

# The GERDA Neutrinoless double beta decay experiment

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Workshop on Precision Measurements at Low Energy

January 18<sup>th</sup> & 19<sup>th</sup> 2007

Paul Scherrer Institut, Villingen, Switzerland



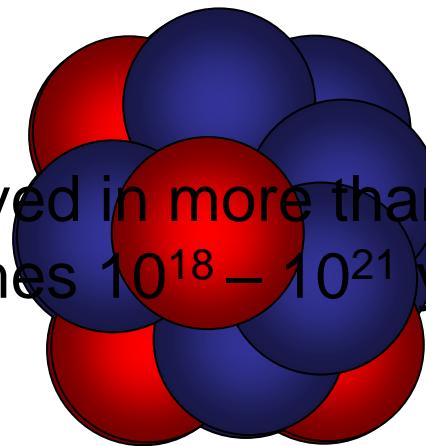
# Outline

- Introduction:
  - $0\nu\beta\beta$  and physics implications
  - Effective Majorana neutrino mass  $\langle m \rangle$
  - Predictions on  $\langle m \rangle$  from oscillation experiments
  - Sensitivity with and w/o backgrounds
- GERDA design
  - Concept
  - Sensitivities: Phase I, II, III
  - Locations at LNGS
  - Phase I detectors
  - Phase II detectors
  - Front-end electronics
  - Infrastructures: cryogenic tank, WT, clean room,..
  - Screening
- Examples of backgrounds and reduction techniques:
  - Detector segmentation
  - Liquid argon scintillation read out
- Conclusion/Outlook



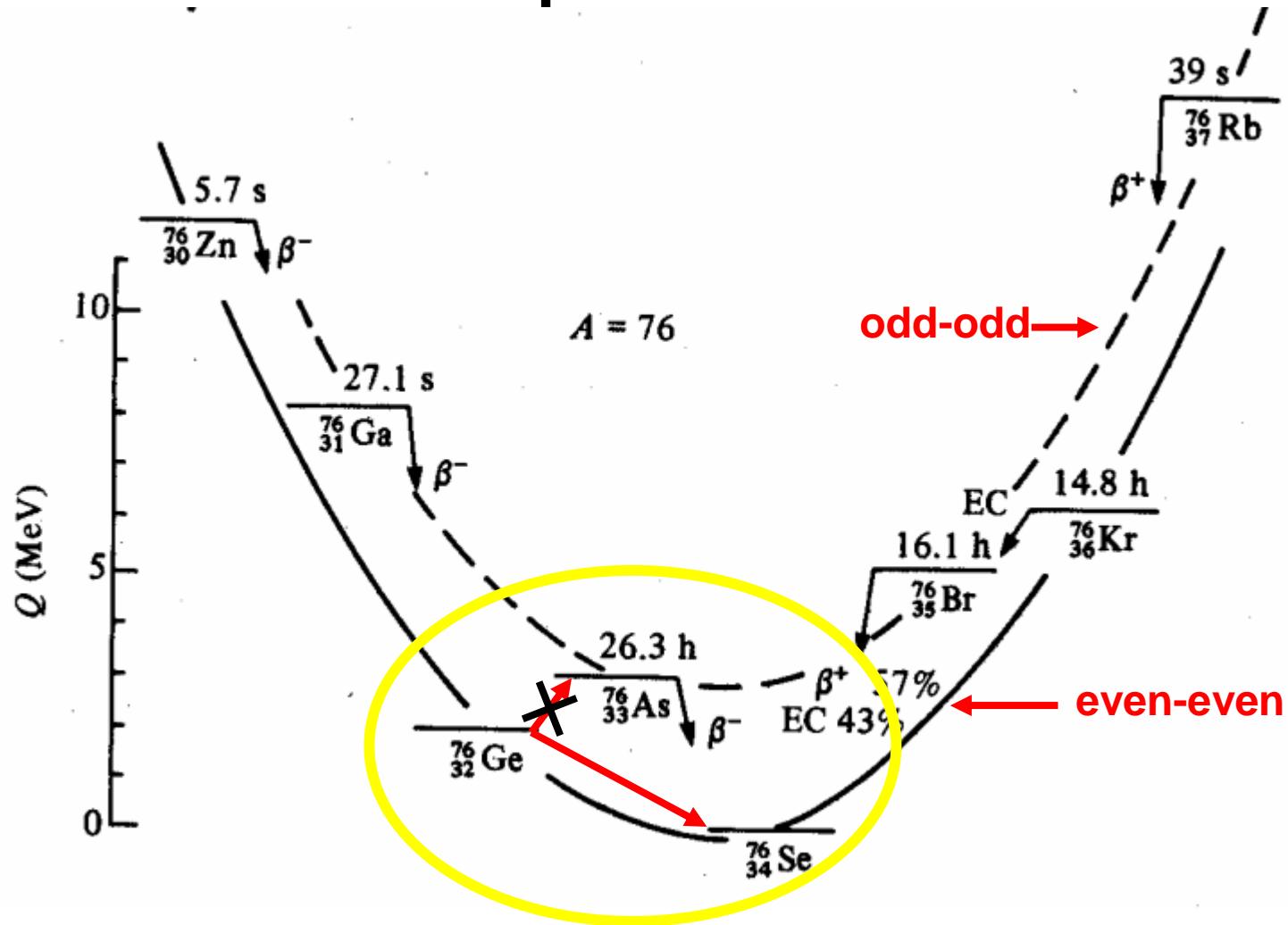
# $2\nu\beta\beta$ Decay

Observed in more than 10 isotopes  
Life times  $10^{18} - 10^{21}$  years





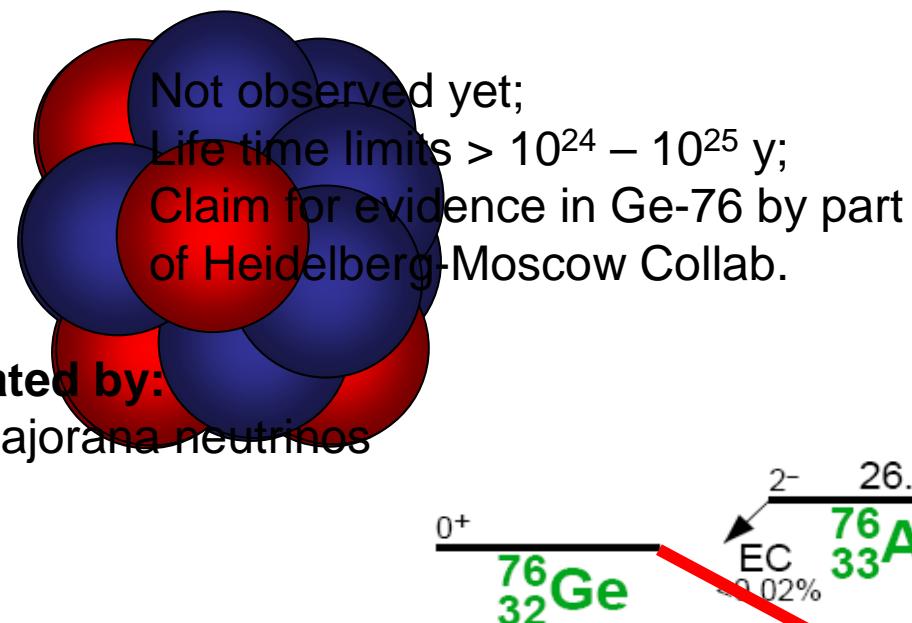
# Mass parabolas



Ground states of even-even nuclei:  $0^+$



# $0\nu\beta\beta$ Decay



Schechter & Valle:  
if  $0\nu\beta\beta$  observed  $\Rightarrow \nu$  is Majorana particle!



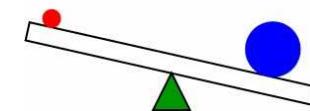
# Physics motivations

1) Dirac vs. Majorana particle: (i.e. its own anti-particle)?

$0\nu\beta\beta \Rightarrow$  Majorana nature

Majorana  $\Rightarrow$  See-Saw mechanism

$$m_\nu = \frac{m_D^2}{M_R} \ll m_D$$



For  $m_3 \sim (\Delta m_{\text{atm}}^2)^{1/2}$ ,  $m_D \sim m_t \rightarrow M_R \sim 10^{15} \text{ GeV}$

Majorana  $\Rightarrow$  CP violation in  $M_R \rightarrow$  higgs + lepton  $\Rightarrow$  Leptogenesis  $\Rightarrow$  B asymmetry

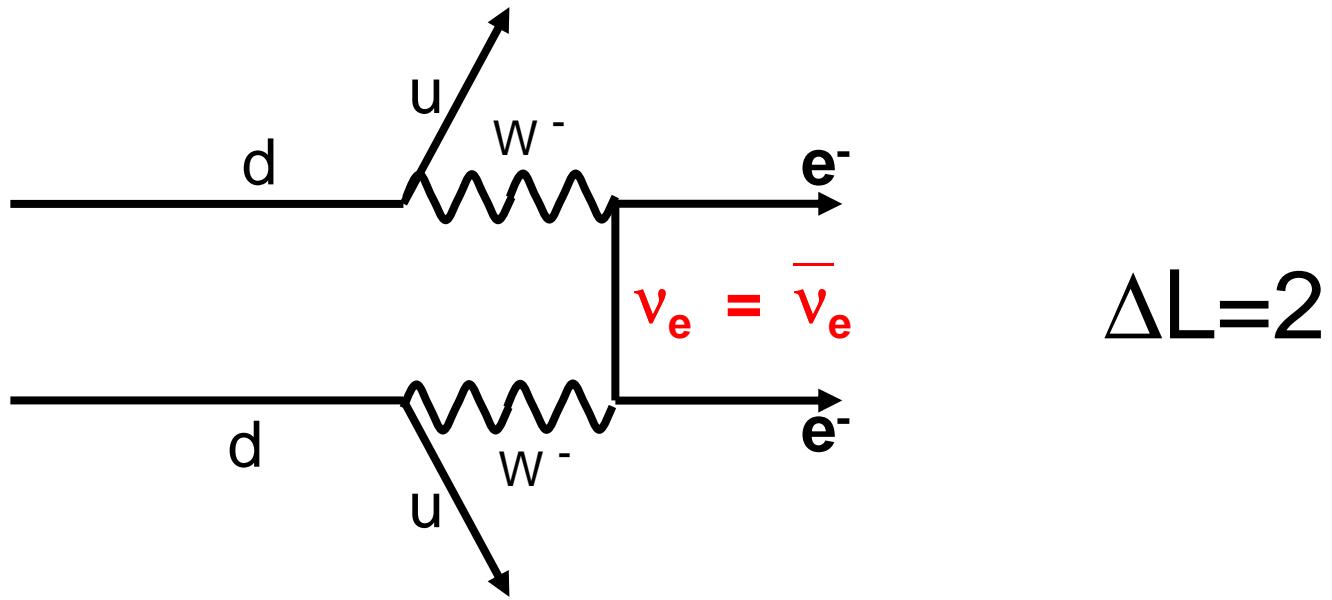
2) Absolute mass scale:

Hierarchy: degenerate, inverted or normal  
(effective) neutrino mass



# $0\nu\beta\beta$ Decay

$$(A, Z) \rightarrow (A, Z + 2) + e_1^- + e_2^-$$



Assume leading term is exchange of light Majorana neutrinos

$$T_{1/2}(0\nu)^{-1} = G M^2 m_{ee}^2$$

Phase space                                  Nuclear matrix element                          Effective neutrino mass



# Effective Majorana mass

$$m_{ee} = |\sum_i U_{ei}^2 m_i|$$

$U_{ei}$  complex:

⇒ sensitive to CP phases (optimist ☺)

⇒ cancellation possible (pessimist)

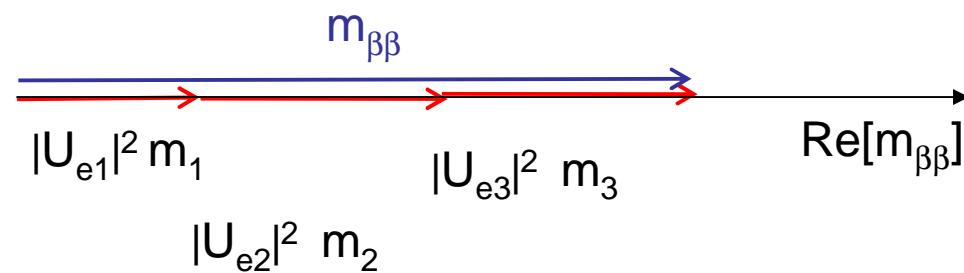
NB: Beta-endpoint (Katrín)

$$m_{\nu_e} = (\sum_i |U_{ei}|^2 m_i^2)^{1/2}$$



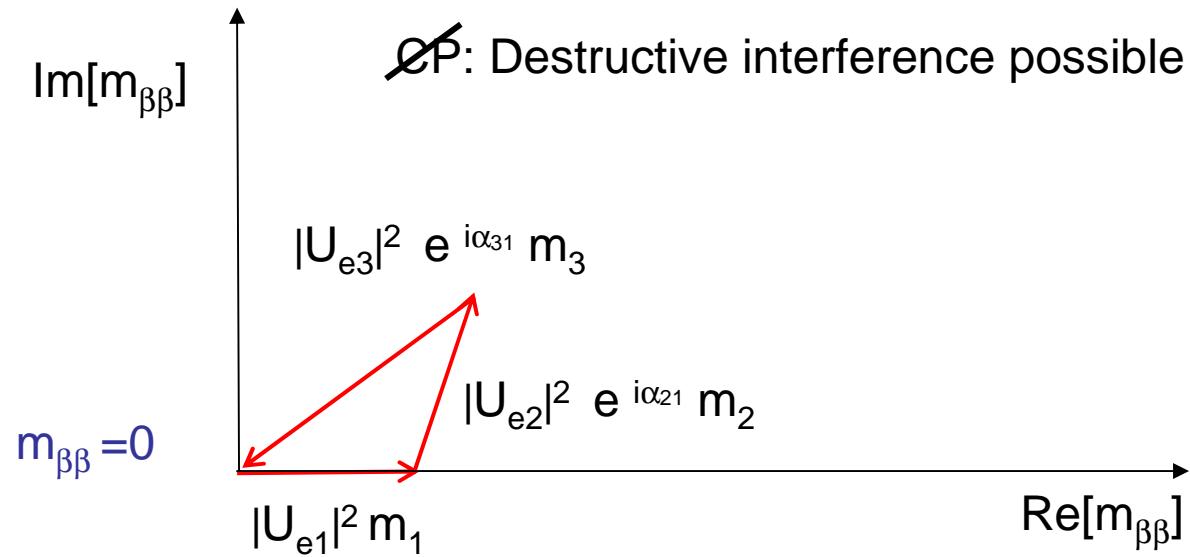
$$\begin{aligned}m_{\beta\beta} &= |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{2i\lambda_{21}} m_2 + |U_{e3}|^2 e^{2i(\lambda_{31}-\delta)} m_3 \\&= |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3\end{aligned}$$

If CP is conserved:



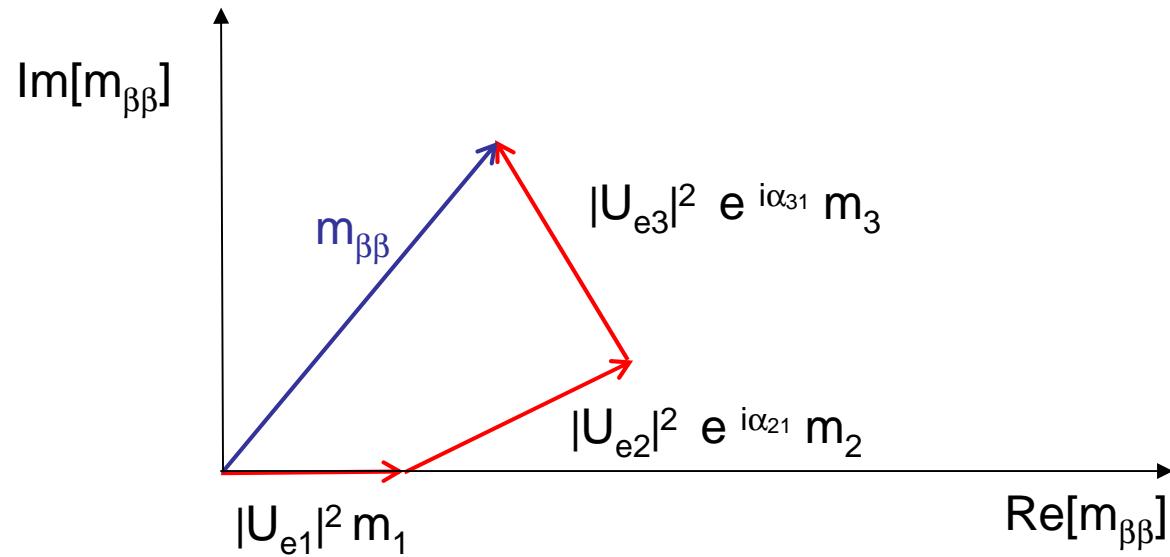


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 \end{aligned}$$

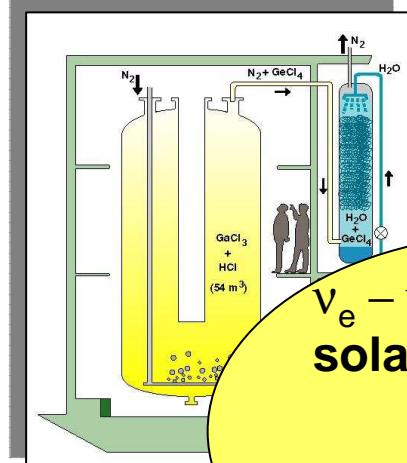


Standard parametrization:

$$\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13} \\ \dots & \dots & s_{23}c_{13}e^{i\delta} \\ \dots & \dots & c_{23}c_{13}e^{i\delta} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_{21}/2} & 0 \\ 0 & 0 & e^{i\alpha_{31}/2} \end{pmatrix}$$

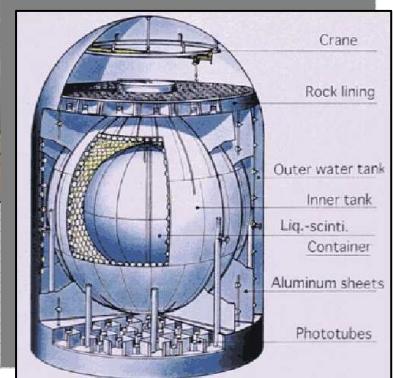
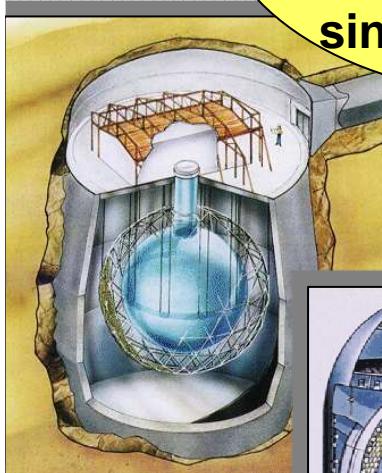


# Experimental evidences for neutrino oscillations



$\bar{\nu}_e - \bar{\nu}_{\mu,\tau}$ ;  $\bar{\nu}_e - \bar{\nu}_x$   
solar- and reactor- $\nu$ 's:

$$\Delta m^2_{sol} \approx 8 \cdot 10^{-5} \text{ eV}^2$$
$$\sin 2^\circ \theta_{12} \approx 0.8$$

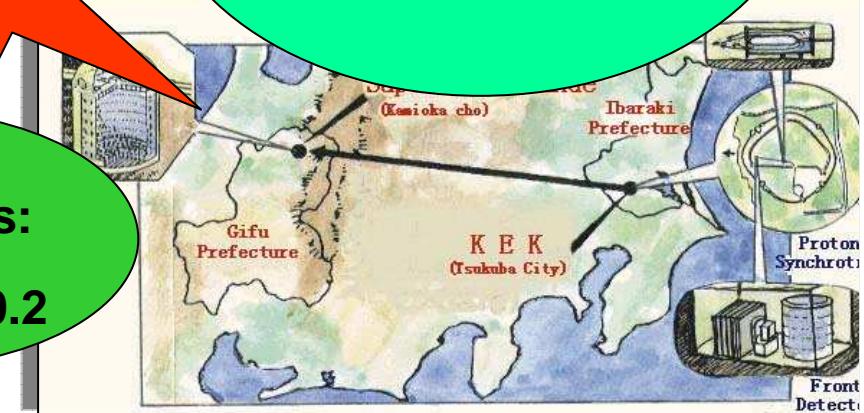


$\bar{\nu}_e - \bar{\nu}_x$   
reactor- $\nu$ 's:  
 $\sin 2^\circ \theta_{13} < 0.2$

$\Delta m^2, \theta$

$\bar{\nu}_\mu - \bar{\nu}_\tau$   
atmospheric- and  
accelerator- $\nu$ 's:

$$\Delta m^2_{atm} \approx (2-4) \cdot 10^{-3} \text{ eV}^2$$
$$\sin 2^\circ \theta_{23} \approx 1$$



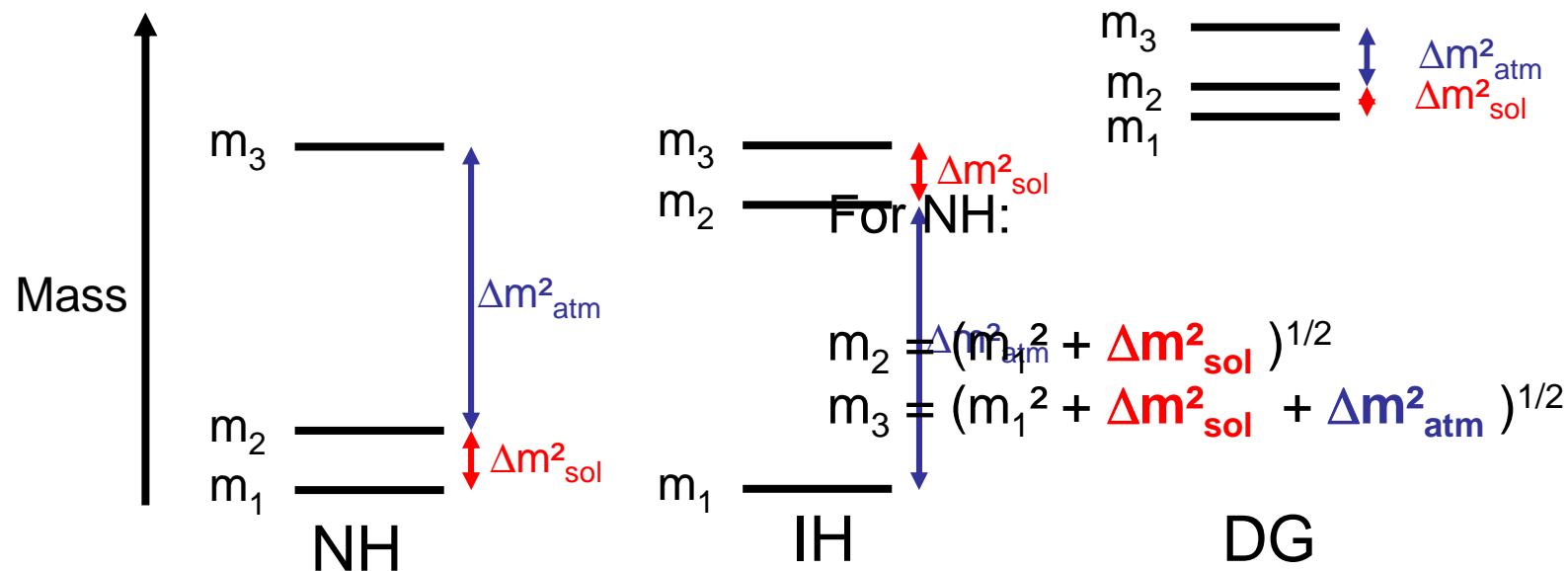


# Input for $m_{ee}$ from $\nu$ -oscillations

Solar/Reactor - $\nu$ :  $\theta_{12}$ ,  $\Delta m^2_{sol}$

Atmosph.- $\nu$ :  $\Delta m^2_{atm}$

Reaktor- $\nu$ :  $\theta_{13}$

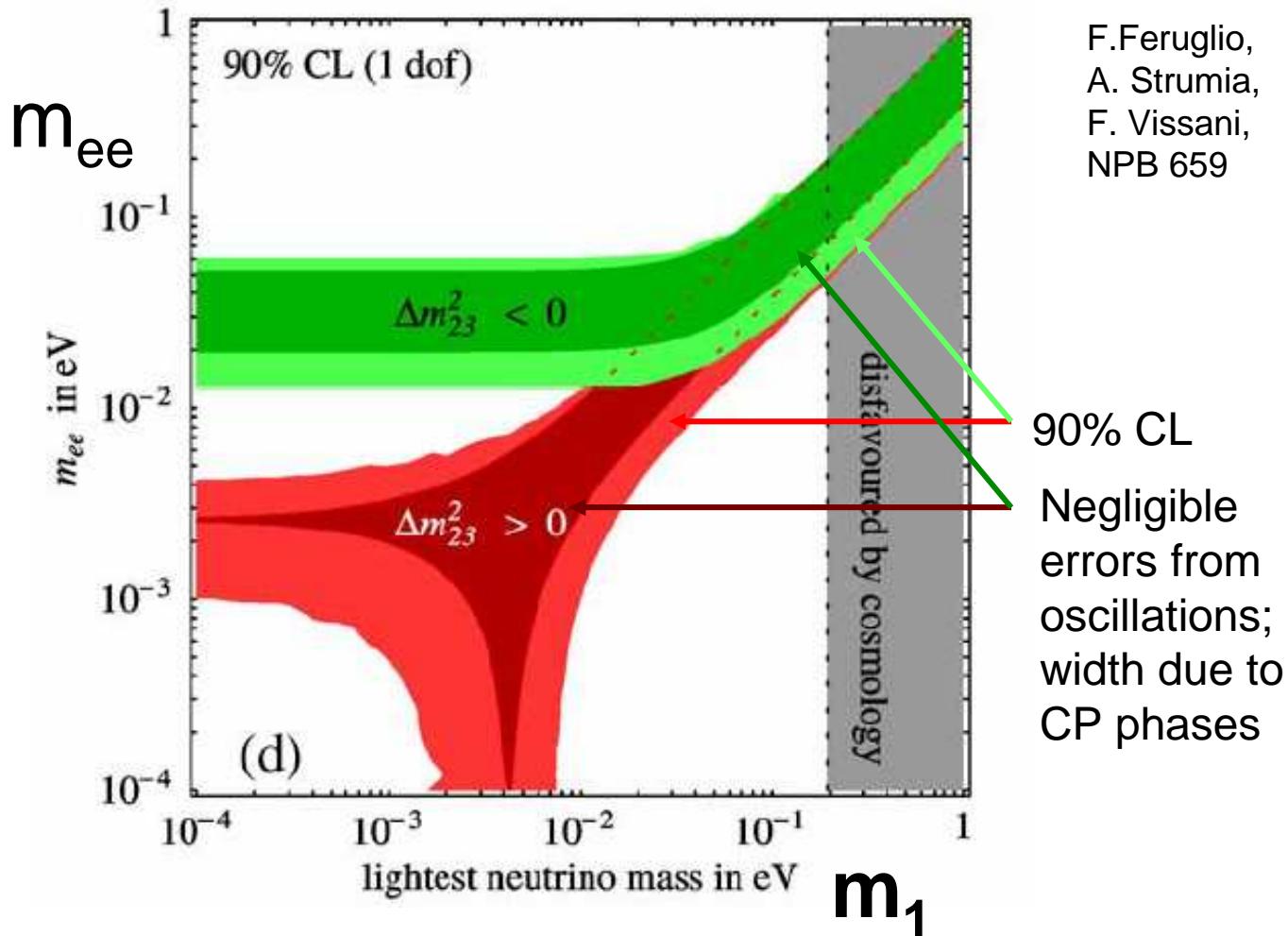


$$m_{ee} = \left| \cos^2 \theta_{13} (m_1 \cos^2 \theta_{12} + m_2 e^{2i\alpha} \sin^2 \theta_{12}) + m_3 e^{2i\beta} \sin^2 \theta_{13} \right|$$

$$\Rightarrow m_{ee} = f(m_1, \Delta m^2_{sol}, \Delta m^2_{atm}, \theta_{12}, \theta_{13}, \alpha, \beta)$$



# Predictions from oscillation experiments





# Claim for evidence for $\beta\beta(0\nu)$

H.V. Klapdor-Kleingrothaus, A. Dietz, I.V. Krivosheina, O. Chkvorets, NIM A 522 (2004)  
(subgroup of Heidelberg-Moscow Collaboration)

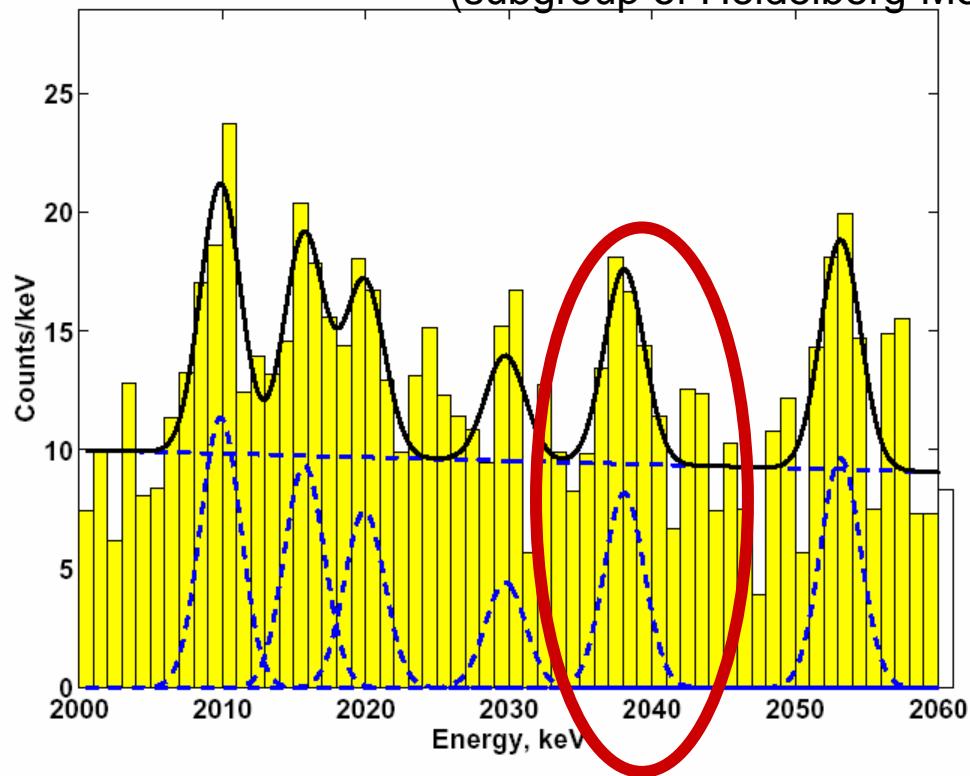


Fig. 17. The total sum spectrum of all five detectors (in total 10.96 kg enriched in  $^{76}\text{Ge}$ ), for the period November 1990–May 2003 (71.7 kg year) in the range 2000–2060 keV and its fit (see Section 3.2).

