



# The GERDA Experiment

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3rd CHIPP Swiss Neutrino Workshop  
Laura Baudis, Physik Institut, Universität Zürich  
(for the GERDA Collaboration)

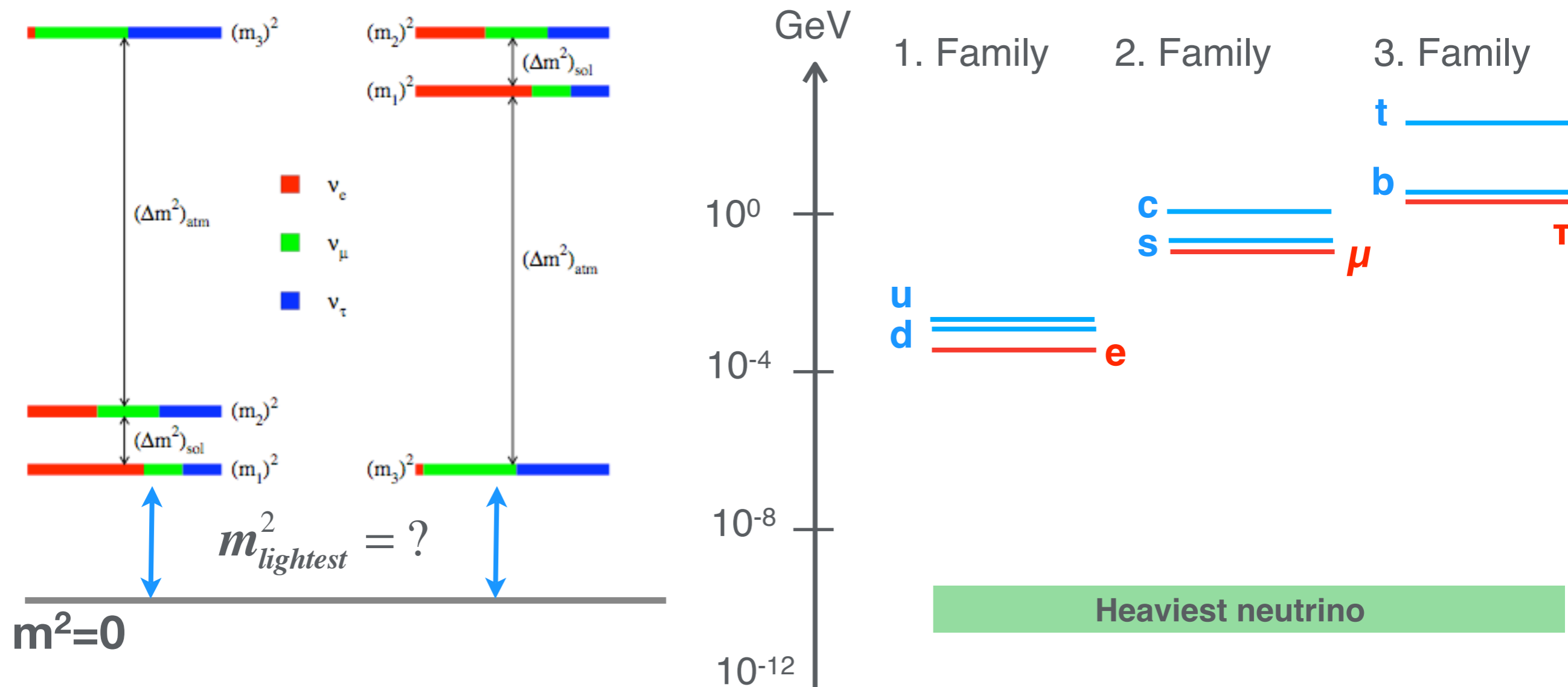


# Goal of GERDA



- Search for the neutrinoless double beta decay in  $^{76}\text{Ge}$

- ➔ information on the absolute mass scale of neutrinos
- ➔ information on the Majorana vs Dirac nature of neutrinos



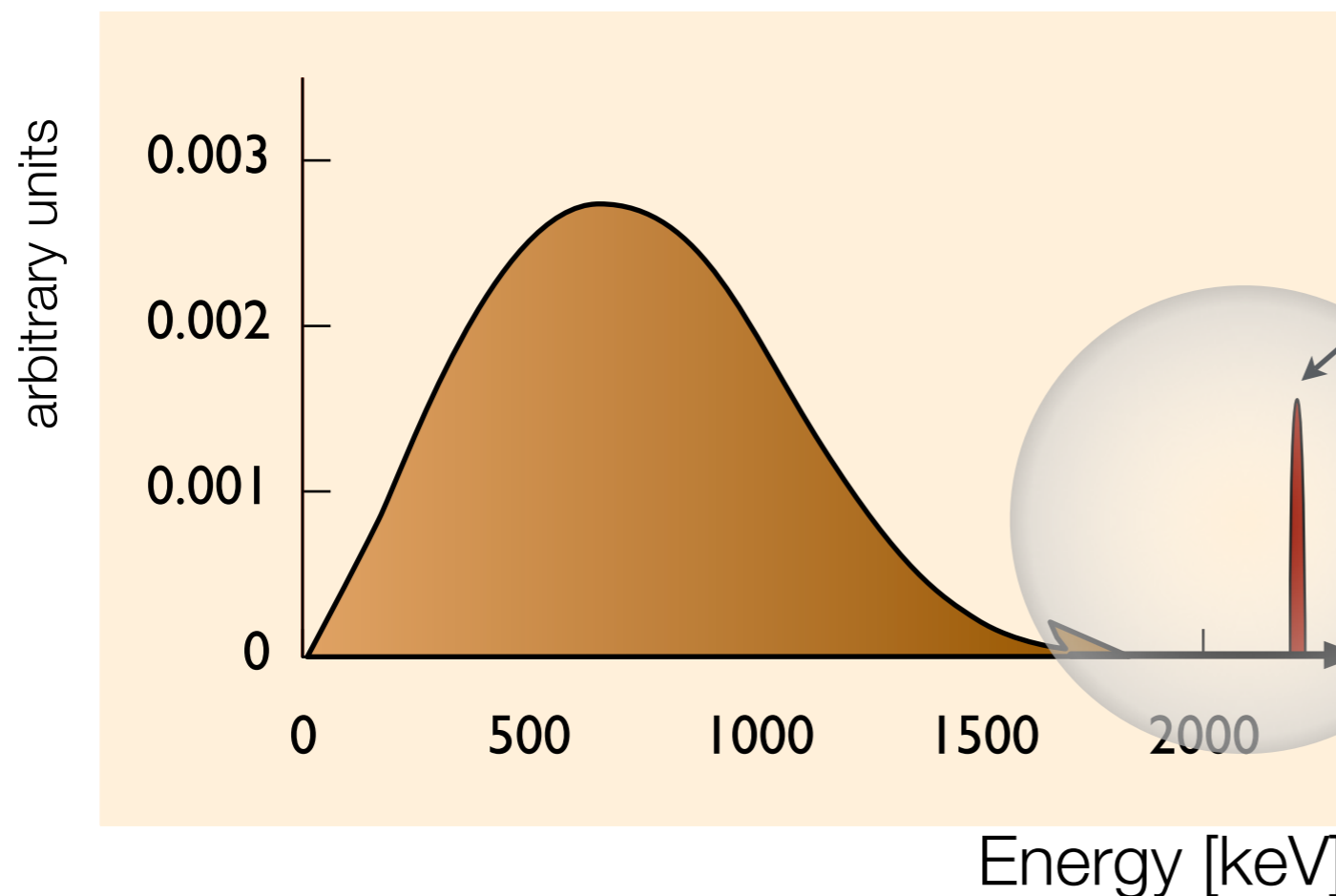
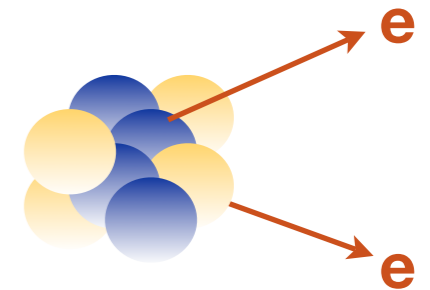
# Neutrinoless Double Beta Decay

- Not allowed in the Standard Model:  $\Delta L = 2$

$L = 0$



$L = 2$



Expected signature:  
peak at the Q-value of the decay

$$Q = E_{e_1} + E_{e_2} - 2m_e$$

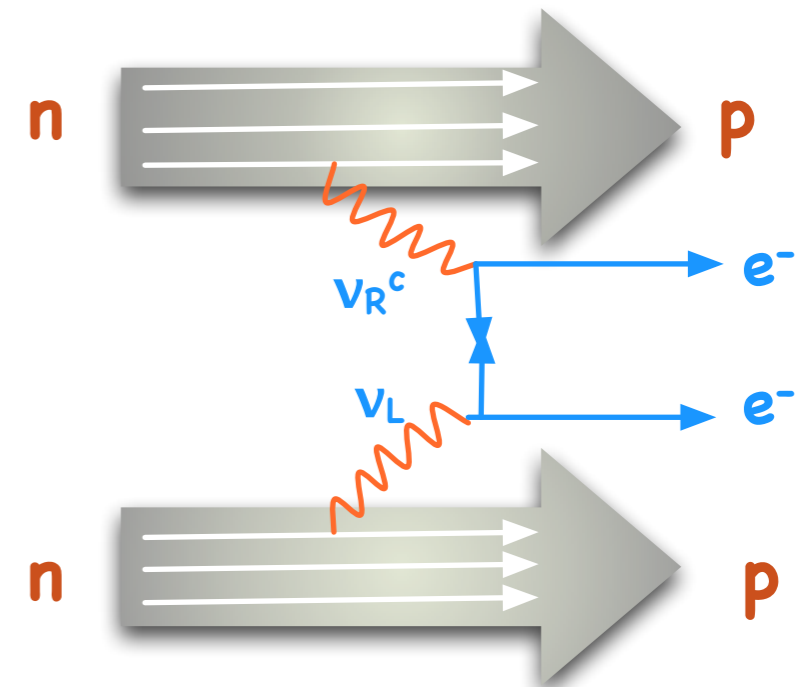
# Neutrinoless Double Beta Decay

- The expected rate  $(T_{1/2})^{-1}$  is:

$$(T_{1/2}^{0\nu})^{-1} = G^{0\nu}(Q, Z) |M^{0\nu}|^2 \left( \frac{\langle m_{\nu e} \rangle}{m_e} \right)^2$$

phase space integral

matrix element



$$Q = E_{e_1} + E_{e_2} - 2m_e \quad \text{Q-value of the decay}$$

$$\langle m_{\nu e} \rangle = \left| \sum_i U_{ei}^2 m_i \right| \quad \text{effective Majorana neutrino mass}$$

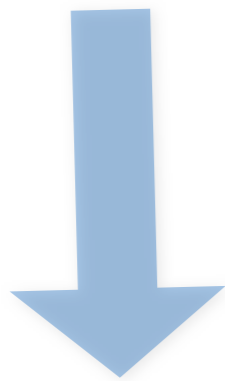
$$U_{ei} \quad \text{neutrino mixing matrix (complex)}$$

# Experimental Requirements

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- Experiments measure the half life of the decay ( $T_{1/2}$ )

$$T_{1/2}^{0\nu} \propto a \cdot \varepsilon \cdot \sqrt{\frac{M \times t}{\Delta E \times B}}$$



large detector masses  
**enriched materials**  
ultra-low background  
**excellent energy resolution**

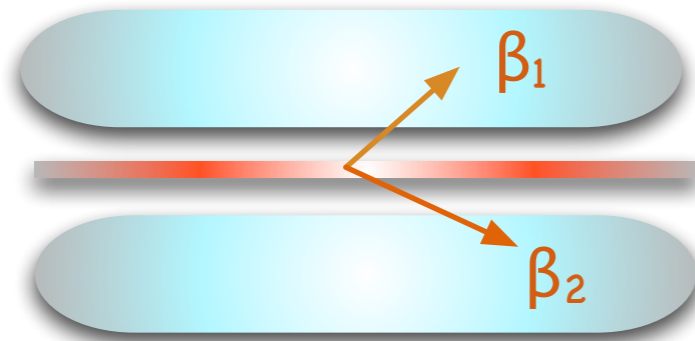
the sensitivity depends on:

- ➔  $a$  = enrichment
- ➔  $\varepsilon$  = detector efficiency for observing the  $e^-$
- ➔  $M$  = mass
- ➔  $t$  = measuring time
- ➔  $\Delta E$  = energy resolution at the Q-value of the decay
- ➔  $B$  = background in the relevant energy region

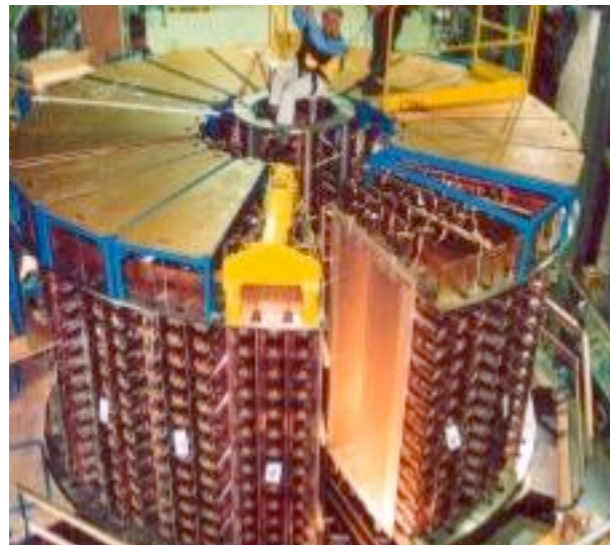
$$\frac{1}{\sqrt{T_{1/2}^{0\nu}}} \propto \langle m_{\nu e} \rangle$$

# Experiments: Two Main Approaches

## Source $\neq$ Detector

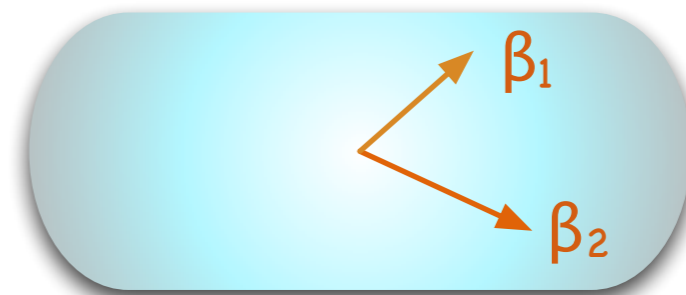


Source as thin foil  
Electrons are detected with: scintillator, TPC, drift chamber, semiconductor detectors

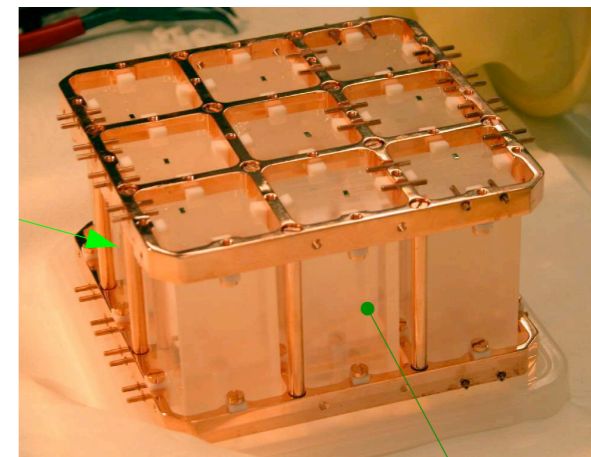


NEMO (Modane/Frejus)

## Source = Detector (calorimeters)



The sum of the energy of the two electrons is measured  
Signature: peak at the Q-value of the decay  
Scintillators, semiconductors, bolometers



CUORICINO (LNGS/Italy)

