Moscow detector design



Low background challenge

^{42}Ar concentration in natural argon found much higher than expected.

⇒ solved by installing protective Cu-foil “mini-shroud” around detectors



GERDA Phase I detectors



Enriched coaxial (17.67 kg):
5 from Heidelberg-Moscow
3 from IGEX

Enriched BEGe (3.63 kg):
5 new (Phase II design)

1 non-enriched coaxial

Deployment: November 2011
BEGe: July 2012

Data taking until: May 2013



Total exposure for $0\nu\beta\beta$ analysis: 21.6 kg·yr

3 data-sets: 17.9 kg·yr "golden", 1.3 kg·yr "silver", 2.4 kg·yr "BEGe"

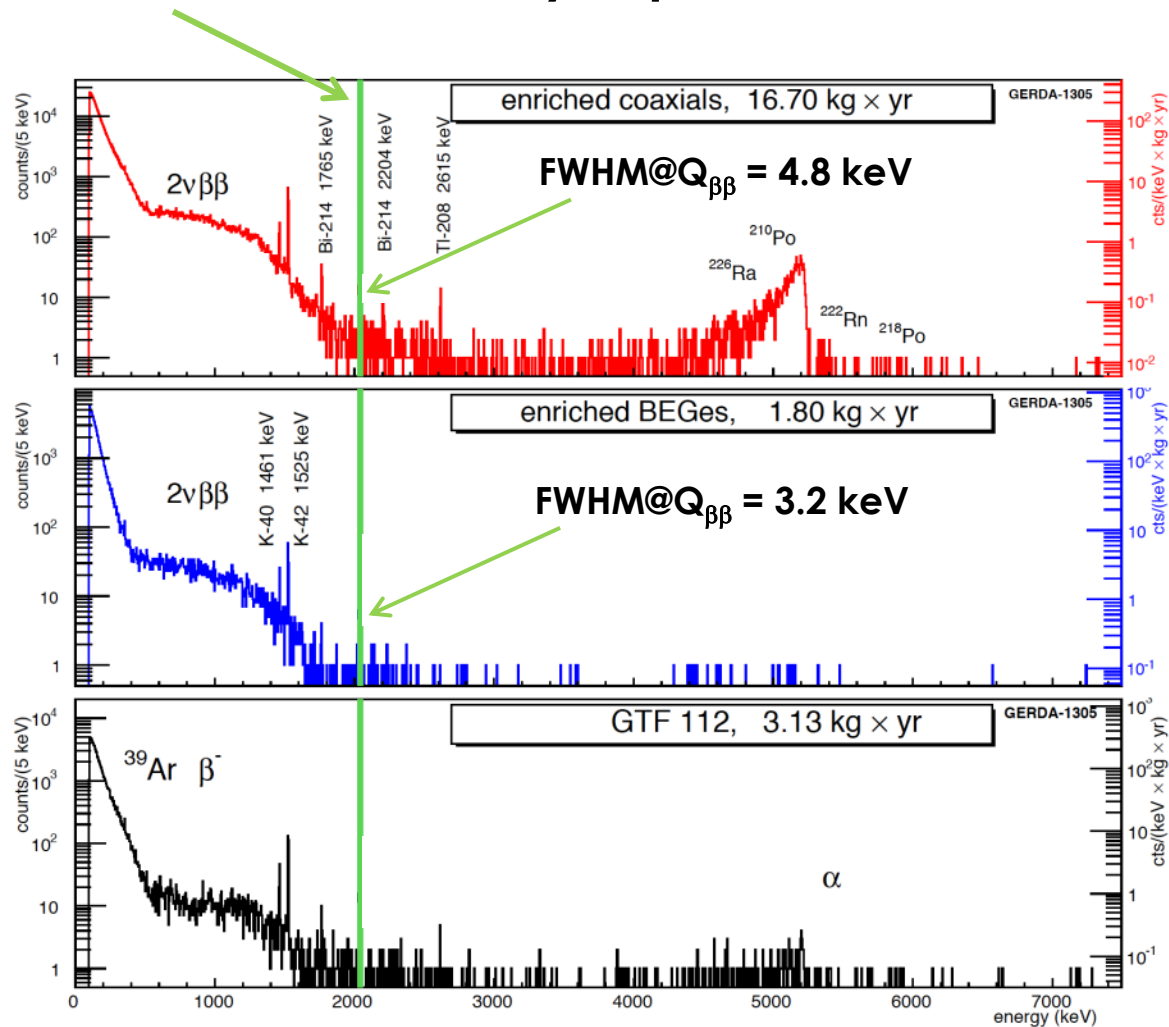
ANG 1 and RG 3 stopped soon after deployment, RG 2 near the end.
GD35C excluded from analysis due to system instability

GERDA Phase I data analysis



Background data:

blinded until all analysis procedures fixed

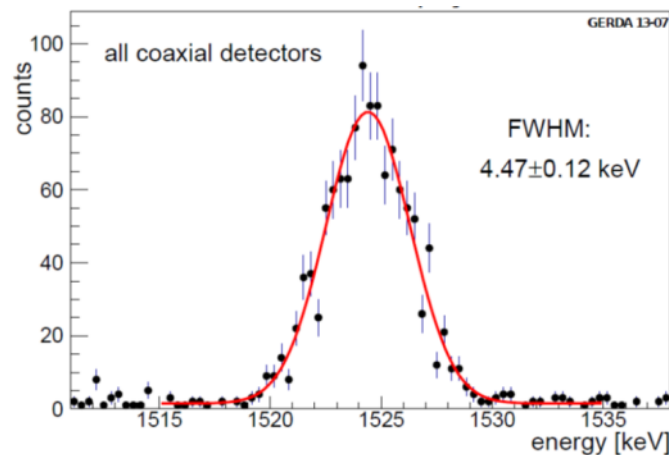


Analysis cuts:

muon-veto and Ge-array anti-coincidence: ~40% cut
 signal quality: ~9% cut

Periodic calibration with ^{228}Th sources.

Stability cross-check in final summed physics data on ^{42}K background line:

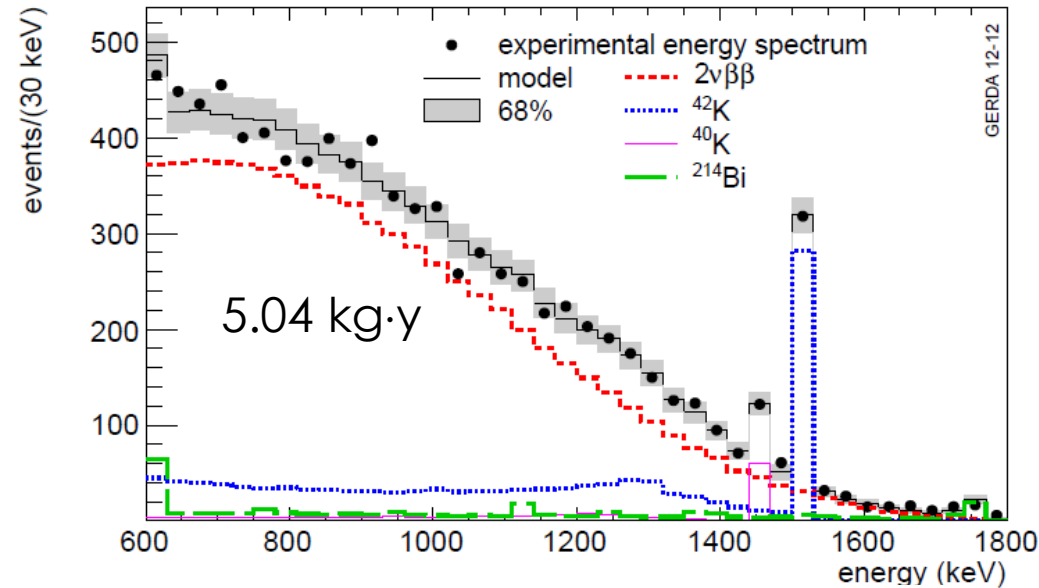


FWHM only ~4% larger than expected

Background level improvement



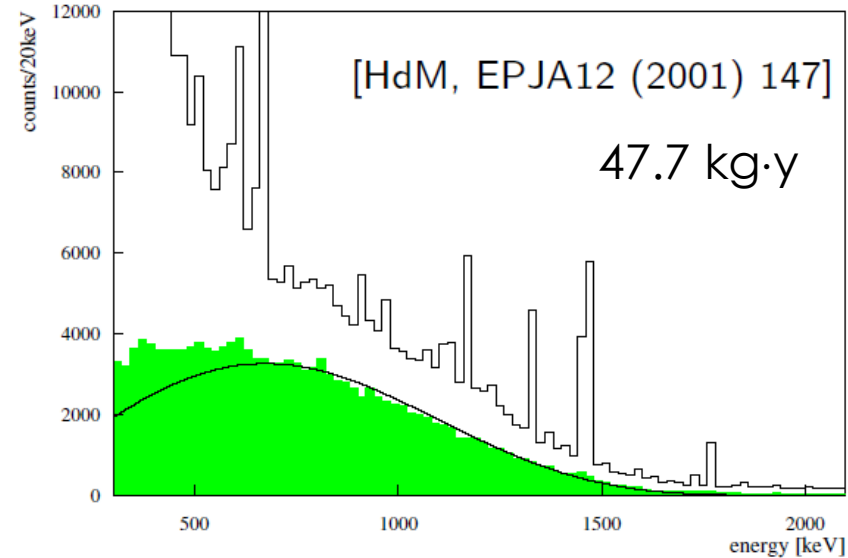
GERDA



$$T_{1/2}^{2\nu} = (1.84^{+0.09}_{-0.08 \text{ fit}} \quad ^{+0.11}_{-0.06 \text{ syst}}) \cdot 10^{21}$$

