



The XXV International Conference on Neutrino Physics and Astrophysics June 3-9 2012 Kyoto, Japan

# Results from GERDA

**Kyoto June 6, 2012**

**Peter Grabmayr**  
**Eberhard Karls Universität Tübingen, Germany**

for the GERDA Collaboration

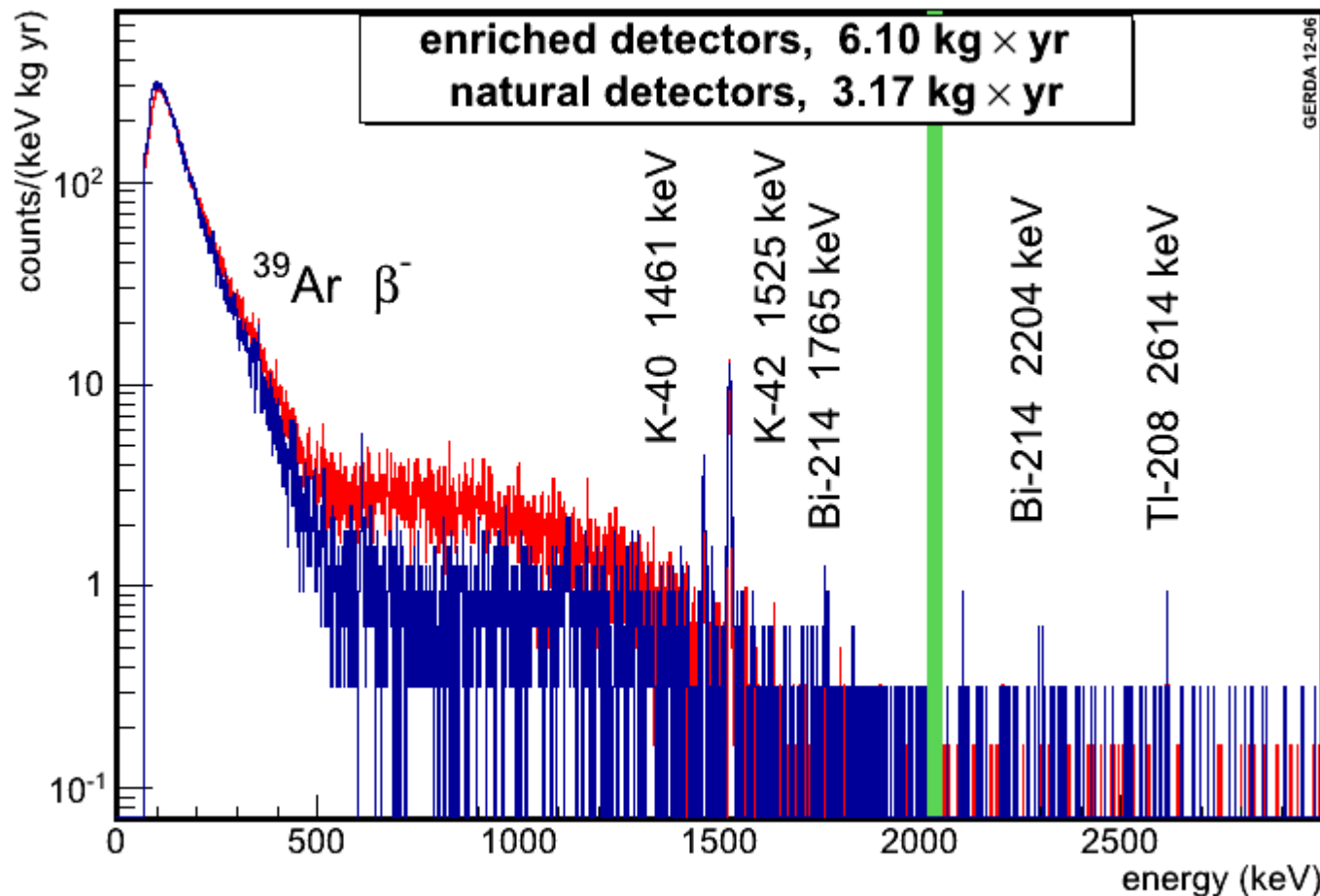


## the energy spectra in GERDA

difference in shapes for natural and enriched diodes ( 7 % vs. 86 %  $^{76}\text{Ge}$ )

low background, few  $\gamma$  lines  $\Rightarrow$  more precise  $T_{1/2}^{2\nu}$

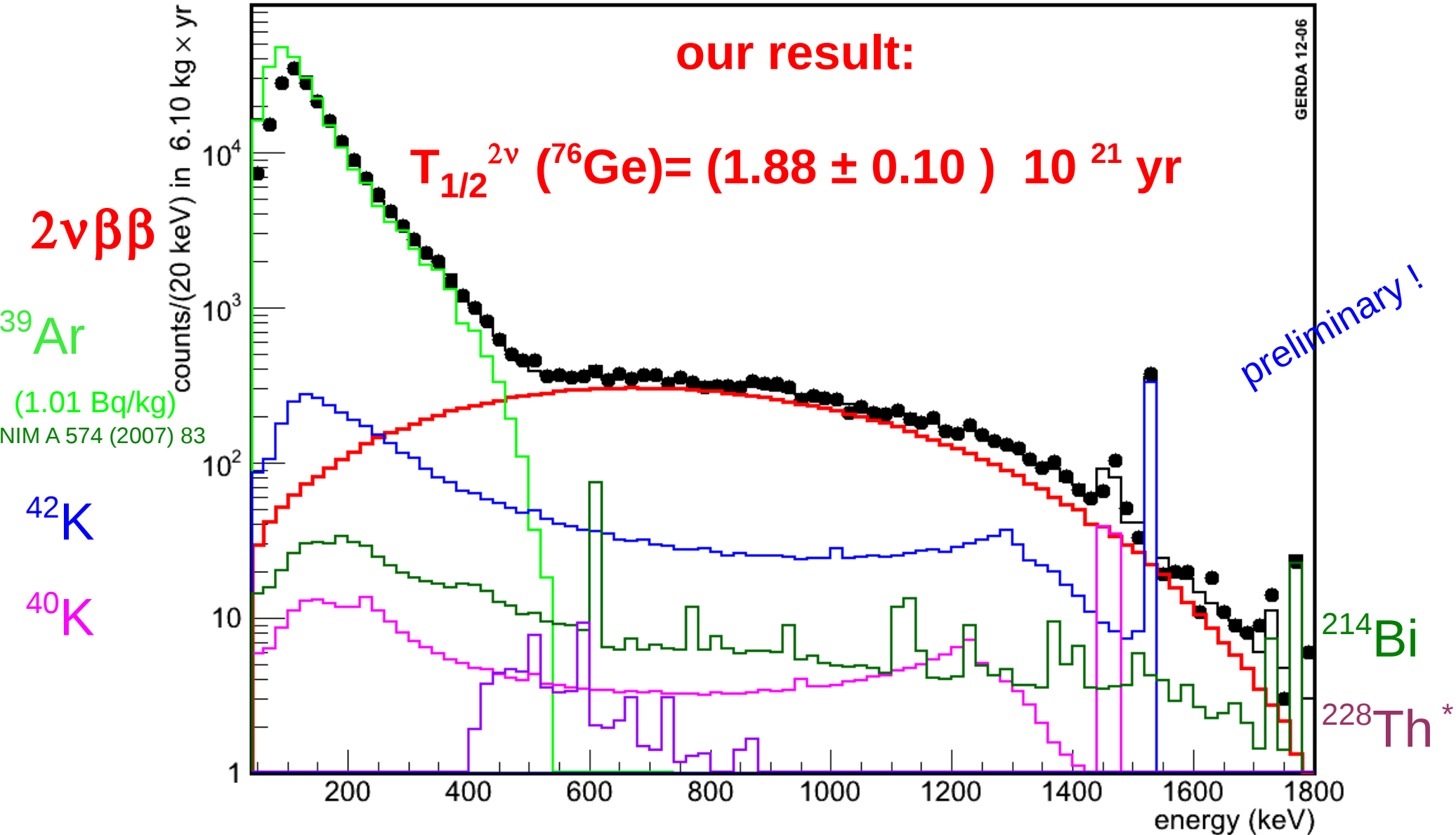
no PSA, blinding @  $Q_{\beta\beta} = 2039 \text{ keV}$





# summed electron energy spectrum in GERDA

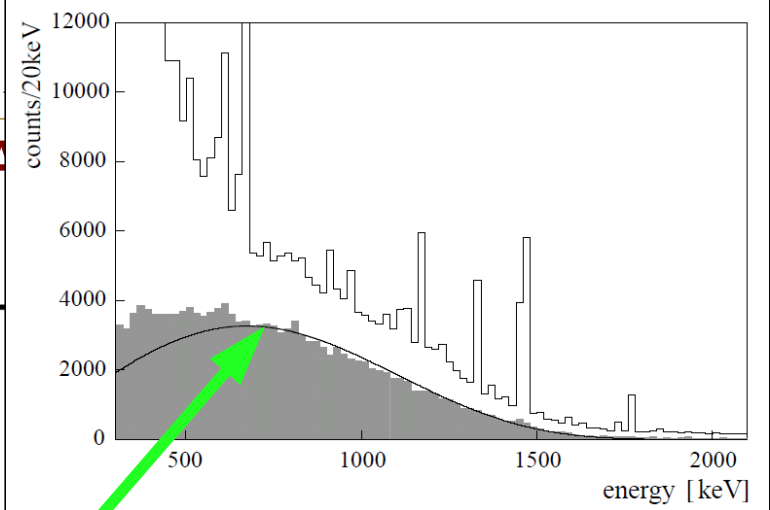
exposure : 6.1 kg yr





# summed electron energy spectrum in GERDA

exposure : 6.1 kg yr



our result:

$$T_{1/2}^{2\nu} ({}^{76}\text{Ge}) = (1.88 \pm 0.10) \cdot 10^{21} \text{ yr}$$

$2\nu\beta\beta$

${}^{39}\text{Ar}$

(1.01 Bq/kg)