Heidelberg-Moscow data:

- Nov 1990- May 2003
- 71.7 kg year
- Bgd 0.11 / (kg y keV)
- $28.75 \pm 6.87$  events (bgd: $\sim 60$ )
- 4.2 sigma evidence for  $0\nu\beta\beta$
- $0.69\text{--}4.18 \times 10^{25} \text{ y}$  (3 sigma)
- Best fit  $1.19 \times 10^{25} \text{ y}$
- $m_{ee} = 0.24\text{--}0.58 \text{ eV}$
- best fit 0.44 eV

**NB. Statistical significance  
depends on background model!**



# Experimental sensitivity: w/o background

Experimental life time

$$\tau = \frac{N_N T}{N_S}$$

number of nuclides under control  $\propto M$   
live time  
number of detected decays

Background free limit:

0 cnts in the analysis energy window  $\Rightarrow$  Poisson upper limit:  $N_P$

$$\text{Remember: } \left[ T_{\frac{1}{2}}^{0\nu} (0^+ \rightarrow 0^+) \right]^{-1} = G^{0\nu}(E_0, Z) \left| M_{GT}^{0\nu} - \frac{g_V^2}{g_A^2} M_F^{0\nu} \right|^2 \langle m_\nu \rangle^2$$

$$\tau \geq \frac{N_N T}{N_P} \propto M \cdot T \quad \Rightarrow \quad \langle m \rangle \leq \frac{\text{const}}{(M T)^{1/2}}$$



# Sensitivity: with background

If no decay is observed in presence of  $N_B$  background events in an energy window  $\Delta E$ :

$$N_S < (N_B)^{1/2} \quad \rightarrow \quad \tau > \frac{N_N T}{(N_B)^{1/2}}$$

↑  
detector  
energy  
resolution

$$N_B = b M T \Delta E \quad \text{b: background index [1/(kg · year · keV)]}$$

$$\Rightarrow \tau > \frac{N_N T}{(b M T \Delta E)^{1/2}} \propto \left( \frac{M T}{b \Delta E} \right)^{1/2}$$

$$\Rightarrow \langle m \rangle \leq \text{const.} \cdot \left( \frac{b \Delta E}{M T} \right)^{1/4}$$



# Comparison of DBD Isotopes

$$T_{1/2}^{0\nu} = \frac{1}{\Gamma(Q_{\beta\beta}^5) M^2 \langle m_{ee} \rangle^2}$$

GERDA, Majorana

$$N_{sig} = N_{Avg} \cdot \frac{mass \cdot t}{A} \cdot \ln 2 \cdot \Gamma \cdot M^2 \cdot \langle m_{ee} \rangle^2$$

isotope	$Q_{\beta\beta}$	nat. abund.	rel. A	rel $\Gamma$	rel. $M^2$	$N_{sig}$
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	2039 keV	7.4%	1	1	1	2.4
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	2995 keV	9.2%	0.93	4.4	0.71	7.0
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	3034 keV	9.6%	0.76	7.2	0.23	3.0
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	2529 keV	34%	0.58	6.9	0.33	3.2
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	2479 keV	8.9%	0.56	7.4	0.15	1.5

for 1000 kg  $\gamma$ ,  $\langle m_{ee} \rangle = 50$  meV,  $M^2$  from V.A.Rodin et al, Nucl. Phys. A766 (2006) 107.

NEMO3  
Super-Nemo  
Cuoricino/Cuore  
EXO



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# Two new $^{76}\text{Ge}$ Projects:



**GERDA**



## List of institutions:

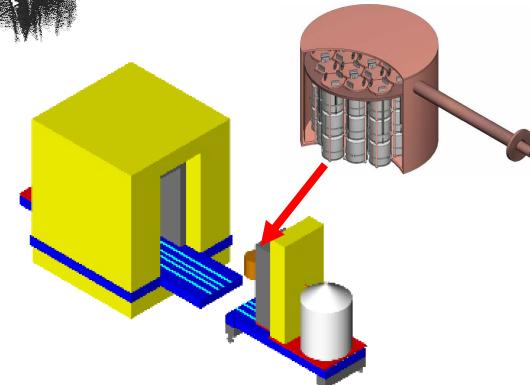


INFN LNGS, Assergi, Italy  
JINR Dubna, Russia  
Institute for Reference Materials, Geel, Belgium  
MPIK, Heidelberg, Germany  
Univ. Köln, Germany  
Jagiellonian University, Krakow, Poland  
Univ. di Milano Bicocca e INFN, Milano, Italy  
INR, Moscow, Russia  
ITEP Physics, Moscow, Russia  
Kurchatov Institute, Moscow, Russia  
MPI Physik, München, Germany  
Univ. di Padova e INFN, Padova, Italy  
Univ. Tübingen, Germany

- ~80 physicists, 13 institutions, 5 countries
- approved Nov 2004 at [LNGS](#)
- Status: under construction



**Majorana**



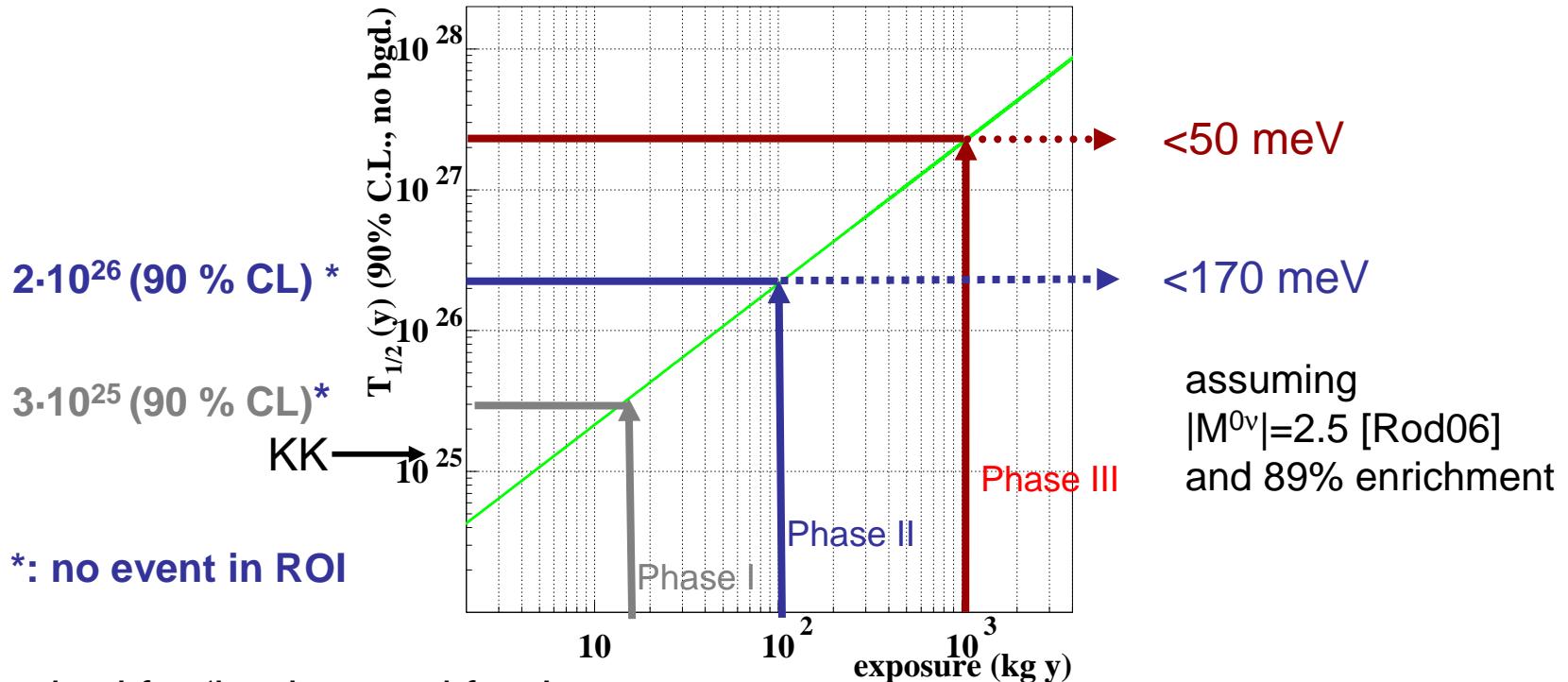
- Array(s) of  $^{76}\text{Ge}$  housed in high-purity electroformed copper cryostat
- Shield: electroformed copper / lead
- Staged approach based on 60 kg arrays (60/120/180 kg)

ISS range  
d exp. techniques

Technologies (e.g. MaGe MC)  
p. (inv. Hierarchy)



# Phases and Physics reach of GERDA



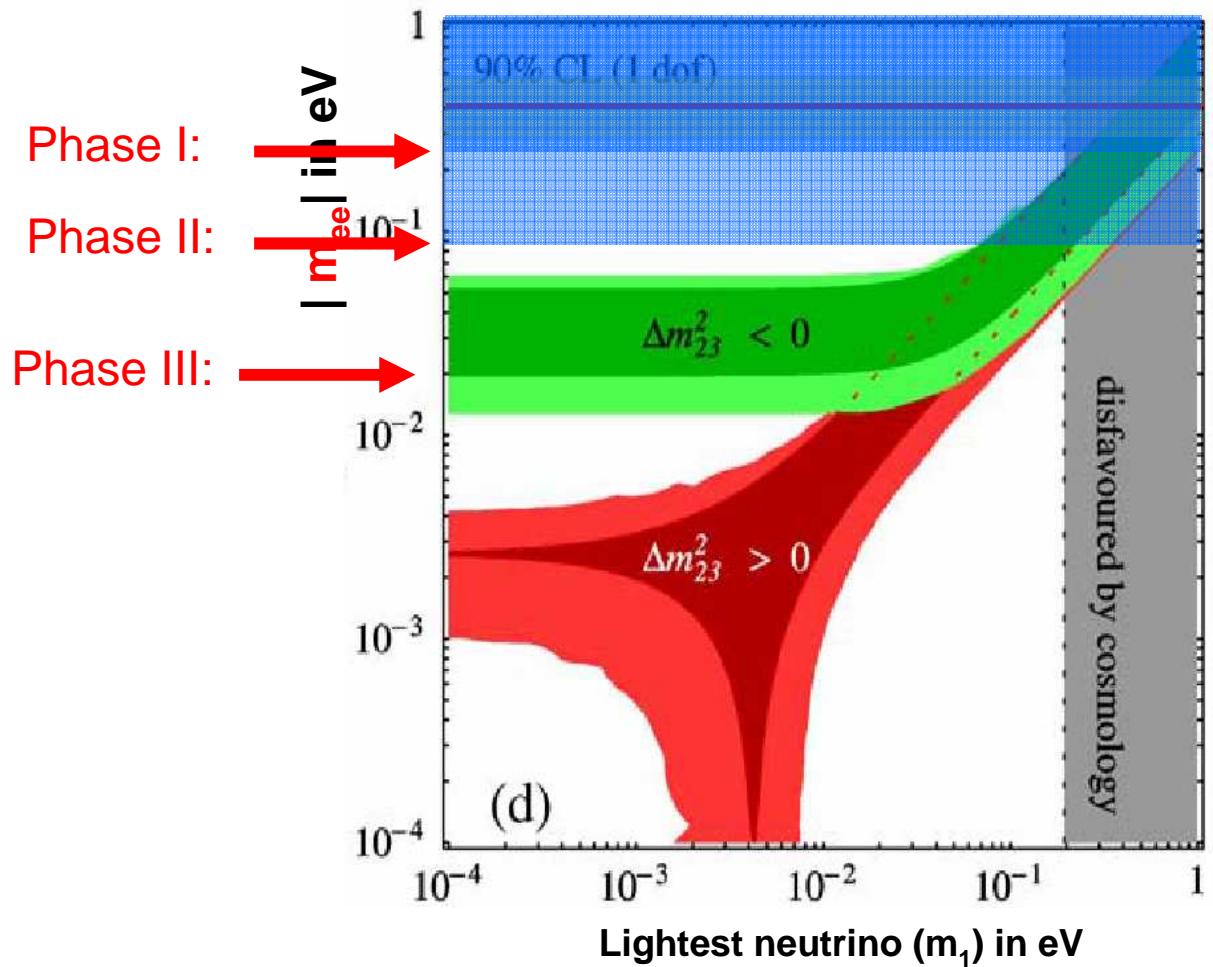
required for 'background free'  
exp. with  $\Delta E \sim 3.3 \text{ keV}$  (FWHM):       $O(10^{-3})$      $O(10^{-4})$     counts/(kg·y·keV)

## Background requirement for GERDA:

- ⇒ Background reduction by factor  $10^2 - 10^3$  required w.r. to precursor exps.
- ⇒ Degenerate mass scale  $O(10^2 \text{ kg} \cdot \text{y})$  ⇒ Inverted mass scale  $O(10^3 \text{ kg} \cdot \text{y})$



