

# Experimental review on neutrinoless double beta decay

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GGI Neutrino and Invisibles meeting  
June 26, 2012

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Zurich<sup>UZH</sup>**

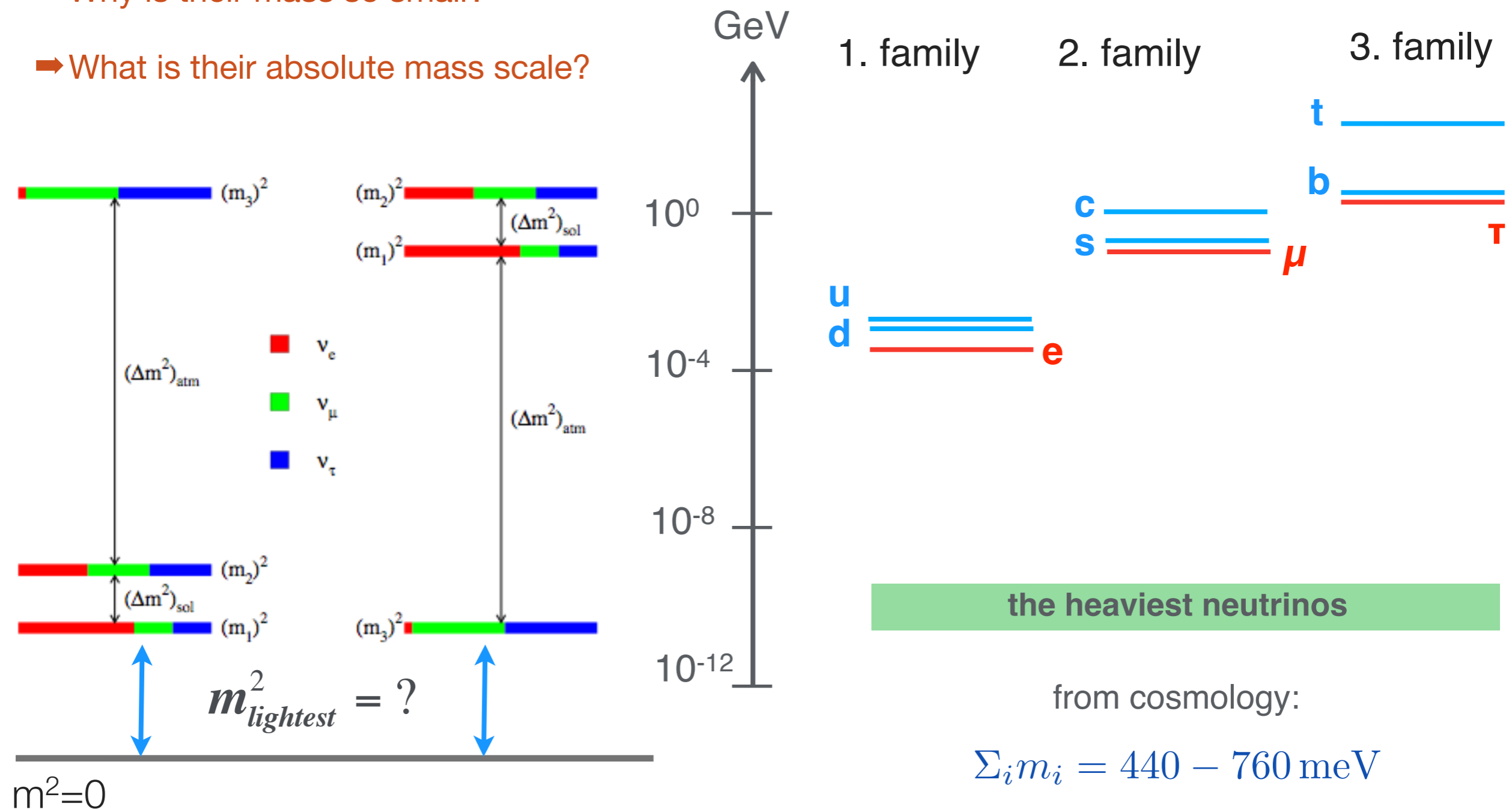


# Neutrinos and masses of elementary particles

- Neutrinos: much lighter than other known particles

➔ Why is their mass so small?

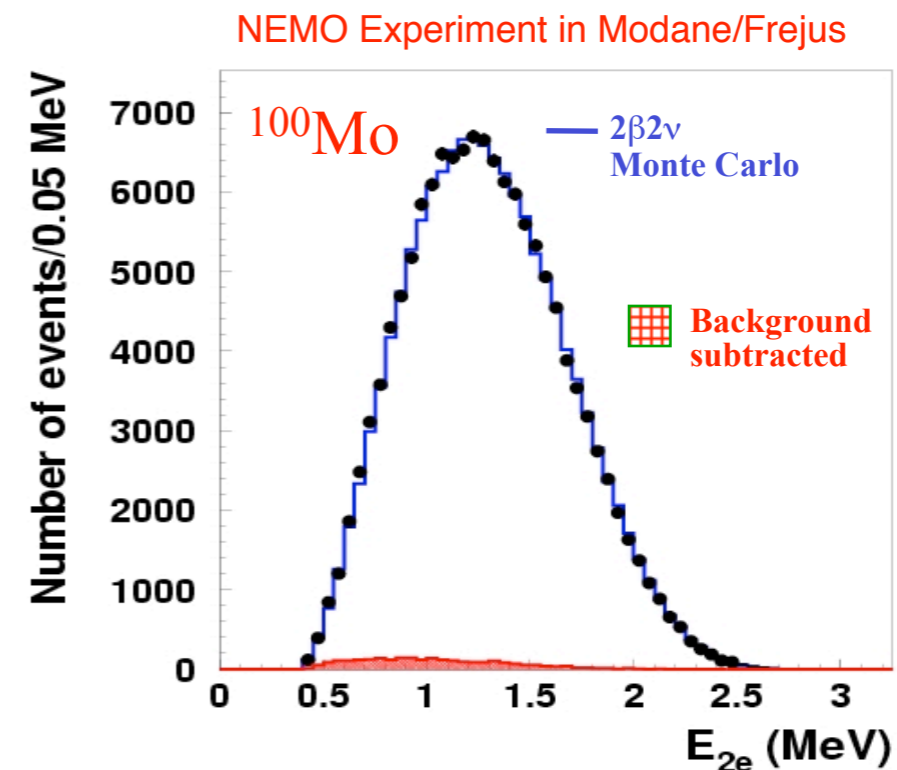
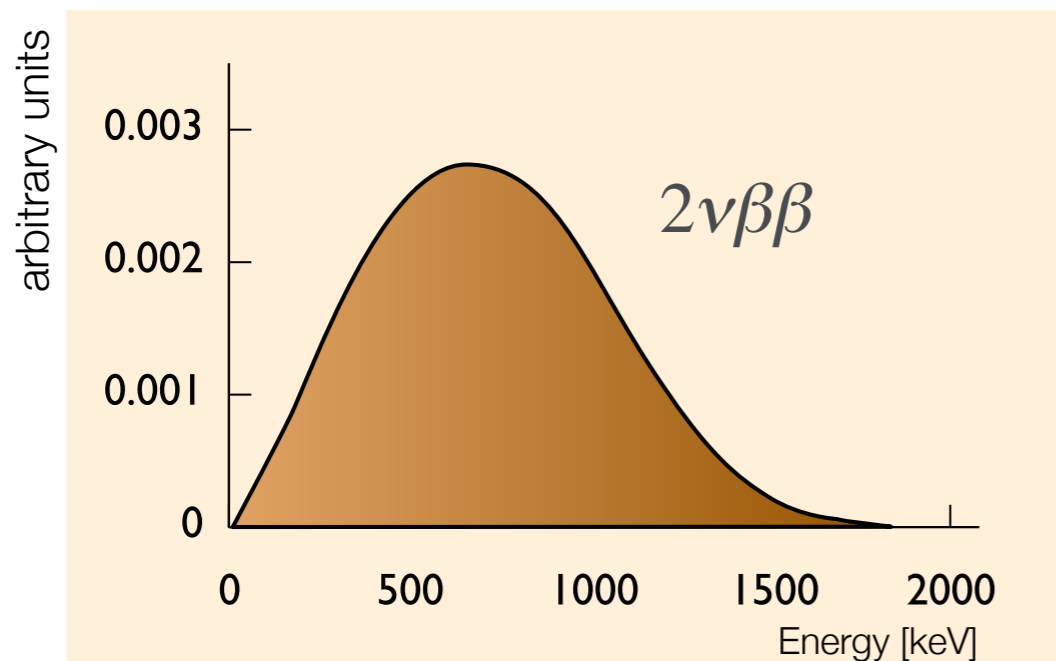
➔ What is their absolute mass scale?



# Double beta decay

- The decay with emission of 2 neutrinos was observed in more than 10 different nuclei:  $^{48}\text{Ca}$ ,  $^{76}\text{Ge}$ ,  $^{82}\text{Se}$ ,  $^{96}\text{Zr}$ ,  $^{100}\text{Mo}$ ,  $^{116}\text{Cd}$ ,  $^{128}\text{Te}$ ,  $^{130}\text{Te}$ ,  $^{136}\text{Xe}$ ,  $^{150}\text{Nd}$ ,  $^{238}\text{U}$
- The observed energy spectrum of the two electrons is continuous, up to the Q-value

$$\Gamma^{2\nu} = \frac{1}{T_{1/2}^{2\nu}} = G^{2\nu}(Q, Z) |M^{2\nu}|^2 \quad G^{2\nu} \propto (G_F \cos\theta_C)^4 Q^7 \left( 1 + \frac{Q}{2} + \frac{Q^2}{9} + \frac{Q^3}{90} + \frac{Q^4}{1980} \right)$$



$$Q = E_{e1} + E_{e2} + E_{\nu1} + E_{\nu2} - 2m_e$$

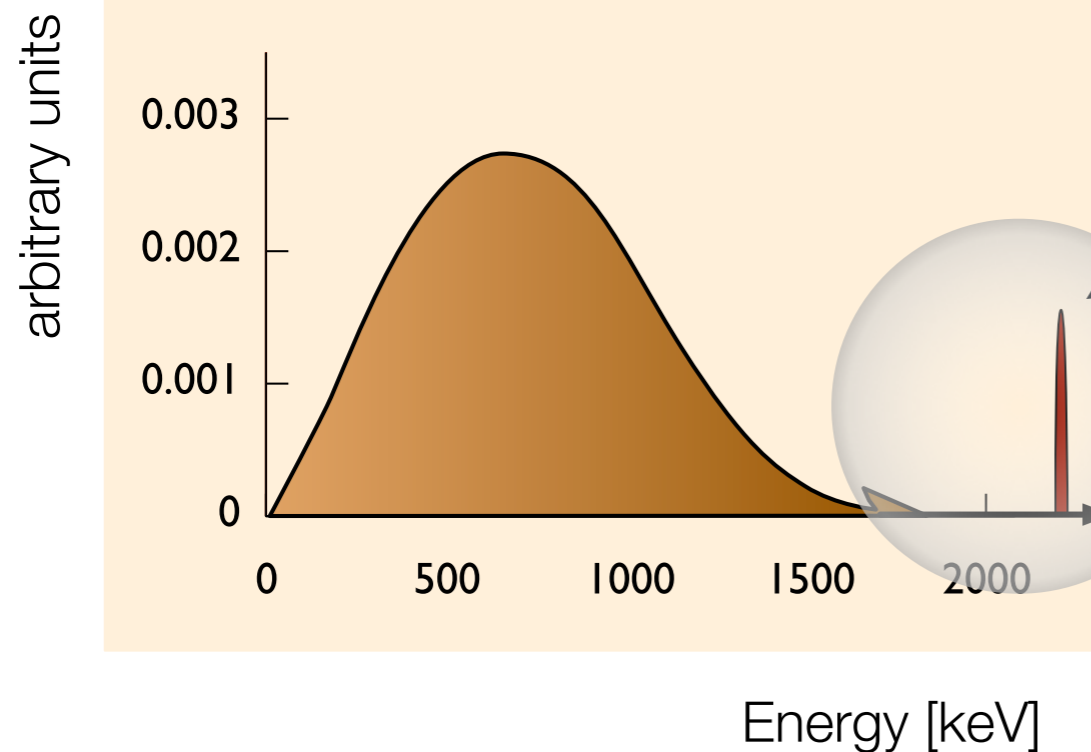
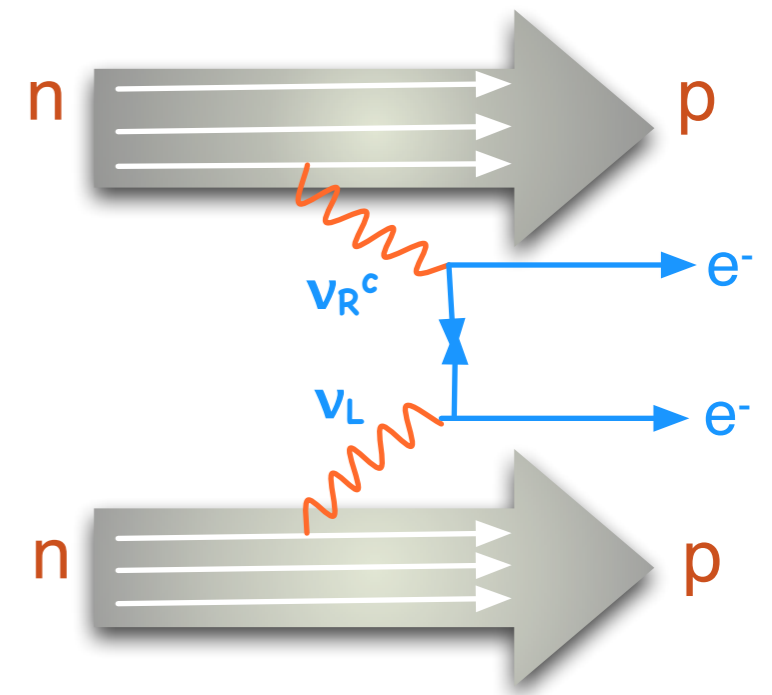
$^{100}\text{Mo}: T_{1/2} = 7.15 \times 10^{18} \text{ a}$

# Neutrinoless double beta decay

- More interesting: the decay mode without emission of neutrinos (“forbidden” in the SM, since  $\Delta L = 2$ )

$$\Gamma^{0\nu} = \frac{1}{T_{1/2}^{0\nu}} = G^{0\nu}(Q, Z) |M^{0\nu}|^2 \frac{|m_{\beta\beta}|^2}{m_e^2}$$

$$G^{0\nu} \propto (G_F \cos\theta_C)^4 \cdot \left[ \frac{Q^5}{30} - \frac{2Q^2}{3} + Q - \frac{2}{5} \right] \propto (G_F \cos\theta_C)^4 \cdot Q^5$$