NIM A 574 (2007) 83

${}^{42}\text{K}$

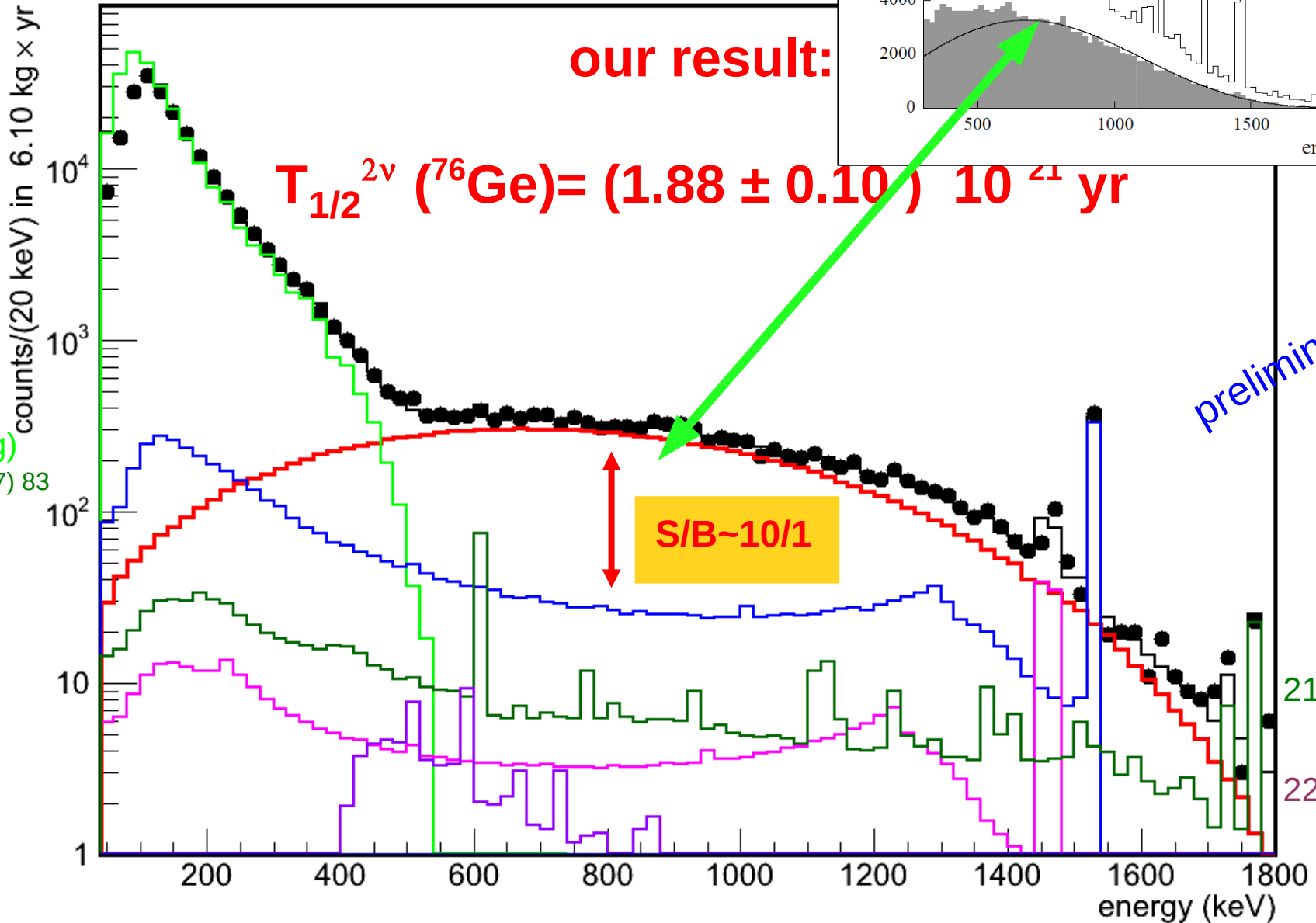
${}^{40}\text{K}$

preliminary!

S/B ~ 10/1

${}^{214}\text{Bi}$

${}^{228}\text{Th}^*$





# the search for the $0\nu\beta\beta$ decay in $^{76}\text{Ge}$

concept: diodes enriched in  $^{76}\text{Ge}$  on strings in liquid argon (LAr) @ LNGS

we learn from the summed electron spectrum:

blinding 2019 – 2059 keV

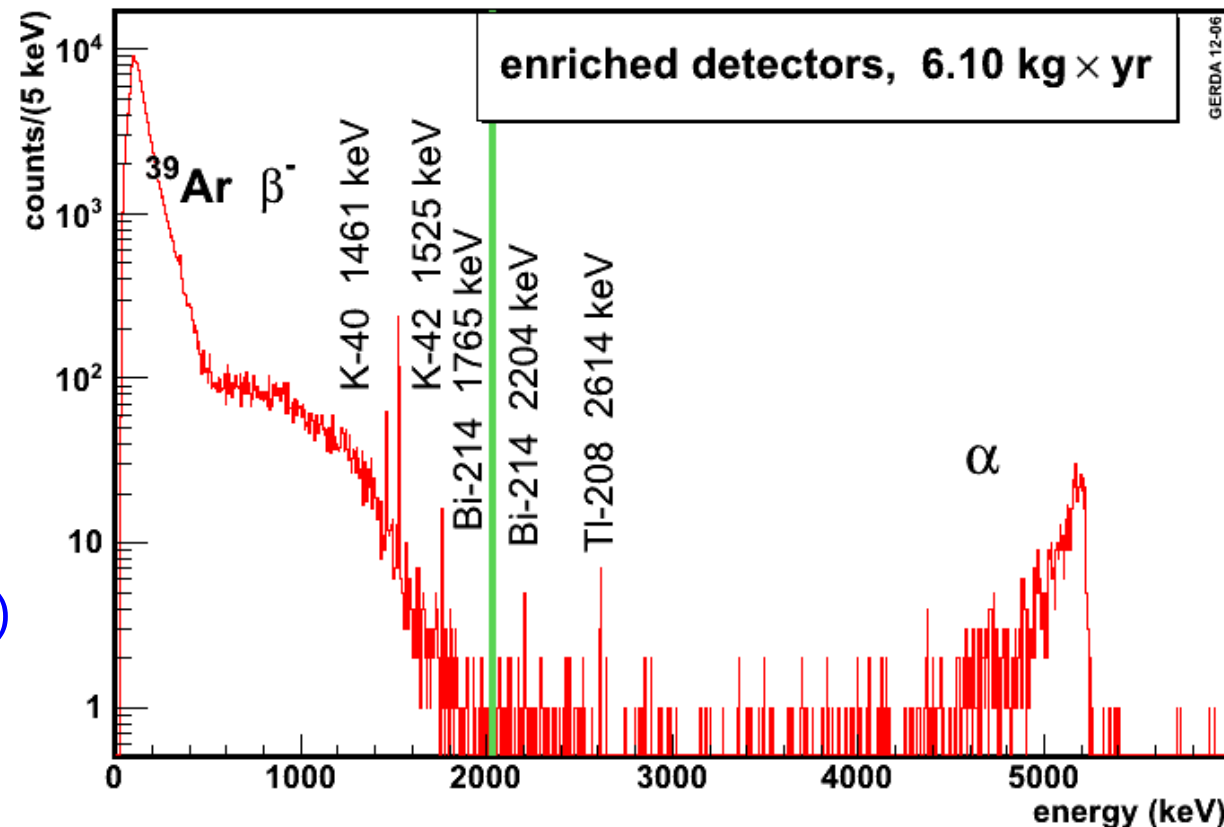
statistics: enriched 6.10 kg yr  
1.11.2011 – 21.5.2012

- ◆  $^{76}\text{Ge}$  active mass,  $T_{1/2}^{2\nu}$
- ◆ LAr:  $^{39}\text{Ar}$  and  $^{42}\text{Ar}$  ( $^{42}\text{K}$ )
- ◆ background:  $\gamma$ ,  $\alpha$ ,  $\mu$ ,  $n$
- ◆ systematics: linearity, stability (calibrations)

establish analysis procedures to be employed on  $0\nu\beta\beta$  data after unblinding

outline:

- 1) Phase I
- 2) Phase II ( starting early 2013)





# the GERDA Collaboration

~ 100 members  
19 institutions  
6 countries

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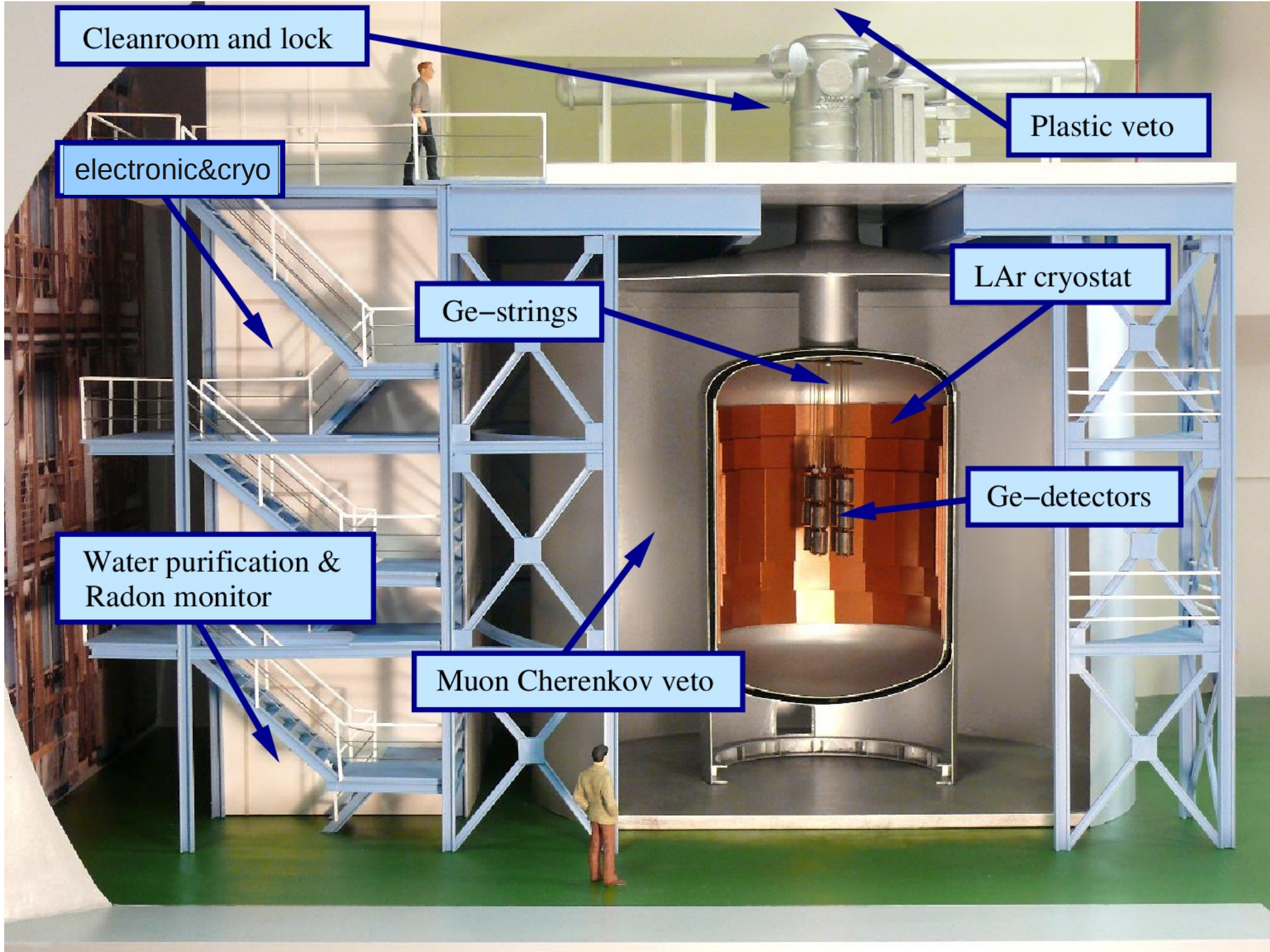
<sup>p</sup>) INFN Padova