# Phases and Physics reach of GERDA



F.Feruglio, A. Strumia, F. Vissani, NPB 659



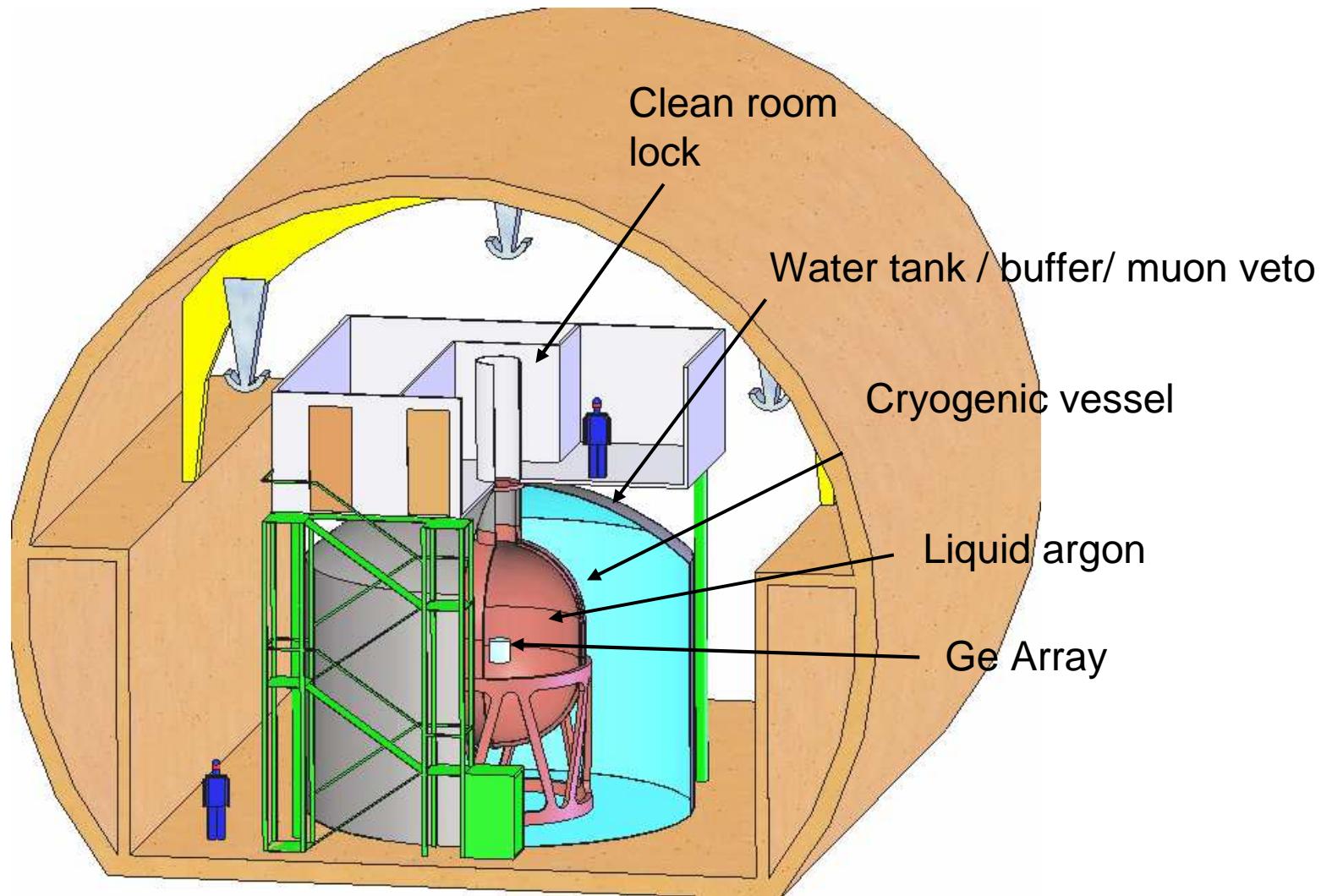
# GERDA at LNGS



GERDA location:  
hall A of LNGS



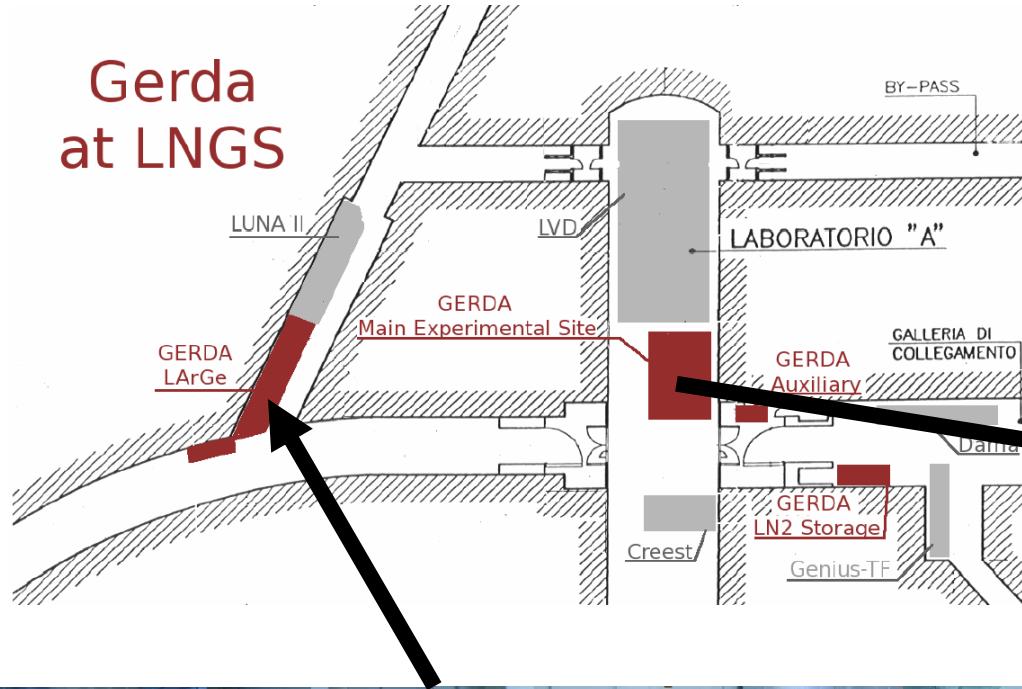
# GERDA design





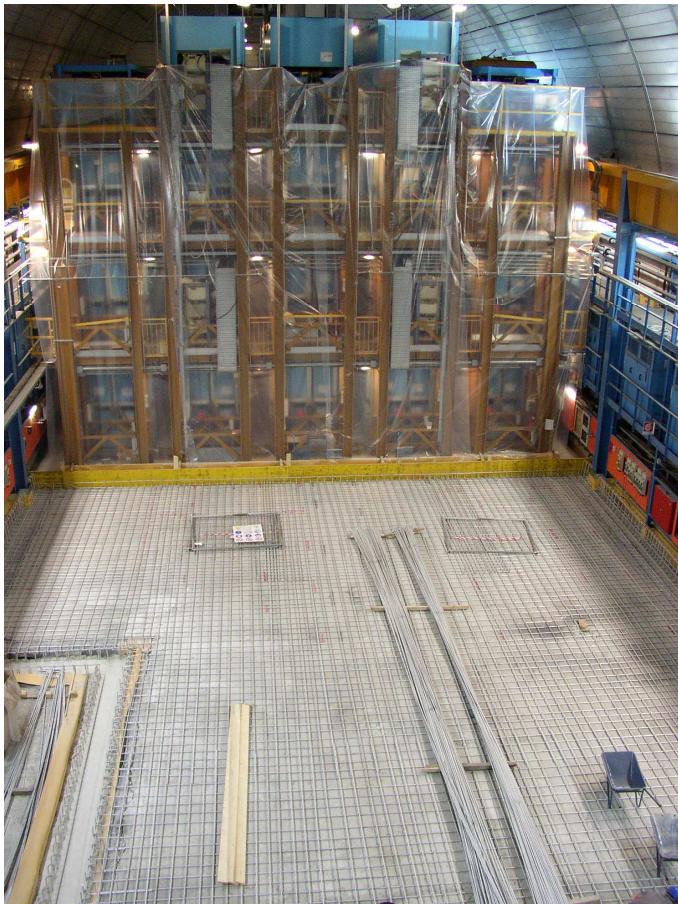
# GERDA underground facilities at LNGS

Gerda  
at LNGS

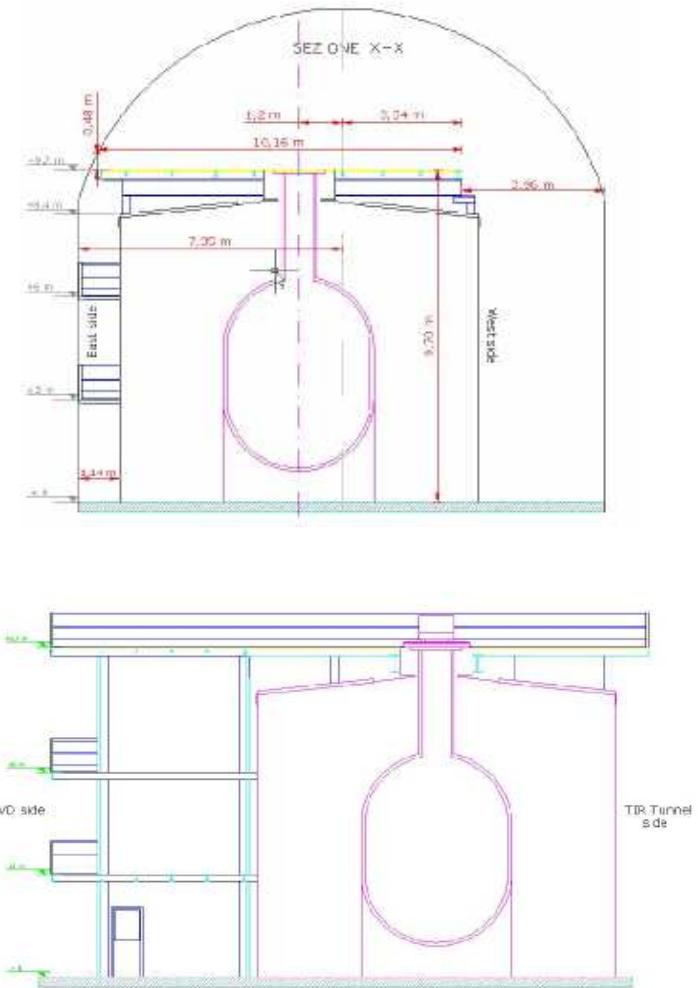


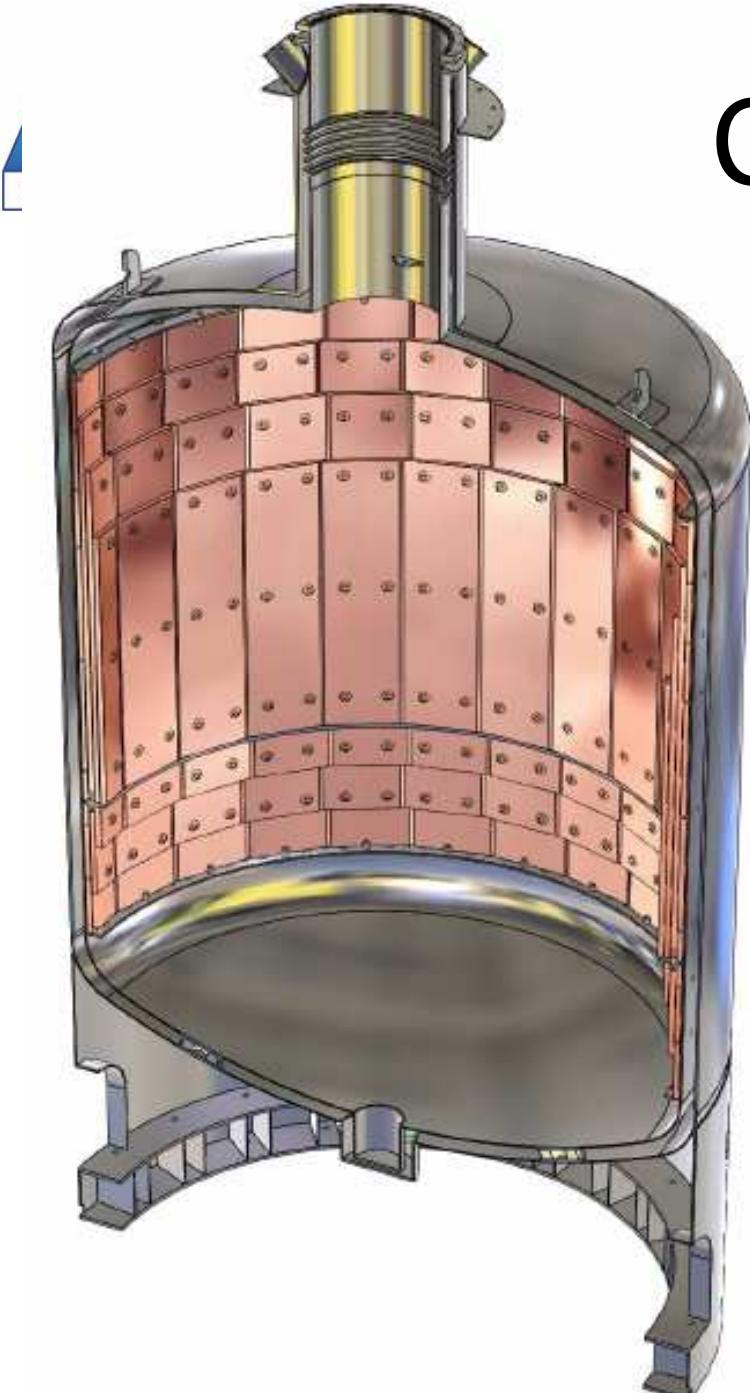


# Main Experimental Site



June '06





# Cryostat

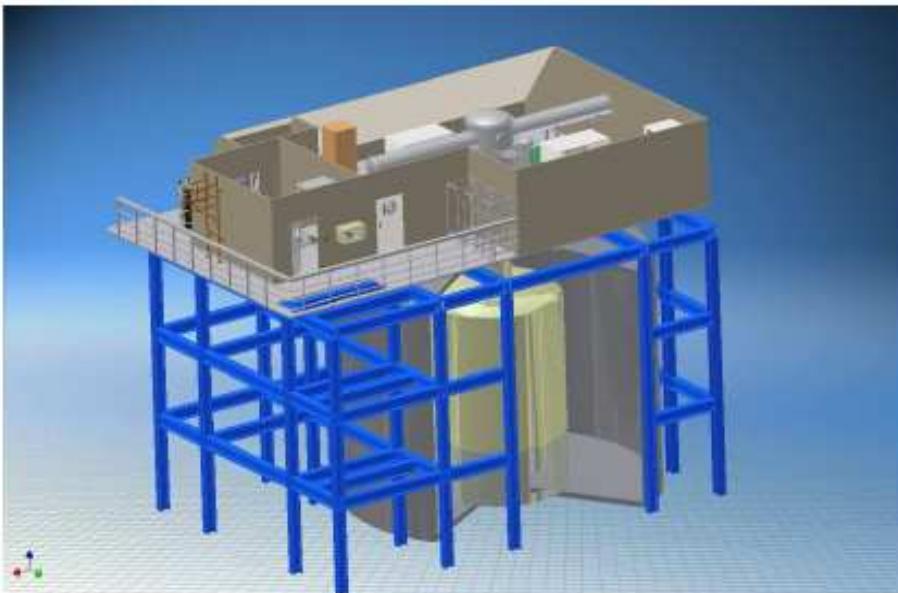
- Vacuum insulated stainless steel cryostat with internal Cu liner (stainless steel factor ~100 more radioactive ( $^{238}\text{U}$ ,  $^{232}\text{Th}$ ) than Cu)
- Ø outer×height 4200×8900 [mm×mm]
- inner vessel volume 70 [m<sup>3</sup>]
- empty vessel 25,000 [kg]
- max. load inner vessel:
  - LAr 98,000 [kg]
  - Cu shield 20,000 [kg]