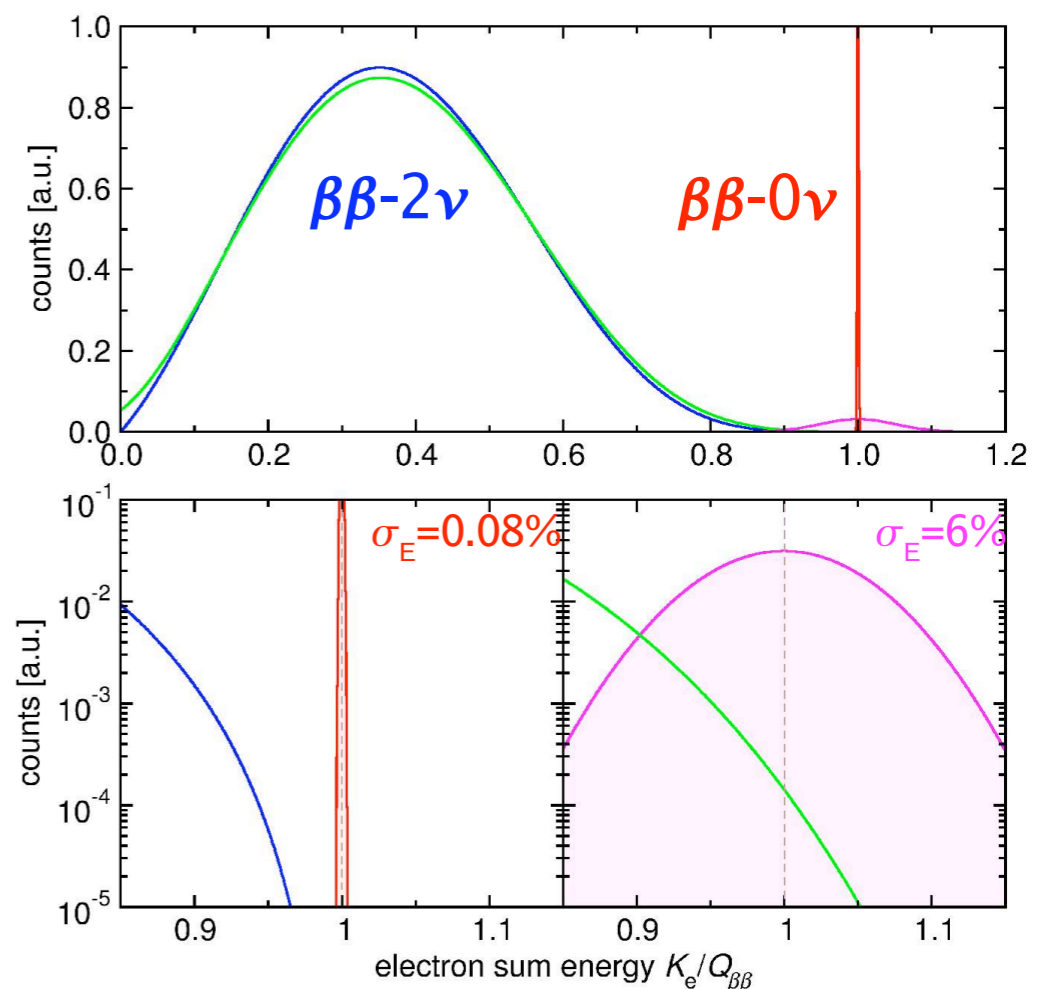
# Backgrounds for Double Beta Experiments

- ☢ primordial radionuclides ( $^{238}\text{U}$ ,  $^{232}\text{Th}$ ,  $^{40}\text{K}$ ) in the detector materials, in the shielding and the concrete/rock (alpha, beta, gamma and neutrons)
- ☢ cosmic activation of detector materials (zB.  $^{60}\text{Co}$ ,  $^{54}\text{Mn}$ ,  $^{65}\text{Zn}$ ,...)
- ☢ cosmic rays (muons)
- ☢ radon in air, radon emanation of materials,....

and

**$\beta\beta 2\nu$ -events: irreducible background!**

**=> an excellent energy resolution of the detector is crucial**



# Limits on the Effective Majorana Neutrino Mass

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<b>Candidate, <math>Q_{\beta\beta}</math> [keV]</b>	<b>Half life [years]</b>	<b><math>\langle m_\nu \rangle</math> [eV]</b>
$^{48}\text{Ca}$ , 4271	$> 9.5 \times 10^{21}$	$< 8.3$
<b><math>^{76}\text{Ge}</math>, 2039</b>	<b><math>&gt; 1.9 \times 10^{25}</math></b>	<b><math>&lt; 0.35</math></b>
$^{82}\text{Se}$ , 2995	$> 2.7 \times 10^{22}$	$< 5$
$^{100}\text{Mo}$ , 3034	$> 5.5 \times 10^{22}$	$< 2.1$
$^{116}\text{Cd}$ , 2805	$> 7.0 \times 10^{22}$	$< 2.6$
<b><math>^{130}\text{Te}</math>, 2530</b>	<b><math>&gt; 3.0 \times 10^{24}</math></b>	<b><math>&lt; 0.38 - 0.58</math></b>
$^{136}\text{Xe}$ , 2476	$> 4.4 \times 10^{23}$	$< 1.8 - 5.2$
$^{150}\text{Nd}$ , 3367	$> 1.2 \times 10^{21}$	$< 3$



# The Heidelberg-Moscow Experiment

- 5 HPGe crystals at LNGS
- $^{76}\text{Ge}$ , with  $a = 87\%$  ( $a_{\text{nat}} = 7.4\%$ ) active mass: 11 kg
- Exposure (1990-2003): 71.7 kg yr

→  $\sim 10^5$   $2\nu\beta\beta$  events

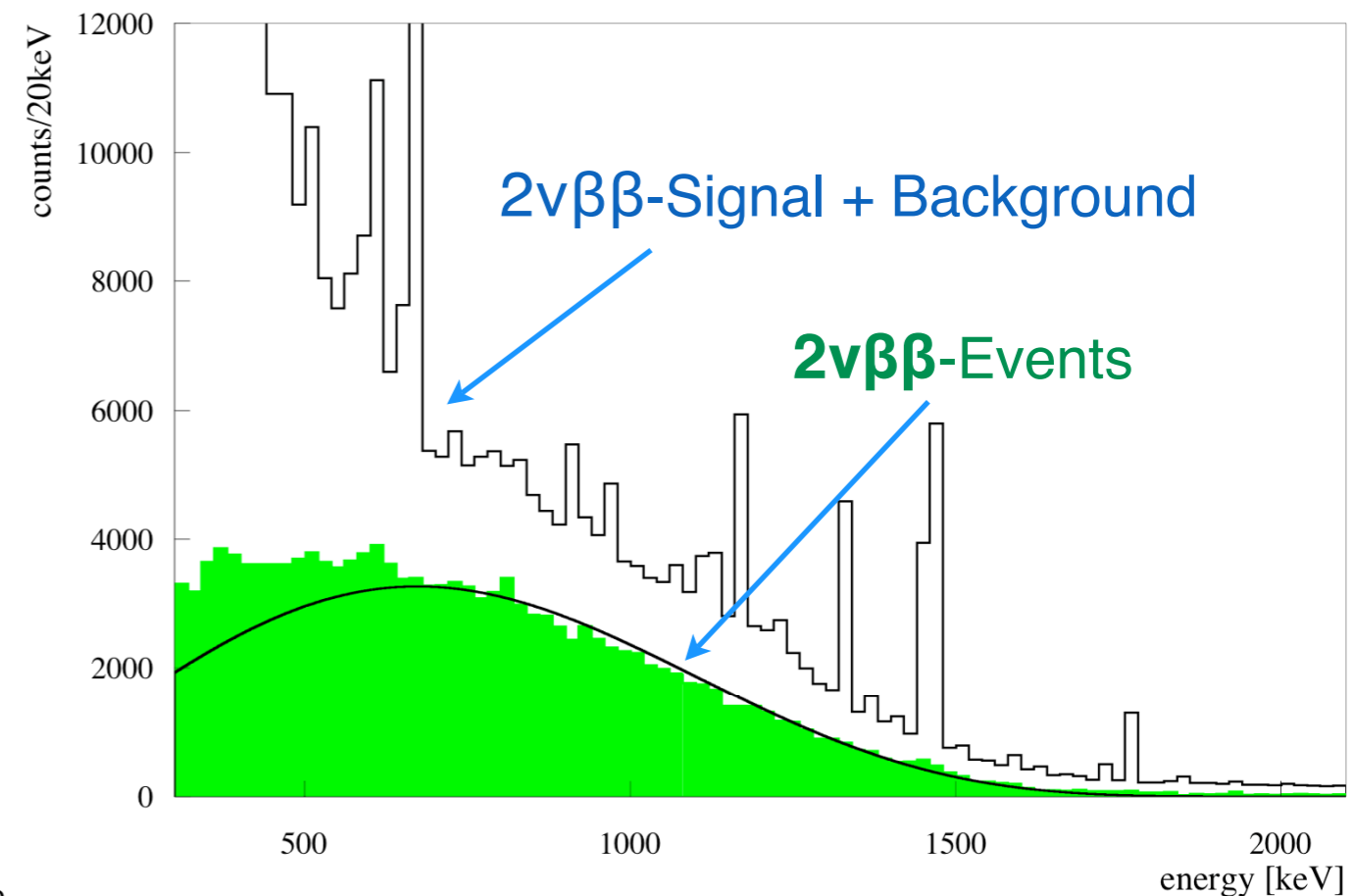
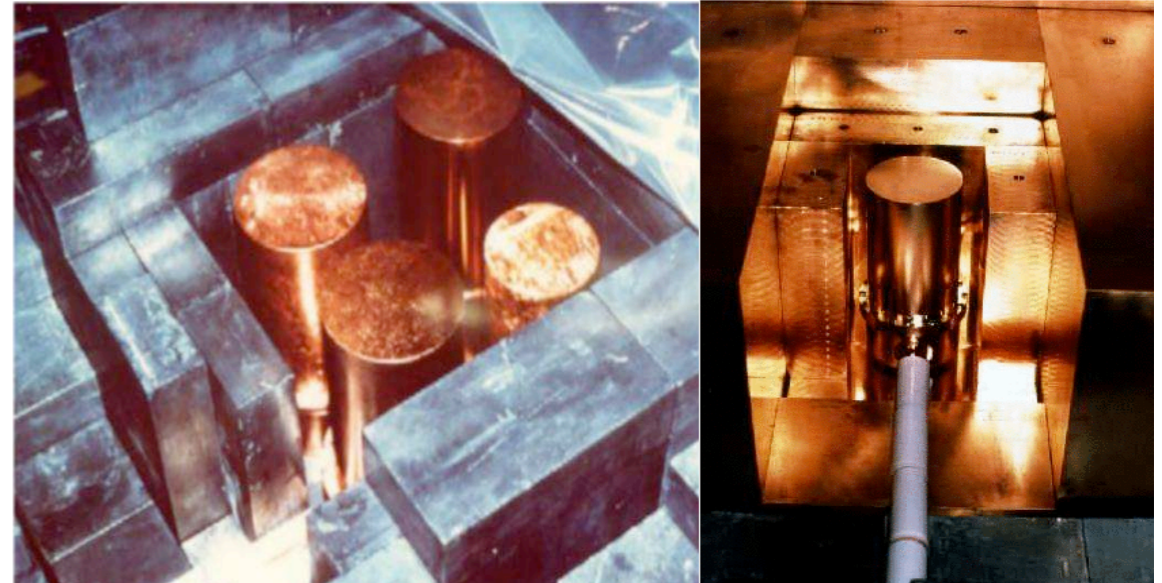
$$T_{1/2}^{2\nu} = 1.74 \times 10^{21} \text{ yr}$$

- Background in the  $0\nu\beta\beta$ -region:

→ 0.11 events/(kg keV yr)

$$T_{1/2}^{0\nu} > 1.9 \times 10^{25} \text{ yr}$$

$$\langle m_{\nu e} \rangle < 0.35 \text{ eV} \quad 90\% \text{ CL}$$



# Evidence for the Neutrinoless Decay Mode?

- **Peak at the Q-value of the decay**

$$T_{1/2}^{0\nu} = 1.2 \times 10^{25} \text{ yr}$$

- **Period 1990-2003:  $28.8 \pm 6.9$  events**
- **Period 1995-2003:  $23.0 \pm 5.7$  events**