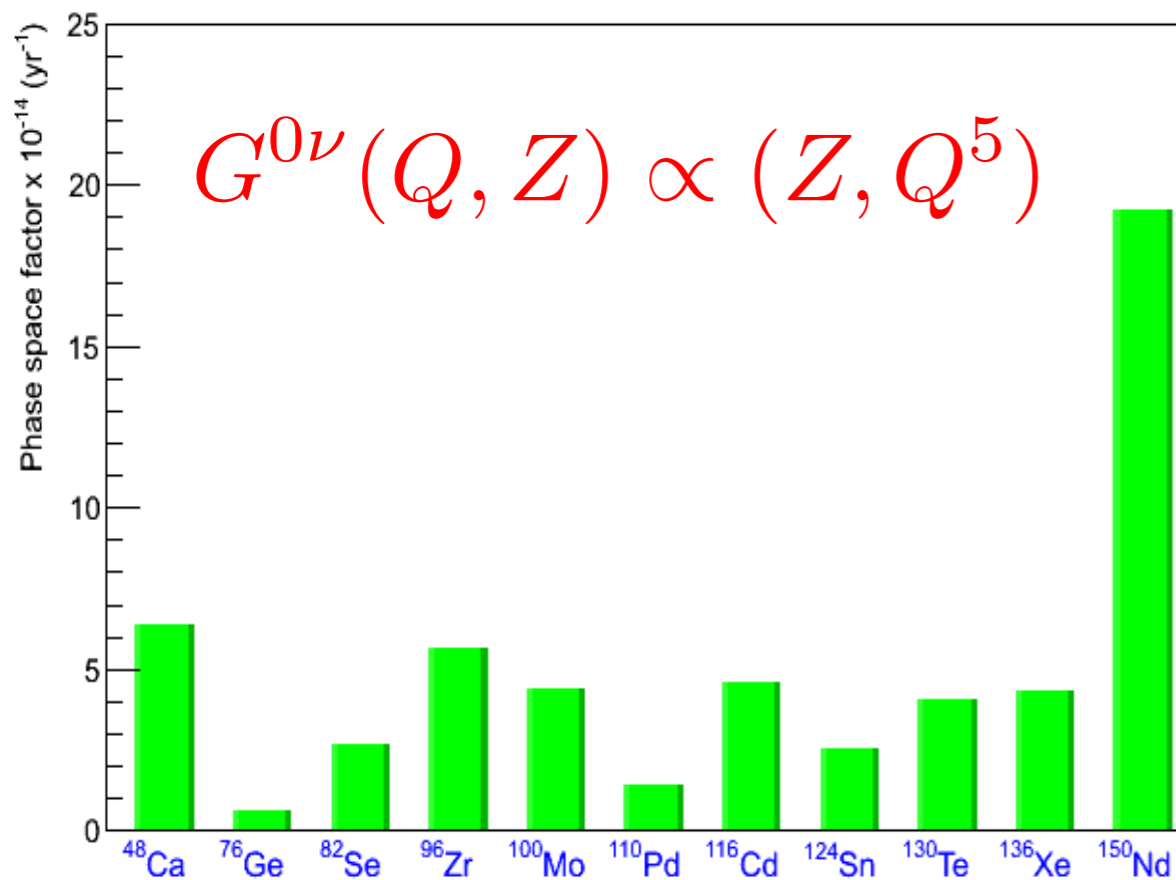


expected:  
“peak” at the Q-value of the decay

$$Q = E_{e1} + E_{e2} - 2m_e$$

# Phase space

$$\Gamma^{0\nu} = \frac{1}{T_{1/2}^{0\nu}} = G^{0\nu}(Q, Z) |M^{0\nu}|^2 \frac{|m_{\beta\beta}|^2}{m_e^2}$$



F. Piquemal, Neutrino2012, Kyoto

Transition	G [ $10^{-14} \text{ yr}^{-1}$ ]	Q [keV]
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	6.35	4373.7
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	0.63	2039.1
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	2.70	2995.5
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	4.36	3035
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	4.62	2809
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	4.09	2530.3
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	4.31	2461.9
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	19.2	3367.3

# Matrix elements

$$\Gamma^{0\nu} = \frac{1}{T_{1/2}^{0\nu}} = G^{0\nu}(Q, Z) |M^{0\nu}|^2 \frac{|m_{\beta\beta}|^2}{m_e^2}$$

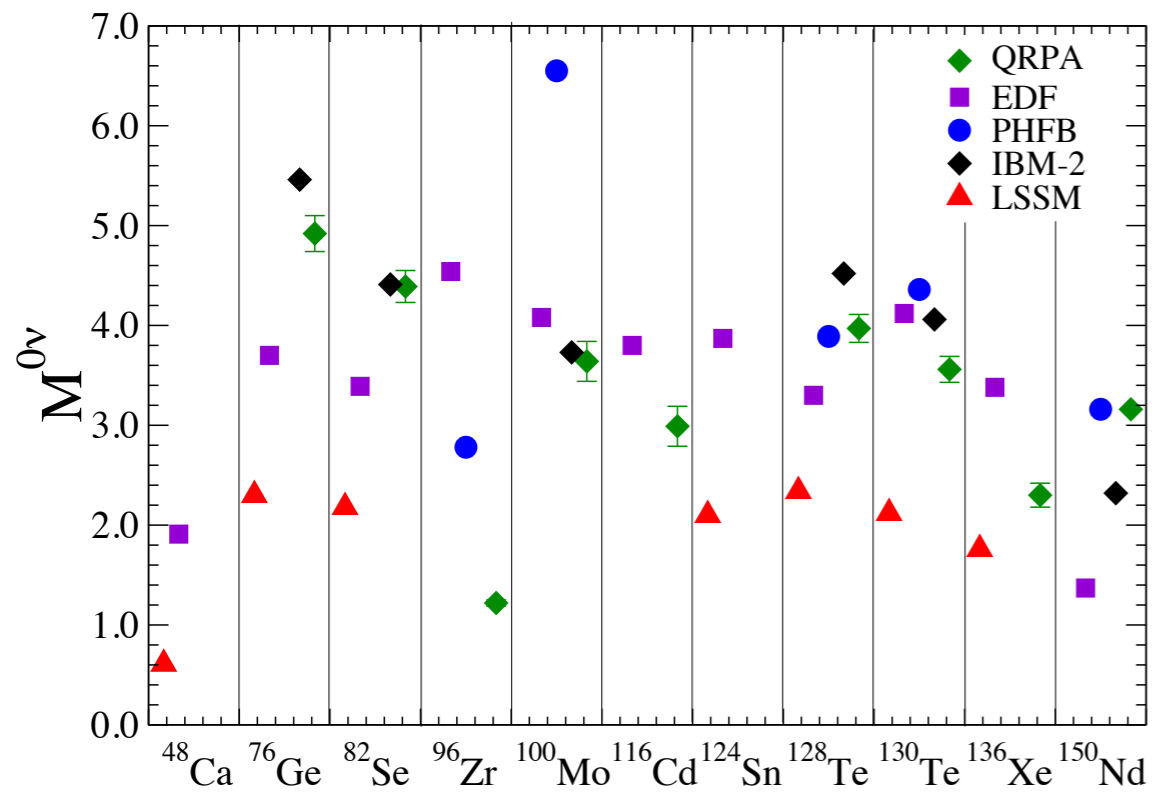


Fig. 3. Values of the NME calculated with the methods in Tab. 2 <sup>74</sup>.

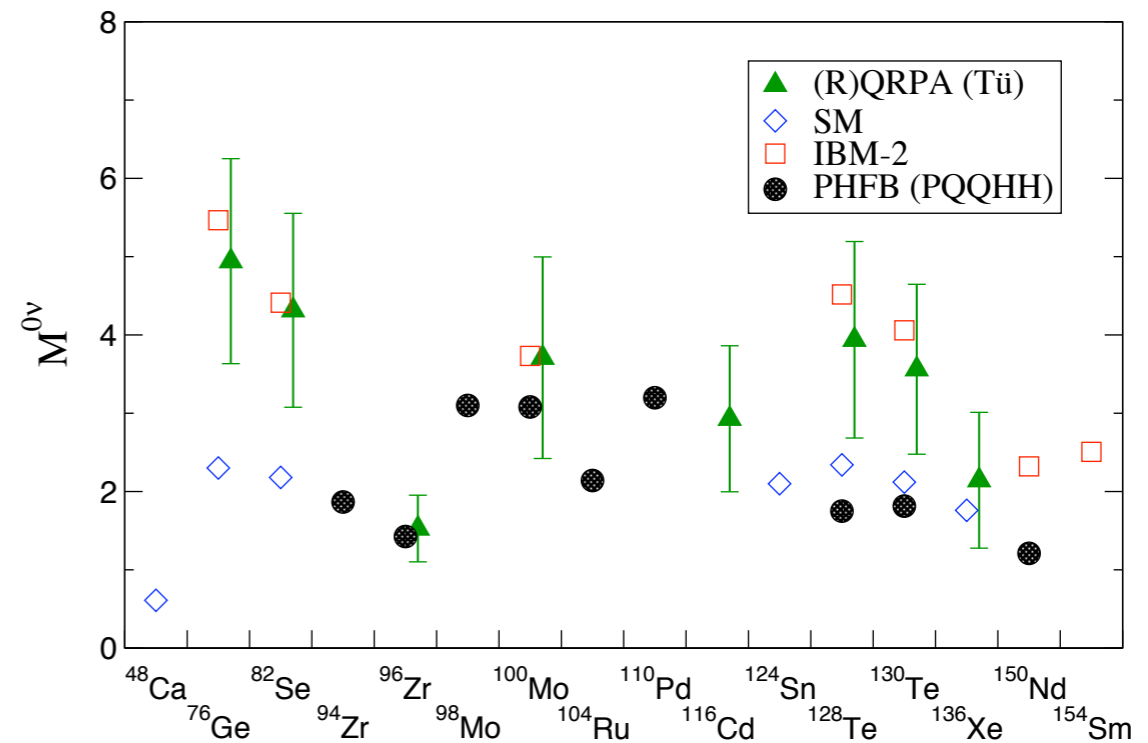


FIG. 7: (Color online) Neutrinoless double beta decay transition matrix elements for the different approaches: QRPA [5, 6], the SM [8–10], the projected HFB method [14] and the IBM [15]. The error bars for the QRPA are calculated as the highest and the lowest values for three different single nucleon basis sets, two different axial charges  $g_A = 1.25$  and the quenched value  $g_A = 1.00$  and two different treatments of short range correlations (Jastrow-like [25] and the Unitary Correlator Operator Method (UCOM) [26]). The radius parameter is as in this whole work  $r_0 = 1.2$  fm.

Matrix elements: vary by a factor of 2- 3 for a given A

# Effective Majorana neutrino mass

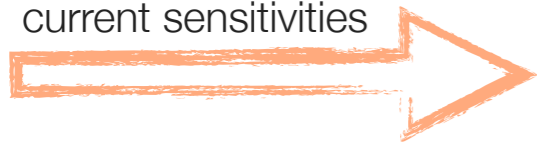
- $|m_{\beta\beta}|$  is a mixture of  $m_1, m_2, m_3$ , proportional to the  $U_{ei}^2$ , where  $U_{ei}$  are complex entries

$$|m_{\beta\beta}| = |m_1|U_{e1}|^2 + m_2|U_{e2}|^2 e^{i(\alpha_1 - \alpha_2)} + m_3|U_{e3}|^2 e^{i(-\alpha_1 - 2\delta)}|$$

- where  $U$  = neutrino mixing matrix,  $c_{ij} = \cos\theta_{ij}$ ,  $s_{ij} = \sin\theta_{ij}$ ,  $\alpha_1, \alpha_2$  = Majorana phases

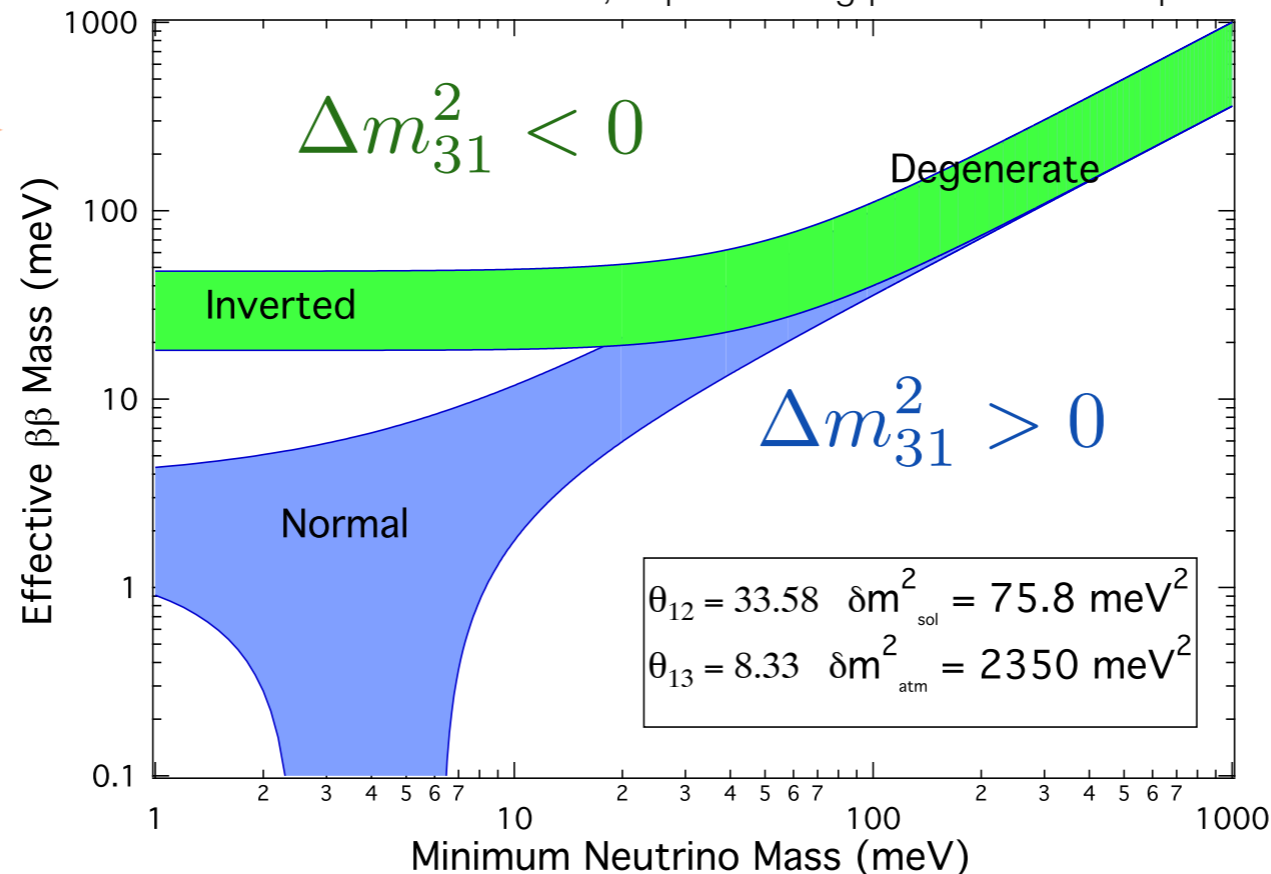
S. Elliott, <http://arxiv.org/pdf/1203.1070v1.pdf>

current sensitivities



$$T_{1/2} \sim 10^{27} \text{ yr}$$

$$T_{1/2} \sim 10^{29} \text{ yr}$$



*Remark: here the exchange of a light neutrinos is considered; many other contributions are possible (Majoron, heavy Majorana neutrino exchange, right-handed currents, SUSY, etc)*

For a recent review, see:  
<http://xxx.lanl.gov/pdf/1205.0649.pdf>

# Experimental sensitivity

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- Experiments observe:

$$N_{\beta\beta}^{0\nu} = \frac{a \cdot M \cdot N_A}{A} \frac{\ln 2}{T_{1/2}^{0\nu}} \cdot \epsilon \cdot t$$