[J.Phys.G 40 (2013) 035110]

Heidelberg-Moscow



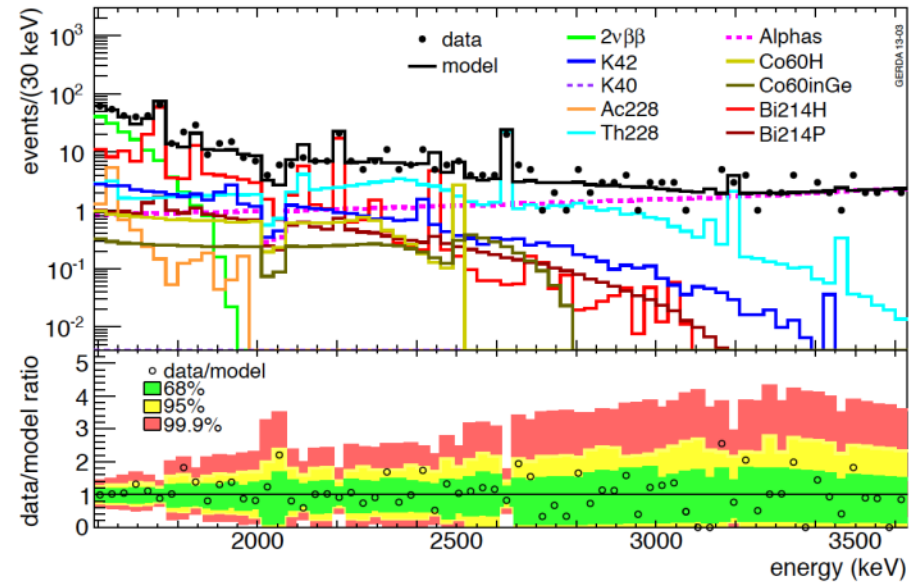
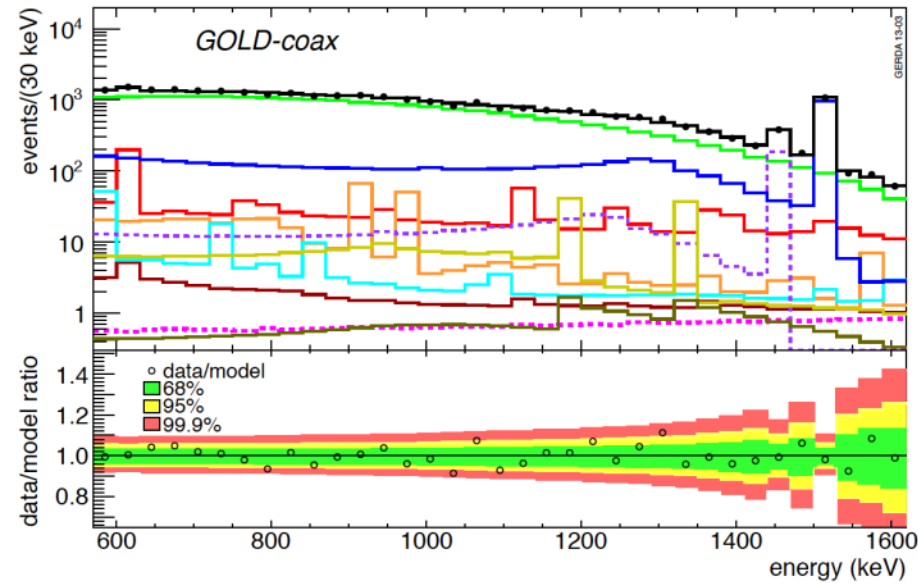
Average background at $Q_{\beta\beta}$:

HdM: 0.16 cts/(keV·kg·y)

GERDA “golden”: 0.02 cts/(keV·kg·y)

Background γ -lines typically $\sim 10\times$ lower than HdM (except for ^{42}K).

Before unblinding: background model

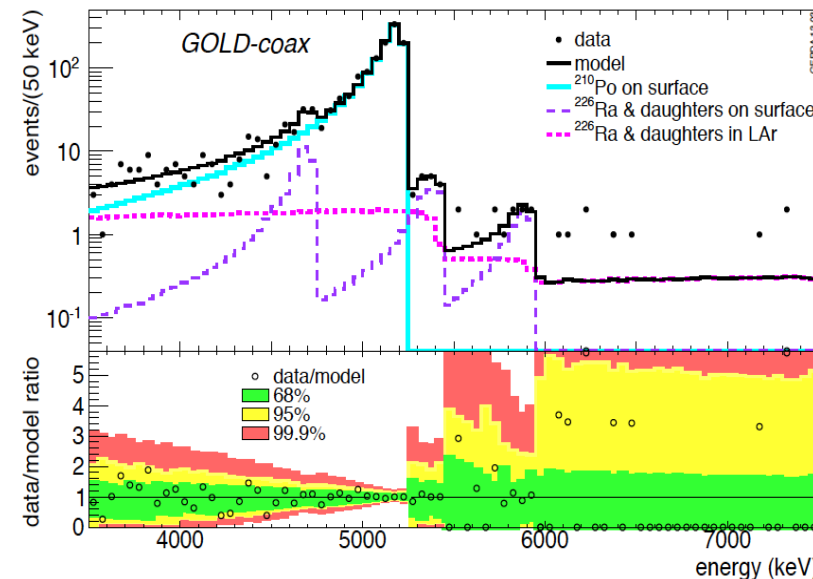


“Gold” data allow good-statistics fit

Main background sources:

- ^{42}K
- Th and U(or Ra) contamination in materials near detectors
- Ra and Po contamination of detector surfaces (including α decays)

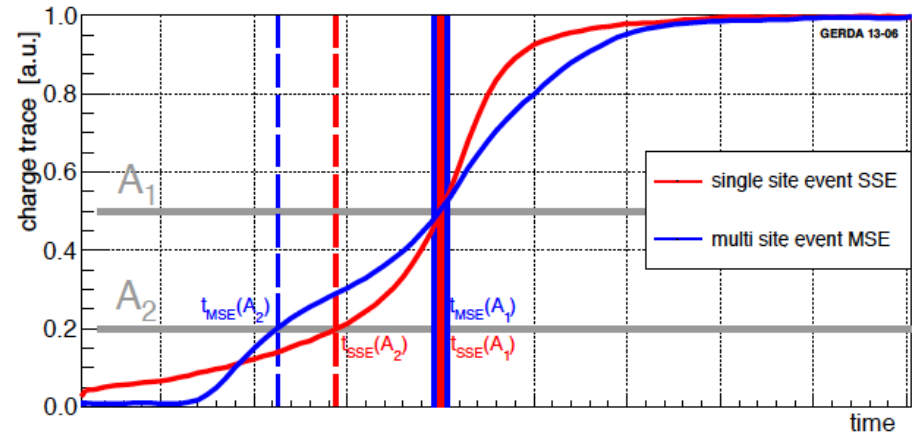
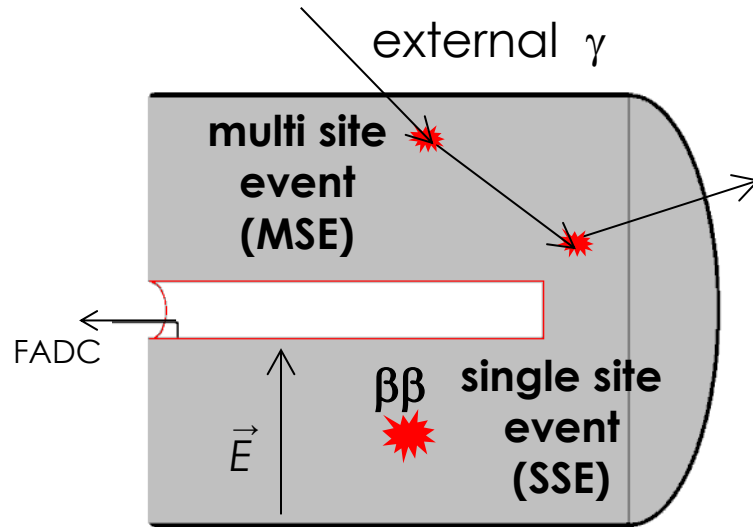
Background near $Q_{\beta\beta}$ flat (no lines).



Before unblinding: pulse-shape discrimination



Signals recorded via FADC with 50 ns to 80 ns time resolution → **analyse time-structure**



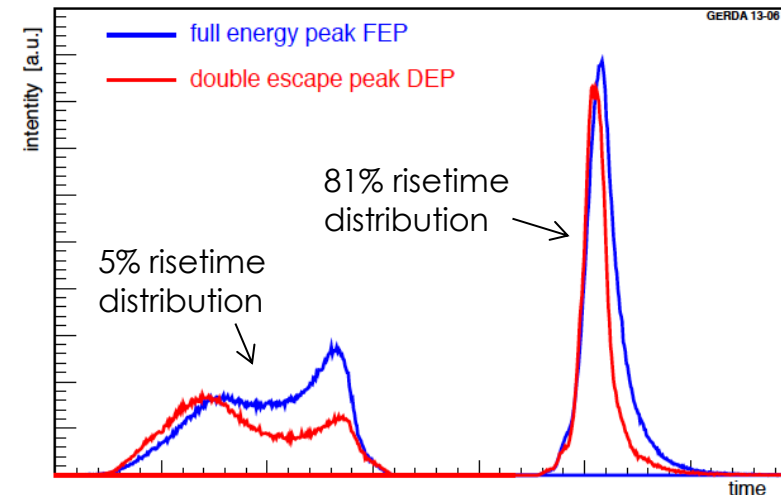
PSD for coaxial detectors:

- measure **50 rise time variables** (1,3,5,...99%)
- **Neural Network** (TMVA/TMlpANN) discriminates events

Trained on ^{228}Th calibration data:

- MSE training sample: 1621 keV γ -line (^{212}Bi)
- SSE training sample: 1592 keV DEP of 2.6 MeV line (^{208}Tl)

DEP (double escape peak) events have similar spatial structure like $0\nu\beta\beta$

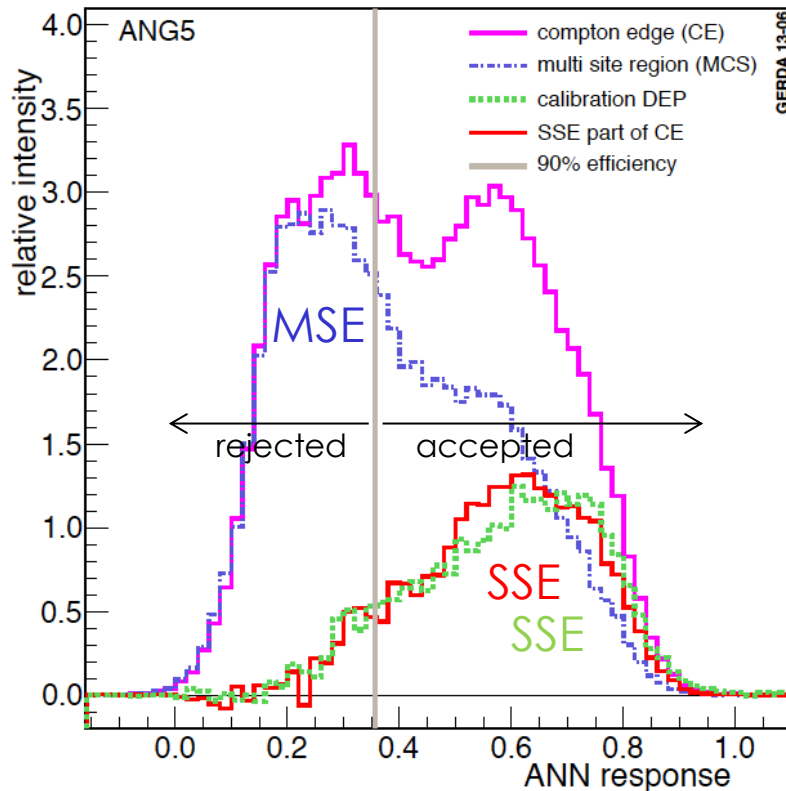


Before unblinding: pulse-shape discrimination

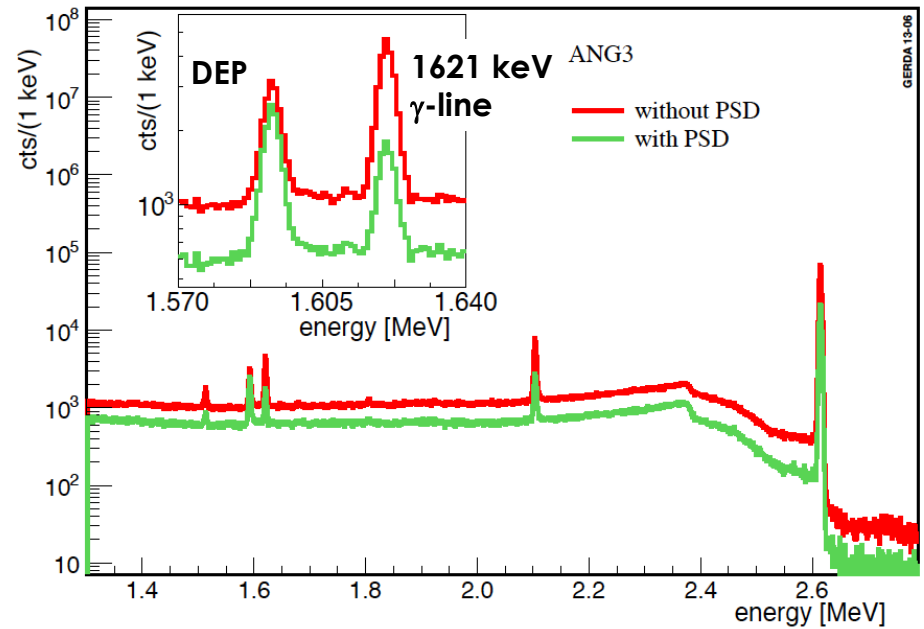


PSD for coaxial detectors

Neural Network response
qualifier distribution:



PSD applied to ^{228}Th calibration spectrum:



Cut is adjusted for each detector
to 90% DEP survival.

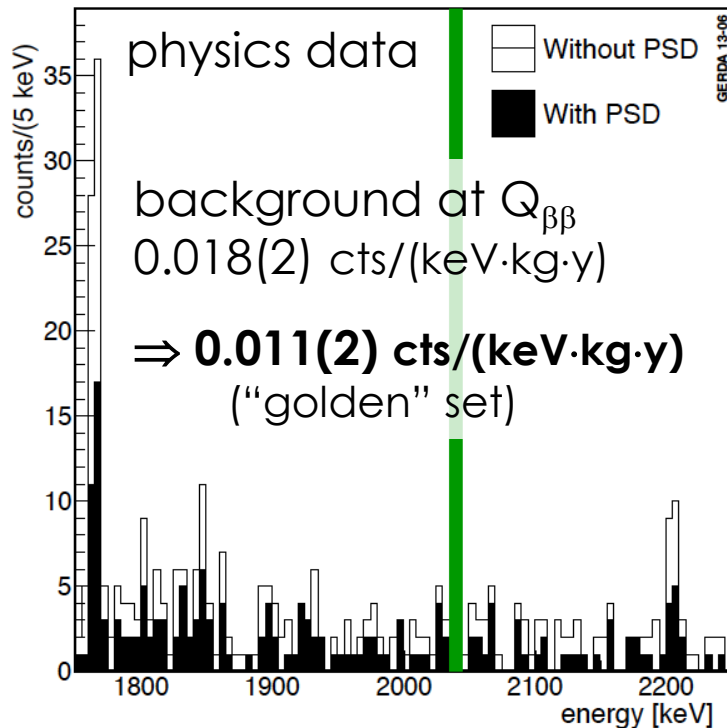
Before unblinding: pulse-shape discrimination



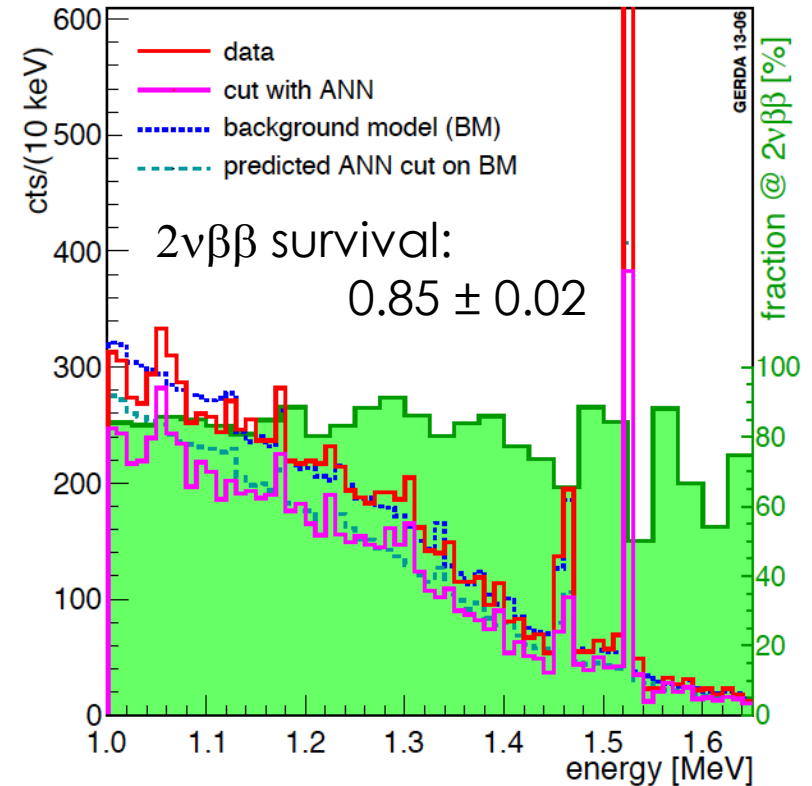
PSD for coaxial detectors

Determined Neural Network survival efficiency for $0\nu\beta\beta$:

$$0.90^{+0.05}_{-0.09}$$



Validity is cross-checked on $2\nu\beta\beta$ data:



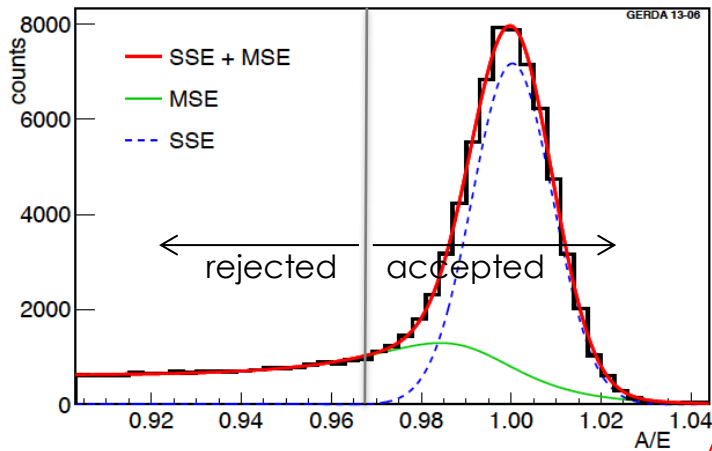
Furthermore, 2 alternative PSD methods were developed and their results support the validity of the Neural Network method.