# Infrastructure on Top of Platform

Lock with tubes for cables

Clean room with lock on platform

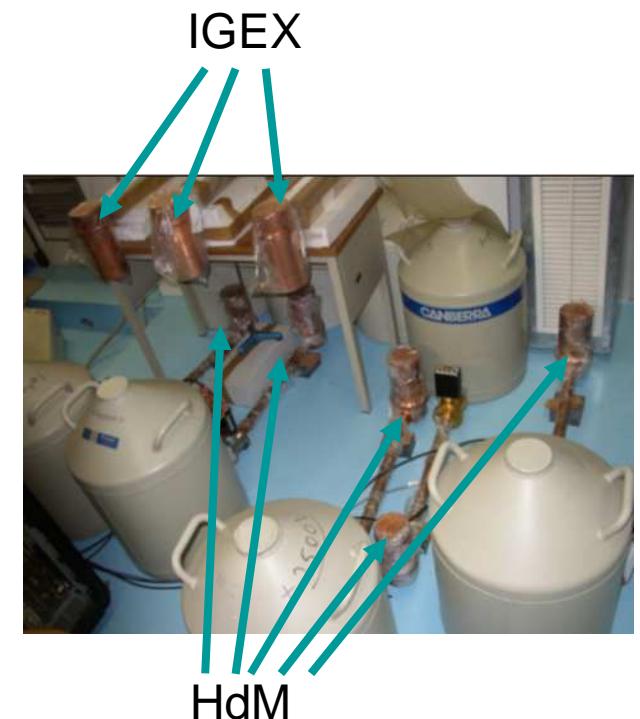


Rail system to  
lower position  
and lower  
individual strings



# Phase I Detectors:

Maintenance and Measurements in Undergrond detector  
laboratory (LArGe facility)

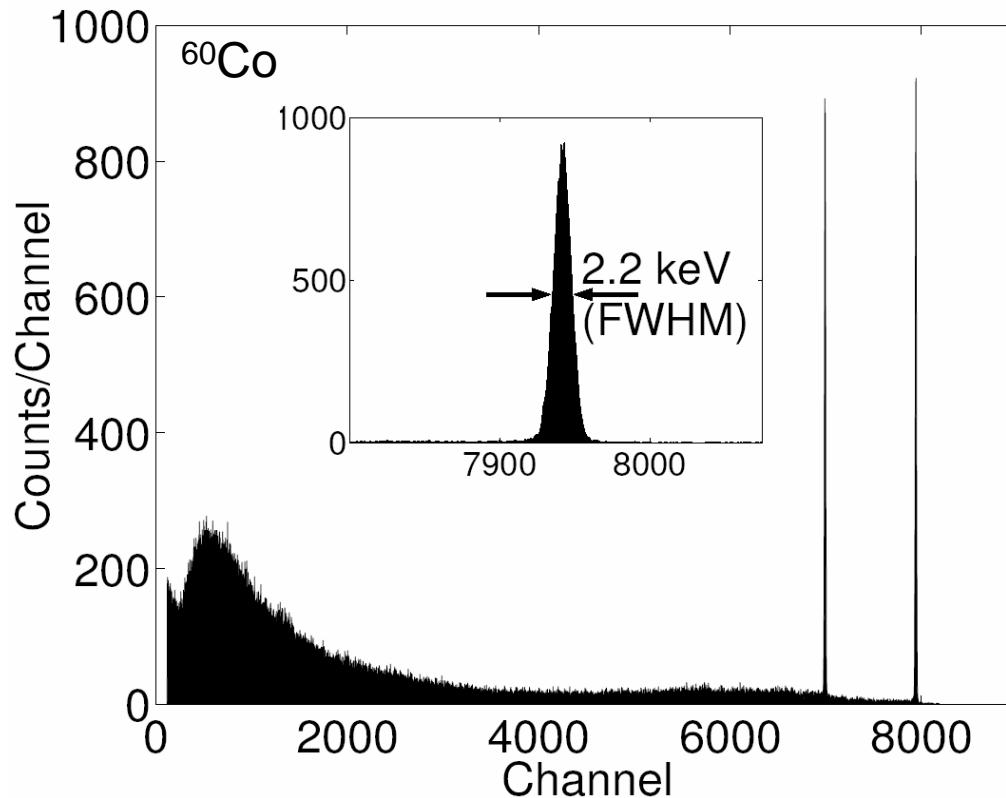
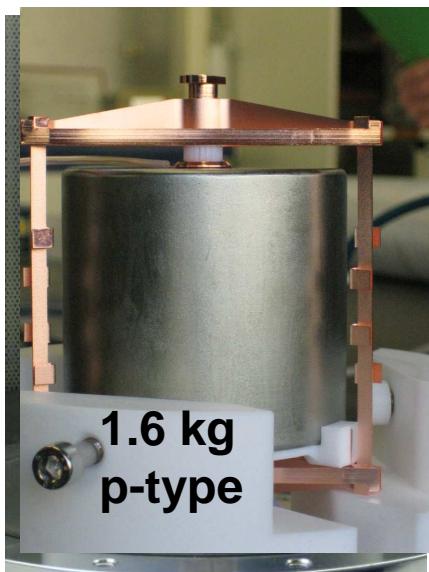
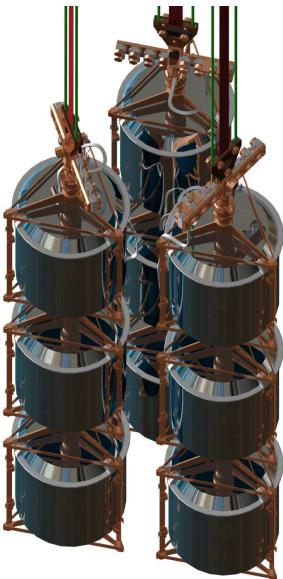


**Since Nov. 2005: 17.9 kg of enriched Ge-detectors underground at LNGS; Characterization completed**



# Phase I Detectors:

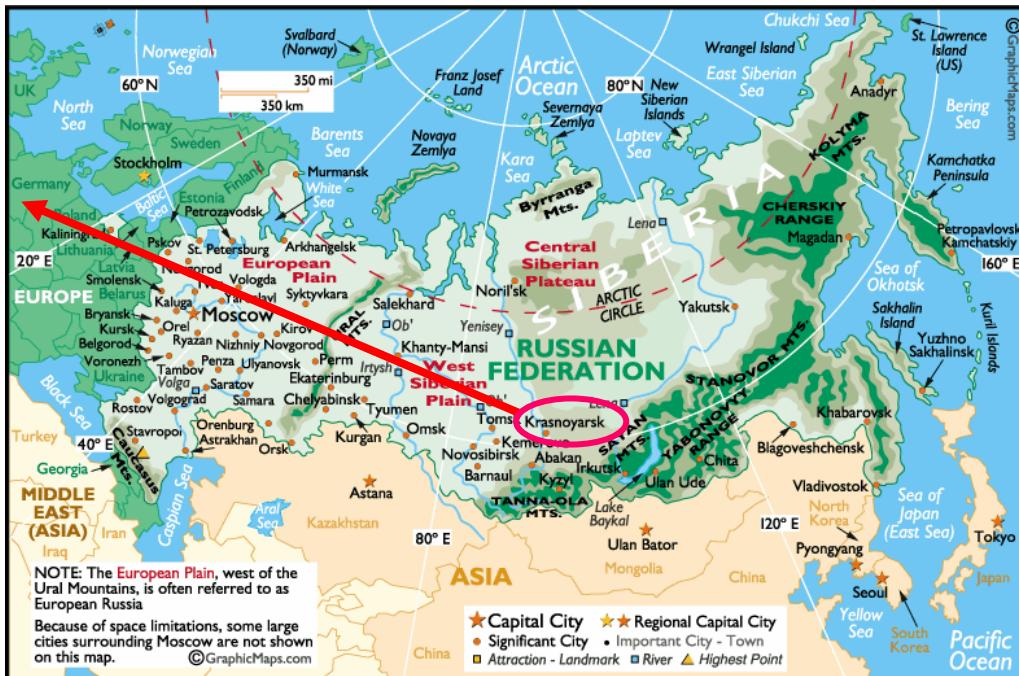
## Prototype tests of (natural) low-mass detector assembly in liquid nitrogen



Enriched detectors are currently re-processed and prepared for testing



# Phase II Detectors: Procurement of enriched Ge



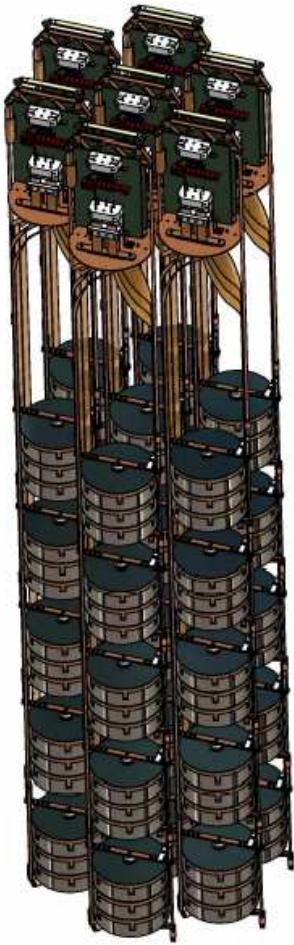
Test  
transportation  
March 05

- Enrichment of 37.5 kg Ge-76 completed in Sep.05
- Transportation of Material to Europe by truck in spring for further processing
- Specially designed protective steel container reduces activation by cosmic rays by factor 20



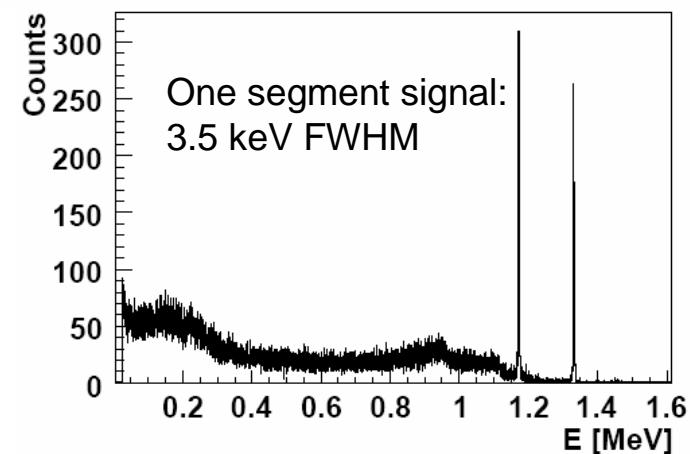
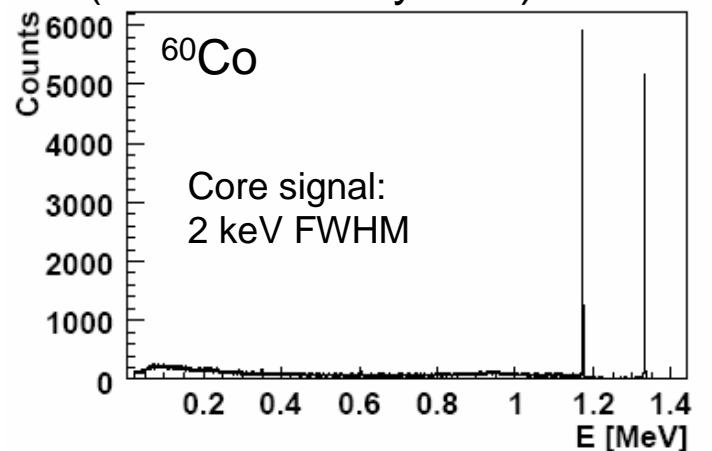
# Phase II Detectors:

## “True-coaxial” natural detectors



- 6-fold- $\phi$  segmented p-type
- 18-fold (6- $\phi$ ; 3-z) segmented n-type

18-fold segmented detector  
(in standard cryostat)





# Backgrounds in GERDA

Source	B [ $10^{-3}$ cts/(keV kg y)]	
Ext. $\gamma$ from $^{208}\text{TI}$ ( $^{232}\text{Th}$ )	<<1	
Ext. neutrons	<0.05	
Ext. muons (veto)	<0.03	Muon veto
Int. $^{68}\text{Ge}$ ( $t_{1/2} = 270$ d)	12	180 days exposure after enrichment + 180 days underground storage
Int. $^{60}\text{Co}$ ( $t_{1/2} = 5.27$ y)	2.5	30 days exposure after crystal growing
$^{222}\text{Rn}$ in LN/LAr	<0.2	
$^{208}\text{TI}$ , $^{238}\text{U}$ in holder	<1	
Surface contam.	<0.6	

derived from measurements and MC simulations

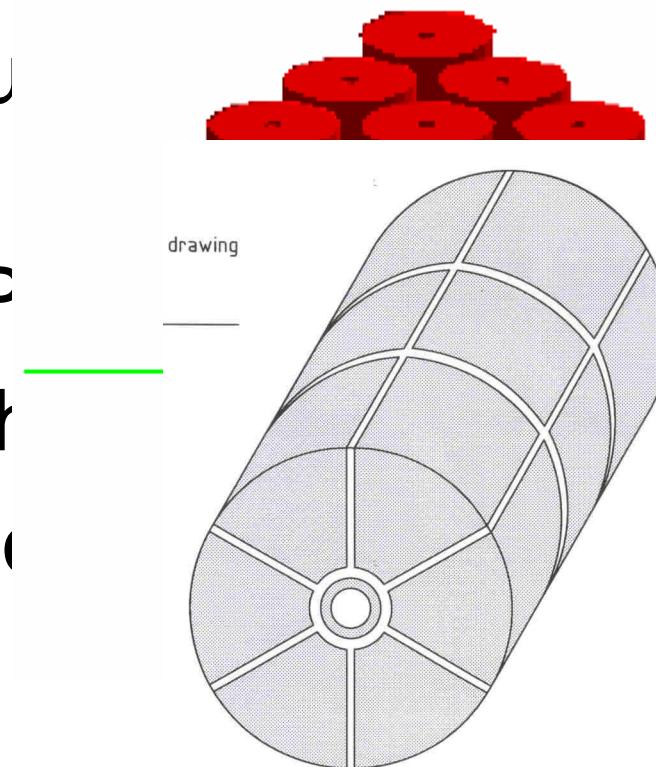
**Target for phase II:  $\sum B \leq 10^{-3}$  cts/(keV kg y)**  
**⇒ additional bkgd. reduction techniques**

S. Schoenert, MPIK Heidelberg – Workshop on Precision Measurements at Low Energy, PSI, January 18/19 2007



# Background reduction techniques

- Muon veto
- Anti-coincidence between detectors
- Segmentation of readout  
(Phase II)
- Pulse shape analysis (P)
- Coincidence in decay chains
- Scintillation light detection