<sup>q</sup>) Shanghai Jiaotong University

<sup>r</sup>) Physikalisches Institut, Eberhard Karls Universität Tübingen

<sup>s</sup>) Physik Institut der Universität Wien







construction  
2008 -- 2010



Hall A of LNGS

6.6.2012 Kyoto, Neutrino

inauguration Nov. 2011

Peter Grabmayr





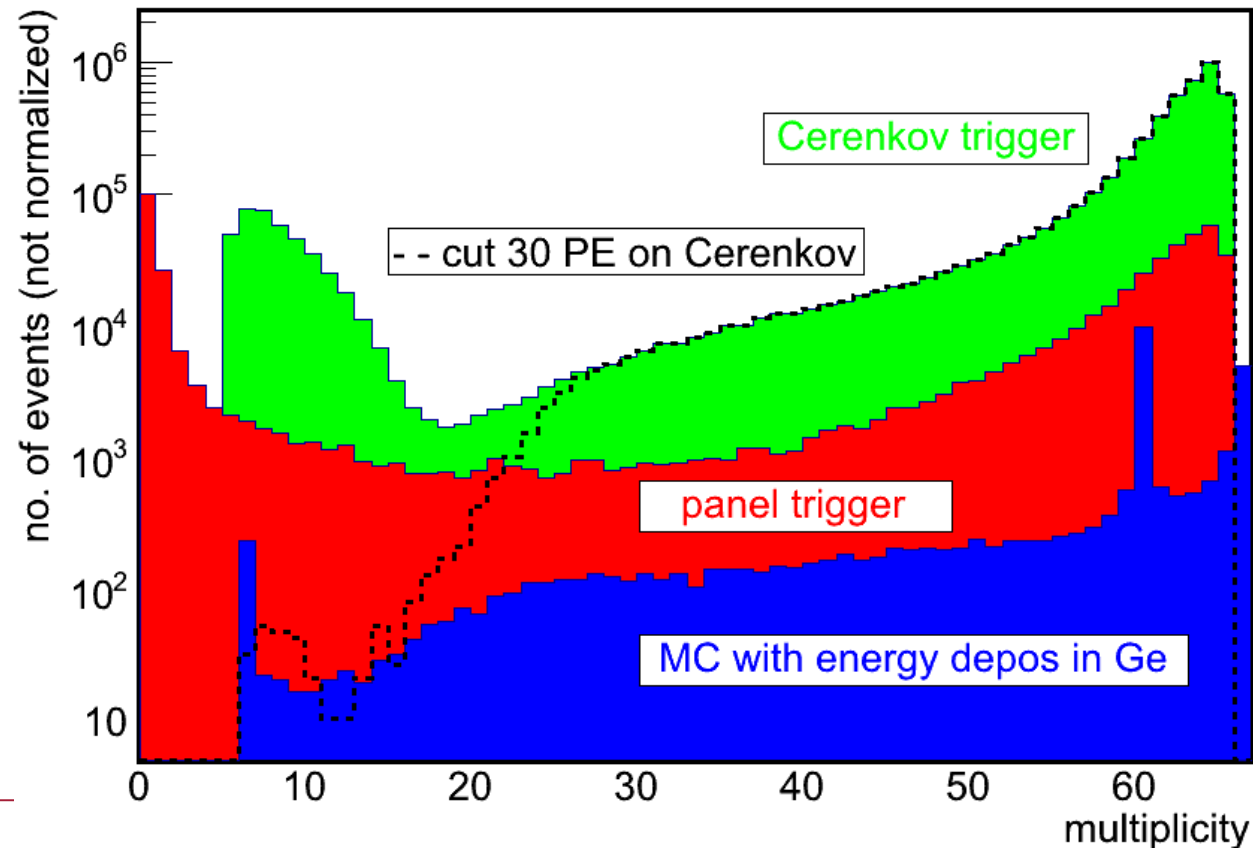
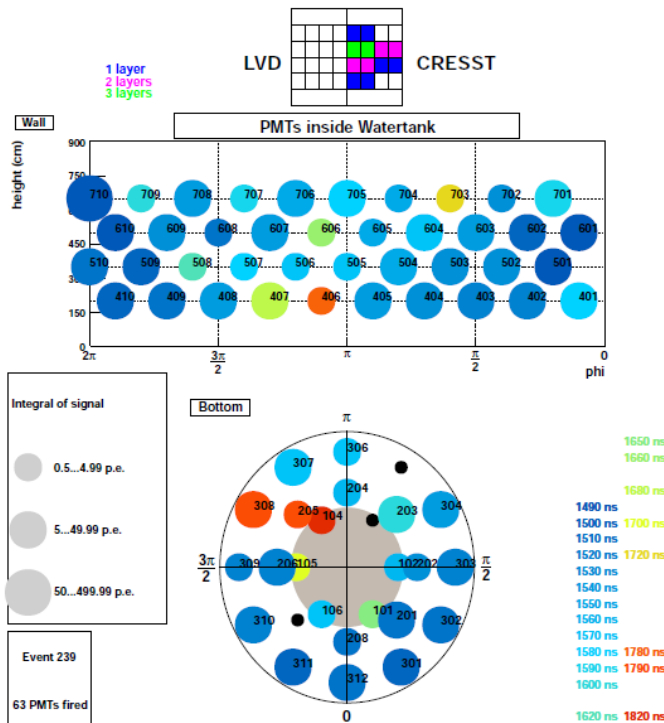
## the muon veto

66 PMT (8") Cerenkov in the water tank  
32 plastic panels with fibre/PMT readout

$\mu$  - Ge timing resolution < 70 ns (decay of cosmogenic isotopes)

overall detection efficiency:  $(97.2 \pm 0.3) \%$   $BI_{\mu} < 2 \cdot 10^{-4}$  cts/(keV kg yr)  
efficiency with energy depos. in Ge :  $(99.6 \pm 0.4) \%$

poster by K. Freund (58-1)





## installation of GERDA Phase I detectors



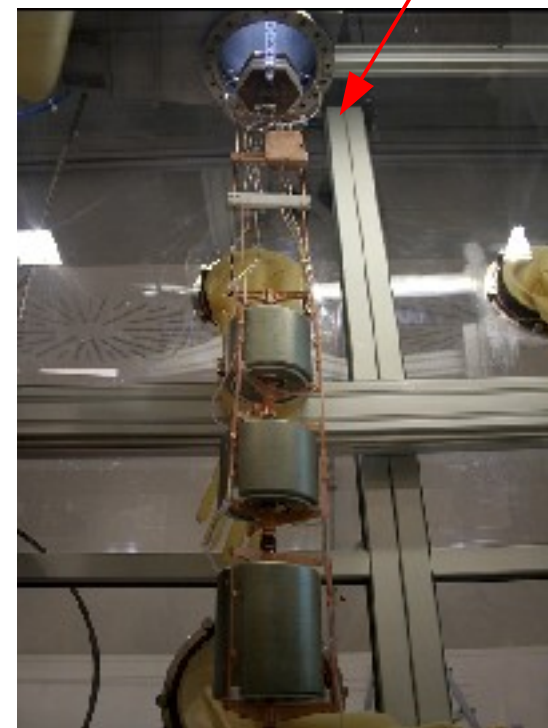
refurbished by Canberra



low mass holder

3 diodes arranged  
on 1 string,

inclusive cold FE

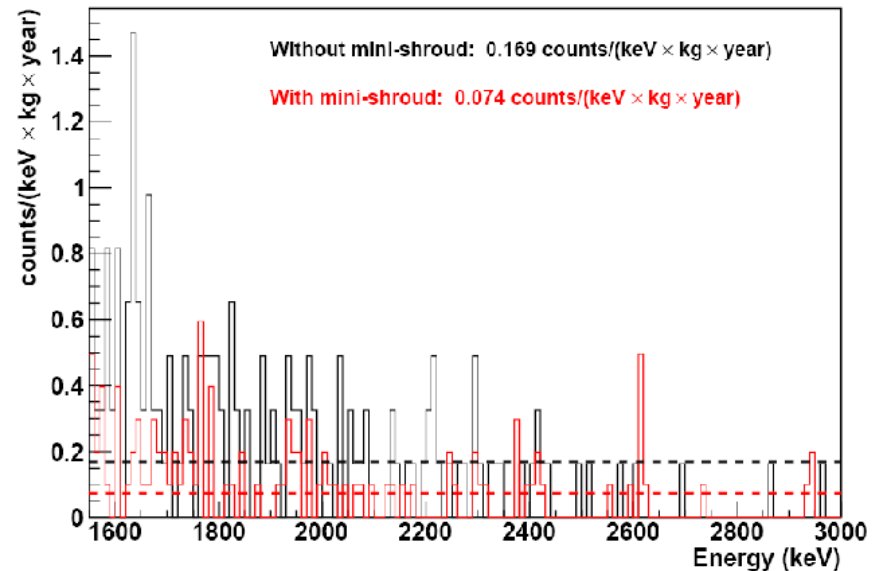
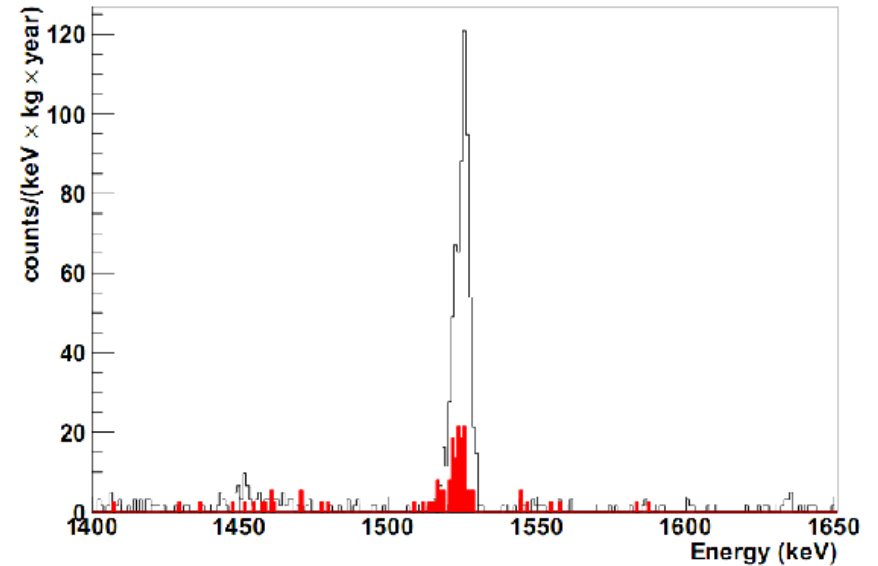
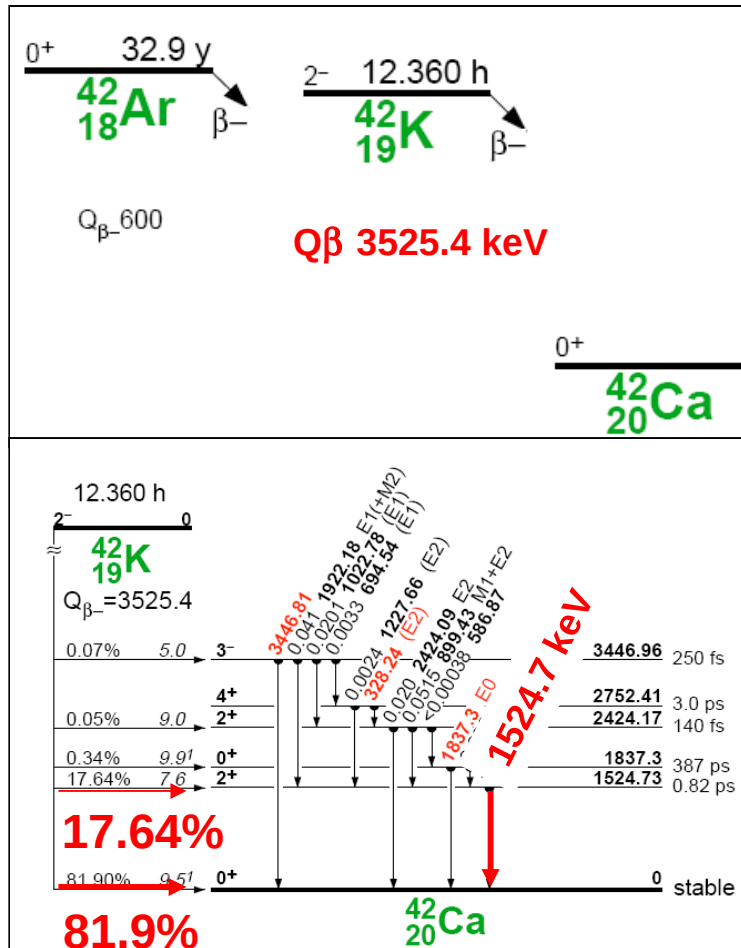