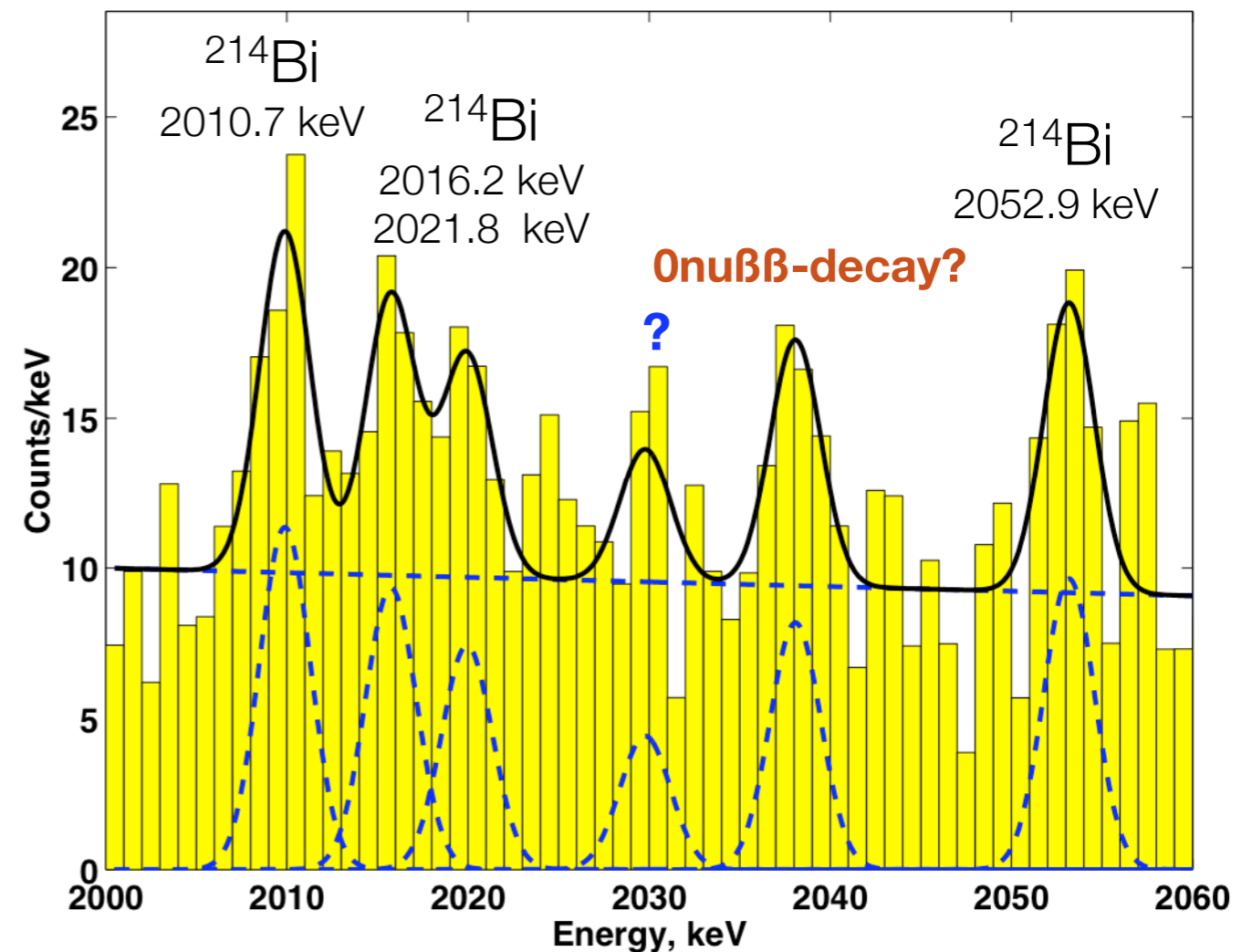
➔ 4.1- 4.2  $\sigma$  evidence

$$\langle m_{\nu e} \rangle = 0.44 \text{ eV} \quad (0.3 - 1.24) \text{ eV}$$

- **'Evidence' remains unclear**

➔ **it should be tested with larger, increased sensitivity experiments**

H.V.Klapdor-Kleingrothaus et al., Phys. Lett. B 586 (2004) 198



# The GERDA Experiment

- **Idea: operate bare HPGe-crystals in liquid argon (LAr) cryostat**

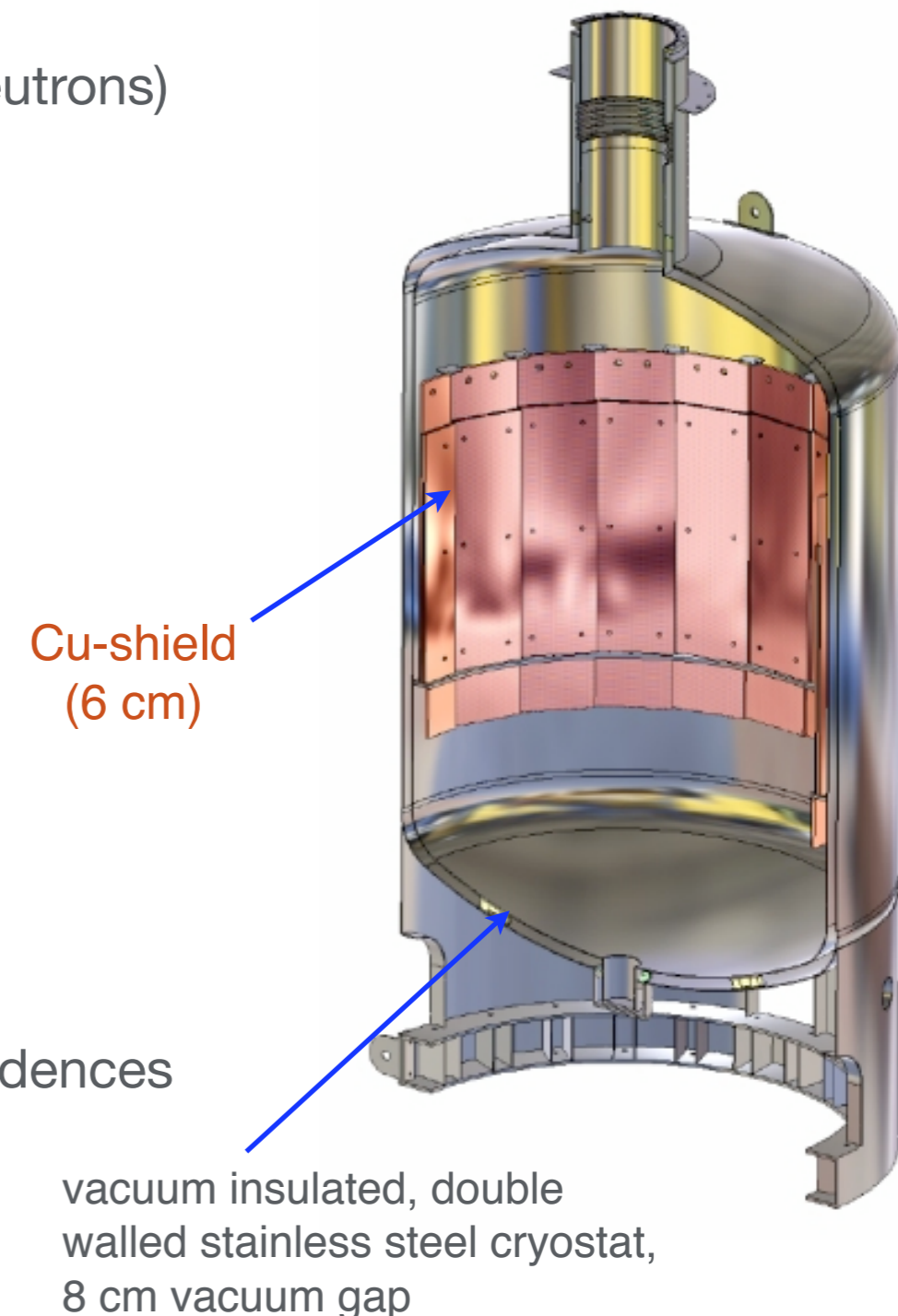
- ➔ LAr: shielding against external background (gamma, neutrons)
- ➔ LAr: cooling medium for the Ge diodes ( ~ 87 K)

- **Internal background:**

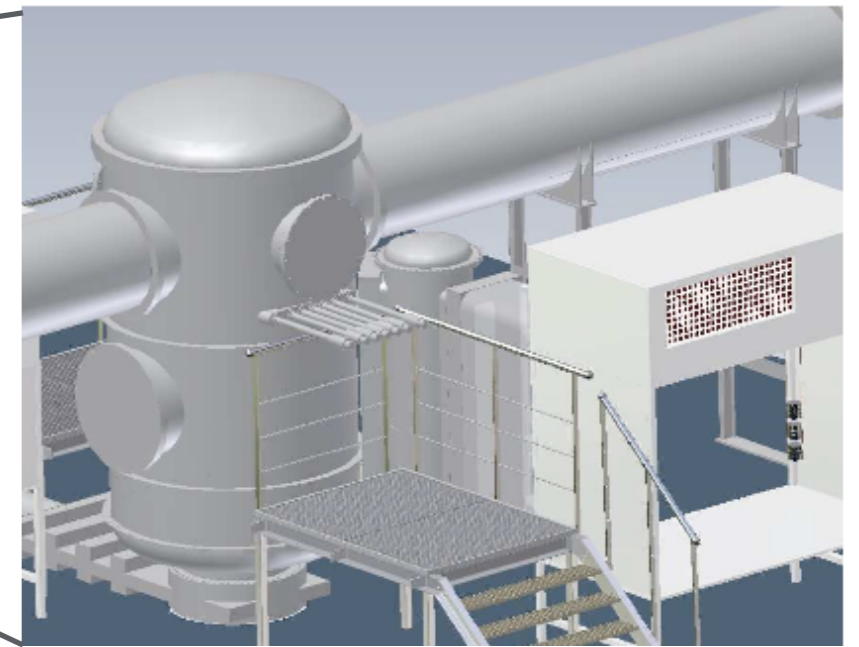
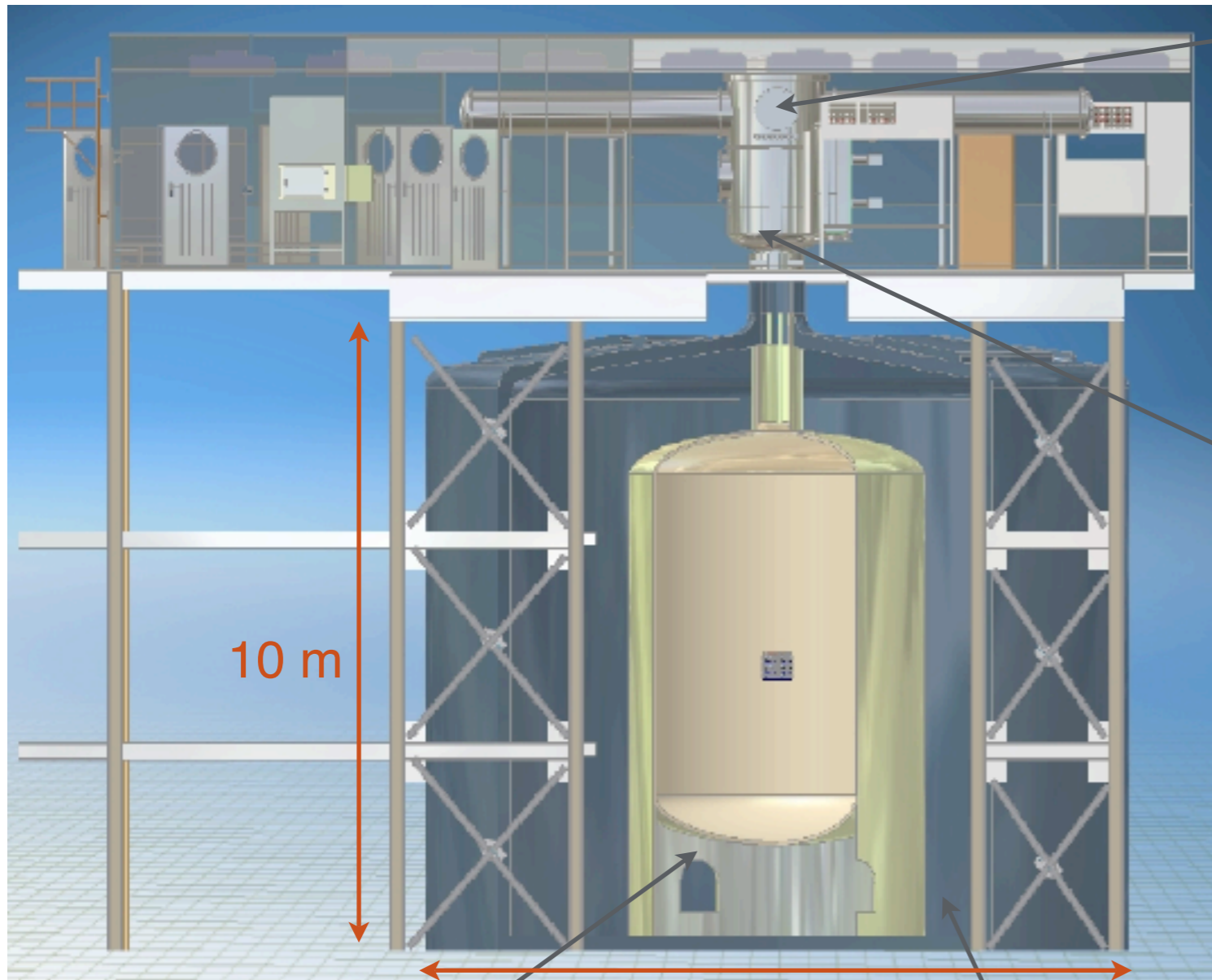
- ➔ minimize amount of material close to crystals
- ➔ minimize exposure to cosmic rays
- ➔ use pulse shape information of events

- **If LAr is instrumented with photo detectors:**

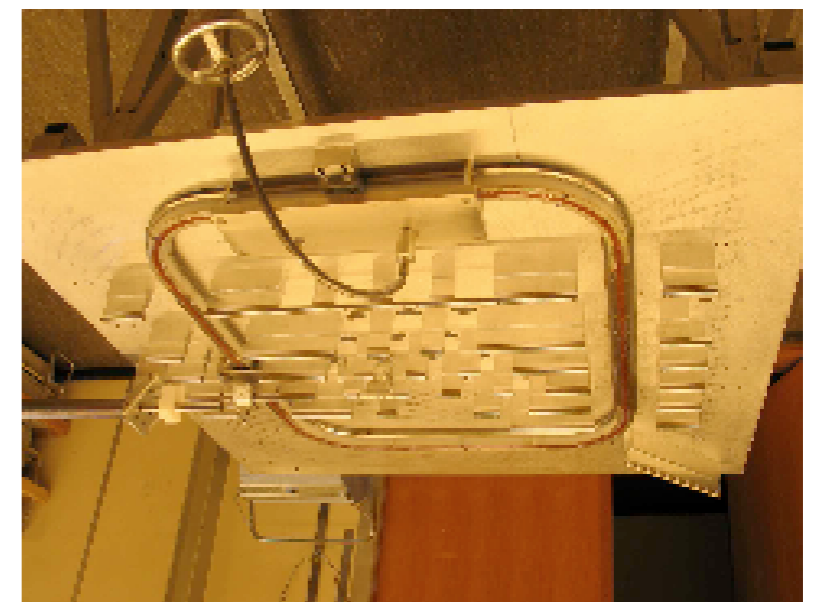
- ➔ additional background rejection through Ge-LAr coincidences



# The GERDA Experiment: Schematic View



Lock system



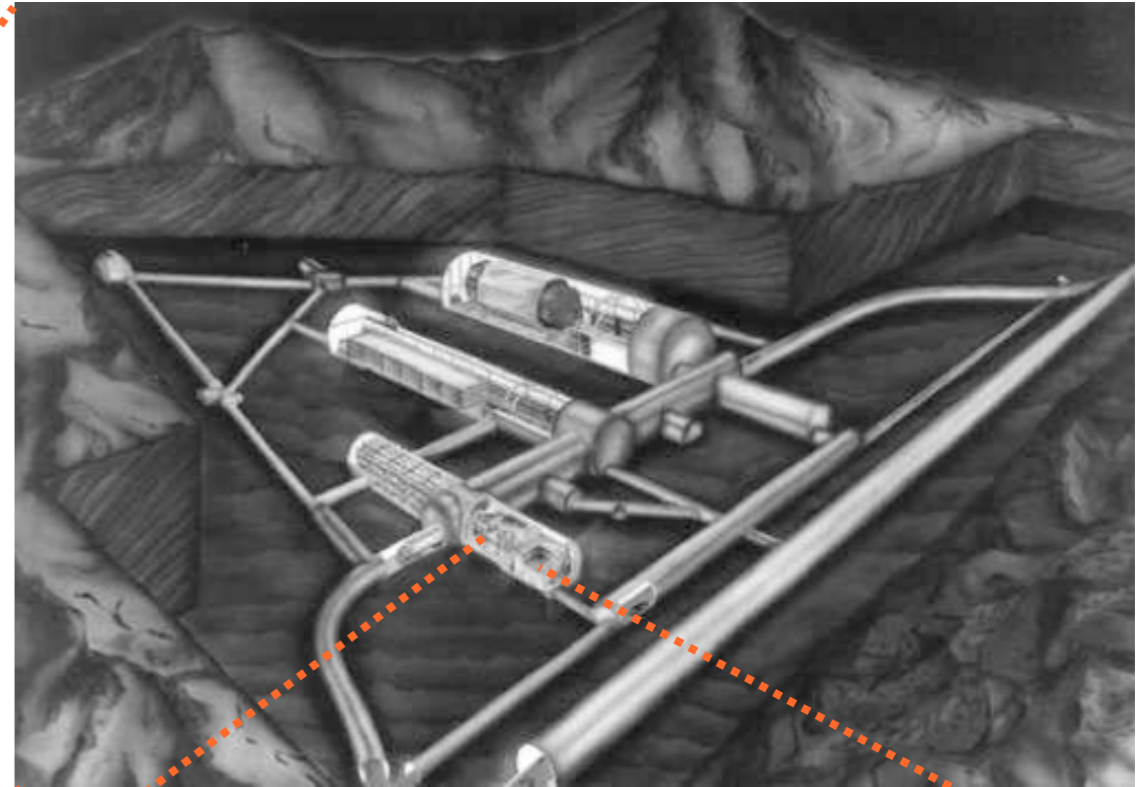
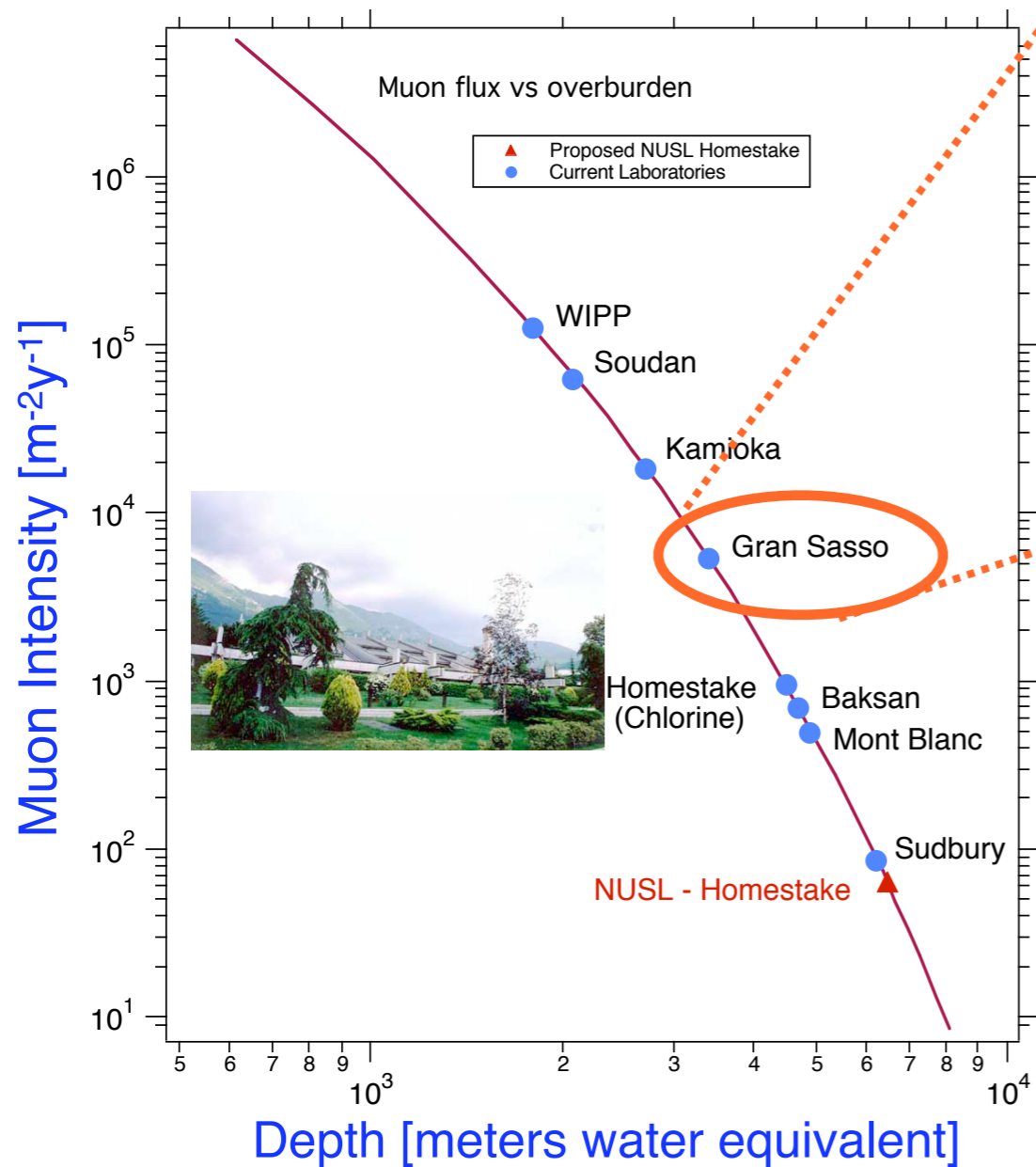
Rail system for detector strings