- with a non-zero number of background events:

$$N_{bg} = M \cdot t \cdot B \cdot \Delta E$$

- The experimental sensitivity is thus:

$$T_{1/2}^{0\nu}(n_\sigma) = \frac{N_A \ln 2}{\sqrt{2} n_\sigma} \frac{a \cdot \epsilon}{A} \sqrt{\frac{M \cdot t}{B \cdot \Delta E}}$$

a = enrichment

$\epsilon$  = detector efficiency

M = total mass

t = measuring time

$\Delta E$  = energy resolution

B = background index

$n_\sigma$  = confidence level in units of sigma



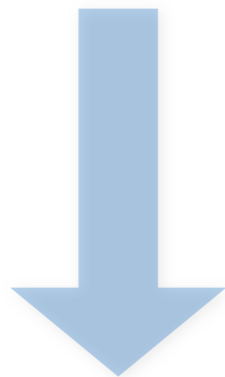
# Experimental requirements

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- Experiments thus measure the half life of the decay,  $T_{1/2}$

$$T_{1/2}^{0\nu} \propto a \cdot \epsilon \cdot \sqrt{\frac{M \cdot t}{B \cdot \Delta E}}$$

$$\langle m_{\beta\beta} \rangle \propto \frac{1}{\sqrt{T_{1/2}^{0\nu}}}$$



Minimal requirements:

large detector masses  
enriched materials  
ultra-low background noise  
excellent energy resolution



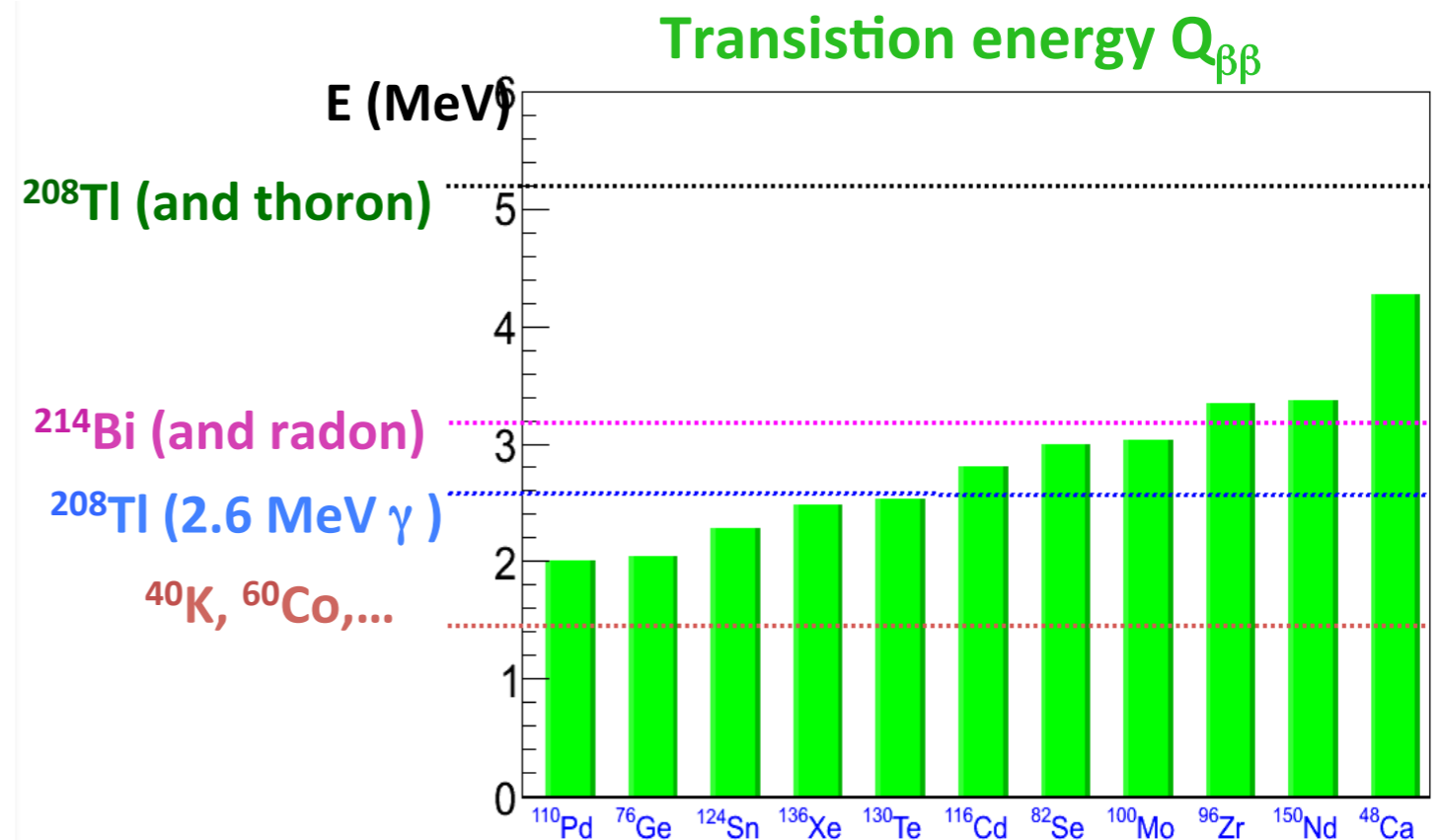
Additional tools to distinguish signal  
from background:

angular distribution  
decay to excited states (gamma-rays)  
identification of daughter nucleus

# Backgrounds for double beta experiments

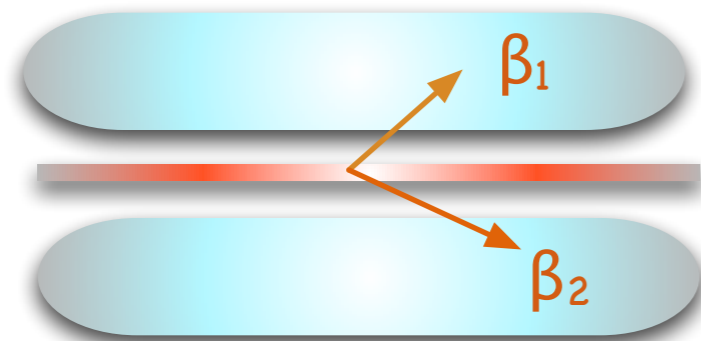
- ⊛ primordial radionuclides ( $^{238}\text{U}$ ,  $^{232}\text{Th}$ ,  $^{40}\text{K}$ ) in the detector materials, in the shielding and the concrete/rock (alpha, beta, gamma and neutrons)
- ⊛ cosmic activation of detector materials ( $^{60}\text{Co}$ ,  $^{54}\text{Mn}$ ,  $^{65}\text{Zn}$ ,...)
- ⊛ cosmic rays - muons - and secondary particles
- ⊛ radon in air, radon emanation of materials,....
- ⊛ anthropogens ( $^{85}\text{Kr}$ ,  $^{137}\text{Cs}$ ,  $^{207}\text{Bi}$ ,...)

$2\nu\beta\beta$ -events: irreducible background  
 an excellent energy resolution of the  
 detector is crucial



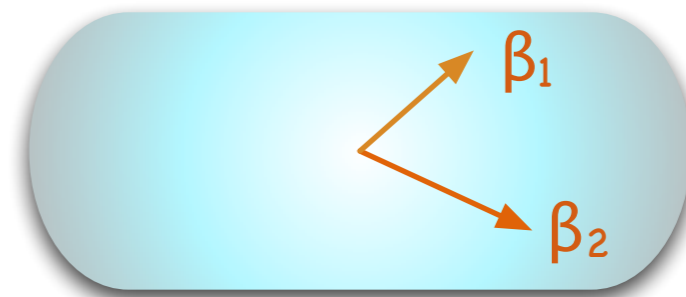
# Experiments: Main Approaches

## Source $\neq$ Detector

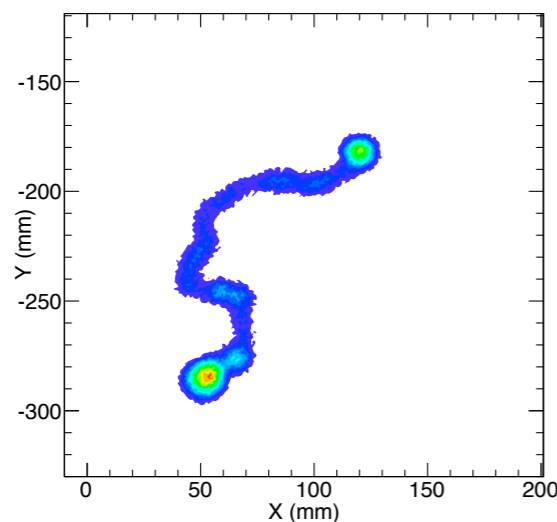


Source as thin foil  
Electrons detected with: scintillator, TPC, drift chamber, semiconductor detectors  
Event topology  
Low energy resolution and detection efficiency

## Source = Detector (calorimeters)



The sum of the energy of the two electrons is measured  
Signature: peak at the Q-value of the decay  
Scintillators, semiconductors, bolometers  
High resolution + detection efficiency  
No event topology (unless pixellized)



## Source = Detector = Tracker

Source is - for example - the (high-pressure) gas of a TPC  
Charge and light detected with electron multipliers and/or photosensors  
Good energy and position resolution, high efficiency  
Event topology very helpful in reducing the background and *in identifying the potential signal*

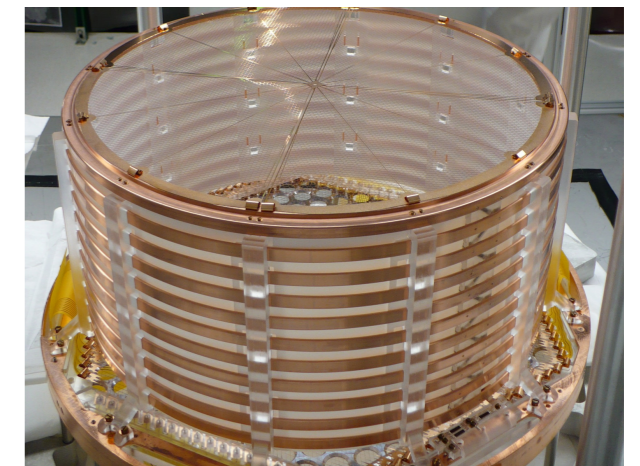
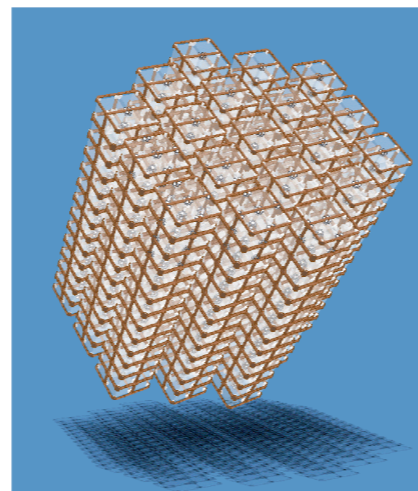
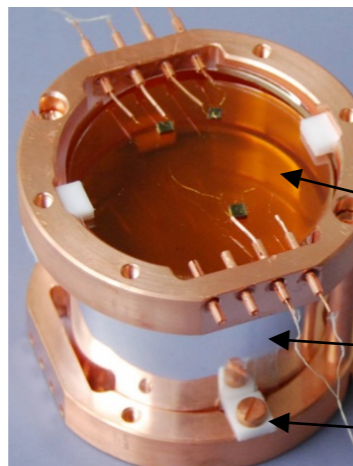
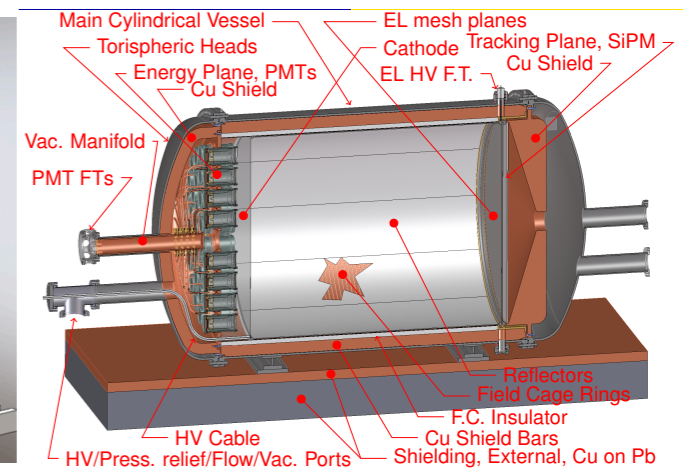
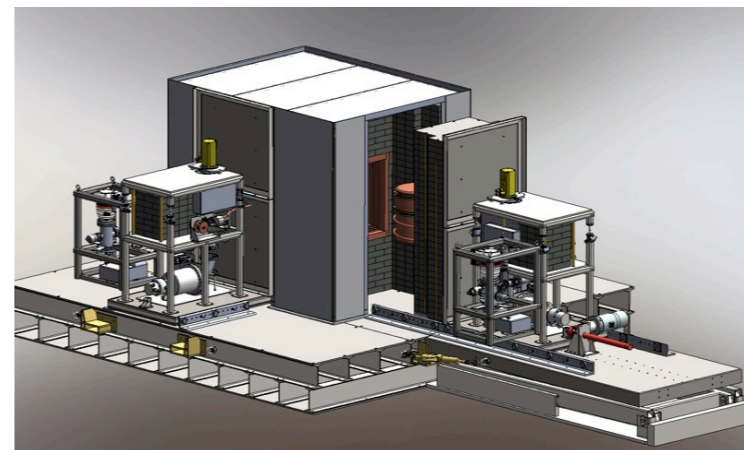
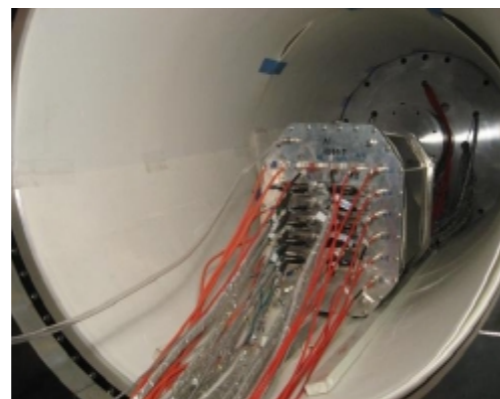
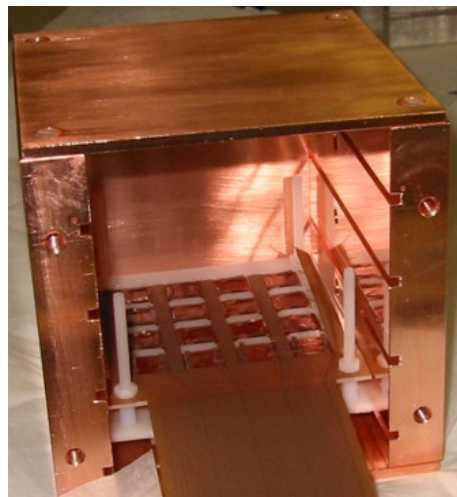
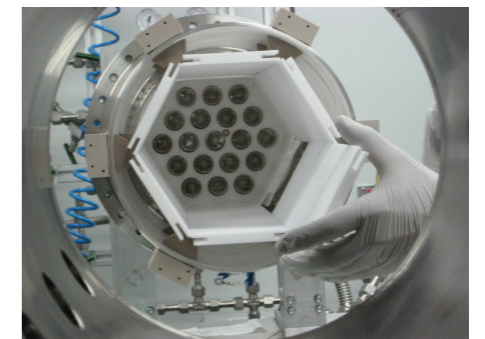
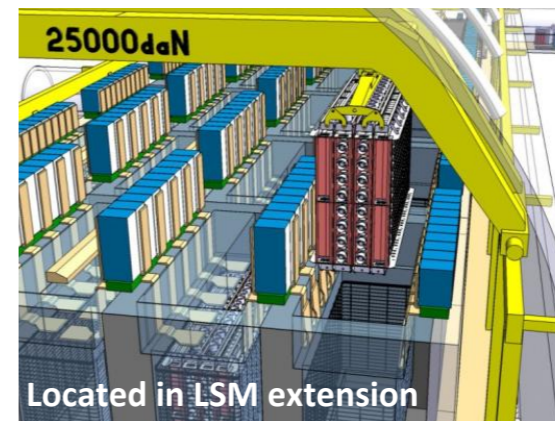
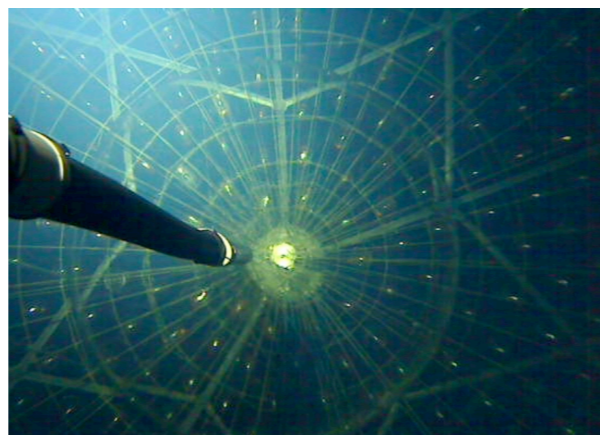
# Existing experimental limits on $T_{1/2}$ and the effective Majorana neutrino mass

**Current best sensitivities are around a few 100 meV**

Table 1. A list of recent  $0\nu\beta\beta$  experiments and their 90% confidence level (except as noted) limits on  $T_{1/2}^{0\nu}$ . The  $\langle m_{\beta\beta} \rangle$  limits are those quoted by the authors using the  $M_{0\nu}$  of their choice.