Before unblinding: pulse-shape discrimination

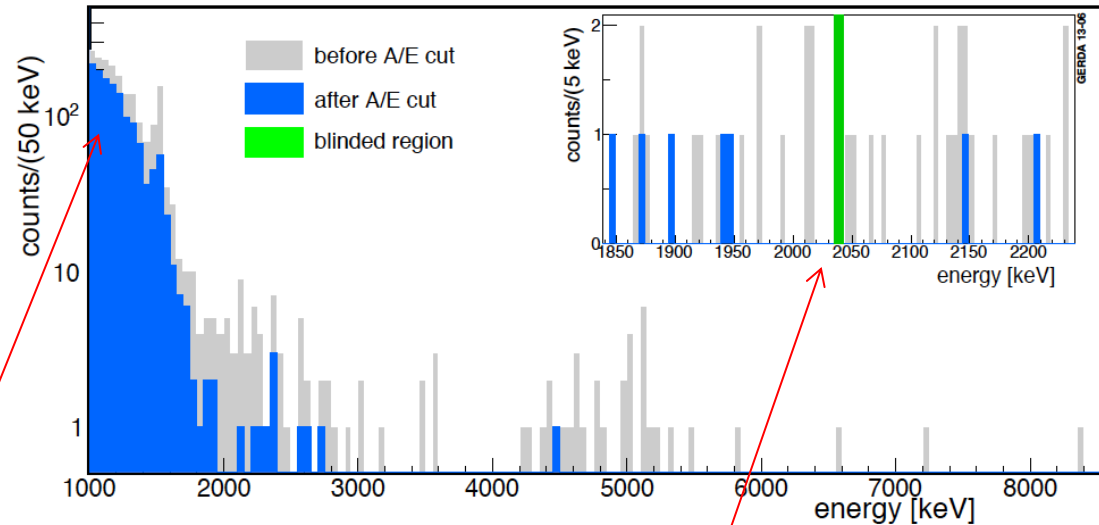
Separate analysis based on a single parameter used for **BEGe detectors** (more later).

survival efficiency for $0\nu\beta\beta$: **0.92 ± 0.02**

A/E parameter distribution:



$2\nu\beta\beta$ survival: 0.91 ± 0.05

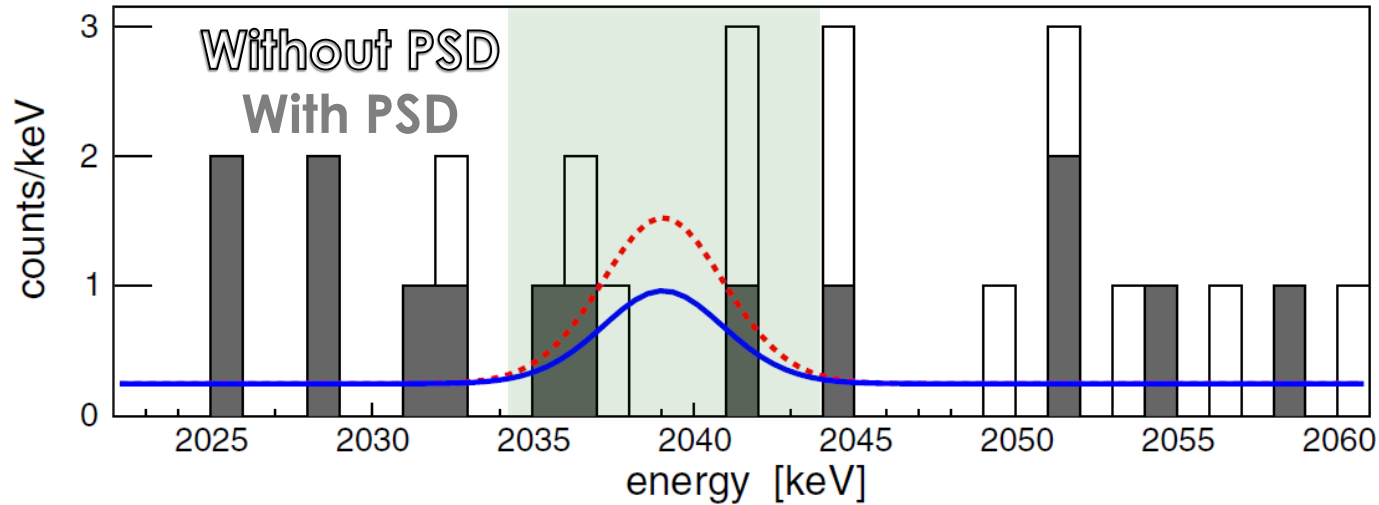


background at $Q_{\beta\beta}$
 $0.042^{(+10}_{-8)}$ cts/(keV·kg·y)

$\Rightarrow 0.005^{(+4}_{-3)}$ cts/(keV·kg·y)

Unblinding

14 June 2013, in Dubna, Russia



evt cnt in ± 5 keV	golden	silver	BEGe	total
expt. w/o PSD	3.3	0.8	1.0	5.1
obs. w/o PSD	5	1	1	7
expt. w/ PSD	2.0	0.4	0.1	2.5
obs w/ PSD	2	1	0	3

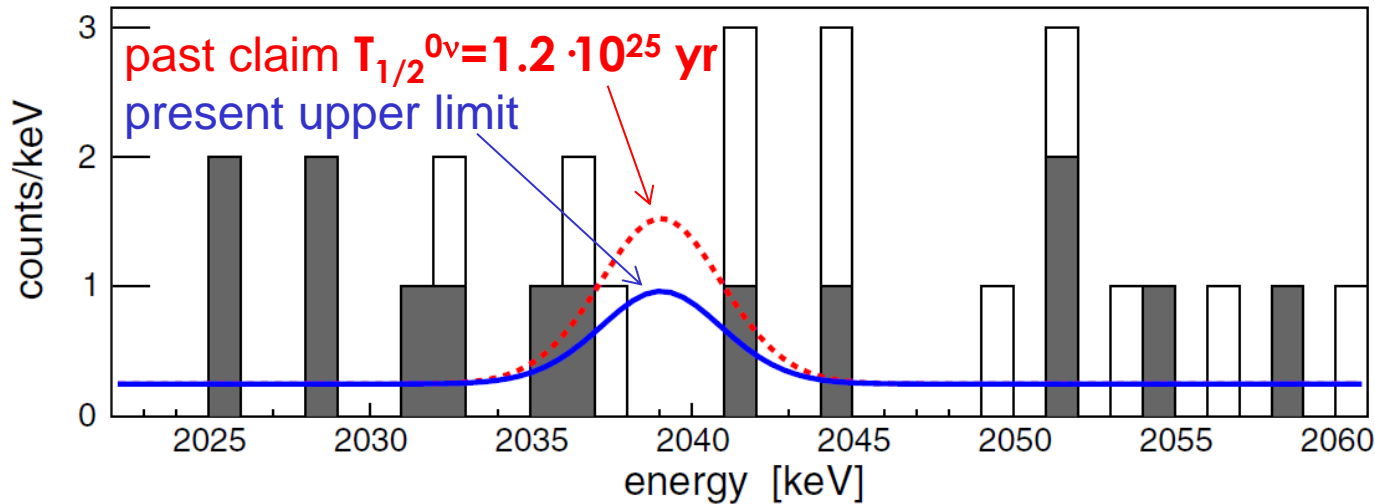
event count consistent with background

Best fit: $N^{0\nu} = 0$
 profile likelihood upper limit:
 $N^{0\nu} < 3.5$ cts @ 90% C.L.

Phys. Rev. Lett 111 (2013) 122503

“Neutrinoless Decays Are a No Show Again”

Limit on ^{76}Ge half life



$$T_{1/2}^{0\nu} = \frac{\ln 2 \cdot N_A}{m_{enr} \cdot N^{0\nu}} \cdot \mathcal{E} \cdot \epsilon$$

$$\epsilon = f_{76} \cdot f_{av} \cdot \epsilon_{fep} \cdot \epsilon_{psd}$$

$$\Rightarrow T_{1/2}^{0\nu} > 2.1 \cdot 10^{25} \text{ yr @ 90\% C.L.}$$

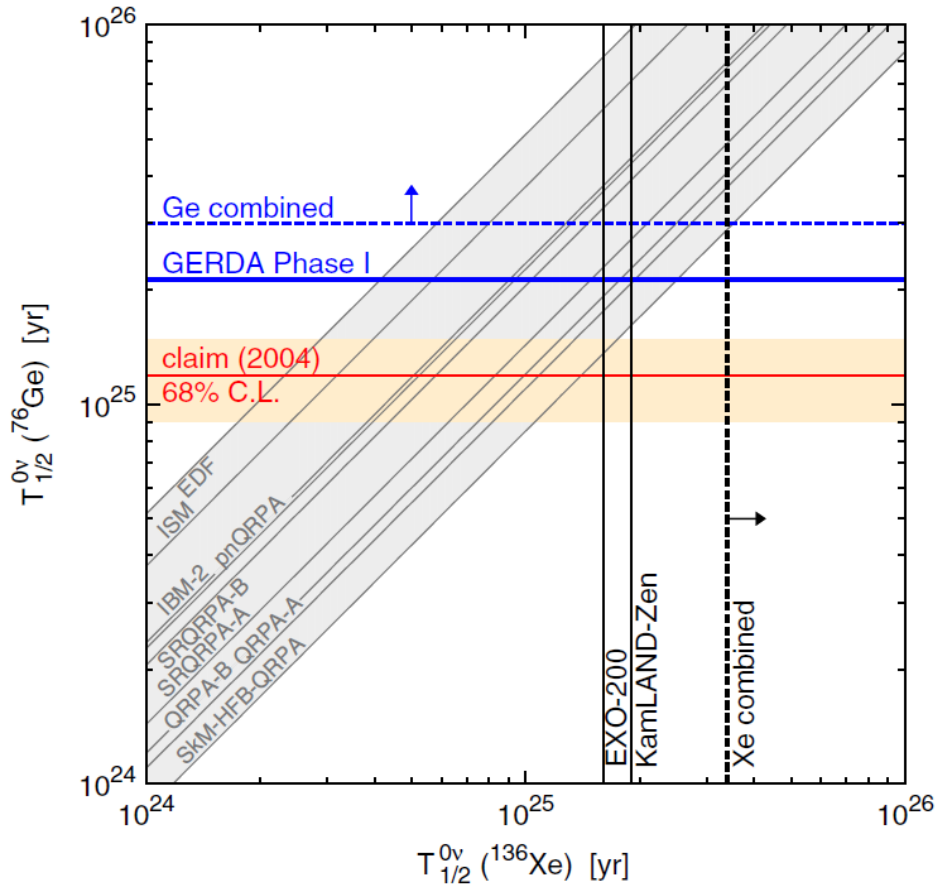
Combined with HdM and IGEX:

$$T_{1/2}^{0\nu} > 3.0 \cdot 10^{25} \text{ yr}$$

$$\langle m_{ee} \rangle < 0.2\text{-}0.4 \text{ eV}$$

data set	\mathcal{E} [kg·yr]	$\langle \epsilon \rangle$
with PSD		
<i>golden</i>	17.9	$0.619^{+0.044}_{-0.070}$
<i>silver</i>	1.3	$0.619^{+0.044}_{-0.070}$
<i>BEGe</i>	2.4	0.663 ± 0.022

