

8-15 November 2008



# GERDA: Study of Neutrinoless Double Beta Decay of Ge-76

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## **OUTLINE**

**Double Beta Decay**

**Motivation of GERDA**

**Principles of GERDA detector**

**Present status**

**Summary**

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# Neutrino oscillation experiments: neutrinos have a non-vanishing rest mass!

- **Questions:**

- Nature of neutrino mass (**Dirac or Majorana**) ?
- Mass hierarchy (**normal, inverse, quasi-degenerate**) ?
- Mass scale: a value or an upper limit on  **$m_1$** ?

This information can be obtained from  **$0\nu 2\beta$ -decay** experiments (through measurement of the effective Majorana neutrino mass  $m_{ee}$ )

$$\frac{1}{\sqrt{T_{1/2}^{0\nu}}} \approx \langle m_{ee} \rangle = \left| \sum_{j=1,2,3} |U_{ej}|^2 e^{i\Phi_j} m_j \right|$$

$U_{ej}$  – PMNS unitary neutrino matrix,  $\Phi_j$  - CP – phases

(\*) if a mass mechanism of the  $0\nu 2\beta$ -process is dominating



# Sensitivity of DBD experiment

$$T_{1/2}^{0\nu} \geq 4.17 \times 10^{26} \cdot \varepsilon \cdot (a/A) \cdot \sqrt{(M t/B R)}$$

$$m_\nu \sim 1 / \sqrt{T_{1/2}^{0\nu}}$$

B - background index in cts/(keV kg y)

R - FWHM energy resolution at  $Q_{\beta\beta}$  in keV

A - mass number

$\varepsilon$  - detection efficiency at  $Q_{\beta\beta}$

! “Detector = source”

a -  $\beta\beta$  isotope fraction

! Enrichment  $\rightarrow$  100%

M - mass of detector in kg

T - measurement time in years



# Ge diodes as a tool for $^{76}\text{Ge}$ DBD search

- Detector = Source



$\varepsilon \sim 95\%$  efficiency

- Energy resolution  $R \sim$  a few keV (3 – 5 keV)



No  $2\nu\beta\beta$  background from  $^{76}\text{Ge}$

- Technology of the production  $\rightarrow$  High purity



No  $^{238}\text{U}$ -,  $^{232}\text{Th}$ - intrinsic background

- Big scale production of  $^{76}\text{Ge}$  isotope: centrifuges



# History of DBD search with Ge diodes

- Fiorini E. et al., 1967:  
first  $^{nat}\text{Ge}$  experiment ( $T_{20v} > 3,1 \cdot 10^{20}$  yr).  
 $^{nat}\text{Ge}(\text{Li})$ :  $m = 0.09$  kg,  $R = 4,7$  keV at 1,32 MeV,  
1973, ( $T_{20v} > 5 \cdot 10^{21}$  yr).  
 $^{nat}\text{Ge}(\text{Li})$ :  $m = 0.36$  kg,  $R = 6$  keV,  $\text{BI} = 38 \text{ keV}^{-1} \cdot \text{kg}^{-1} \cdot \text{yr}^{-1}$
- Caldwell D.O. et al., 1986:  
 $^{nat}\text{Ge}$  diodes ( $T_{20v} > 2,5 \cdot 10^{23}$  yr)  
 $m = 3,5$  kg,  $R = 3.7$  keV,  $\text{BI} = 0.35 \text{ keV}^{-1} \cdot \text{kg}^{-1} \cdot \text{yr}^{-1}$  (with NaI)
- ITEP group (Kirpichnikov et al.) 1987- 89:  
first  $^{76}\text{Ge}$  experiment ( $T_{20v} > 2 \cdot 10^{24}$  yr )  
two  $^{76}\text{Ge}(\text{Li})$  crystals ( $m = 1.14$  kg),  $R = 3.7$  keV,  
 $\text{BI} = 2.6 \text{ keV}^{-1} \cdot \text{kg}^{-1} \cdot \text{yr}^{-1}$  (with NaI)

\*) For references see at the end of the presentation



# HD and IGEX $^{76}\text{Ge}$ $0\nu\beta\beta$ experiments

## IGEX experiment:

C. Aalseth et al., *Phys. Rev. D* 65, 092007

$$T_{1/2} > 1.6 \cdot 10^{25} \text{ y (90\% C.L.)}$$

8.5 kg\*yr, BI  $\sim$  0.1 cts/(keV·kg·yr) with PSA

## Heidelberg-Moscow experiment:

$$T_{1/2} > 1.9 \cdot 10^{25} \text{ y (90\% C.L.)}$$

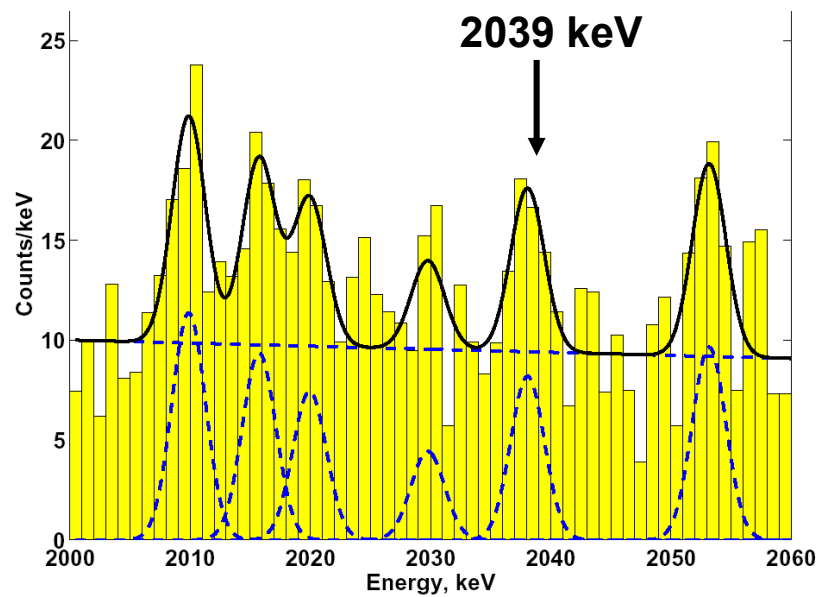
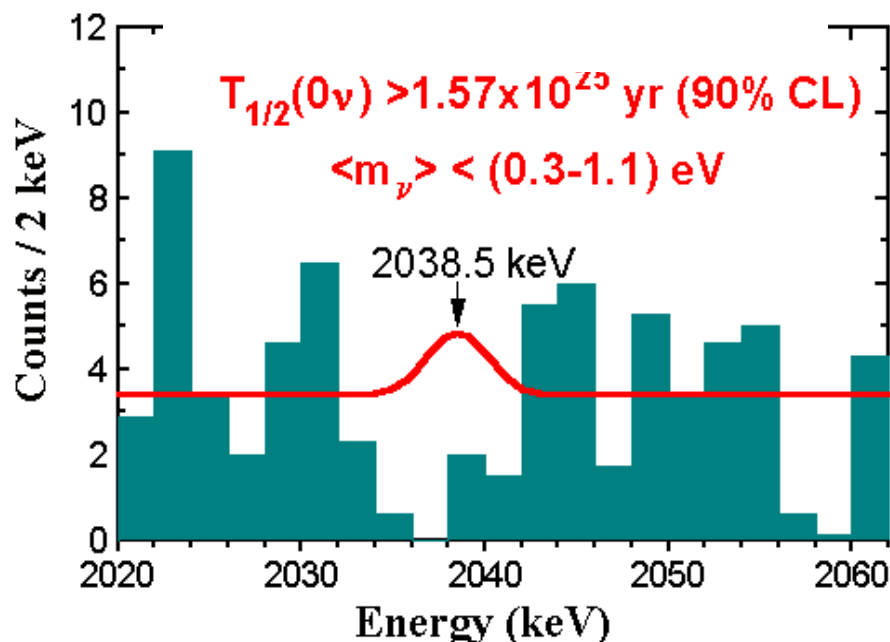
$$71.7 \text{ kg*yr}$$

BI = 0.11 cts/(keV·kg·yr) before PSA

H.V.Klapdor-Kleingrothaus et al.,  
*Phys.Lett. B*586 (2004) 198.

Claim:  $T_{1/2} = (0.7 - 4.2) \cdot 10^{25} \text{ y (3}\sigma)$

$$m_\nu \sim 0.24 - 0.58 \text{ eV}$$



Confirmation needed with same & different isotopes!



# Sources of background in $^{76}\text{Ge}$ -DBD exp

## *External background (main source now):*

- \*  $\gamma$ -rays from the rock, shielding material and **detector supporting material**;
- \* neutrons from fission,  $(\alpha, n)$ -reactions in rock and  $\mu$ -induced reactions in rock and shielding material;
- \* muons from cosmic rays showers

## *Intrinsic background:*

- \* cosmogenic isotopes (Ge-68, Co-60) due to spallation reactions on Ge isotopes at sea level ( $T_{1/2} \sim > \text{year}$ )





# GERDA goal: how to proceed?

**Reduction of bkg-index by two orders of magnitude to  $10^{-3}$  cts/kg/keV/yr + increase of  $^{76}\text{Ge}$  mass:**

- Removal of materials around crystal – naked HPGe detectors  
*(proposed by G.Heusser (Ann.Rev.Nucl.Part.Sci. 45 (1995)543))*
- Use of highly enriched Ge (87%)
- Use of (water + liquid argon) for passive shielding
- Use of muons veto (Cherenkov + plastic scintillator)
- Use of liquid argon for active shielding (option\*)
- Use for Phase II highly segmented or point-like p-type detectors
- Improvement of Pulse Shape Analysis