Stainless cryostat  
(65 m<sup>3</sup> LAr)

10 m  
10 m  
Water tank  
(650 m<sup>3</sup> H<sub>2</sub>O; 66 PMTs as veto for muons)

# The GERDA Experiment at the Gran Sasso Lab

- $\sim 3100$  m.w.e; muon flux  $\approx 1 \text{ m}^{-2} \text{ h}^{-1}$



# The GERDA Collaboration

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## **Univ. Tübingen, Germany**

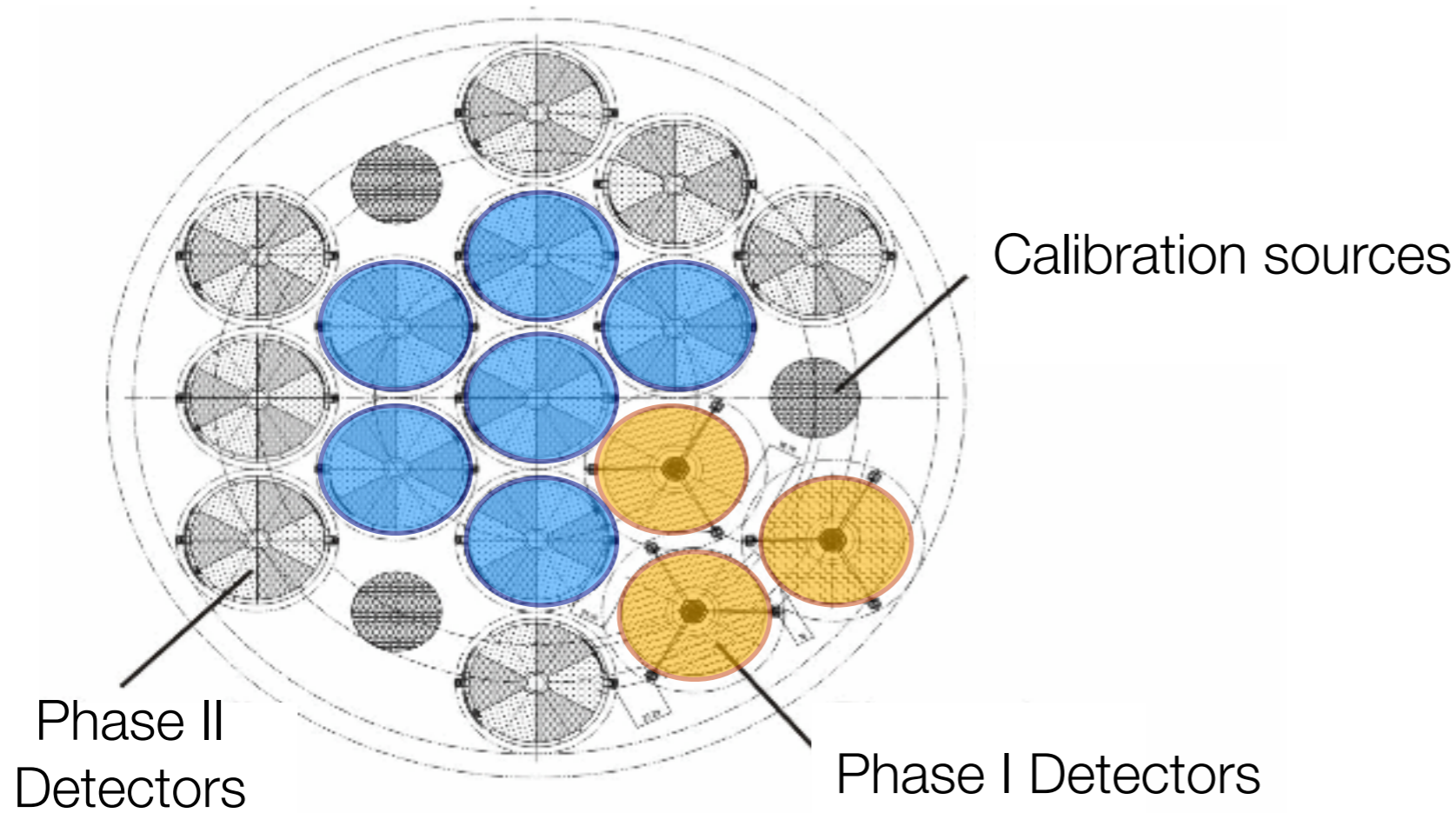
M. Bauer, H. Clement, P. Grabmayer, J. Jochum, S. Scholl,  
K. Rottler

## **Univ. of Zürich, Switzerland**

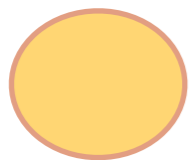
L. Baudis, A. Ferella, F. Froberg, R. Santorelli, M. Tarka

**17 Institutions, 6 Countries**

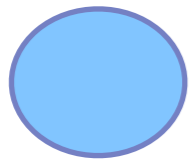
# The GERDA Detector Array



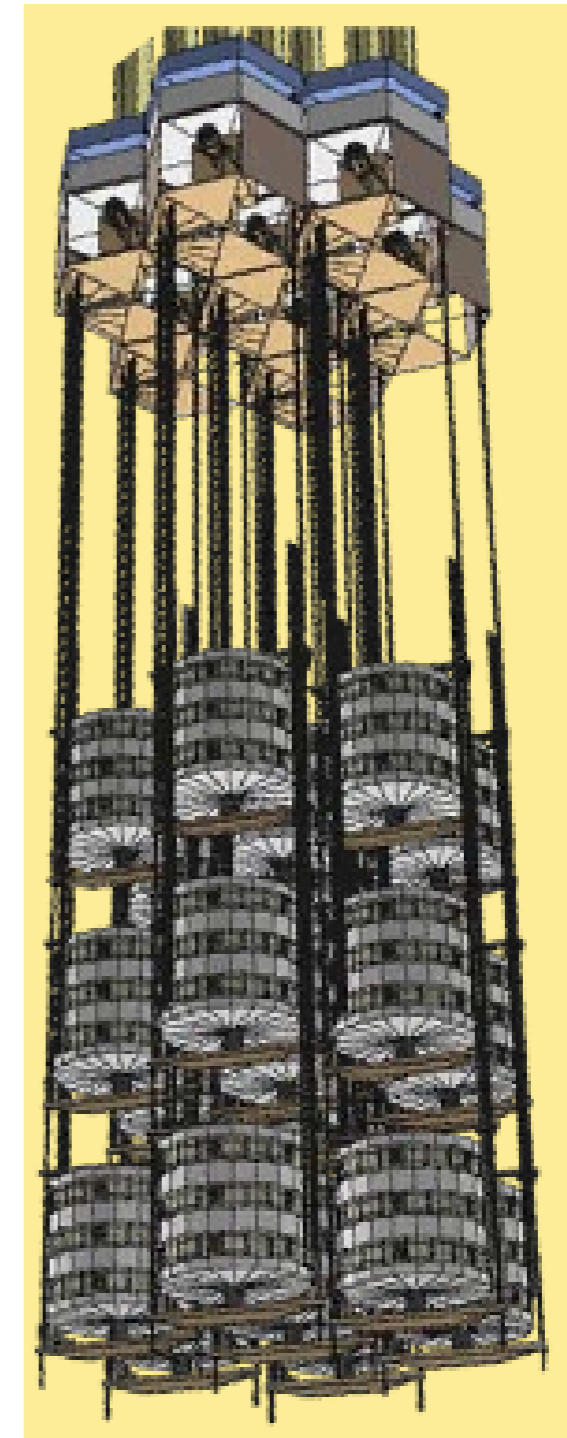
~ 40 cm



Phase I detectors ( ~18 kg, 8 x  $^{76}\text{Ge}$  )



Phase II detectors ( ~22 kg, 14 x  $^{76}\text{Ge}$  )



# GERDA Phase I

- 8 enriched  $^{76}\text{Ge}$  detectors (Heidelberg-Moscow and IGEX) ~ 18 kg (+ 6 non-enriched HPGe, 15 kg)
- Planned exposure = 30 kg yr
- Background:  $B \approx 10^{-2}$  events/(kg keV yr)

➔ **Sensitivity reach:**

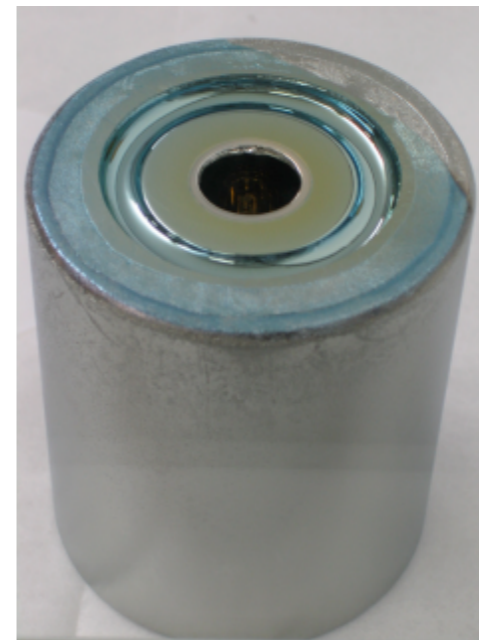
$$T_{1/2}^{0\nu} > 3.0 \times 10^{25} \text{ yr}$$

$$\langle m_{\nu e} \rangle < 0.27 \text{ eV}$$

- If Klapdor-Kleingrothaus signal is true, the expectation for GERDA is:

➔ 13 signal events and 3 background events in  $\Delta E = 10$  keV interval around the Q-value of the decay ( $Q = 2039$  keV)

$$\langle m_{\nu e} \rangle \approx 0.40 \text{ eV}$$





# Background Predictions for GERDA Phase I

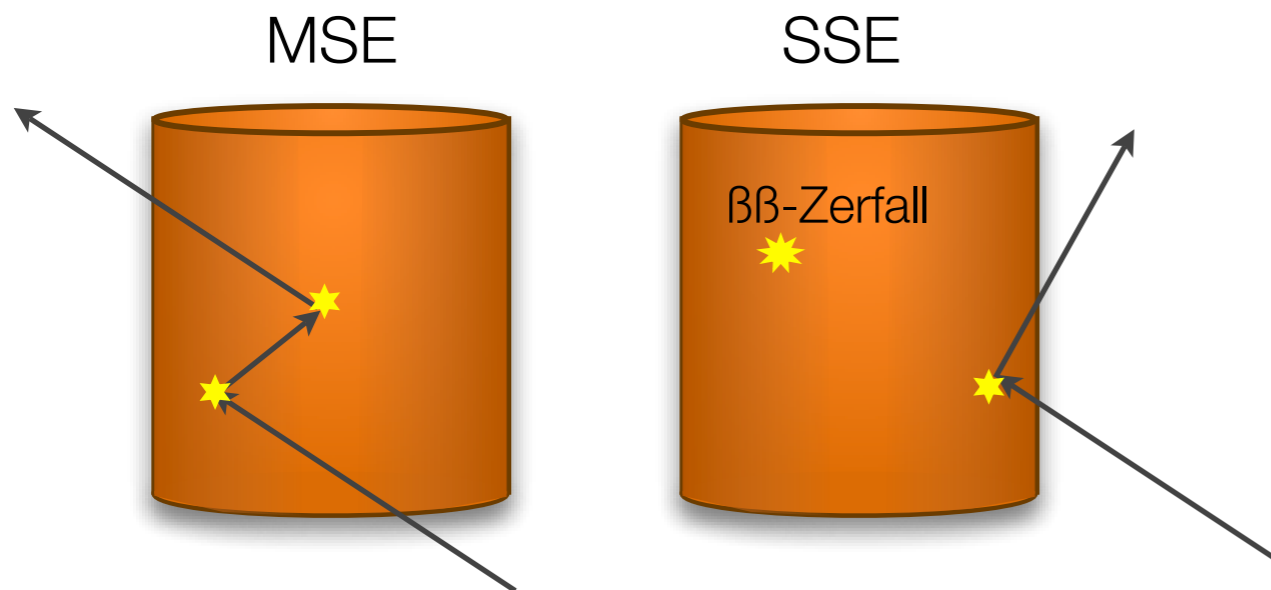
- From measured activities of material plus Monte Carlo simulations based on MaGe
- MaGe (Gerda-Majorana): Geant4 based, developed together with Majorana

Source	B [ $10^{-3}$ events/(keV kg yr)]
External gammas from $^{208}\text{Tl}$ ( $^{232}\text{Th}$ )	<1
External neutrons	<0.05
Muons (Veto)	<0.2
Int. $^{68}\text{Ge}$ ( $T_{1/2} = 270$ d)	<b>12</b>
Int. $^{60}\text{Co}$ ( $T_{1/2} = 5.27$ yr)	<b>2.5</b>
$^{222}\text{Rn}$ in LAr	<0.2
$^{208}\text{Tl}$ , $^{238}\text{U}$ in crystal holders	<1
Surface contamination	<0.6