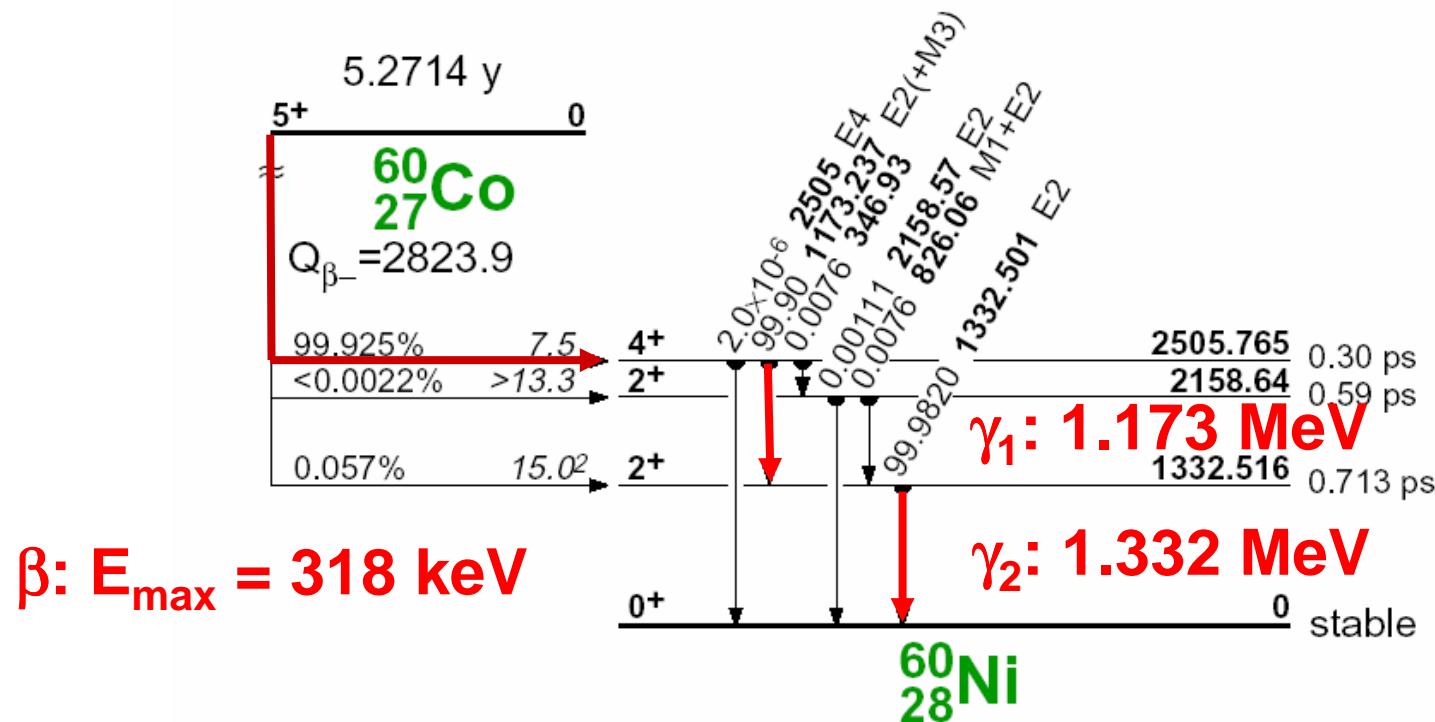


# Background reduction techniques

- Muon veto
- Anti-coincidence between detectors
- Segmentation of readout electrodes  
(Phase II)
- Pulse shape analysis (Phase I+II)
- Coincidence in decay chain (Ge-68)
- Scintillation light detection (LArGe)



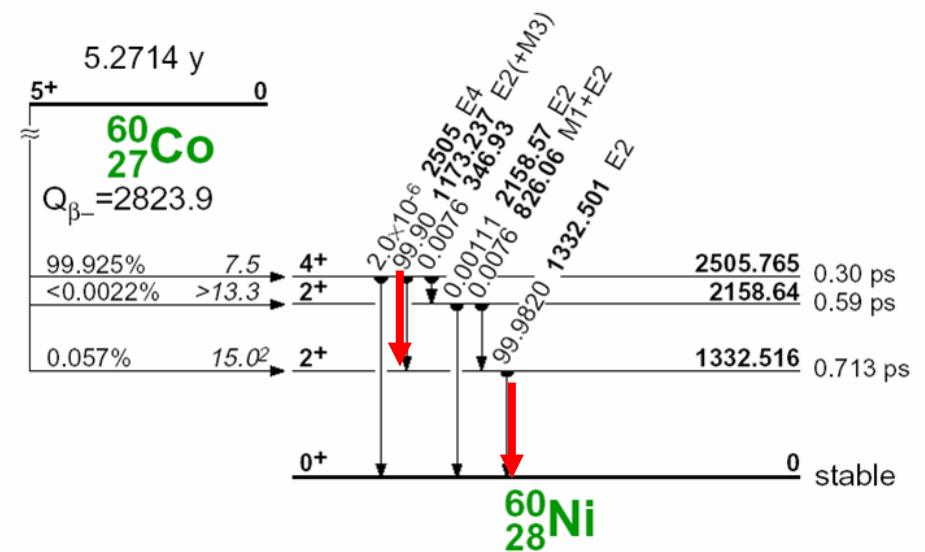
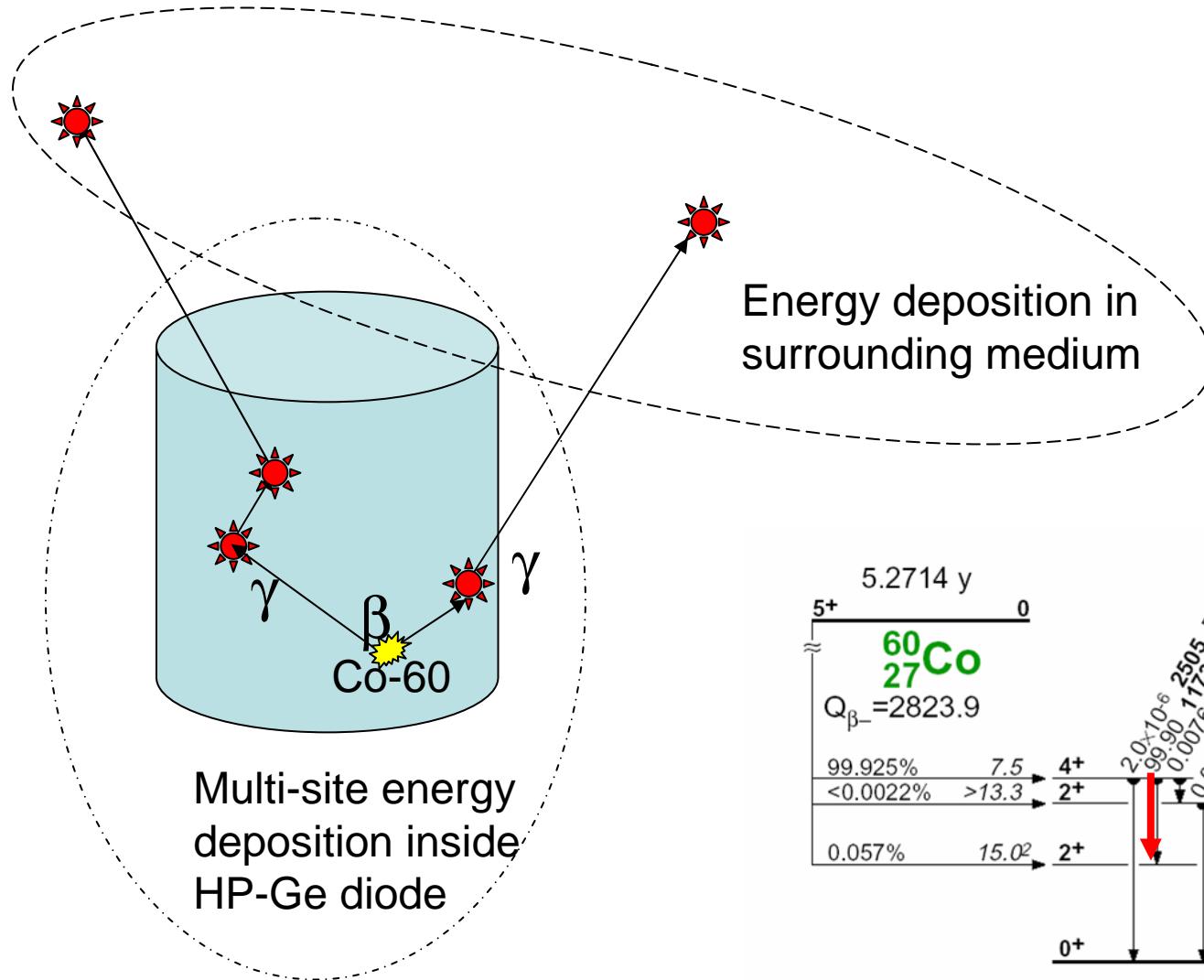
# Example: Internal $^{60}\text{Co}$



- $T_0$ : crystal growing
- $0.017 \mu\text{Bq}/\text{kg}$  per day exposure
- Test: detector production in 7.4 days
- Assume 30 days  $\Rightarrow 2.5 \cdot 10^{-3} / (\text{keV} \cdot \text{kg} \cdot \text{y})$

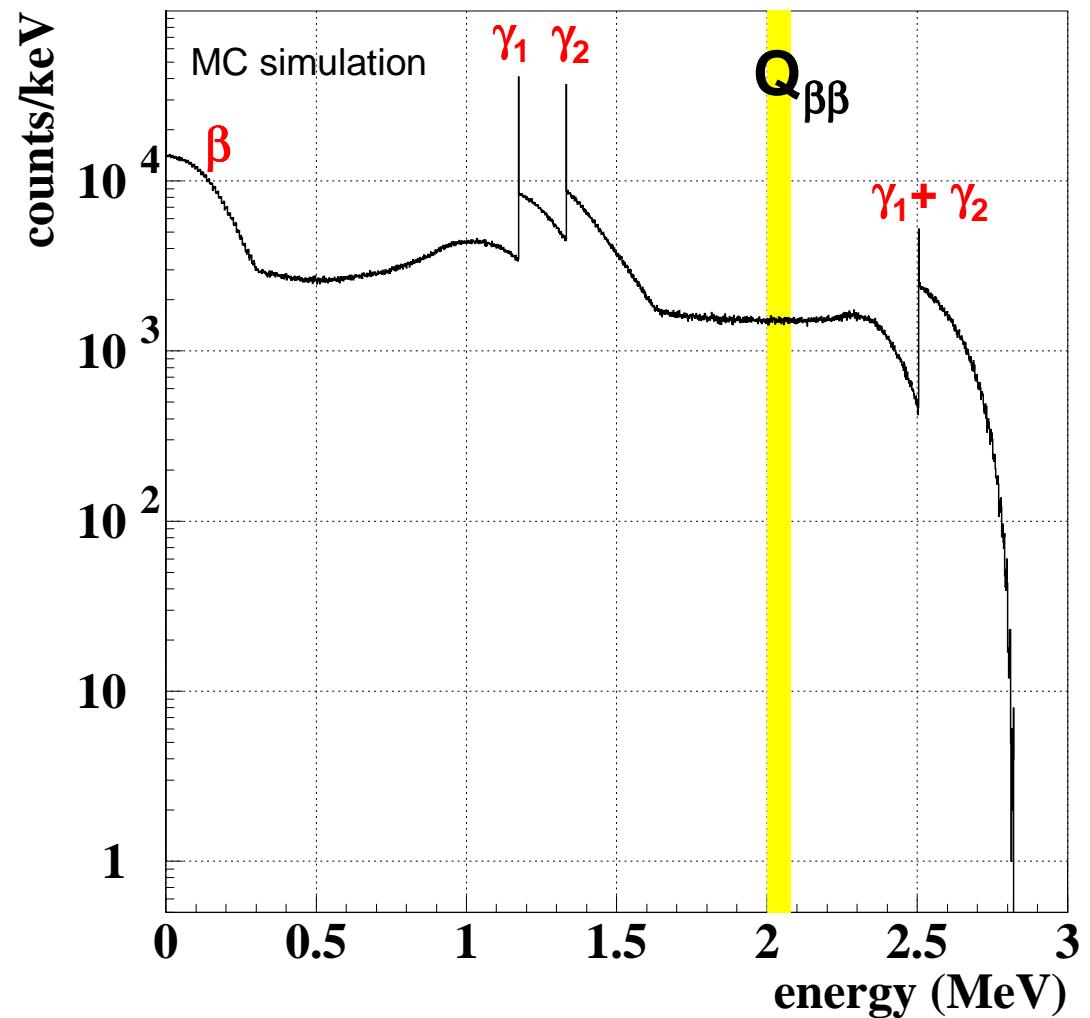
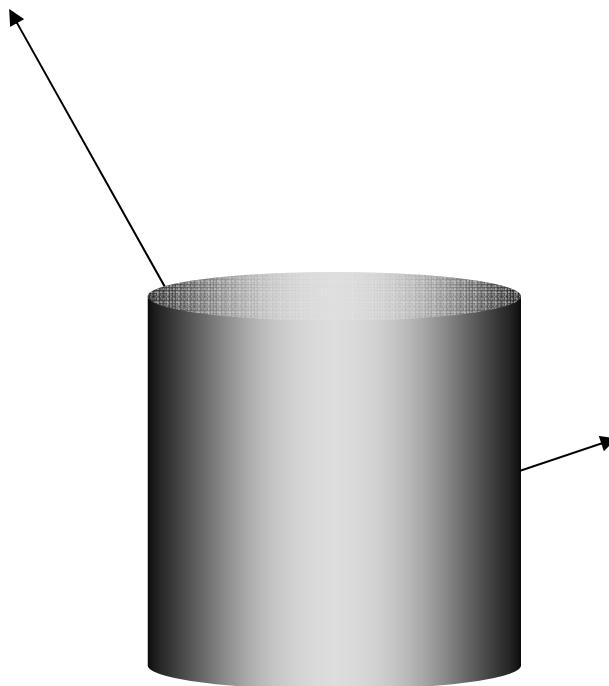