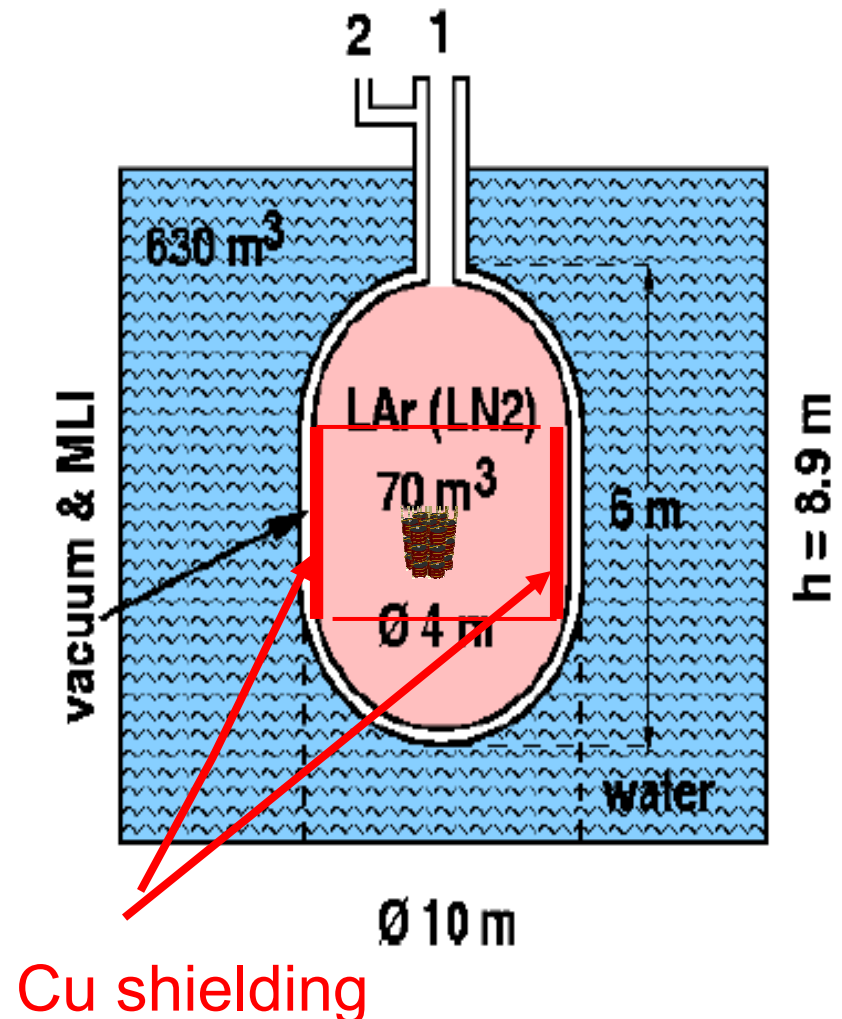
# Basic design of GERDA: cryostat made of ss-steel inside water tank

Most dangerous Tl-208 of  
Th-232 chain  
 $E_\gamma = 2,615 \text{ MeV}$

Activity of Tl-208, mBq/kg:

Rock, concrete	~ 3000
Stainless steel	< 5
Cu(OFHC)	< 0.02
Water purified	< 0.001
LAr	~ 0

Principle of “Russian  
MATRESHKA” except that  
last girl (Ge crystals) is  
naked





# GERDA s/steel cryostat

$$D_{\text{int}} = 4 \text{ m}$$

$$H_{\text{LAr}} = 5.5 \text{ m}$$

$$V = 70 \text{ m}^3$$

Additional inner copper shield  
detectors holders

$$U/\text{Th} \leq 16 \text{ } \mu\text{Bq/kg}$$

clean surface

Liquid argon

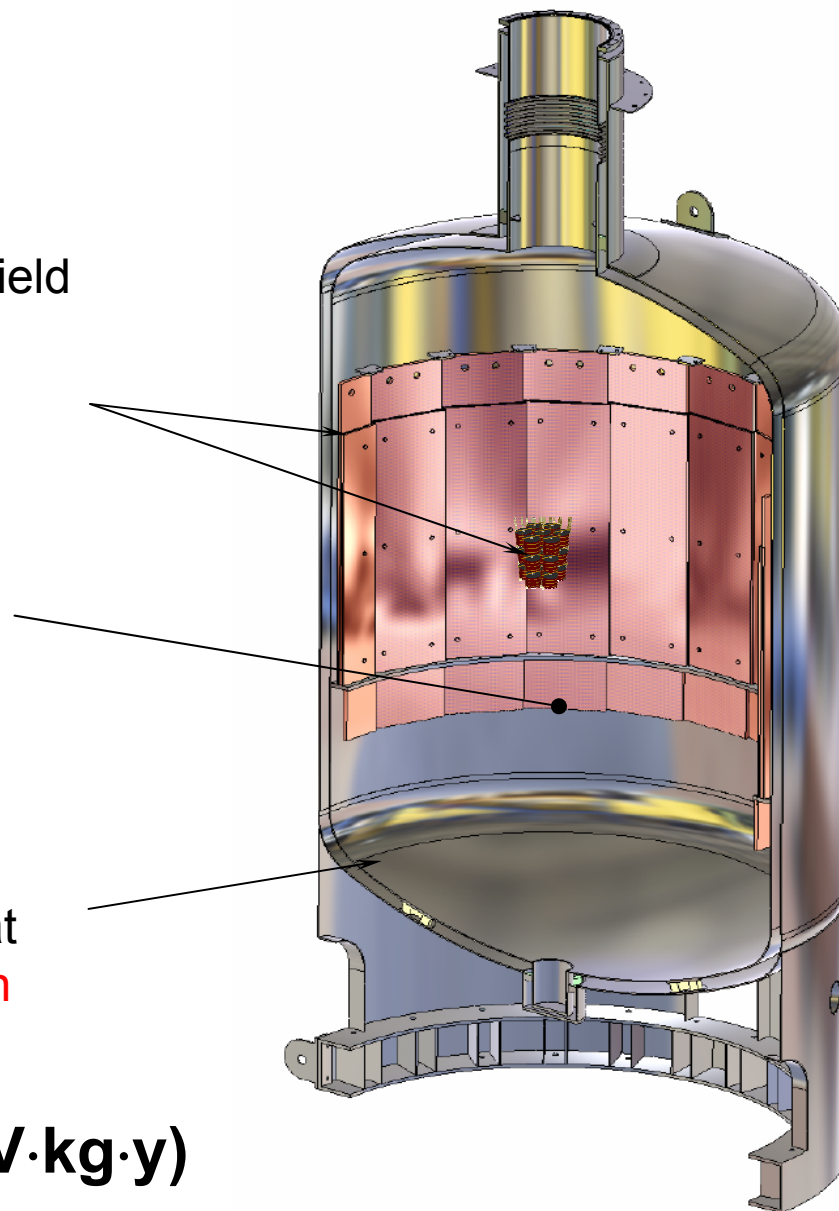
$$^{222}\text{Rn} = \leq 1 \text{ } \mu\text{Bq/m}^3$$

Vacuum-insulated double  
wall stainless steel cryostat

$$U/\text{Th} \leq 5 \text{ mBq/kg}$$

clean  
surface

$$\text{BI (TI208)} = 10^{-4} \text{ cts}/(\text{keV} \cdot \text{kg} \cdot \text{y})$$

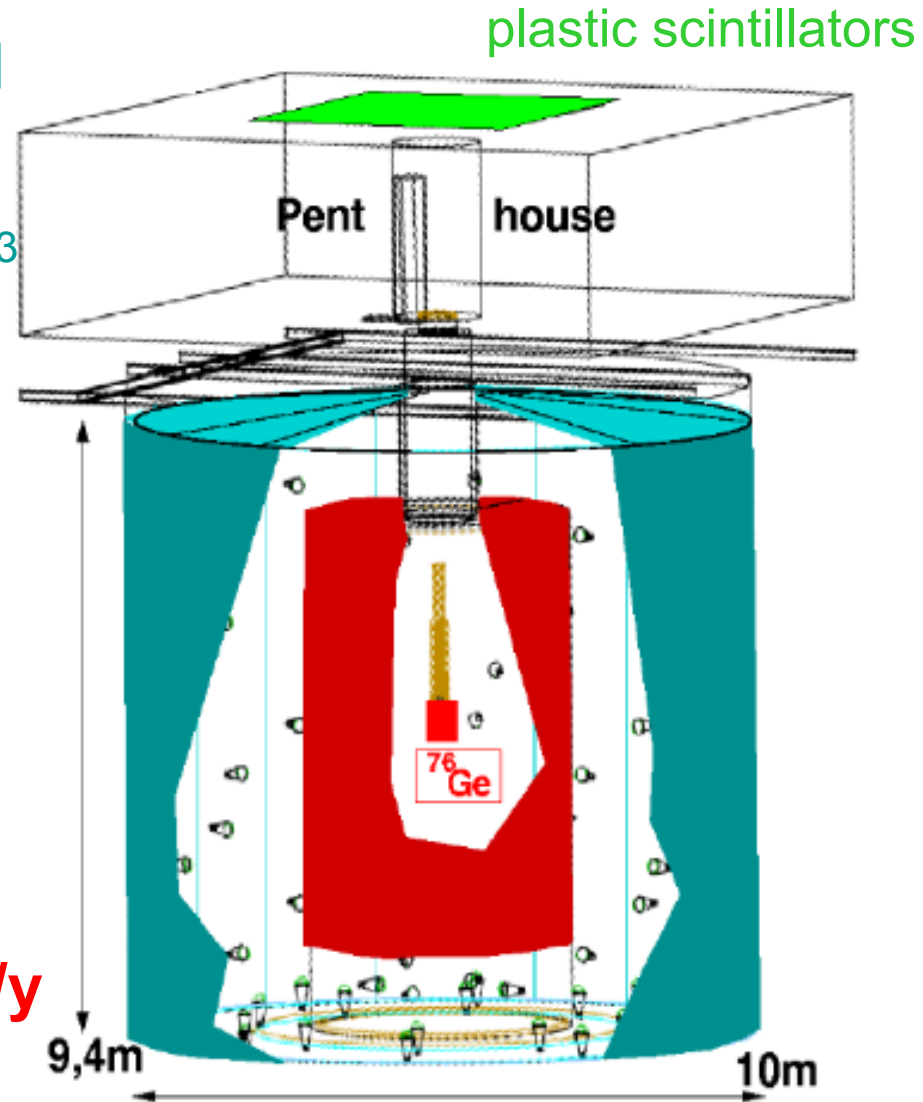




# Water tank and muon veto

- Water serves as passive shield (reduces amount of LAr)
- Filled with pure water of 590 m<sup>3</sup>
- Layer of reflector film VM2000
- 66 PMTs ETL 9350 KB: Cherenkov detector
- 20 panels of plastic scintillator on top (S = 20 m<sup>2</sup>, Δ = 3 cm)