Isotope	Technique	$T_{1/2}^{0\nu}$	$\langle m_{\beta\beta} \rangle$ (eV)	Reference
$^{48}\text{Ca}$	$\text{CaF}_2$ scint. crystals	$> 1.4 \times 10^{22}$ y	$< 7.2-44.7$	14
$^{76}\text{Ge}$	$^{enr}\text{Ge}$ det.	$> 1.9 \times 10^{25}$ y	$< 0.35$	15
$^{76}\text{Ge}$	$^{enr}\text{Ge}$ det.	$(1.19_{-0.50}^{+2.99}) \times 10^{25}$ y ( $3\sigma$ )	0.24-0.58	16
$^{76}\text{Ge}$	$^{enr}\text{Ge}$ det.	$> 1.57 \times 10^{25}$ y	$< (0.33-1.35)$	17
$^{82}\text{Se}$	Thin metal foils and tracking	$> 3.6 \times 10^{23}$ y	$< (0.89-2.54)$	18
$^{96}\text{Zr}$	Thin metal foils and tracking	$> 9.2 \times 10^{21}$ y	$< (7.2-19.5)$	19
$^{100}\text{Mo}$	Thin metal foils and tracking	$> 1.1 \times 10^{24}$ y	$< (0.45-0.93)$	18
$^{116}\text{Cd}$	$^{116}\text{CdWO}_4$ scint. crystals	$> 1.7 \times 10^{23}$ y	$< 1.7$	20
$^{128}\text{Te}$	geochemical	$> 7.7 \times 10^{24}$ y	$< (1.1-1.5)$	21
$^{130}\text{Te}$	$\text{TeO}_2$ bolometers	$> 2.8 \times 10^{24}$ y	$< (0.3-0.7)$	22
$^{136}\text{Xe}$	Xe dissolved in liq. scint.	$> 5.7 \times 10^{24}$ y	$< (0.3-0.6)$	23
$^{150}\text{Ne}$	Thin metal foil within TPC	$> 1.8 \times 10^{22}$ y	N.A.	24

# Current, near-future, future experiments



# Current, near-future, future experiments

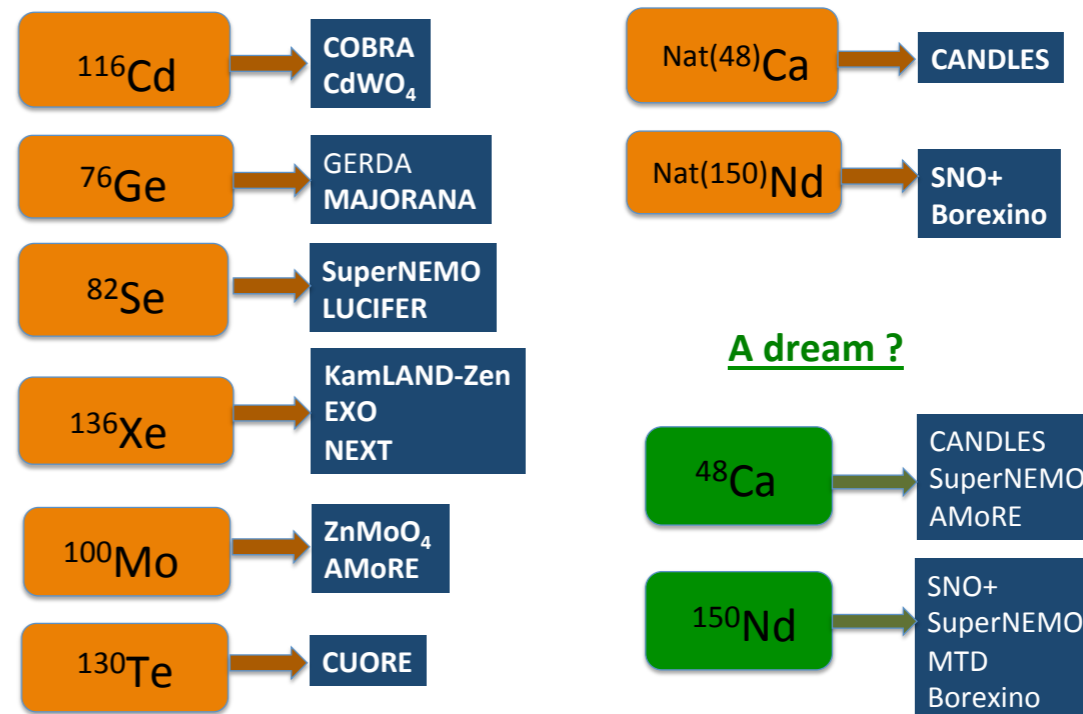


# Existing and proposed experiments

Table 2. A summary list of the  $0\nu\beta\beta$  proposals and experiments.

Experiment	Isotope	Mass	Technique	Present Status	Location
AMoRE <sup>89,90</sup>	<sup>100</sup> Mo	50 kg	CaMoO <sub>4</sub> scint. bolometer crystals	Development	Yangyang
CANDLES <sup>91</sup>	<sup>48</sup> Ca	0.35 kg	CaF <sub>2</sub> scint. crystals	Prototype	Kamioka
CARVEL <sup>92</sup>	<sup>48</sup> Ca	1 ton	CaF <sub>2</sub> scint. crystals	Development	Solotvina
COBRA <sup>93</sup>	<sup>116</sup> Cd	183 kg	<sup>enr</sup> Cd CZT semicond. det.	Prototype	Gran Sasso
CUORE-0 <sup>69</sup>	<sup>130</sup> Te	11 kg	TeO <sub>2</sub> bolometers	Construction - 2012	Gran Sasso
CUORE <sup>69</sup>	<sup>130</sup> Te	203 kg	TeO <sub>2</sub> bolometers	Construction - 2013	Gran Sasso
DCBA <sup>94</sup>	<sup>150</sup> Ne	20 kg	<sup>enr</sup> Nd foils and tracking	Development	Kamioka
EXO-200 <sup>57</sup>	<sup>136</sup> Xe	160 kg	Liq. <sup>enr</sup> Xe TPC/scint.	Operating - 2011	WIPP
EXO <sup>70</sup>	<sup>136</sup> Xe	1-10 t	Liq. <sup>enr</sup> Xe TPC/scint.	Proposal	SURF
GERDA <sup>71</sup>	<sup>76</sup> Ge	≈35 kg	<sup>enr</sup> Ge semicond. det.	Operating - 2011	Gran Sasso
GSO <sup>95</sup>	<sup>160</sup> Gd	2 ton	Gd <sub>2</sub> SiO <sub>5</sub> :Ce crys. scint. in liq. scint.	Development	
KamLAND-Zen <sup>96</sup>	<sup>136</sup> Xe	400 kg	<sup>enr</sup> Xe dissolved in liq. scint.	Operating - 2011	Kamioka
LUCIFER <sup>97,98</sup>	<sup>82</sup> Se	18 kg	ZnSe scint. bolometer crystals	Development	Gran Sasso
MAJORANA <sup>77,78,79</sup>	<sup>76</sup> Ge	26 kg	<sup>enr</sup> Ge semicond. det.	Construction - 2013	SURF
MOON <sup>99</sup>	<sup>100</sup> Mo	1 t	<sup>enr</sup> Mofolios/scint.	Development	
SuperNEMO-Dem <sup>87</sup>	<sup>82</sup> Se	7 kg	<sup>enr</sup> Se foils/tracking	Construction - 2014	Fréjus
SuperNEMO <sup>87</sup>	<sup>82</sup> Se	100 kg	<sup>enr</sup> Se foils/tracking	Proposal - 2019	Fréjus
NEXT <sup>82,83</sup>	<sup>136</sup> Xe	100 kg	gas TPC	Development - 2014	Canfranc
SNO+ <sup>84,85</sup>	<sup>150</sup> Nd	55 kg	Nd loaded liq. scint.	Construction - 2013	SNOLab

Steve Elliott: <http://arxiv.org/pdf/1203.1070v1.pdf>



F. Piquemal, talk at Neutrino2012, Kyoto

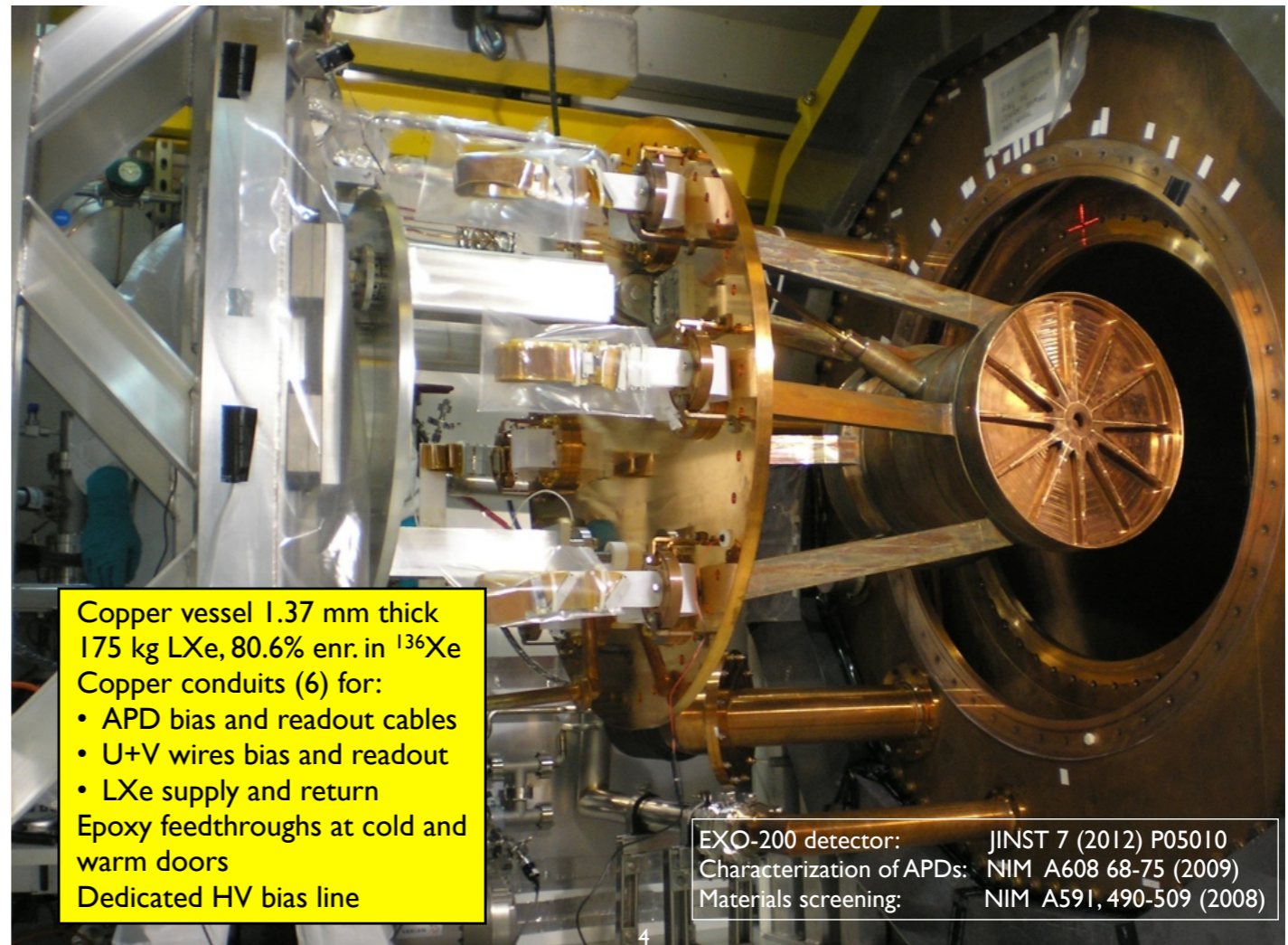
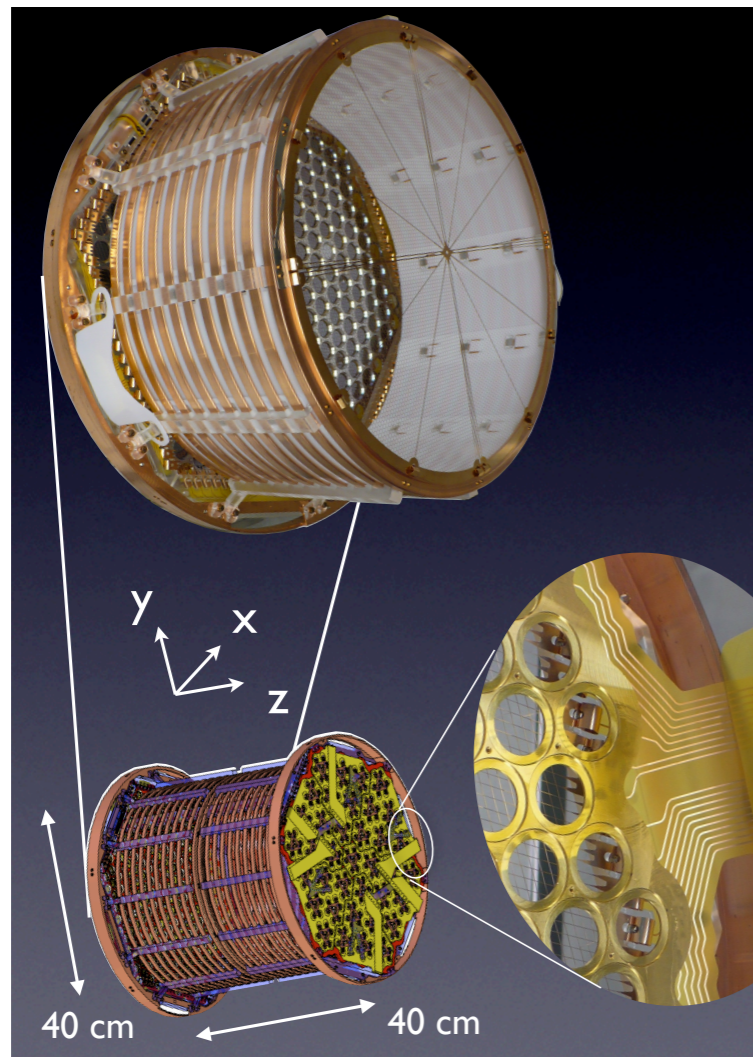
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# Recent results