# Example of background topology



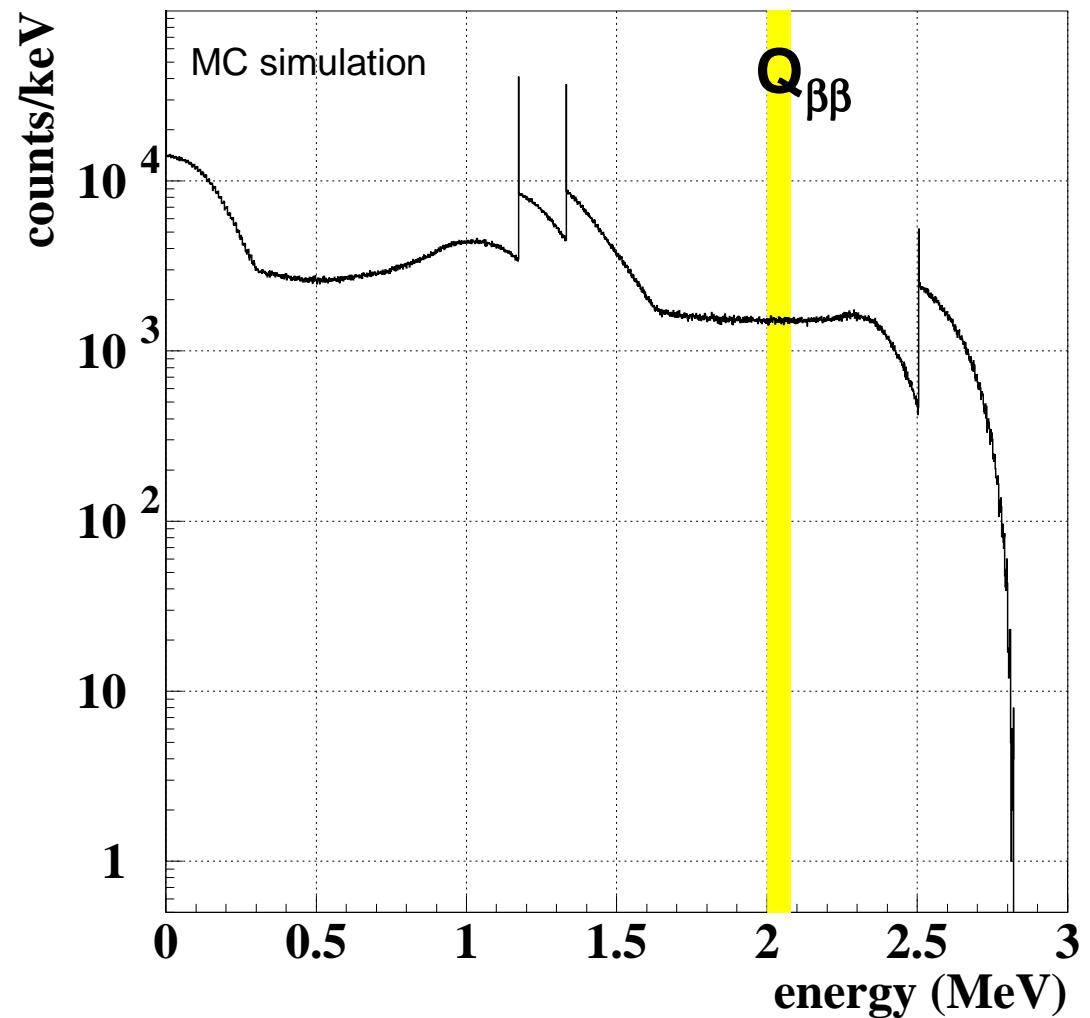
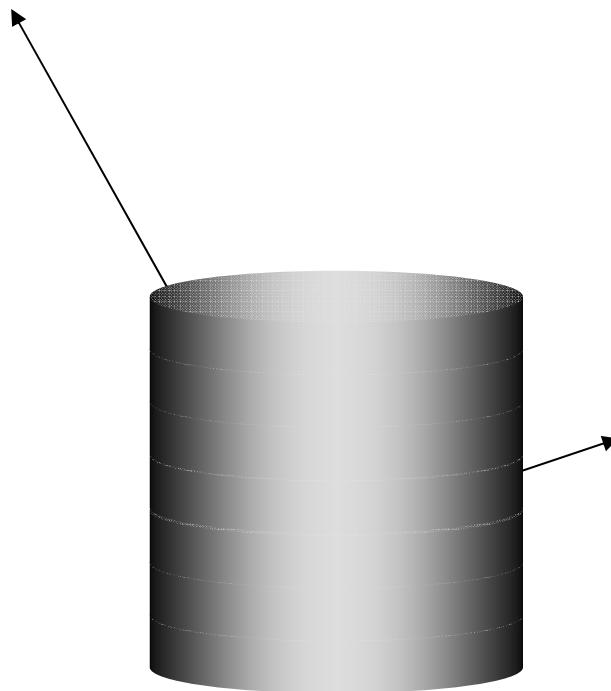


# $^{60}\text{Co}$ background spectrum





# $^{60}\text{Co}$ : suppression by segmentation





# $^{60}\text{Co}$ : suppression by segmentation

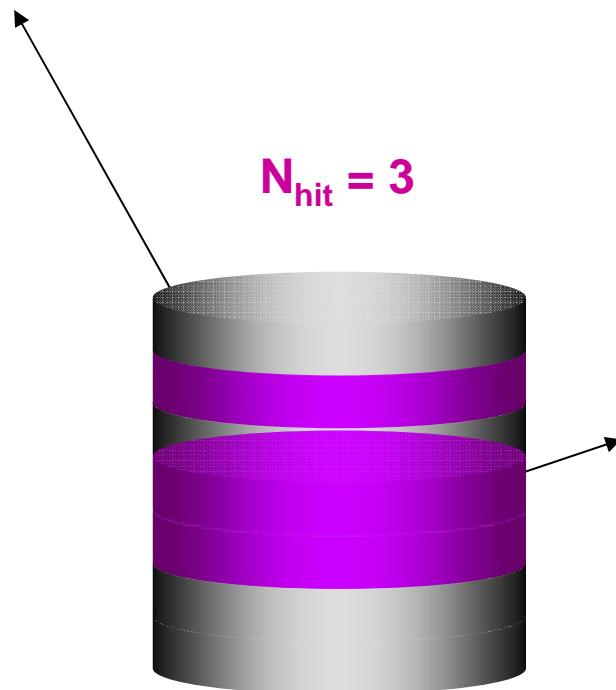
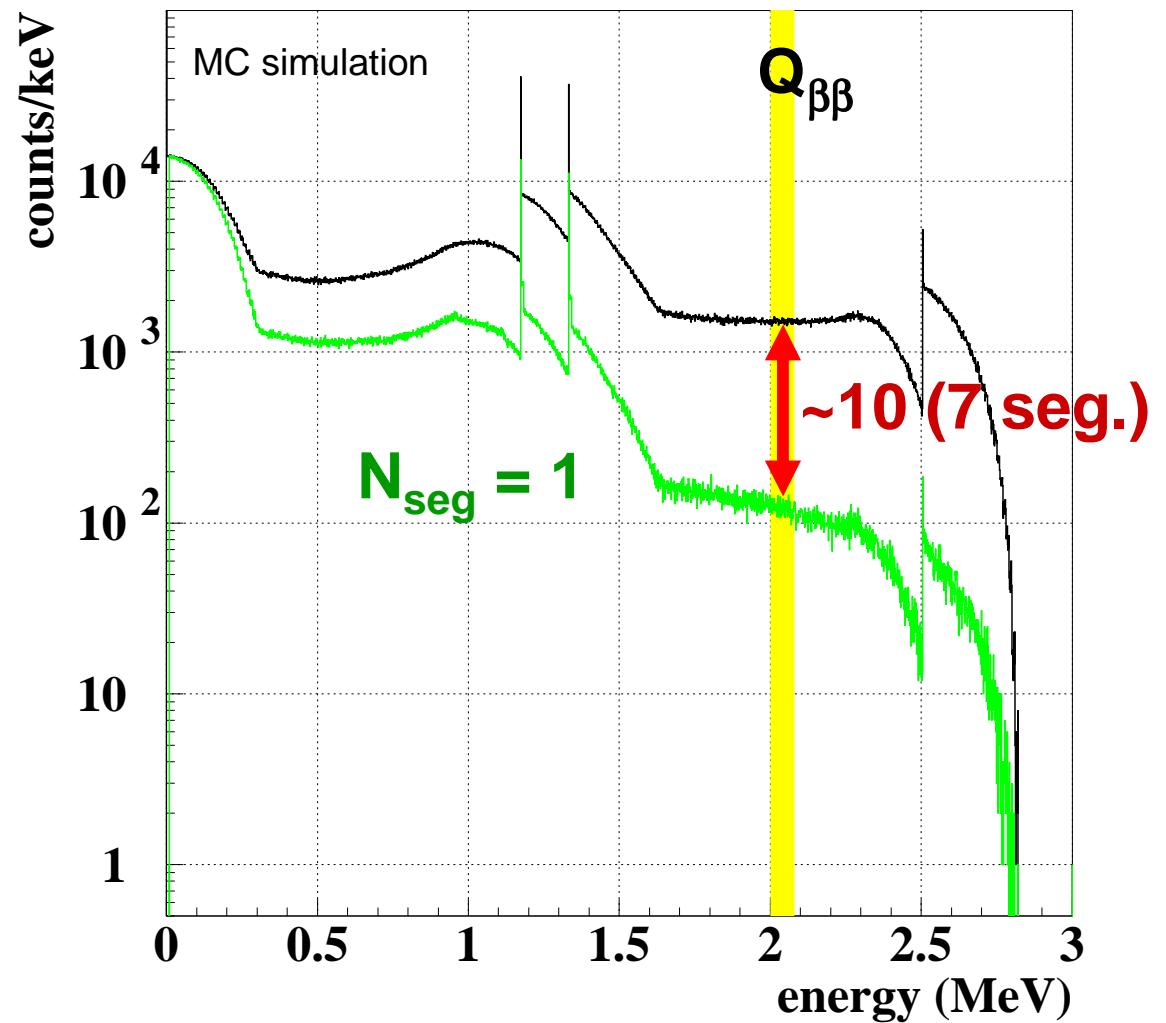
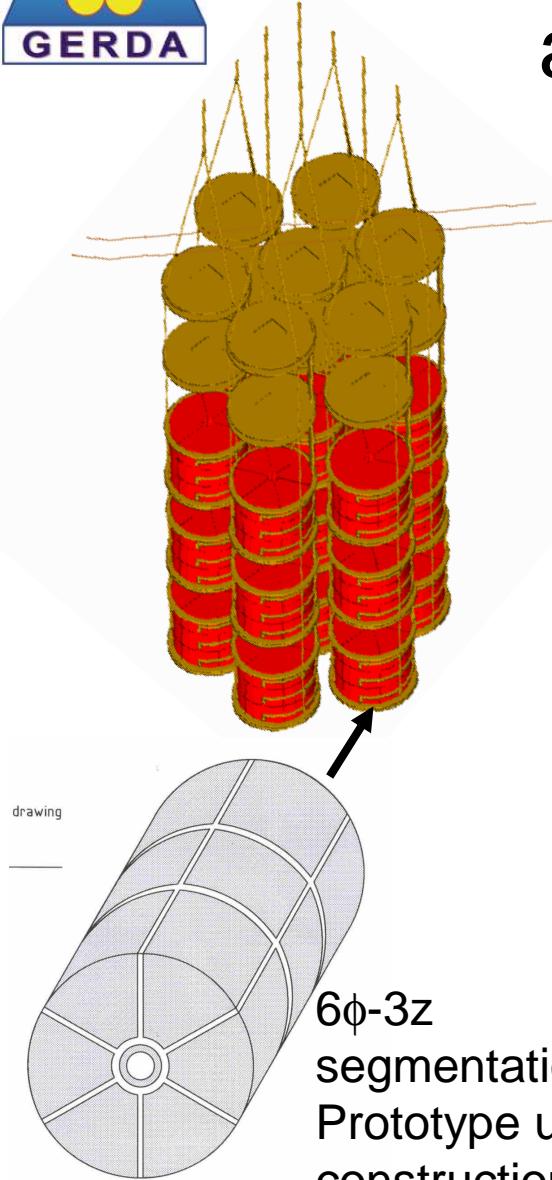


illustration:  
Simple 7-fold segmentation

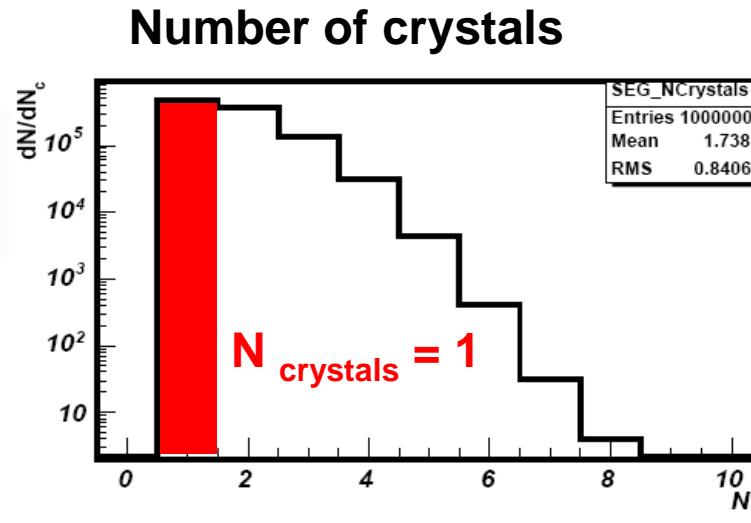




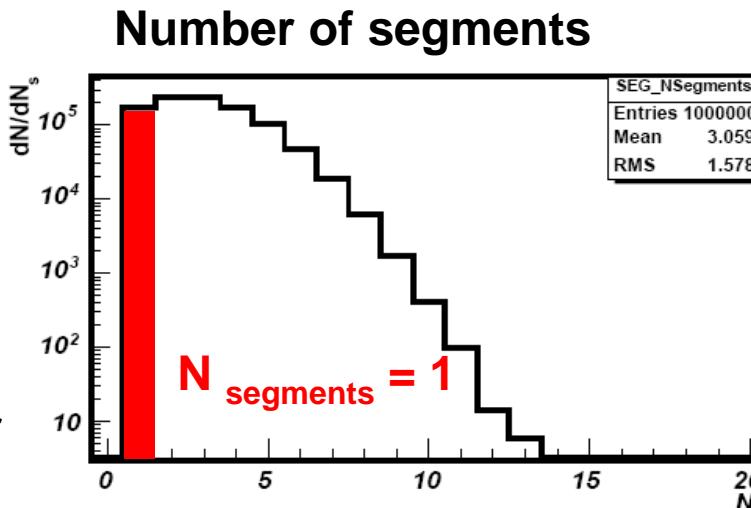
# MaGe: $^{60}\text{Co}$ suppression by segmentation and anti-coincidence



6 $\phi$ -3z  
segmentation;  
Prototype under  
construction



probability per  
decay to deposit  
energy within  $Q_{\beta\beta}$   
ROI per 1 keV  
energy bin after  
combined cuts:  
(18-fold segm.)