reason for mini-shroud:  $^{42}\text{K}$ , resp.  $^{42}\text{Ar}$

Barabash:  $^{42}\text{Ar} < 3 \cdot 10^{-21}$  g/g ; used for proposal  
< 41  $\mu\text{Bq/kg}$  90% CL

however: collection of ions through E-field from HV

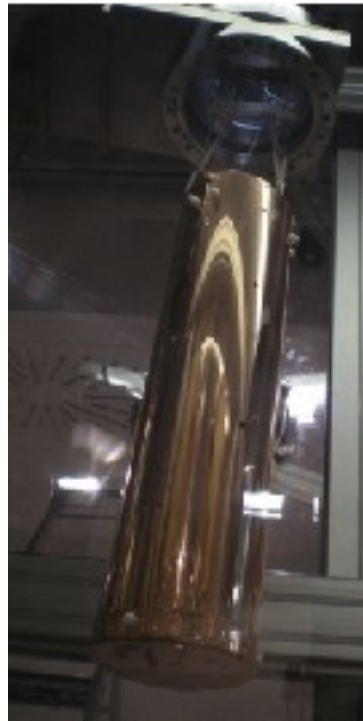
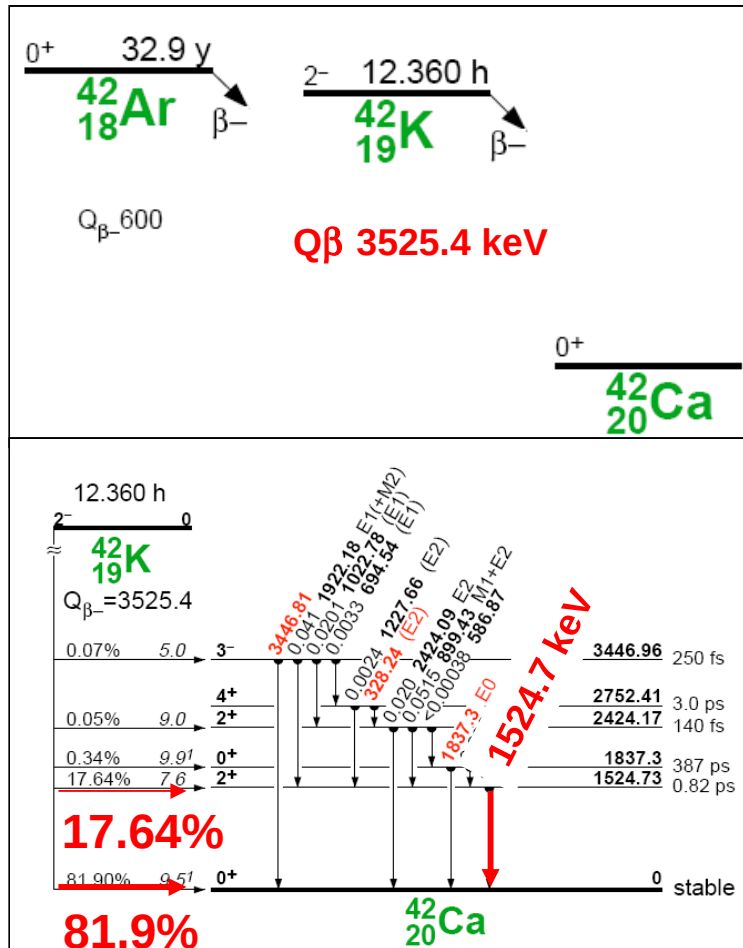




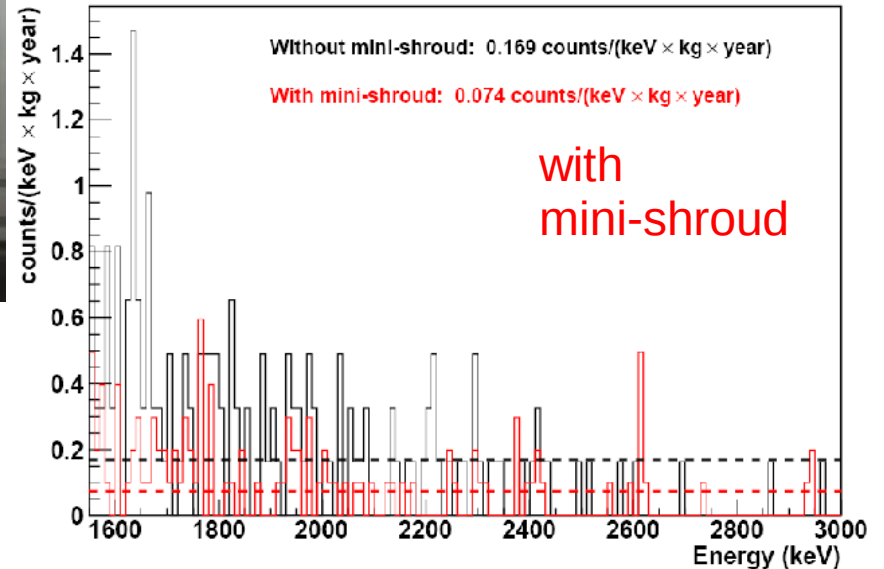
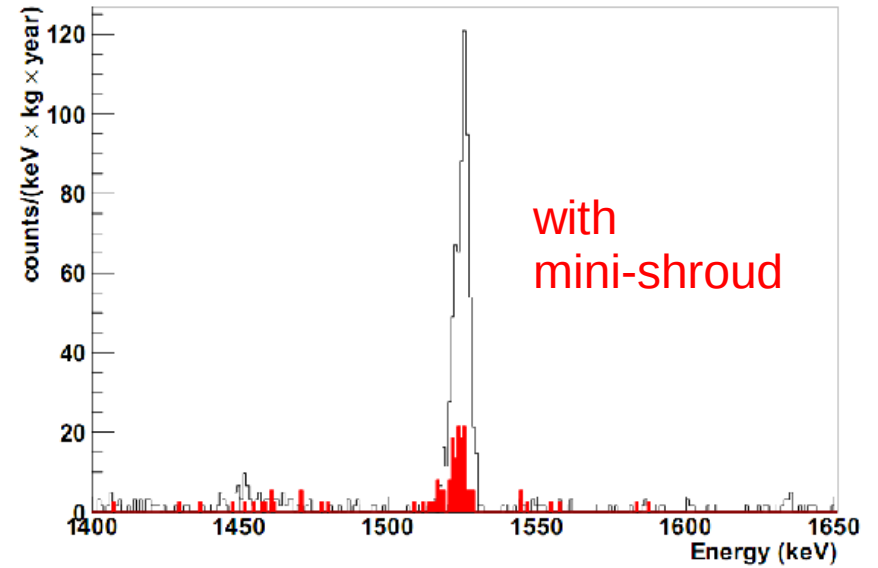
reason for mini-shroud:  $^{42}\text{K}$ , resp.  $^{42}\text{Ar}$

Barabash:  $^{42}\text{Ar} < 3 \cdot 10^{-21}$  g/g ; used for proposal  
< 41  $\mu\text{Bq/kg}$  90% CL

however: collection of ions through E-field from HV



thickness:  
60  $\mu\text{m}$  Cu





# determination of $^{42}\text{Ar}$ concentration

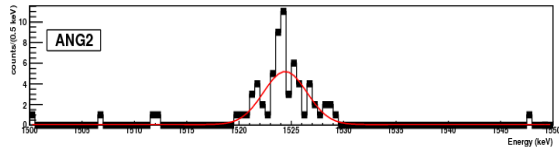
previously:  $< 41 \mu\text{Bq/kg}$  90% CL

## GERDA:

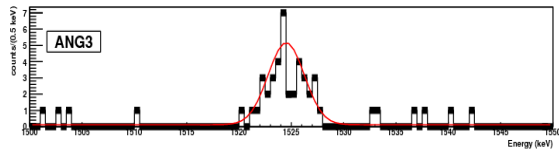
Measurement in best 'E-field free' configuration & comparison MC

## LArGe:

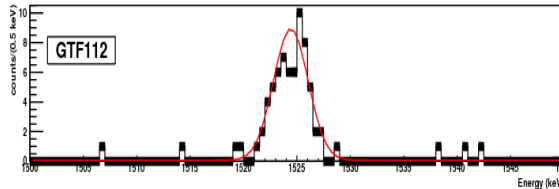
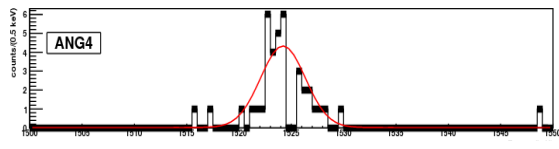
LAr spiked with known amount of  $^{42}\text{Ar}$  & measurements at different HV