After Muon-Veto  
 180 days exposure at the Earth surface after enrichment + 180 days de-activation below ground  
 30 days exposure after crystal growing

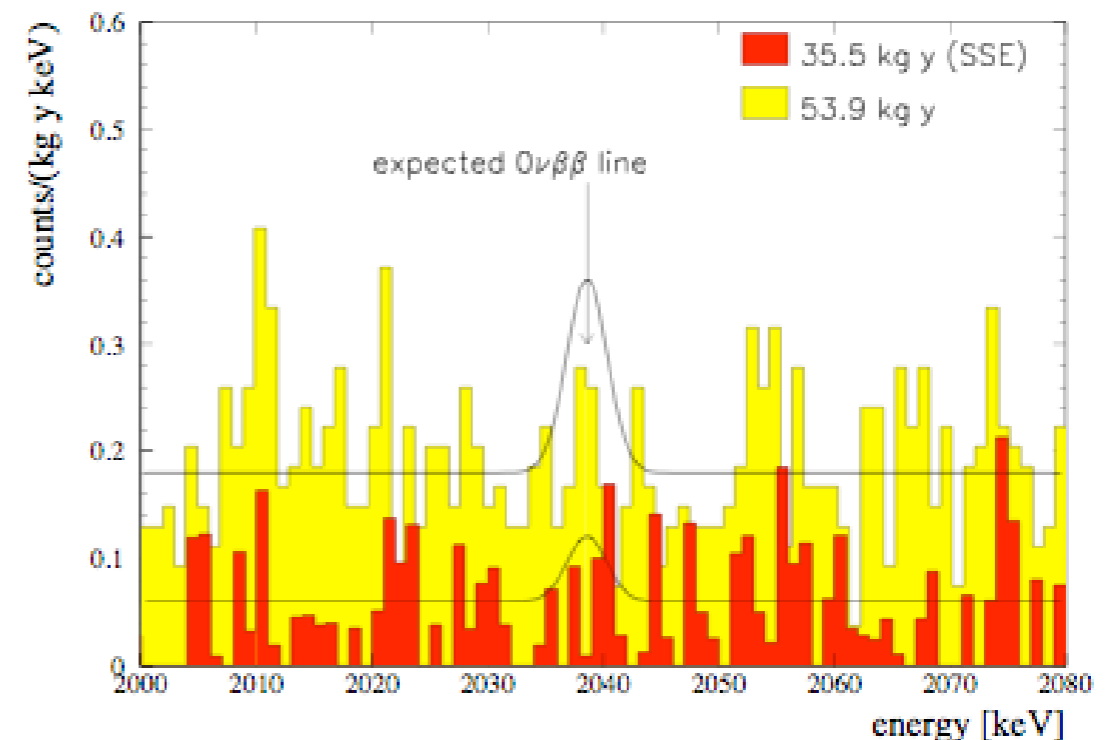
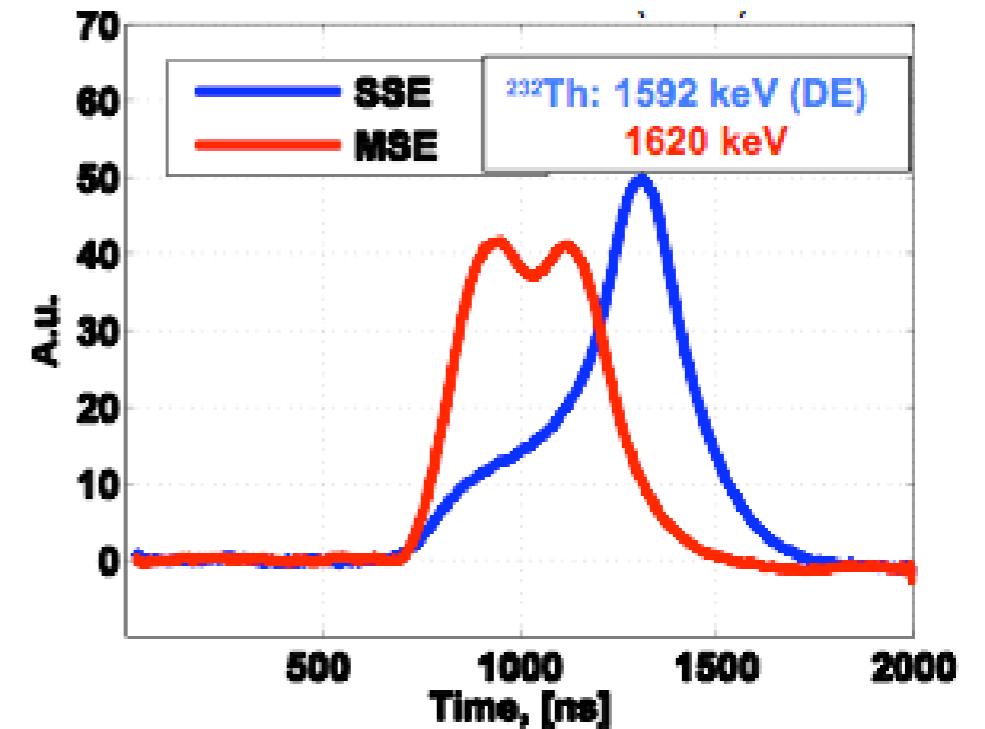
# Background GERDA Phase I

- further BG suppression by pulse shape analysis



- for instance, in Heidelberg-Moscow:  
background reduction in the  $\beta\beta$  region:

➔ ~ Faktor 3



# GERDA Phase II

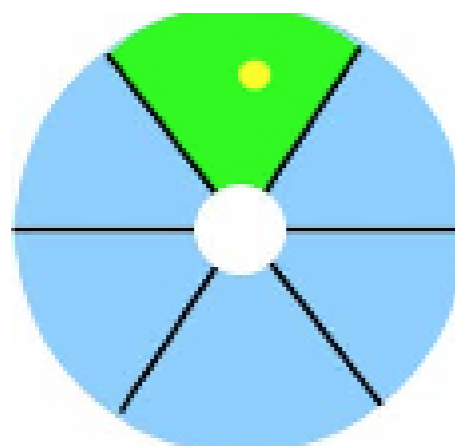
- 14  $^{76}\text{Ge}$ , 18-fold segmented detectors + 8 phase-I detectors, 40 kg
- Exposure = 150 kg yr, Background  $\mathbf{B} = 10^{-3}$  events/(kg keV yr)

➔ **sensitivity reach:**

$$T_{1/2}^{0\nu} > 15 \times 10^{25} \text{ yr}$$

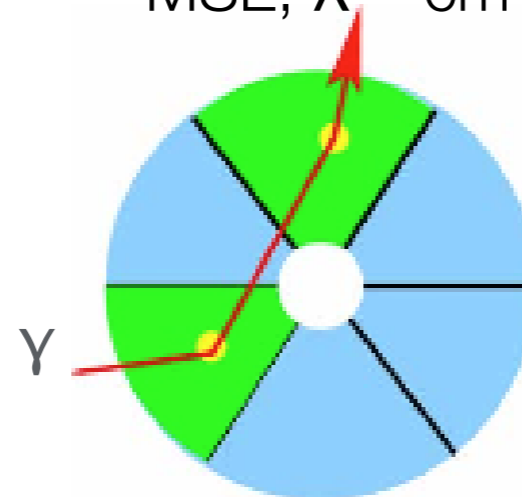
$$\langle m_{\nu e} \rangle < 0.11 \text{ eV}$$

SSE,  $\lambda \sim \text{mm}$

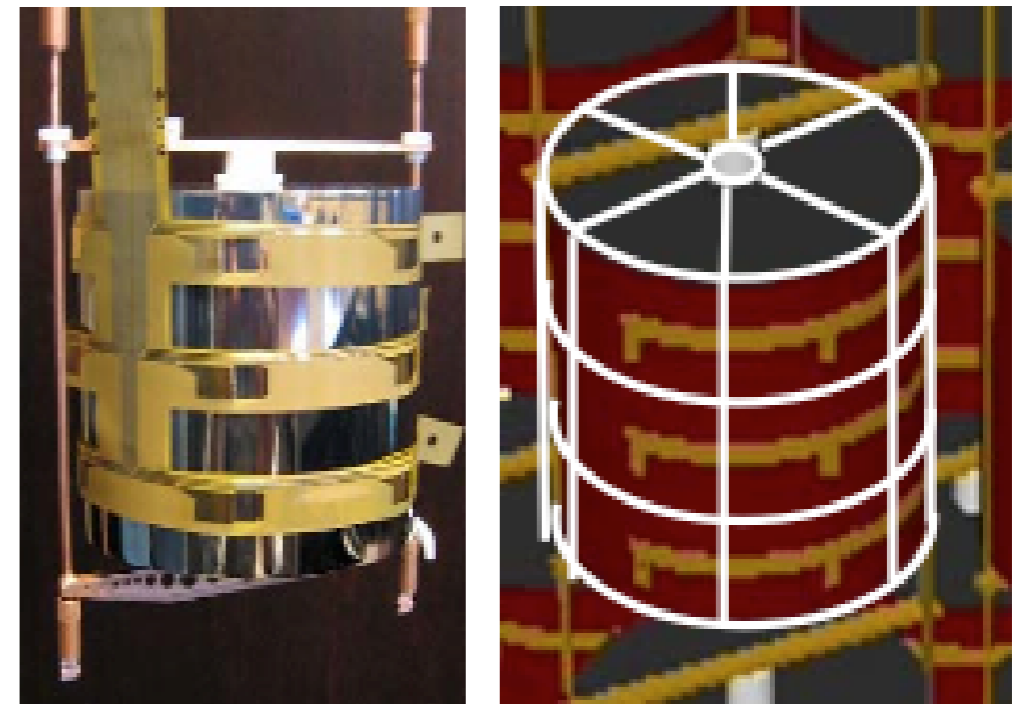


$\beta\beta$  - events

MSE,  $\lambda \sim \text{cm}$



gamma background



segmentation: 6 ( $\varphi$ ) x 3 (z)

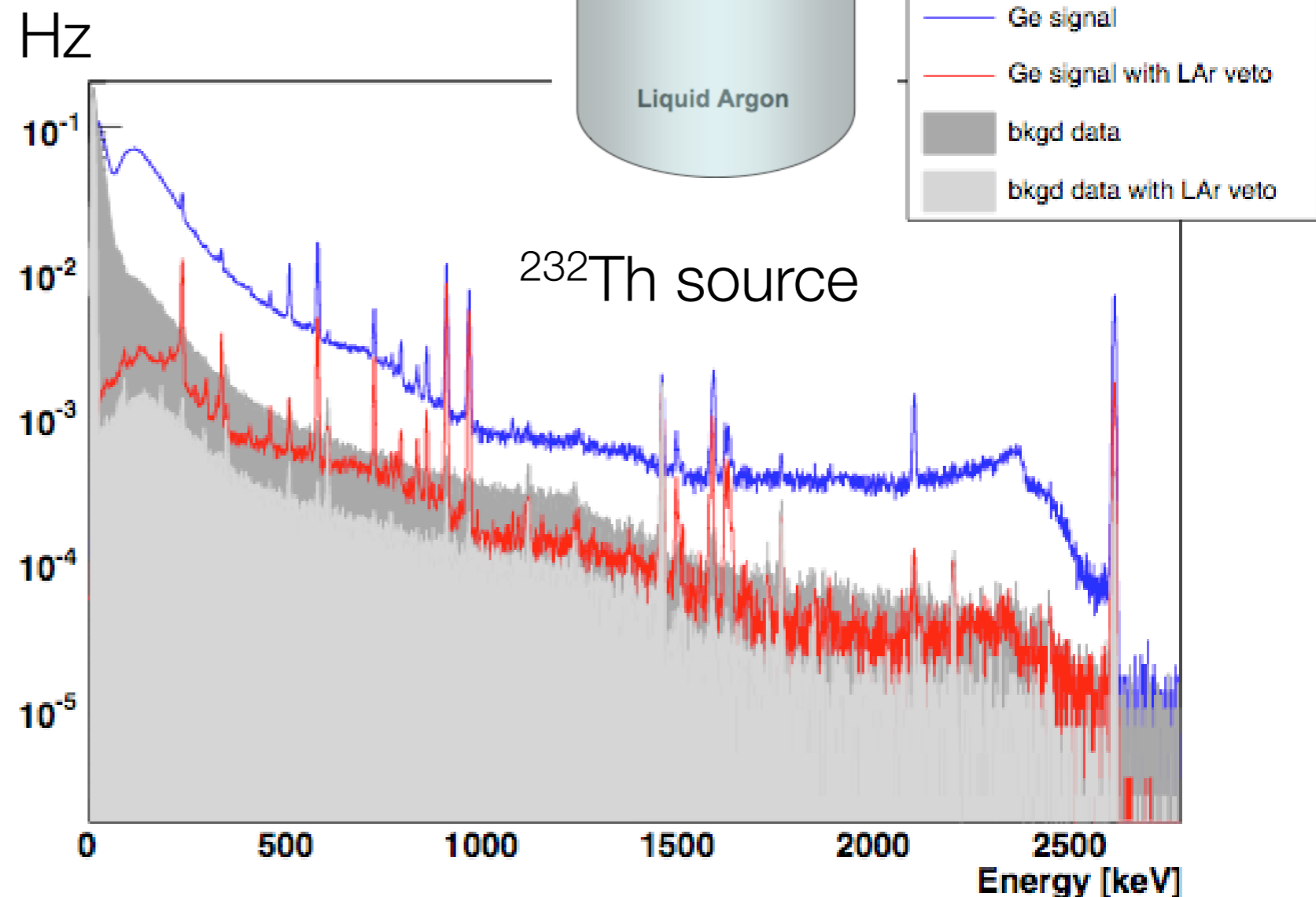
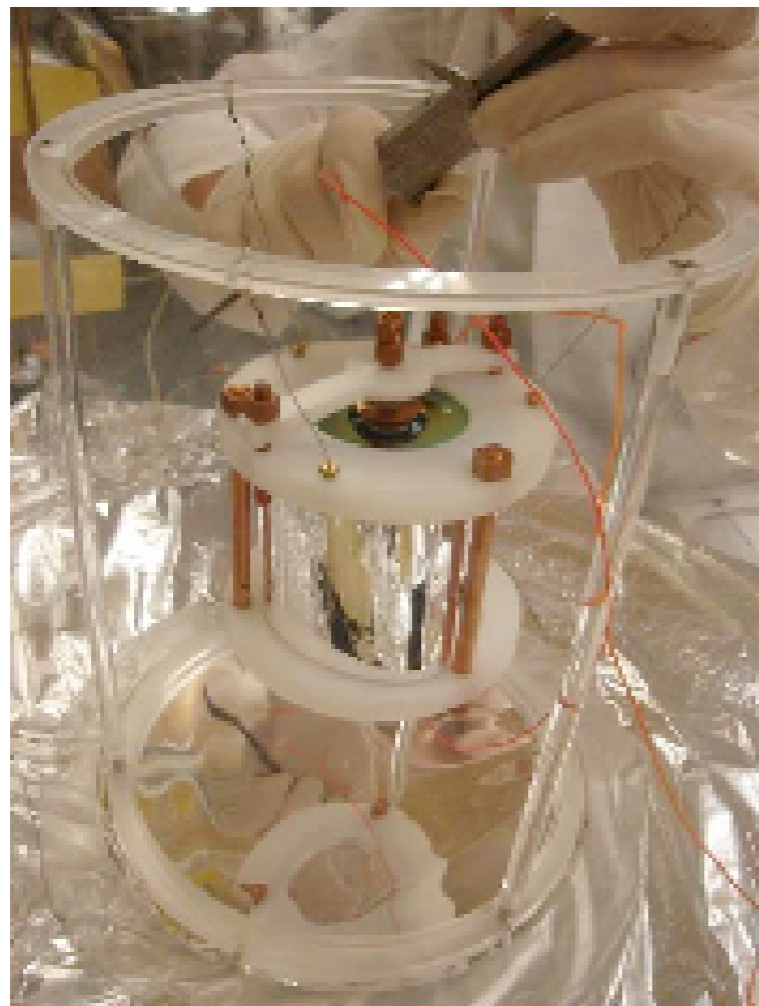
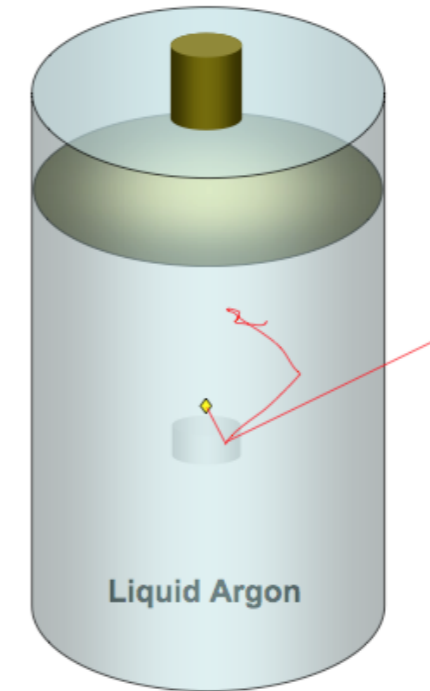
**segmentation:**