# EXO-200

- Liquid xenon TPC: 175 kg LXe, 80.6% enriched in  $^{136}\text{Xe}$
- Charge and light readout (triplet wire channels and large area avalanche photodiodes)
- Drift field: 376 V/cm



# EXO-200

- So far, 2 data taking phases
- First measurement of  $^{136}\text{Xe}$  2-neutrino half life; limit on the 0-neutrino mode

	Run I	Run 2 (this analysis)
Period	May 21, 11 – Jul 9, 11	Sep 22, 11 – Apr 15, 12
Live Time	752.7 hr	2,896.6 hr
Exposure ( $^{136}\text{Xe}$ )	4.4 kg-yr	26.3 kg-yr
Publ.	PRL 107 (2011) 212501	arXiv:1205:5608

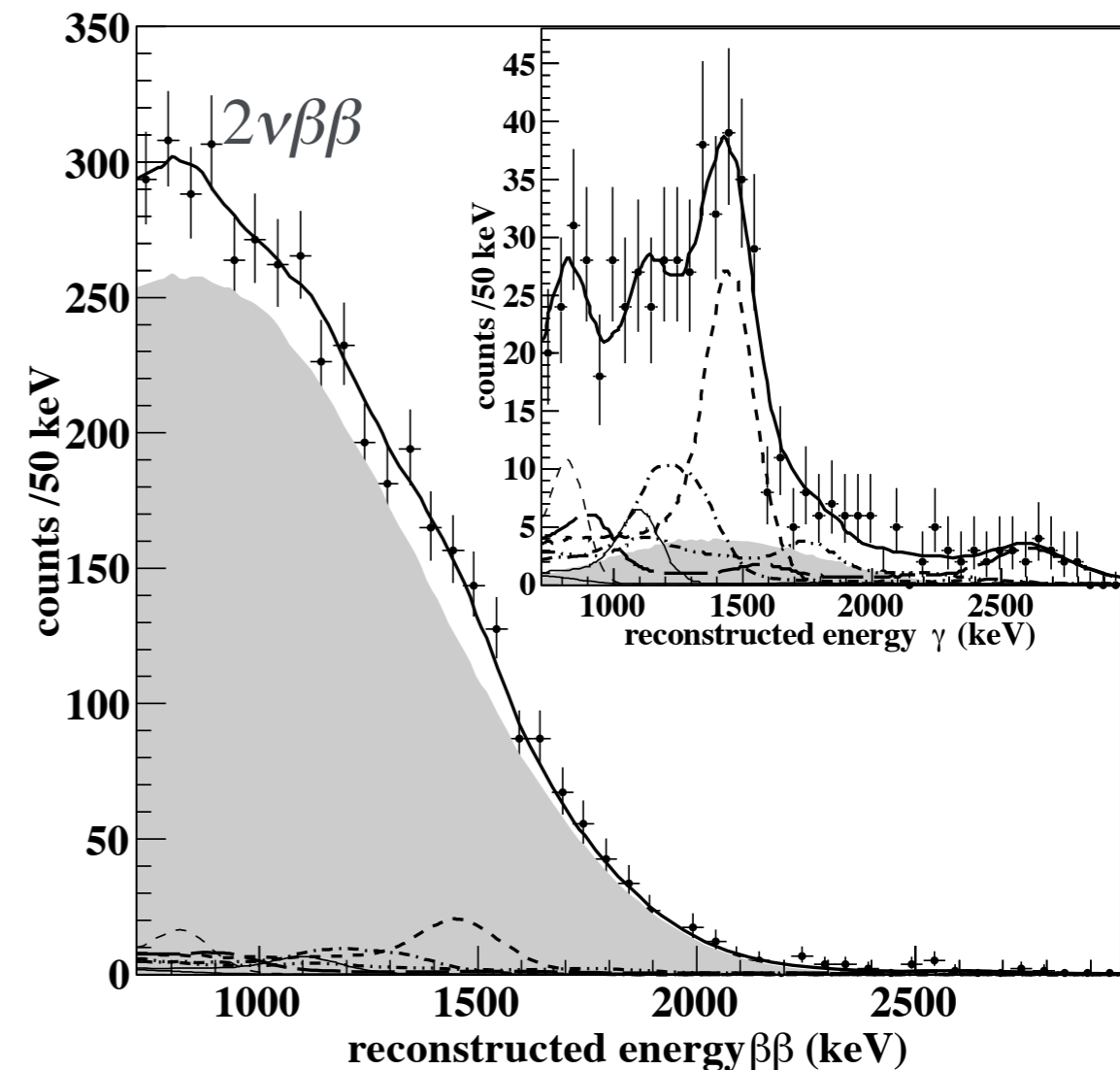
Run I Results:

$$T_{1/2}^{2\nu\beta\beta} (^{136}\text{Xe}) = (2.11 \pm 0.04 \text{ stat} \pm 0.21 \text{ sys}) \cdot 10^{21} \text{ yr}$$

In disagreement with previously reported limits by

R. Bernabei et al. Phys. Lett. B 546 (2002) 23, and  
Yu. M. Gavriljuk et al. , Phys. Atom Nucl. 69 (2006)

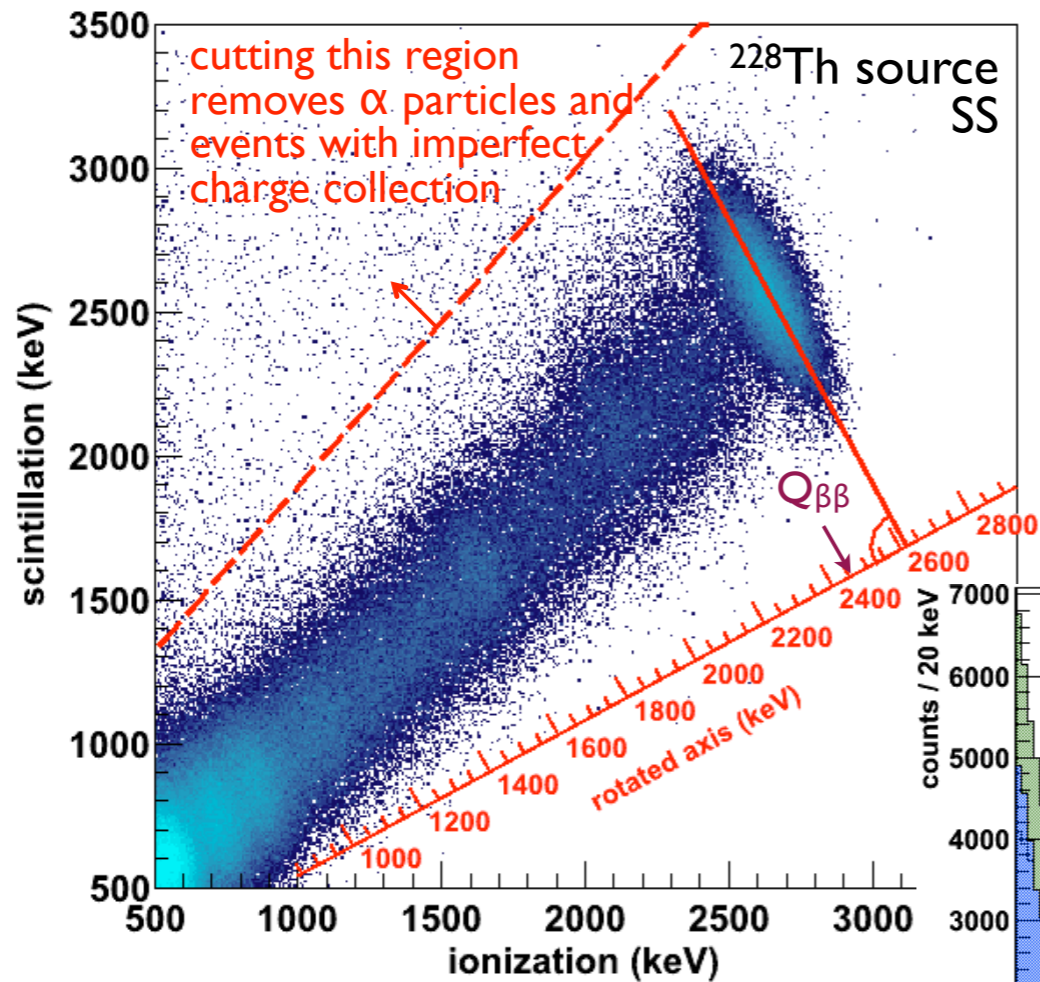
This was also a measurement of a nuclear matrix element of  $0.019 \text{ MeV}^{-1}$ , the smallest measured among the  $2\nu\beta\beta$  emitters



# EXO-200: resolution and calibration

- Good energy resolution by linear combination of scintillation and charge signals

At  $Q_{\beta\beta}$  (2458 keV):  
 $\sigma/E = 1.67\%$  (SS)  
 $\sigma/E = 1.84\%$  (MS)

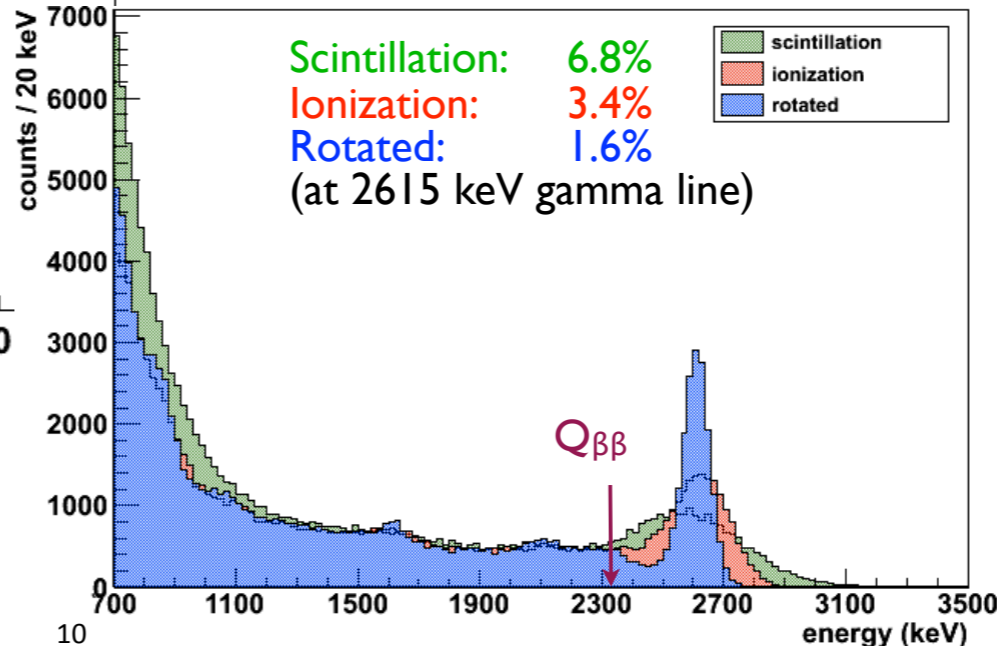


Rotation angle chosen to optimize energy resolution at 2615 keV

Properties of xenon cause increased scintillation to be associated with decreased ionization (and vice-versa)

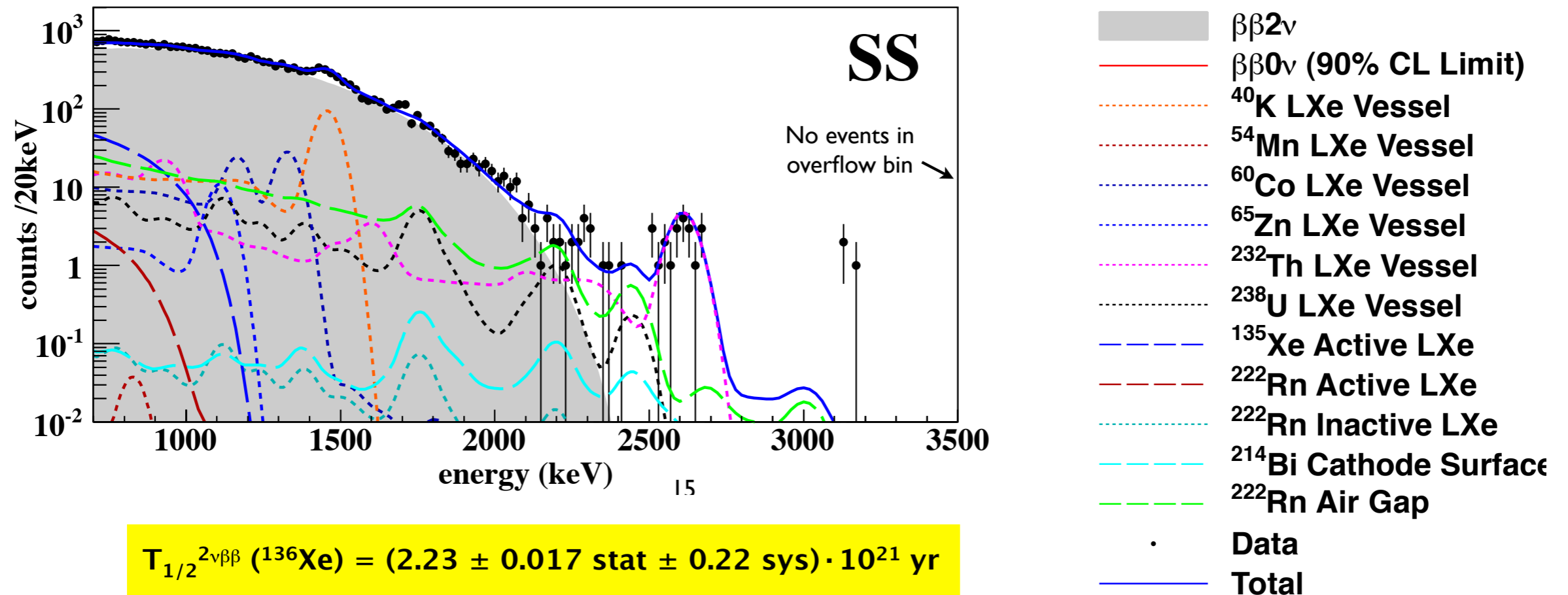
E. Conti et al. Phys. Rev. B 68 (2003) 054201

Use projection onto a rotated axis to determine event energy



# EXO-200: low-background spectrum

- Observed 22'000 2-neutrino events in 32.5 kg yr exposure
- Background PDFs fitted along with 2-neutrino and 0-neutrino PDFs



$$T_{1/2}^{2\nu\beta\beta} (^{136}\text{Xe}) = (2.23 \pm 0.017 \text{ stat} \pm 0.22 \text{ sys}) \cdot 10^{21} \text{ yr}$$