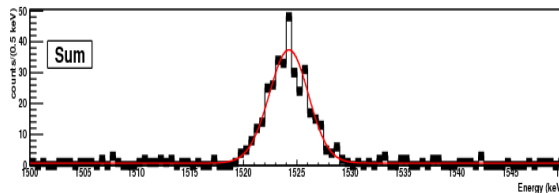
1525 keV



enriched



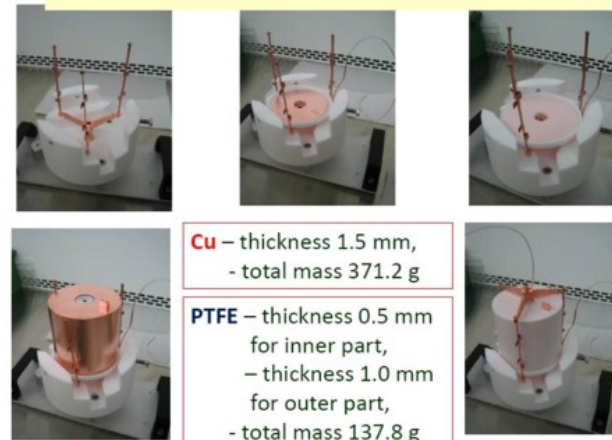
natural



sum

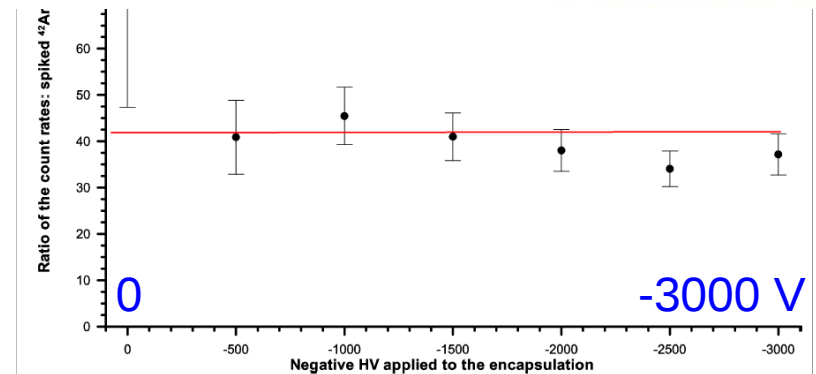
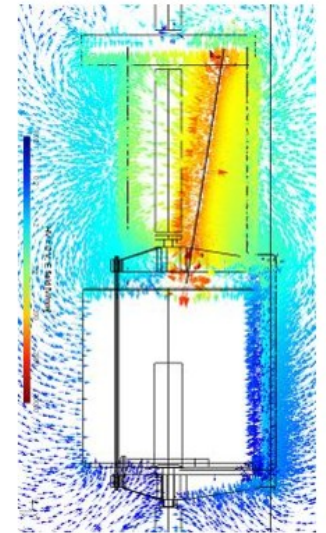
$$A_{\text{spec}} = (92.8 \pm 5.2 \pm 4.5) \mu\text{Bq/kg}$$

Encapsulated GTF44 (2.465 kg)



Cu – thickness 1.5 mm,  
– total mass 371.2 g

PTFE – thickness 0.5 mm  
for inner part,  
– thickness 1.0 mm  
for outer part,  
– total mass 137.8 g



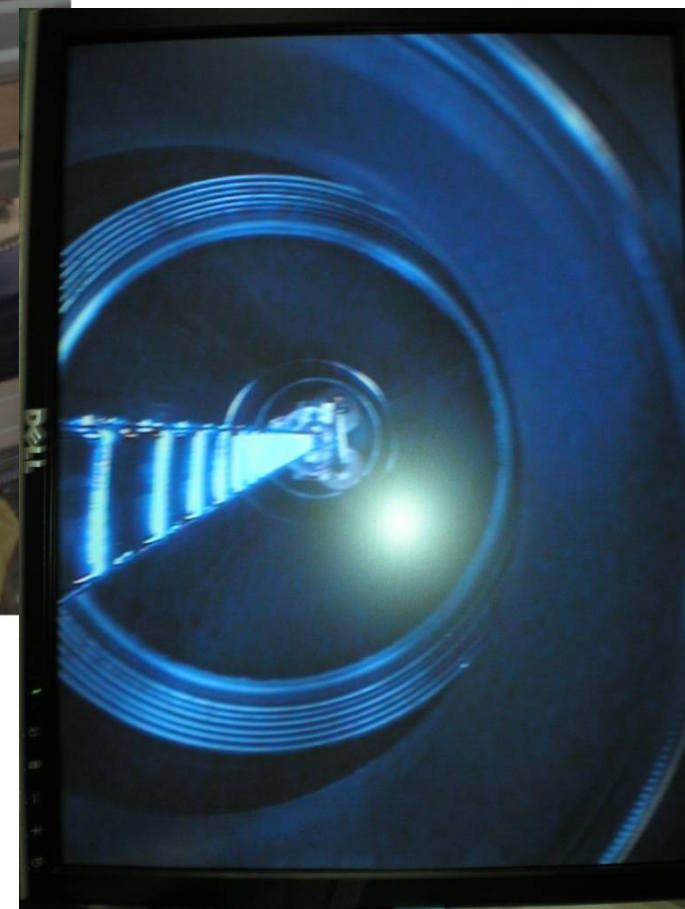
$$A_{\text{ratio}} = (94.5 \pm 4.7 \pm 17.5) \mu\text{Bq/kg}$$

our measurement:  $A = (93.0 \pm 6.4) \mu\text{Bq/kg}$  stat.+syst.



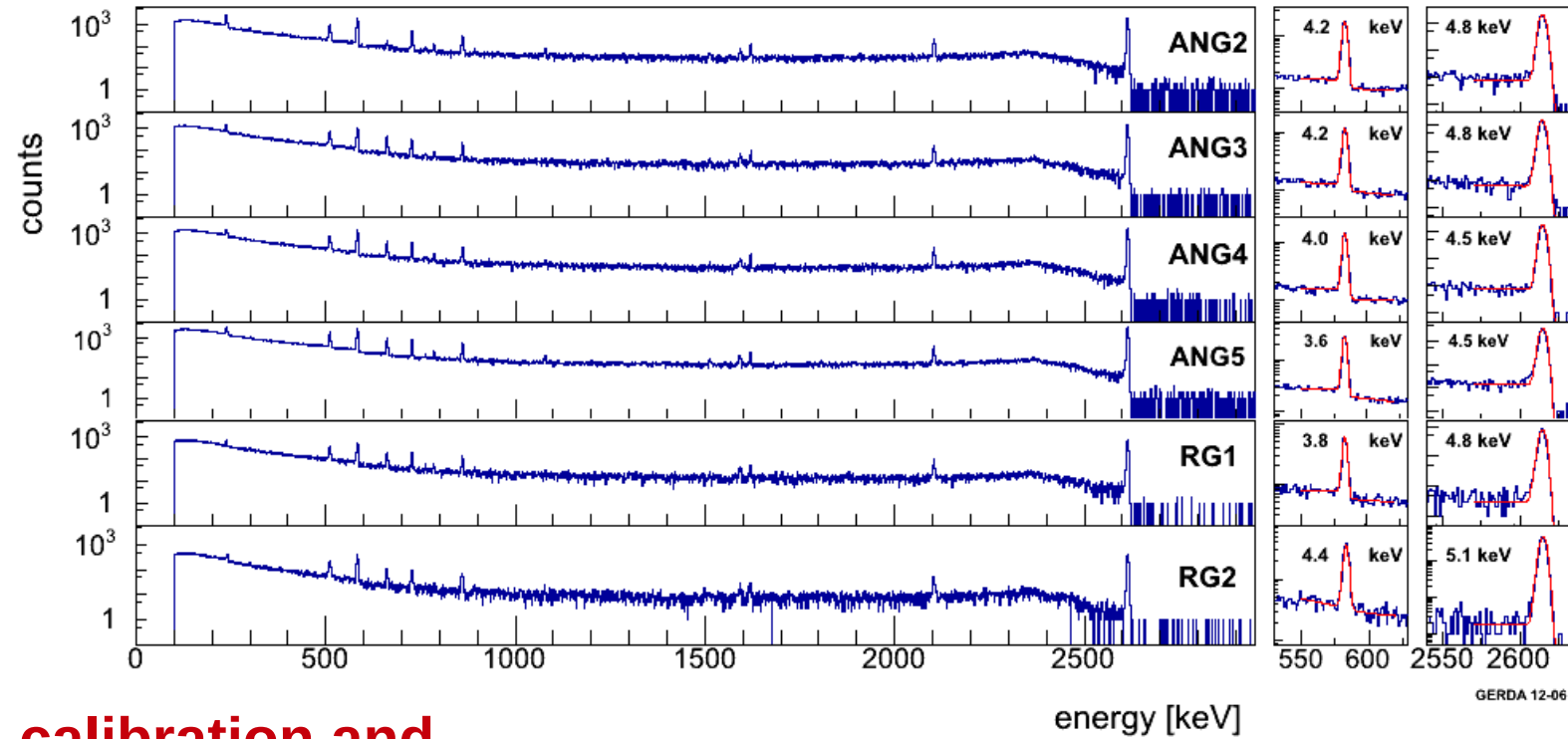
inserted of 1 & 3 string arm:

total of 8 enriched + 3 natural diodes in October 2011



2 enriched detectors had problems from the very beginning, removed from physics analysis:

6 enriched detectors with 14.6 kg total mass  
3 natural detectors with 7.6 kg total mass



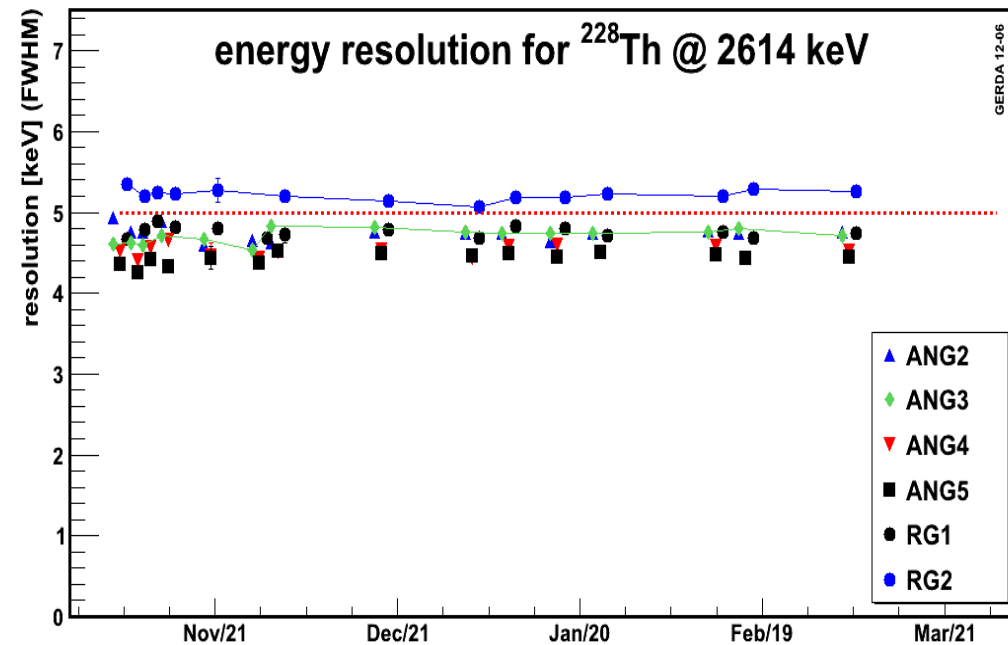
GERDA 12-06

# calibration and long term stability

4.5 to 5.1 keV (FWHM) at 2614 keV

@  $Q_{\beta\beta} = 2039$  keV:

4.5 keV resolution (FWHM)  
mass weighted average

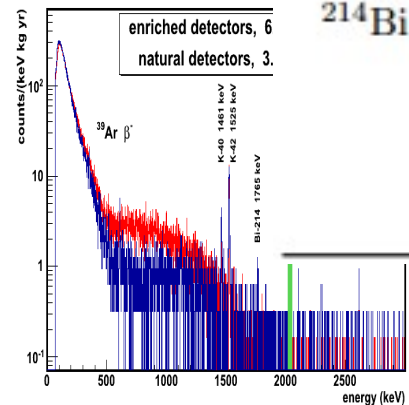


GERDA 12-06



# The GERDA background intensities of $\gamma$ lines are sizeably reduced compared to HdM

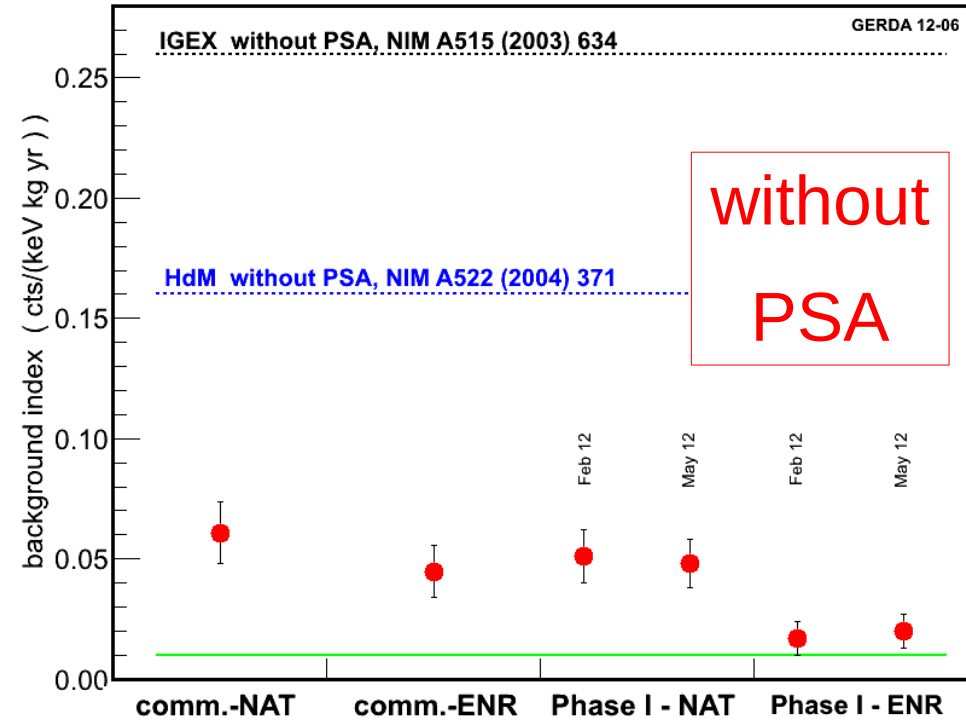
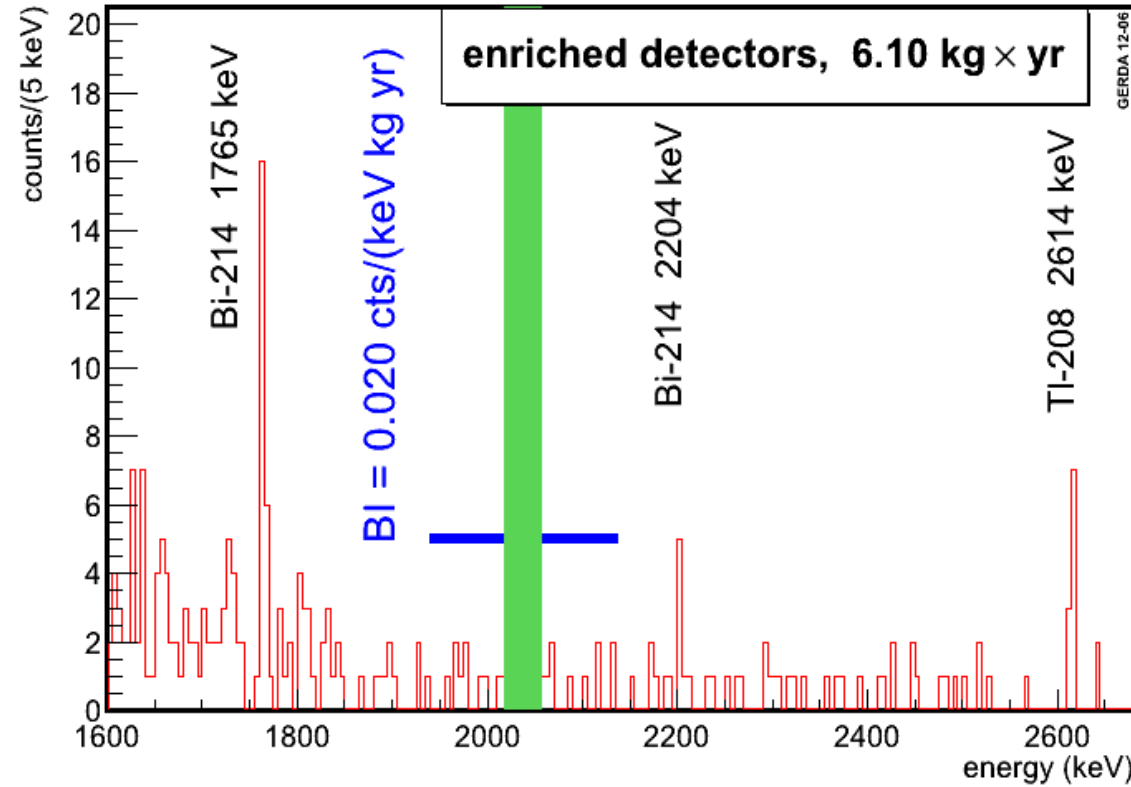
isotope	energy [keV]	<i>nat</i> Ge-dets (3.2 kg·y)		<i>enr</i> Ge-dets (6.1 kg·y)		HdM	ratio HdM/enr
		tot/bck [cnt]	rate [cnt/(kg·y)]	tot/bck [cnt]	rate [cnt/(kg·y)]	rate [cnt/(kg·y)]	
<sup>40</sup> K	1460.8	85 / 15	21.7 <sup>+3.9</sup> <sub>-3.1</sub>	125 / 42	13.5 <sup>+2.5</sup> <sub>-2.2</sub>	181 ± 2	13
<sup>60</sup> Co	1173.2	43 / 38	< 5.8	182 / 152	5.1 <sup>+3.1</sup> <sub>-3.1</sub>	55 ± 1	11
	1332.3	31 / 33	< 3.8	93 / 101	< 3.1	51 ± 1	
<sup>137</sup> Cs	661.6	46 / 62	< 3.2	335 / 348	< 5.9	282 ± 2	> 47
<sup>228</sup> Ac	910.8	54 / 38	5.0 <sup>+3.0</sup> <sub>-3.0</sub>	294 / 303	< 11.1	29.8 ± 1.6	
	968.9	64 / 42	6.7 <sup>+3.8</sup> <sub>-3.1</sub>	247 / 230	< 15.2	17.6 ± 1.1	
<sup>208</sup> Tl	583.1	56 / 51	< 6.5	333 / 327	< 7.6	36 ± 3	
	2614.5	9 / 2	2.1 <sup>+1.2</sup> <sub>-1.0</sub>	10 / 0	1.5 <sup>+0.7</sup> <sub>-0.5</sub>	16.5 ± 0.5	11
<sup>214</sup> Pb	352	740 / 630	34.6 <sup>+15.2</sup> <sub>-12.4</sub>	1770 / 1688	13.2 <sup>+11.5</sup> <sub>-7.9</sub>	138.7 ± 4.8	11
<sup>214</sup> Bi	609.3	99 / 51	14.8 <sup>+4.9</sup> <sub>-3.5</sub>	351 / 311	6.2 <sup>+4.7</sup> <sub>-4.0</sub>	105 ± 1	
	1120.3	71 / 44	8.4 <sup>+3.8</sup> <sub>-3.4</sub>	194 / 186	< 6.1	26.9 ± 1.2	
	1764.5	23 / 5	5.5 <sup>+2.0</sup> <sub>-1.6</sub>	24 / 1	3.6 <sup>+0.9</sup> <sub>-0.9</sub>	30.7 ± 0.7	~ 10
	2204.2	5 / 2	0.8 <sup>+0.9</sup> <sub>-0.7</sub>	6 / 3	0.4 <sup>+0.4</sup> <sub>-0.4</sub>	8.1 ± 0.5	







# The GERDA background index (BI)



window:  $200 - 40 = 160$  keV

**BI =  $0.020 + 0.006 - 0.004$  cts/ ( keV kg yr ) [68% coverage]**

**duty factor: usually 95% ;**

one run not used for physics analysis because of temperature instabilities (overall duty cycle 80%)



# The $T_{1/2}^{2\nu}$ preliminary values from fit to data from 6 enriched diodes

binned max. likelihood approach:

free parameters: ( active mass, enrichment, detect. eff.,  $^{40,42}\text{K}$ ,  $^{214}\text{Bi}$ ,  $^{228}\text{Th}^*$  )<sub>6det</sub>,  $T_{1/2}^{2\nu}$   
 600 – 1800 keV range \* normalized

authors	data	half live $T_{1/2}^{2\nu\beta\beta}$ [10 <sup>21</sup> yr]	
IGEX collaboration [1]	IGEX	1.45 ± 0.20	
HDM collaboration [2]	HDM	1.55 ± 0.01(stat) <sup>+0.19</sup> / <sub>-0.15</sub> (syst)	
C. Dörr and H.V. Klapdor-Kleingrothaus [3]	HDM	1.74 ± 0.01(stat) <sup>+0.18</sup> / <sub>-0.16</sub> (syst)	
A.M. Bakalyarov <i>et al.</i> [4]	HDM	1.78 ± 0.01(stat) <sup>+0.07</sup> / <sub>-0.09</sub> (syst)	
A.S. Barabash, compilation [5]	weighted average	1.50 ± 0.10	
GERDA with $\sim$ 1/10 statistics of HDM	run 25-30	<b>1.88 ± 0.10</b>	<b>stat+syst</b> added in quadrature preliminary

[1] A. Morales, Nucl. Phys. B. Proc. Suppl. **77** (1999) 335

J. Morales and A. Morales, Nucl. Phys. B Proc. Suppl. **114**

[2] H.V. Klapdor-Kleingrothaus *et al.*, Eur. Phys. J. A **12** (2001) 147, (2003) 141

[3] C. Dörr and H.V. Klapdor-Kleingrothaus, Nucl. Inst. Meth. A **513** (2003) 596

[4] A.M. Bakalyarov *et al.*, Phys. Part. Nucl. Lett. **2** (2005) 77

[5] A.S. Barabash, Phys. Rev. C, **81** (2010) 035501



## The future

⇒ June/July: deploy 5 Phase II enriched BEGe in 1 string arm  
14,6 kg Phase I + 3,5 kg Phase II  
to improve exposure ⇨⇨⇨

⇒ prepare Phase II

crystal pulling completed  
9 crystals pulled

26+ enr. diodes ( 20+ kg )