**BI (muons) = 10<sup>-4</sup> evts/keV/kg/y**





# GERDA: Phases of the experiment

**PHASE I:** 17.9 kg of enriched  $^{76}\text{Ge}$  (from HM and IGEX)

1 year data if  $B=10^{-2}$  cts/(keV·kg·yr) (check of Klapdor's claim)

Start in 2009 at Gran Sasso, results 2010

$$T_{1/2} > 3 \cdot 10^{25} \text{ yr} \quad \langle m_\nu \rangle < 270 \text{ meV}$$

**PHASE II:** 40 kg of enriched  $^{76}\text{Ge}$  (20 kg segmented or point like HPGe detectors)

if  $B=10^{-3}$  cts/(keV·kg·yr)  $T_{1/2} > 1.5 \cdot 10^{26}$  yr for 3 yr\*35 kg of data taking

$$\langle m_\nu \rangle < 110 \text{ meV}$$

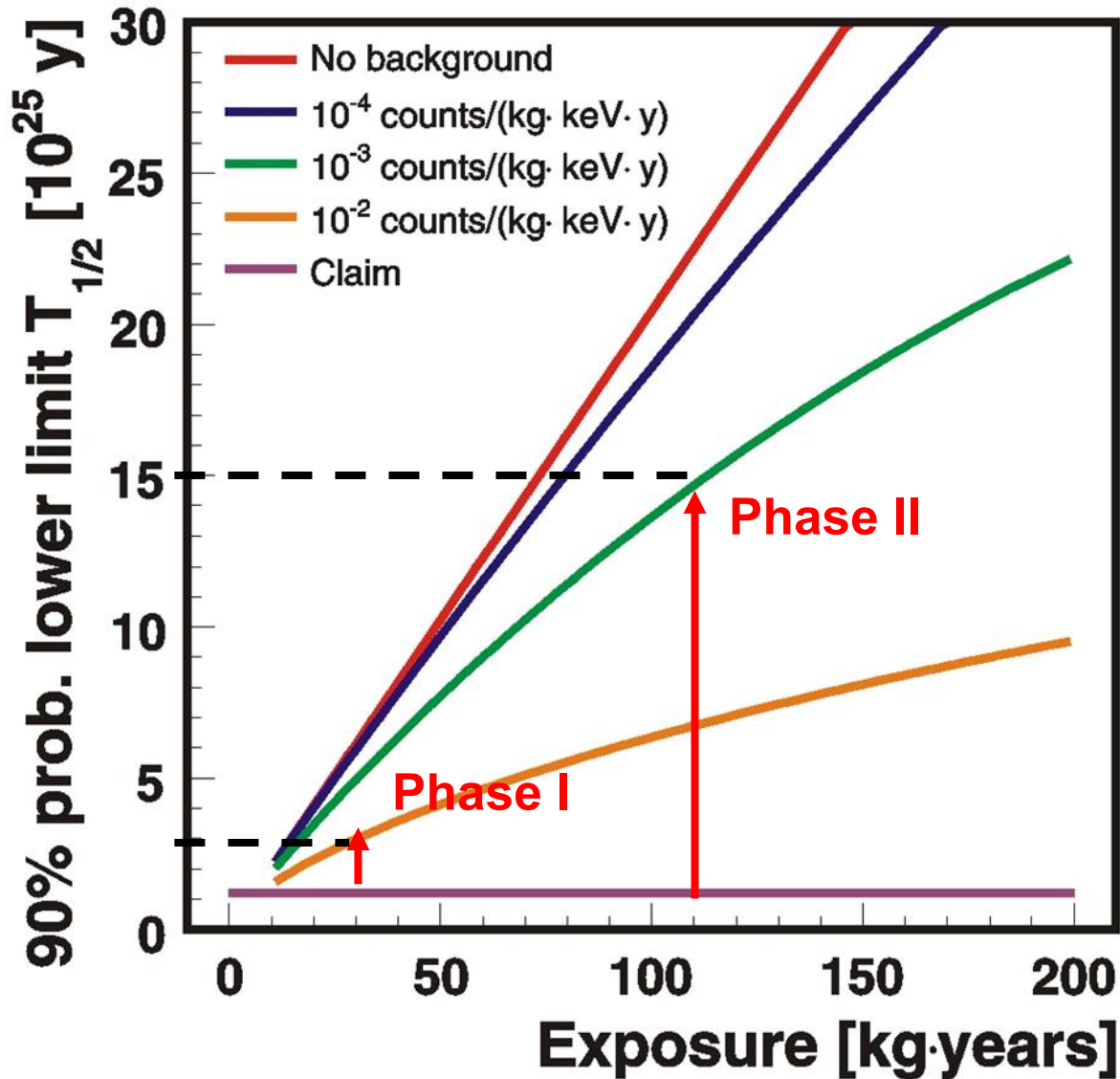
**PHASE III:** if PHASE I and II succeed

then world-wide ~ 1 ton experiment with MAJORANA

$$\langle m_\nu \rangle < 10 - 20 \text{ meV}$$



# GERDA sensitivity: dependence on Bkg



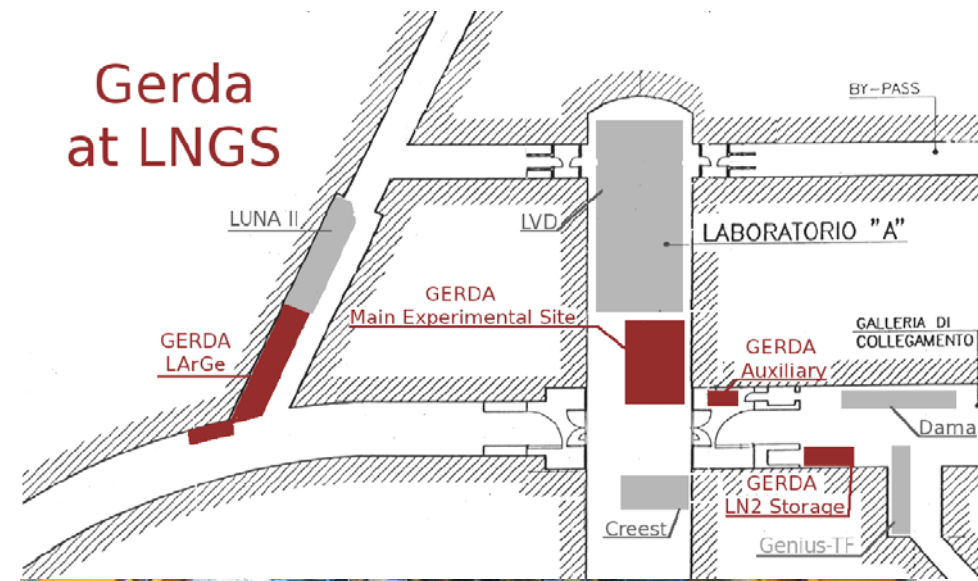
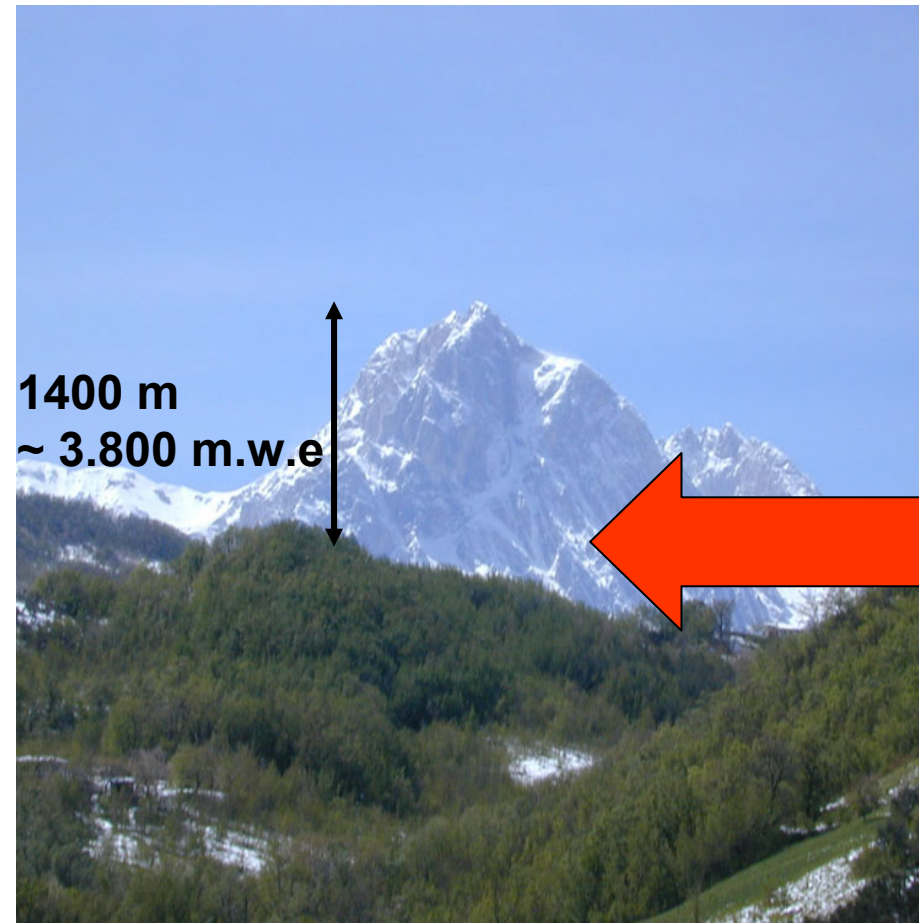
Assumed energy resolution:  
 $\Delta E = 4$  keV