In agreement with previously reported value by

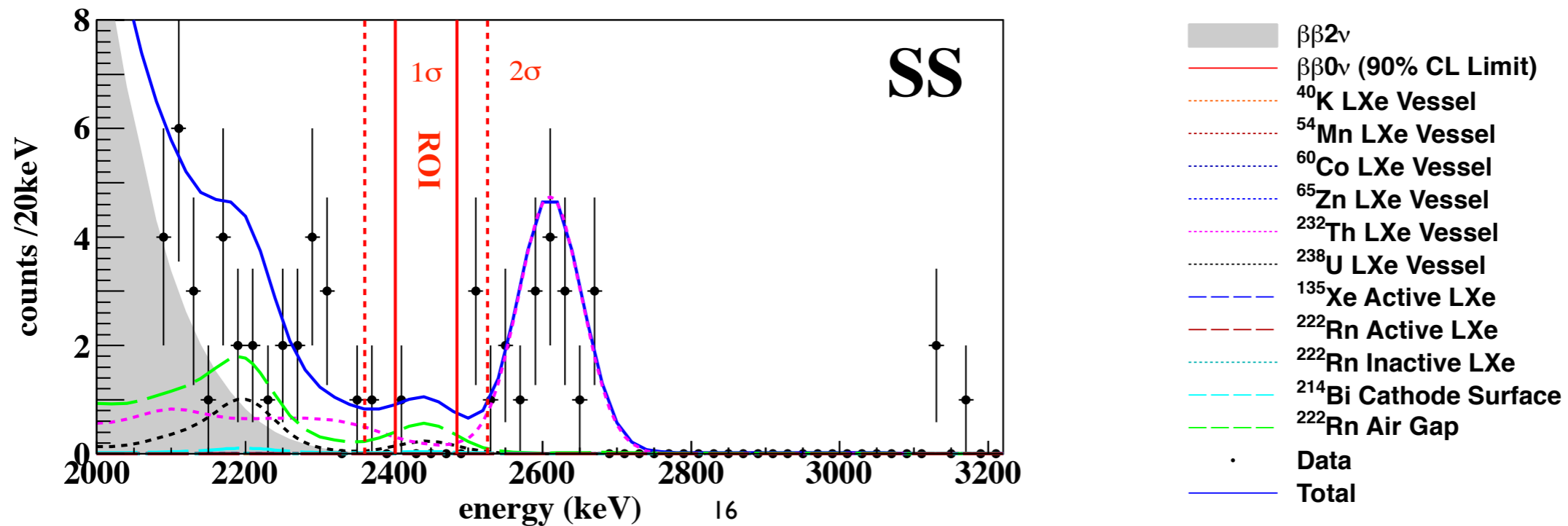
EXO-200 Phys.Rev.Lett. 107 (2011) 212501

and

KamLAND-ZEN Phys.Rev.C85:045504,2012)

# EXO-200: low-background spectrum

- No 0-neutrino signal observed => lower limit on  $T_{1/2}$



	Expected events from fit			
	±1 σ		±2 σ	
<sup>222</sup> Rn in cryostat air-gap	1.9	±0.2	2.9	±0.3
<sup>238</sup> U in LXe Vessel	0.9	±0.2	1.3	±0.3
<sup>232</sup> Th in LXe Vessel	0.9	±0.1	2.9	±0.3
<sup>214</sup> Bi on Cathode	0.2	±0.01	0.3	±0.02
All Others	~0.2		~0.2	
Total	4.1	±0.3	7.5	±0.5
Observed	1		5	
Background index b (kg <sup>-1</sup> yr <sup>-1</sup> keV <sup>-1</sup> )	1.5 · 10 <sup>-3</sup>	± 0.1	1.4 · 10 <sup>-3</sup>	± 0.1

From profile likelihood:

$$T_{1/2}^{0\nu\beta\beta} > 1.6 \cdot 10^{25} \text{ yr}$$

$$\langle m_{\beta\beta} \rangle < 140\text{--}380 \text{ meV}$$

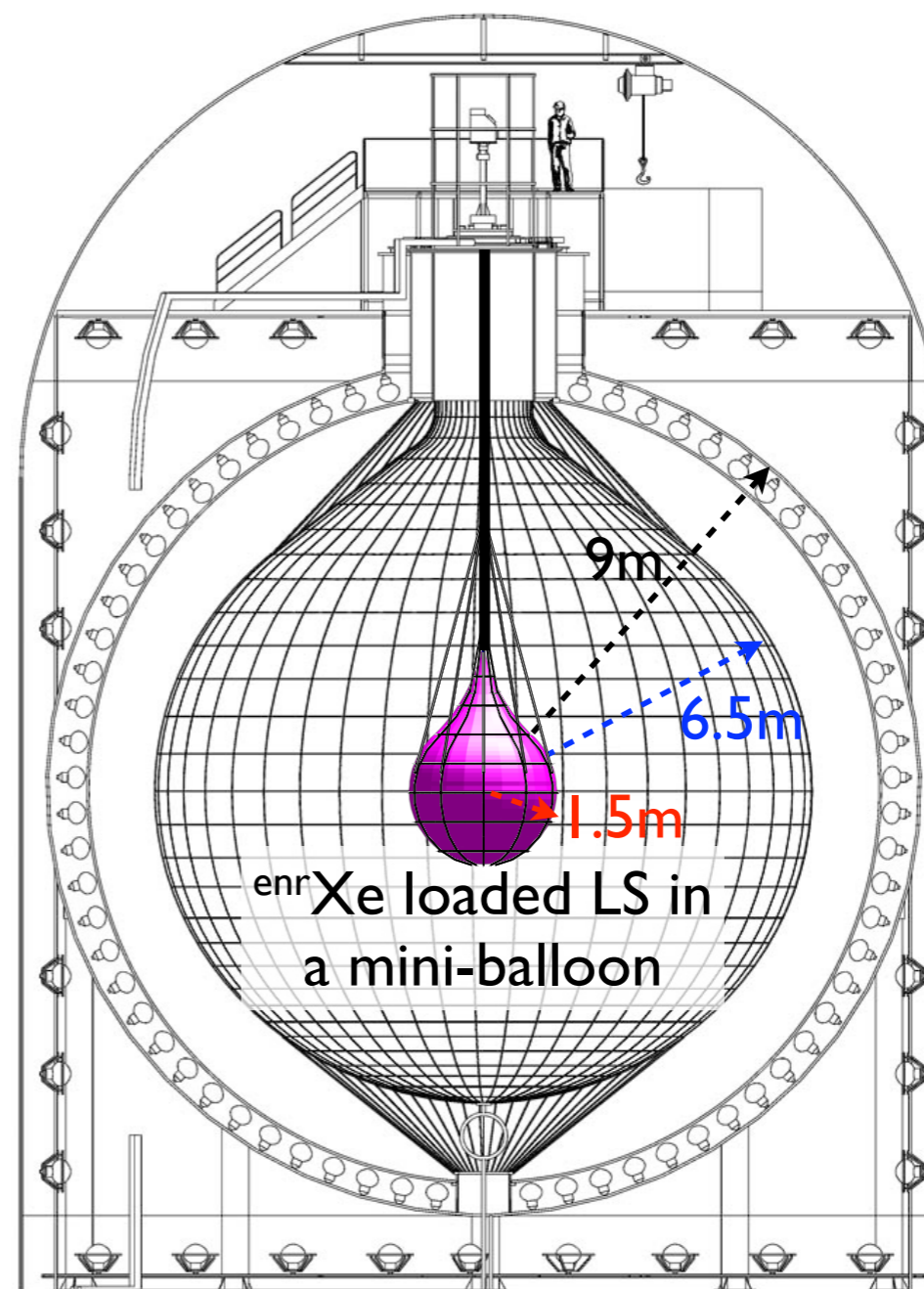
(90% C.L.)

arXiv:1205.5608 – Subm. to PRL

# KAMLAND-Zen

- Scintillator loaded with xenon
- 320 kg 90% enriched  $^{136}\text{Xe}$  so far (more than 600 kg in the Kamioka mine)
- Advantages: huge and clean (U:  $3.5\text{e-}18$  g/g, Th:  $5.2\text{e-}17$  g/g) running detector
- Xe-LS can be purified, and is highly scalable
- No escape or invisible energy from gammas and beta: good background identification
- Disadvantage: relatively poor energy resolution
- no beta/gamma discrimination
- limited LS composition

Zero Neutrino  
double beta decay search



# KamLAND-Zen: installation

Installation in a class 10~100 clean room built at the top of KamLAND



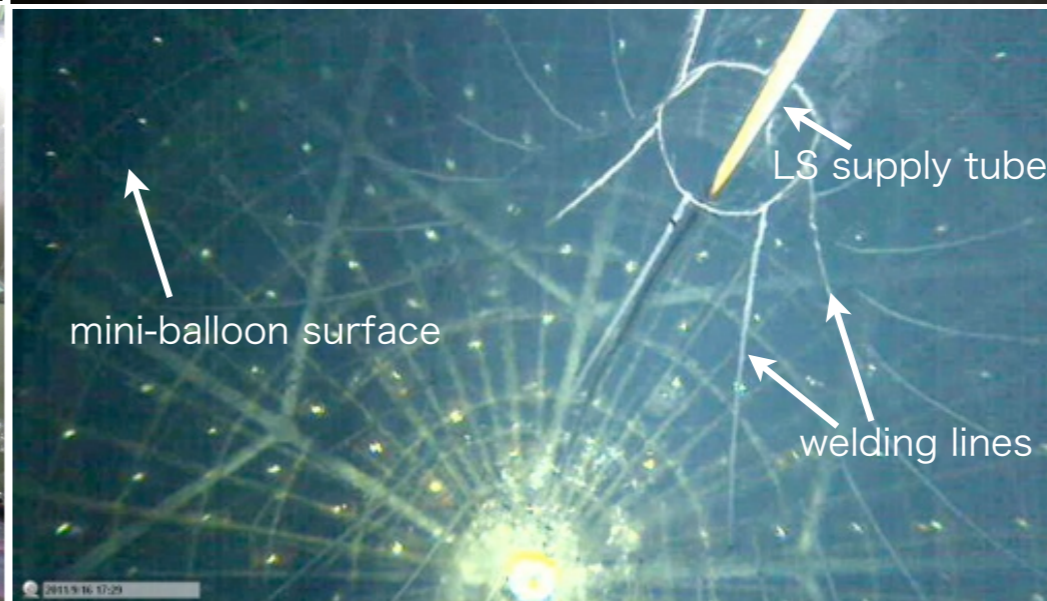
balloon and corrugated tube deployment



balloon went through the black sheet



installation completed



mini-balloon surface

LS supply tube

welding lines

mini-balloon inflated with dummy LS and then replaced with Xe-loaded LS  
density tuning finished and tubes to be extracted<sub>5</sub>

# KamLAND-Zen

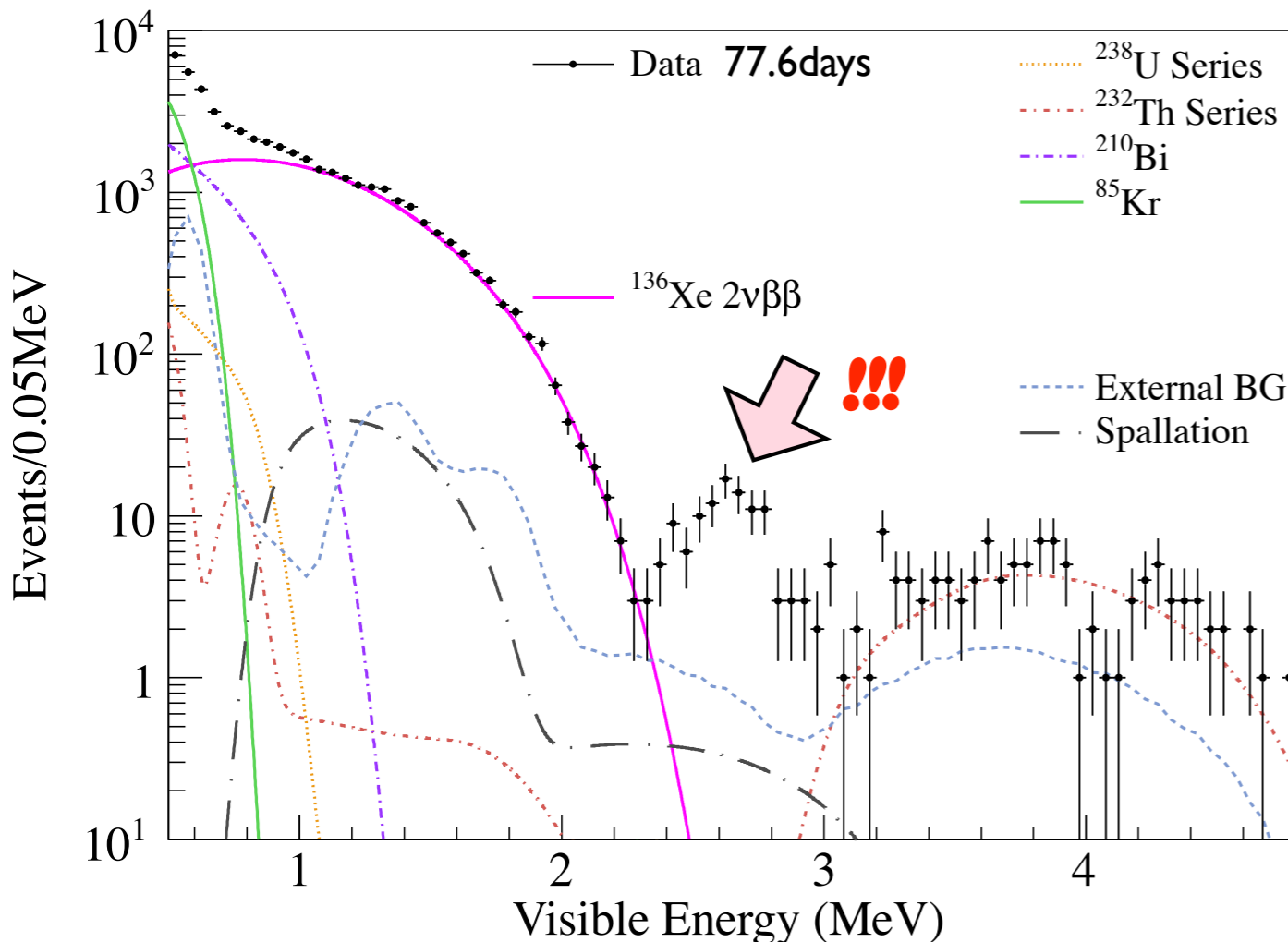
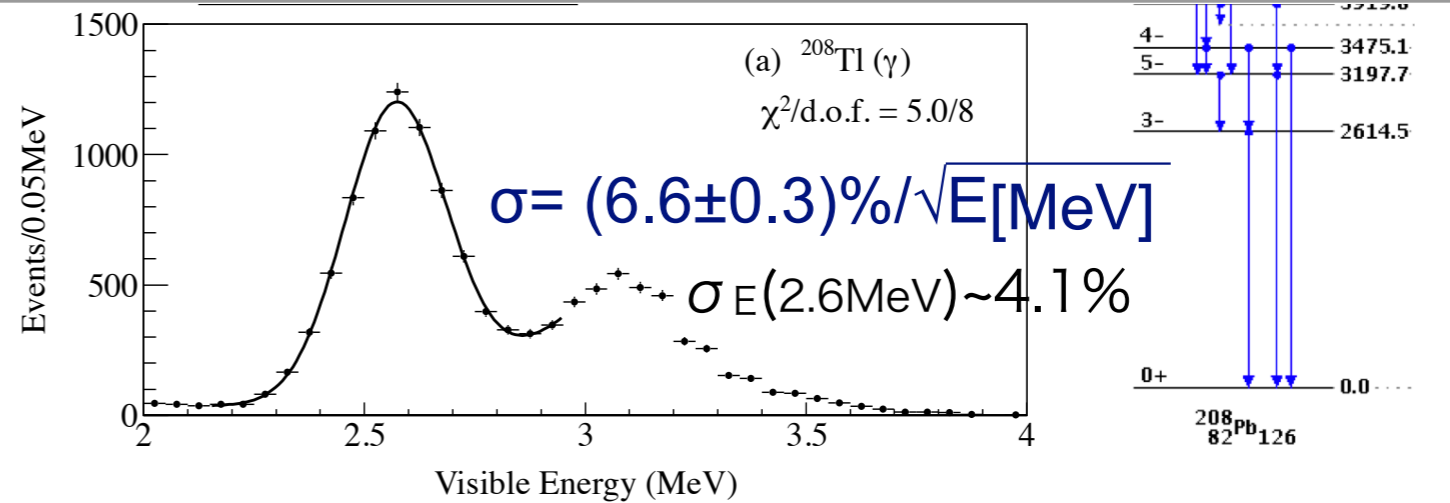
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# KamLAND-Zen: energy calibration and low-background spectrum