7 tested 1.7 keV (FWHM) @ 1.3MeV

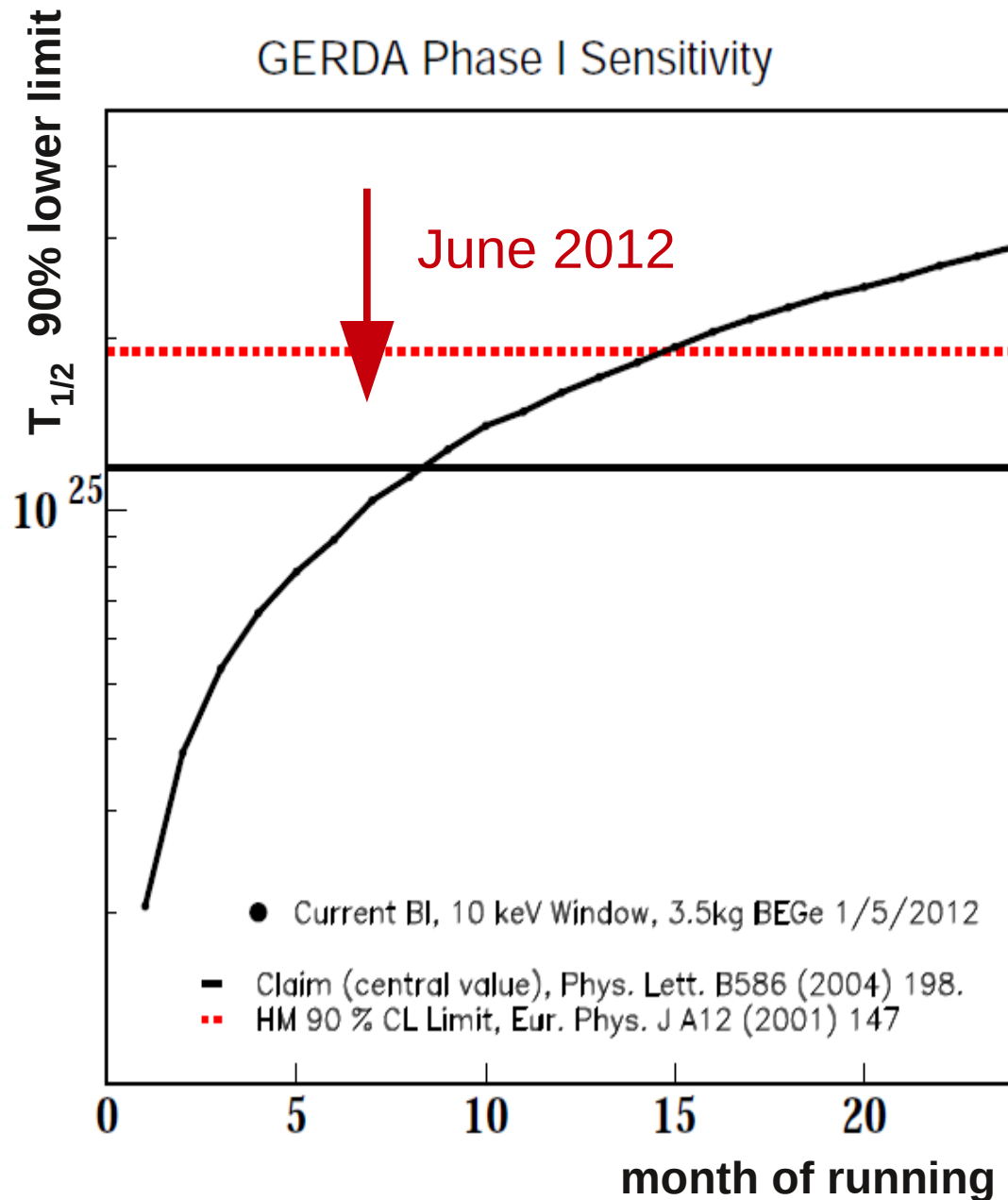
⇒ goal:  $BI = 10^{-3}$  cts/ ( keV kg yr )

1) thickwindow BEGe with advanced PSA performance

2) detect LAr scintillation light as active veto

posters by D. Budjas (60-3)

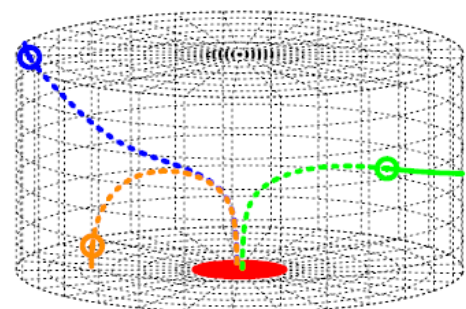
M. Heisel (59-2)





## Phase II : new BEGe ( thick window ) detectors

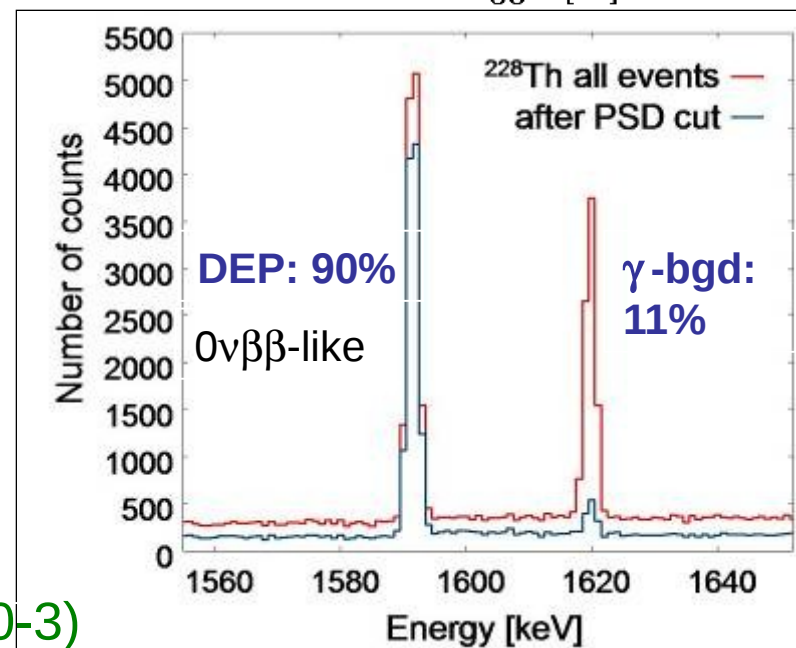
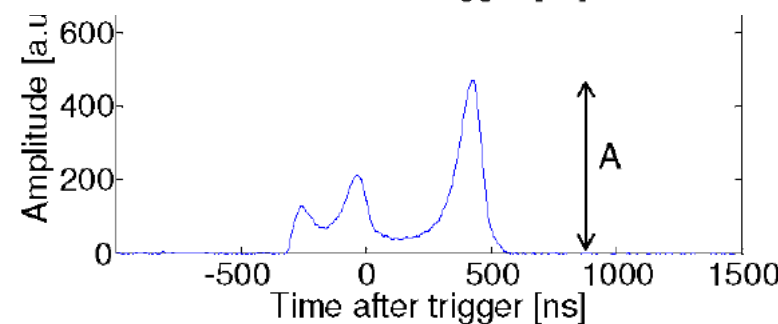
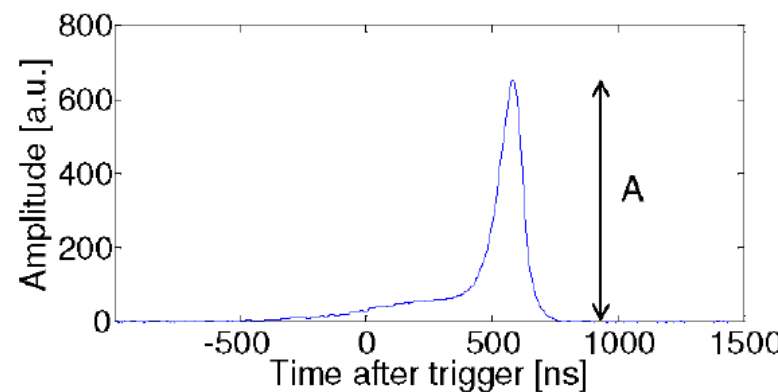
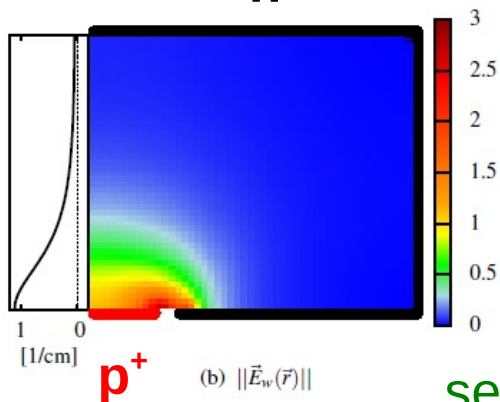
- ..... anode
- cathode
- electrons
- - - holes
- ⊙ interaction point



Signal shape provides clear topology for event-by-event signal ID / bgd discrimination:

- **SSE/MSE** discrimination
- **Surface** events:
  - $n^+$  slow pulses
  - $p^+$ : 'amplified' current pulses

$n^+$

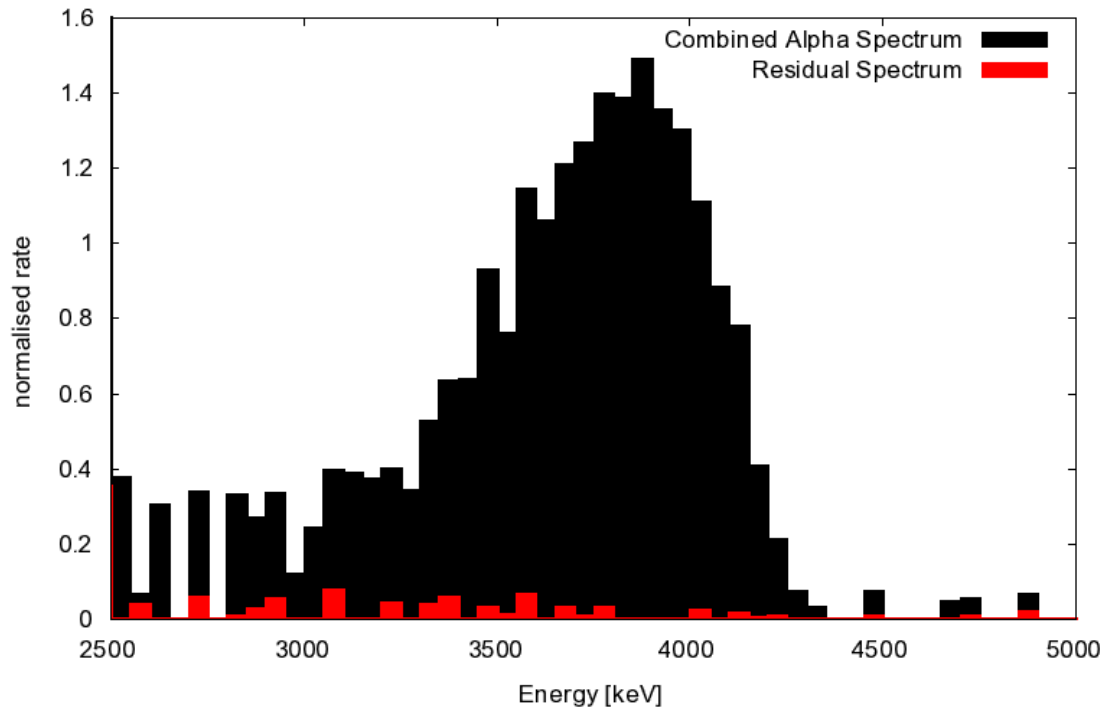


see poster by D. Budjas (60-3)