distinction between single-site (SSE) and multiple-site (MSE) events

# Background Reduction with LAr-Veto

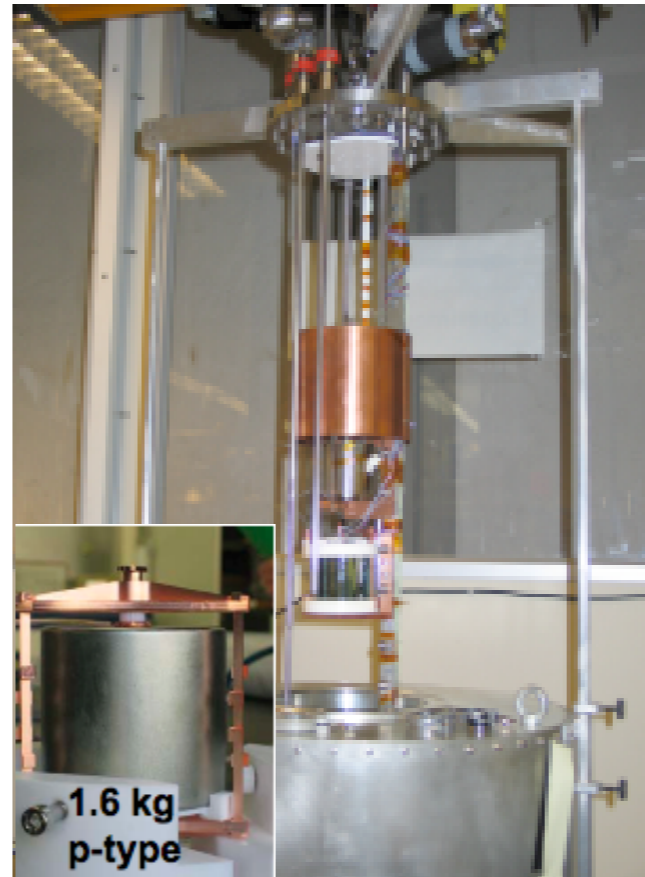
- Preliminary tests and Monte Carlo simulations yield:

➔ ~ **Factor 300** in the  $\beta\beta$ -energy region



# Tests of the GERDA Phase I Detectors

- At Gran Sasso GERDA Detector Lab (GDL)
- 17.9 kg enriched and 15 kg non-enriched
- define detector handling procedures
  - ➔ > 40 cooling cycles in LAr
- measure the leakage currents (LC)
  - ➔ after irradiation with gamma sources
  - ➔ operation with LC ~ 10 pA feasible



Energy resolution (at 1.332 MeV) and masses of phase I detectors:

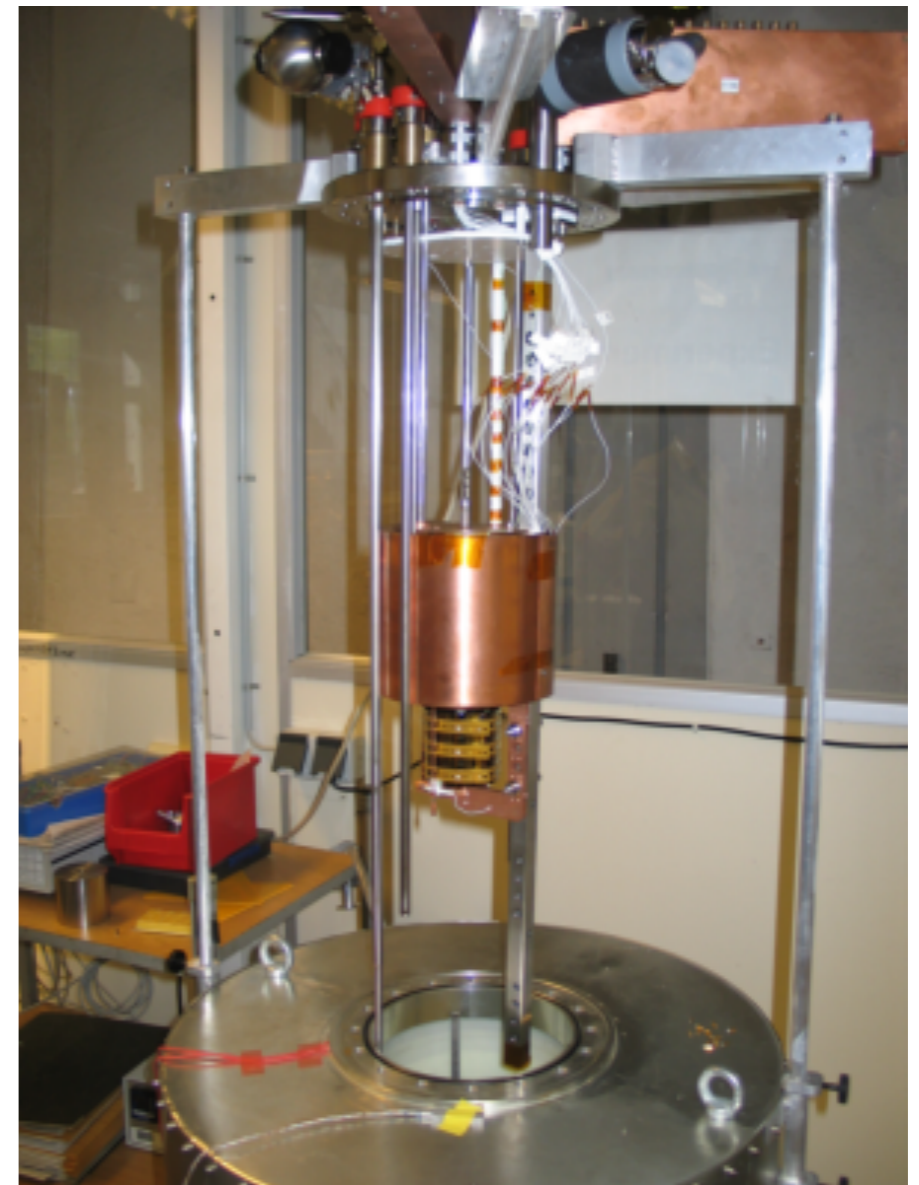
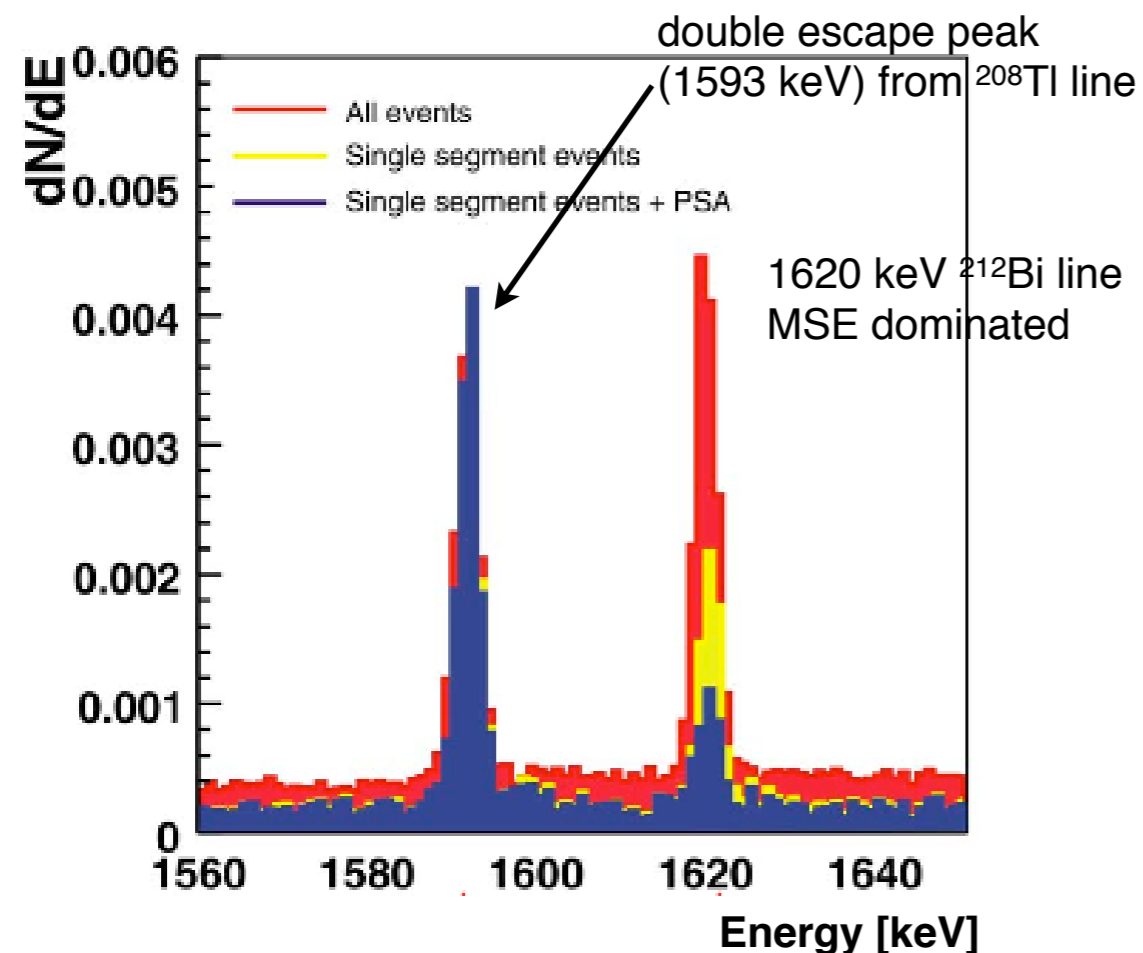
	ANG1	ANG2	ANG3	ANG4	ANG5	RG1	RG2	RG3
FWHM [keV]	2.54	2.29	2.93	2.47	2.59	2.21	2.31	2.26
Mass [kg]	0.98	2.91	2.45	2.40	2.79	2.15	2.19	2.12

# Phase II Detectors

- **New 18-fold prototype detector operate in liquid nitrogen for 4 months**

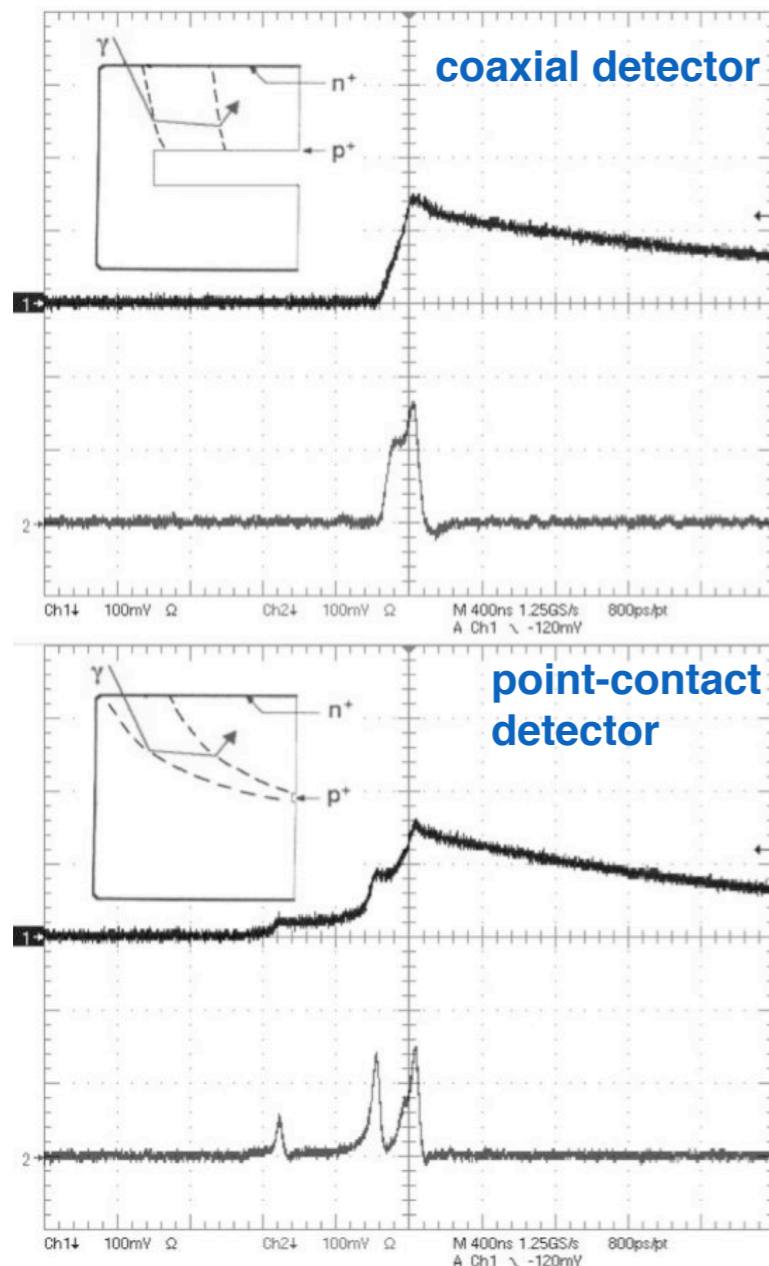
- ➔ stable leakage current ( $< 6$  pA)
- ➔ core resolution 4 keV (FWHM) at 1.3 MeV
- ➔ segment resolution 4.5 - 7 keV