- Resolution at 2.6 MeV:  $\sigma \sim 4.1\%$



KamLAND-Zen (2012)

Xe loaded liquid scintillator

$$T^{2\nu}_{1/2} = 2.38 \pm 0.02(\text{stat}) \pm 0.14(\text{syst}) \times 10^{21} \text{ years}$$

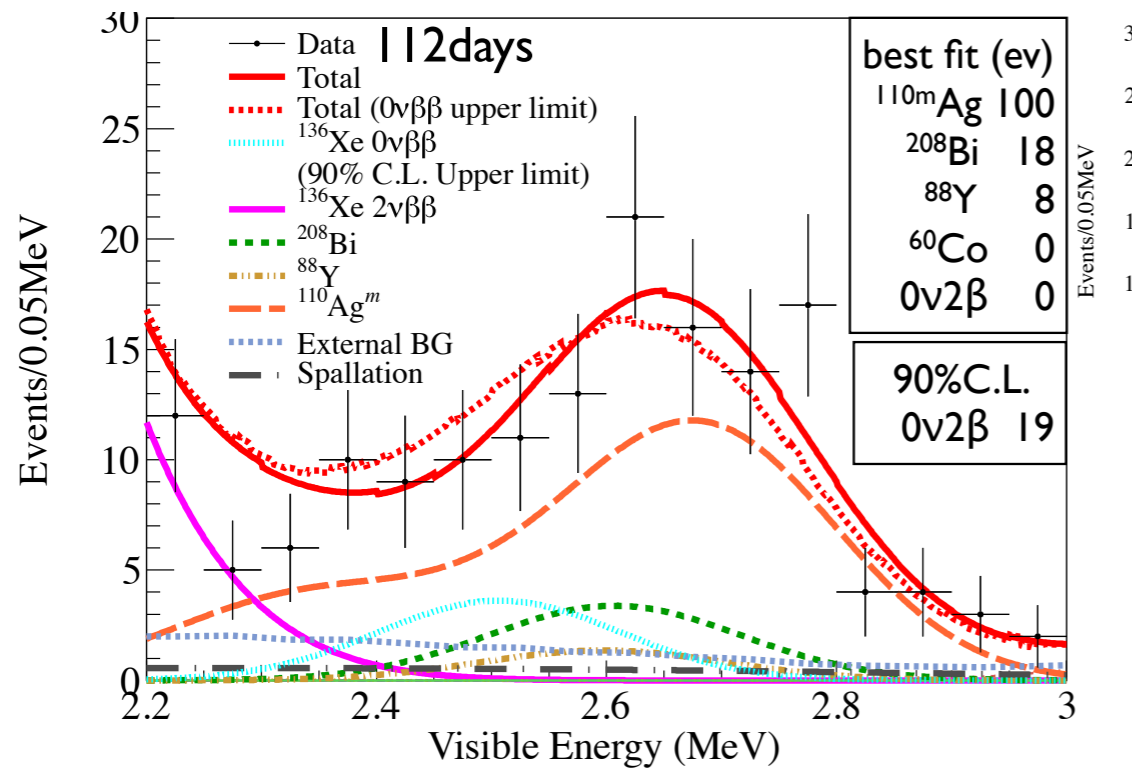
Phys.Rev.C85,045504(2012)

update  $T^{2\nu}_{1/2} = 2.30 \pm 0.02(\text{stat}) \pm 0.12(\text{syst}) \times 10^{21} \text{ years}$

arXiv:1205.6372

# KamLAND-Zen: low-background spectrum

- Peak around the Q-value; however, peak position is different



( $\chi^2$  at 2.2~3.0MeV)

	$\chi^2$ 112days	
simul. fit	11.6	
$0\nu+^{110m}\text{Ag}$	13.1	
$0\nu+^{208}\text{Bi}$	22.7	△
$0\nu+^{88}\text{Y}$	22.2	△
$0\nu+^{60}\text{Co}$	82.9	×
$0\nu$ only	85.0	×

BG is likely to be  $^{110m}\text{Ag}$

simultaneous fit and 90% CL upper limit for  $0\nu 2\beta$

$$T_{1/2}^{0\nu} > 5.7 \times 10^{24} \text{ years at 90\% C.L. (78days)}$$

factor 5 improvement from DAMA

$$T_{1/2}^{0\nu} > 6.2 \times 10^{24} \text{ years (KL-Zen 112days)}$$

(ref. current best is  $1.6 \times 10^{24}$  years from EXO-200)



(R)QRPA (CCM SRC)  
Phys.Rev.C79,055501(2009)

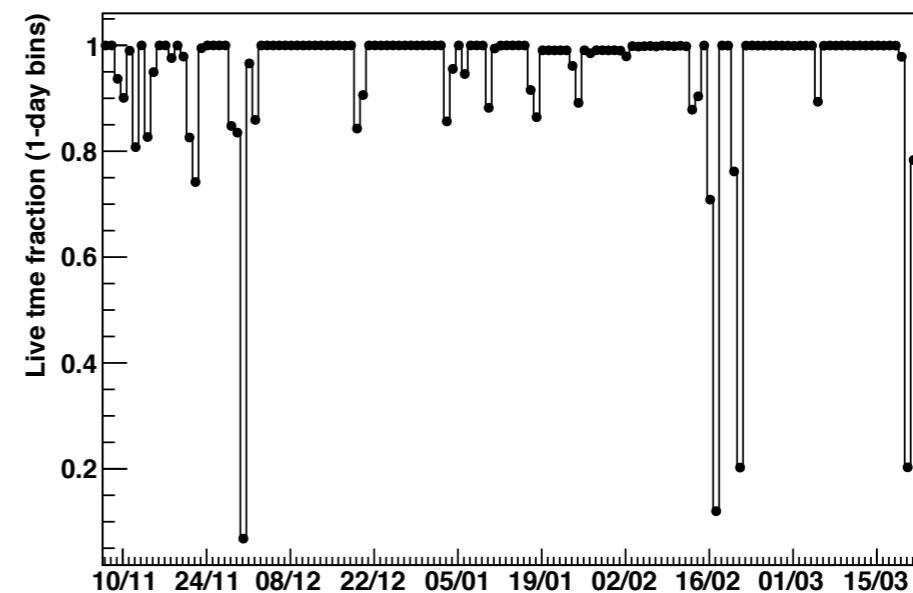
$$\langle m_{\beta\beta} \rangle < 0.26 \sim 0.54 \text{ eV @90\% C.L.}_{15}$$

# GERDA

- HPGe detectors in liquid argon (U/Th in LAr  $< 7 \times 10^{-4} \mu\text{Bq/kg}$ )

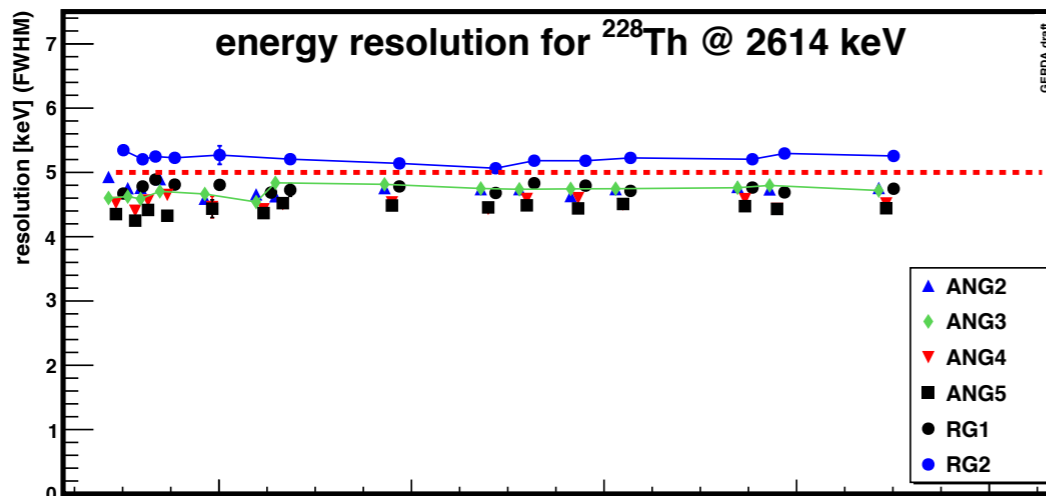
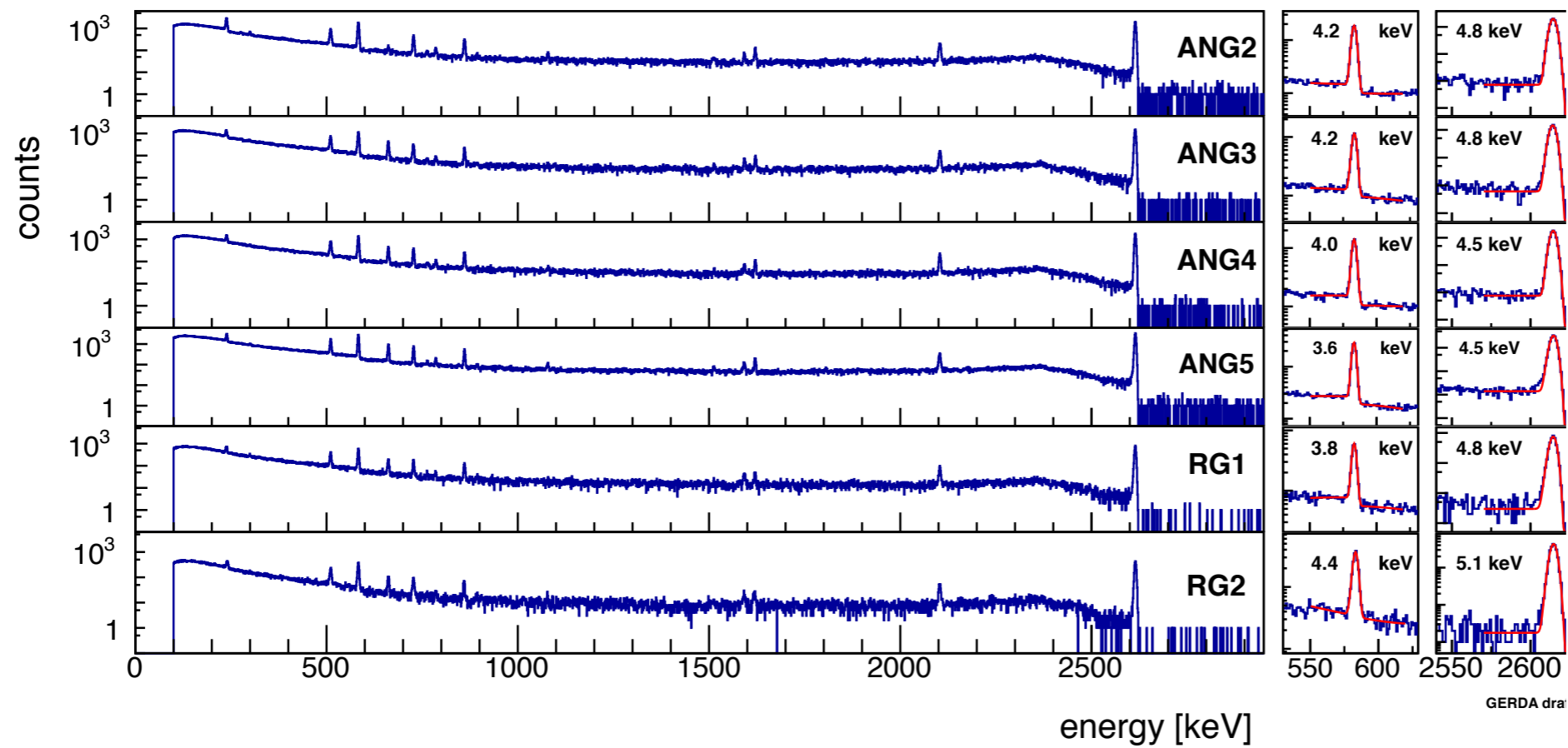


- Physics run started on November 9, 2011



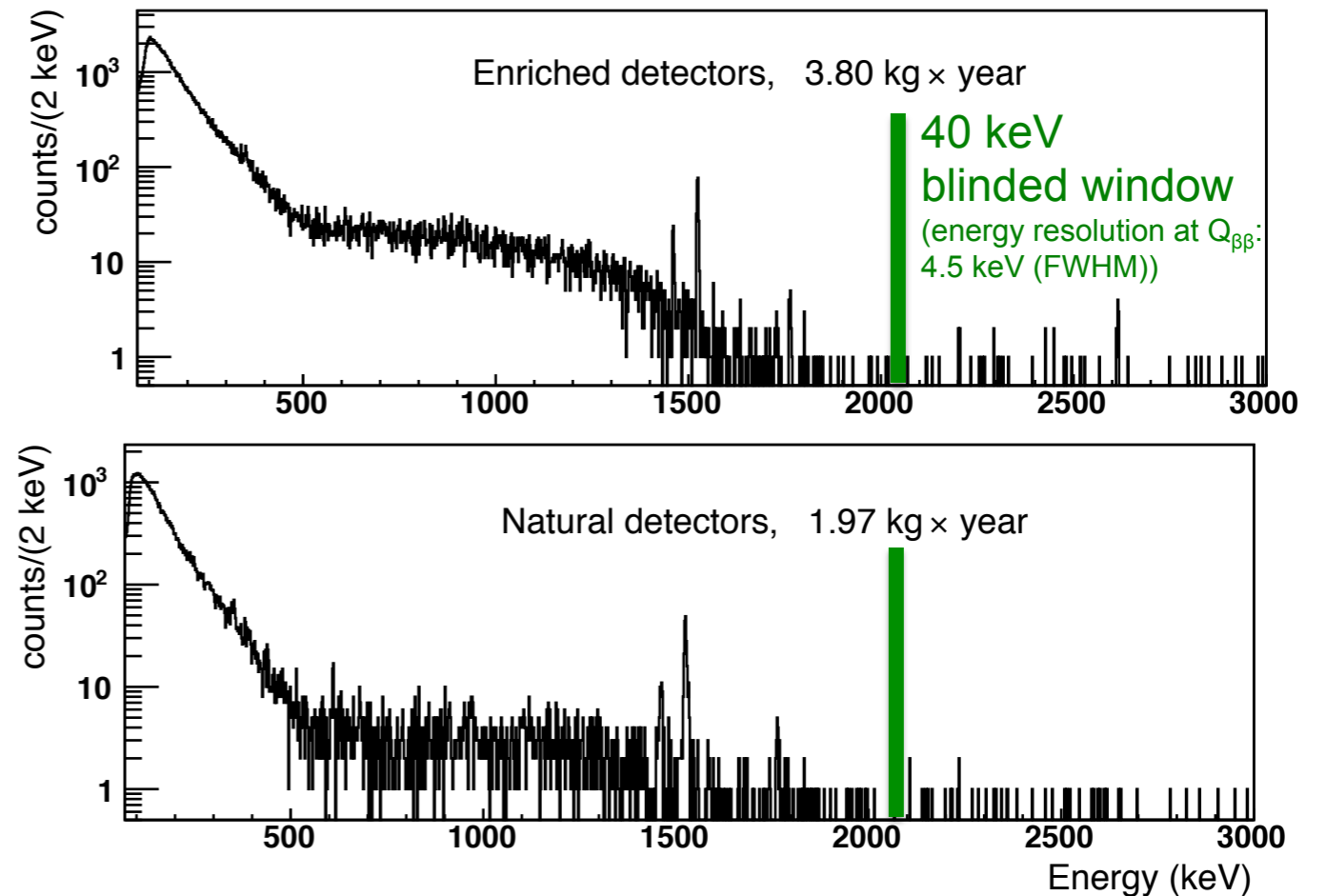
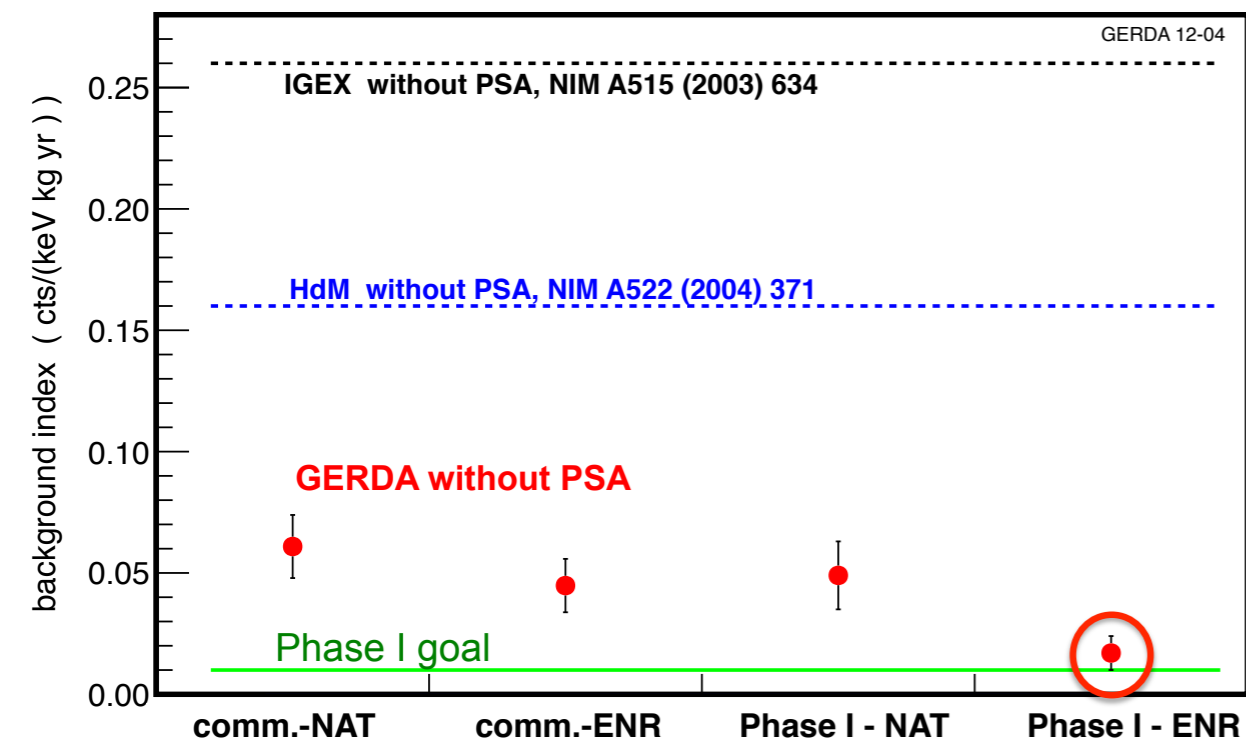
# GERDA Calibration