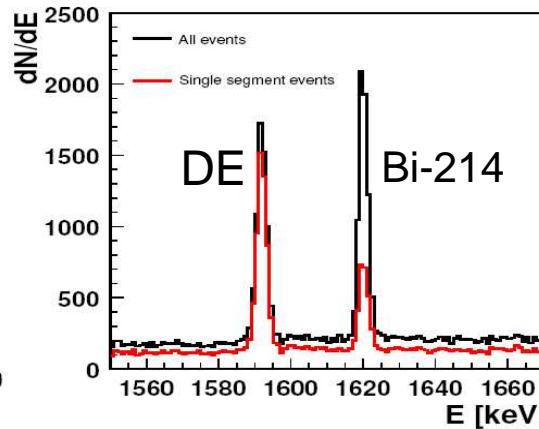
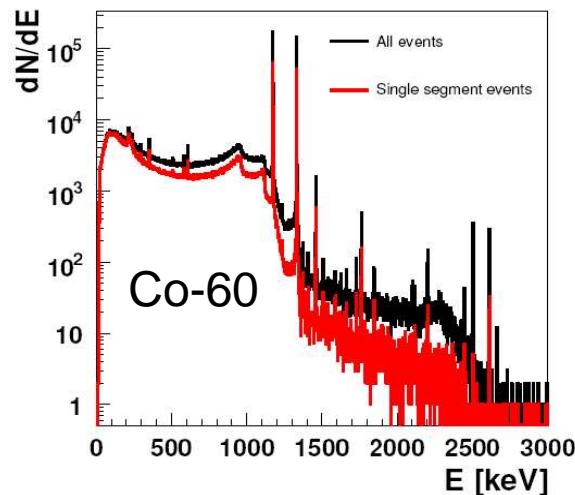
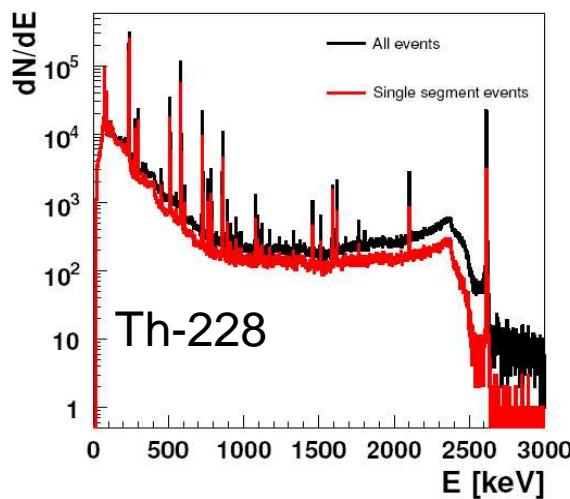
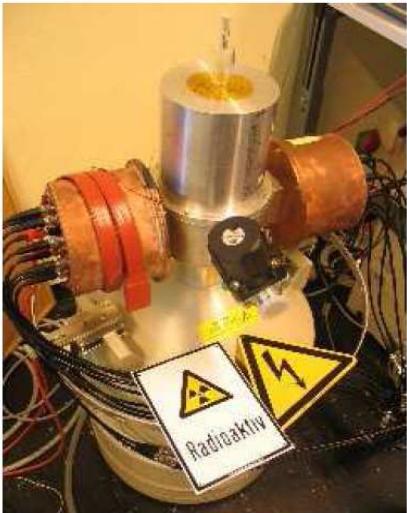


$P = 4.7 \cdot 10^{-6}/\text{keV}$   
(factor ~35  
reduction w/r  
to single unseg.  
detector)



# Phase II detectors

1.6 kg 18-fold segmented true-coaxial n-type

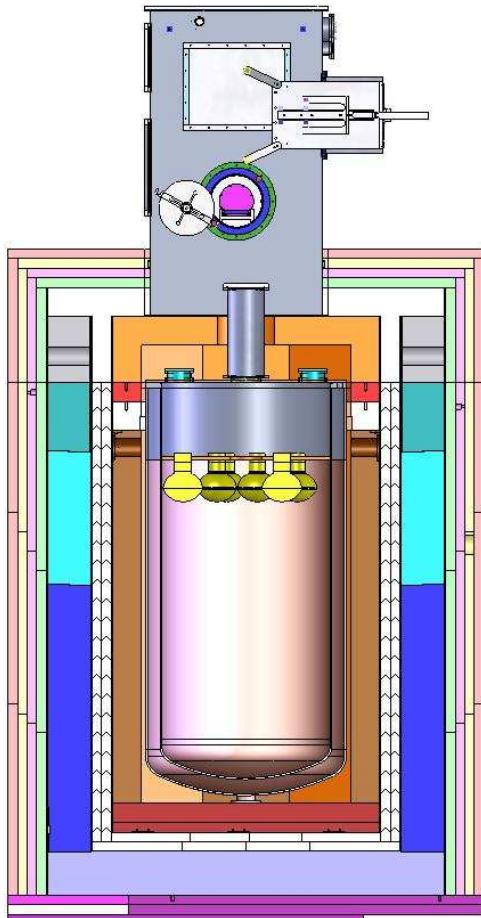


## Goal:

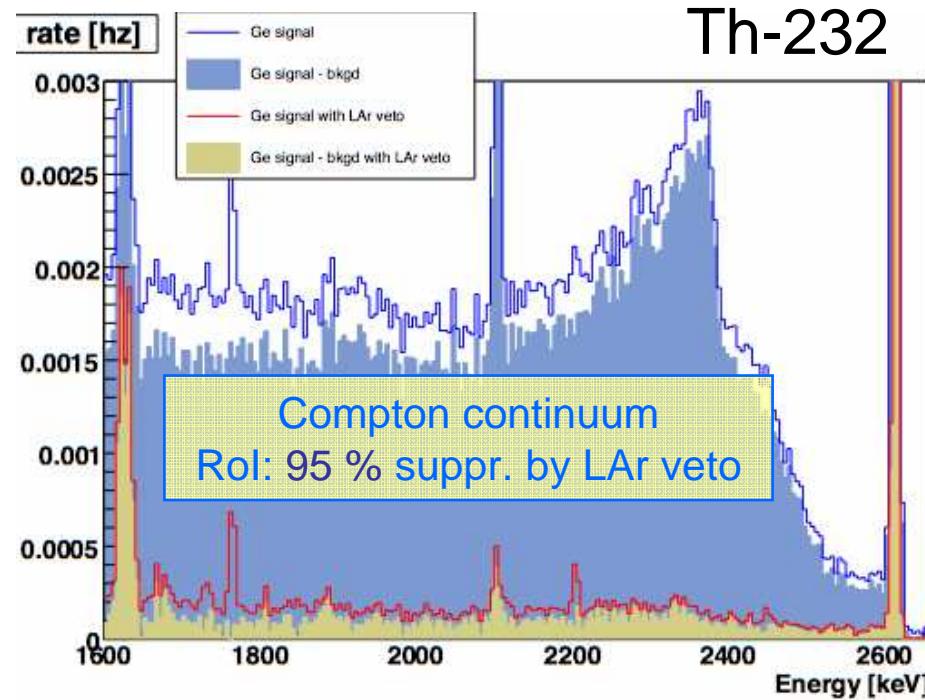
- Study of  $\gamma$  identification and suppression factors at  $Q_{\beta\beta}$ : 2 -100 depending on source location



# $^{232}\text{Th}$ suppression by LAr Ge-anticoinc: (20 cm diameter prototype setup)



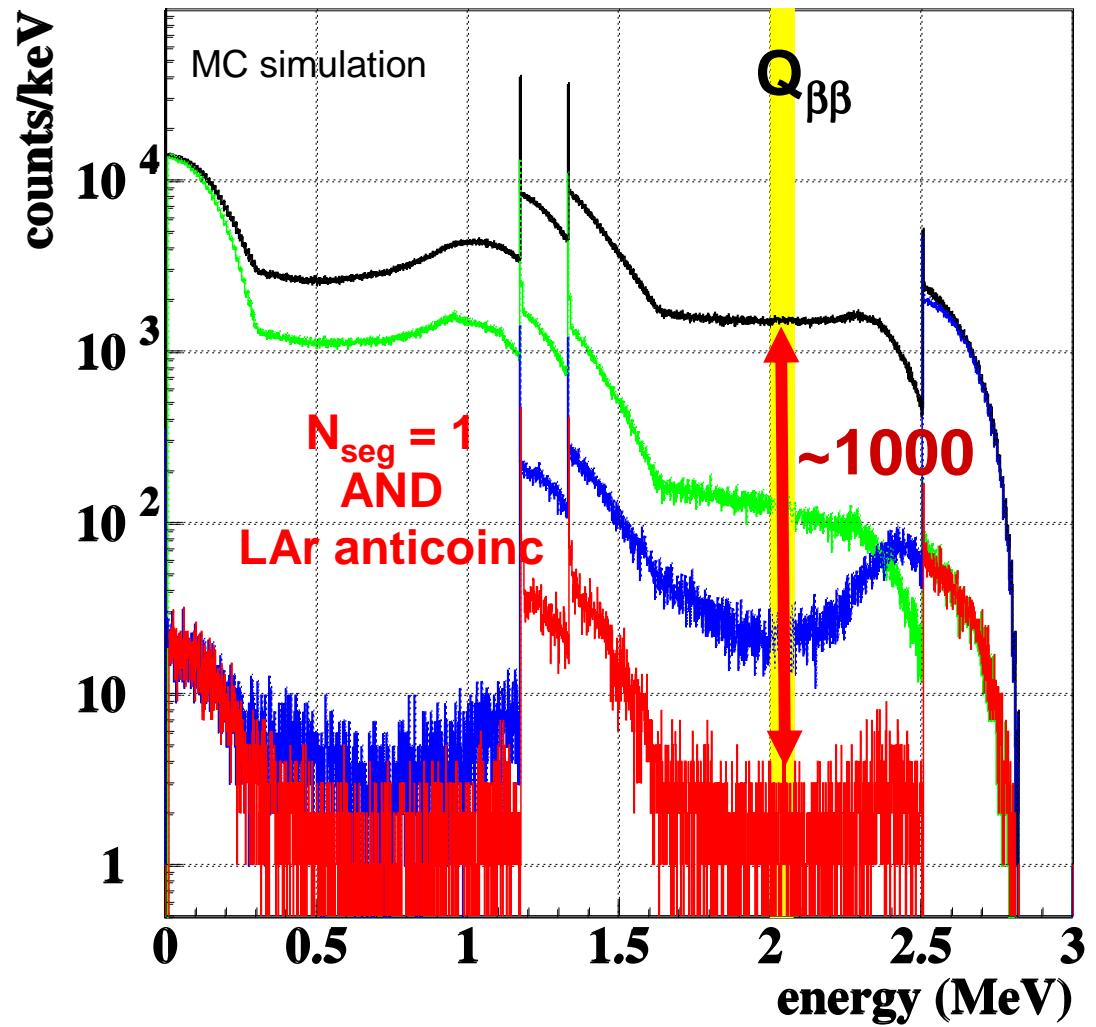
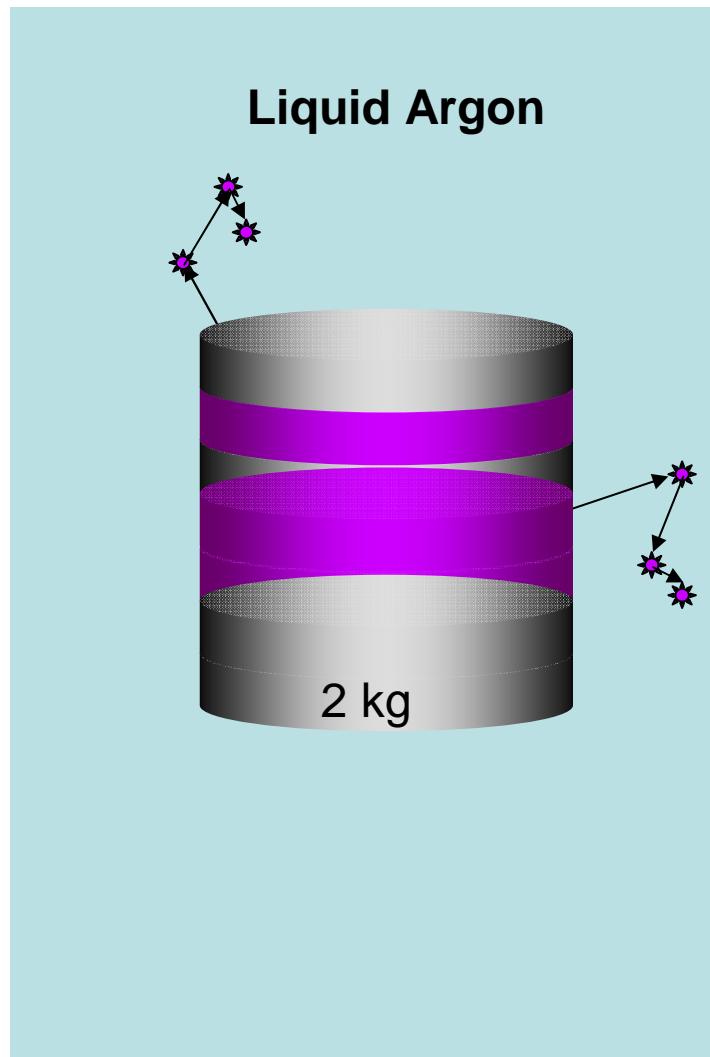
1 ton liquid argon detector  
under construction



Suppression limited by size of Dewar (20 cm  $\varnothing$ )



# $^{60}\text{Co}$ : segmentation and LAr Ge-anticoinc

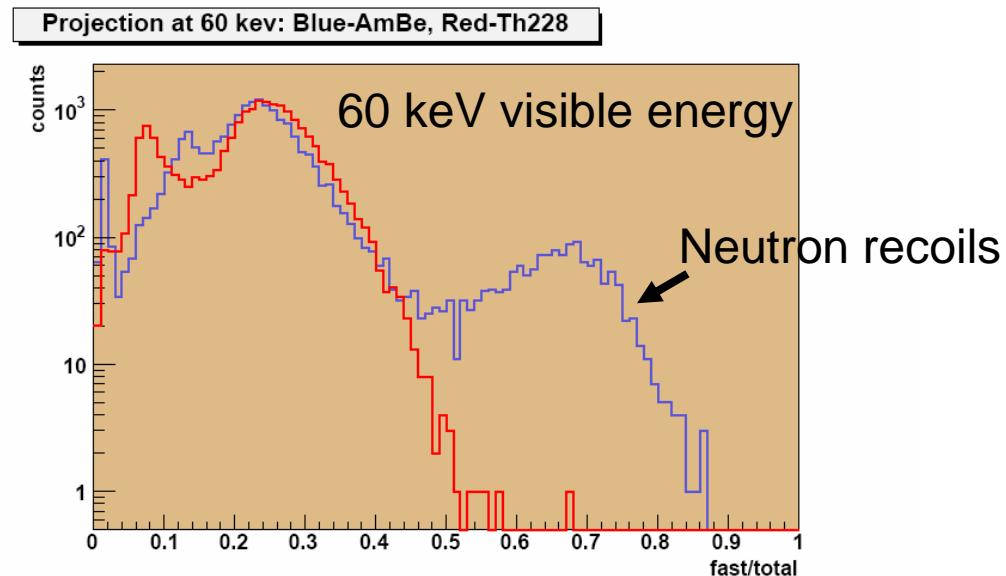




# Off-spin of GERDA LAr R&D for DM search

## Pulse shape discrimination studies

Data with AmBe n/ $\gamma$  source



20 kg active LAr target

Liquid Argon for DM  
Search  
(WARP, ArDM, CLEAN)

Discrimination of Ar-39  
background?



# Summary & Outlook

- GERDA: probe Majorana nature of neutrino with sensitivity down to inverse mass hierarchy scale
  - phase I** : background  $0.01 \text{ cts} / (\text{kg} \cdot \text{keV} \cdot \text{y})$ 
    - ▶ scrutinize KKDC result within 1 year
  - phase II** : background  $0.001 \text{ cts} / (\text{kg} \cdot \text{keV} \cdot \text{y})$ 
    - ▶  $T_{1/2} > 2 \cdot 10^{26} \text{ y}$  ,  $\langle m_{ee} \rangle < 0.09 - 0.29 \text{ eV}$
  - phase III** : world wide collaboration
    - ▶  $T_{1/2} > \sim 10^{28} \text{ y}$  ,  $\langle m_{ee} \rangle \sim 10 \text{ meV}$
- 2007: Experimental installations (Cryotank, water tank, building etc.)
- 2008: target for detector readiness



# GERDA collaboration