**Background reduction !**



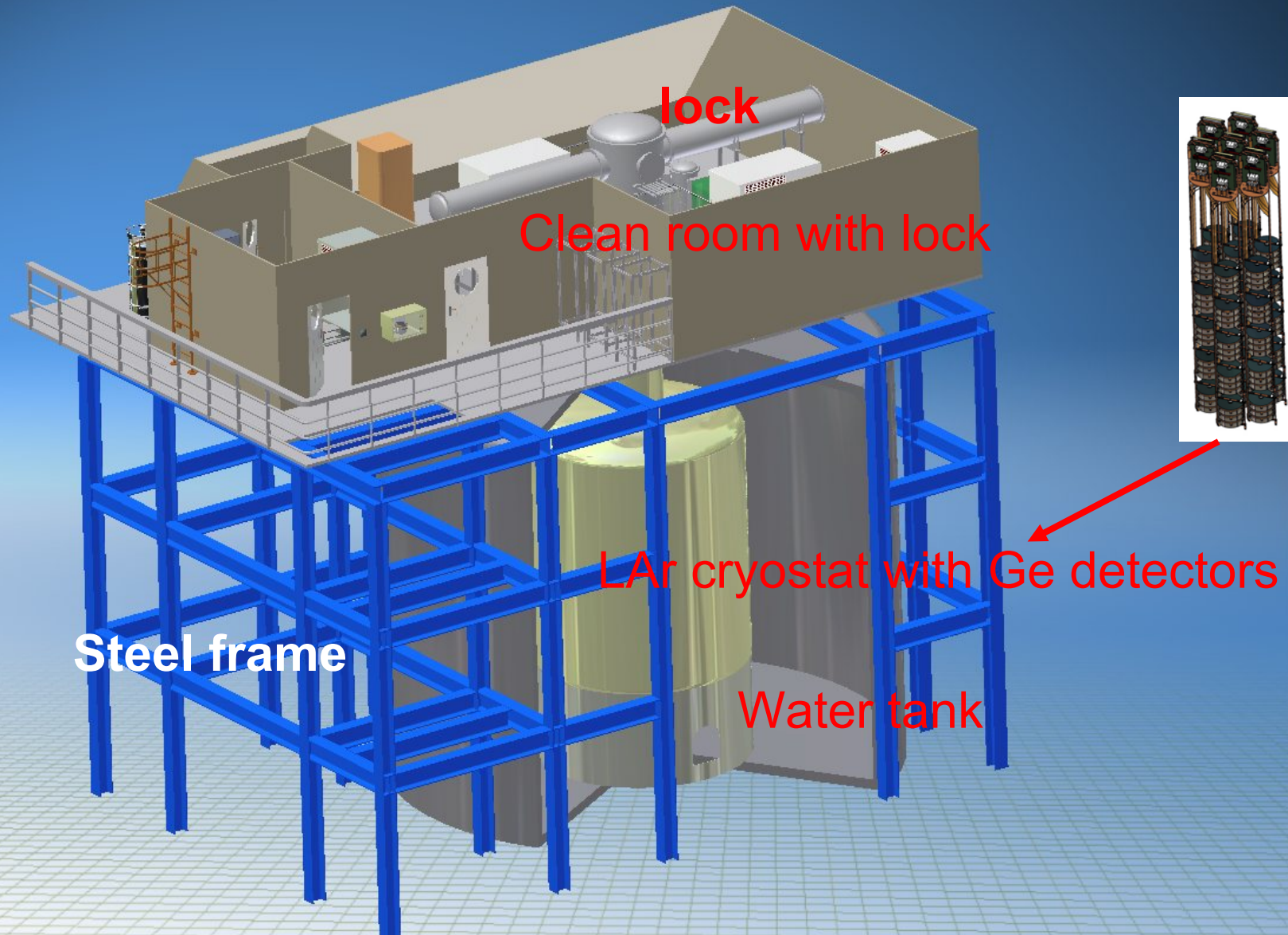


# Situation of GERDA





# GERDA at underground laboratory Gran-Sasso (Italy)







# GERDA at underground laboratory Gran-Sasso (August 2007)

Buttom part of the GERDA





# GERDA at underground laboratory Gran-Sasso (6 March, 2008)

## Erection of the cryostat







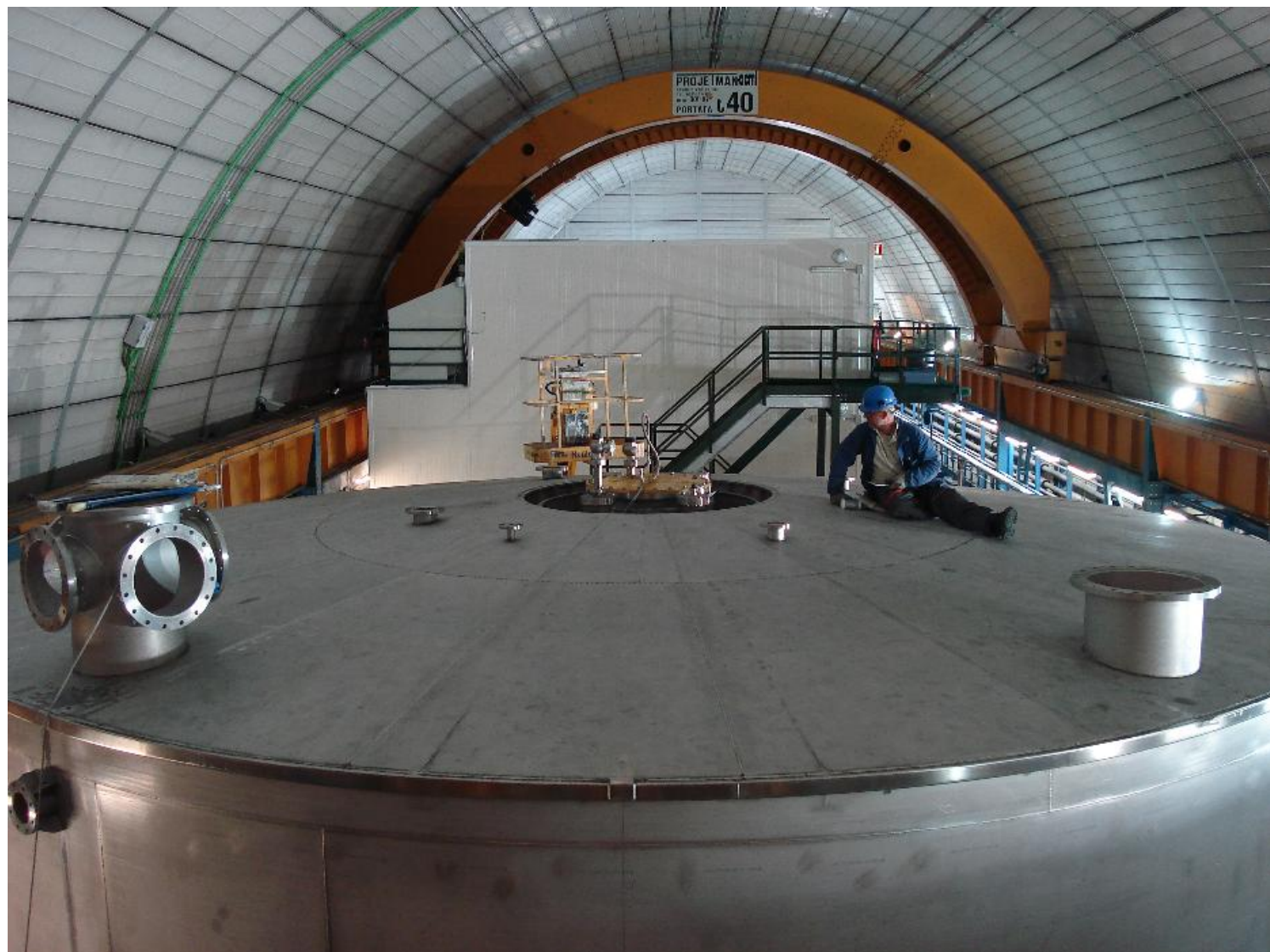
# GERDA at underground laboratory Gran-Sasso (6 March, 2008)