Past claim strongly disfavoured



H1: signal with $T_{1/2}^{0\nu} = 1.19 \times 10^{25}$ yr
H0: background only

	Isotope	$P(H_1)/P(H_0)$	Comment
GERDA	^{76}Ge	0.024	Model independent
GERDA+H dM+IGEX	^{76}Ge	0.0002	Model independent
KamLAND-Zen*	^{136}Xe	0.40	Model dependent: NME, leading term
EXO-200*	^{136}Xe	0.23	Model dependent: NME, leading term
GERDA+K LZ*+EXO*	$^{76}\text{Ge} +$ ^{136}Xe	0.002	Model dependent: NME, leading term

* with conservative (smallest) NME ratio

$M_{0\nu}(^{136}\text{Xe})/M_{0\nu}(^{76}\text{Ge}) \approx 0.4$ from:

F. Simkovic, V. Rodin, A. Faessler, and P. Vogel, Phys. Rev. C. **87**, 045501 (2013).

M. T. Mustonen and J. Engel, (2013), arXiv:1301.6997 [nucl-th].

P. S. Bhupal Dev *et al.*, (2013), arXiv:1305.0056 [hep-ph].

$T_{1/2}^{0\nu}$ claim from Mod. Phys. Lett. A 21 (2006) 1547 **not** considered because of the **inconsistencies** (efficiency factors not taken into account $\Rightarrow T_{1/2}^{0\nu}$ calculation incorrect)
 Ann. Phys. 525 (2013) 269

Physics goals of GERDA



^{76}Ge $0\nu\beta\beta$ decay $T_{1/2}$
detection limit goals:

$2 \cdot 10^{27} \text{ y}^*$

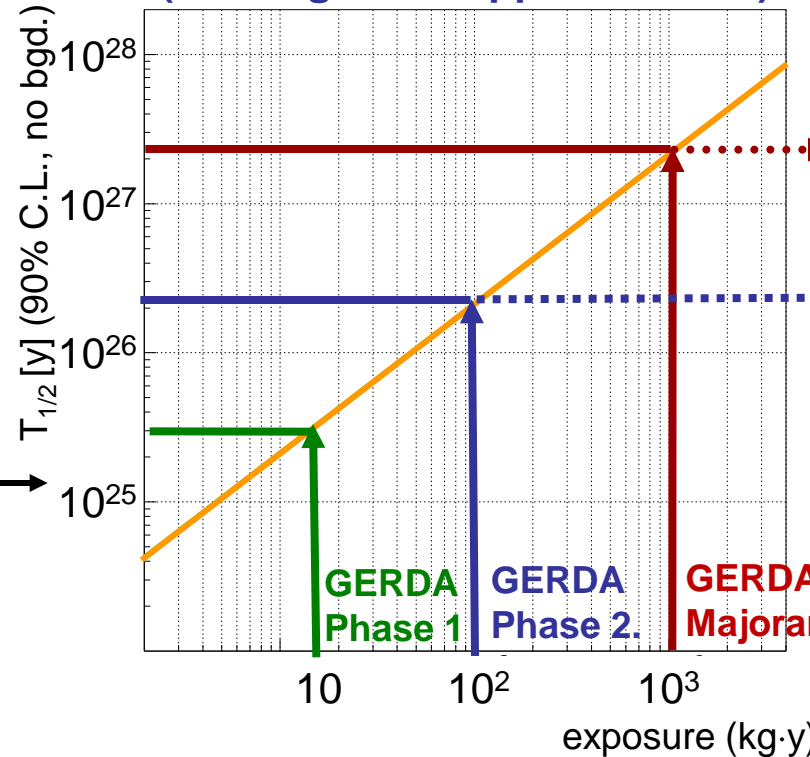
$2 \cdot 10^{26} \text{ y}^*$

$3 \cdot 10^{25} \text{ y}^*$

Claim

(Klapdor Kleingrothaus)

(0 background approximation)



neutrino mass scale:

$<24 - 41 \text{ meV}^\dagger$

$<75 - 129 \text{ meV}^\dagger$

$^\dagger |m_{ee}|$
assuming $|M^{0\nu}|=2.99-8.99$
[Smolnikov & Grabmayr
PRC 81 (2010) 028502]

GERDA Phase 1
GERDA Phase 2
GERDA 3 & Majorana ‡

Background requirement (in ~6 keV wide ROI):

state-of-art (HdM)

0.16 counts/(kg·y·keV)

GERDA Phase 1

<0.01 counts/(kg·y·keV)

GERDA Phase 2

<0.001 counts/(kg·y·keV)

GERDA 3 & Majorana ‡

<0.0001 counts/(kg·y·keV)

^{76}Ge exposure:

72 kg·y (HdM)

21 kg·y (HdM+IGEX+new detectors)

100 kg·y (old + new detectors)

1000 kg·y ‡

‡ GERDA-Majorana Lol: intention to merge for a 1 t experiment, not yet funded

GERDA Phase II

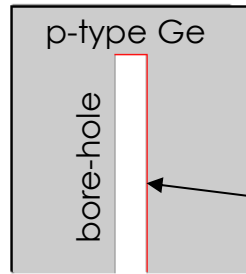


- Increase mass:
additional 30 enriched BEGe detectors (~ 20 kg)
- new front end readout in close proximity (2 cm) to detectors (noise reduction)
- radiopurity improvements (new cables, detector supports)
- PSA discrimination with the BEGe's
- Liquid argon veto instrumentation

Phase II tools: Modified Broad-Energy Ge detectors



GERDA Phase I:
semi-coaxial
Ge detector



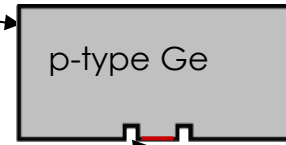
n⁺ electrode

(\leq mm thick)
(HV contact)

p⁺ electrode

(< μ m thick)

read-out contact

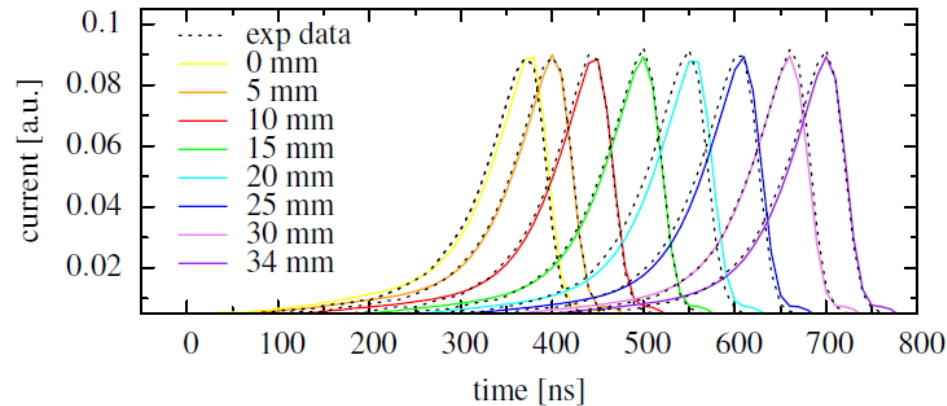
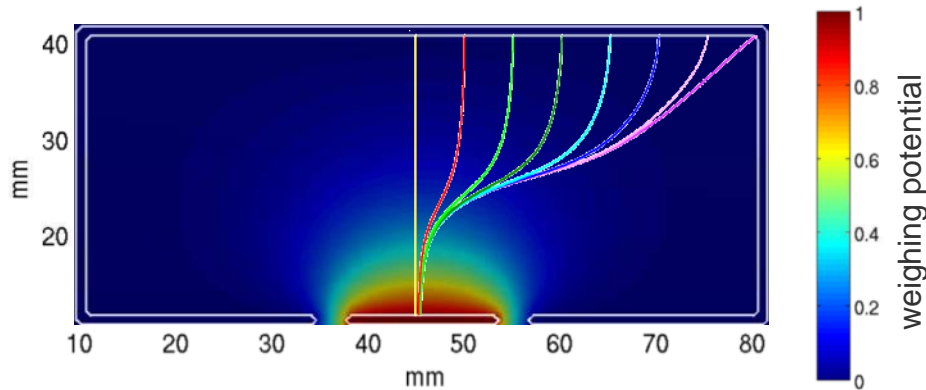


GERDA Phase 2:
**modified BEGe
detectors**

BEGe advantages:

1) smaller p⁺ electrode \Rightarrow less capacitance \Rightarrow **less noise** \Rightarrow **better energy resolution**

2) favourable internal electric field distribution \Rightarrow **powerful PSD capability**



- narrow peak in current signal
- signal shape independent of interaction position (same final trajectory)
- current amplitude depends only on energy of interaction (~95% of volume)

Phase II tools: LAr instrumentation

PMT option (Ø500 mm)

← new big lock

← low-background
PMTs on top &
bottom



← copper shroud

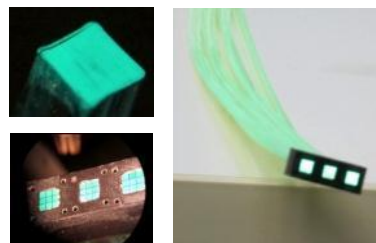
← reflector foil coated
with wavelength shifter



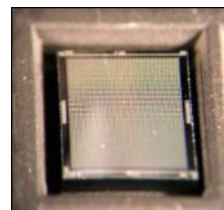
- approach validated in LArGe*
- PMTs available
- on-going testing in LAr
- mechanical mock-up in preparation

SiPM & scintillating fiber option

scintillating fibers
form cylinder
around Ge array
(light detection
inside & outside)

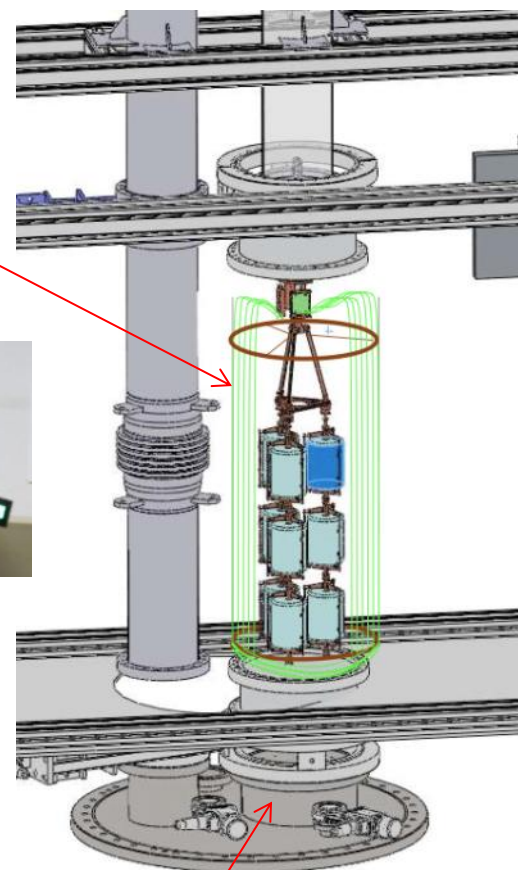


read-out by
KETEK SiPMs



fits in present lock (Ø250 mm)

- approach tested on small scale
- fibers and SiPMs available
- test set-up in preparation

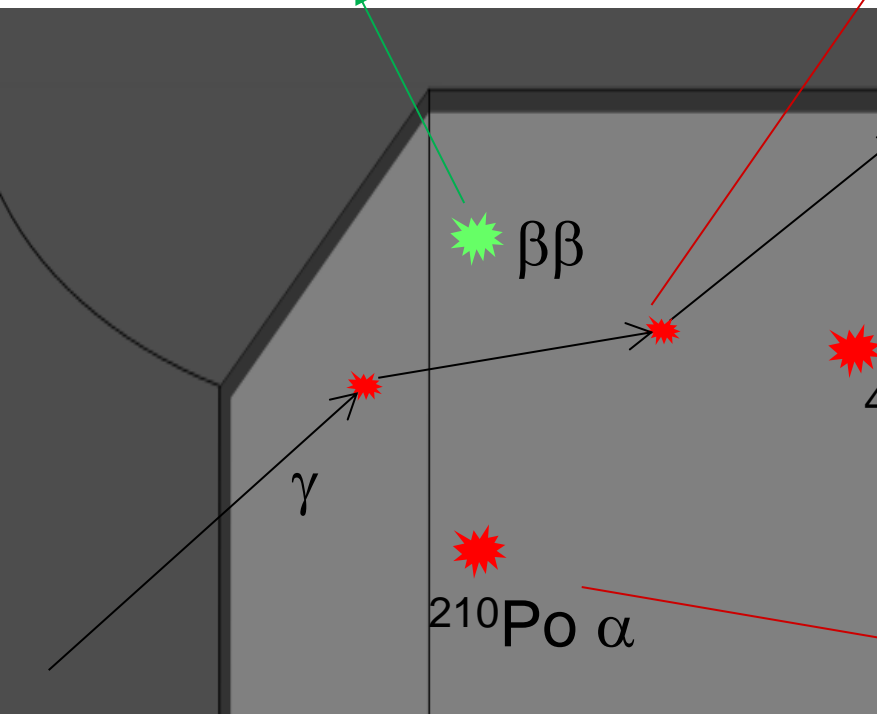
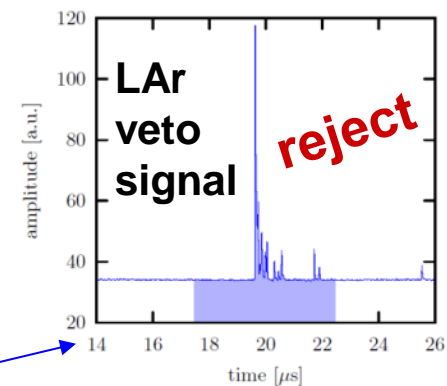
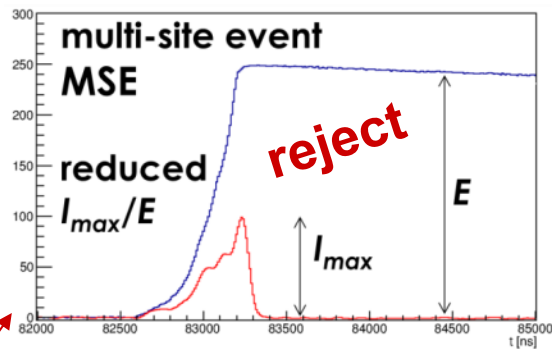
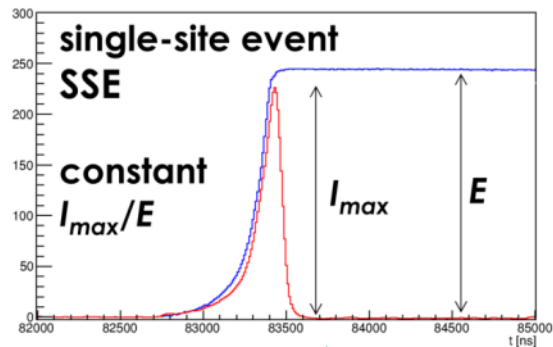


Phase II tools: Background identification

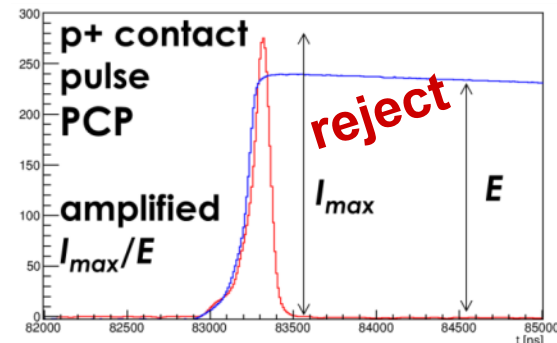
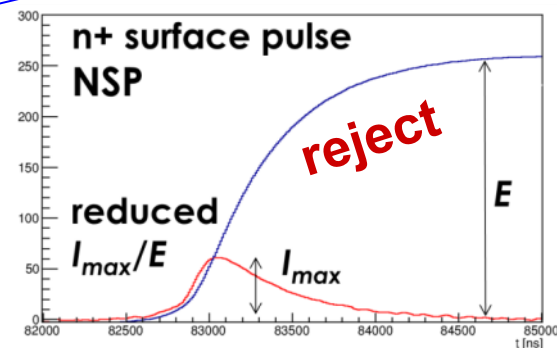
➤ identification and discrimination of events by **PSD** and **LAr veto**:

$\beta\beta$ -decay: β range in Ge \sim mm

γ -ray backgrounds: range in Ge \sim cm



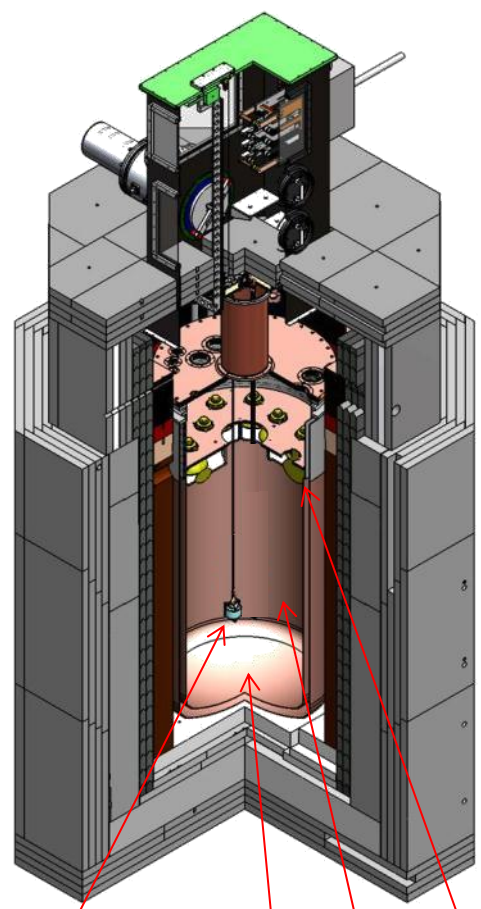
surface backgrounds:



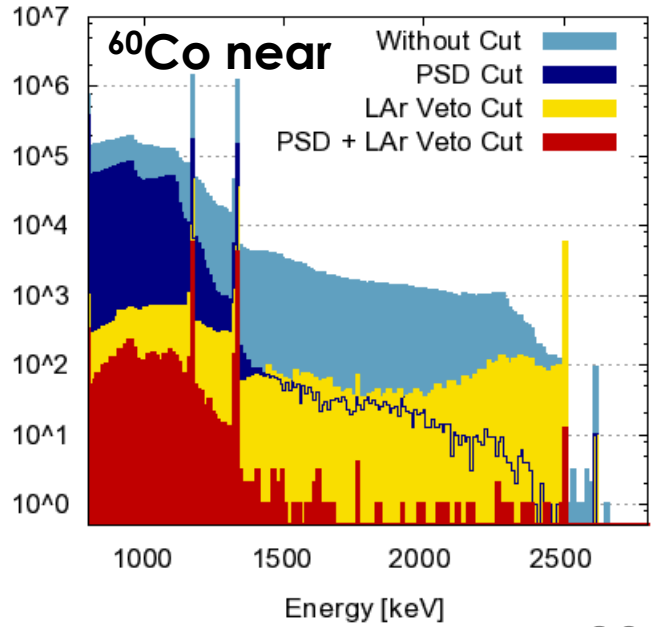
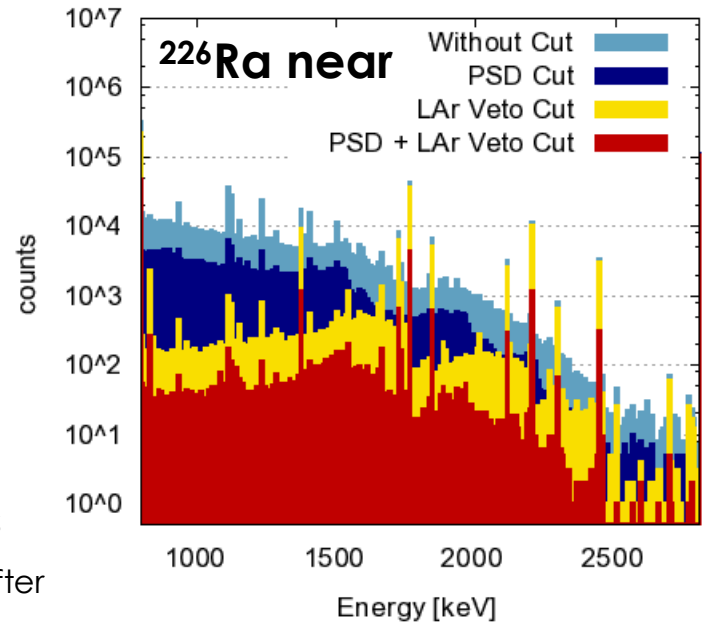
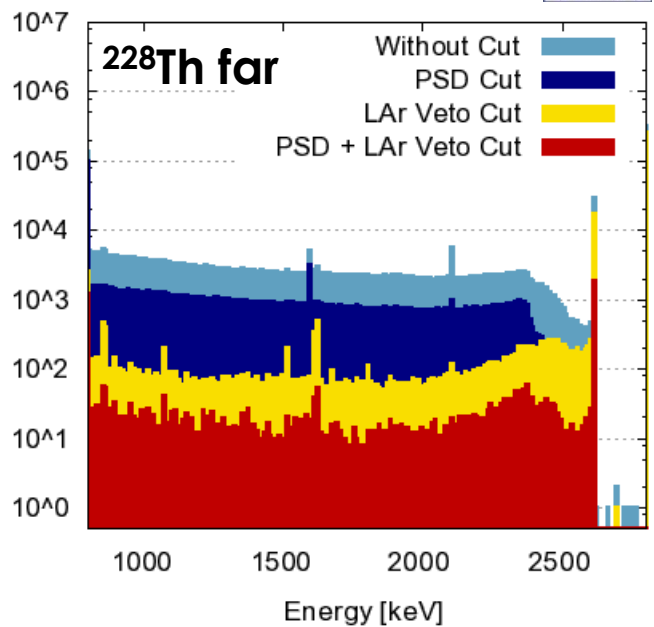
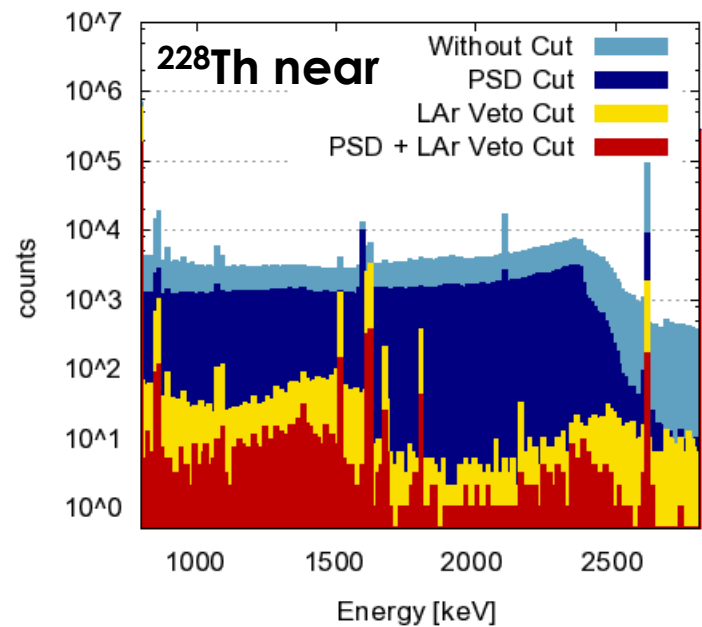
Performance studies: PSD and LAr veto in LArGe



Low background test facility GERDA-LArGe at LNGS:

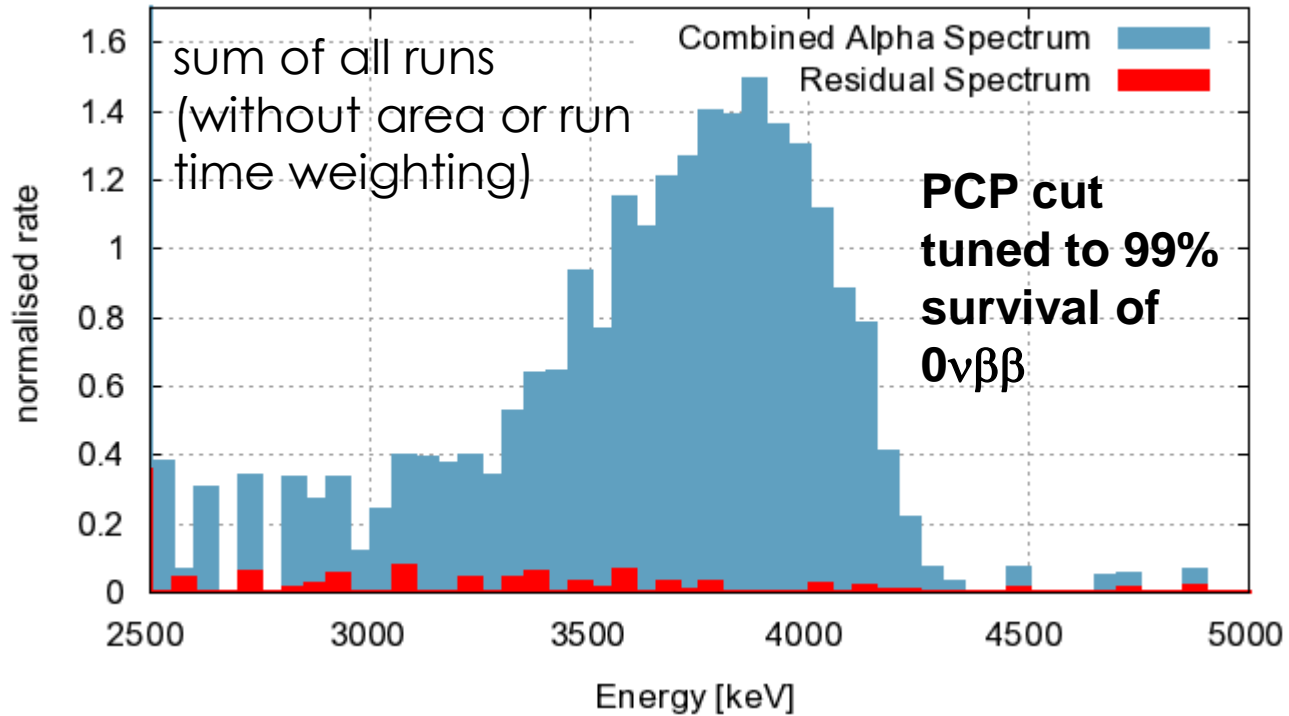


BGe LAr PMTs
reflecting foil with wavelength shifter



[M. Heisel, Dissertation, University of Heidelberg (2011)]