- Next step: operation in LAr



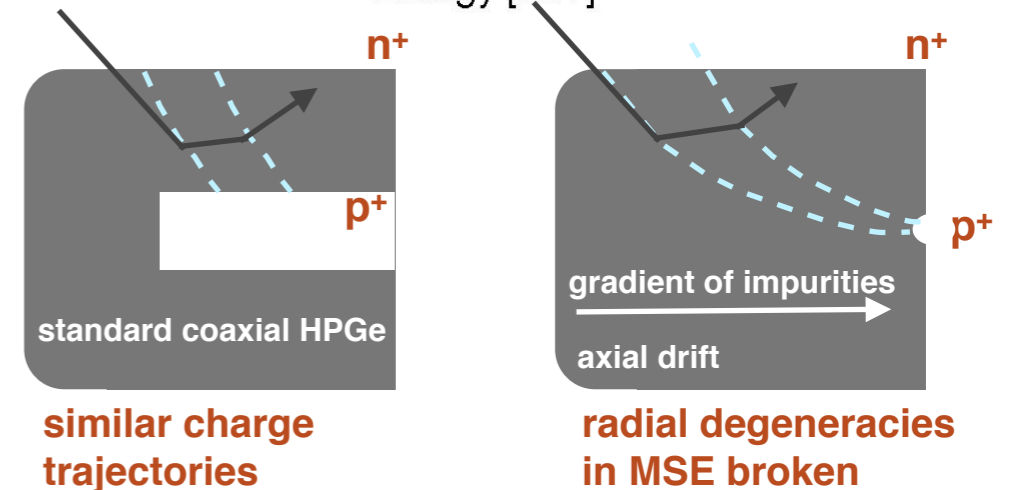
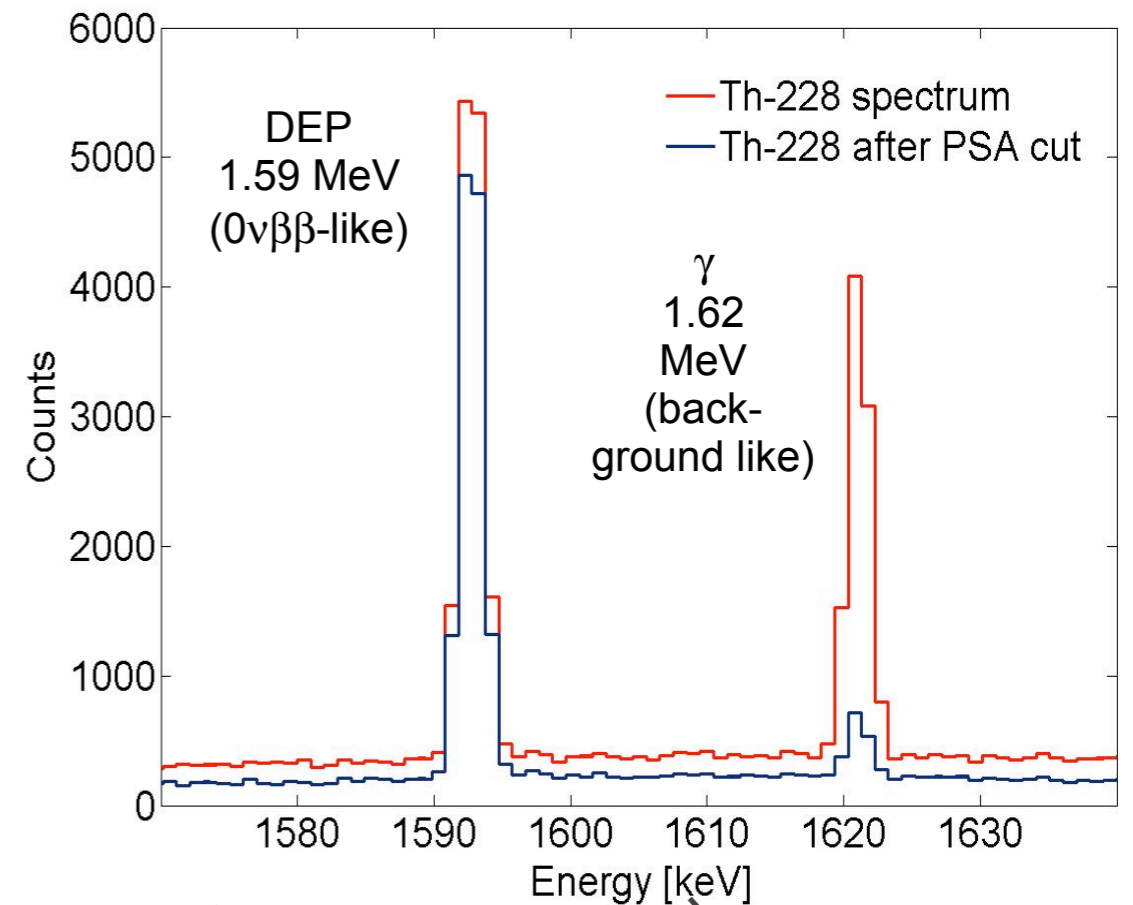
# Phase II Detectors

- BEGe (broad-energy) detectors with point contact are also being considered
- Very encouraging results on PSD with BEGe detector operated in conventional vacuum cryostat



**BEGe:** electric field distribution enhances the difference in charge carrier drift times depending on the interaction site

=> improved SSE vs MSE discrimination compared to standard coaxial detectors



From Barbeau, Collar, Tench, JCAP 09 (2007) 009

# Status of the GERDA Experiment



August 2007, floor plate for water tank



December 2007



# Status of the GERDA Experiment

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The GERDA cryostat was delivered at LNGS on March 6, 2008 and installed



# Status of the GERDA Experiment



Water tank installation: April - June 2008

Installation of super structure



July 2008

# Swiss Contributions to GERDA

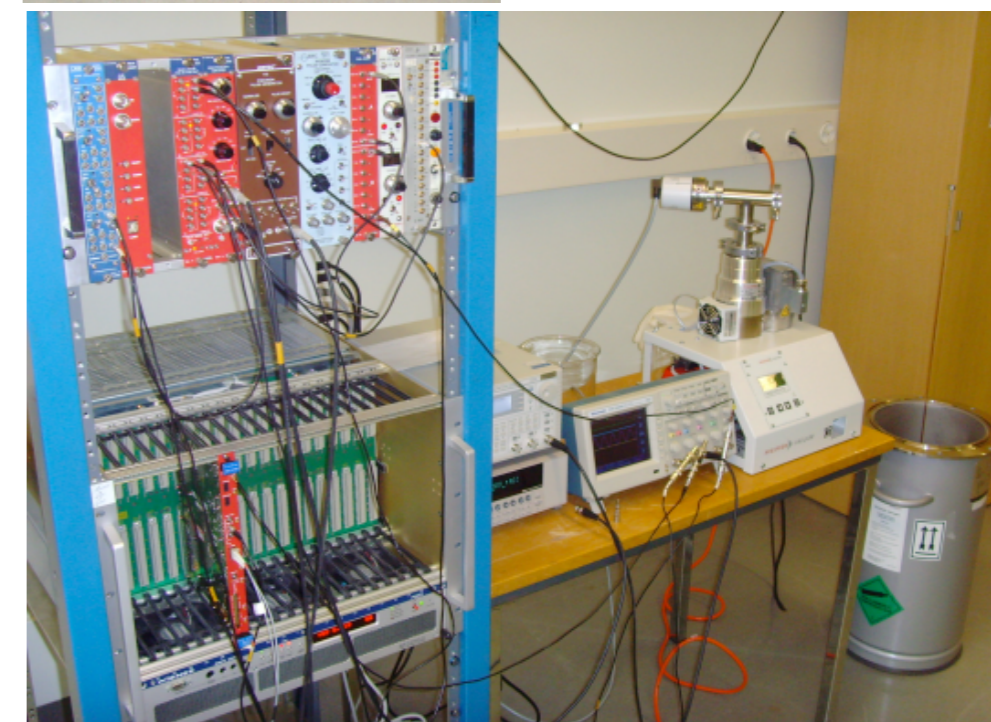
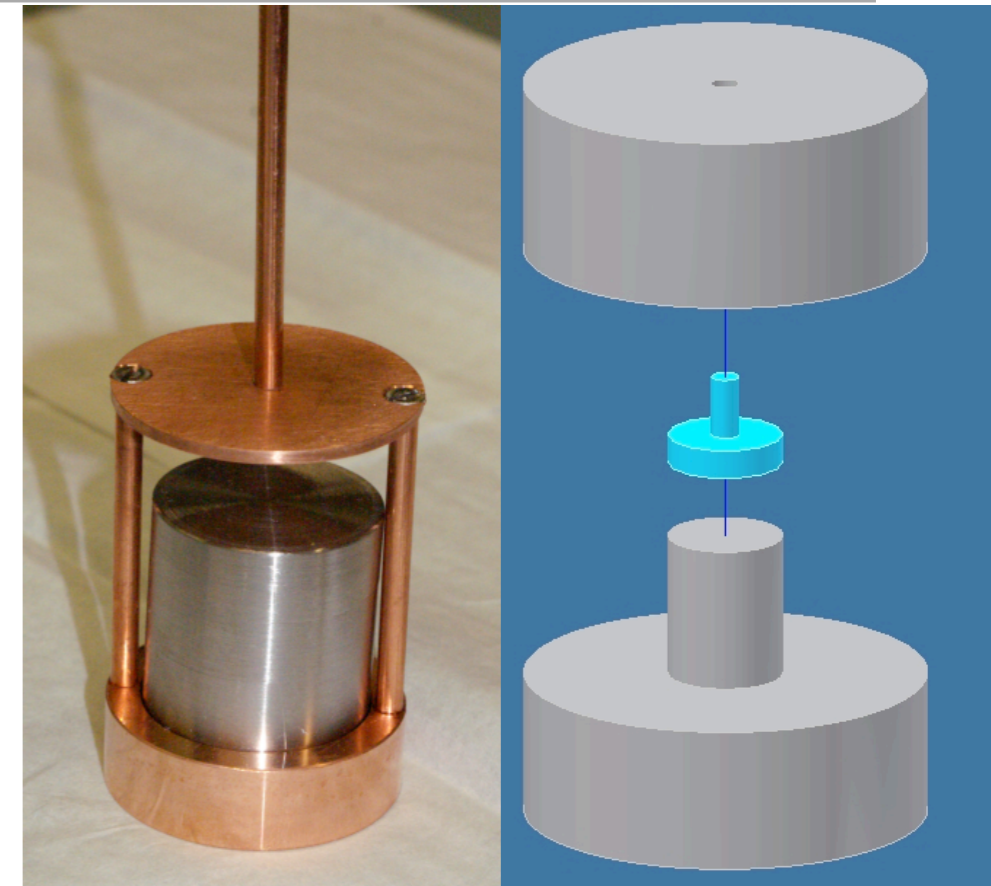
- **Calibration system for Phase I**

- ➔ test different source-collimator configurations, for example  $^{228}\text{Th}$  sources in W + Cu collimators
- ➔ estimate gamma and neutron BG in source parking position
- ➔ estimate source strength for energy and PSD calibrations

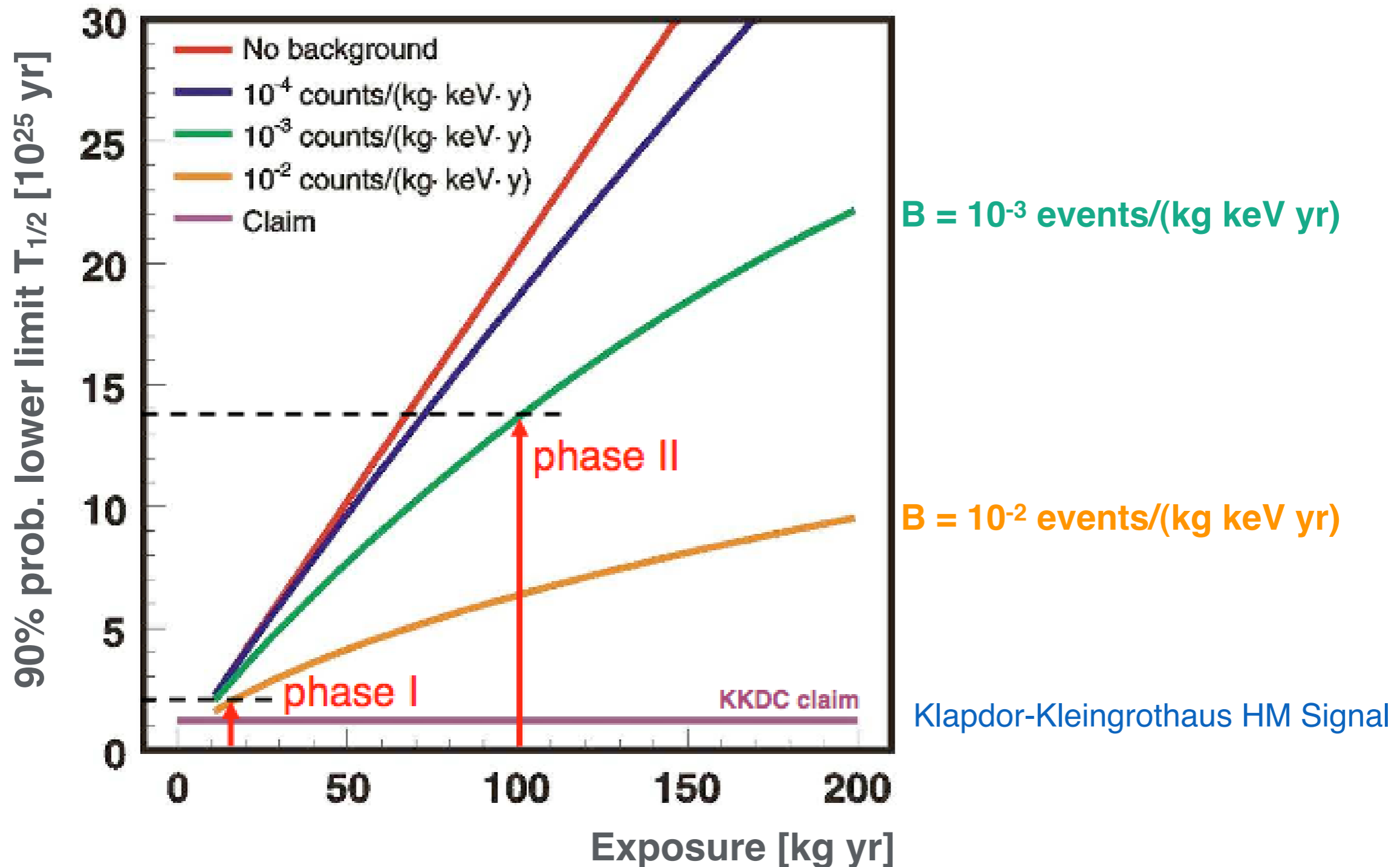
- **Test facility for Phase II detectors at UZH**

- ➔ electronics and DAQ ready and tested
- ➔ first HPGe detector in LAr cryostat to be operated in Dec 08
- ➔ test mock up calibration system and calibration MCs
- ➔ test phase II GERDA prototypes (non-enriched)