# GERDA at underground laboratory Gran-Sasso (12 June, 2008)

## Construction of water tank







# GERDA at underground laboratory Gran-Sasso (18 July, 2008)

**GERDA  
building  
construction**





# GERDA at underground laboratory Gran-Sasso (18 July, 2008)

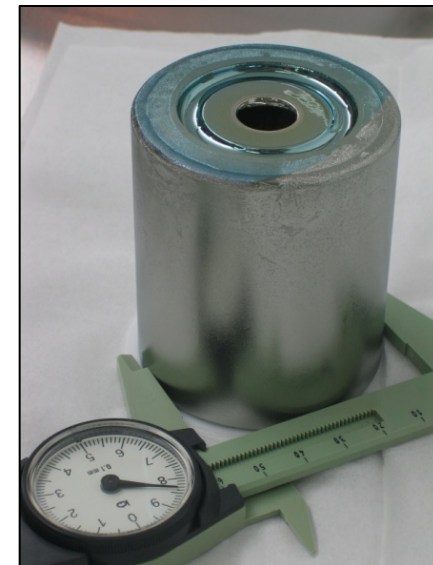
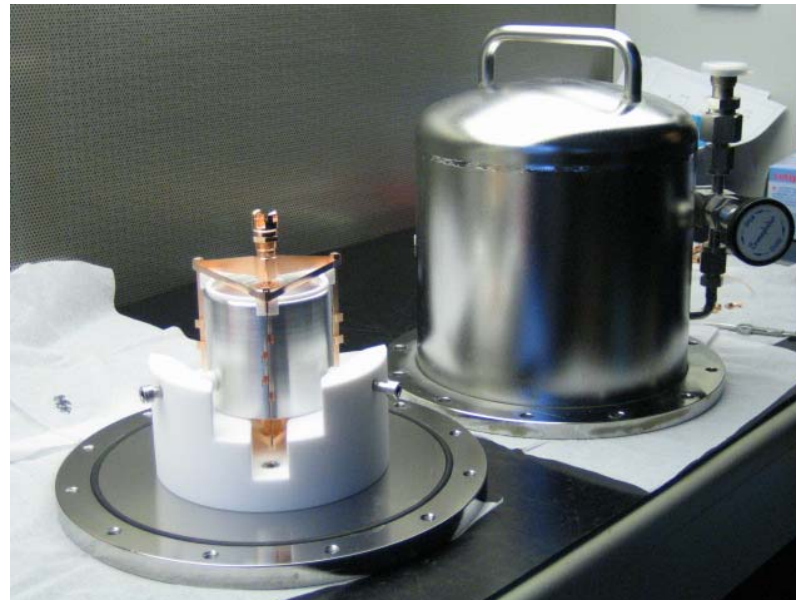
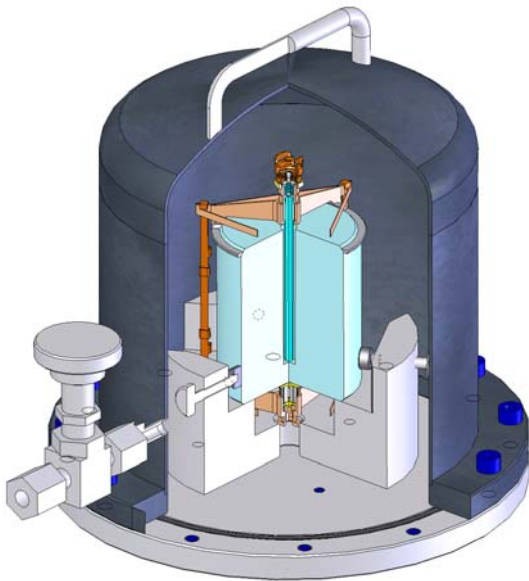
**GERDA  
building  
construction**





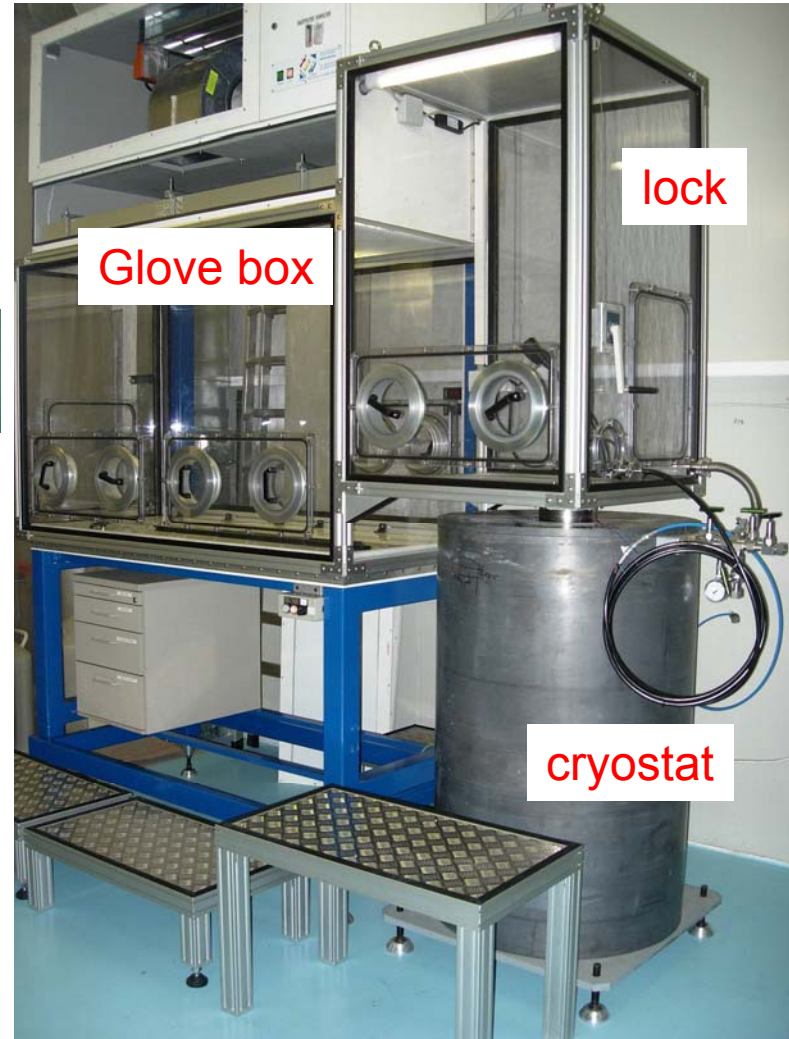
# Phase I diodes

- 17.9 kg enriched (IGEX and HdM) and 15 kg non-enriched crystals (GENIUS-TF)
- All diodes were refurbished by Canberra
- Low-mass holder for each diode
- Storage underground during reprocessing (HADES), less than 1 week exposure above ground
- Storage at LNGS under vacuum in special transport container





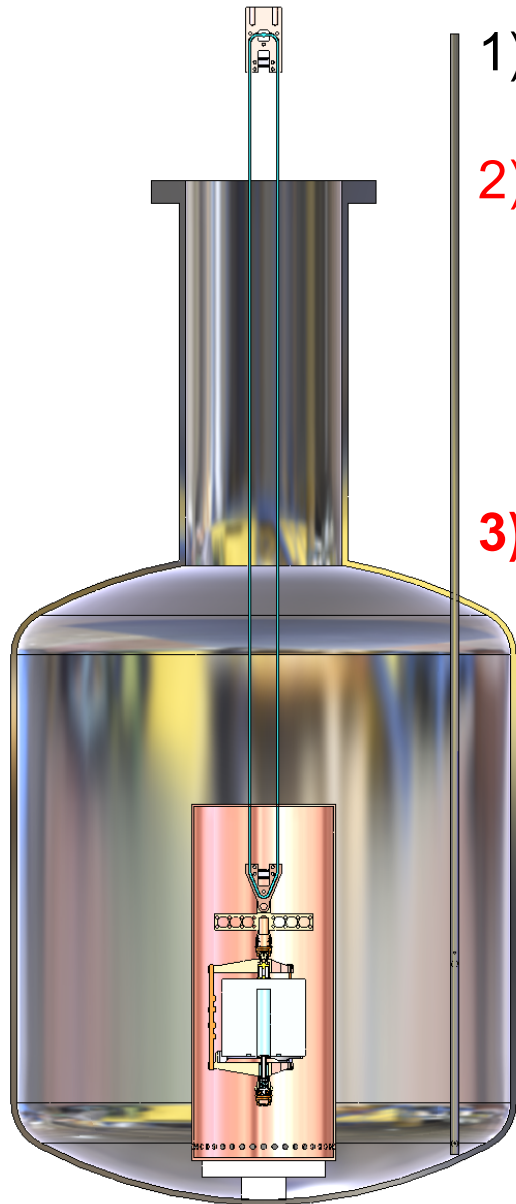
# GERDA Detector Lab (GDL) at Gran Sasso







# Testing of naked detectors at LAr (Gran Sasso)



- 1) Definition of **naked detector** handling protocol
- 2) Long-term stability tests (3 HPGe detectors in LN2/LAr during 2 years):
  - Depletion voltage
  - I/V curve
  - energy resolution
- 3) **Problems reported from GENIUS-Test Facility\* have been overcome by GERDA.**  
**No deterioration after > 1 year of operation at LAr !**

(\*) *H.V.Klapdor-Kleingrothaus and I.Krivosheina, NIM A556 (2006) 472.*



# GERDA phase II

- Sept 2005: **37.5 kg  $^{76}\text{Ge}$**  produced in Russia
  - **$\sim 87\%$   $^{76}\text{Ge}$  enrichment**, 0.015% of  $^{70}\text{Ge}$  depletion
  - Chemical purity: 99.95 % or 4N
- **50 kg of  $^{\text{dep}}\text{GeO}_2$  the same quality for testing**
- **Investigation of different options for the material purification and crystals pulling at PPM Pure Metals and the IKZ (Germany)**
- **Underground storage (HADES, Belgium and Langersheim, Germany) until and during further processing steps (crystal pulling and detector fabrication)**
- **Development of true-axial segmented n-type or p-type point-like contact detector (BEGe detector)**



Svetlana



Centrifuge hall



Collection of  $\text{GeCl}_4$



Storage of samples







Munich, March 2005





# Rally Siberia – Munich is over: $^{76}\text{GeO}_2$ is in MPI fuer Physik





# Refurbishing of CZ crystal puller at IKZ

- Inductive heating with silver coils
- Molybdenum susceptor
- 4" and 6" ultra high purity crucible made of quartz
- System of pure forming gas (Ar + H<sub>2</sub>)



EKZ 2000 LEYBOLD



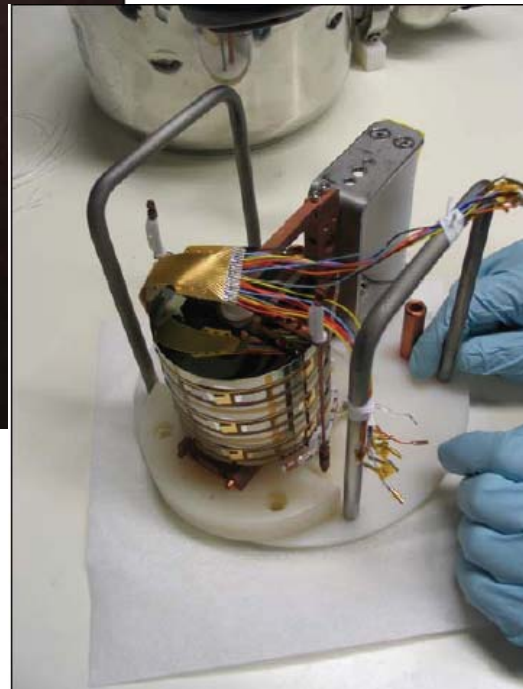
# Phase II 18-fold segmented n-type detector