- Energy resolution:  $\sim 4.5 - 5$  keV (FWHM) at 2.6 MeV



# GERDA low-background spectrum

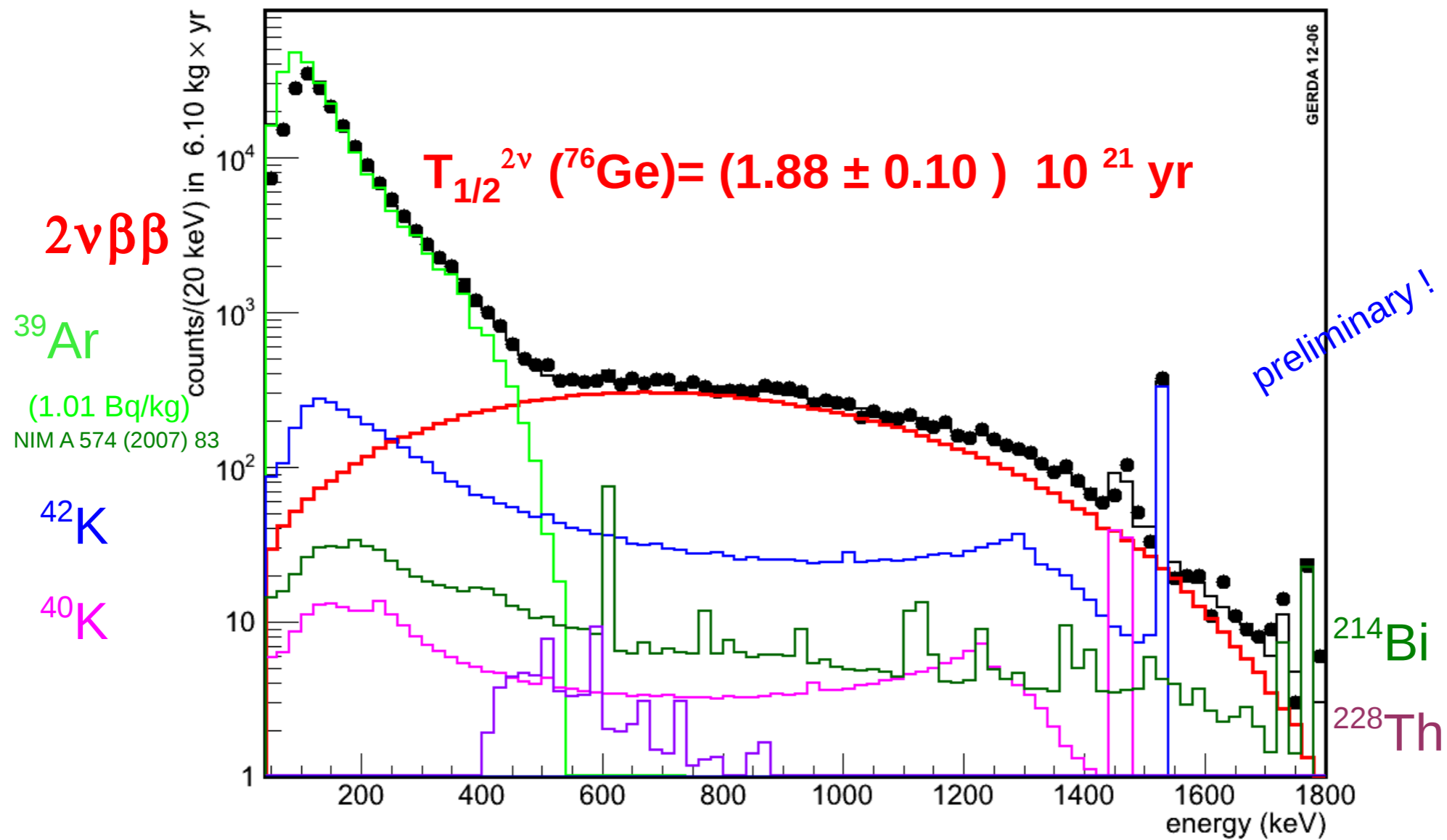
- Background goal of  $\sim 10^{-2}$  events/(kg yr keV) was reached
- Phase II (BEGe) detectors in production and testing
- LAr instrumentation (PMTs or SiPM & scintillating fibers) in development
- End of phase I and start of phase II: spring 2013



# GERDA low-background spectrum

- Analysis of 2-neutrino decay mode is in progress

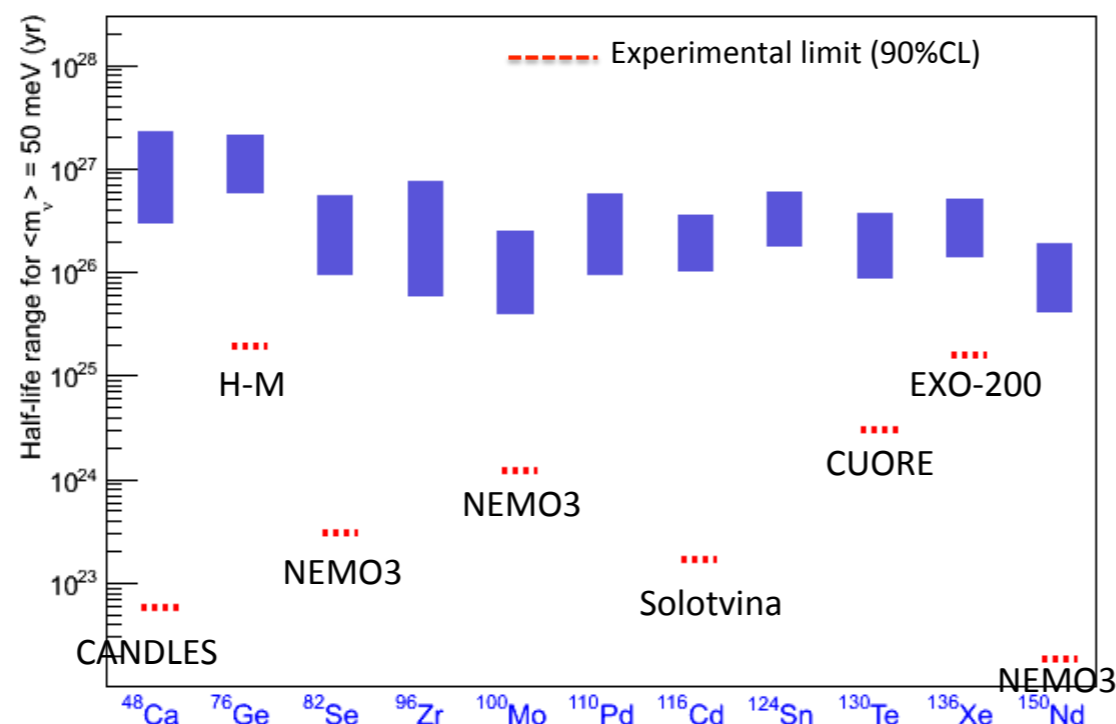
exposure : 6.1 kg yr



# Summary

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- Two-neutrino decay mode was measured for the first time in  $^{136}\text{Xe}$
- Xenon experiments provide competitive limits to germanium for the neutrinoless mode
- Several experiments are taking data, new results are expected soon
- Experiments under construction (or phase II of existing experiments) should achieve a sensitivity of 50 - 100 meV
- To go beyond, much lower backgrounds and larger masses are needed
- Tracking will be important to confirm a potential signal



# Let us hope that...

- this prediction is true - it could be probed with future double beta experiments!

Tsutomu Yanagida  
(Kavli IPMU)

## Conclusion

The seesaw with Occam's razor

Frampton, Glashow, Yanagida

CP violation in neutrino oscillation

↔ Universe's baryon asymmetry

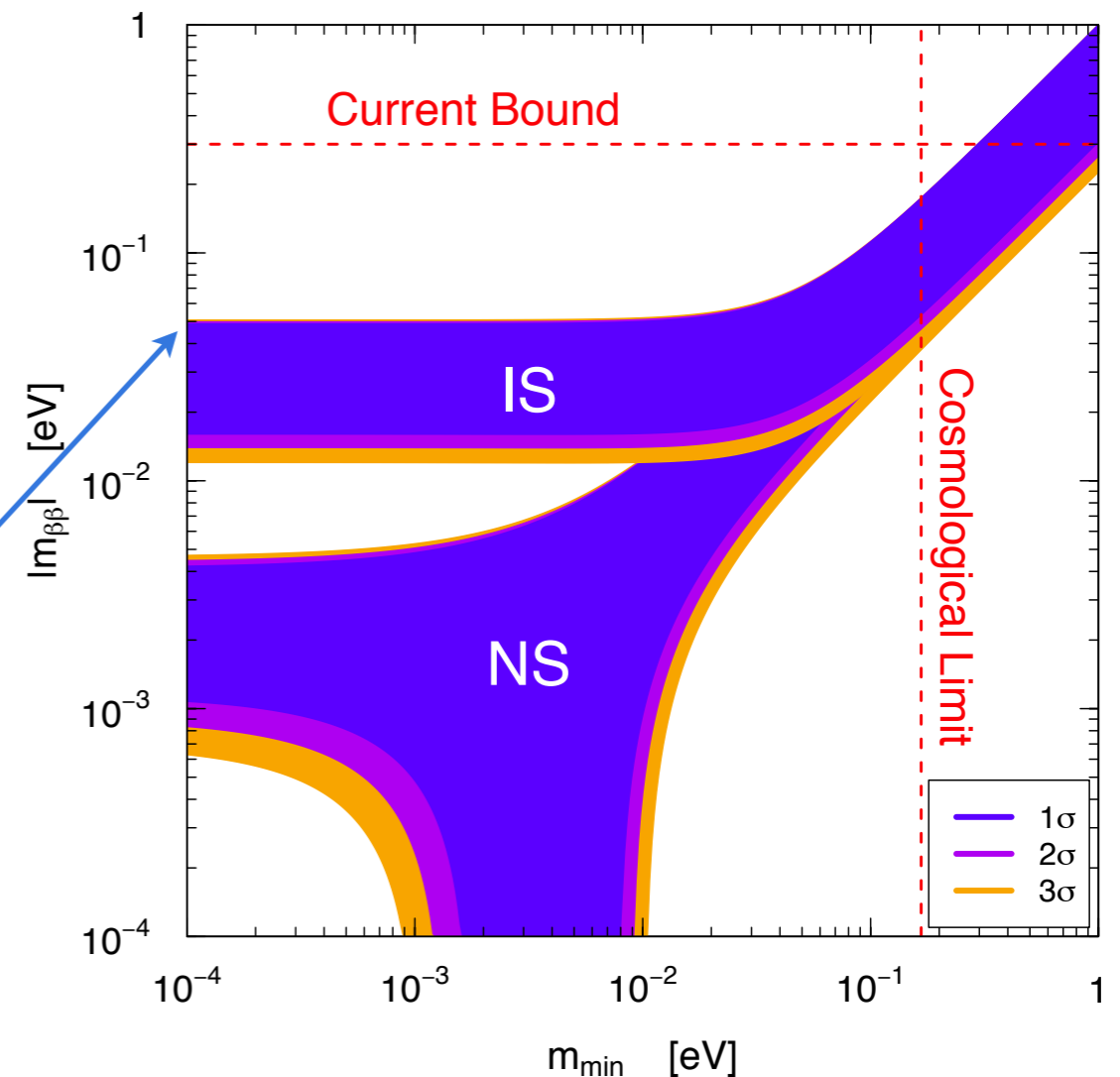
The normal hierarchy is excluded and  
it is consistent with the inverted hierarchy !!!

$$|\delta_{CP}| = \frac{\pi}{2} \pm 0.02$$

It predicts

$$m_{ee} = (47 \pm 1) \text{ meV}$$

Bilenky, Giunti: <http://xxx.lanl.gov/abs/1203.5250>





End

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# Double beta decay

- If simple  $\beta^-$  or  $\beta^+$ -decay is forbidden on energetic grounds a nucleus can decay through a double beta mode:



- The probability for a decay is very small, the mean lifetime of a nucleus is much larger than the age of the universe ( $\tau_U \sim 1.4 \times 10^{10}$  a)

$$\tau_{2\nu} \approx 10^{20} \text{ a}$$

- This is indeed a very rare process (as for instance proton decay, which was not yet observed)
- Nonetheless - if one uses a large amount of nuclei, the process can be observed experimentally