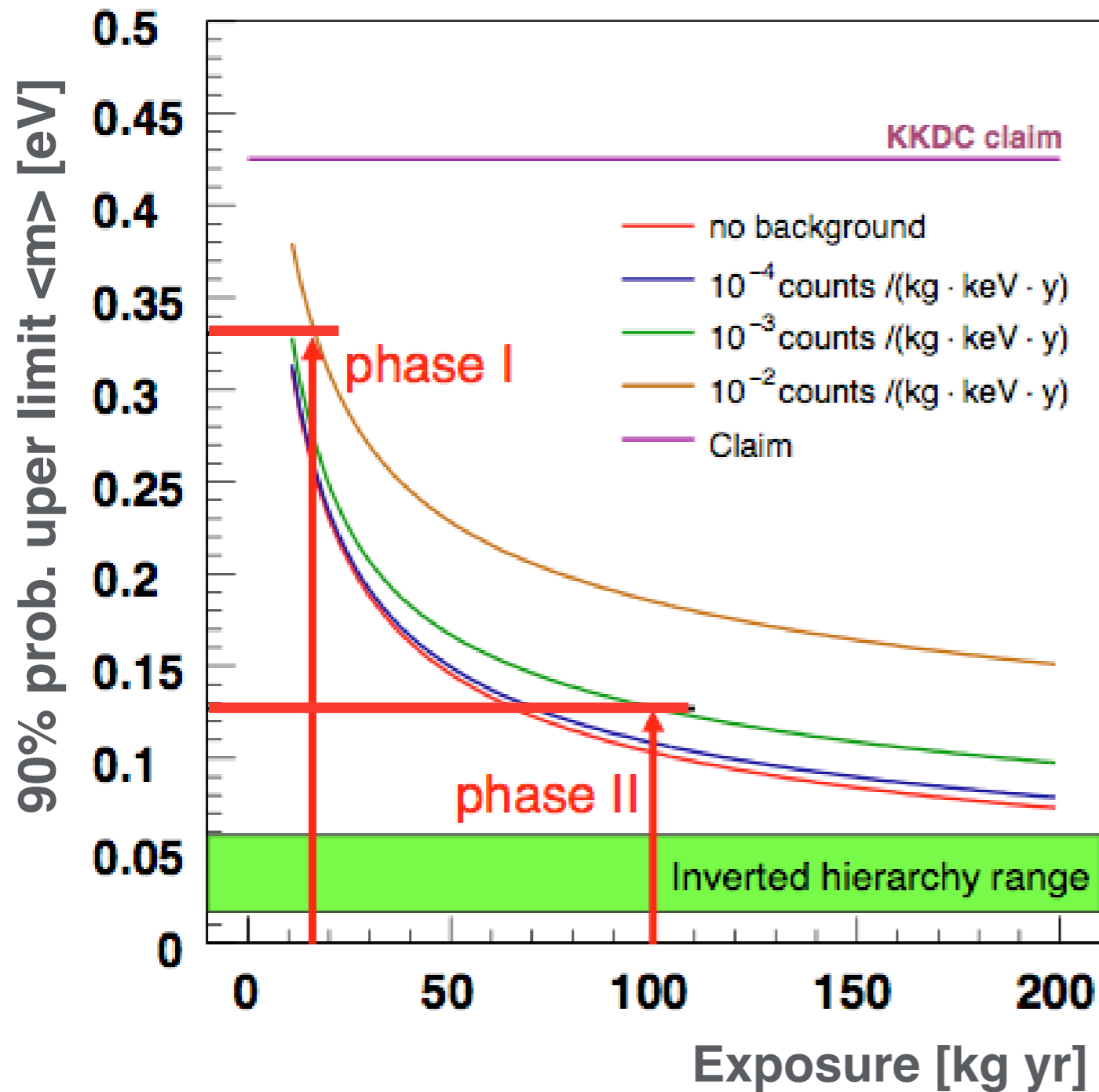
- **Study of GERDA sensitivity to solar axions**



# Expected Sensitivity of GERDA for $T_{1/2}$



# Expected Sensitivity of GERDA for $\langle m_{\nu e} \rangle$



Klapdor-Kleingrothaus HM Signal

$$|M^{0\nu}| = 3.92$$

V.A. Rodin et al., Nucl. Phys. A 366 (2006) 107-131, Nucl. Phys. A793 (2007) 213-215

$B = 10^{-2}$  events/(kg keV yr)

$B = 10^{-3}$  events/(kg keV yr)

# Summary and Outlook

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- Strong evidence for non-zero neutrino masses
- Many open questions: absolute mass scale, Dirac versus Majorana, CP violation, origin of small neutrino masses, origin of large mixing, size of  $\Theta_{13}$ , etc
- **GERDA is a new  $\beta\beta$  experiment which may answer some of these questions**
- LAr cryostat, water tank, super-structure are in place
- Phase I detectors processed and tested (+ strong efforts on Phase II detectors)
- Calibration system design is being finalized
- **Next steps:**
  - ➔ clean room construction: Jan - March 09
  - ➔ muon veto construction: March - April 09
  - ➔ LAr filling: spring 2009
  - ➔ commissioning of Phase I: summer 2009

End

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# Muon Veto

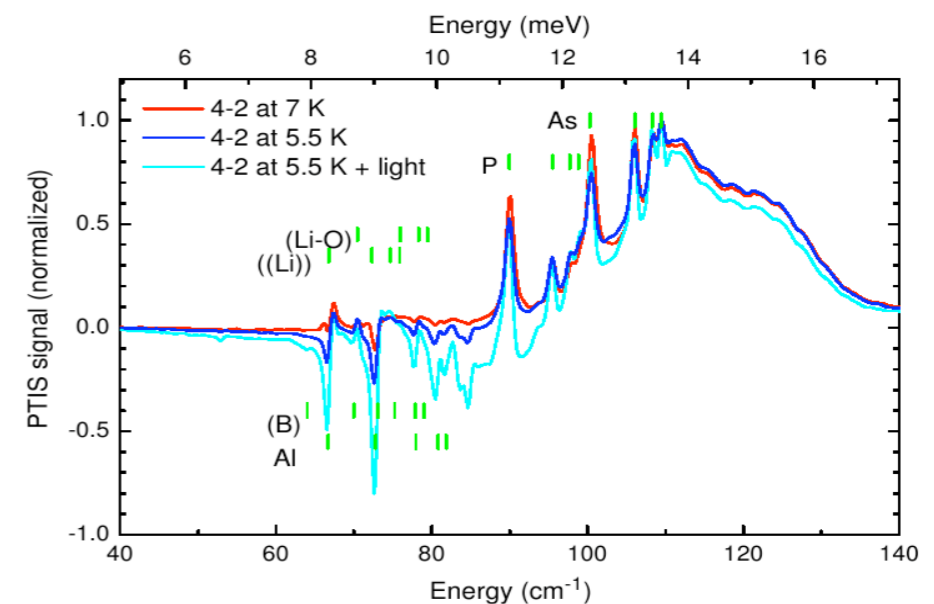
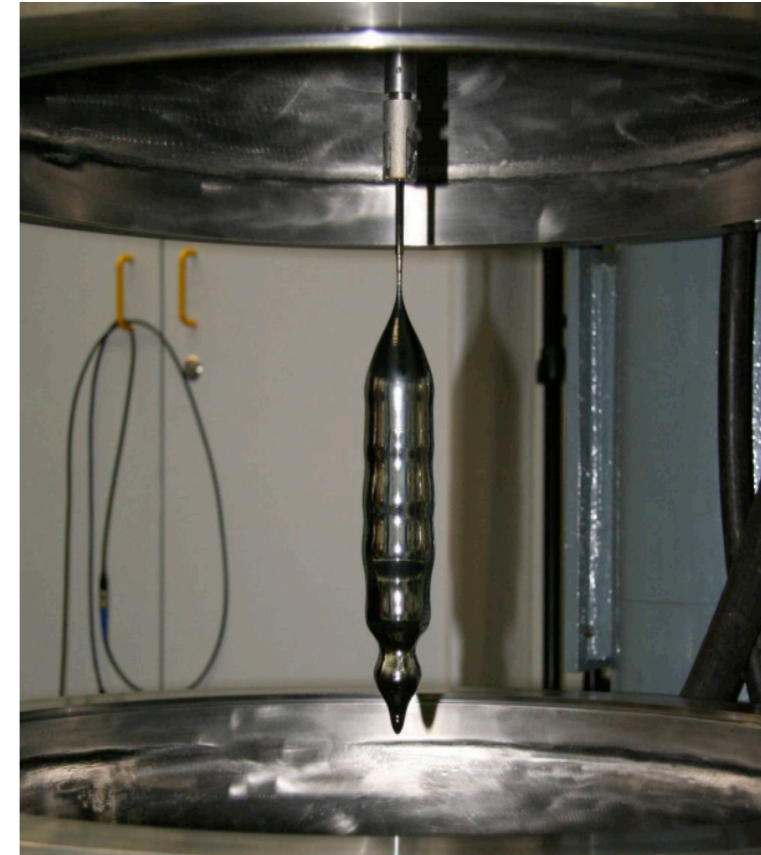
- PMTs encapsulated and tested
- Muon DAQ and slow control under development
- Next step: installation at LNGS
- 1st batch of plastic muon panels delivered to LNGS





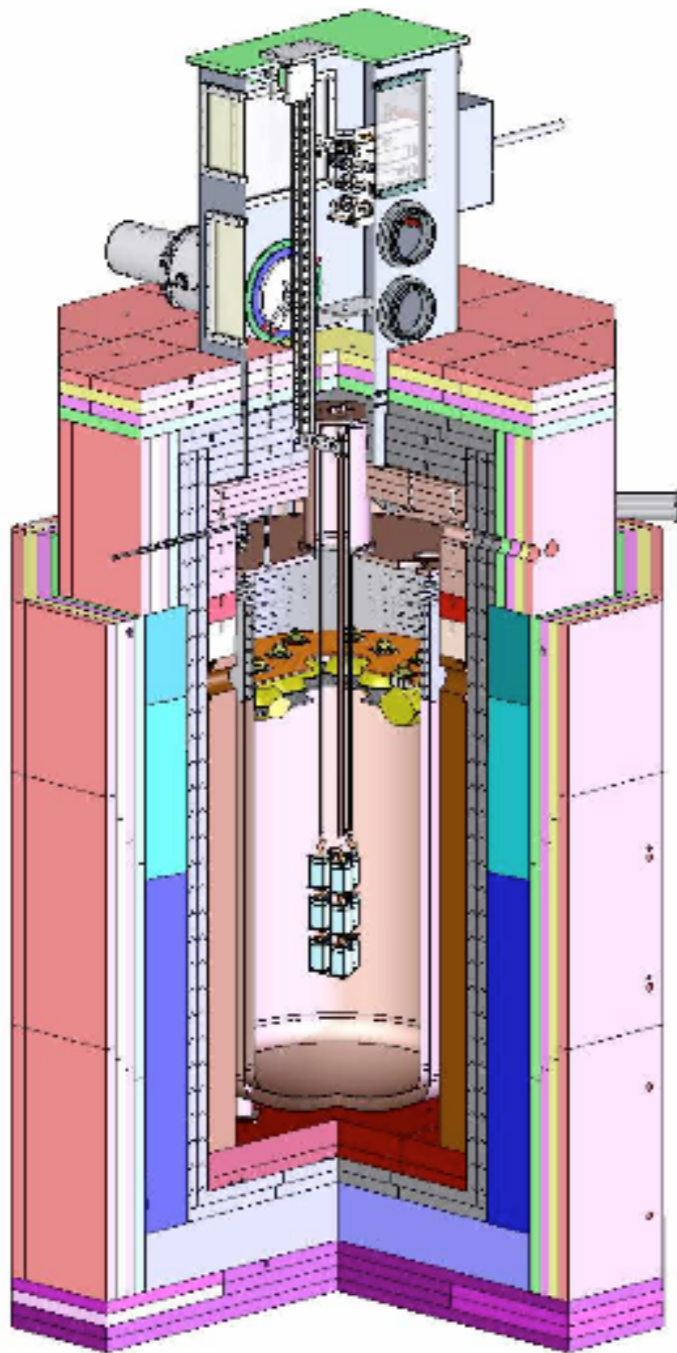
# Crystal pulling and characterization

- 7 crystals pulled at IKZ for characterization with low-temp.
- Hall effect, PTIS, PL
- Starting material standard 6N, and one xtal from depleted material purified by PPM
- Impurity level  $10^{13}/\text{cm}^3$  ( $10^{11}/\text{cm}^3$  for ped. method); not yet sufficient for HP-Ge production
- Improvements ongoing
- Increase turn around for crystal characterization
- Possibilities of crystal pulling by Canberra (p- and n-type)



# Background Reduction with LAr Veto

- In the near future: tests at the Gran Sasso Laboratory with LArGe (under construction)

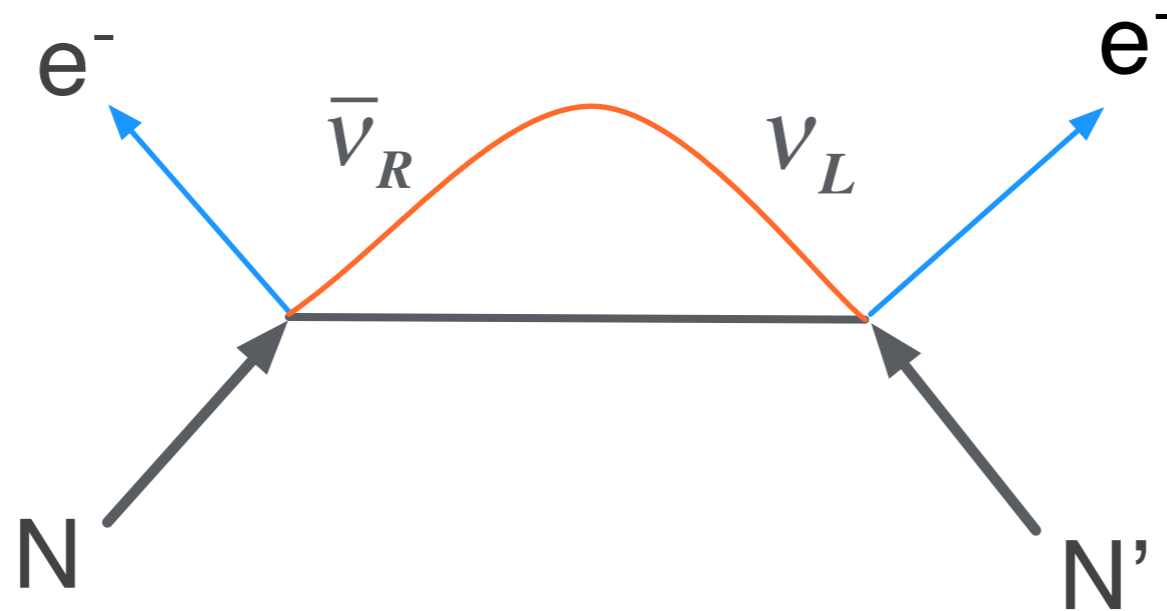


LArGe: Cu-shield



# Neutrinoless Double Beta Decay

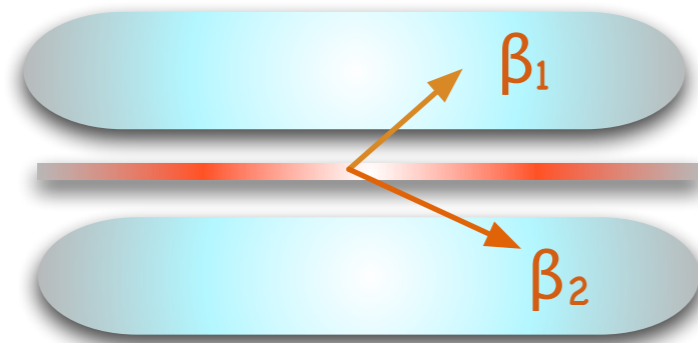
- Exchange of a virtual neutrino:



- ➔ the neutron decays under the emission of a right-handed anti-neutrino
- ➔ the  $\bar{\nu}_R$  has to be absorbed as left-handed neutrino at the second vertex
- ➔ **Neutrinos and anti-neutrinos have to be identical: Majorana particles**
- ➔ **For the helicity to change, we must require  $m_\nu > 0$**

# Experiments: Two Main Approaches

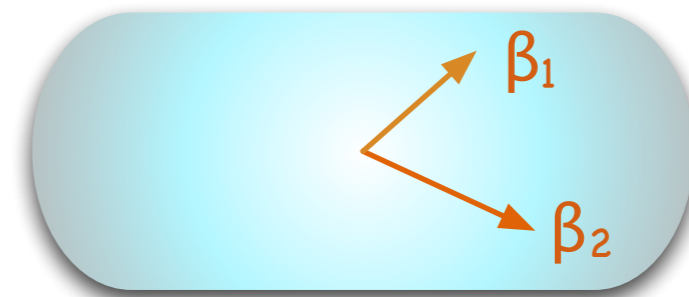
## Source $\neq$ Detector



- ☺ Topologie der Ereignisse wird zur Untergrundunterdrückung verwendet
- ☺ Winkelkorrelationen und die Energie der einzelnen Elektronen werden gemessen
- ☺ Viele Isotope als mögliche Quellen

- ☹️ Recht kleine Materialmengen
- ☹️ Niedrige Effizienz
- ☹️ iA schlechte Energieauflösung

## Source = Detector (calorimeters)



- ☺ Grosse Massen möglich
- ☺ Hohe Effizienz für den Nachweis der beiden Elektronen
- ☺ Gute Energieauflösung

- ☹️ Keine Winkelkorrelation