Copper hold for Phase II:  
31 g Cu 7 g Teflon

Low mass contacts (Cu on  
Kapton):  
2.5 g Kapton cable (+ Cu)

First successful operation of  
segmented n-type HPGe  
detector in LN  
(during 5 months)





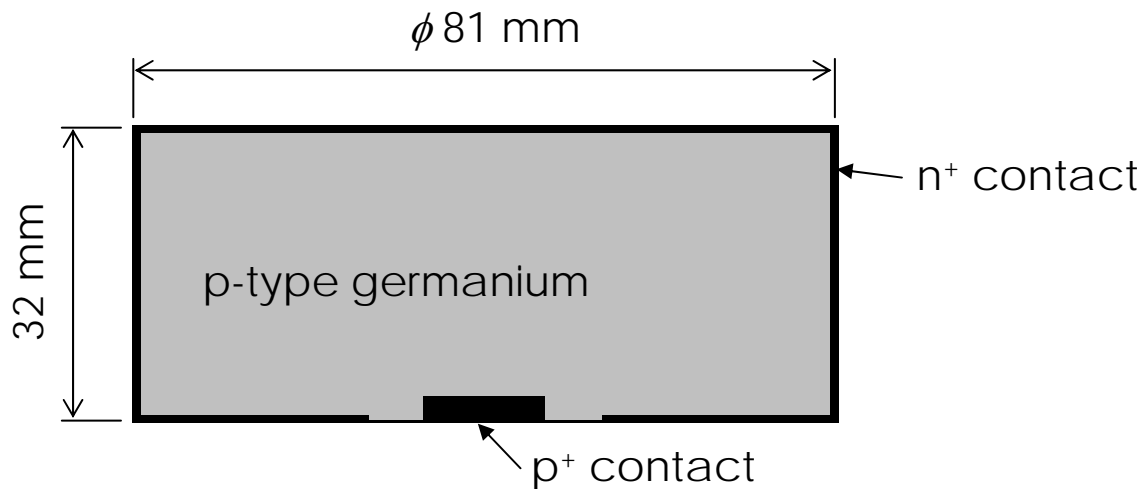
# Phase II Broad-energy Ge-detector



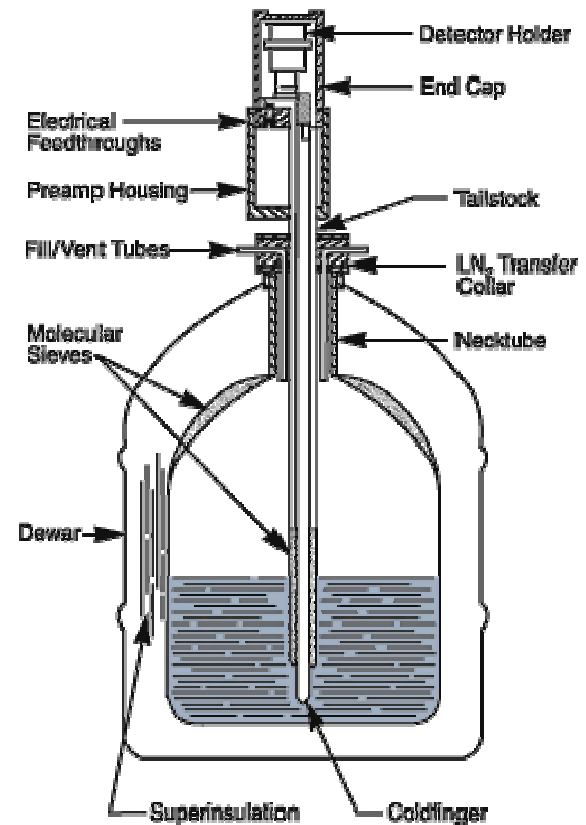
- covers energy range 3 keV - 3 MeV
- enhanced efficiency for low-energy gammas
- low capacitance ( $\Rightarrow$  low noise)

Specifications:

depletion voltage	4000 V
FWHM @ 122 keV	0.63 keV
FWHM @ 1.33 MeV	1.8 keV
mass	870 g



Model 7500SL  
Vertical Slimline Cryostat

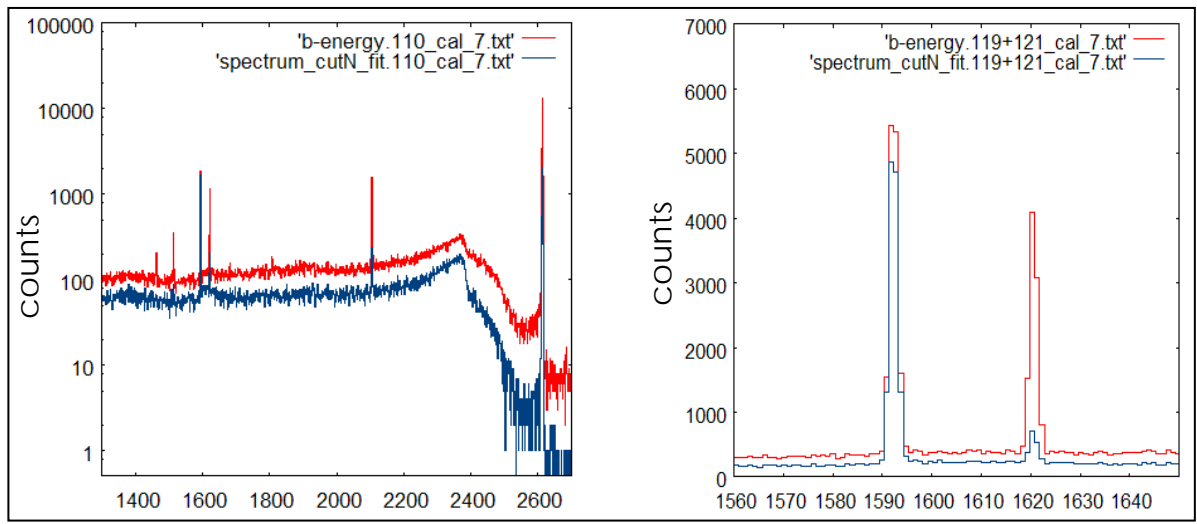






# BEGe vs. 18-fold segmented HPGe

comparison of discrimination power for  $^{228}\text{Th}$  spectrum



## BEGe point-contact

Fractions remaining after PSA cut:

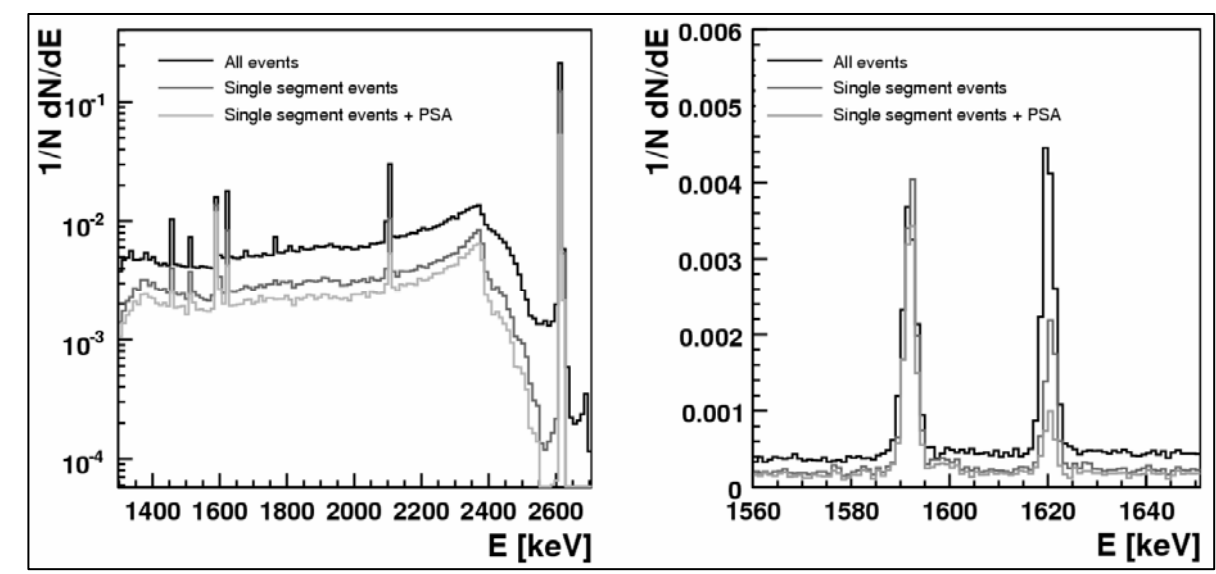
DEP	91.01% $\pm$ 0.62%
1.62 MeV	13.20% $\pm$ 0.45%
2.61 MeV	13.19% $\pm$ 0.06%
<b>ROI <math>Q_{\beta\beta}</math></b>	<b>49.06% <math>\pm</math> 0.40%</b>

## 18-fold segmented coax

Fractions remaining after combined single-segment and PSA cut:

DEP	81.93% $\pm$ 2.22%
1.62 MeV	18.98% $\pm$ 0.39%
2.61 MeV	14.57% $\pm$ 0.31%
<b>ROI <math>Q_{\beta\beta}</math></b>	<b>48.10% <math>\pm</math> 1.12%</b>

(PSA data without Compton background subtraction)



SSE/MSE discrimination with BEGe comparable to 18-fold segmented detector



# Summary

- $0\nu 2\beta$  - decay is identified as one of the top priority topics in particle physics for the next 10÷20 years.
- The experiments based on  $^{76}\text{Ge}$  diodes are the most promising ones for investigation of  $0\nu 2\beta$  - process.
- The GERDA experiment is designed as the next-generation  $0\nu 2\beta$  -decay  $^{76}\text{Ge}$  experiment with sensitivity:
  - $3 \cdot 10^{25}$  yr for Phase I
  - $1.5 \cdot 10^{26}$  yr for Phase II
  - the future world-wide  $\sim 1$  t experiment  $\langle m_{ee} \rangle \sim 10$  meV.
- Commissioning of GERDA and start of the experiment in 2009.