Performance studies: ^{241}Am p+ contact α events

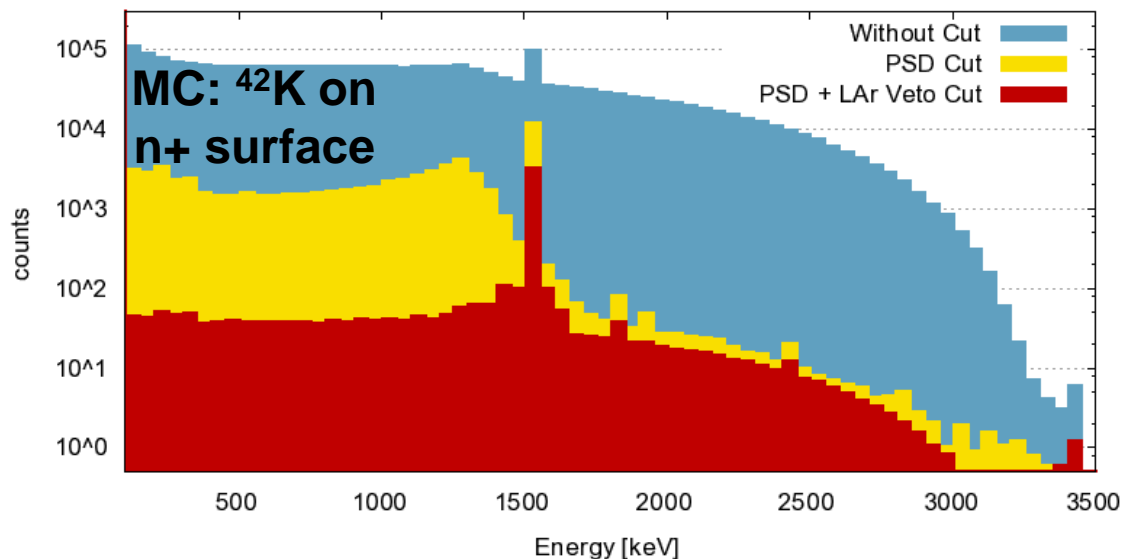


surface	p+ contact	groove inner	groove bottom	groove outer
survival fraction *	< 1.1%	< 12%	< 1.0%	< 1.2%

* 90% confidence-level upper limits

results limited by background in test setup; improved measurement analysis under way

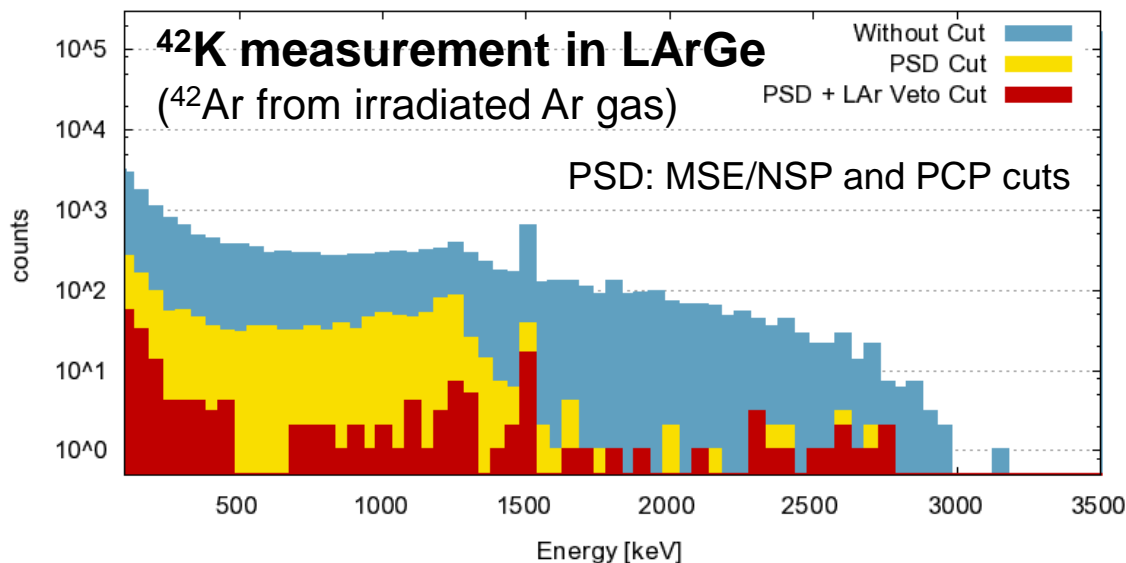
Performance studies: surface ^{42}K with BEGe in LArGe



MC cut set to 0.1% survival of β -like events and 20% survival of γ -like events. LAr veto with 100 keV threshold.

expected survival at $Q_{\beta\beta}$:

PSD only:	$1.2 \cdot 10^{-3}$
PSD+LAr veto:	$0.8 \cdot 10^{-3}$



Veto + “standard” PSD cut :

$0\nu\beta\beta$ survival: 85%

^{42}K survival at $Q_{\beta\beta}$ (2 events):
 $< 11 \cdot 10^{-3}$ (90% c.l.)

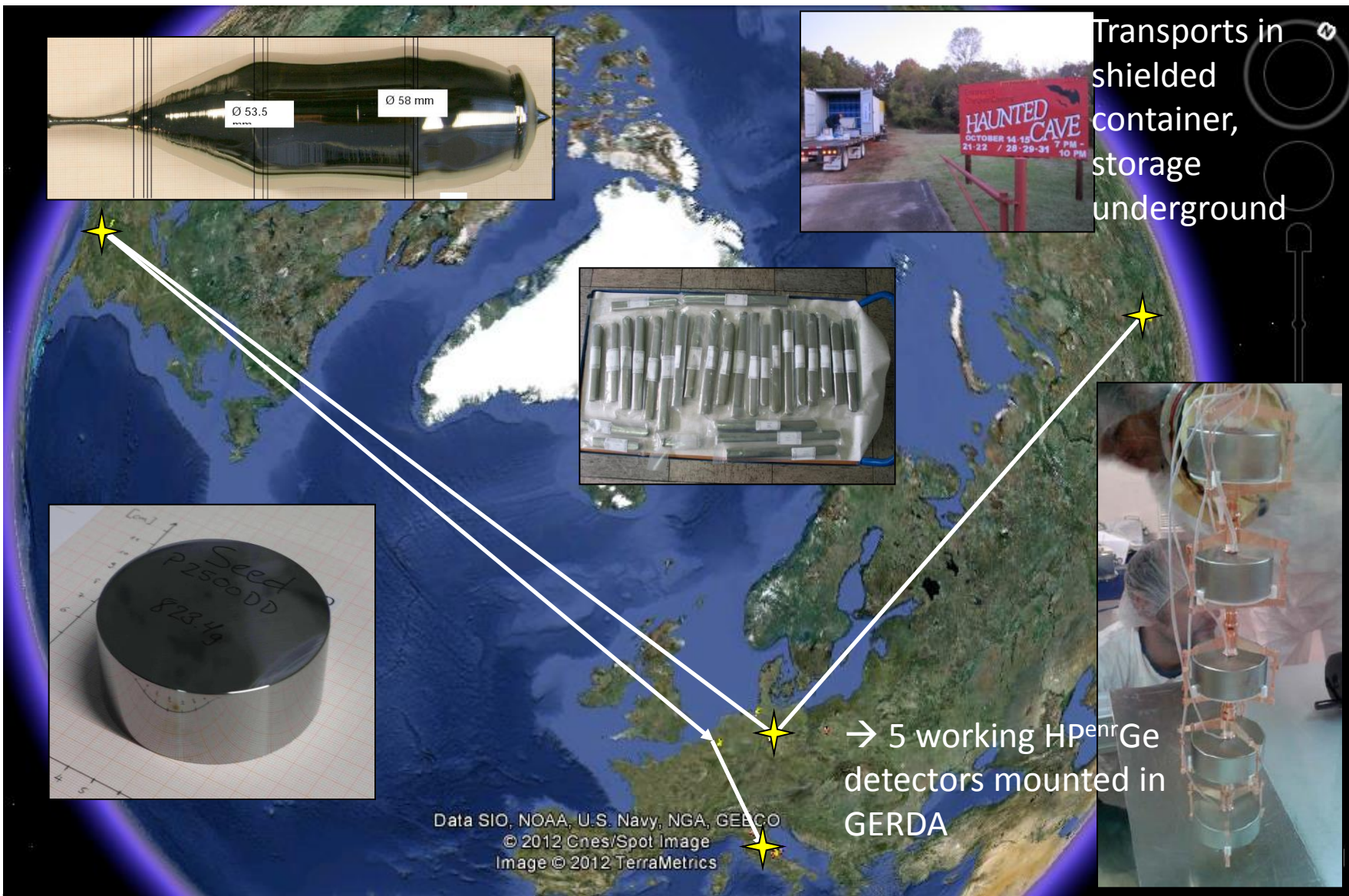
(noise limiting PSD performance)

Veto + “strong” PSD cut:

$0\nu\beta\beta$ survival: 71%

^{42}K survival at $Q_{\beta\beta}$ (0 events):
 $< 5 \cdot 10^{-3}$ (90% c.l.)

(limited by available statistics)



Transports in shielded container, storage underground

Phase II background summary: $Q_{\beta\beta}$



Background goal: $< 10^{-3}$ cts/(keV·kg·yr)

PRELIMINARY

background	without cuts [cts/(keV·kg·yr)]	PSD survival	LAr veto survival	after cuts [cts/(keV·kg·yr)]
^{208}Tl	≤ 0.01	0.4	$4 \cdot 10^{-3}$	$\leq 1.6 \cdot 10^{-5}$
^{214}Bi	≤ 0.01	0.25	0.3	$\leq 7.5 \cdot 10^{-4}$
^{60}Co	$\leq 4 \cdot 10^{-4}$	0.01	0.02	$\leq 8 \cdot 10^{-8}$
^{60}Co (in Ge)	$\leq 4 \cdot 10^{-4}$	0.01	0.02	$\leq 8 \cdot 10^{-8}$
^{68}Ga (in Ge)	≤ 0.015	0.05	0.2	$\leq 3 \cdot 10^{-5}$
^{226}Ra (α on p+)	$\leq 1.5 \cdot 10^{-3}$	< 0.03	–	$< 3 \cdot 10^{-5}$
^{42}K (β on n+)	~ 0.2	< 0.05	0.68	$< 0.86 \cdot 10^{-3}$

PSD and veto combined acceptance of $0\nu\beta\beta$ -decay events is $\sim 86\%$