## suppression of surface events

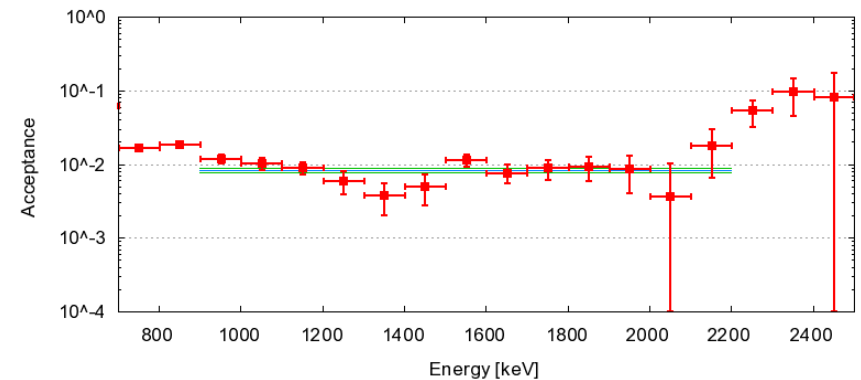
$p^+$  contact pulses measured  
with a  $^{241}\text{Am}$   $\alpha$  source



$p^+$  contact pulses cut tuned to 90%  
survival of  $0\nu\beta\beta$ -like event

see poster by D. Budjas (60-3)

$n^+$  surface events measured with  
 $^{90}\text{Sr}$  and  $^{106}\text{Ru}$   $\beta$  sources



$\beta$   $n^+$  surface event PSD rejection power  
demonstrated stable in region 1 - 2 MeV

MC cut set to 20% survival of  $\gamma$ -like events  
and 0.1% survival of  $\beta$ -like events

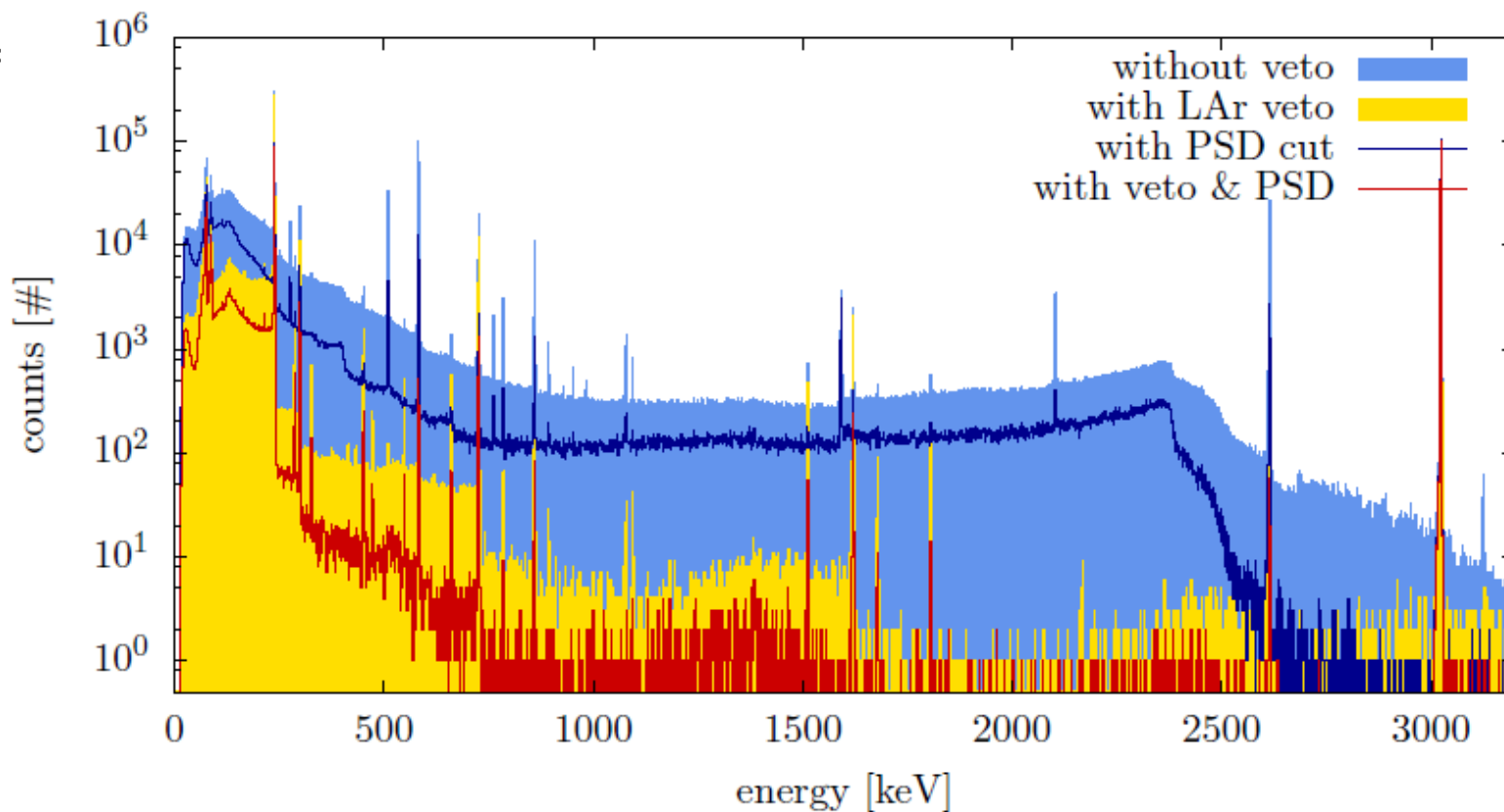
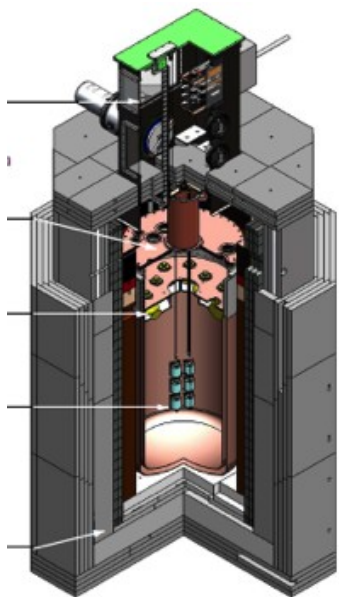
good quantitative agreement of simulated  
suppression with measurement



## LAr instrumentation R&D

### LArGe test facility

PMT read out of  
LAr scintillation



Operation of Phase II detector prototype in LArGe:

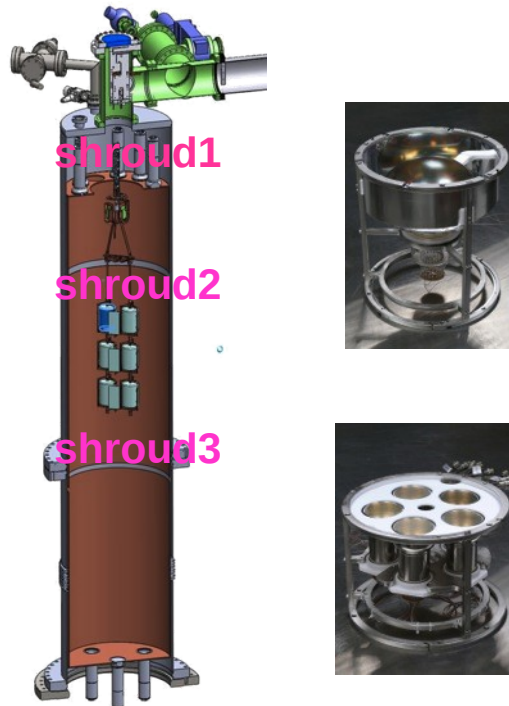
**Measured** suppression factor at  $Q_{\beta\beta}$ :  $\sim 0.5 \cdot 10^4$  for a  $^{228}\text{Th}$  calibration source

Also: successful read out scintillation light with fibers coupled to SiPMs

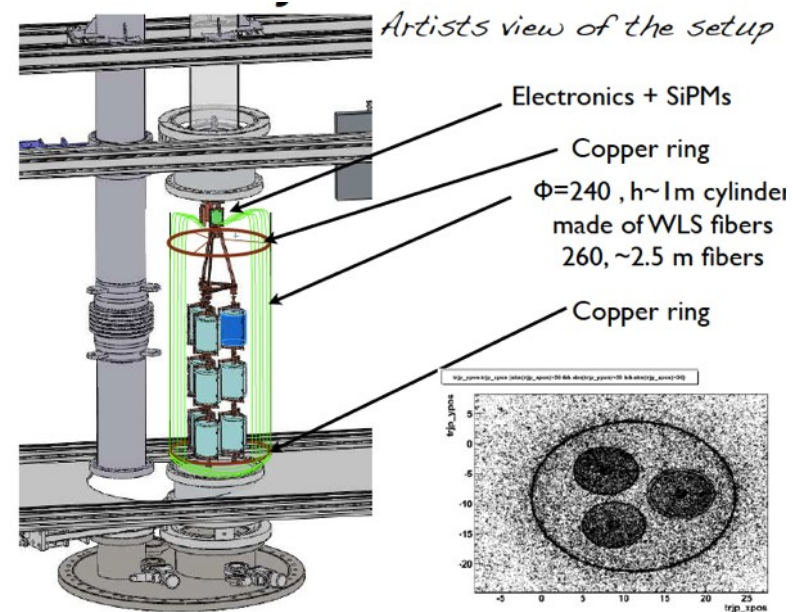


## LAr instrumentation

### PMT read out



### fibre read out



- 3rd option: R&D on large area avalanche photodiodes or UV sensitive SiPMs on custom low activity substrates has started
- MC campaign to compare competing options ongoing
- Hardware for PMT and fiber options available & prototype/test setup construction started

see poster by M. Heisel (59-2)



## summary

GERDA : searching for the  $0\nu\beta\beta$  decay in  $^{76}\text{Ge}$

concept works : diodes enriched in  $^{76}\text{Ge}$  on strings in liquid argon (LAr) @ LNGS

- ◆ GERDA is running and taking data
- ◆ statistics: 1.11.2011 – 21.5.2012 (  $^{\text{enr}}\text{Ge}$  exposure 6.10 kg yr )
- ◆ systematics: blinding 2019 – 2059 keV
- ◆ background index (BI) :  $0.020 \pm 0.006 \pm 0.004$  cts/( keV kg yr ) [68% coverage]
- ◆ LAr:  $^{42}\text{Ar}$  ( $^{42}\text{K}$ ) activity determined:  $(93.0 \pm 6.4) \mu\text{Bq/kg}$
- ◆  $^{76}\text{Ge}$   $T_{1/2}^{2\nu} = (1.88 \pm 0.10) 10^{21}$  yr  
all results are preliminary !!!
- ◆ preparations for Phase II progressing well:  
increase in mass by add.  $\sim 20\text{kg}$  (26+ BEGe) & BI =  $10^{-3}$  cts/( keV kg yr )  
9 crystals pulled – milestone completed successfully !!

**complete Phase I and start Phase II in early 2013**