Backup slides



# Schedule

- June 2007: GERDA setup officially approved by LNGS
- All Phase I detectors (8 pieces) refurbished & ready to use
- Meanwhile: Detector prototype testing in LN2 ongoing
- Cryostat has been mounted in March 2008 and successfully tested
- Water tank construction has been completed in June 2008
- Hydrostatic and fast draining tests will be done soon
- Construction of lab building has been completed
- Next: cleanroom and lock (~ till Spring 2009)
- Muon Veto (Cherenkov and Plastic Scintillators) – after WT testing
- **Filling with LAr and Commissioning of GERDA - Spring 2009**

**Commissioning of GERDA and start of the experiment in 2009**



## References to slide # 6

1. E.Fiorini et al., Phys.Lett. 25B, N. 10, 27 November 1967, pp. 602-603.
2. D.O.Caldwell et al., Phys. Rev. D, Vol. 33, N. 9, 1 May 1986, 2737-2739.
3. D.O.Caldwell et al., Phys.Rev.Lett. Vol.59, N. 4, 27 July 1987, 419-422.
4. A.A.Vasenko, I.V.Kirpichnikov et al., Modern Phys. Lett. A, Vol. 5, N. 17 (1990) 1299-1306.



# Best results on $0\nu\beta\beta$ search

Isotope	$T_{1/2}$ , yr	$\langle m_\nu \rangle$ , eV QRPA'07	$\langle m_\nu \rangle$ , eV SM'07	Experiment
$^{76}\text{Ge}$	$> 1.9 \cdot 10^{25}$ $\approx 1.2 \cdot 10^{25}$ (?) $\approx 2.2 \cdot 10^{25}$ (?) $> 1.6 \cdot 10^{25}$	$< 0.22-0.41$ $\approx 0.28-0.52$ (?) $\approx 0.21-0.38$ (?) $< 0.24-0.44$	$< 0.69$ $\approx 0.87$ (?) $\approx 0.64$ (?) $< 0.75$	HM KK of HM KK of HM'06 IGEX
$^{130}\text{Te}$	$> 3.1 \cdot 10^{24}$	$< 0.34-0.57$	$< 0.75$	CUORICINO
$^{100}\text{Mo}$	$> 5.8 \cdot 10^{23}$	$< 0.81-1.28$	-	NEMO
$^{136}\text{Xe}$	$> 4.5 \cdot 10^{23}$	$< 1.41-2.67$	$< 2.2$	DAMA
$^{82}\text{Se}$	$> 2.1 \cdot 10^{23}$	$< 1.40-2.17$	$< 3.4$	NEMO
$^{116}\text{Cd}$	$> 1.7 \cdot 10^{23}$	$< 1.45-2.76$	$< 1.8$	SOLOTVINO



# Internal background reduction: segmented detector

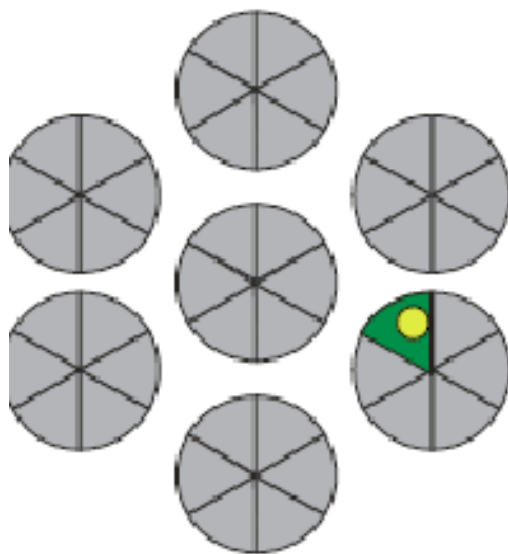
## Photon – $\beta$ - particle discrimination

- $\beta\beta$ -signal: local energy deposition – single site event, SSE
- $\gamma$ -background: several compton scatterings – multi site event, MSE

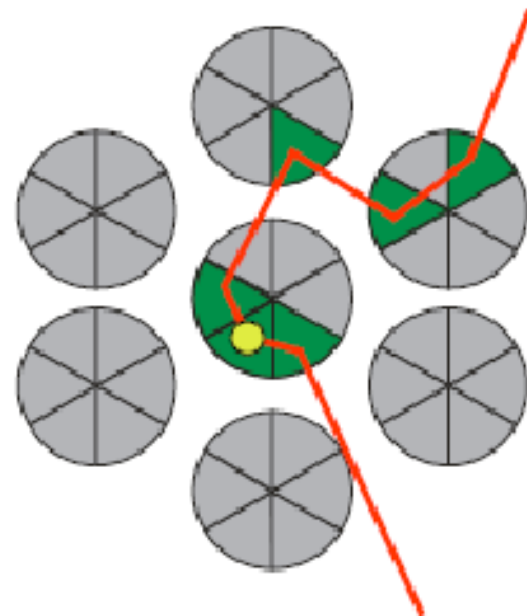
Anti-coincidence  
between segments  
suppr. **factor ~10**

Puls shape analysis  
suppr. **factor ~2**

**Signal:**

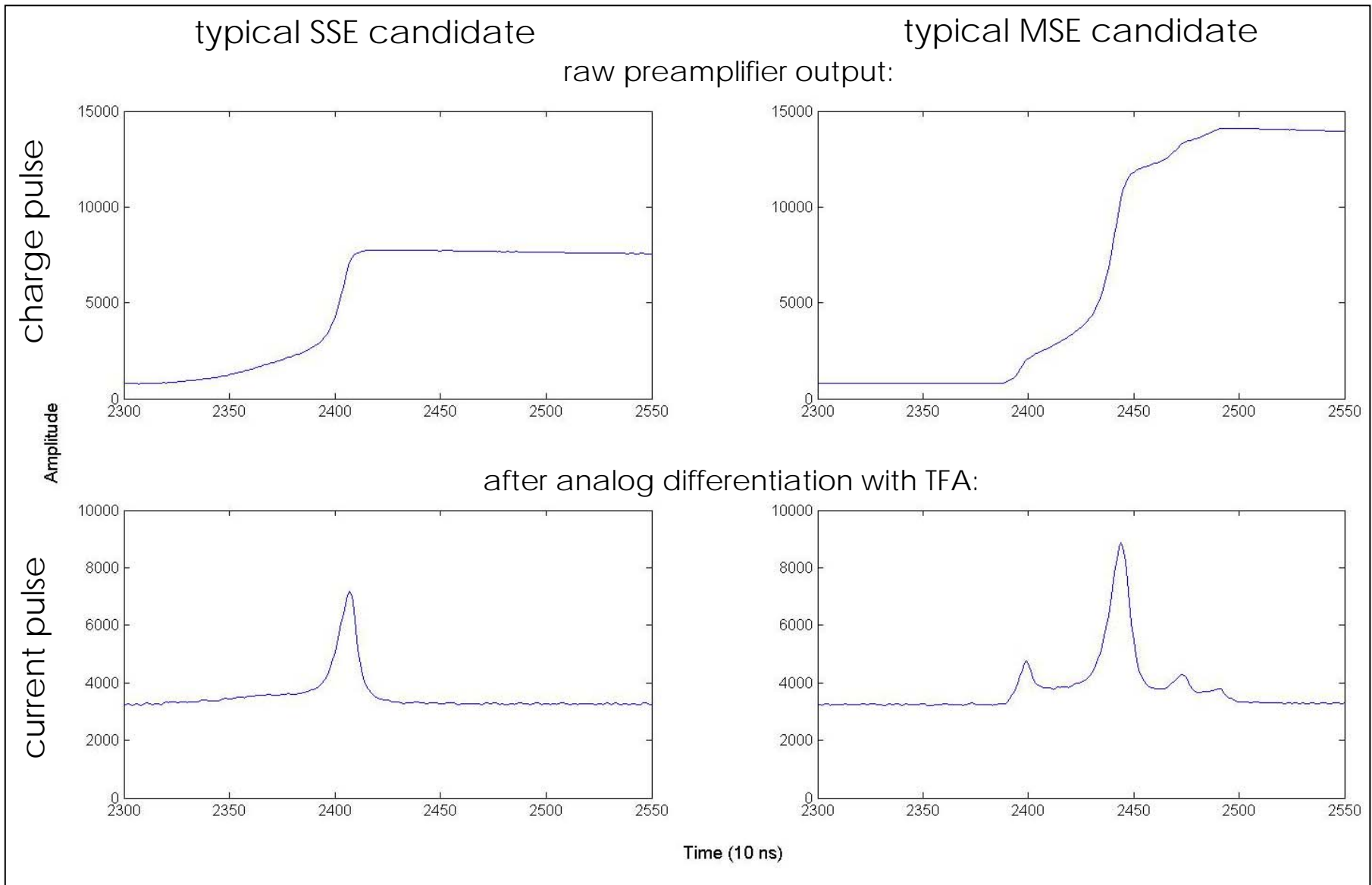


**Background ( $^{60}\text{Co}$ ):**





# Pulse-shape analysis: BEG-detector



TFA parameters: 10 ns integration, 10 ns differentiation





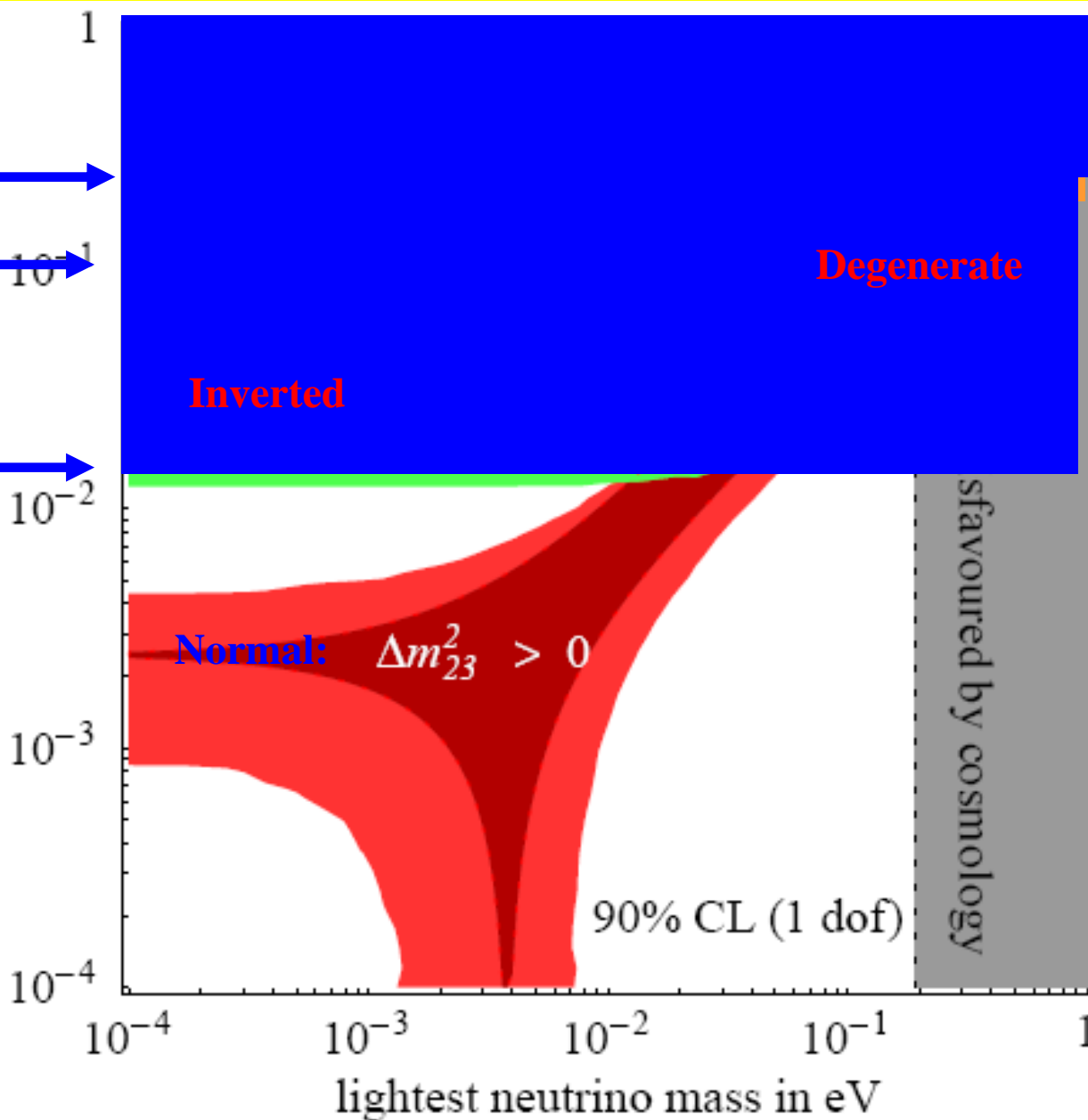
# GERDA & Mass hierarchy sensitivity

Phase I →

Phase II →  $10^{-1}$

Phase III →  $10^{-2}$

$|m_{ee}|$  in eV



**Degenerate:** can be tested

**Inverted:** can be tested by next generation of  $2\beta$  experiments.

**Normal:** new approach is needed, with  $m_{ee} \sim 10$  meV



# Purification at PPM Pure Metals

Underground storage of depGeO<sub>2</sub> in **Langelsheim** municipal mining museum

a) Reduction procedure

depGeO<sub>2</sub> → depGe

Technical grade (99,8%)

No isotope dilution effect was detected

Yield = 98,5%

b) Three steps zone refinement

depGe → depGe

99,8% → 6N ( $\rho \geq 50 \text{ Ohm*cm}$ )

$10^{13} \text{ cm}^{-3} \rightarrow 10^{11} \text{ cm}^{-3}$

Yield = 91%

**Unrecoverable loss is 0.4%.**

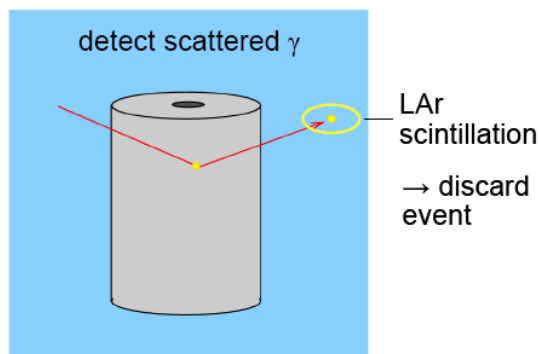
**Total yield of 6N material was 88%**

**Total exposure of the material at sea level < 2-3 days/purification**

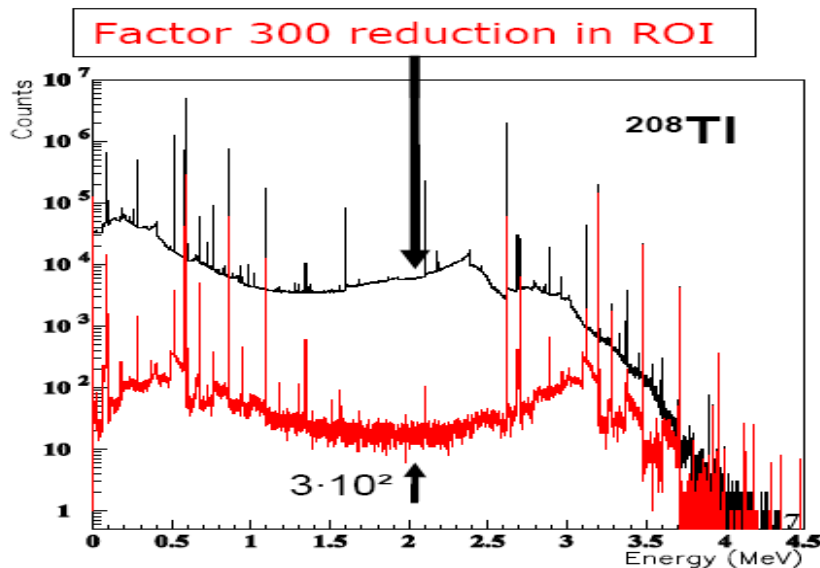
# Material characterization

- Resistivity measurements at RT, Ohm\*cm
- Hall effect measurements at 77 K:
  - $|N_D - N_A| \sim 10^{11} \div 10^{13} \text{ cm}^{-3}$  (detector grade  $\sim 10^{10} \text{ cm}^{-3}$ )
  - Mobility at RT and 77K
- PTIS (Photo Thermal Ionization Spectroscopy) measurements
  - Identification of donors and acceptors
- Optical measurements:
  - Dislocation density ( $\sim 10^2 - 10^4 \text{ cm}^{-2}$ )
- Photoluminescence measurement (Dresden):
  - Identification of donors and acceptors (As and P, no Al and B)

# Liquid Argon scintillation



MC simulation: Background suppression for contaminations located in detector support:

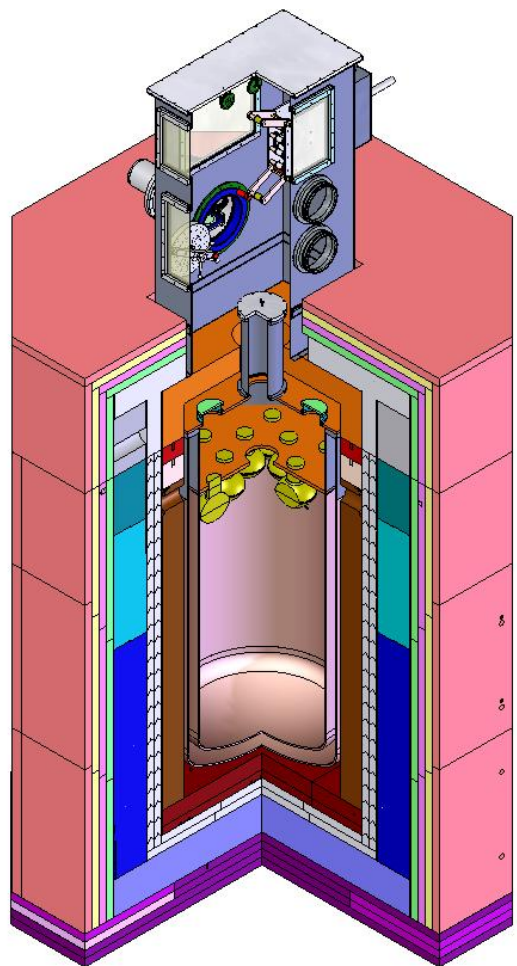


Test facility MiniLArGe at MPIK





# Low background test stand LArGe (Heidelberg, Gran Sasso)



## Cryostat:

Inner diameter: 90 cm  
Volume: 1000 liter  
(under construction)

**PMT:** 9 x 8" ETL9357  
(delivered)

## Shield:

Cu	15 cm
Pb	10 cm
Steel	23 cm
PE	20 cm

(in place)

**Lock:** Construction  
completed

**Can house up to 3  
Phase 1 strings  
(9 Ge detectors)**

